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METHANE POLLUTION IN THE SOUTHERN GREAT PLAINS: SOURCE
QUANTIFICATION AND EXAMINATION OF IMPACTS OF LAND-ATMOSPHERE
PROCESSES USING ROUTINE OBSERVATIONS, FIELD CAMPAIGN DATA, AND
SIMULATIONS

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A DISSERTATION APPROVED FOR THE
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BY THE COMMITTEE CONSISTING OF

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Abstract

Methane (CH_4) is a potent greenhouse gas, and accurately characterizing its emissions and distribution in the atmosphere is critical for advancing our understanding of its role in climate change. This dissertation consists of two key components of CH_4 dynamics: land-atmosphere interactions and emission quantification. By combining advanced modeling techniques with field observations, this work seeks to identify CH_4 emission sources and enhance our understanding of how land-atmosphere processes influence CH_4 concentrations. This is particularly important for improving inverse modeling approaches, where accurately linking CH_4 concentrations to emission sources relies on understanding how planetary boundary layer (PBL) dynamics and land surface processes affect CH_4 distribution in the atmosphere. These insights are crucial for refining CH_4 emission inventories and enhancing the effectiveness of mitigation strategies aimed at reducing CH_4 emissions.

The first focus of the dissertation is to examine land-atmosphere interactions specific to the Southern Great Plains (SGP) site in Oklahoma, as these dynamics play a crucial role in the transport and mixing of CH_4 within the PBL. We optimized seven key parameters of the Noah-Multiparameterization Land Surface Model (Noah-MP) to improve its representation of land-atmosphere interactions. Using Bayesian optimization (BO), we fine-tuned the model parameters using data from flux tower observations. This optimization not only enhanced the simulation of surface energy fluxes and meteorological state variables like temperature, but also provided insights into soil characteristics, suggesting that the top layer of soil at the SGP site may contain more sand than indicated by existing classifications. These improvements extended beyond the initial nine-day optimization period to the entire growing season in 2016, enhancing the accuracy of atmospheric state variables and top-layer soil conditions.

Building on the improved simulation of land-atmosphere processes, the second part of the research investigates CH₄ concentration enhancements at the SGP site from 2017 to 2020. Analysis of local meteorological data revealed that stable boundary layer conditions—characterized by strong temperature inversions and shallow PBLs—trap CH₄ near the surface, particularly during nighttime, leading to significant concentration spikes. Wind direction analysis identified a concentrated animal feeding operation (AFO) located 5.8 km northwest of the SGP site as a potential source of these CH₄ emissions. In a field campaign conducted in June 2024, we measured CH₄ concentrations exceeding 6000 ppb downwind of the AFO, corresponding to an emission rate of approximately 95 kg·hr⁻¹, as calculated using the mass balance method. Additional nearby sources, including open-range cattle and a natural gas pipeline, were also identified as potential contributors to elevated CH₄ levels.

The final component of this dissertation integrates the field campaign data with high-resolution atmospheric modeling using the Weather Research and Forecasting model with Greenhouse Gas (WRF-GHG) model to assess whether the AFO could be responsible for the observed CH₄ enhancements at the SGP site. Using the WRF-GHG model, we simulated CH₄ dispersion from the AFO and validated these simulations against field data. The model successfully captured the spatial dispersion of CH₄ from the AFO and aligned well with field measurements, particularly around the AFO itself. However, the simulated CH₄ enhancement at the SGP site was lower than observed, even when assuming an AFO emission rate of 100 kg·hr⁻¹. This discrepancy suggests that the actual emission rate from the AFO may be higher than previously estimated, underscoring the need for more accurate emission inventories. The field campaign also revealed that rainfall events significantly increase CH₄ emissions, particularly from manure ponds and open lots, where microbial activity is enhanced in wet conditions. These

findings highlight the importance of improving CH₄ emission inventories and refining atmospheric models, particularly in agricultural regions where localized sources can have substantial impacts on regional CH₄ concentrations.

This dissertation bridges gaps in our understanding of CH₄ emissions by optimizing land-surface models, quantifying local CH₄ sources, and validating atmospheric simulations. By integrating empirical field data with advanced modeling approaches, this research enhances our understanding of CH₄ dynamics at local and regional scales, with important implications for global CH₄ inventories and climate policies aimed at mitigating CH₄ emissions.

Chapter 1 Introduction

1.1 Background and Objectives

The Planetary Boundary Layer (PBL) serve as a critical interface between the atmosphere and the Earth's surface, and it is also the layer where atmospheric processes directly interact with human activities. PBL processes are essential for regulating our climate, weather, and air quality by enabling the exchange of energy, moisture, and trace gases between the Earth's surface and the atmosphere ([Betts 2009](#); [Dickinson 1995](#); [He et al. 2021](#); [Santanello Jr et al. 2011a](#); [Santanello Jr et al. 2011b](#)). A better understanding of these dynamics and interactions is crucial for effectively predicting climate variability, extreme weather events, and their impacts on ecosystems and human societies. Broadly speaking, we can make distinctions between the type of interactions associated with the following two areas:

1. Physical Climate System

The physical interactions between the atmosphere and the Earth's surface, involving the exchanges of radiation, sensible heat, latent heat, and momentum, are fundamental to shaping our climate. These interactions affect various scales from daily weather events to long-term climate patterns, influencing atmospheric conditions such as atmospheric temperature, humidity, wind, and precipitation, as well as ground surface states like soil temperature and moisture ([Andrews 2009](#); [Bateni and Entekhabi 2012](#); [Beringer and Tapper 2002](#); [Boer 1993](#); [Dickinson 1983](#); [Siler et al. 2019](#)). The study of these processes provides crucial insights into how energy and mass transfers within the PBL can trigger or mitigate various weather phenomena, including extreme events like thunderstorms and droughts.

2. Biogeochemical Cycles

Trace gases such as carbon dioxide (CO₂), methane (CH₄), and various nitrogenous compounds play a pivotal role not only in radiative transfer and broader climate system ([Collins et al. 2006](#); [Iacono et al. 2008](#); [Myhre et al. 1998](#)) but also in public health ([Anenberg et al. 2012](#); [Haines et al. 2009](#); [West et al. 2006](#)). CH₄ needs particular attention due to its higher radiative efficiency compared to CO₂ – The radiative forcing from CH₄ is approximately 26 times that of CO₂, on a per-ppb basis ([Stocker et al. 2013](#)). By intensifying efforts to understand and manage CH₄ emissions, we can implement more immediate and effective measures to mitigate global warming. This makes understanding and controlling CH₄ emissions a strategic and effective approach to slowing global warming ([Fiore et al. 2002](#); [Heilig 1994](#); [Hogan and Hoffman 1991](#); [Milich 1999](#); [Yavitt 1992](#)).

In my dissertation, I explore the intricate dynamics of surface energy balance, land-atmosphere interactions (LA-I), the PBL, and Greenhouse Gases (GHGs), utilizing the comprehensive observational capacities of the Atmospheric Radiation Measurement (ARM) Program's Southern Great Plains (SGP) site ([Sisterson et al. 2016](#)). The diverse atmospheric datasets collected at the SGP site are invaluable for understanding the complex interactions between the surface and the atmosphere, thereby providing critical insights into both regional and global climate dynamics. Through this research, I aim to contribute to a better understanding of these interactions, supporting broader efforts to improve climate predictions and strategies for mitigating climate change impacts.

Specifically, this dissertation aims to advance the understanding of how land surface characteristics and PBL dynamics interact with CH₄ emissions at the SGP site. The objectives of this research are to:

1. Improve the understanding of surface energy exchange, the state (temperature and moisture) of the surface and the lower atmosphere and how they impact the PBL development and evolution.
2. Explore the mechanisms influencing near-surface CH₄ concentrations, particularly through the dynamics of the PBL at the SGP site.
3. Utilize observational data and model simulations to identify CH₄ emission sources and quantify their emission rates effectively.

1.2 Proposed Research and Overall Approach

To address Objective 1, this study employs Bayesian Optimization ([Frazier 2018](#)) to refine key parameters within the Noah-Multiparameterization Land Surface Model (Noah-MP) ([Niu et al. 2011](#); [Yang et al. 2011](#)), which is implemented in a single-column configuration of the Weather Research & Forecasting (WRF) Model ([Skamarock et al. 2008](#)). The goal is to identify physically realistic parameter values that better align with observations from eddy covariance towers and surface meteorological stations at the SGP site during the 2016 alfalfa growing season. By updating these parameters, the model aims to improve the simulation of surface energy fluxes, near-surface atmospheric conditions, soil characteristics, and PBL development. Additionally, when integrated with soil texture records, these updated parameters are expected to suggest a more accurate representation of soil texture.

Building on the improved understanding of land-atmosphere interactions and PBL dynamics at the SGP site, this research also seeks to explain the observed high near-surface CH₄ concentrations at SGP (Objective 2). This is achieved by analyzing data from balloon-borne radiosondes, Doppler lidar wind profiles, surface meteorological stations, and WRF simulations,

which collectively help to elucidate the influence of PBL dynamics on CH₄ levels recorded by the PGS system at the SGP over the past decade.

However, PBL dynamics alone may not fully account for the elevated CH₄ levels at the SGP. To address this, a field campaign was conducted in June 2024 to investigate potential CH₄ sources that might be absent from current inventories, using a LI-COR LI-7810 CH₄/CO₂/H₂O Trace Gas Analyzer (<https://www.licor.com/env/products/trace-gas/LI-7810>, LI-COR Biosciences, Lincoln, Nebraska, USA). The LI-7810 was mounted on a pickup truck, with the inlet pipe positioned at the driver's window, and driven at speeds under 5 miles per hour. Measurements were conducted upwind and downwind of potential CH₄ sources such as animal feeding facilities (AFO), oil wells, natural gas pipelines, and free-range cattle. Addressing Objective 3, emission rates were quantified using methods like mass balance ([Karion et al. 2015](#); [Lavoie et al. 2015](#); [Pandey et al. 2019](#); [Peischl et al. 2015](#)), combined with wind data from the SGP site's meteorological station.

Building on our studies of PBL dynamics and CH₄ emissions at the SGP site, we aim to extend these methods to local and community scales, such as the city of Norman in Oklahoma. This approach lays the groundwork for accurately identifying and quantifying CH₄ emissions in various settings, contributing to the development of precise CH₄ inventories. Moreover, it provides a robust reference point for refining other GHG inventories, enhancing their accuracy and reliability for both scientific research and policymaking.

Chapter 2 Literature Review

2.1 Background of Land-Atmosphere Interactions (LA-I) and their connection to the Planetary Boundary Layer (PBL)

Land-Atmosphere Interactions (LA-I) are fundamental to understanding the exchange of energy, moisture, and greenhouse gases between the Earth's surface and the atmosphere. These interactions are key drivers of many fundamental atmospheric processes, such as the formation of weather patterns, the distribution of precipitation, and the regulation of surface temperatures ([Betts et al. 1996](#); [Bonan 2008](#); [Brubaker and Entekhabi 1996](#); [Dickinson 1995](#); [Kollet and Maxwell 2008](#); [Santanello Jr et al. 2011a](#); [Seneviratne et al. 2010](#)). The dynamic exchange between the land and the atmosphere also has significant implications for climate variability and change on both regional and global scales ([Barros and Hwu 2002](#); [Betts et al. 1996](#); [Betts 2007](#); [Chelton and Xie 2010](#); [Findell et al. 2011](#); [Raupach 1995](#); [Santanello Jr et al. 2011a](#); [Seneviratne et al. 2006](#)). Therefore, understanding LA-I is vital for improving weather forecasts and climate models.

LA-I is primarily confined between the land surface and the Planetary Boundary Layer (PBL), the lowest part of the atmosphere ([Helbig et al. 2021](#); [Yi et al. 2004](#)) which typically extends from a few meters up to 1-3 kilometers in height. These interactions are largely driven by turbulent transport and mixing processes near the Earth's surface ([Stull 2015](#)). Key processes include the transfer of sensible and latent heat, momentum, and moisture, which vary significantly over diurnal cycles due to solar radiation ([Su 2006](#)). To quantify these transfer processes, the surface energy balance (SEB) is examined, which includes net radiation (R_n), sensible heat flux (H , the conductive heat flux from the Earth's surface to the atmosphere), latent heat flux (LE , the heat flux from the Earth's surface to the atmosphere that is associated with evaporation or condensation of water vapor at the surface), and ground heat flux (G , the heat flux from the soil to

the earth's surface). The SEB describes the balance of Rn , G , H , and LE in the soil-surface-atmosphere system when energy stored (or lost) at the land surface can be neglected as in Equation 2.1:

$$Rn = G + H + LE, (2.1)$$

In general, during the day, incoming solar radiation is primarily balanced by outgoing sensible and latent heat fluxes, while at night, the loss of energy through longwave radiation is offset by reduced or reversed heat fluxes from the ground and atmosphere. Through these fluxes, particularly H and LE , the energy absorbed by the land surface can be transferred upward into the PBL.

The SEB is tightly related to the diurnal evolution of the PBL ([Mahmood et al. 2011](#)), with strong daytime upward fluxes of sensible and latent heat resulting in deep convective mixed layers, while at night, reduced turbulence and radiative cooling typically lead to a more stable and shallow PBL. The growth of the daytime PBL (daytime in Figure 2.1) is primarily driven by thermal eddies ([Helbig et al. 2021](#)). In terms of energy fluxes, the daytime PBL development depends on available energy ($H + LE$) at the land surface and the energy partitioning between H and LE (the Bowen ratio $\beta = \frac{H}{LE}$), as in Figure 2.2. When β is high, indicating that more energy is converted to H , the PBL tends to grow more rapidly. In contrast, a lower β , where LE dominates, results in a more restrained PBL growth. For instance, in arid environments, where the energy balance favors sensible heat flux, the PBL expands quickly, whereas in regions with heavy vegetation, much of the energy is consumed as latent heat through processes like evaporation and transpiration, which slows PBL development. Additionally, the rate at which the PBL evolves is modulated by several factors, including the presence and strength of a capping inversion, entrainment processes from the free atmosphere above ([Driedonks and Tennekes 1984](#); [Wyngaard and Brost 1984](#)), and the

effects of vertical gradients in temperature and moisture, as well as wind shear ([Batchvarova and Gryning 1991](#)).

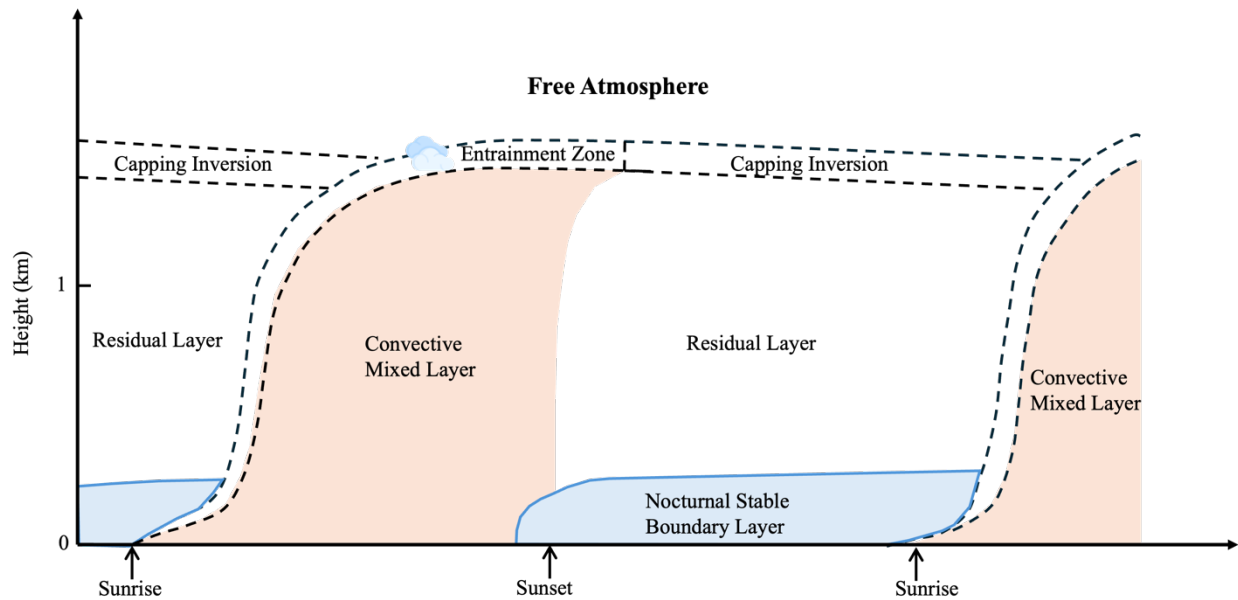


Figure 2.1 Ideal diurnal development of the planetary boundary layer (PBL) during the day, from sunrise to sunset, and transformation to the nocturnal stable boundary layer from sunset to sunrise (figure after [Stull \(1988\)](#)).

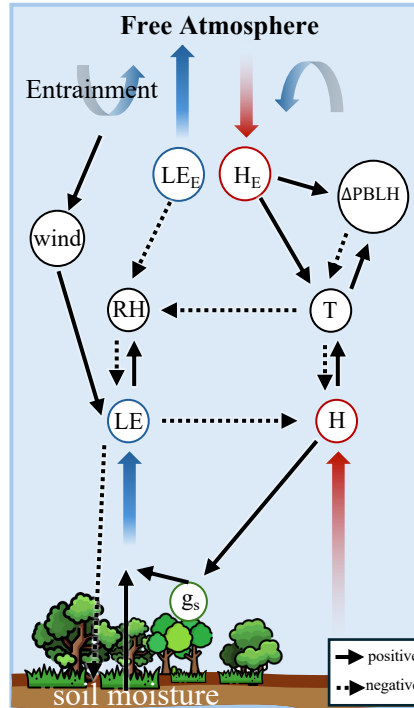


Figure 2.2 Key daytime interactions and feedback between surface sensible (H) and latent heat (LE) fluxes, entrainment fluxes (H_E , LE_E), atmospheric boundary layer growth rate ($\Delta PBLH$), land surface (e.g., soil moisture) and vegetation conditions (e.g., stomatal conductance (g_s)), and relative humidity (RH), and mixed-layer temperature (T). Horizontal advection is not shown. Figure is summarized and simplified from [Santanello Jr et al. \(2011b\)](#) and [Helbig et al. \(2021\)](#).

At sunset, as solar heating ceases, buoyancy-driven turbulent mixing quickly weakens, and a stable nocturnal boundary layer (NBL) begins to form near the surface, below an elevated a residual layer (Fig 2.1). Under strong atmospheric stability conditions, the residual layer can become decoupled from the shallow NBL due to the significant suppression of vertical mixing. The results from the CASES-99 project which was mainly conducted in southeastern Kansas suggest the NBL can be very stable under weak winds, clear sky, and strong surface radiative cooling conditions ([Banta et al. 2007](#)). Under these very stable NBL conditions, the strong stability of the radiational temperature inversion is effective in suppressing both turbulence and turbulent mixing, thereby producing a vertically thin, highly structured NBL – sometimes lower than 10m.

2.2 Methane (CH₄) Dynamics within Land-Atmosphere Interactions

Methane (CH₄) plays a pivotal role in global warming and is the second most significant greenhouse gas contributor to climate change in terms of radiative forcing, following carbon dioxide ([Myhre et al. 2014](#); [Stocker et al. 2013](#)). Despite its critical importance, the complexity of CH₄ emissions and their atmospheric concentrations – particularly their interaction with meteorological variables, remains less understood. This lack of detailed understanding impedes the accuracy of predictive atmospheric models and challenges the effectiveness of strategies aimed at mitigating climate change impacts. Addressing this knowledge gap is crucial, necessitating in-depth studies to explore the meteorological interactions that influence CH₄ variability within the Earth's atmosphere.

The emission source of CH₄ can be both anthropogenic and natural. CH₄ is emitted during the production and transport of coal, natural gas, and oil ([Chandra et al. 2021](#); [Kirchgessner et al. 1993](#); [Krings et al. 2013](#)). CH₄ emissions also result from livestock ([Dangal et al. 2017](#); [Gerber et al. 2013](#); [Omara et al. 2018](#)) and other agricultural practices like rice cultivation ([Cai et al. 2007](#); [Wassmann et al. 2000](#); [Zhang et al. 2016](#)), land use ([Ishizuka et al. 2002](#); [McDaniel et al. 2019](#); [Verchot et al. 2000](#)), and by the decay of organic waste in municipal solid waste landfills ([Boeckx et al. 1996](#); [Bogner and Spokas 1993](#); [Wang et al. 2017](#)).

The major amount of CH₄ is removed by the photolytically produced hydroxyl radical (OH) in the troposphere ([Rigby et al. 2017](#)). However, the CH₄ removal from the chemical reaction with OH is small on a diurnal scale ([Patra et al. 2009](#)), unlike CO₂, whose diurnal cycle heavily relies on diurnal changes in photosynthetic uptake and PBLH variations ([Hu et al. 2020](#); [Law et al. 2008](#); [Metya et al. 2021](#)), the diurnal cycle of CH₄ near the surface may primarily relies on regional/local

transport, PBL turbulent mixing, and emission rate ([Gažovic et al. 2010](#); [Metya et al. 2021](#); [Nishanth et al. 2014](#)).

Since the primary sources of CH₄ emissions—such as wetlands, agricultural activities, and fossil fuel extraction—originate from the land surface, the way CH₄ is emitted and dispersed in the atmosphere is influenced by the same interactions that govern the exchange of energy, moisture, and momentum between the surface and the ABL. Thus, comprehending these processes is crucial for accurately quantifying and managing CH₄ emissions and concentrations.

To understand the role of CH₄ in climate change, it is crucial to accurately determine both the amount and distribution of CH₄ emissions, as well as how these emissions interact within the atmosphere. This includes understanding the processes that control the atmospheric lifetime of CH₄, such as its oxidation by OH ([McNorton et al. 2016](#); [Rigby et al. 2017](#)). We also need to consider the impact of CH₄ on radiative forcing ([Etminan et al. 2016](#); [Forster et al. 2007](#); [Myhre et al. 2011](#); [Robertson et al. 2000](#)), given its potency as a greenhouse gas, and how it contributes to feedback mechanisms that influence global temperatures, cloud formation, and precipitation patterns ([Boucher et al. 2009](#); [Kasting 2004](#); [Reay et al. 2018](#)). Understanding these processes also involves examining how CH₄ interacts with other atmospheric constituents, like ozone, and how these interactions affect air quality and climate ([Dentener et al. 2005](#); [Fiore et al. 2002](#)).

However, as the first step in this complex cycle, CH₄ emissions themselves remain poorly constrained and difficult to quantify accurately. Natural sources, such as wetlands, are influenced by variable factors like temperature, moisture, and microbial activity ([Bridgham et al. 2013](#); [Christensen et al. 2003](#); [Singh et al. 2000](#); [Zhang et al. 2017](#)), while anthropogenic sources, such as agriculture and fossil fuel extraction, are challenging to monitor due to the diffuse nature of emissions, inconsistent reporting standards, and uncertainty in assumed emission factors

([Balcombe et al. 2017](#); [Caulton et al. 2014](#); [Gao et al. 2022](#)). This uncertainty is compounded by the spatial and temporal variability of emissions and the limited availability of high-resolution observational data. Consequently, there is a critical need for improved measurement techniques, enhanced modeling approaches, and more comprehensive data integration to better quantify CH₄ emissions and understand their role in climate dynamics.

CH₄ emission sources are derived from two main approaches: the "bottom-up" approach, which utilizes inventories and process models to estimate emissions, and the "top-down" approach, which relies on atmospheric observations integrated into chemical transport models to infer fluxes. The bottom-up approach faces challenges such as uncertainties in the underlying activity data, emission factors, and the extrapolation of limited observational data to broader regions, which can introduce significant biases. Moreover, this approach lacks constraints on the total global emissions of CH₄. On the other hand, the top-down approach is hindered by gaps and inaccuracies in observational data, as well as by our limited understanding of atmospheric transport processes and the chemical removal of CH₄. Together, these limitations contribute to a substantial discrepancy between the two methods of estimating CH₄ emissions.

CH₄ emissions are typically estimated in two approaches: 1. "bottom-up," in which inventories or process models are used to predict fluxes, or 2. "top-down," in which fluxes are inferred at a regional scale like an oil wells ([Vaughn et al. 2018](#)). Bottom-up methods suffer from uncertainties and potential biases in the available activity data or emissions factors or the extrapolation to large scales of a relatively small number of observations ([Balcombe et al. 2017](#)). Top-down methods are constrained at smaller scale compared to bottom-up method inferred fluxes, and are limited by incomplete or imperfect observations and our understanding of atmospheric transport and chemical sinks. For CH₄, these difficulties result in a significant mismatch between

the two methods – the flux inferred from top-down methods is usually 1.5 times larger than bottom-up inferred ones ([Vaughn et al. 2018](#)).

2.3 Current observations and methods

2.3.1 Energy flux measurements

Flux measurements are widely used to estimate heat, water, and CO₂ exchange, as well as CH₄ and other trace gases. Eddy Covariance systems, which were first introduced in the 1940s ([Montgomery 1948](#); [Obukhov 1951](#); [Swinbank 1951](#)) is one of the most direct and defensible ways to measure such fluxes ([Verma 1990](#)).

In very simple terms, when we have turbulent flow, the vertical flux (F) is equal to a mean product of air density (ρ_a), vertical wind speed (w) and the mixing ratio of the gas of interest (s):

$$F = \overline{\rho_a w s}, (2.2)$$

We can use Reynold decomposition to express each variable on the right-hand side of Eqn (2) as the sum of its mean and fluctuating components, for instance, $\rho_a = \overline{\rho_a} + \rho_a'$. By making two key assumptions – (i) air density fluctuations are negligible; and (ii) mean vertical flow is negligible for horizontally homogeneous terrain – Equation 2.2 can be simplified to:

$$F = \overline{\rho_a w s} = \overline{(\overline{\rho_a} + \rho_a')(\overline{w} + w')(\overline{s} + s')} \approx \overline{\rho_a} \overline{w' s'}, (2.3)$$

The above is the general equation to estimate fluxes: the turbulent flux is approximately equal to mean air density multiplied by the mean covariance between deviations in instantaneous vertical wind speed w and mixing ratio s .

By analogy, sensible heat flux is equal to the mean air density multiplied by the covariance between deviations in instantaneous vertical wind speed and temperature; conversion to energy units is accomplished by including the specific heat C_p . Latent heat flux is computed in a similar

manner using water vapor. CH₄ flux is presented as the mean covariance between deviations in instantaneous vertical wind speed and density of CH₄ in the air.

$$\text{CH}_4 \text{ flux: } F_{ch_4} = \overline{w' \rho_{ch_4}'}, (2.4)$$

$$\text{Sensible heat flux: } H = \rho_a C_p \overline{w' T'}, (2.5)$$

$$\text{Latent heat flux: } LE = \rho_a L_e \overline{w' q'}, (2.6)$$

where C_p is the heat capacity of air ($\text{J kg}^{-1} \text{K}^{-1}$), L_e is the latent heat of vaporization of water (J kg^{-1}), and w' , T' , q' , ρ_{ch_4}' are the fluctuation values of the vertical wind speed (m s^{-1}), sonic temperature ($^{\circ}\text{C}$), specific humidity (g kg^{-1}), and the dry CH₄ mixing ratio (ppb or nmol mol^{-1}), respectively.

There are other methods like the Bowen ratio-energy balance (BREB) methods used to estimate fluxes. The BREB method estimates LE from a surface using measurements of air temperature and humidity gradients, net radiation, and soil heat flux ([Fritschen and Simpson 1989](#)). It is an indirect method, compared to methods such as eddy covariance, which directly measures turbulent fluxes, or weighing lysimeters, which measure the mass change of an isolated soil volume and the plants growing in it. The principles, pros, and cons comparison are listed in the following Table 2.1.

Since the eddy covariance method is a direct measurement, the eddy covariance method is the most used micrometeorological method to obtain accurate measurements of turbulent energy fluxes. This method is particularly valuable for understanding carbon, water, and energy exchanges between ecosystems and the atmosphere, providing essential data for assessing ecosystem function, carbon budgets, and climate change impacts.

Table 2.1 The principles, pros, and cons of surface-energy balance measurement methods.

Method	Principle	Strengths	Limitations	References
Lysimeters	Estimate evapotranspiration by measuring changes in soil water weight over time	Provides a direct measurement of evapotranspiration; highly accurate for site-specific studies; good for validating other methods.	Expensive and non-portable	Gebler et al. (2015) ; Goss and Ehlers (2009) ; López-Urrea et al. (2006)
Bowen Ratio –Energy Balance method	Latent heat flux based on the temperature and humidity gradient between two levels	Relatively simple setup; suitable for homogeneous and flat terrain; less costly than some other methods.	Requires extensive fetch; sensitive to instrument biases in temperature and humidity measurements	Prueger et al. (1997) ; Spittlehouse and Black (1980) ; Todd et al. (2000)
Scintillometer	Measuring the intensity fluctuations of electromagnetic radiation as it passes through the atmosphere	Can measure fluxes over larger areas than point-based methods; useful in heterogeneous landscapes.	High-cost method; disrupted by optical interception from rain, insects, frost, and air temperature variations	Chehbouni et al. (2000) ; Hemakumara et al. (2003) ; Meijninger et al. (2002)
Eddy Covariance (EC) system	Direct measurement of turbulent fluxes of heat, moisture, and trace gases using high-frequency sensors	Direct, high-frequency measurements of fluxes; highly accurate and widely applicable across different ecosystems; suitable for long-term monitoring.	Very expensive; measurements can be compromised by low wind speeds, mounting, or sensor head distortions	(Baldocchi et al. 2001) ; Delwiche et al. (2021) ; Fisher et al. (2008) ; Mauder et al. (2013)
Surface Renewal Method	Estimates fluxes by analyzing high-frequency temperature fluctuations near the surface	Lower cost compared to eddy covariance; useful in situations where eddy covariance is impractical; provides continuous measurements.	Less commonly used; accuracy depends on proper calibration; sensitive to environmental noise and surface conditions	Morán et al. (2020) ; Qiu et al. (1995) ; Snyder et al. (1996) ; Spano et al. (2000)

Building on the advantages of eddy covariance method, global networks such as FLUXNET ([Baldocchi et al. 2001](#)) and AmeriFlux ([Novick et al. 2018](#)) have been established to coordinate and standardize eddy covariance measurements across diverse ecosystems worldwide. FLUXNET is a global network that integrates data from over 1,000 flux towers across various biomes and climates, providing comprehensive datasets that support a wide range of scientific research, from local ecosystem studies to global climate modeling efforts. These flux towers continuously monitor exchanges of CO₂, CH₄ water vapor, and energy, contributing valuable insights into the carbon cycle, ecosystem productivity, and how different ecosystems respond to environmental changes.

AmeriFlux is a regional subset of FLUXNET, which focuses on ecosystems in the Americas, including North, Central, and South America. It provides critical data for studying LAI in a wide range of environments, from tropical rainforests to arid deserts. AmeriFlux sites adhere to rigorous measurement standards, ensuring data quality and comparability across sites and over time. This consistency allows researchers to examine spatial and temporal trends in carbon, water, and energy fluxes, improving our understanding of ecosystem processes and their response to climatic variability and human activities.

2.3.2 CH₄ emission estimations

Direct CH₄ flux measurements provide high-precision data for quantifying methane emissions from specific sources and validating CH₄ emission inverse modeling. These methods are critical for understanding methane dynamics at the local scale and serve as a foundation for validating larger-scale emissions models. Key methods are in Table 2.2:

Table 2.2 Approaches to measure CH₄ fluxes directly

Method	Description	Strengths	Limitations	References
Chamber	This method involves placing a chamber over a small area of the ground (or water surface in the case of wetlands) to capture the emitted methane. Gas samples are collected from the chamber over time, and the methane flux is calculated based on the rate of change in methane concentration within the chamber.	Simple to implement, highly accurate for small-scale emissions.	Only measures small, localized areas, and may not capture variability at larger scales.	Chan and Parkin (2001) ; Keller and Stallard (1994) ; Ueyama et al. (2015)
Eddy Covariance Method	A flux measurement technique that directly measures the vertical turbulent transport of gases using high-frequency wind and concentration data.	High accuracy and real-time flux data over small areas.	Limited to flat terrains, small footprints, and turbulence.	McDermitt et al. (2011) ; McNicol et al. (2023) ; Morin (2019)
Relaxed Eddy Accumulation Method	Based on conditional sampling, where the vertical wind direction (updrafts and downdrafts) is used to determine when to sample air. Unlike Eddy Covariance, Relaxed Eddy Accumulation Method does not continuously measure gas concentrations; instead, it samples air during specific periods of vertical wind motion and accumulates these samples for analysis.	Requires lower-frequency sampling compared to EC	The accuracy of Relaxed Eddy Accumulation Method depends on the empirical determination of the "b" factor; Coarse in time resolution – about 30 minutes	Sakabe et al. (2012) ; Ueyama et al. (2013)

2.4 Modeling

The Weather Research and Forecasting (WRF, [Skamarock et al. \(2019\)](#)) model incorporates a comprehensive suite of parameterizations that simulate the atmospheric, land surface, and chemical processes critical for accurate weather prediction and climate analysis . These parameterizations, which include the PBL, land surface, and chemistry modules, are integral to the model's ability to capture complex interactions within the Earth system.

The PBL parameterization is essential for accurately depicting vertical mixing and turbulence, which are influenced by thermal and moisture gradients between the land surface and the atmosphere ([Hu et al. 2010](#); [Tyagi et al. 2018](#)). The land surface models within WRF handle the biophysical and hydrological interactions at the surface, such as soil moisture, vegetation cover, and energy exchanges ([Jin et al. 2010](#); [Lee et al. 2016](#)). These exchanges are critical for realistic simulations of surface temperature, evaporation, and sensible heat flux.

Parameterizations of atmospheric chemistry, which address the transformation and movement of greenhouse gases like CH₄, are closely linked to processes in the PBL and at the land surface. For instance, the breakdown of CH₄ in the atmosphere is highly dependent on temperature and humidity conditions shaped by surface and PBL dynamics. Conversely, elevated CH₄ concentrations can influence local radiative balance, thereby affecting surface temperatures and the development of the PBL.

The effectiveness of WRF in simulating weather and environmental conditions hinges on the seamless integration of these parameterizations. By accurately capturing the dynamics of the PBL, the physical processes at the land surface, and the chemical composition of the atmosphere, WRF provides a robust framework for understanding and predicting atmospheric phenomena.

2.4.1 Land Surface Model – Noah-MP

The Noah-Multiparameterization (Noah-MP) Land Surface Model represents an advanced evolution of the Noah model, enhancing its capabilities through multiple parameterization options that extend its applicability across different climatic and environmental conditions ([Niu et al. 2011](#); [Yang et al. 2011](#)). Designed to interface seamlessly with atmospheric models like the WRF system, Noah-MP incorporates a diverse range of physical processes including vegetation, soil moisture, and hydrological dynamics. This modular extension enables more detailed simulations of land-atmosphere exchanges, crucial for accurate weather forecasting and climate research ([Ju et al. 2022](#); [Li et al. 2022](#)). By integrating more complex biophysical interactions and feedback mechanisms, such as dynamic vegetation, urban canopy models, and varied soil moisture transfer functions, Noah-MP offers a sophisticated tool for researchers aiming to better understand and predict the interactions between the land surface and the atmosphere.

The Noah-MP land surface model is distinguished by its flexibility in simulating a wide range of physical processes through customizable options that enhance model adaptability and precision. In Table 2.3, we detail the configurable physical process options available within the Noah-MP land surface model.

In Noah-MP, there are 71 standard parameters which are included in four tables: MPTable.tbl, VegParm.tbl, SoilParm.tbl, GenParm.tbl, which are tables in Noah-MP describing carbon storage, physiology, radiation, runoff, snow energy, snow water, soil energy, soil-physiology interactions, soil water, transfer factor, vegetation structure, and volatile organic carbon parameters.

Table 2.3 Noah-MP parameterization options and options chose in this study.

Physical Process	Available Options	Options Chosen
Vegetation (dveg)	1. Prescribed LAI and shade fraction 2. LAI and shade fraction calculated from dynamic simulation of carbon uptake and partitioning 3. Shade fraction calculated from prescribed LAI	1. Prescribed LAI and shade fraction
Stomatal resistance (opt_crs)	1. Ball-Berry (Ball et al. 1987) 2. Jarvis (Chen et al. 1996)	1. Ball-Berry
Soil moisture factor for stomatal resistance (opt_btr)	1. Noah type (Chen et al. 1996) 2. CLM type (Oleson et al. 2010) 3. SSiB type (Xue et al. 1991)	1. Noah type
Runoff and groundwater (opt_run)	1. TOPMODEL with groundwater (Niu et al. 2007) 2. TOPMODEL with equilibrium water table (Niu et al. 2005) 3. Original surface and subsurface runoff (free drainage) (Schaake et al. 1996) 4. BATS surface and subsurface runoff (free drainage) (Yang and Dickinson 1996)	1. original surface and subsurface runoff (free drainage)
Surface layer drag coefficient (opt_sfc)	1. Monin-Obukhov (Brutsaert 1982) 2. Original Noah (Niu and Yang 2006)	1. Monin-Obukhov
Supercooled Liquid Water option (opt_frz)	1. No iteration (Niu and Yang 2006) 2. Koren's iteration (Koren et al. 1999)	1. No iteration
Soil Permeability option (opt_inf)	1. Linear effects (Niu and Yang 2006) 2. Non-linear effects (Koren et al. 1999)	1. Linear effects
Radiative Transfer (opt_rad)	1. Modified two-stream (Niu and Yang 2004; Yang and Friedl 2003) 2. Two-stream applied to grid-cell 3. Two-stream applied to vegetated fraction	3. Two-stream applied to vegetated fraction
Ground Surface Albedo (opt_alb)	1. BATS (Yang et al. 1997) 2. CLASS (Verseghy 1991)	2. CLASS
Precipitation Partitioning between snow and rain (opt_snf)	1. Jordan (Jordan 1991) 2. BATS: Snow when $T_{air} < T_{frz} + 2.2K$, where T_{frz} is a constant 3. Snow when $T_{air} < T_{frz}$ 4. Use WRF precipitation partitioning	1. Jordan

The numerous physical options provided by Noah-MP can lead to hundreds of possible combinations, each potentially activating or deactivating different sets of parameters. Based on the selected options shown in Table 2.3, the details of the active parameters and their corresponding physical explanations are summarized in Table 2.4.

Table 2.4 Noah-MP standard vegetation parameters with description, units, category, range, and involved physics.

Parameter	Description	Units	Category	Range	Processes involved
Z0MVT	Momentum roughness length	m	VegS	0.06 – 1.10	VEGE_FLUX(vegetation fluxes)
HVT	Height of top of canopy	m	VegS	$\max([1.0, 0.5 \cdot \alpha]) - \min([20.0 - 2.0 \cdot \alpha])$ $\alpha=2$ for crops	VEGE_FLUX(vegetation fluxes) PHENOLOGY
HVB	Height of bottom of canopy	m	VegS	$0.1 \cdot \text{HVT} - 0.9 \cdot \text{HVT}$	RADIATION (when radiation option is 1: Modified two-stream) PHENOLOGY
RC	Tree crown radius	–	VegS	0.08 – 3.60	RADIATION (when radiation option is 1: Modified two-stream)
LAI	Leaf area index multiplier	$\text{m}^2 \text{m}^{-2}$	VegS	0 – 5	SURRAD(surface radiation) CANWATER(canopy hydrology) Emissivity
SAI	Stem area index multiplier	$\text{m}^2 \text{m}^{-2}$	VegS	0 – 5	Same with LAI
RSMAX	Maximal stomatal resistance	s m^{-1}	VegS	2000 – 5000	VEGE_FLUX(when stomatal resistance option is 2: Jarvis)

Table 2.5 Noah-MP standard radiation parameters with description, units, category, range, and involved physics.

Parameter	Description	Units	Category ^a	Range	Processes involved
RHOL-vis	Leaf reflectance in visible spectrum	–	Rad	0 – 0.11	RADIATION (two stream)
RHOL-nir	Leaf reflectance in NIR	–	Rad	0 – 0.58	Same with RHOL-vis
RHOS-vis	Stem reflectance in visible spectrum	–	Rad	0 – 0.36	Same with RHOL-vis
RHOS-nir	Stem reflectance in NIR	–	Rad	0 – 0.58	Same with RHOL-vis
TAUL-vis	Leaf transmittance in visible spectrum	–	Rad	0 – 0.07	Same with RHOL-vis
TAUL-nir	Leaf transmittance in NIR	–	Rad	0 – 0.25	Same with RHOL-vis
TAUS-vis	Stem transmittance in visible spectrum	–	Rad	0 – 0.22	Same with RHOL-vis
TAUS-nir	Stem transmittance in NIR	–	Rad	0 – 0.38	Same with RHOL-vis
RGL	Parameter used in radiation stress function	–	Rad	30 – 1000	VEGE_FLUX(when stomatal resistance option is 2:Jarvis)

Table 2.6 Noah-MP standard soil parameters with description, units, category, range, and involved physics.

Parameter	Description	Units	Category ^a	Range	Processes involved
BEXP	Pore size distribution index	–	SoilW	4.26 – 11.55	SOILWATER(when Runoff and Groundwater option is 2: TOPMODEL with equilibrium water table)
					SOILWATER(when Soil Permeability option 1: Linear effects)

					VEGE_FLUX(when Soil Moisture Factor for Stomatal Resistance option is 2:CLM and 3:SSiB) PHASECHANGE Soil water evaporation (when surface evaporation resistance option is 1: Sakaguchi and Zeng, 2009)
DKSAT	Saturated soil hydraulic conductivity	m s^{-1}	SoilW	1e-6 – 1.4e-5	SOILWATER(when Soil Permeability option 1: Linear effects)
DWSAT	Saturated soil hydraulic diffusivity	$\text{m}^2 \text{s}^{-1}$	SoilW	5e-6 – 1.4e-5	SOILWATER(when Soil Permeability option 1: Linear effects)
PSISAT	Saturated soil matric potential	m m^{-1}	SoilW	0.036 – 0.468	VEGE_FLUX(when Soil Moisture Factor for Stomatal Resistance option is 2:CLM and 3:SSiB): SOILWATER(when Runoff and Groundwater option is 2: TOPMODEL with equilibrium water table) PHASECHANGE(melting/freezing of snow water and soil water)
SMCMA X	Porosity	$\text{m}^3 \text{m}^{-3}$	SoilW	0.4 – 0.7	VEGE_FLUX(when Soil Moisture Factor for Stomatal Resistance option is 2:CLM and 3:SSiB): Soil water evaporation (all options) THERMOPROP(soil thermal property) PHASECHANGE

					SOILWATER(when Runoff and Groundwater option is 2: TOPMODEL with equilibrium water table)
SMCREF	Field capacity	$\text{m}^3 \text{m}^{-3}$	SoilW	$\frac{1}{3} \times \text{SMCMA} - \text{SMCMAX}$	VEGE_FLUX(when Soil Moisture Factor for Stomatal Resistance option is 1: Noah)
SMCWL T	Wilting point soil moisture	$\text{m}^3 \text{m}^{-3}$	SoilW	SMCDRY – SMCREF	VEGE_FLUX(when Soil Moisture Factor for Stomatal Resistance option is 1: Noah)
					SOILWATER(when Runoff and Groundwater option is 2: TOPMODEL with equilibrium water table)
					Soil water evaporation (when surface evaporation resistance option is 1)
CSOIL	Volumetric soil heat capacity	$\text{J m}^{-3} \text{K}^{-1}$	SoilE	$2\text{e}^6 - 3\text{e}^6$	THERMOPROP(soil thermal property)
QUART Z	Soil quartz content	$\text{m}^3 \text{m}^{-3}$	SoilE	0.25 – 0.82	THERMOPROP(soil thermal property)

Abbreviations in the categories: C:Carbon; Input: Input; Phys: Physiology; Rad: Radiation; Run: Runoff; SnowE: Snow energy; SnowW: Snow water; SoilE:Soil energy; SoilP: Soil-Physiology interactions; SoilW: Soil water; Trans: Transfer; VegS: Vegetation structure; VOC: Volatile organic carbon.

The explanation column illustrates how changes in parameter values affect land surface processes in Noah-MP. The => arrow indicates a positive effect (i.e., A increase results B increase, or A decrease results B decrease), while -> arrow indicates a negative effect.

2.4.2 CH₄ Emission Inverse Modeling

Current efforts to measure CH₄ involve a combination of bottom-up and top-down methods ([Delwiche et al. 2021](#); [Frankenberg et al. 2005](#); [Jacob et al. 2016](#); [Karion et al. 2015](#); [Parker et al. 2011](#); [Röckmann et al. 2016](#); [Shaw et al. 2021](#); [Toon et al. 2009](#); [Tsuboi et al. 2013](#)), each

providing essential insights into different spatial and temporal scales. Despite advances in measurement technologies and methodologies, there remains a pressing need for higher resolution data, improved spatial coverage, and better integration between observation systems to reduce uncertainties in emission estimates([Erland et al. 2022](#); [Lyon et al. 2015](#); [Sawyer et al. 2022](#)). Addressing these gaps is critical for developing more accurate inventories, refining climate models, and implementing effective mitigation strategies.

In the bottom-up emission inventory method, emissions from sources like valves, pumps, or wellheads (commonly found in the oil and gas industry) are either sampled or modeled based on their leak rates, which are determined using specific instrumentation. Once a representative leak rate is established, it is multiplied by the number of components (e.g., valves, wellheads) to estimate total emissions, often calculated at the facility or basin level for the oil and gas sector. A typical example of this is the EPA Greenhouse Gas Inventory (GHGI), which uses asset-based emission factors. While this approach can estimate emissions across a broad scope, it does not identify individual emitters, making it less useful for precise emissions reporting or for achieving targeted reductions, such as in net-zero initiatives.

On the other hand, the top-down emission inventory method estimates methane emissions by measuring atmospheric concentrations of CH₄ using airborne or satellite sensors. The data collected over a given area is then disaggregated to estimate the emission rates from specific components or facilities. However, both bottom-up and top-down method in estimating CH₄ have big uncertainties, which will be further introduced in Section 2.4.3. The key challenge in top-down methods is converting measured CH₄ concentrations into accurate emission rates, which is critical for developing an emissions inventory that can meaningfully guide emissions reduction strategies. Current methods are in Table 2.7.

Table 2.7 CH₄ flux inverse modeling approaches

Principle Category	Method	Description	Strengths	Limitations	References
Mass Conservation Based	Local Mass Balance	Estimates CH ₄ emissions by integrating the concentration across a defined plane downwind of the source.	Simple to implement; useful for local or regional flux estimation	Highly dependent on accurate wind speed and direction; Often need aircraft campaign to collect vertical CH ₄ profile	Cambaliza et al. (2014) ; Johnson and Johnson (1995) ; Spokas et al. (2006)
	Gauss's Theorem Method	The outward flux summed along a contour surrounding the point source	Closed circle, good for single or contained sources	Highly dependent on accurate wind speed and direction; also need CH ₄ concentration observations	Conley et al. (2017) ; Ryoo et al. (2019)
	Integrated Mass Enhancement	Based on satellite observations: The total mass enhancement in the plume is related to the magnitude of emission with a parameterization dependent on wind speed.	Useful for large-scale emissions detection and satellite data utilization	Relies on assumptions about atmospheric mixing and wind patterns; cannot resolve small-scale or intermittent emissions	Bhardwaj et al. (2022)
	Angular Width	Based on satellite observations: Infer source rates without independent wind information	Provides an alternative to wind-measurement-dependent models; useful when meteorological data is unavailable	Assumes uniform plume dispersion; sensitive to plume geometry and wind patterns	Jongaramrungruang et al. (2019)

		by using the plume angular width as a measure of wind speed.	cal data is limited		
	Tracer Correlation Method	Releasing known quantities of tracer gas(es), e.g., N ₂ O close to a suspected source, the unknown methane flow was deduced based on the known tracer flow and the measured concentration enhancements	Doesn't require detailed atmospheric dispersion modeling; can be deployed in varied environments	Requires separate tracer gas release, which can be logistically difficult	Galle et al. (2001) ; Sisterson et al. (2016)
Gaussian Dispersion Based	Gaussian Plume Model	Assumes the CH ₄ concentration emitted from a point source follows a Gaussian distribution in space.	Well-suited for point-source emissions; simple and easy to use	Highly dependent on accurate wind speed and direction; also need CH ₄ concentration observations	Grauer et al. (2018) ; Matacchiera et al. (2019) ; Varon et al. (2018)
Lagrangian Particle Dispersion Based	Lagrangian Stochastic Particle Dispersion Model	Simulates the transport and dispersion of CH ₄ using particle trajectories in the atmosphere.	More accurate than Gaussian models in complex environments; accounts for surface roughness and non-uniform meteorological conditions	Highly dependent on accurate meteorological inputs	Gao et al. (2008) ; Gao et al. (2009) ; Laubach and Kelliher (2005)

Bayesian Theory Based	Ensemble Kalman Filter (EnKF)	Assimilates CH ₄ observational data to iteratively update model states, using an ensemble forecast.	Dynamically accounts for uncertainties in model states and measurements; handles time-dependent variations	Computationally demanding; requires a high-quality ensemble of meteorological inputs	Bisht et al. (2023) ; Platonova and Klimova (2021)
	Variational Method (4DVar)	the model's posterior over time is adjusted to minimize the discrepancies between the model output and observations of methane emissions over a time window.	Allows for dynamic error evolution, providing detailed spatiotemporal corrections of methane fluxes.	Computationally expensive and requires an adjoint model, which can be difficult to develop.	Bannister and Wilson (2024) ; Wilson et al. (2014)
	Markov Chain Monte Carlo	It samples from the posterior distribution of model parameters or state variables, providing a probabilistic estimate by generating a large number of samples.	Provides a thorough exploration of parameter space; useful for uncertainty quantification in methane emissions estimation	Can be slow to converge; computationally demanding	Western et al. (2021)
	Other Bayesian inference Based Methods	Bayesian inference techniques estimate CH ₄ emissions by iteratively updating a prior emission	Uses probabilistic framework; effectively incorporates uncertainties in the model and data,	Computationally expensive; sensitive to prior assumptions, and convergence can be slow	Cusworth et al. (2021b) ; Thompson et al. (2017)

		estimate using observational data and optimizing the posterior distribution of the emission.	handles non-linear dynamics well	depending on the complexity of the model	
Machine Learning Based	Convolutional Neural Network	Machine learning-based approach that learns from observed methane concentrations to estimate emission sources by identifying spatial patterns.	Highly adaptable to large datasets; can discover complex, non-linear relationships between emissions and observed concentrations	Requires large amounts of data to train; susceptible to overfitting, and can be difficult to interpret	Jahan et al. (2024)
	Random Forests	Machine learning approach that aggregates decision trees to predict methane emissions based on various input variables, such as meteorological conditions and observed concentrations.	Handles complex interactions between variables well; robust against overfitting with appropriate tuning	Requires careful feature selection; may not generalize well beyond training data	Irvin et al. (2021) ; Räsänen et al. (2021)

2.4.3 Current CH₄ inventories

CH₄ inventories are essential inputs for atmospheric models, as they provide a comprehensive understanding of CH₄ emissions from various sources across different regions and

sectors ([Sicard et al. 2021](#); [Zhang et al. 2012](#)). These inventories help quantify the amount and distribution of CH₄ released into the atmosphere, allowing models like WRF-Chem to simulate CH₄ transport, transformation, and its overall impact on climate ([Beck et al. 2013](#); [Callewaert et al. 2022](#)).

Accurately estimating CH₄ emissions from livestock remains a significant challenge due to various uncertainties inherent in both bottom-up and top-down inventory approaches. These uncertainties arise from the reliance on input data, methodological assumptions, and the limitations of current observational and modeling frameworks.

Bottom-up inventories estimate CH₄ emissions by combining activity data, such as livestock population counts, with emission factors that quantify CH₄ released per animal under specific conditions. While this approach provides detailed sectoral insights, several sources of uncertainty compromise its accuracy.

The primary sources for activity data in U.S. livestock CH₄ inventories are FAOSTAT ([Tubiello et al. 2013](#)) and USDA [Boryan et al. \(2011\)](#), which compile information on livestock population counts and associated agricultural practices. However, uncertainties arise due to variations in reporting methods, time lags, and generalizations about livestock management practices, such as diet and housing conditions. For the manure management, the distribution of manure in different manure management systems is also a source of uncertainty ([Hristov et al. 2017](#); [Solazzo et al. 2021](#)). Additionally, the coarse spatial resolution activity data often fails to capture regional or localized differences in livestock density, particularly in areas with concentrated animal feeding operations. Temporal changes, such as shifts in livestock numbers or management practices driven by economic or environmental factors, further contribute to uncertainties in activity data.

Emission factors (EFs), which quantify CH₄ emissions per animal, also introduce significant uncertainties. These factors depend on processes like enteric fermentation and manure management and are derived from empirical studies generalized for global or national applications. Variability in livestock type, diet, digestion efficiency, and metabolic rates leads to substantial differences in enteric fermentation emissions. Similarly, manure management emission factors are influenced by environmental conditions such as temperature, moisture, and storage practices. Studies ([Solazzo et al. 2021](#)) suggest that EF uncertainties in global inventories like EDGAR can reach $\pm 50\%$. Furthermore, emission factors are often derived from limited field studies, lacking region-specific data to reflect local agricultural practices accurately.

Another critical source of uncertainty is the interdependence of bottom-up inventories. Many inventories are not entirely independent, as they frequently rely on shared activity data sources. For example, both EDGAR and USEPA inventories use livestock activity data from FAOSTAT and USDA, propagating shared uncertainties. Additionally, other livestock-specific inventories like the Community Emissions Data System (CEDS, [Hoesly et al. \(2018\)](#)) often reference EDGAR or USEPA data, further amplifying shared errors and assumptions.

Top-down inventories estimate CH₄ emissions by combining atmospheric CH₄ concentration measurements with inverse modeling techniques. These approaches can improve the spatial representation of emissions, but they are not free from significant uncertainties.

One major limitation of top-down inventories like [Basu et al. \(2022\)](#); [Nesser et al. \(2024\)](#); [Worden et al. \(2022\)](#) is their dependence on bottom-up inventories as prior inputs to guide the optimization process. As a result, uncertainties in activity data and emission factors from bottom-up methods propagate into top-down estimates. Furthermore, observational data from satellite instruments like TROPOMI and GOSAT introduces its own uncertainties. Retrieval algorithms

are affected by factors such as cloud cover, surface reflectance, and instrument calibration, while spatial and temporal resolution limitations may miss small-scale or episodic emissions([Worden et al. 2022](#)).

To address the limitations of coarse-resolution bottom-up and top-down approaches, local-scale inventories have emerged as a promising solution. These inventories focus on specific, small-scale emission sources and leverage high-resolution measurement techniques to complement larger-scale inventories.

One such approach is the mass balance method, a top-down technique that estimates CH₄ emissions from atmospheric CH₄ concentrations by integrating wind speed and direction to calculate fluxes. This method has proven effective for capturing emissions from localized sources, such as CAFOs and feedlots. However, its accuracy depends on the availability of high-quality meteorological data and precise CH₄ measurements, which are not always accessible in all regions.

Local-scale inventories provide critical insights into emission hotspots often underestimated or missed in national or global inventories. By incorporating high-resolution observations and focusing on specific sources, these inventories help refine broader CH₄ estimates and improve the accuracy of emission inventories.

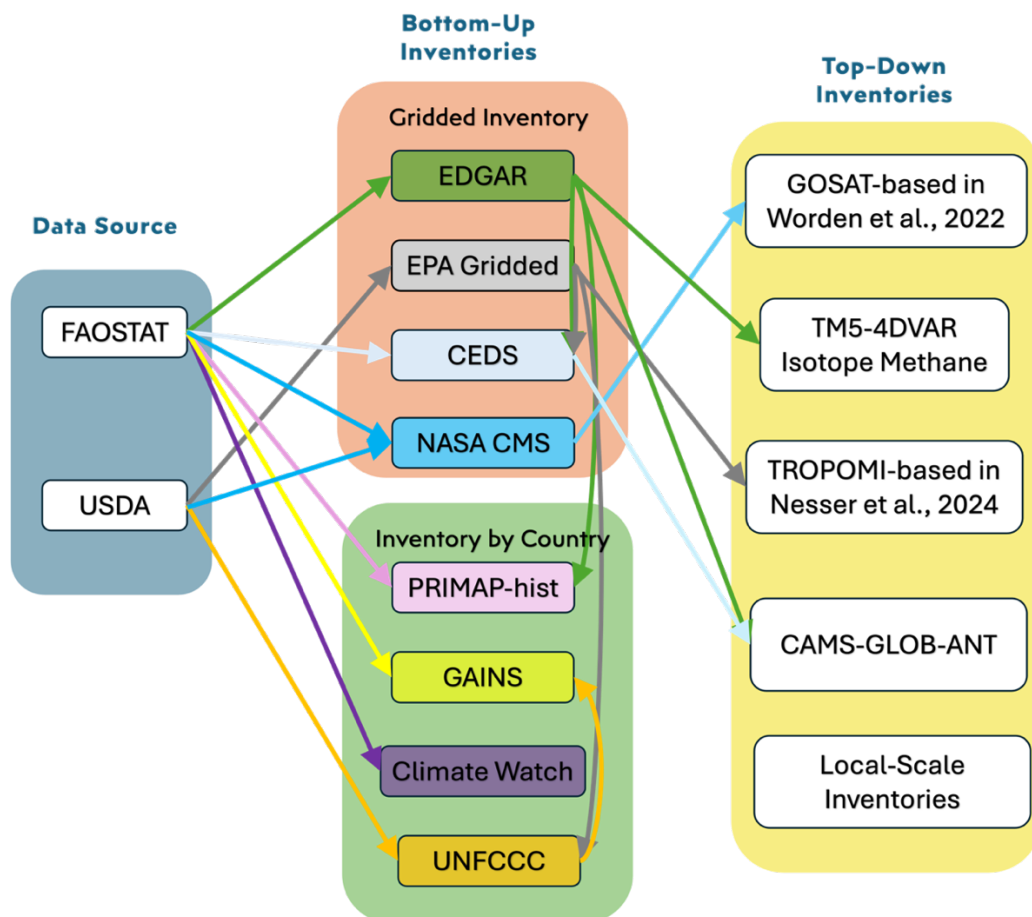


Figure 2.3 Overview of current bottom-up and top-down CH₄ inventories with their data sources, dependencies, and interconnections.

Chapter 3 Noah-MP Parameter Optimization at Southern Great Plains Using Bayesian Optimization

3.1 Introduction

Land-surface processes are of utmost importance in understanding energy, mass, and momentum exchanges and re-distributions between land and the atmosphere. Characterization of the critical mechanisms that underpin land-surface processes is fundamental in quantifying and distinguishing the interactions and feedback between the planetary boundary layer (PBL), the land surface, and the subsurface layer under different soil-vegetation system conditions ([Kollet and Maxwell 2008](#)). Most published studies indicate a tight correlation between surface energy fluxes, especially sensible heat fluxes (HFX) and latent heat fluxes (LH), and the structure and evolution of the PBL ([Betts et al. 1996](#); [Helbig et al. 2021](#); [Jaeger et al. 2009](#); [Sellers et al. 1997a](#); [Wang and Dickinson 2012](#)).

Over the past few decades, land surface models (LSMs) have undergone significant development, evolving from simple bucket models ([Fisher and Koven 2020](#); [Pitman 2003](#)) to more complex models that incorporate the various interactions and feedbacks between physical, chemical, and biological processes. Despite these advancements, the accuracy of these LSMs such as the community Noah LSM with multiparameterization options (Noah-MP) is often compromised by inherent biases. These biases in current land surface models such as Noah-MP primarily originate from three primary errors ([Clark et al. 2011](#); [Duan et al. 2006](#); [Wang et al. 2009](#)): (1) an imperfect model structure; (2) inappropriate model parameter values (PVs); and (3) incorrect model inputs, including meteorological forcing data and initial surface conditions.

Numerous research efforts have concentrated on addressing the imperfections inherent in model structures ([Collatz et al. 1991](#); [Deardorff 1978](#); [Dickinson 1984](#); [Dickinson et al. 1998](#);

[Jarvis 1976](#); [Manabe 1969](#); [Milly and Shmakin 2002a, 2002b](#); [Niu et al. 2011](#); [Sellers et al. 1997b](#); [Yang et al. 2011](#)). However, the imperfections of model structure can be difficult to address because they are often rooted in the fundamental assumptions and approximations used in model development. Making changes to the model structure can require a significant amount of time and resources and may also require a deep understanding of the underlying physical processes being simulated. On the other hand, significant strides have been made in improving the accuracy of model inputs, particularly meteorological forcing data. These improvements have been driven by advances in observational technologies, such as satellite remote sensing and weather radar, as well as by the development of more sophisticated data assimilation techniques ([Dee et al. 2011](#); [Gelaro et al. 2017](#); [He et al. 2020](#); [Kobayashi et al. 2015](#)). Another effective strategy for reducing the biases in LSMs is by modifying the model parameters. LSMs often rely on empirical equations with adjustable parameters that can be fine-tuned to enhance model performance, accounting for varying soil types, vegetation cover, and meteorological conditions ([Cuntz et al. 2016](#)). The applicability of these parameters can vary depending on the model's scale and resolution. For instance, a global-scale model can necessitate different parameters compared to a regional or local model, reflecting the diversity in land characteristics at different scales ([Clark et al. 2015](#); [Fang et al. 2016](#)).

Sensitivity analyses have revealed LSM's responsiveness to variations in soil and vegetation parameters, impacting not only hydrological cycles but also energy and carbon fluxes ([Arsenault et al. 2018](#); [Lu et al. 2013](#); [Prihodko et al. 2008](#); [Rosolem et al. 2012](#)). Given that such sensitivity is highly site-specific, a subtle calibration of these parameters is essential. Taking the SGP site as an example, where the soil classification in the county (Grant County, Oklahoma) varies from sandy to clayey soils ([Williams et al. 1985](#)). In LSMs, variations in soil composition are reflected

through distinct soil parameter values. These soil parameters in LSMs are of vital importance as they directly affect the simulation of multiple processes, including the energy and water balance at the Earth's surface ([Garrigues et al. 2018](#); [He et al. 2023](#); [Montaldo et al. 2003](#)). These processes, in turn, have significant impacts on the atmosphere, weather, and climate. Accurate soil parameter selections in LSMs are crucial for enhancing the modeling of land-atmosphere interactions. Soil parameters not only describe the physical properties of the soil, but also influence the simulated vegetation characteristics, such as stomatal resistance. Thus, the careful selection and adjustment of soil parameters is crucial for the tailored application and accuracy of models like Noah-MP, ensuring that they reflect the dynamic and variable nature of land-surface processes.

An offline LSM refers to an independent simulation, without real-time integration or data exchange with other models like WRF. While offline LSMs are valuable for direct surface energy budget assessments, they fall short in capturing the dynamic interactions with the atmosphere ([Laguë et al. 2019](#)). Coupled land-atmosphere models, like WRF/Noah-MP, address the interactions by coupling terrestrial and atmospheric processes, which enables the representation of complex feedback mechanisms ([Blyth et al. 2021](#)). For instance, high HFX accompanied by PBL growth might increase PBL turbulence, and in turn alter HFX and LH ([Misra 2020](#)). One primary motivation for using coupled models like WRF/Noah-MP is to understand the complex interactions between the land surface and the atmosphere. Furthermore, coupled models enable researchers to study the potential impacts of land-use changes on local and regional climate, assess the feedback mechanisms arising from various terrestrial and atmospheric interventions, and provide more holistic predictions in the face of evolving climate change scenarios.

Addressing the computational challenges inherent in parameter optimization in WRF/Noah-MP, our study leverages Bayesian Optimization (BO), a technique selected for its

efficiency and speed. Traditional parameter optimization methods, such as Markov Chain Monte Carlo (MCMC), require an impractical number of iterations (10^4 – 10^6 iterations) to achieve convergence ([Hassan et al. 2009](#); [Lu et al. 2017](#); [Ma et al. 2022](#); [Tan et al. 2022](#)) — potentially taking years for completion even with our idealized single-column WRF/Noah-MP. BO offers a tractable alternative that accelerates the discovery of optimal solutions, thereby facilitating global optimization within a feasible time frame. This is particularly critical when model time steps are less than one minute, where computational demands escalate dramatically.

This study builds on this foundation, delving into the sensitivity of WRF/Noah-MP to vegetation, soil, and general parameters. As detailed in section 3.3.1, we identified seven critical parameters through sensitivity analysis. These parameters include the pore size distribution index (BEXP), saturated soil hydraulic conductivity (DKSAT), saturated soil hydraulic diffusivity (DWSAT), porosity (SMCMAX), volumetric soil water content at field capacity (SMCREF), wilting point soil moisture (SMCWLT), and soil quartz content (QUARTZ), all optimized using BO to ascertain their effect on atmospheric state and flux variables. The selection of a nine-day period from 2-10 April and the April and May 2016 alfalfa growing season at the Southern Great Plains (SGP) site was strategic, aiming to reflect the unique soil and vegetative characteristics of the location.

Through the calibration of optimized parameters against the primary soil constituents—sand, silt, and clay, our goal is to deepen our understanding in land-atmosphere exchanges and adjust the Noah-MP model to represent energy fluxes and near-surface meteorological state variables more accurately that are relevant to specific site characteristics.

Ultimately, this manuscript presents a comprehensive optimization study that contributes to the fine-tuning of LSMs for improved simulation accuracy, with broader implications for

meteorological forecasting and climate science and the potential for such methodologies to be extended to regional scales.

3.2 Data

3.2.1 AmeriFlux observations

Thermodynamic and kinetic observations of the atmosphere and soil along with eddy-covariance tower flux measurements from the AmeriFlux dataset located at the ARM SGP site (US-ARM, [Biraud et al. \(2023\)](#)) in Lamont, Oklahoma (Latitude: 36.6058°, Longitude: -97.4888°) are used for the parameter optimization: HFX, LH, and wind speed (WS) observations at 4.65m, air temperature and specific humidity observations at 3.94m, and top-layer soil moisture (SMOIS), top-layer soil temperature (TSLB) centered at 0.1 m under soil surface are used in the cost function (discussed later in Section 3.3.2) to determine the optimal set of parameter values for this site. The soil observations at 0.1m, 0.3m, 0.5m, and 1.0m below the ground surface are used to initialize the soil conditions in WRF/Noah-MP, The initial soil conditions in the model are based on measurements of soil moisture and temperature taken at depths of 0.1m, 0.3m, 0.5m, and 1.0m below the ground surface at SGP site. The Balloon-Borne Sounding System (SONDEWNP, [Keeler et al. \(2019\)](#)) soundings at SGP site are also used in WRF/Noah-MP to initialize the atmospheric profile, including the u-component and v-component wind speeds, potential temperature, and atmospheric specific humidity taken at 25 different levels, starting from near the surface (about 1m above the ground) and extending up to approximately 16 km.

SGP site is characterized by an annual crop rotation system including winter wheat, corn, soybean, and alfalfa on a silt-clay-loam soil. Management records indicate that in April and May 2016, alfalfa was planted at SGP site. The management practices implemented at the SGP site

during the period from May through September 2016 included multiple plantings, hay baling, herbicide applications, and fertilization. These practices are known to have diverse impacts on vegetation canopy, phenology, and carbon dynamics, as indicated by previous studies ([Campioli et al. 2015](#); [Wilson et al. 2013](#); [Zhou et al. 2017](#)). The selection of observations from April and May 2016 was motivated by the persistently high values of net ecosystem exchange (NEE) during this period according to AmeriFlux NEE observations, indicating vigorous plant growth and substantial carbon sequestration within plant tissues. The impacts from management activities can be complicated, for example, previous studies suggested that the conventional tillage typically reduces soil compaction within the tilled layer, leading to an increase in total porosity when compared to no-tillage practices. However, the impact on the distribution of different sized pores varies with soil type ([Lipiec et al. 2006](#); [Schjønning and Rasmussen 2000](#)). Until May 25th, 2016, no management activities were documented, ensuring minimal external disturbances during this time frame. More details about the SGP site and the measurements are introduced in [Sisterson et al. \(2016\)](#).

3.2.2 Model configuration

The single-column WRF model (V3.9) is forced by the European Centre for Medium-Range Weather Forecasts (ECMWF) ERA5 ([Hersbach et al. 2018](#)) and ERA5-land reanalysis ([Muñoz Sabater 2019](#)) 6-hourly on 25 pressure levels. To investigate the effects of different forcing datasets, we also tested the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2, ([Global 2015](#))) reanalysis data to drive our WRF/Noah-MP simulations. The resultant simulations driven by the two reanalysis exhibited negligible differences. The single-column simulation is conducted with $4 \text{ km} \times 4 \text{ km}$ grid spacing centered at the SGP site – Crop type at SGP was all alfalfa in the grid in the study period. The model output domain has 47 vertical layers

extending from the surface to 50 hPa. Noah-MP is implemented in WRF and provides 10 parameterization options representing 10 distinct land surface states and processes. The parameterization options in Noah-MP we chose are listed in Table 3.1, which are commonly used as default options (provided from the user's guide in [Niu \(2011\)](#)). Table 3.2 lists the WRF model configuration, which includes the Dudhia shortwave radiation scheme ([Dudhia 1989](#)), the rapid radiative transfer model (RRTM, [Mlawer et al. \(1997\)](#)) for longwave radiation, the Noah-MP land surface model ([Niu et al. 2011](#); [Yang et al. 2011](#)), and the Yonsei University PBL scheme ([Hong et al. 2006](#)). The single-column WRF/Noah-MP offers a simplified approach. Unlike a 3D model, it lacks interactions and connections with surrounding columns. Precipitation prediction within a model can be intricate, leading to potential inaccuracies the single-column WRF/Noah-MP simulating precipitation. Notably, from 2-10 April, the weather was fair. To prevent imprecise simulations of precipitation and cumulus and avoid time periods with precipitation in the optimization, we disabled the microphysics and cumulus options. The Noah-MP model calculates the temperature and phase changes for the canopy, snowpack, and soil by taking into account the energy balance of the canopy and ground. Therefore, it does not include the simulation of energy balance residuals (threshold $0.01 \text{ W} \cdot \text{m}^{-2}$), which are commonly observed in eddy covariance measurements ([Foken 2008](#)).

In Noah-MP, Leaf Area Index (LAI) directly influences radiation (reflection, transmission, and absorption by vegetation), latent heat flux (canopy evaporation, transpiration), and CO₂ flux, with indirect effects like cooling from latent heat flux changes. In this study, prescribed LAI option is chosen in Noah-MP — LAI is calculated based on a linear interpolation between the specified LAI values for each day of the simulation and the LAI value from the previous month or the next month, which assumes that the LAI values change smoothly over time within each month. If the date falls

before the 15th of the month, the daily LAI is based on both the previous month's and the current month's monthly LAI. Conversely, if the date falls on or after the 15th, the LAI is based on both the current month's and the following month's monthly LAI.

Table 3.1 Options chosen in Noah-MP in this study.

Physical process	Option used
Dynamic Vegetation	Prescribed LAI and shade fraction
Stomatal Resistance	Ball-Berry (Ball et al. 1987)
Soil moisture factor for stomatal resistance	Noah type (Chen et al. 1996)
Runoff and groundwater	SIMGM: based on TOPMODEL (Niu et al. 2007)
Surface layer drag coefficient	Monin-Obukhov
Supercooled liquid water	Standard freezing point depression (Niu and Yang 2006)
Frozen soil permeability	Uses total soil moisture to compute hydraulic properties (Niu and Yang 2006)
Radiation transfer	Two-stream with canopy gap equal to one
Snow albedo	CLASS (only considers overall snow age) (Verseghy 1991)
Frozen/liquid partitioning	Based on Jordan (1991)
Soil temperature lower boundary condition	Zero heat flux

Table 3.2 Summary of the configuration for the single-column WRF.

Physical process	Option used
Short wave radiation	Dudhia
Long wave radiation	Rapid radiative transfer model (RRTM)
Boundary layer	YSU
Microphysics	WRF single moment microphysics 5-class
Cumulus	Betts-Miller-Janjic
Land surface model	Noah-MP
Vertical levels	47
Horizontal resolution	4 km × 4 km
Time step	24 s
Meteorological initial conditions	OUN radiosonde soundings
Boundary conditions	Open boundary conditions
Meteorological forcing data	ERA5
Soil initial conditions	AmeriFlux measurements at SGP site

The default monthly LAI values in Noah-MP may not accurately represent the actual vegetation cover, hence we utilize the NOAA Climate Data Record (CDR) of AVHRR LAI as a more precise alternative ([Vermote 2019](#)), which is at a resolution of $0.05^\circ \times 0.05^\circ$. In the case of alfalfa growth at ARM in 2016, crop management recordings suggest that the alfalfa was laid down on May 25th to be prepared for baling, which may result in a decrease in LAI. Consequently, our simulations do not exhibit any abrupt decline in LAI or transpiration attributable to the harvesting event. Thus a linear regression was performed on the daily CDR LAI data, and manual LAI values of $1.344 \text{ m}^2 \cdot \text{m}^{-2}$, $1.450 \text{ m}^2 \cdot \text{m}^{-2}$, $1.561 \text{ m}^2 \cdot \text{m}^{-2}$, and $1.669 \text{ m}^2 \cdot \text{m}^{-2}$ were assigned for March, April, May, and June, respectively. These LAI values were used as inputs in Noah-MP to simulate the land surface processes during the growing season of alfalfa.

3.3 Methods

3.3.1 Sensitivity analysis

We applied a finite difference method, as introduced in [Lenhart et al. \(2002\)](#), to conduct a sensitivity analysis of the WRF/Noah-MP simulated model fields. This method estimates the partial derivative of the model's output in relation to each standard input parameter in the neighborhood of a chosen parameter value while holding all other parameters constant, which in this case includes vegetation, soil, and general parameters. By doing so, we aimed to identify the most sensitive parameters within the selected Noah-MP options, not to compute their exact values, but to identify which parameters the model output is most responsive to. Thus, we were able to assess the model's sensitivity to changes in active vegetation, soil, and general parameters in the vicinity of the default value. The sensitivity index is calculated by the finite difference centered on

the default parameter value, which is an estimation of the sensitivity of the model output y to changes in the parameter x ($\frac{\partial y}{\partial x}$):

$$\frac{dy}{dx} = \frac{y_2 - y_1}{2\Delta x}, \quad (3.1)$$

where Δx is the 25% of the default parameter value x_0 , y_2 (y_1) is the nine-day model output (i.e., 2-meter air temperature (T2), 2-meter specific humidity (Q2), HFX, LH, 10-meter wind speed (WS), SMOIS, and TSLB) simulated with $x_0 + \Delta x$ ($x_0 - \Delta x$), and all other parameters remain unchanged to be default silty-clay-loam values. Our methodology only involves daytime simulations (i.e., y_1 and y_2 are data obtained during the period of 8 AM to 8 PM local time from 2-10 April). We then determine the hourly difference between y_1 and y_2 and compute the mean of these differences. It is important to note that this method is most effective when applied to monotonic functions, however, it can still provide a general understanding of the sensitivity of the WRF/Noah-MP model to each parameter when used in a simple sensitivity analysis. Moreover, the sensitivity of the model's output relative to the parameters is subject to the length of the simulation employed for the sensitivity analysis.

3.3.2 Bayesian Optimization and Experiment design

BO is an efficient method to optimize objective functions which are expensive to evaluate. BO is designed to solve the problem of finding a global minimizer (or maximizer):

$$x^* = \underset{x \in A}{\operatorname{argmin}} f(x), \quad (3.2)$$

where x is the vector of tunable parameters in the space of interest A , and argmin represents the parameter set that yields the smallest possible values with given constraints. The method aims to find the x^* that achieves the minimum of the objective function $f(x)$ that depends on a “black-box” simulation model. In this study, the $f(x)$ is calculated as:

$$f(x) = \sum_{i=1}^7 \frac{1}{2\sigma_i^2} \sum_t |obs_i(x, t) - sim_i(x, t)|^2, (3.3)$$

where $obs_i(x, t)$ and $sim_i(x, t)$ are the hourly observations and simulations of the variable i from April 2nd 12UTC to 10th 12 UTC in 2016 at the SGP site; σ_i^2 is the variance of all $obs(t)$ in these 10 days of i . The seven variables i are HFX, LH, T2, Q2, WS, SMOIS, and TSLB. We utilized the scikit-optimize ([Head et al. 2021](#)) library to perform BO calculations efficiently. BO relies on Bayesian inference and uses a probabilistic model to predict the objective function's behavior based on the observations made so far. BO's use of probabilistic modeling and Bayesian inference provides an efficient and effective framework for optimizing complex objective functions with a limited number of evaluations, making it a popular choice for hyperparameter tuning in machine learning and other optimization problems ([Shahriari et al. 2015](#); [Wu et al. 2019](#)).

BO consists of two primary components: Gaussian process regression, which constructs a probabilistic model for the objective function, and an acquisition function, which directs the subsequent sampling point for evaluation.

First, a prior probability distribution over the function f is established through a set of initial samples. The sampling method and the number of the initial samples can determine how evenly the samples are distributed in the parameter space and how fast the convergence can be achieved. We used the Hammersley sampling method ([Diwekar and Kalagnanam 1997](#)). This approach provides an evenly distributed foundation for subsequent iterations, as evidenced by the uniformity shown in Figures 3d and 4d of [Diwekar and Kalagnanam \(1997\)](#).

Having a sufficient number of initial samples is advantageous for obtaining a more accurate initial estimate of the prior distribution of f . In [Diessner et al. \(2022\)](#), five initial samples per input dimension were used for BO. While augmenting the number of initial samples can enhance the

BO performance, it also increases computational time ([Munoz-González 2017](#)). Consequently, we opted for 70 initial samples, equal to 10 samples per input dimension, to balance efficiency and computational demand.

Following the allocation of 70 initial samples using the Hammersley sampling method, our BO algorithm progresses iteratively to refine the model. Each iteration begins with updating the Gaussian process' posterior probability distribution for the objective function f . The next sampling point x_n is selected by maximizing the acquisition function against this updated distribution, a step that navigates the trade-off between exploring new potential areas and exploiting known regions to optimize f . After evaluating f at x_n , we increment the iteration count and continue this cycle until the minimum of f value does not change in recent 50 iterations, which is considered converged. In our BO method, we employ the model from the final iteration as a surrogate to guide subsequent exploration. Specifically, we perform 10^6 iterations within a seven-dimensional parameter space, corresponding to the parameters outlined in Table 3.3, each of which spans a specific range also in Table 3.3. This iterative process, leveraging the surrogate model, allows us to efficiently explore the multi-dimensional parameter space and identify optimal or near-optimal values. Unlike the optimization component, this step can be done in parallel for each parameter set.

The acquisition function we chose is the expected improvement (EI), which is a common choice for acquisition function ([Ma et al. 2020](#)). One of the advantages of EI is that it automatically balances between exploration and exploitation based on the learned model. The parameter values tested in the iteration $n + 1$ is determined by the EI in the iteration n (EI_n):

$$x_{n+1} = \operatorname{argmax} EI_n(x), (3.4)$$

where *argmax* denotes the parameters that give the largest possible values with given constraints.

Table 3.3 The description and units of the parameters we optimized and physical processes they involved. The original values for sand, silt, clay, and silty clay loam of each parameter and the optimized values are also given.

Parameter	BEXP	DKSAT	DWSAT	SMCMAX	SMCREFT	SMCWL	QUARTZ
Description	Pore size distribution index	Saturated soil hydraulic conductivity	Saturated soil hydraulic diffusivity	Porosity	Volumetric soil water content at field capacity	Wilting point soil moisture	Soil quartz content
Sand	2.79	46.6×10^{-6}	2.65×10^{-5}	0.339	0.236	0.01	0.92
Silt	3.86	2.18×10^{-6}	1.66×10^{-5}	0.484	0.383	0.061	0.1
Clay	11.55	0.974×10^{-6}	1.22×10^{-5}	0.468	0.412	0.138	0.25
Optimization Range	2.79 – 11.55	0.974×10^{-6} – 46.6×10^{-6}	0.608×10^{-6} – 2.65×10^{-5}	0.339 – 0.484	0.236 – 0.412	0.01 – 0.138	0.0 – 1.0
Default Silty Clay Loam Value	8.72	2.08×10^{-6}	2.35×10^{-5}	0.464	0.387	0.12	0.1
Optimized Value (Percent change)	3.94 (-54.8%)	8.79×10^{-6} (+322.6%)	1.56×10^{-5} (-33.6%)	0.471 (+1.5%)	0.314 (-18.9%)	0.128 (+6.7%)	0.5 (+400%)
Units	–	m s^{-1}	$\text{m}^2 \text{s}^{-1}$	$\text{m}^3 \text{m}^{-3}$	$\text{m}^3 \text{m}^{-3}$	$\text{m}^3 \text{m}^{-3}$	$\text{m}^3 \text{m}^{-3}$
Involved Physical Processes	Soil hydraulic conductivity; Plant photosynthesis; Soil water evaporation	Soil hydraulic conductivity	Soil water diffusivity	Soil water evaporation; Soil thermal diffusivity and conductivity	Plant photosynthesis related to soil moisture	Plant photosynthesis related to soil moisture; Soil water evaporation	Soil thermal diffusivity and conductivity

As in Figure 3.1, we performed 300 iterations after the initial 70 iterations to assure convergence. In fact, the optimization process tends to converge at about 100 iterations after initial 70 iterations. However, to ensure convergence, additional iterations up to 300 were added to the optimization process.

The optimizations are based on nine fair-weather-day observations from April 2nd through 10th in 2016 at the SGP site. We then use the optimized parameters to run WRF/Noah-MP for a two-month period from April to May 2016 to determine if the optimization technique improves simulations throughout the alfalfa growing season in that year. One limitation of the idealized single-column WRF is the difficulty in accurately simulating precipitation since the model is only able to represent a single vertical column of the atmosphere and is not able to take into account the complex interactions and processes that lead to precipitation. In simulating the two-month period from April to May 2016, to overcome this limitation of inaccuracy in simulating precipitation, we restart the simulation at the time of precipitation event when there is an abrupt increase in soil moisture. Our single-column WRF/Noah-MP restarts with the observed soil moisture and SONDEWNPN soundings at SGP site on 11 April at 18 UTC, 17 April at 18 UTC, 20 April at 00 UTC, 27 April at 06 UTC, and 17 May at 12 UTC. This approach helps to avoid significant biases in the simulation of land surface moisture characteristics, allowing for more accurate results.

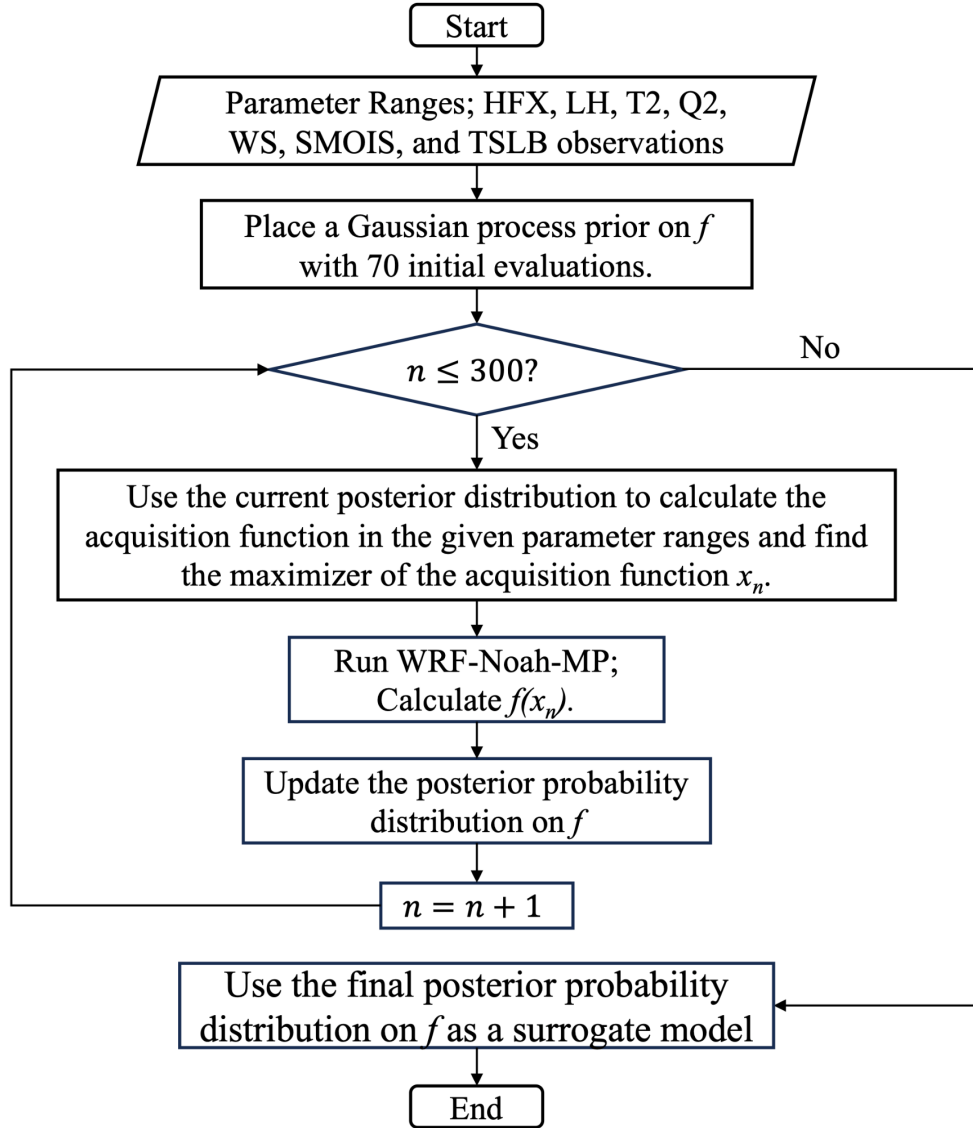


Figure 3.1 Schematic of the single column WRF/Noah-MP parameter optimization with BO.

3.4 Results

3.4.1 Sensitivity Test results

In our sensitivity analysis (described in Section 3.3.1), we examined the impact of 33 active parameters from MPTABLE.TBL, SOILPARM.TBL, and GENPARM.TBL on the WRF/Noah-

MP's simulations. These parameters, as selected in Table 3.1, were evaluated for their influence on HFX, LH, T2, Q2, WS, SMOIS, and TSLB by calculating sensitivity indices. Our analysis highlighted that parameters like BEXP, DKSAT, DWSAT, SMCMAX, SMCREF, SMCWLT, and QUARTZ, which influence soil characteristics, had a significant impact on these variables, thus warranting their optimization. While certain vegetation and radiation parameters, such as leaf reflectance and transmittance in the near-infrared spectral band (RHOL-nir: leaf reflectance in the near-infrared spectral band, TAUL-nir: leaf transmittance in the near-infrared spectral band) and physiology parameters like VCMX25 (the maximum carboxylation rate at 25°C) and MP (the slope of Ball-Woodrow-Berry stomatal conductance formulation) showed sensitivity, their influence was less pronounced on TSLB and SMOIS. Moreover, the Planetary Boundary Layer Height (PBLH) was found to be particularly sensitive to soil parameters, which aligns with the YSU PBL scheme used in our simulations. This scheme balances surface heating (HFX) against the entrainment of more stable air above the PBL, indicating that stronger surface HFX lead to a deeper PBL and vice versa ([Garratt 1994](#); [Stull 1988](#)). Table 3.3 provides a detailed overview of these seven soil parameters, including their default and optimized values. These parameters are crucial for simulating soil thermal conductivity and diffusivity, hydraulic conductivity, water evaporation, and plant photosynthesis related to soil water in Noah-MP.

3.4.2 Optimization results

3.4.2.1 Overview of optimization results

The optimization outcomes detailed in Table 3.3 reveal that the values for DKSAT, SMCMAX, and QUARTZ are optimized to exceed their default values, while BEXP, DWSAT, SMCREF, and SMCWLT are reduced. This divergence from default parameters indicates a discrepancy between the model's assumptions and the actual soil properties at our study site,

emphasizing the need for site-specific calibrations. Such discrepancies have important implications on soil water movement and heat conduction, plant water availability and evapotranspiration, which affect surface energy fluxes.

Figures 3.2 and 3.3 provide empirical support for these parameter optimizations. Figure 3.2 illustrates the cost function's sensitivity to individual parameter changes while holding others constant at their optimized values, offering a detailed examination of the influence each parameter exerts on the model's performance. The 95% confidence intervals depicted in the figure 3.2 underscore the certainty of these relationships across the parameter's range.

Figure 3.3 illustrates the selection of 1000 samples out of the 10^6 generated using the Latin hypercube sampling method. These 1000 samples represent the instances where the cost function values are the smallest. This analysis adopts the BO approach's last iteration as the best representation of the cost function distribution across the seven-dimensional parameter space. By executing an additional 10^6 iterations based on this final iteration, we obtain a histogram that highlights the most probable regions for the optimal parameter values. The peaks of this histogram represent the confluence of reduced cost function values, indicating where the model's simulations align most closely with observational data. It's important to note that the optimization of parameter values is not confined to a single fixed value by providing such a histogram. Instead, parameter values occurring with higher frequency exhibit a greater likelihood of enhancing the performance of Noah-MP simulations.

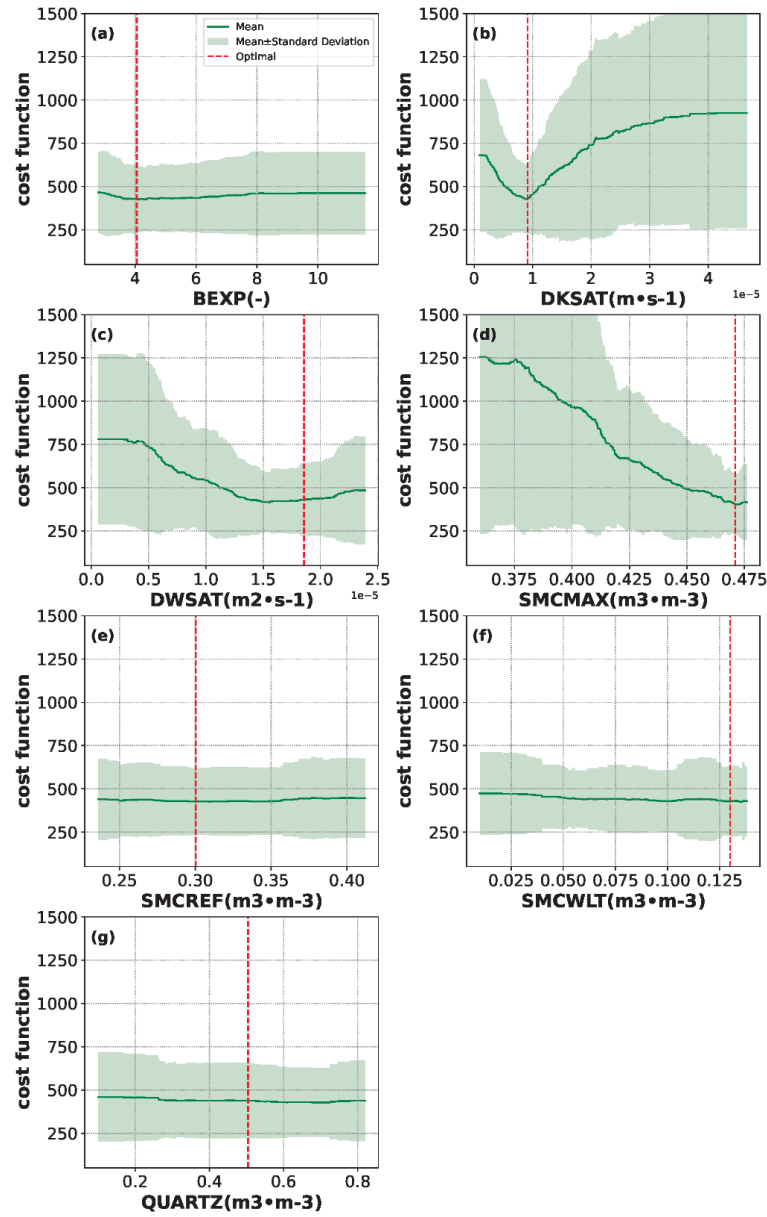


Figure 3.2 Visualization of BO results for selected soil parameters: (a) BEXP, (b) DKSAT, (c) DWSAT, (d) SMC MAX, (e) SMC REF, (f) SMC WLT, and (g) QUARTZ. Each subplot includes the predicted cost function trajectory (green solid line) across varying parameter values, with green shaded regions representing 1.96 standard deviations, indicating prediction uncertainties. Red dashed lines pinpoint the optimal parameter values.

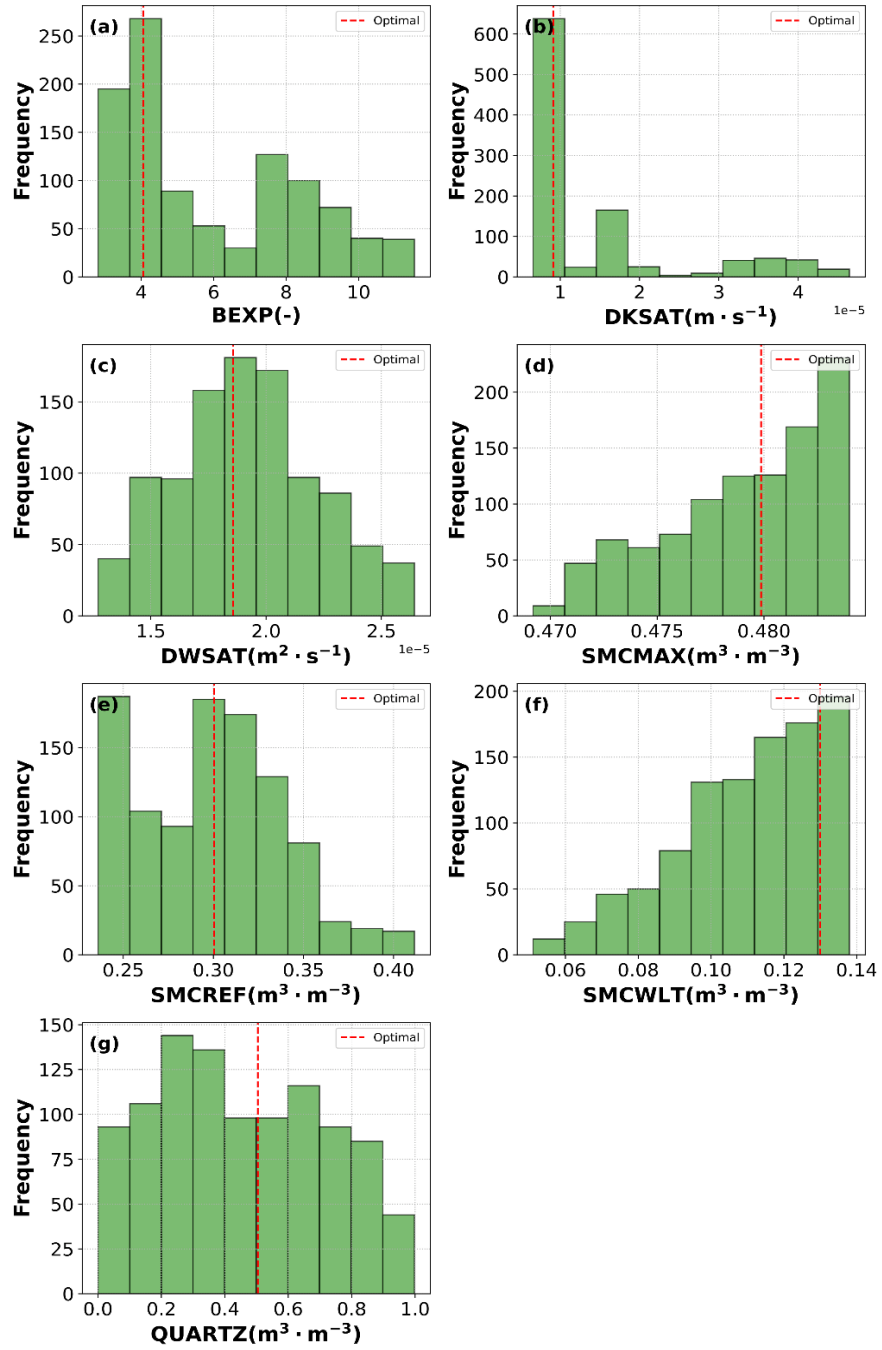


Figure 3.3 The histogram of 1000 samples represent the instances where the cost function values are minimized with respect to (a). BEXP, (b). DKSAT, (c). DWSAT, (d). SMCMAX, (e). SMCREF (f). SMCWLT, and (g) QUARTZ. The vertical red dashed lines represent the optimized value corresponding to each parameter.

3.4.2.2 Effects of soil parameter variations on land surface characteristics

The overall outcome of the optimization process is an enhancement in soil water percolation, which subsequently leads to a reduction of simulated water content in the surface soil layer, despite starting with the same initial soil moisture levels. This drier condition of the soil is associated with an increase in HFX and a decrease in LH at the soil surface as shown in Fig 3.4 (a) and (b), with a more pronounced effect during the last 5 days from 6 April. The observed daily mean soil moisture between April 3rd and 9th reveals a decreasing trend of $-0.0040 \text{ m}^3 \cdot \text{m}^{-3}$ per day. The simulated results with optimized parameters yield a similar trend of $-0.0059 \text{ m}^3 \cdot \text{m}^{-3}$ per day, while the simulation with the default soil parameter values predict a slower decrease – more than $-0.001 \text{ m}^3 \cdot \text{m}^{-3}$ (less negative) per day. During the nine-day period without precipitation (2-10 April), simulations using default parameters overestimated LH, suggesting an over-prediction of evapotranspiration. This discrepancy is partly attributable to the original DKSAT values, which likely underestimated the actual soil percolation rates at the site. The optimization adjustments increased DKSAT values, aligning simulations more closely with observed percolation trends and offering a better representation of matric potential changes. This improvement is critical as the single-column WRF/Noah-MP model does not simulate horizontal soil water flow, necessitating accurate vertical percolation rates for realistic soil moisture and energy flux predictions.

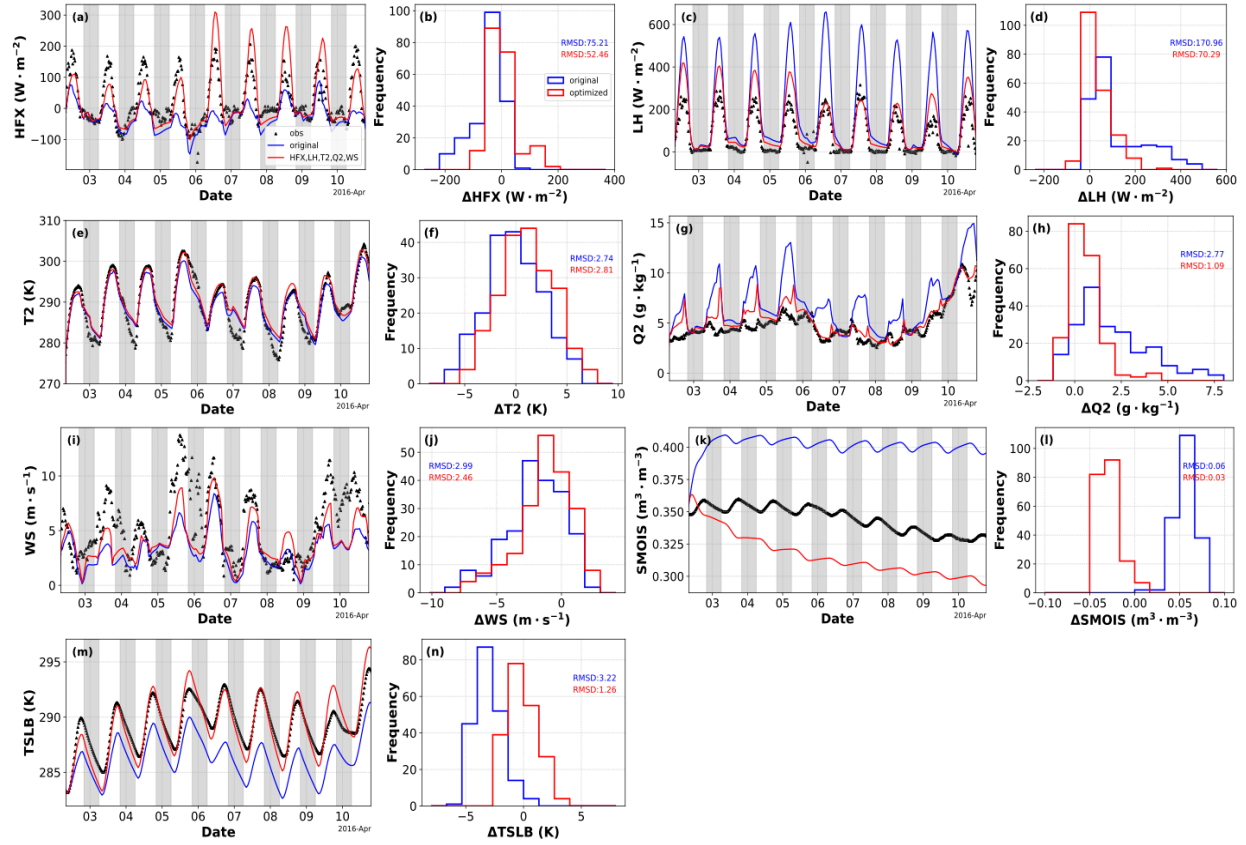


Figure 3.4 (a) HFX simulated by WRF/Noah-MP with default values of the seven parameters¹ (blue line) and the optimized parameters (red line) compared to the observations at the SGP site (black triangle) from April 2nd to 10th. The gray shades represent nighttime (8 PM – 8 AM). Similar for (c), (e), (g), (i), (k), and (m) but for LH, T2, Q2, WS, SMOIS, and TSLB. (b) The blue histogram is for the simulated HFX with original values of the seven parameters minus the observation at the SGP site, red histogram is for the simulated HFX with the optimized parameters minus the observation at the SGP site. Similar for (d), (f), (h), (j), (l), and (n) but for LH, T2, Q2, WS, SMOIS, and TSLB correspondingly.

In Noah-MP, DWSAT is positively correlated with soil water diffusivity, indicating that soils with higher DWSAT values promote more efficient water movement and facilitate enhanced redistribution within the soil profile. Soil water diffusivity influences the distribution of moisture content at various depths by affecting the rate of water movement within the soil matrix. Generally, increased soil water diffusivity accelerates water movement through the soil, leading to a more extensive dispersion of soil moisture simulations across different depths. This, in turn, results in a

more uniform vertical distribution of soil moisture as water rapidly infiltrates from the surface to deeper layers if the surface is dryer than the deeper soil, replenishing moisture in the lower soil strata, and conversely, moving upwards when deeper layers are drier than the surface. Consequently, this leads to a uniform availability of water for plant root systems throughout the entire soil profile simulations. In this study, the initial soil conditions from the AmeriFlux soil moisture profile indicate that deeper soil layers (1.5m under soil surface) are moister than the surface layer (0.1m under soil surface).

When DWSAT is reduced, soil moisture simulations indicate less dispersion between the surface and deeper soil layers compared to the default simulation, leading to a relatively drier surface layer and a moister deeper layer. The decrease in DWSAT has a similar effect to that of decreasing DKSAT, namely a greater HFX and lower LH simulation during daytime.

BEXP is a fitting parameter in determining the soil water characteristic curve and is intrinsically linked to the soil texture, as evidenced by previous research ([Sakaguchi and Zeng 2009](#)). The equation for BEXP in Noah-MP follows the soil wetness functions in [Sakaguchi and Zeng \(2009\)](#). This parameter governs the exponent value in the exponential decay of saturated soil water diffusivity and conductivity. Like the behavior exhibited by DKSAT and DWSAT, a decrease in BEXP results in a drier soil surface and an increase in soil surface resistance for ground evaporation in the model. If we neglect the dependence between the seven parameters and keep other six parameters except BEXP at the default value, the changes in BEXP itself listed in Table 3.3 results in an approximate increase of $12.1 \text{ W} \cdot \text{m}^{-2}$ (+10.4%) in transpiration at noon from 2 April to 10 April. Moreover, the augmentation of BEXP leading to a subsequent decrease in the simulated canopy temperature has been found to provoke a decrease in the under-canopy

evaporation as simulated in Noah-MP, estimated at approximately $-69.0 \text{ W} \cdot \text{m}^{-2}$ (-12.9%) around noon. Similar to DKSAT and DWSAT, the decrease in BEXP leads to a drier soil surface.

SMCMAX in Noah-MP, which represents soil porosity, was found to be informative and was identified as a critical parameter for most fluxes, especially HFX. In Noah-MP, SMCMAX plays an important role in ground water evaporation, soil thermal conductivity and diffusivity. SMCMAX commonly appears as the denominator in the degree of saturation (S) as in Equation 3.5, which refers to the actual amount of soil water compared to the maximum amount of water that the soil can hold:

$$S = \frac{V_w}{V \cdot \text{SMCMAX}} \cdot 100\%, \quad (3.5)$$

where V_w is the volume of water in the soil and V is the total volume of the soil. And the product of SMCMAX and V is the volume of voids (V_v). Generally, if V and V_w remain constant, a larger SMCMAX (larger V_v) corresponds to a smaller S , which leads to a decrease in LH as a larger SMCMAX indicates a finer soil particles, which may lead to less evaporation at the same soil water content ([Hillel 2013](#)).

SMCMAX, SMCREF, and SMCWLT are primarily associated with soil moisture evaporation and vegetation transpiration. The optimized values of SMCMAX and SMCWLT show minimal change ($+0.015 \text{ m}^3 \cdot \text{m}^{-3}$ (+1.51%) and $+0.009 \text{ m}^3 \cdot \text{m}^{-3}$ (+6.67%), respectively), while SMCREF decreases by $0.087 \text{ m}^3 \cdot \text{m}^{-3}$. The modification of SMCREF impacts transpiration by increasing the β factor in the Noah-type soil moisture factor as in Equation 3.6, which controls stomatal resistance and, consequently, photosynthesis strength:

$$\beta = \sum_{i=1}^{N_{root}} \frac{\Delta z_i}{z_{root}} \min \left(1.0, \frac{\text{smois}_i - \text{SMCWLT}}{\text{SMCREF} - \text{SMCWLT}} \right), \quad (3.6)$$

where N_{root} and z_{root} are total number of soil layers containing roots and total depth of root zone, Δz_i and $smois_i$ is the thickness and the water content of the i th soil layer. If keeping other 6 parameters except SMCREF at the default value, the reduction in SMCREF results in an average increase of $7.4 \text{ W} \cdot \text{m}^{-2}$ (+6.5%) in transpiration simulation at noon. This effect becomes more pronounced as soil moisture approaches SMCREF, with around noon transpiration simulations increasing up to $25 \text{ W} \cdot \text{m}^{-2}$ (+13.8%) on the 9th and 10th of April, the last two days of the study period. It is noteworthy to mention that this reduction in ground evaporation does not necessarily signify weaker transpiration, as the alfalfa plant's root system length may still be able to facilitate sufficient water uptake to meet its water demands. In the model, transpiration rates can be stimulated by higher soil temperatures, with a 2.64K (+0.91%) increase in the top three soil levels (which aligns with the root length of the crop in Noah-MP) resulting in about 36.1% increase in transpiration.

One of the limitations of our optimization process is that it does not consider the dependence between two parameters. In our case, SMCMAX, SMCREF, and SMCWLT are known to be related to each other for a specific soil type, but were assumed to be independent in the prior covariance matrix. SMCMAX, SMCREF, and SMCWLT are largely influenced by soil texture: Clay-dominated soils typically exhibit elevated porosity, field capacity, and wilting point as a consequence of their fine-textured, small pore structure. Conversely, sandy soils possess lower values for these parameters, attributable to their coarser texture and larger pore spaces. Soils with a silt composition demonstrate intermediate characteristics, displaying moderate levels of porosity, field capacity, and wilting point. Our optimization results indicate that the top layer soil exhibits characteristics more closely resembling the loam or sandy loam class rather than the silty clay loam class in Noah-MP - it contains a higher proportion of quartz, resulting in stronger thermal

and hydraulic conductivity. On the other hand, the deeper soil may possess more attributes typical of clay soils. The soil parameter values in Noah-MP are based on empirical data, assigning fixed numbers to each soil type. However, in practice, soil parameter values can vary within a range. For instance, SMCWLT specified in the Noah-MP vegetation table (MPTABLE.TBL) for silty clay loam is $0.12 \text{ m}^3 \cdot \text{m}^{-3}$. However, empirical data indicates that SMCWLT for silty clay loam can be approximately $0.2 \text{ m}^3 \cdot \text{m}^{-3}$, as observed by [Hunt et al. \(2009\)](#) at the Mead site in eastern Oklahoma. [Ghanbarian-Alavijeh and Millán \(2009\)](#) suggested the range of SMCWLT of silty clay loam is $0.116 - 0.224 \text{ m}^3 \cdot \text{m}^{-3}$ with the databases from [Fooladmand \(2007\)](#) and $0.154 - 0.329 \text{ m}^3 \cdot \text{m}^{-3}$ with the databases from [Puckett et al. \(1985\)](#).

3.4.2.3 Model simulation improvements with optimized parameters

3.4.2.3.1 Improved agreement in 2-10 April, 2016

The global optimization of the seven soil parameters leads to a more accurate simulation of HFX, LH, T2, Q2, WS, SMOIS, and TSLB. As shown in Fig 3.4, the simulations from WRF/Noah-MP were improved using the optimized parameters: the daytime HFX was greatly underestimated by more than $100 \text{ W} \cdot \text{m}^{-2}$ with original parameter values, but the optimal parameters drive simulations that agree better with flux tower observations (Fig 3.4(a)). The histogram from Fig 3.4(b) shows that the mean of the HFX simulation mismatches is approximately 0 W/m^2 , and the reduction in the standard deviation with the optimized parameters suggests a more accurate simulation of HFX across both daytime and nighttime periods. The LH is mostly improved from overestimation and the Q2 is also attributed to smaller diurnal variations corresponding to the change in LH. The better agreement of T2 and WS simulations with data is not as evident as the other 3 variables, but the improvement can still be seen, especially every day

around noon. However, the simulated top layer soil moisture with the optimized parameters appears drier compared to the observed data. This difference can be attributed to the assumption of uniform soil moisture across the 20 cm soil layer, which is used in calculating surface energy fluxes. The observed soil moisture at a nearby site, located 192 m away, indicates that soil moisture at 5 cm below the ground surface is approximately $0.08 \text{ m}^3 \cdot \text{m}^{-3}$ drier than at 10 cm. Consequently, it is plausible to infer that the surface layer soil moisture at the SGP site should also be drier than at 10 cm depth. The increase in HFX and the decrease in LH, observed with the optimized parameters, align with the drier top layer soil moisture conditions in the Noah-MP model. TSLB exhibits less mismatched, likely due to the use of surface skin temperature, instead of the top layer soil temperature, in calculating HFX and LH. This involves the computation of saturated vapor pressure and the temperature difference between the ground surface and the air above.

3.4.2.3.2 Improved agreement in the entire 2016 alfalfa growing season at SGP site

The optimization results also improved simulations of HFX, LH, T2, Q2, WS, and TSLB over a longer time period during the 2016 alfalfa growing season at SGP site – specifically in April and May. By utilizing the seven optimized parameters, improvements are observed in the simulations of LH, T2, Q2, and WS, as presented in Fig 3.5(c)-(j), whereas HFX is generally overestimated, as shown in Fig 3.5(a) and (b).

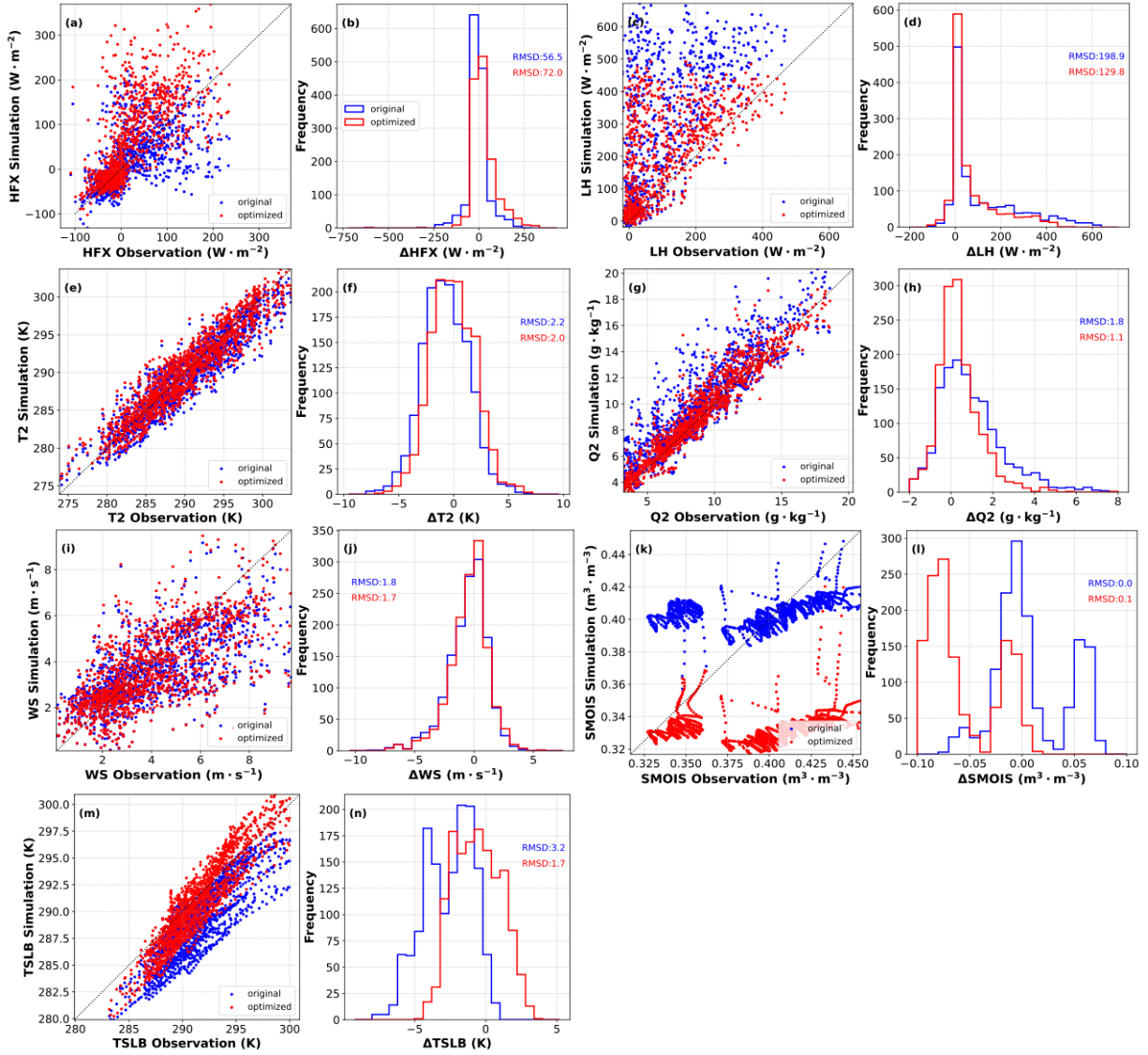


Figure 3.5 (a) HFX simulated by WRF/Noah-MP with original values of the six parameters (blue line) and the optimized parameters (red line) compared to the observations at the SGP site (black triangle) from April 1st to May 30th. The gray shades represent nighttime (8 PM – 8 AM). Similar for (c), (e), (g), (i), (k), and (m) but for LH, T2, Q2, WS, SMOIS, and TSLB. (b) The blue histogram is for the simulated HFX with original values of the six parameters minus the observation at the SGP site, red histogram is for the simulated HFX with the optimized parameters minus the observation at the SGP site. Similar for (d), (f), (h), (j), (l), and (n) but for LH, T2, Q2, WS, SMOIS, ad TSLB correspondingly.

The optimization of the seven parameters also has notably enhanced the simulation of TSLB, which is a crucial variable in numerous agricultural and hydrological applications. The optimized

parameters have effectively improved the representation of the heat exchange between the soil and the atmosphere, which is vital for the accurate simulation of the energy balance at the land surface. However, SMOIS tends to be consistently underestimated. This could potentially be attributed to the sharp gradient present in the top 20cm of the soil stratum. These findings authenticate the efficacy of the optimization process in enhancing the overall performance of the Noah-MP model, rendering it a more dependable tool for investigating land-atmosphere interactions and their environmental consequences.

The changes in surface energy flux attributable to global optimization are predominantly associated with surface layer soil moisture for both the nine-day and the long-term simulations. The correlation coefficient, calculated between the daily minimum of the reduction in LH (determined by subtracting LH with the original parameters from LH with the optimized parameter), and the daily mean of optimized top-level soil moisture, is found to be 0.85. Furthermore, there exists a negative correlation of -0.83 between the daily maximum increase in HFX (calculated by subtracting HFX with the original parameters from HFX with the optimized parameter) and the daily mean of optimized SMOIS. These relationships highlight the intricate interactions between soil moisture and energy fluxes in the context of global optimization processes.

Decreased soil moisture is typically associated with increased HFX, which subsequently promotes the development of the Convective Boundary Layer (CBL) within the coupled land-atmosphere system ([Pal and Haeffelin 2015](#); [Xu et al. 2021](#)). PBLH was computed from 6-hourly radiosonde air temperature profiles and WRF/Noah-MP simulations with both original and optimized parameters, utilizing the Bulk Richardson Number method (threshold 0.25). In accordance with previous studies, the diminished surface-level soil moisture and augmented

daytime HFX associated with optimized parameters are correlated with an elevated CBL as shown in Fig 3.6(a). As depicted in Fig 3.6(b) and (c), the Bulk Richardson Numbers derived from the radiosonde are generally larger than those from the WRF simulation. However, parameter optimization increases the simulated Bulk Richardson Numbers, thereby bringing the simulation closer to the observations. The soil parameter optimization results in a reduction of the RMSD between the radiosonde and WRF-simulated vertical air temperature inferred PBLH during daytime (8 AM to 8 PM) from 576.2m to 475.3m, which indicates a more accurate representation of PBLH in the simulation with optimized parameters, thereby demonstrating the effectiveness of the soil parameter optimization in improving the simulation of land-atmosphere interactions.

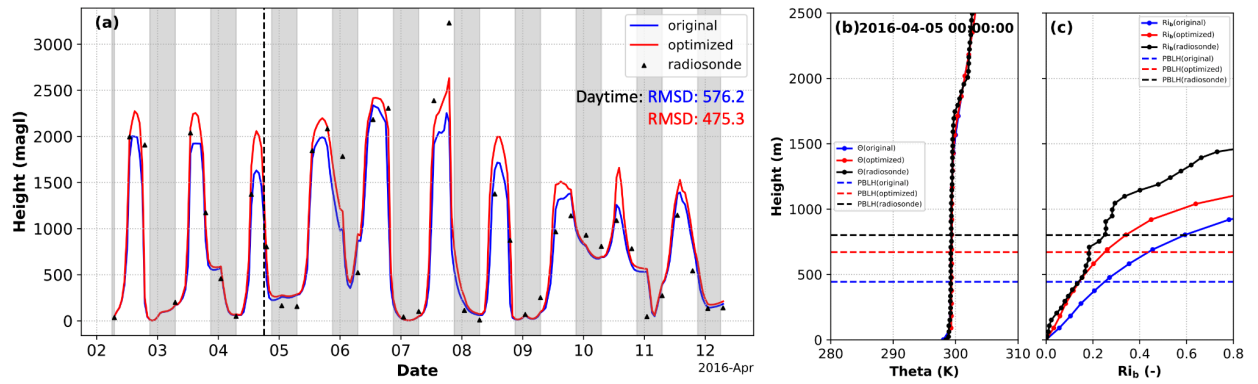


Figure 3.6 (a) The calculated PBLH using the Bulk Richardson Number method with a threshold of 0.25, based on measurements from radiosonde (black triangle scatters), simulations with original parameters from WRF/Noah-MP (blue solid line), and simulations with optimized parameters from WRF/Noah-MP (red solid line). The black dashed line indicates the time in (b) and (c). Panel (b) shows the vertical profile of potential temperature (θ), with the same color pattern as (a). The horizontal dashed lines in (b) represent the PBLH calculated using the Bulk Richardson Number method with a threshold of 0.25. Panel (c) is similar to (b) but displays the vertical profile of Bulk Richardson Number (Ri_b).

3.5 Discussion

Changes in soil parameters have profound implications for soil hydrology, which in turn modulates both soil temperature and moisture profiles. For instance, changes in parameters such as SMC_{MAX} or DKSAT can influence the soil's water-holding capacity and the rate at which

water moves through the soil profile, respectively. An enhanced SMCMAX, for example, can augment the simulated soil's retention of water, leading to prolonged soil moisture persistence. This increased moisture content has the dual effect of modulating the soil's thermal properties — damp soils generally have a higher thermal capacity than dry. Consequently, with enhanced moisture retention, soils exhibit a slower thermal response to diurnal temperature fluctuations. In the context of the surface energy balance, these modifications in soil temperature and moisture can significantly impact the partitioning between HFX and LH. A more moisture-laden soil, resultant from altered hydrological parameters, tends to favor higher rates of evapotranspiration, subsequently amplifying the LH at the expense of the HFX. This shift not only affects near-surface atmospheric conditions but also plays a significant role in underpinning local and regional weather and climate patterns.

Based on the soil parameter table in Noah-MP with USGS soil classification, the optimized parameter values are more in line with soil composed of a greater proportion of sand relative to the typical silty clay loam. For example, DKSAT is found to be $9.10 \times 10^{-6} \text{ m s}^{-1}$ compared to the default DKSAT of silty clay loam ($2.08 \times 10^{-6} \text{ m s}^{-1}$). The soil parameter table in Noah-MP (SOILPARM.TBL) reveals that DKSAT for sand significantly exceeds that of silt and clay. Specifically, the DKSAT may vary over several orders of magnitude between catchments — value for sand is measured at $46.6 \times 10^{-6} \text{ m s}^{-1}$, while silt measures at $3.38 \times 10^{-6} \text{ m s}^{-1}$ and clay at $0.974 \times 10^{-6} \text{ m s}^{-1}$. This underpins a fundamental physical principle: the diffusion of water in soil is intrinsically governed by its class, with substantial sensitivity to the proportion of constituent soil components.

Uncertainty in soil classification translates to variability in these properties, subsequently influencing the partitioning between HFX and LH. For instance, misclassification that overlooks

a soil's true water retention capability might lead to inaccurate estimations of surface evaporation rates. Similarly, an imprecise understanding of the soil's thermal inertia can yield discrepancies in diurnal temperature amplitudes and phase lags. Such uncertainties not only modulate the local radiative balance but also have far-reaching consequences on boundary layer dynamics.

In both the Noah-MP model and observational data from the SGP site, the soil is classified as silty clay loam, exhibiting uniformity in composition throughout the soil profile depth. However, from the data at nearby Mesonet ([Afchar et al. 2018](#)) sites, the soil classification can vary with depth. For example, the soil characteristics at a Mesonet site in Blackwell (BLAC), which is to the northeast of SGP site and about 27 km away, suggest that the soil texture at 5 cm under the ground is loam (27.8% sand, 49.2% silt, and 23% clay). However, the soil at 25 cm depth is recognized as silty clay loam (17.5% sand, 44.8% silt, and 37.8% clay) and clay loam at 60 cm depth (20% sand, 40.3% silt, and 39.7% clay). Based on soil texture records from nearby sites and the limited drainage observed in the deeper soil layers, we infer that the soil texture beneath the top layer closely resembles clay. It is common for soil characteristics to vary with depth, as different layers of soil may have different physical and chemical properties due to factors such as the type of parent material, the amount of organic matter, and the amount of weathering. The varying proportions of sand, silt, and clay in a soil can lead to differing infiltration rates, which can affect the way energy is partitioned at the surface.

Cropland soil management practices considerably impact soil properties, including soil structure, organic matter content, and overall soil health. These alterations subsequently affect the soil's water retention capacity and plant-available water. For instance, conservation tillage aids in preserving the soil's natural structure and minimizes soil compaction, which consequently maintains or enhances soil porosity. Furthermore, conservation tillage contributes to improved soil

structure, augmented organic matter content, and enhanced water-holding capacity. These factors can indirectly influence the wilting point by fostering better soil structure and promoting increased organic matter content. As plants develop, their root systems infiltrate the soil, forming channels and cavities while traversing the soil matrix. These root-induced channels contribute to soil porosity by augmenting the quantity and dimensions of pore spaces. Moreover, the decomposition of expired roots results in residual channels that can further bolster soil porosity.

BO is an effective method for optimizing functions where the analytical form is unknown or complex, often resulting in reduced computational costs. In our application of BO to soil properties, we operate under the assumption that parameters such as SMC_{MAX} (soil porosity) and SMC_{WLT} (wilting point) are independent. This is a simplification, as these parameters typically exhibit physical interdependence; for example, soils with a higher porosity generally also have a higher wilting point due to their capacity to retain more water. While BO can handle multiple dimensions, it performs best with fewer than 20 dimensions, with less than 10 being ideal. To manage the independence assumption and dimensional constraints, we gave a reasonable range for each parameter based on original Noah-MP soil parameter table and proceed with the optimization, note that this approach may not capture the full complexity of soil parameter interactions.

In considering longer-term simulations, computational expenses become a paramount concern. Our current approach, employing BO, has demonstrated efficiency for a single-site, short-term, nine-day simulations, with 370 iterations necessitating approximately 13 hours of computational time. Extrapolating this to higher dimensions implies that the computational cost would grow prohibitively high. Given the nonlinear increase in complexity as parameter amount increases, BO may not be the optimal method for parameter optimization in high-dimension studies. Building on this study, future research should investigate optimization strategies that can accommodate the

expanded parameter dimensions efficiently. Discovering a method that minimizes computational requirements is crucial for the practicality of optimizing models over more extended periods.

3.6 Conclusions

The default parameter set in WRF/Noah-MP leads to residual biases in simulating surface energy fluxes and near-surface atmospheric state variables that requires further research to understand and address. To better simulate the surface energy fluxes and atmospheric state variables in WRF/Noah-MP, we find the most sensitive seven parameters (BEXP, DKSAT, DWSAT, SMCMAX, SMCREF, SMCWLT, QUARTZ) in the chosen parameterization options in Noah-MP with a finite difference sensitivity analysis and use a surrogate BO method to optimize these seven parameters based on nine-day observations from 2-10 April, 2016 at SGP site. The optimization results tend to suggest the soil texture at SGP site contains more sand than the silty-clay-loam in the Noah-MP soil classification, resulting in the properties involved in these seven parameters contain more characteristics from sand. The general changes in these seven parameters enhance the downward soil water infiltration and reduce the amount of available energy. The parameters optimization helps reduce the mismatches between WRF/Noah-MP and the eddy covariance tower observations at SGP site in simulating HFX, LH, T2, Q2, WS, SMOIS, and TSLB from 2-10 April. With the optimized parameters, the simulations of HFX, LH, T2, Q2, WS, SMOIS, and TSLB in the SGP site alfalfa spring growing season also get improved. By optimizing the parameters of the WRF/Noah-MP using BO, we not only enhance the simulation of key variables such as HFX and LH but also improve the representation of atmospheric state variables like specific humidity. These findings demonstrate the potential of parameter optimization techniques to bridge the gap between observational and model dimensions, ultimately advancing

our understanding and prediction of land-atmosphere interactions. Such improvements have broader implications for weather forecasting, climate modeling, and land management strategies.

Chapter 4 Characterizing Methane (CH₄) Enhancements at the SGP ARM Site: Meteorological Influences and Source Attribution

4.1 Introduction

Methane (CH₄) plays a pivotal role in global warming and is the second most significant greenhouse gas contributor to climate change in terms of radiative forcing ([Myhre et al. 2014](#); [Stocker et al. 2013](#)). Despite its critical importance, the complexity of the linkage between specific CH₄ emission sources and their atmospheric concentration variations remains less understood ([Turner et al. 2019](#)). Understanding CH₄ emissions at local and regional scales is crucial because they provide the foundation for improving our knowledge of the role of CH₄ in global climate systems, which are essential for predicting the global climate impact from CH₄ ([Bridgham et al. 2013](#); [Stavert et al. 2022](#)). Furthermore, examining how CH₄ emissions interact with meteorological conditions can improve our ability to simulate regional and global CH₄ transport and distribution, leading to more accurate assessments of its role in climate dynamics and supporting more effective climate policies.

Local and regional CH₄ concentrations are influenced by a combination of emissions and meteorological conditions ([Patra et al., 2009](#)). For instance, meteorology alone can alter near-surface CH₄ concentrations—shallow PBL associated with increased atmospheric stability often lead to elevated CH₄ concentrations ([Esau and Zilitinkevich 2010](#)). Emissions also play a crucial role in regional CH₄ variability, as numerous studies have demonstrated that CH₄ plumes from emission sources significantly contribute to regional CH₄ increases ([Cusworth et al. 2021a](#); [Duren et al. 2019](#); [Kort et al. 2014](#); [Yuan et al. 2015](#)). Moreover, the interplay between meteorological conditions and emissions is critical in altering the distribution and variability of CH₄. For example, natural CH₄ sources, such as wetlands, are particularly sensitive to environmental changes in

temperature, radiation, and precipitation ([Bousquet et al. 2011](#); [Dlugokencky et al. 2009](#); [Pison et al. 2013](#)). Despite these advances, significant uncertainties remain in accurately attributing CH₄ concentration variations to specific emission sources and meteorological conditions due to the complex interplay between these factors ([Balashov et al. 2020](#); [Bloom et al. 2017](#)).

Previous studies have consistently found elevated CH₄ concentrations at the Southern Great Plains (SGP) Atmospheric Radiation Measurement (ARM) site ([Miller et al. 2013](#); [Saito et al. 2013](#); [Turner et al. 2016](#)) (refer to the SGP site simply as SGP hereafter), but the exact mechanisms driving these high concentrations remain unclear. For example, [Saito et al. \(2013\)](#) used chemistry transport models incorporating both natural and anthropogenic CH₄ inventories but found that the emissions in these inventories were too low to explain the observed CH₄ levels, particularly within the lower 1.5 km of the atmosphere. Meanwhile, recent studies highlight that grasslands act as significant CH₄ sinks due to methanotrophic activity in soils ([Koyama et al. 2024](#)). Given this established role of grasslands as CH₄ sinks, the persistently high CH₄ concentrations at the SGP site present a paradox, suggesting that the observed CH₄ levels may not be fully explained by known sources or mechanisms. This calls into question whether unaccounted-for local emissions, underestimated anthropogenic contributions, or other regional factors are driving the elevated CH₄ concentrations, underscoring the need for further investigation.

One of the key uncertainties is the role of meteorological conditions in driving these high local CH₄ concentrations. The frequent occurrence of a stable nocturnal PBL in the U.S. Great plains ([Banta et al. 2007](#)) is likely a significant factor contributing to elevated CH₄. A stable PBL, especially at night, can trap CH₄ near the surface, resulting in elevated concentrations ([Patra et al. 2009](#)). The shallowest, stably-stratified PBLs are of particular concern, as these conditions are

highly sensitive to perturbations in the Earth's surface energy balance and GHG emission rates ([Esau and Zilitinkevich 2010](#)). However, these strongly stratified PBLs have been underexplored ([Holtslag et al. 2013](#)). Correct representation of the stable boundary layer is difficult owing to the weak behavior of turbulence ([Mauritsen and Svensson 2007](#)), which highlights the difficulty in our understanding of how these conditions affect GHG accumulation.

In addition to the stable PBL, low-level jets (LLJs) represent another meteorological condition that can significantly influence CH₄ dynamics in the U.S. Great Plains. LLJs in this region frequently develop at night due to a combination of factors, like inertial oscillation caused by the decoupling of nocturnal winds from surface friction following the formation of a near-surface temperature inversion ([Blackadar 1957](#); [Song et al. 2005](#)), as well as topographical influences from the Rocky Mountains ([Bleeker and Andre 1951](#); [Bonner and Paegle 1970](#); [Pan et al. 2004](#); [Uccellini 1980](#)). With LLJs occurring in the U.S. Great Plains more than 20% of the time and often accompanied by strong temperature inversions and pronounced stratification below the jet core ([Luiz and Fiedler 2024](#)), LLJs can be a critical factor in the horizontal and vertical dispersion of gases. Previous studies have shown that LLJs play a significant role in the transport of gases like ozone ([Hu et al. 2013](#); [Klein et al. 2014](#)), but their specific effects on CH₄ dispersion and accumulation remain unexplored.

In addition to the meteorological uncertainties, emission attribution challenges further complicate the understanding of CH₄ dynamics at SGP. Oklahoma is known for substantial anthropogenic CH₄ emissions from oil and gas production and agriculture, particularly livestock farming ([Katzenstein et al. 2003](#); [Omara et al. 2018](#)). However, existing emission inventories like U.S. Environmental Protection Agency (EPA) CH₄ inventory are found to underestimate CH₄

emissions especially in the south central U.S. ([Miller et al. 2013](#); [Saito et al. 2013](#); [Turner et al. 2015](#)). [Turner et al. \(2015\)](#) suggested that this underestimation could be attributed to oil/gas and livestock emissions, but a clear quantitative separation between the two sources is difficult due to their spatial overlap. This overlap complicates source attribution and hinders the ability to accurately identify and quantify individual contributions to regional CH₄ concentrations. As a result, high-resolution information, such as field measurements and investigations, is crucial to refine our understanding of these emissions.

To address the uncertainties in both meteorological and emission attribution to the high CH₄ at SGP, this study aims to explore three critical research questions that will provide a more comprehensive understanding of local CH₄ dynamics at SGP:

1. **Temporal Analysis of CH₄ Peaks:** What are the specific times of day and seasons when CH₄ concentration reach their peak at SGP?
2. **Meteorological Correlations:** What meteorological conditions correlate with these peaks in CH₄ concentration?
3. **Unaccounted Emission Sources:** Are there significant sources of CH₄ emissions near SGP that are not currently included in standard emission inventories?

This research employs a robust integrative approach, combining in-situ CH₄ concentration measurements with comprehensive meteorological data analysis and a field campaign to identify and quantify the potential CH₄ emission sources. By doing so, we aim to refine our understanding of the complex interactions that drive CH₄ variability at SGP. The outcomes of this study are expected to contribute to the enhancement of regional CH₄ emission inventories and to provide insights that could guide effective climate mitigation strategies in CH₄-intensive regions.

4.2 Study Sites and Data

4.2.1 Study Sites

All measurements were taken at or near the U.S. Department of Energy (DOE) ARM SGP Central Facility ([Mather and Voyles 2013](#); [Sisterson et al. 2016](#)) near Lamont, Oklahoma shown in Fig 4.1, which is located at 36.605° N, 97.488° W, and the terrain elevation is 314 m above mean sea level. The landscape is relatively flat with a local terrain slope less than three degrees.

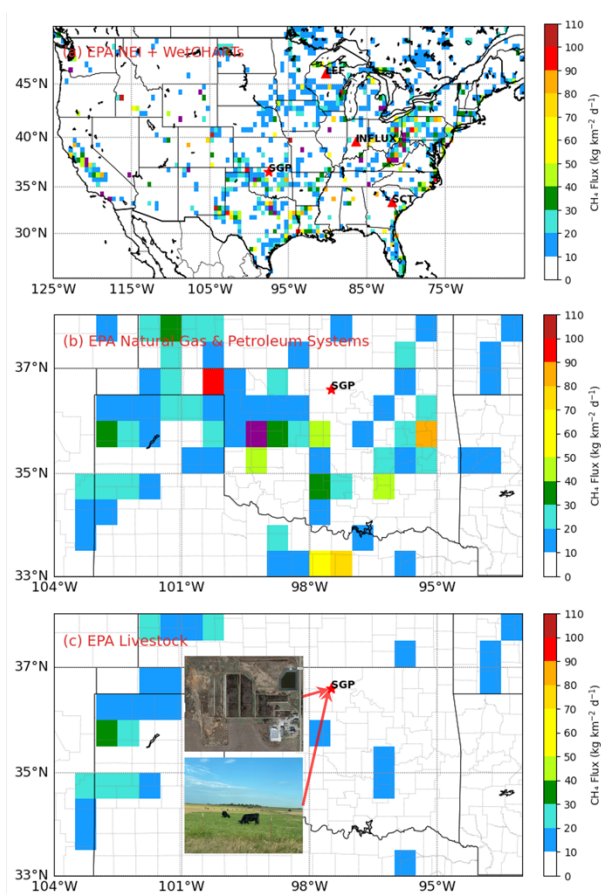


Figure 4.1(a) CH₄ emissions across the U.S. in 2018, combining the Environmental Protection Agency (EPA) Greenhouse Gas Inventory (GHGI) at a 0.1° × 0.1° resolution and Wetland emissions from WetCHARTs at a 0.5° × 0.5° resolution. To merge these datasets, the GHGI data was upsampled to match the 0.5° × 0.5° resolution of WetCHARTs before summing GHGI and WetCHARTs. (b) CH₄ emissions from natural gas and petroleum systems based on EPA GHGI, including specific classifications in GHGI: abandoned, surface, and underground coal, petroleum systems exploration, production, and transport, abandoned oil gas, natural gas distribution

exploration, processing, production, and transmission storage. (c) CH₄ emissions from livestock sources as classified by the EPA GHGI, including enteric fermentation and manure management.

SGP contains an extensive suite of instrumentations for monitoring the atmosphere and surface properties. Most of these instruments operate continuously, and the data are available from the ARM website (<https://adc.arm.gov/discover>, [McCord and Voyles \(2016\)](#)). SGP contains several heavily instrumented subsites or “facilities” that are geographically dispersed over Oklahoma and Kansas ([Mather and Voyles 2013](#)). For this study, we use observations from the central facility (C1), which also contains the largest number and most diverse suite of instruments.

A field campaign was conducted to investigate and quantify the potential CH₄ emissions near SGP by measuring CH₄ concentrations in the near-surface atmosphere. The field campaign route is around the SGP site (N3100 Road, E0280 Road, N3090 Road, and County Line Road) to investigate the activity of open-range cattle. We also investigated an AFO, about 5.8 km to the northwest of SGP at 36.64° N, 97.535° W, driving on N3070 Road, E0240 Road, N3060 Road, E0250 Road, and E0260 Road.

Other sites in this study for comparison with SGP includes the Wisconsin forest site (LEF) in Park Falls, WI, the South Carolina Tower (SCT) site near Beech Island, SC, and the Indianapolis Flux Experiment (INFLUX) site. CH₄ datasets at LEF, SCT, and INFLUX is provided from [Kenneth N. Schuldt \(2023\)](#). The locations and other general information of these sites is given in Table 4.1.

Table 4.1 Sites general information.

Site Code	Location	Latitude, Longitude	Elevation (masl)	Measurement Height (m)	Potential main CH ₄ emission	Reference
SGP	Lamont, OK	36.6070, -97.489	314	2,4,25*,60	Oil and natural gas system, agriculture	Sisterson et al. (2016)
LEF	Park Falls, WI	45.9451, -90.2732	472.0	30*,122,396	Wetlands	Andrews et al. (2024)
SCT	Beech Island, SC	33.4057, -81.8334	115.2	31*,61,306	Wetlands	Andrews et al. (2024)
INFLUX (Site 1)	Indianapolis, IN	39.5805, -86.4207	182	10*, 40,121	Landfills	Miles et al. (2017)

*CH₄ concentrations at the specific height are used to compare across the sites in Table 4.1

4.2.2 Data

4.2.2.1 CH₄ Data

CH₄ concentration data used in this study were obtained from the Precision Gas System (PGS), which provides high-accuracy and high-precision measurements of CH₄ at various heights (2m, 4m, 25m, and 60m) above the ground. The system samples air every 20 minutes, with measurements averaged over 30 seconds at each level. For the purpose of our analysis, we computed hourly averages of these CH₄ concentration measurements. Additional details regarding the PGS can be found in [Torn \(2005\)](#).

To compare CH₄ concentrations at the SGP site with other tower sites across the U.S., we focused on data collected from January 1, 2017, to December 31, 2020, when measurements were consistently available across all four sites. We used CH₄ measurements at 25 meters from SGP and compared them to the lowest measurement heights at the other sites: 30 meters at LEF, 31 meters at SCT, and 10 meters at INFLUX, as detailed in Table 4.1. CH₄ data for LEF, SCT, and INFLUX

were sourced from the Observation Package (ObsPack) insitu CH₄ products ([Masarie et al. 2014](#)) and filtered using quality flags. While the 25-meter CH₄ data at SGP were provided by PGS, as ObsPack did not include this 25m measurement for SGP. To ensure consistency, both hourly mean values and quality flags were applied across all datasets. Furthermore, we applied a linear detrending process to remove the long-term increasing trend in CH₄ by subtracting the linear trend of daily CH₄ concentrations from the hourly-averaged CH₄ values. This process allowed us to focus solely on short-term variations and anomalies in CH₄ concentrations across the different sites during the study period.

In addition to the continuous CH₄ measurements obtained from the Precision Gas System (PGS) and ObsPack, we conducted a field measurement campaign in June 2024 using a LI-COR LI-7810 CH₄/CO₂/H₂O Trace Gas Analyzer (<https://www.licor.com/env/products/trace-gas/LI-7810>, LI-COR Biosciences, Lincoln, Nebraska, USA). LI-7810 provides high-frequency (1 second) CH₄ concentration data in the area surrounding the ARM site and the AFO. These measurements complement the PGS data by providing a more detailed spatial and temporal analysis of CH₄ concentrations near potential emission sources. The detailed design of the field campaign will be described later in 3.3.

To ensure measurement consistency, we positioned the LI-7810 at the foot of the 60-meter PGS tower from 9 AM to 11 AM on June 26th, comparing its CH₄ readings with those measured at 2 meters by the PGS (Figure 4.2). PGS provides CH₄ concentrations every 20 minutes, thus we have six comparative samples over the two-hour period. The maximum observed difference (LI-7810 CH₄ minus PGS 2m CH₄) was +5.06 ppb, while the average difference for the remaining five samples was +0.39 ppb (with a standard deviation of 0.53 ppb). These results confirm that the CH₄ concentration measured by the LI-7810 are consistent with those from the PGS.

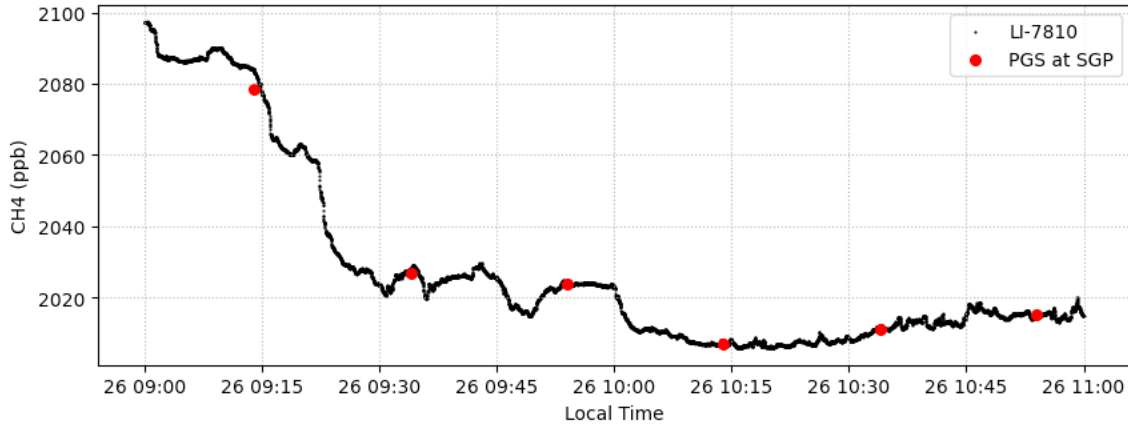


Figure 4.2 CH₄ concentration from LI-7810 (black) and the PGS at SGP (red) from 9 to 11AM, June 26

4.2.2.2 Meteorological Data and Model Simulations

To investigate the relationship between surface meteorological conditions and CH₄ concentrations, we utilized multiple meteorological data sources: 1. surface wind speed and direction from the Surface Meteorological System (MET) at the SGP C1 station ([Kyrouac and Tuftedal 2024](#)); 2. vertical meteorological states profile from balloon-borne radiosonde soundings ([Keeler et al. 2019](#)); 3. continuous wind speed and direction data above the surface from Doppler lidar ([Newsom et al. 2015](#)).

Wind speed and direction at 10 meters were collected every minute from the MET system to represent surface wind conditions at SGP and during the field campaign near the AFO. These wind measurements were also averaged hourly to align with the time resolution of the CH₄ data.

To explore meteorological conditions above surface, we used balloon-borne radiosonde soundings and Doppler lidar measurements. Radiosonde measurements are typically conducted every 6 hours at SGP. They provides vertical profiles of temperature, humidity, wind speed, and wind direction at a high temporal resolution of 2 seconds, offering detailed insights into the

atmospheric structure necessary for analyzing the relationship between CH₄ concentrations and meteorological conditions within the nocturnal PBL.

To overcome this temporal gap and capture more continuous atmospheric dynamics, we supplemented the soundings with Doppler lidar measurements, offering real-time data on vertical wind velocity and horizontal wind speed/direction. The Doppler lidar provides height- and time-resolved measurements of horizontal wind speed and direction ([facility 2010a](#)) and vertical velocity ([facility 2010b](#)) on a 30m vertical spatial resolution and 15min temporal resolution. We also applied a higher SNR threshold (0.01) than was used (0.008) in the original processing. The minimum height of the lidar-derived vertical velocity statistics is approximately 100 m. More details about the instrument are provided by [Newsom and Krishnamurthy \(2022\)](#). Details about the vertical velocity statistics value-added product (VAP) are provided by [Newsom et al. \(2019\)](#), and details about the Doppler lidar wind VAP are given by ([Newsom et al. 2015](#)).

4.3 Methods

4.3.1 Identifying CH₄ Spikes using PGS Data

In our study, we define CH₄ anomalies by first calculating the hourly mean CH₄ concentration for each measurement height (2, 4, 25, and 60 meters) and then minus the climatology baseline. The climatology for each hourly interval is established by averaging the CH₄ concentration over the same hourly period for the entire month in the same year at the same measurement height – the CH₄ anomaly at a given hour is determined by subtracting this monthly mean from the observed hourly mean CH₄ concentration. For example, the CH₄ anomaly at 25m at 8 AM on January 1st, 2020, is calculated by taking the hourly mean CH₄ concentration at 25m from 8:00 to 8:59 AM on that date and subtracting the average CH₄ concentration at 25m of all

corresponding 8:00 to 8:59 AM intervals in January 2020. This approach allows us to effectively isolate specific anomalies by removing the background seasonal variability and the long-term trend and identifying significant deviations from the expected CH₄ levels.

4.3.2 Quantifying PBL Stability and Determining PBL Height Using Radiosonde Data

To further explore these CH₄ anomalies and their relationship with the PBL stability, we employed radiosonde data to calculate the Richardson number (Ri) and determine PBLH.

The Gradient Richardson Number (Ri) is a key metric used to quantify turbulence in the PBL. It is defined as the ratio of mechanical turbulence production to thermal production or destruction of turbulent kinetic energy (Equation 4.1):

$$Ri = \frac{\frac{g}{T_v} \frac{\partial \theta_v}{\partial z}}{\left(\frac{\partial U}{\partial z}\right)^2 + \left(\frac{\partial V}{\partial z}\right)^2}, \quad (4.1)$$

where g is the gravitational acceleration, T_v is the virtual temperature, θ_v is the virtual potential temperature, z is the height above ground level, and U, V are the wind components toward the east and north. A Ri exceeds a critical threshold (i.e., critical Richardson number Ri_c , typically between 0.25 and 1 ([Galperin et al. 2007](#))) characterizes the change of turbulent energy to laminar flow.

To prevent overestimating atmospheric stability, we set a minimum threshold in calculating Richardson number to avoid excessively high Ri values in cases of minimal wind shear. Doppler lidar precision limitations often result in wind speed errors on the order of 0.01 m/s in the VAP, while radiosonde wind speed measurements are accurate to the order of 0.1 m/s. These instrument errors can lead to subtle changes in wind speed and direction that do not accurately reflect true variations, potentially causing near-zero wind shear values in stability calculations, thus leading

to an unrealistically high Ri. To remedy this, we've introduced a minimum threshold in the calculation of the denominator: $\left(\frac{\partial u}{\partial z}\right)^2 + \left(\frac{\partial v}{\partial z}\right)^2 \geq 10^{-2}$. The choice of 10^{-2} helps prevent overestimation of atmospheric stability by avoiding excessively high Ri values. We calculate Ri using its discrete approximation from balloon sounding data, providing a metric that compares the buoyant production to the shear production of turbulence and quantify the PBL stratification.

Ri is also often used to determine the PBLH, which method is called the critical Richardson number method. However, in this study, we determine the PBLH using the nose of LLJ instead of the critical Because it is less effective in highly stable conditions: in a very stable PBL, turbulence becomes intermittent, non-Kolmogorov, and non-stationary, driven by small-scale processes that do not conform to the traditional assumptions of similarity theory ([Grachev et al. 2013](#)), making Ri less reliable. In such cases, the LLJ nose is a better indicator of PBLH. The LLJ, a fast-moving wind layer often present at night, generates shear-induced turbulence even in stable conditions, providing a more accurate representation of the turbulent processes within the PBL. We define the PBLH as the height of the nose of the LLJ from the radiosonde wind profile, limiting our search to heights below 1000 meters. If multiple noses are present, we select the lowest one to define the PBLH. Furthermore, we define the wind speed at the nose of the LLJ as the LLJ strength. This approach ensures that the most relevant turbulence features are captured, even in highly stable nocturnal boundary layers where traditional methods may fail.

This method of using the LLJ nose to define the PBLH is particularly useful in regions like the Southern Great Plains, where LLJs are common ([Berg et al. 2015](#); [Ferguson 2022](#); [Song et al. 2005](#)). Studies like [Klein et al. \(2014\)](#); [Moreira et al. \(2015\)](#); [North et al. \(2014\)](#); [Zhang et al. \(2014\)](#) have demonstrated the importance of the LLJ in promoting vertical mixing and redistributing atmospheric constituents, such as ozone and CH₄, from the LLJ nose to the surface.

Because balloon-borne soundings are not available at the three other sites (LEF, SCT, INFLUX), we used model simulations from the Weather Research and Forecasting (WRF) model to estimate PBL stability at each location using the same configuration as in [Hu et al. \(2020\)](#). We extracted the U and V components of wind speed and potential temperature at different levels within the lowest 300 meters above ground at the grid cell corresponding to each site. Using these variables, we calculated the Richardson number (Ri) to evaluate the atmospheric stability. This analysis concentrated on the winter months (DJF) and nighttime to early morning hours (9 PM to 6 AM) from 2017 to 2020. By focusing on these time periods, we aimed to capture the influence of stable boundary layer conditions, which typically dominate during winter nights and can significantly impact CH₄ concentrations near the surface.

By combining the use of Ri for assessing turbulence and the LLJ nose for defining PBLH, we are able to gain a comprehensive understanding of boundary layer stability at SGP. This integrated approach is crucial for accurately interpreting the surface CH₄ anomalies and their potential link to PBL dynamics and meteorological conditions.

4.3.3 Field Measurement Campaign and Top-Down CH₄ Emission Estimation Using the Mass Balance Method

To detect and quantify the potential CH₄ emissions near the ARM site, we conducted a field measurement campaign using LI-7810 (as introduced in 4.2.2.1) from June 23 – 26, 2024. The LI-7810 was mounted at the driver's window of a pickup truck, which allowed us to measure CH₄ concentrations along the red route shown in Fig 4.3. These measurements were conducted in the early mornings (4:30 AM to 9 AM local time) at a rate of one sample per second (1 Hz). To minimize noise or bias from pressure changes, we maintained a driving speed of less than 5 mph.

The primary goal was to capture CH₄ enhancements surrounding the SGP central facility, identify potential CH₄ emission sources, and estimate their emission rates using a top-down mass balance method.

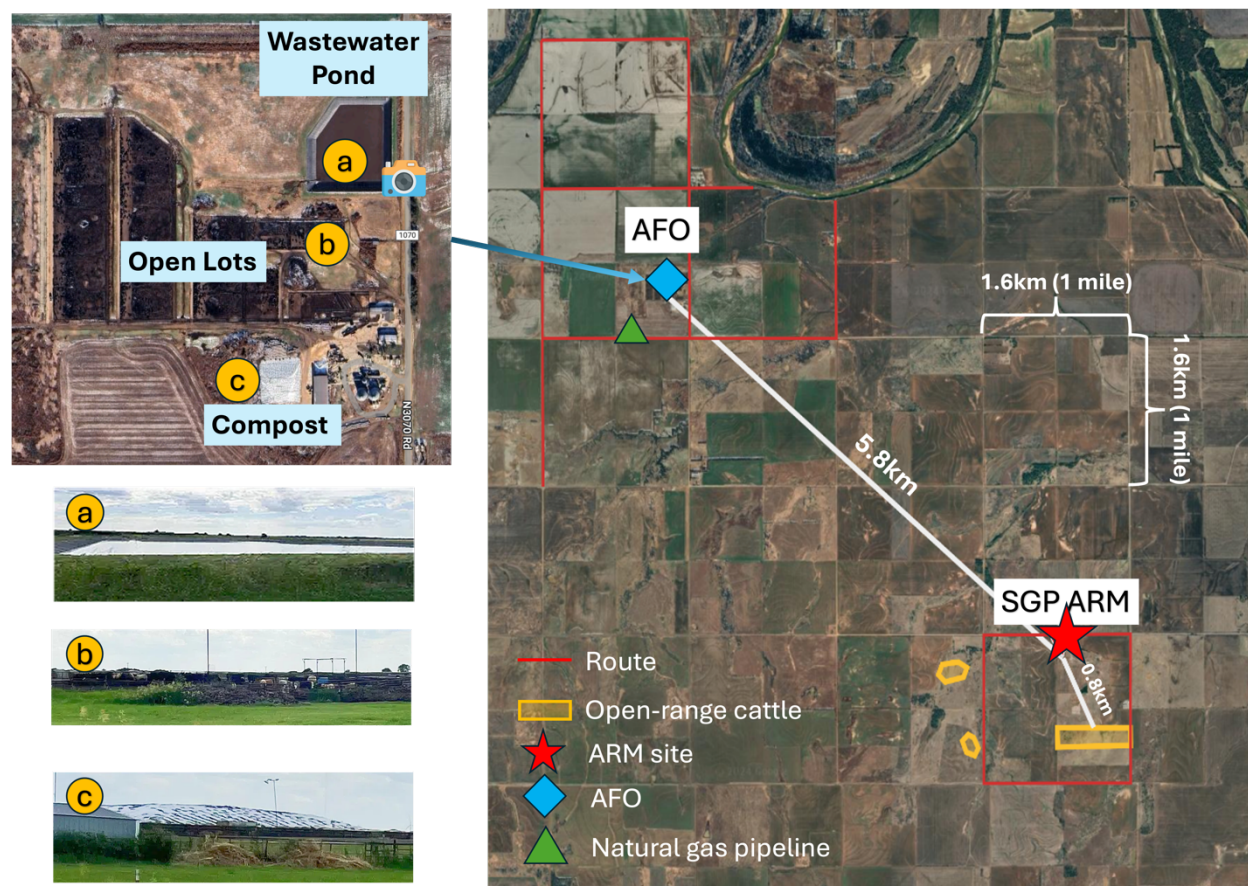


Figure 4.3 Map showing the field measurement campaign routes marked with red lines. Yellow-shaded areas indicate potential locations of open-range cattle, as observed from satellite imagery retrieved from Google Maps satellite layer. The SGP ARM site is marked by a red star and the animal feeding operation (AFO) facilities are marked by blue diamonds. The green triangles represent the locations of underground natural gas pipelines. The AFO facility includes (a) a wastewater pond, (b) open cattle lots, and (c) a compost area. The three photos at the bottom left depict the (a) wastewater pond, (b) cattle lots, and (c) compost, all taken from the location indicated by the camera icon in the top-left image.

We applied the mass balance method to estimate CH₄ emissions from the field campaign data. This approach calculates emission rates by integrating the difference between CH₄ concentrations measured upwind (background) and downwind (enhanced) across the width of the

plume (2b) and from the ground (z_{ground}) up to the top of the PBL (PBLH) ([Karion et al. 2015](#); [Lavoie et al. 2015](#); [Pandey et al. 2019](#); [Peischl et al. 2015](#)). The emission flux (F_{CH_4}) is determined using the formula (Equation 4.2):

$$F_{CH_4} = v \int_{-b}^b \Delta[CH_4] \left(\int_{z_{ground}}^{PBLH} n_{air} dz \right) \cos \alpha dx, (4.2)$$

where n_{air} is the molar dry air density, estimated using the ideal gas law with near-surface pressure and temperature from balloon-borne soundings. $\Delta[CH_4]$ is the CH_4 enhancement (downwind CH_4 minus upwind CH_4). v is the wind speed. $V \cos \alpha$ is the wind speed component normal to the driving direction. The 2b value is defined as the length of the measurement path where CH_4 concentrations remain continuously elevated, with a peak directly downwind of the source, resembling a normal distribution. We assume that wind direction and speed remain constant throughout the measurement period.

In this top-down approach, we focused on inferring emission rates based on observed downwind and upwind CH_4 concentrations in the atmosphere, rather than applying emission factors on total emission volume like bottom-up methods (e.g. EPA CH_4 inventory). This method is advantageous for identifying unreported or underestimated emissions in the region.

4.4 Results

4.4.1 CH_4 Concentration Trends at SGP Compared to Other Locations (2017-2020)

SGP is a focus of this study because CH_4 at this site is consistently higher compared to other sites in Table 4.1 across the United States over the 2017-2020 period. As shown in Figure 4.4, several key characteristics distinguish the CH_4 trends at SGP during this time period:

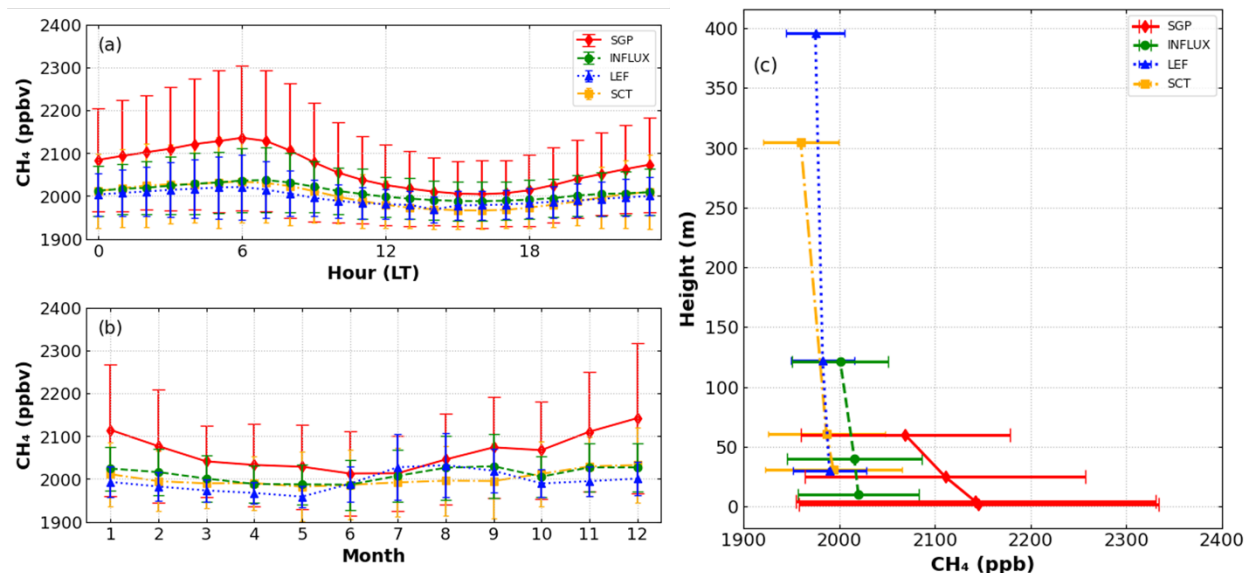


Figure 4.4 (a) Diurnal and (b) seasonal mean (lines) with standard deviation (error bars) of CH₄ at the heights marked with * in Table 1, and (c) vertical profiles of CH₄ at all heights listed in Table 1 for SGP (red), INFLUX (green), LEF (blue), and SCT (yellow). In panels (a) and (b), the mean and standard deviation are calculated from hourly averaged measurements taken from 2017 to 2020. Panel (c) shows vertical variations based on nighttime hourly measurements from 9 PM to 6 AM local time from 2017 to 2020. A 5-year trend was removed from the data on a daily basis to isolate short-term variations.

1) Generally Higher CH₄ Concentration: The CH₄ concentrations at SGP remain elevated across all seasons except July and times of day compared to other sites (Figures 4.4a and 4.4b). In July, however, CH₄ levels at the LEF site surpass those at SGP, likely due to strong emissions from nearby wetlands ([Desai 2024](#); [Yu et al. 2021](#)). Nevertheless, SGP exhibits generally higher CH₄ concentrations year-round.

2) Larger Diurnal Variations: SGP displays pronounced diurnal fluctuations in CH₄ concentrations (Figure 4.4a). For example, at 6 AM, mean CH₄ concentrations at SGP reach 2135.6 ppb, compared to 2035.9 ppb at INFLUX, 2021.0 ppb at LEF, and 2033.3 ppb at SCT, indicating significantly higher at nighttime and early morning CH₄ levels at SGP.

3) Larger Seasonal Variations: CH₄ concentrations at SGP also show substantial seasonal variation, with winter months experiencing particularly high levels (Figure 4.4b). In December, for instance, mean CH₄ concentration at SGP reaches 2141.7 ppb, considerably higher than the CH₄ levels at other sites, which remain below 2040 ppb.

4) Sharp Increases in Nocturnal Near-Surface CH₄: CH₄ concentrations at SGP exhibit particularly high values near the surface at nighttime (Figure 4.4c): The average CH₄ concentration below 60 meters at SGP is 2116.98 ppb, and the vertical gradient in the lowest 60m is 1.27 ppb·m⁻¹ (more CH₄ near surface). In comparison, at INFLUX, the average CH₄ concentrations at 10m and 40m is 2018.10 ppb, and the vertical gradient between these two heights is only 0.37 ppb·m⁻¹. Although LEF and SCT lack measurement heights below 30 meters, their respective measurements at 30-31 meters indicate significantly lower CH₄ concentrations, approximately 1990.0 ppb and 1994.1 ppb. This suggests that SGP may be strongly influenced by local sources and specific meteorological conditions that may not be fully accounted for in current emission inventories.

Existing inventories may underestimate local CH₄ emissions near SGP. As indicated by the EPA Greenhouse Gas Inventories (GHGI), emissions in Oklahoma predominantly originate from petroleum and natural gas systems, with significant contributions also from livestock-related activities such as enteric fermentation and manure management. However, detailed spatial analysis from Figure 4.1b and c reveals that the mean emission rate at SGP site grid cell is 2.61 kg·km⁻²·d⁻¹ based on EPA monthly inventory in 2018, which indicates that the emission rate from the entire 0.25° × 0.25° grid cell where SGP located in is about 84 kg·hr⁻¹. This emission rate is not particularly strong compared to other areas across the U.S. (Fig 4.1a). The major emission sources with rates exceeding 10 kg·km⁻²·d⁻¹ are located more than 55 km (0.5°) away. Given that the flux footprint at a 25-meter measurement height at SGP is estimated to extend only up to 1 km (inferred

from results in [Chu et al. \(2021\)](#)), and the concentration footprint up to 10 km (inferred from results in [Schmid \(1994\)](#)), it is unlikely that major emission sources located more than 55 km away would significantly influence CH₄ levels at SGP. Consequently, this spatial discrepancy suggests that local CH₄ emissions may be underreported in current inventories.

From a meteorological standpoint, CH₄ levels at SGP tend to peak during the night and early morning hours (Fig 4.4a), which are likely driven by specific climatic features at the site, such as the occurrence of LLJs and the persistence of a stable PBL, which inhibit vertical mixing and strengthen CH₄ accumulation near the surface.

In the next section, we will present a case study from October 30, 2020 to explore how meteorological conditions in the PBL influence surface CH₄ concentrations in detail.

4.4.2 A Case Study of Meteorological Influence on CH₄ Concentrations: October 30, 2020

This case study from October 30, 2020 provides a detailed analysis of how PBL conditions at SGP affect surface CH₄ concentrations. It reveals two distinct stages that highlight the interactions between CH₄ concentrations and PBL dynamics.

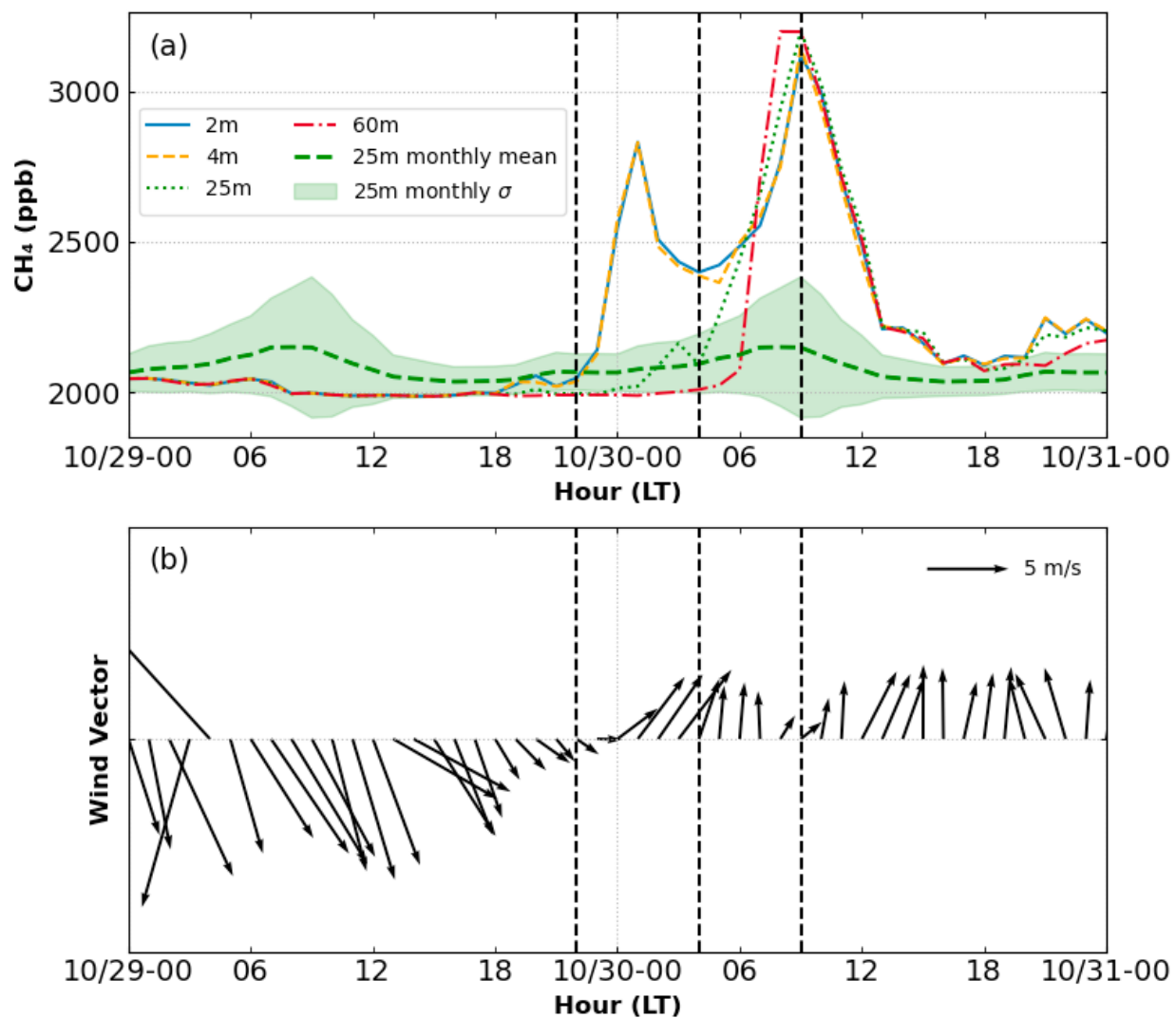


Figure 4.5 (a) Hourly averaged CH_4 concentration measured at 2m (blue solid), 4m (orange dashed), 25m (green dotted), and 60m (red dash dot), and monthly mean CH_4 concentration at 25m (green thick solid line) with standard deviations (green shade); (b) surface wind vector on Oct 29 and 30, 2020

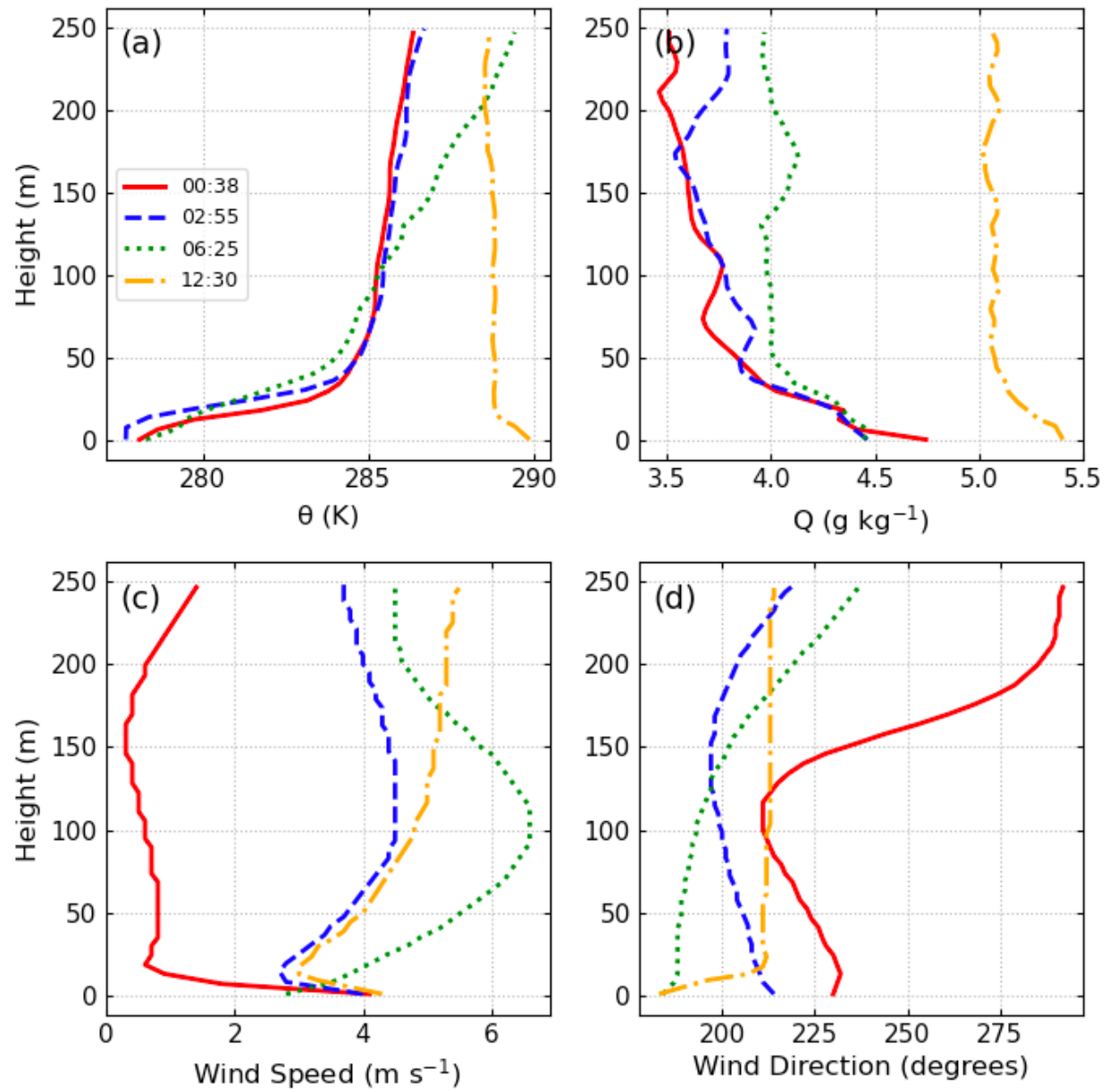


Figure 4.6 Balloon borne soundings for (a) potential temperature (θ), (b) specific humidity (Q), (c) Wind Speed, and (d) Wind Direction at 00:38 (red), 02:55 (blue), 06:25 (green), and 12:30 (yellow) on Oct 30, 2020

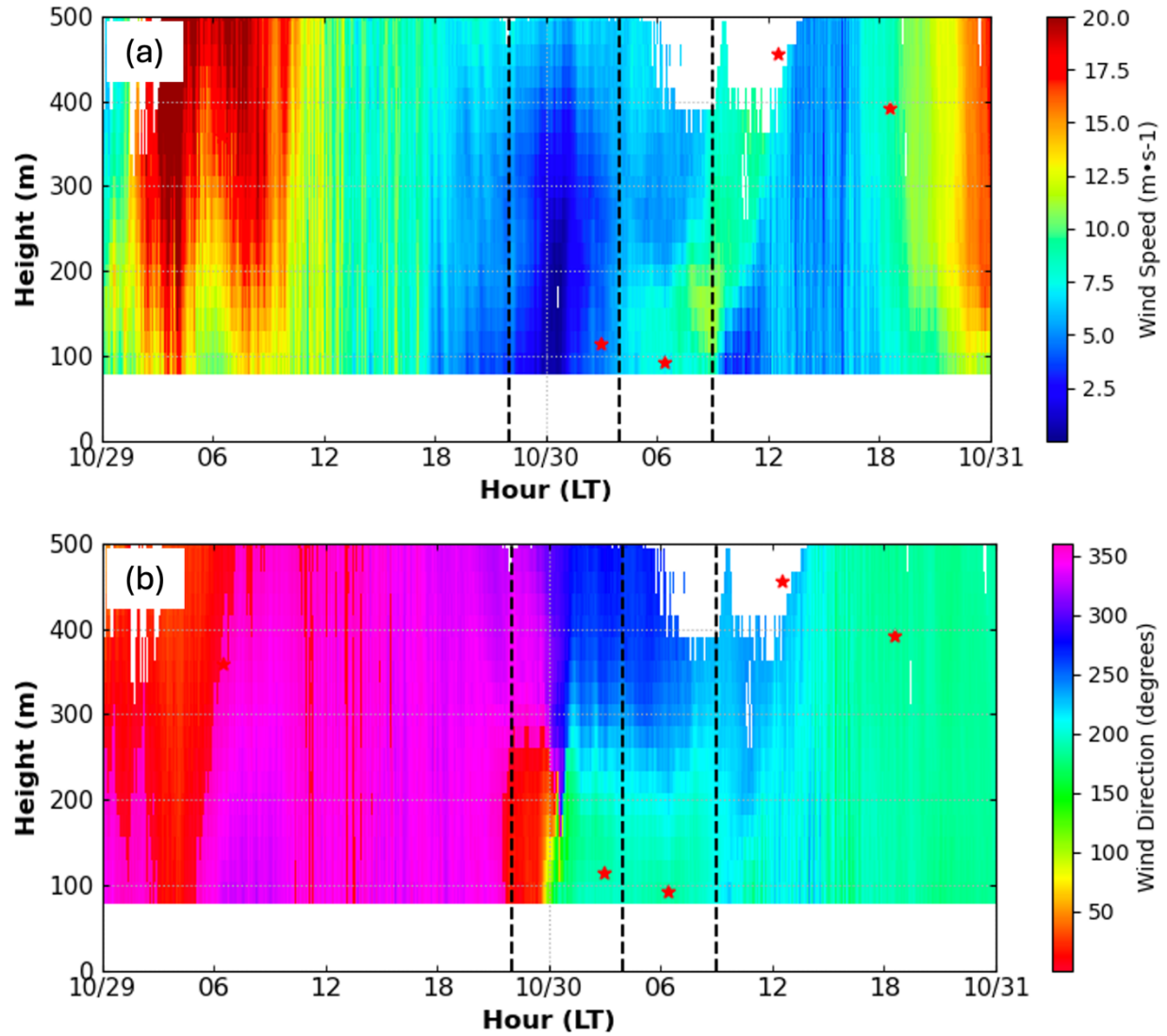


Figure 4.7 Doppler Lidar wind speed (a) and wind direction (b) on Oct 29 and 30, 2020. Red stars are PBLH inferred from balloon borne soundings with LLJ nose method introduced in 4.2.3.4.

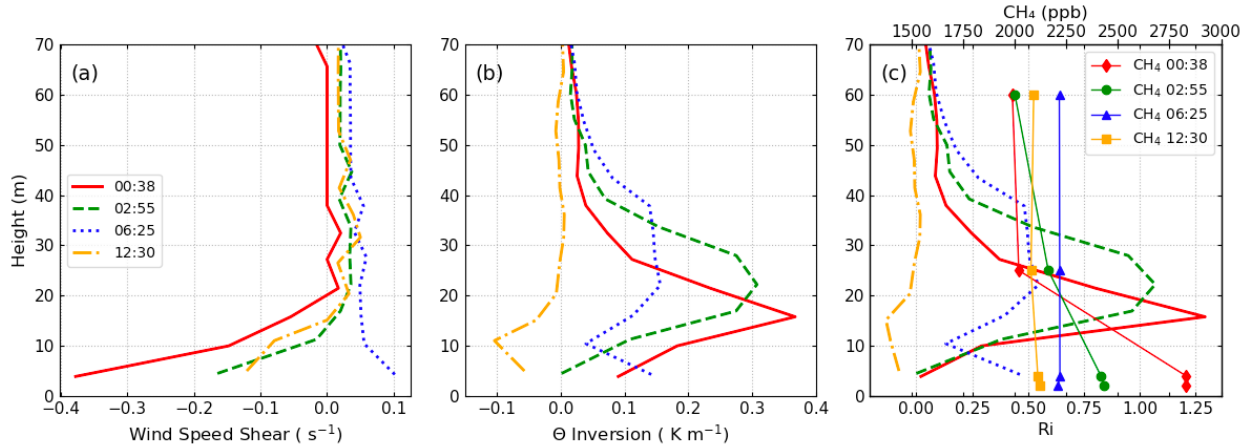


Figure 4.8 Balloon-borne sounding profiles on Oct 30, 2020 at SGP. Lines with no markers: (a) Wind speed shear, (b) θ inversion, and (c) Ri calculated with balloon borne soundings at 00:38 (red solid), 2:55 (green dashed), 5:25 (blue dotted), and 12:30 (orange dashdot) on Oct 30, 2020; Thin line with markers in (c): Hourly-averaged CH_4 concentration at 2m, 4m, 25m, and 60m, with the same color scheme as the solid lines to denote corresponding times of soundings – 00:38 (red diamond), 2:55 (green circle), 5:25 (blue triangle), and 12:30 (orange square) on Oct 30, 2020.

Stage I: 22:00 Oct 29 to 04:00 Oct 30

The first stage, from 22:00 on Oct 29 to 04:00 on Oct 30, is characterized by a pronounced decoupling of CH_4 concentrations between the lower (2m and 4m) and upper (25m and 60m) measurement heights (Figure 4.5a). Despite mild surface wind shear, indicated by wind speeds of 4.1 m/s at the surface and 1.8 m/s at 6.8 meters (Figure 4.6), a strong potential temperature (θ) inversion at 00:38 and 02:55 suggests a highly stable boundary layer below 25 meters. Doppler lidar data (Figure 4.7a) corroborates this, showing a calm PBL under 400m during this period. The stability in the boundary layer limits vertical mixing, effectively trapping CH_4 near the surface.

The high Ri values, particularly below 25 meters (Figure 4.8c), indicate that turbulence is suppressed, as the stabilizing effect of the θ inversion outweighs the destabilizing wind shear. This results in a stratified air layer with limited vertical transport, allowing CH_4 to accumulate near the surface. The sharp increase in specific humidity (Q) at 15 meters, as shown in Figure 4.6(b), further

supports this interpretation, suggesting a pronounced stratification that confines CH₄ to lower altitudes.

Additionally, the sharp rise in CH₄ concentrations at 2m and 4m around 11 PM (Figure 4.5a) corresponds to a shift in wind direction (Figures 4.5b and 4.7b), possibly indicating the activation or influence of a CH₄ source near SGP.

Stage II: 04:00 to Sunrise

By 06:25 AM, the PBL begins to mix more effectively due to the development of a low-level jet (LLJ) at 103 meters, with a peak wind speed of 6.6 m/s (Figure 4.5). The wind shear above 10 meters becomes more pronounced, generating mechanical turbulence that facilitates vertical mixing (Figure 4.7a). The θ inversion weakens (Figure 4.7b), and the Ri values drop closer to or below the critical threshold of 0.25 (Figure 4.7c), indicating a shift toward less stable atmospheric conditions. These changes result in more uniform CH₄ concentrations across the measured heights, marking a transition from the decoupling observed earlier.

Doppler lidar data shows that wind speeds begin to increase at approximately 100 meters around 04:00 (Fig 4.6a), and the LLJ strengthens as the morning progresses, lifting the PBLH and promoting further vertical mixing. By 12:30, the PBL has developed to 456 meters, and surface warming post-sunrise (sunrise time 07:52 at Lamont, OK on Oct 30,2020) intensifies vertical convection, redistributing CH₄ and smoothing out the surface anomalies observed earlier.

This case study demonstrates that elevated surface CH₄ concentrations are closely linked to stable PBL conditions, particularly when wind shear, θ inversion, and Ri values indicate limited vertical mixing. Conversely, when LLJ strength increases, especially after sunrise, enhanced wind shear promotes more efficient mixing, leading to a more uniform vertical profile of CH₄. The

influence of solar heating further amplifies this effect, establishing a diurnal pattern in CH₄ dispersion.

This case suggests how a stable PBL is related to accumulated surface CH₄. In the next section, we will expand on this by statistically analyzing how meteorological factors contribute to CH₄ variability, providing a broader and more comprehensive understanding of their impact.

4.4.3 Statistical Impact of Meteorological Conditions on CH₄ Accumulation

High CH₄ anomalies at SGP are strongly associated with stable nocturnal PBL conditions, characterized by both mechanical and thermal stability. Mechanically, weak wind shear and low surface winds suppress turbulence, allowing CH₄ to accumulate near the surface. CH₄ anomalies above 200 ppb are primarily associated with low wind shear (below 0.1 s⁻¹) and weak surface wind speeds (less than 4 m·s⁻¹) (Figure 4.9a). As wind shear increases, the CH₄ anomalies tend to decrease, suggesting that stronger mechanical mixing associated with higher wind shear disperses CH₄ more efficiently, thereby reducing the CH₄ concentration near the surface. Thermal stability, characterized by the strength of the potential temperature inversion, is a key factor in CH₄ accumulation. A positive correlation between CH₄ anomalies and inversion strength shows that stronger inversions consistently coincide with higher CH₄ concentrations ($y = 2648x - 117.6$, $r = 0.57$) (Figure 4.9b).

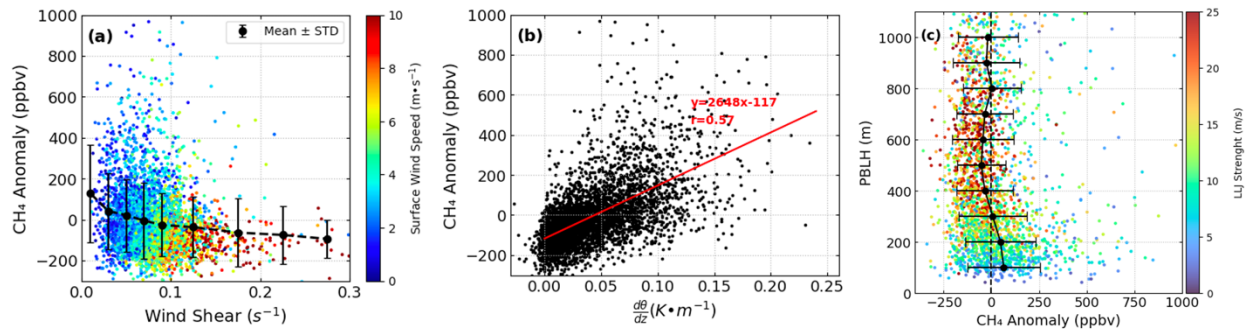


Figure 4.9 (a) Scatter of wind shear versus CH₄ anomaly, colored by the surface wind speed. Black error bars represent means with SDs of CH₄ anomalies in wind shear bins (with bins size 0.02 s⁻¹ when wind shear is less than 0.1 s⁻¹, and 0.05 s⁻¹ when wind shear larger than 0.1 s⁻¹) (b) scatter of CH₄ anomaly versus $\frac{d\theta}{dz}$ with red linear regression line and correlation coefficient r ; (c) scatter of CH₄ anomalies versus PBLH. Black error bars represent means of CH₄ anomalies with SDs in PBLH bins (bins size 100m).

The combined effect of both mechanical and thermal stability is captured by PBLH, with the highest CH₄ anomalies occurring when the PBL is shallow (Figure 4.9c) – High CH₄ anomalies are generally observed when the PBL is shallow: enhancements of +65.1 ppb are noted at PBLHs of 50 to 150 meters, +47.2 ppb from 150 to 250 meters, and +6.8 ppb from 250 to 350 meters. Conversely, PBLHs above 350 meters are related to reduced surface CH₄ concentrations (CH₄ anomalies ranging from -2.8 to -40.1 ppb), suggesting less CH₄ accumulation under less stable atmospheric conditions.

As seen from the vertical CH₄ distributions across all PGS measurement heights at SGP, stable stratification strongly affects near-surface CH₄ accumulation and may cause decoupling in near-surface CH₄. Over the decade from 2012 to 2022, stable conditions with Ri values exceeding 0.4 were observed on 312 nights (271 nights in months from October to May), particularly below 30 meters. As illustrated in Fig 4.10: when the Ri exceeds 0.4 below 30m, the corresponding mean CH₄ concentrations at 2m and 4m are both above 2400 ppb, which is over 300 ppb higher than the CH₄ concentrations at 25m and 60m. This suggests that strong stratification at some height

between 10m and 25m suppresses turbulence, effectively trapping CH₄ in the lowest 25 meters (or lower) of the atmosphere. While under weakly stable or neutral conditions ($Ri < 0.25$ under 70m), turbulence enhances vertical mixing, leading to a more uniform vertical distribution of CH₄ below 70m compared to the stratified case in Figure 4.10b. This comparison highlights the significant influence of PBL stability on the vertical mixing of CH₄.

The higher CH₄ concentrations near the surface in winter nights and early mornings at SGP compared to other sites can be explained by less buoyant production of turbulence. Wind shear at during winter nights and mornings is similar to that at other sites, indicating comparable levels of mechanical mixing (Figure 4.11a). However, the θ inversion at SGP is much stronger (~ 0.027 K/m at 24 meters), compared to values ranging from 0.01 to 0.017 K/m at INFLUX, LEF, and SCT (Figure 4.11b). This stronger inversion results in higher Ri values at SGP, around 0.1, whereas Ri values at the other sites are much lower (Figure 4.11c). The elevated Ri at SGP suppresses turbulence, limiting CH₄ dispersion and leading to higher CH₄ concentrations near the surface, especially during stable, cold winter mornings.

However, meteorological factors like stable PBL conditions alone may not fully explain all surface CH₄ enhancement. For instance, we also observed cases of negative CH₄ anomalies under similar stable PBL conditions, where CH₄ anomalies were below zero even when the θ inversion exceeded 0.1 K/m (Figure 4.10). This suggests that stable PBL conditions are not always associated with elevated surface CH₄ concentrations. Therefore, additional factors, particularly underreported or unaccounted local emissions, may contribute to the observed CH₄ levels at SGP. This necessitates further exploration of potential sources underestimated in existing inventories to fully account for the variability in surface CH₄ concentrations.

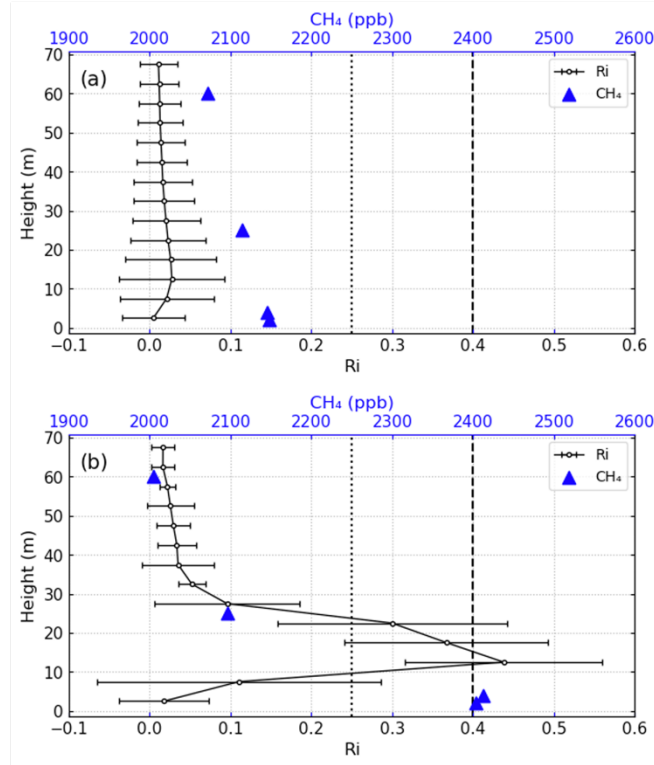


Figure 4.10 Mean Ri profiles with SD from nocturnal balloon-borne soundings (black errorbar) and corresponding CH₄ concentrations at 2m, 4m, 25m, and 60m (blue triangle) during the same time period, using data from 2012 to 2023. (a) Displays conditions where Ri under 70m is less than 0.25; (b) Shows conditions where the maximum Ri below 30m is greater than 0.4. vertical dashed (dotted) line in (a) and (b) indicate where Ri is 0.4 (0.25).

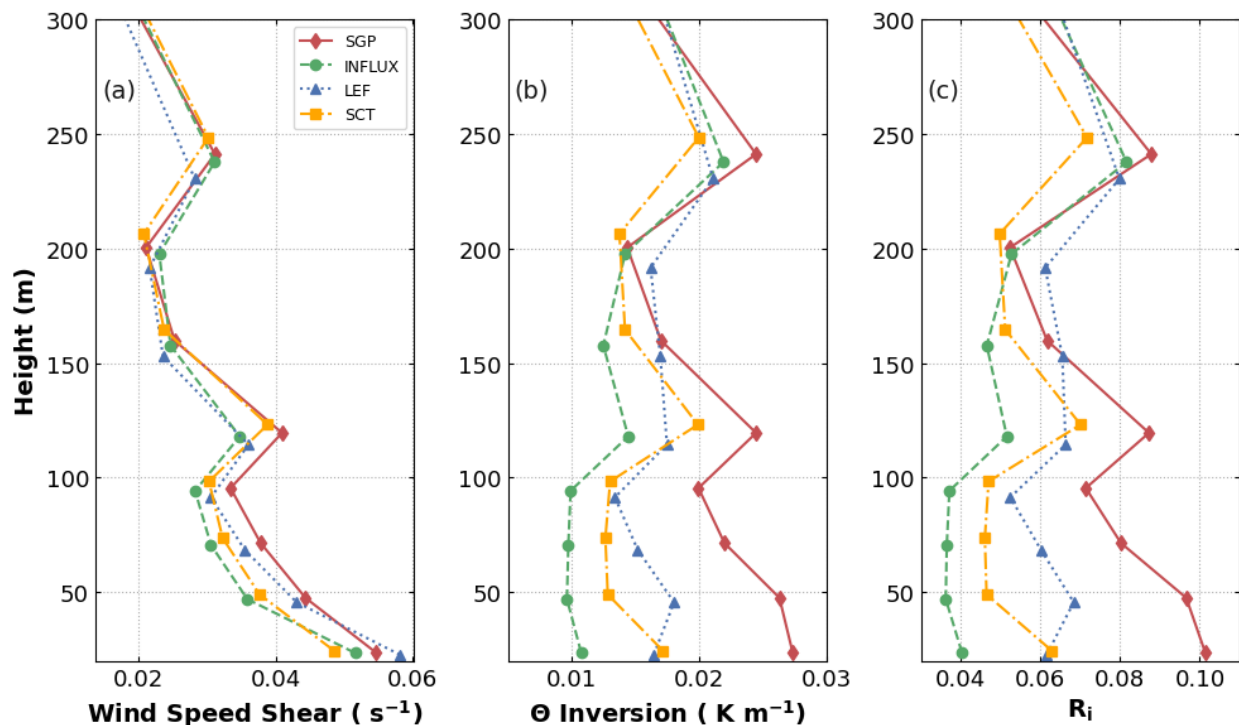


Figure 4.11 Mean winter (DJF) nocturnal (9 PM to 6 AM Local Time) (a) wind speed shear, (b) θ inversion, and (c) R_i under 300 meters for 2017-2020 from WRF simulations at SGP (red), INFLUX (blue), LEF (green), and SCT (orange). WRF configurations are after [Hu et al. \(2020\)](#).

4.4.4 Field Campaign to Identify and Quantify Potential CH_4 Sources Near SGP

Given that meteorological factors alone cannot fully account for the observed CH_4 variations, it's essential to investigate potential local sources contributing to these anomalies. Local CH_4 anomalies at SGP are closely tied to specific wind directions, suggesting unaccounted emission sources. Wind direction analysis (Fig 4.12) suggested that high surface CH_4 anomalies predominantly occurred when winds were blowing from two main sectors: $140^\circ - 240^\circ$ and 300° . These directions correspond to potential emission sources, including nearby agricultural and industrial activities, indicating that local CH_4 emissions may play a more significant role than previously captured by existing inventories, such as the GHGI and the FOG inventories.

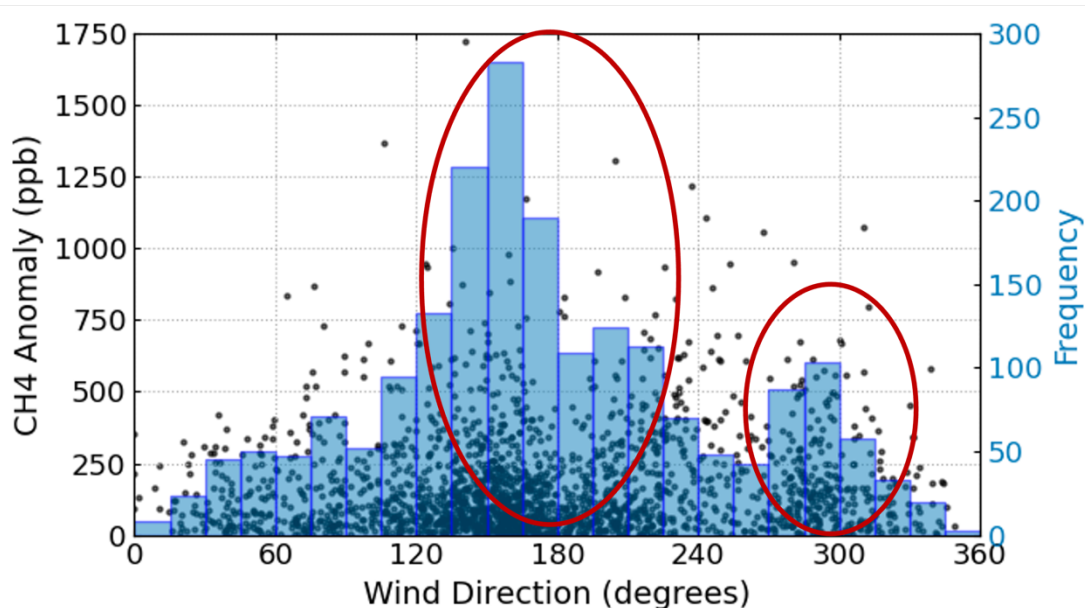


Figure 4.12 Distribution of Wind Directions Associated with CH₄ Anomalies from 2012 to 2023. This figure presents the frequency of wind directions corresponding to positive CH₄ anomalies (depicted as a blue histogram) alongside a scatter plot (black points) showing the relationship between CH₄ anomalies and wind directions, based on hourly averaged data.

Table 4.2 Potential CH₄ sources near the SGP site inferring from both satellite image and wind direction analysis in Figure 4.12

Potential Source	Lat, Lon	Degree to the SGP site	Distance to the SGP site	Potential methane emitter
Concentrated AFO	36.6413, -97.5374	310°	5800m	cattle, wastewater pond, compost, natural gas leak
Open-range Cattle	36.5983, -97.4836	140° – 240°	850m	cattle, cattle excrement

Our field observations identified an AFO located approximately 5.8 km from SGP at 310 degrees. The AFO houses around 15,000 cattle according to records from the Oklahoma Department of Agriculture and Forestry's Concentrated Animal Feeding Operation (CAFO) permit database. The potential CH₄ emissions at the AFO likely arise from open lots, wastewater ponds, and compost areas. Based on previous studies on the emission rate from per cow (0.22 to 1.39 kg per cow per day) ([Arndt et al. 2018](#); [Bjorneberg et al. 2009](#); [Hamilton et al. 2010](#); [Leytem et al.](#)

[2011](#); [Sun et al. 2008](#); [Todd et al. 2011](#); [VanderZaag et al. 2014](#); [Vechi and Scheutz 2023](#)), the estimated CH₄ emission rate from this facility could exceed 130 kg·hr⁻¹. However, the actual emission rate may vary significantly due to factors such as cattle diet ([Chang et al. 2021](#)), diurnal activity patterns ([Leytem et al. 2011](#)), and wastewater pond characteristics ([Leytem et al. 2017](#); [VanderZaag et al. 2014](#)). The actual counts of cattle during our field campaign period also remain uncertain even with the records from the permit database.

To refine the emission rate estimates, we conducted a targeted field campaign from June 23 to 26, 2024, focusing on early morning measurements between approximately 4:30 and 9:00 AM local time. The most significant observation occurred at around 6:30 AM on June 26, while driving downwind of the AFO along the road on its east side. We observed an exceptionally high CH₄ concentration exceeding 6000 ppb downwind of the wastewater pond, which is located approximately 20 meters from the road (Figure 4.13). At the time of this sharp enhancement, the wind direction was 304 degrees, directly aligning the pond with our measurement path. Further downwind of the open lots, we observed CH₄ concentrations exceeding 4000 ppb. The covered compost area, located downwind of the open lots, showed CH₄ concentrations exceeding 3000 ppb, although it was difficult to distinguish its contribution from that of the open lots. These strong CH₄ enhancements suggest a substantial emission hotspot associated with the open lots, wastewater pond, and compost areas in the AFO.

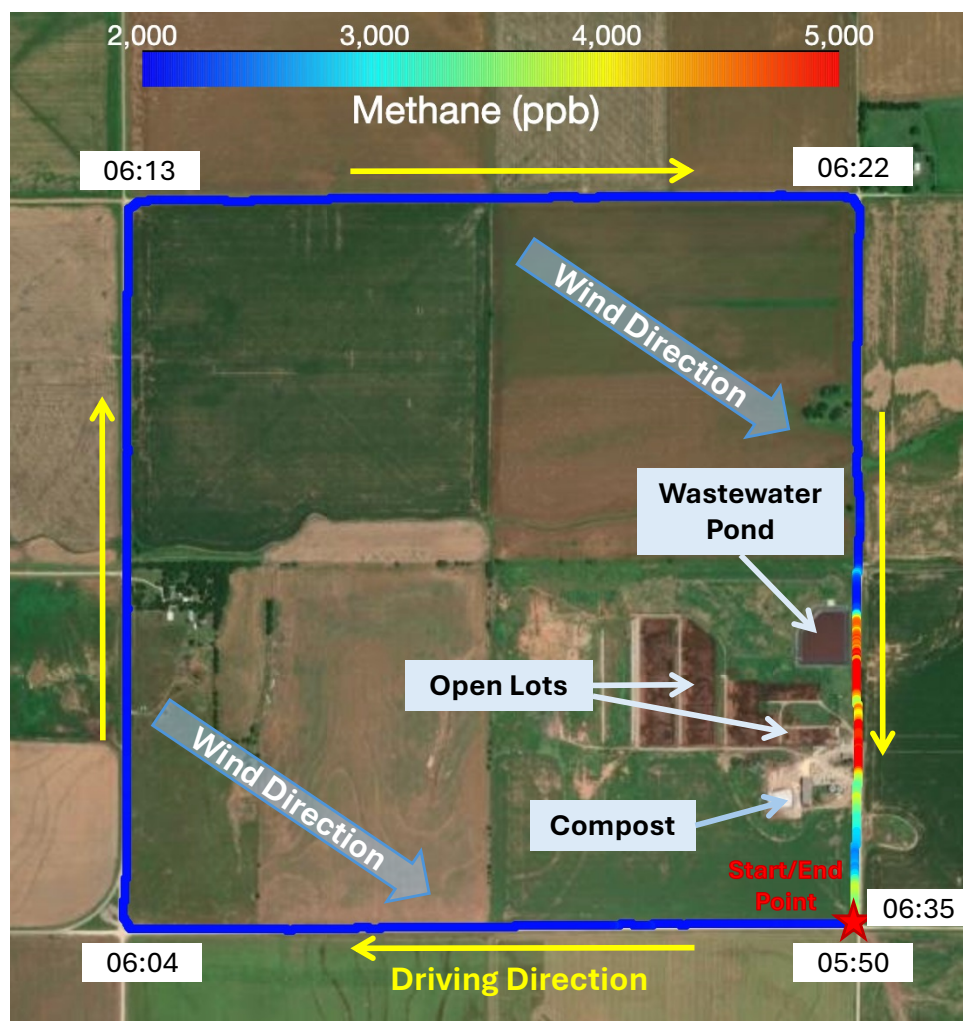


Figure 4.13 CH₄ measured using LI-7810 between 6:00-6:50 local time near the AFO during the field measurement campaign on June 26, 2024. The color stands for CH₄ concentration.

Using the mass balance method (as introduced in Section 4.2.3.3), the estimated CH₄ emission rate is at least 92 kg·hr⁻¹, assuming the PBLH in Eqn.1 is 15 meters. Notably, this minimum estimate already exceeds the emission rate of 84 kg·hr⁻¹ for the entire grid cell as reported in the EPA GHGI (detailed in Section 4.3.1). The PBLH inferred from Doppler lidar vertical velocity variance sharply decreases from 2.3 m² s⁻² at 15 meters to a smaller value at 45 meters, although vertical velocity variance data at 6:30 AM is unavailable. For reference, the variance was 0.68 at 5:50 AM and 0.2 at 7:30 AM. If we assume that the surface CH₄ enhancement

is uniform up to 45 meters, the emission rate increases to 275 kg hr^{-1} . The accuracy of emission calculations would greatly benefit from precise vertical CH_4 profiles, as the current estimates are highly sensitive to assumptions about PBLH.

During the campaign, we also detected a significant CH_4 leak from an underground natural gas pipeline about 500 meters from the AFO, where concentrations spiked to 40,000 ppb. This, combined with AFO emissions, points to a substantial local CH_4 source likely underreported in current inventories.

The AFO and the natural gas leak could be the potential sources leading to the CH_4 enhancement at 300 degrees in the wind direction analysis, and we also identified potential CH_4 emissions in the $140^\circ - 240^\circ$ wind sector—45 open-range cattle located about 850 meters southeast of SGP, within the $140^\circ - 180^\circ$ during our field campaign. At 7:30 AM, we detected a significant CH_4 enhancement exceeding 500 ppb downwind of this small group of cows. According to ARM facility staff, during the winter months, cows are corralled and fed hay instead of grazing on open pasture due to the lack of grass. While this smaller group of cattle may not contribute as much CH_4 as larger AFOs, their close proximity to SGP can still cause notable local CH_4 enhancements, especially under stable PBL conditions. This finding highlights the role of smaller livestock operations in influencing local CH_4 levels under specific meteorological conditions.

Our field campaign results suggest that agricultural activities, particularly from cattle, are the dominant contributors to elevated CH_4 levels at SGP. Additionally, leaks from natural gas systems, such as the detected pipeline, further contribute to CH_4 enhancements. This dual source of emissions, particularly during stable PBL conditions, underscores the complex relationship between agriculture, industry, and local CH_4 dynamics.

4.5 Conclusions

This study highlights the complex interplay between meteorological conditions and local emissions in driving surface CH₄ anomalies at SGP. Long-term analysis of CH₄ concentration trends from 2017 to 2020 revealed that SGP consistently exhibits higher surface CH₄ concentrations compared to other sites across the United States, particularly during winter and early morning hours. Our analysis showed that while meteorological factors such as stable PBL conditions with a PBLH lower than 350m, weak wind shear (less than 0.1 s⁻¹), and strong θ inversions (greater than 0.5 K·m⁻¹) contribute significantly to CH₄ accumulation near the surface. As seen from Ri, very shallow laminar flow under 25m often occur at SGP at night, which largely reduce the vertical upward dispersion from surface emission to heights above 25m, and is related to vertical decoupling from the vertical CH₄ concentration distribution – leading to about 300 enhancement under 10m on average at these nights.

However, such stable PBL and reduction in turbulence are insufficient to explain the surface enhancement of CH₄ concentrations at SGP. Wind direction analysis suggests there can be nearby CH₄ sourced from two sectors: 140° – 240° and 300°. To address the potential underestimation of local emissions, we conducted a field campaign to identify specific CH₄ sources near SGP. Our results identified an AFO housing 15,000 cattle approximately 5.8 km from SGP as a major contributor to CH₄ enhancements, with emission rates estimated to be at least 92 kg·hr⁻¹, exceeding the emission rate from EPA inventories for the entire grid cell. Further analysis revealed additional potential sources, including a smaller group of 45 open-range cattle southeast of SGP, which caused localized CH₄ enhancements. Additionally, our campaign detected a significant CH₄

leak from an underground natural gas pipeline near the AFO, further emphasizing the importance of underreported emissions from industrial sources.

Our results highlight the need for more detailed emission inventories and precise vertical CH₄ profiles to improve the accuracy of CH₄ source quantification. This integrated approach is essential for advancing CH₄ monitoring and better understanding greenhouse gas dynamics in agricultural and industrial regions like SGP.

Chapter 5 Underestimated Methane Emissions at SGP ARM Site: Field Campaign Insights and WRF-Chem Simulations

5.1 Introduction

Methane (CH_4) is the second most prevalent greenhouse gas, which significantly influences atmospheric chemistry and climate dynamics ([Myhre et al. 2014](#); [Stocker et al. 2013](#)). Despite the progress in understanding the sources and sinks of CH_4 , quantifying emissions—especially from localized sources such as wetlands, agriculture, and fossil fuel activities—continues to be a critical challenge in atmospheric sciences ([Bamberger et al. 2014](#); [Bridgham et al. 2013](#); [Kuze et al. 2020](#); [Lamb et al. 2015](#); [Riddick et al. 2017](#)). Discrepancies between observed CH_4 concentrations and emission estimates derived from inventories highlight persistent uncertainties and potential underestimations ([Alvarez et al. 2018](#); [Chan et al. 2020](#); [Chen et al. 2022](#); [Hmiel et al. 2020](#); [Hristov et al. 2018](#); [Shen et al. 2022](#); [Tyner and Johnson 2021](#); [Zhang et al. 2020](#)).

Accurate CH_4 emission inventories are crucial for atmospheric models like the Weather Research and Forecasting model with Chemistry (WRF-Chem), which rely on emissions inventories to simulate the transport, mixing, and chemical reactions of atmospheric gases, like studies in [Beck et al. \(2013\)](#); [Zhao et al. \(2019\)](#). However, underestimations in these inventories can propagate through the modeling process, leading to inaccurate predictions about air quality and climate, ultimately hindering the development of effective mitigation strategies ([Tibrewal et al. 2024](#); [Wang et al. 2024](#)).

The Southern Great Plains (SGP) site, part of the Atmospheric Radiation Measurement (ARM) network, presents an intriguing case for CH_4 research due to consistently higher recorded CH_4 concentrations compared to other U.S. observational sites ([Miller et al. 2013](#); [Saito et al. 2013](#);

[Turner et al. 2016](#)), as discussed in Chapter 4. This anomaly provides a valuable opportunity to reassess the assumptions that current CH₄ inventories, like the U.S. EPA's Greenhouse Gas Inventory (GHGI), often fail to capture small-scale emissions, which are significant contributors to regional CH₄ budgets. This limitation makes it likely that localized sources, such as concentrated animal feeding operations (AFOs) and natural gas leaks, are underrepresented in the inventories, thus requiring a more thorough investigation into these overlooked contributions. Hereafter, we refer to the SGP site simply as SGP.

To address these challenges of underestimating CH₄ emissions in regional inventories, we conducted a targeted field campaign in June 2024 at the SGP site. This campaign primarily aimed to investigate CH₄ emissions from a concentrated AFO located 5.8 km northwest of the SGP. By measuring CH₄ concentrations around the AFO and applying a top-down mass balance method, we quantified CH₄ emission rates based on observed atmospheric CH₄ concentrations. These refined emission estimates offer insights into how local sources, such as AFOs, contribute to higher-than-expected CH₄ concentrations at SGP, thus enhancing the accuracy of CH₄ inventories and supporting more precise climate modeling efforts.

By integrating the field measurements with advanced atmospheric chemistry transport models like Weather Research and Forecasting model with Greenhouse Gas (WRF-GHG), this study seeks to improve the understanding of CH₄ dynamics on a regional scale and their broader impact on global CH₄ inventories. The findings contribute to enhancing the accuracy of atmospheric models, offering critical insights that support the development of more effective climate policies and strategies aimed at mitigating CH₄ emissions.

5.2 Materials and Methods

5.2.1 Study Farm

The concentrated AFO investigated in this study is located in Grant County, northern Oklahoma, and is surrounded by crop fields, as shown in Figure 5.1. This facility houses approximately 15,000 beef cattle, according to records from the Oklahoma Department of Agriculture and Forestry's Concentrated Animal Feeding Operation (CAFO) permit database. From satellite imagery, the total area of the AFO is estimated to cover around 200,000 square meters. The facility includes open lots of around 75,000 m², a wastewater pond occupying about 14,000 m², and a composting area of roughly 3,600 m², both of which are potential sources of CH₄ emissions due to the decomposition of organic material and waste from cattle operations. The presence of these sources, combined with the dense livestock population, underscores the significance of this AFO in contributing to local CH₄ emissions.

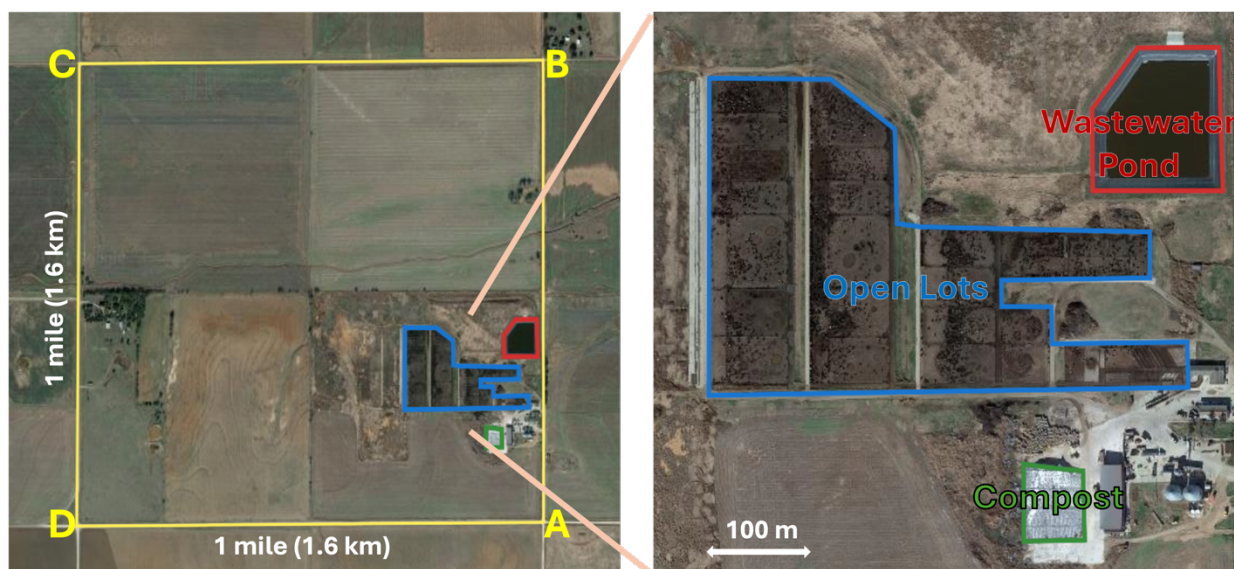


Figure 5.1 The layout of the concentrated AFO in Grant County, Oklahoma, and the surrounding area. The left panel shows the location of AFO within a 1-mile by 1-mile grid, with roads marked in yellow where driving and methane measurements were conducted during the field campaign. The right panel provides a close-up satellite image of the AFO including a wastewater pond (outlined in red), open lots (outlined in blue), and a covered compost area (outlined in green).

These components are potential sources of CH₄ emissions, contributing to localized atmospheric CH₄ concentrations. Satellite image was accessed on Oct 15, 2024.

5.2.2 Field Measurements

Our primary objective was to estimate the emissions of CH₄ from the three main sources located on the farm, which included the open lots, wastewater pond, and composting areas (Fig 5.1). The concentrations of CH₄ were continuously measured using a LI-COR LI-7810 CH₄/CO₂/H₂O Trace Gas Analyzer (<https://www.licor.com/env/products/trace-gas/LI-7810>, LI-COR Biosciences, Lincoln, Nebraska, USA), which provides high-precision readings at a rate of one sample per second (1 Hz). Prior to the study, the analyzer was recalibrated in June 2024 to ensure measurement accuracy.

The analyzer was mounted on the driver's window of a pickup truck, which allowed us to take real-time measurements of CH₄ concentrations while driving along the roads surrounding the AFO (Fig 5.1). The vehicle was driven at a steady speed of approximately 3 mph to ensure consistent sampling. The field measurements were carried out during the early morning hours from 4:30 to 8:00 AM **local time**, over the period of June 23-26, 2024.

5.2.3 WRF-GHG simulations

In this study, we used an enhanced version of the WRF-VPRM model, referred to as WRF-GHG, to simulate CH₄ emissions and transport. WRF-GHG builds on the Weather Research and Forecasting model coupled with the Vegetation Photosynthesis and Respiration Model (VPRM) for biogenic CO₂ fluxes ([Ahmadov et al. 2007](#); [Mahadevan et al. 2008](#); [Xiao et al. 2004](#)), which has previously been applied to investigate CO₂ fluxes and concentrations at regional scales ([Dong et al. 2021](#); [Hu et al. 2020](#); [Li et al. 2023](#); [Li et al. 2020](#))

For this work, WRF-GHG was further developed to include CH₄ by coupling with the Copernicus Atmosphere Monitoring Service (CAMS) CH₄ global simulation for initial and boundary conditions. The model also integrates CH₄ emissions from wetlands using the WetCHARTs dataset ([Bloom et al. 2017](#)) and anthropogenic emissions. This enhanced version of WRF-GHG has demonstrated its capability in capturing both monthly variations and episodic events of CO₂ and CH₄ concentrations associated with frontal passages across the United States.

The simulation results were validated against multiple datasets, including satellite observations from the Total Carbon Column Observing Network (TCCON), OCO-2, and TROPOMI, as well as in-situ measurements from the GLOBALVIEW obspack data ([Hu et al. 2021](#)).

The spatial resolution of the model was set at 32 meters by 32 meters with a temporal resolution of 5 minutes to capture fine-scale variability in emissions and concentrations.

5.2.4 Emission Rate Estimation

5.2.4.1 Construction of Pseudo Vertical CH₄ Concentration Profile

Since our field measurements were limited to surface-level CH₄ concentrations and lacked information on the vertical distribution, we constructed a pseudo vertical profile to approximate CH₄ distribution throughout the PBL. To achieve this, we used the WRF-GHG simulated CH₄ profiles as a reference as in Figure 5.2.

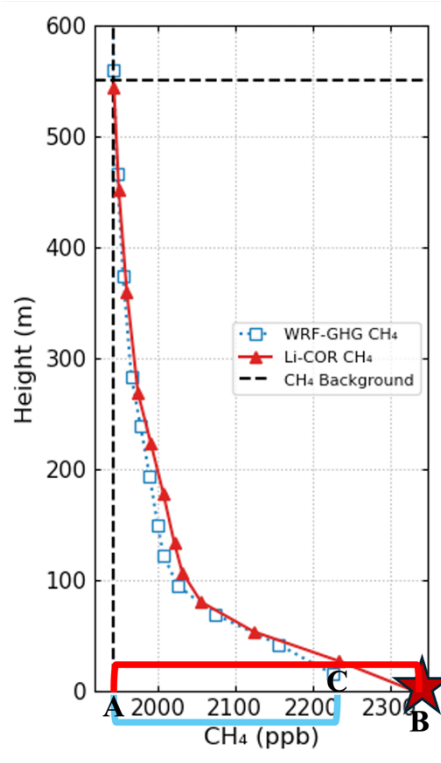


Figure 5.2 Example of methods to generate pseudo CH₄ profile from WRF-GHG CH₄ and radiosonde-determined PBLH

The WRF-GHG model provides CH₄ concentration profiles at a spatial resolution of 32m×32m with temporal updates every 5 minutes, while the LI-7810 instrument measured surface-level CH₄ concentrations at a frequency of one sample per second. For each second of LI-7810 data, we identified the closest WRF-GHG CH₄ profile based on both time and location to construct a corresponding pseudo vertical profile. We introduce the method use the profile in Figure 5.2 as an example:

- 1) **Identify PBLH from Radiosonde Profiles:** For each surface LI-7810 CH₄ measurement, determine the planetary boundary layer height from the nearest-in-time radiosonde profile, using the height of the low-level jet (LLJ) nose as the PBLH indicator.

- 2) **Retrieve WRF-GHG CH₄ Profiles:** Find the closest WRF-GHG CH₄ profile in both time and location corresponding to the LI-7810 measurement.
- 3) **Determine Background CH₄ Concentration:** Define the background CH₄ concentration as the mean CH₄ concentration in the WRF-GHG profile levels above the identified PBLH.
- 4) **Calculate Surface CH₄ Enhancements and Ratios:** Compute the CH₄ observation enhancement by subtracting the background CH₄ concentration from the LI-7810 measured CH₄ (enhancement from A and B in Figure 5.2). Similarly, calculate the bottom-level CH₄ enhancement by subtracting the background CH₄ concentration from the bottom-level CH₄ concentration in the WRF-GHG profile. Determine the ratio between these two enhancements.
- 5) **Generate Pseudo CH₄ Profiles:** Apply the calculated ratio to scale the WRF-GHG CH₄ profile, adjusting the profile to match the observed enhancements. The adjusted profile, referred to as the Pseudo CH₄ profile, represents the scaled CH₄ distribution.

This ensured that every LI-7810 surface measurement was paired with an appropriate WRF-GHG vertical profile. This method is relatively insensitive to the PBLH, as CH₄ concentrations in the troposphere above the PBLH exhibit minimal vertical variation. For the four analyzed days, even if the PBLH were to double, the estimated emission rate would change by less than 25%.

5.2.4.2 Application of the Mass Balance Method on Pseudo Vertical CH₄ Profiles

To estimate CH₄ emissions from our field campaign data, we employed the mass balance method. This approach calculates emission rates by integrating the difference between CH₄ concentrations measured upwind (background) and downwind (enhanced) across the width of the plume (2b) and from the ground (z_{ground}) up to the top of the PBL (PBLH) ([Karion et al. 2015](#); [Lavoie et al. 2015](#); [Pandey et al. 2019](#); [Peischl et al. 2015](#)). The emission flux (F_{CH_4}) is determined using the formula:

$$F_{CH_4} = \int_{x_0}^{x_1} v \cos \alpha \left(\int_{z_{ground}}^{PBLH} n_{air} \cdot [CH_4] dz \right) dx, \quad (5.1)$$

where n_{air} is the molar dry air density, calculated using the ideal gas law based on near-surface pressure and temperature from balloon-borne soundings. $[CH_4]$ the pseudo vertical CH₄ profile, constructed as described earlier in 3.3.1. v is the wind speed measured at the ARM surface meteorological station. $v \cos \alpha$ is wind speed component normal to the driving track. dx is the distance between consecutive LI-7810 samples, with x_0 marking the start and x_1 the end of the measurement path along the route. This method assumes that wind direction and speed remain constant throughout the measurement period.

The method has known uncertainties, including: 1. It is based on the assumption that all measurements are measured at a simultaneous time, neglecting the meteorological condition and emission change over time during the measurement; 2. The uncertainty from the pseudo CH₄ profile: we are lack of vertical profile info of the CH₄, even though inferring from WRF simulation has been the best way now we can estimate the true CH₄ profile, uncertainties still exist from both the uncertainty of WRF simulation itself and how likely the true profile is from the WRF CH₄ profile, 3. The uncertainty from meteorological conditions: we use the meteorological states from

the surface meteorology station at the SGP ARM site, which is about 5.8km to the southeast of the AFO.

5.3 Results

5.3.1 Initial Exploration on AFO CH₄ influences on SGP site using WRF-GHG

In Chapter 4, we identified several key characteristics of the SGP ARM site related to CH₄ dynamics: 1) consistently high surface CH₄ concentrations compared to other sites across the U.S.; 2) pronounced diurnal variations; 3) marked seasonal variations; and 4) sharp nocturnal increases in near-surface CH₄. These phenomena are difficult to fully explain using current CH₄ inventories, such as the EPA Greenhouse Gas Inventories (GHGI). This raises questions about whether these inventories are underestimating CH₄ emissions in the region.

We hypothesize that the AFO located 5.8 km northwest of the SGP ARM site could be a significant local CH₄ source contributing to these anomalies. To test this, we plan to use the WRF-GHG model to simulate CH₄ dispersion from the AFO and evaluate whether it can explain the observed CH₄ concentration enhancements at SGP. This modeling approach will help determine if emissions from the AFO are substantial enough to account for the CH₄ trends identified in Chapter 4, offering insights into potential gaps in current emission inventories.

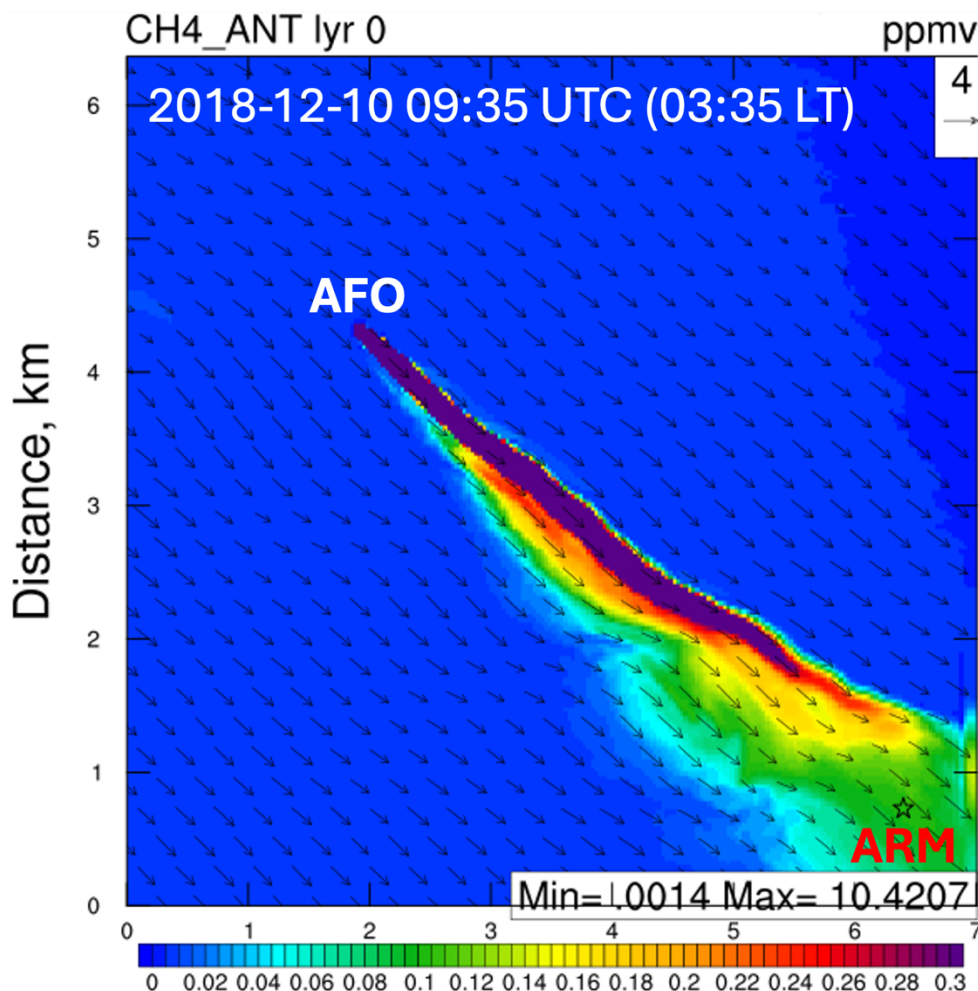


Figure 5.3 WRF-GHG simulation showing the dispersion of CH₄ from a 100 kg·hr⁻¹ point source located at the AFO on December 10, 2018, at 09:35 UTC (03:35 local time). The wind arrows indicate the wind direction, with the SGP ARM site marked by a star, approximately 5.8 km southeast of the AFO. Simulated and plotted by Xiao-Ming Hu.

We simulated CH₄ dispersion under the meteorological conditions observed on December 10, 2018, when the surface wind speed was 5.1 m·s⁻¹ at 305 degrees, consistent with the WRF-GHG model. The simulation (Fig 5.3) indicates that the AFO emissions increase CH₄ concentrations near the SGP site by about 100 ppb at the surface. However, tower measurements at 3:30 AM local time show a CH₄ concentration of 2619 ppb—587 ppb higher than the day before, when the wind direction was from the north. This significant discrepancy between observed and

modeled CH₄ levels suggests that the actual emission rate from the AFO might be higher than the 100 kg·hr⁻¹ assumed in the simulation.

Interestingly, the WRF-GHG simulation underestimates the observed CH₄ enhancement, predicting only a 100 ppb increase. This discrepancy suggests that the actual emission rate from the AFO may be larger than the 100 kg·hr⁻¹ used in the simulation. Therefore, further refinement of emission estimates is required to match the observed data, indicating the potential for larger emissions from the AFO than currently modeled.

5.3.2 Estimated CH₄ Emission Rate from the AFO

With the CH₄ concentration measured in the field campaign, we estimated CH₄ emission rates from the AFO using the mass balance method with meteorological conditions such as surface air temperature, pressure, wind speed, and wind direction recorded at each sampling time. The CH₄ emissions varied significantly over the four days of observations, as illustrated in Figure 5.4 and summarized in Table 5.1.

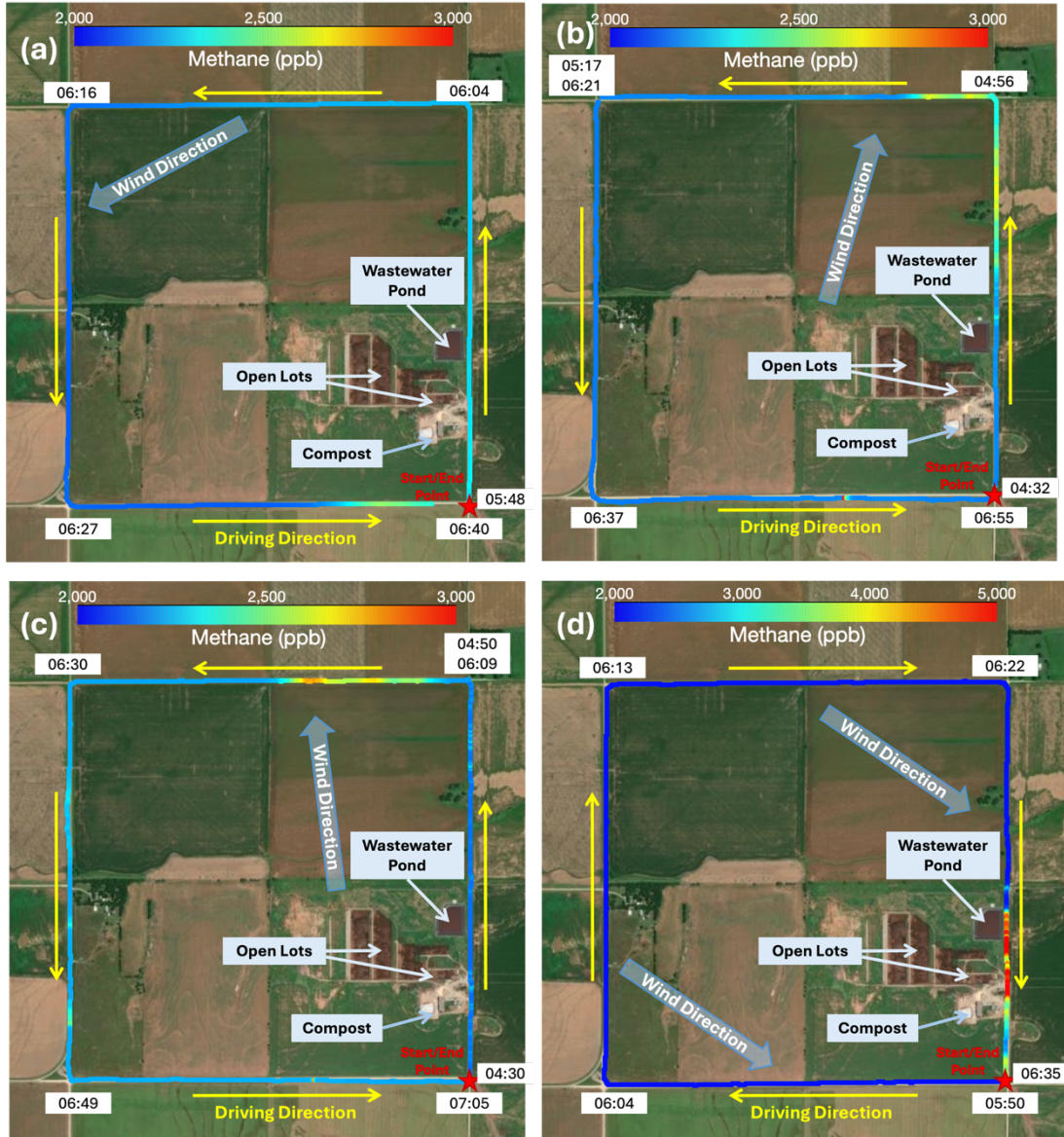


Figure 5.4 CH₄ concentrations measured during the field campaign at the AFO on four different mornings: (a) June 23 05:48 – 06:40, (b) June 24 04:32 – 06:55, (c) June 25 04:30 – 07:05, and (d) June 26, 2024 05:50 – 06:35. The satellite image shows the AFO layout, including open lots, a wastewater pond, and a compost area. The color scale represents CH₄ concentrations. Blue arrows show the mean wind direction during the measurement. The yellow arrows denote the driving direction, which was counterclockwise on June 23, 24, and 25, and clockwise on June 26. The marked local times indicate the time we arrived at the corner. The red star marks the start and end point of the driving route, located at the bottom right corner of each panel.

The CH₄ emission rate estimates ranged from 227 kg·hr⁻¹ on June 24 to 1,147 kg·hr⁻¹ on June 26. The first three days of measurements showed more moderate emission rates between 200

and 400 kg·hr⁻¹. However, on June 26, a strong CH₄ enhancement exceeding 6,000 ppb was recorded downwind of the AFO between 06:29 and 06:35, resulting in an estimated emission rate of 1,147 kg·hr⁻¹. This substantial increase is likely linked to the rainfall event on the evening of June 25, where rain rates reached 8.1 mm·hr⁻¹ between 20:29 and 21:02.

Table 5.1 Summary of CH₄ emission rates estimated using the mass balance method (as described in Section 5.2.4.2) and the corresponding weather conditions observed during the field campaigns from June 23 to 26, 2024. Weather conditions, including wind speed, wind direction, temperature, surface pressure, and rainfall are from SGP surface meteorological station with mean and standard deviation in brackets during the measurement time. PBLH is estimated from the balloon-borne soundings at the nose of LLJ.

Measurement Date and Time	CH ₄ Emission Rate	Weather Conditions					
		Wind Speed	Wind Direction	Temperature	Surface Pressure	PBLH	Rainfall
	kg·hr ⁻¹	m·s ⁻¹	degrees	°C	hPa	m	None
June 23 05:48 – 06:40	227	2.3 (0.56)	61.9 (104.2)	24.4 (0.23)	975.9 (0.086)	189	None
June 24 04:35 – 06:55	202	4.2 (0.44)	196.1 (2.7)	26.9 (0.22)	974.4 (0.170)	185	None
June 25 04:30 – 07:05	354	3.6 (1.21)	173.3 (17.9)	24.7 (0.21)	973.8 (0.346)	558	Evening heavy rain (4.45 mm from 20:29 to 21:02, 8.1 mm·hr ⁻¹ on average)
June 26 05:50 – 06:34	1147	2.4 (0.24)	289.5 (24.2)	25.5 (0.34)	972.5 (0.167)	334	None

Previous studies suggest that rainfall can significantly increase CH₄ emissions from manure ponds and feedlots. [VanderZaag et al. \(2010b\)](#) noted that CH₄ flux trends from liquid manure in tanks can rise due to two main components: baseline fluxes due to diffusion and intermittent bursts due to bubble flux (ebullition). Baseline fluxes are strongly correlated to, as microbial fermentation

and methanogenesis are temperature-dependent ([Cárdenas et al. 2021](#); [Dalby et al. 2021](#); [Mazzetto et al. 2014](#); [Rennie et al. 2018](#); [VanderZaag et al. 2010b](#)). Given the temperature on June 26 is not the highest in these four days, we infer temperature is not the reason to explain the high CH₄ emission rate on June 26. Rainfall-induced ebullition and surface disturbance can generate spikes in CH₄ emissions ([Baldé et al. 2016](#); [Minato et al. 2013](#); [VanderZaag et al. 2010a](#)). [Kaharabata and Schuepp \(1998\)](#) reported that rainy days frequently resulted in CH₄ concentration increases by factors of 1.2 to 4. Similarly, [Minato et al. \(2013\)](#) found that CH₄ emissions from stored cattle manure ponds surged to over four times the baseline during heavy rain and remained elevated for up to eight hours post-rainfall. Even the CH₄ emission rapidly decrease when the heavy rain stopped, the CH₄ emission is still 2 to 3 times of the rate before the rainfall in the following 8 hours. Rainfalls are also related to CH₄ emission increase probably from the manure on corral surfaces by creating anaerobic conditions that enhanced microbial fermentation of manure organic matter([Todd et al. 2014](#)) under more humid condition because of rainfall ([Miller and Berry 2005](#)).

Based on the CH₄ enhancements downwind of the AFO, we estimate that the wastewater pond emitted approximately 421 kg·hr⁻¹ and the feedlot emitted around 406 kg·hr⁻¹. This estimate assumes that the two observed CH₄ peaks between 06:22 and 06:35 (figure 5.4d) correspond to these two sources respectively – the north peak is related to the wastewater pond and the south is related to the feed lots. Given the proximity of the driving route to the AFO (110 meters from the center of the wastewater pond and 240 meters from the feedlot boundary), it's possible that CH₄ did not disperse uniformly throughout the PBL, based on a PBLH of 334 meters. If the CH₄ emissions from these sources only reached up to 100 meters, the total emission rate from the AFO would still be around 751 kg·hr⁻¹.

In summary, CH₄ emissions from the entire AFO were likely greater than 300 kg·hr⁻¹ during the early morning field campaign conducted in June 2024. Rainfall events can significantly increase CH₄ emission rates, potentially elevating them to 2-3 times the levels observed on dry days. In the next section, we will validate the WRF-GHG model simulations with our field campaign data to further assess CH₄ dispersion and its impact on regional air quality.

5.3.3 WRF-GHG Validation with Field Measurement

WRF-GHG simulations confirm the wastewater pond as a significant CH₄ source. We used the WRF-GHG model to simulate CH₄ dispersion from the AFO's wastewater pond, assuming an emission rate of 100 kg·hr⁻¹. The model simulated wind patterns from June 24, 2024, between 04:55 and 05:30 closely matched the wind speed (4.2 m/s) and direction (196 degrees) observed at the SGP surface meteorological station. The simulation predicted a northeastward plume dispersion, consistent with our field measurements, where we found high CH₄ concentrations exceeding 2400 ppb at the northeast corner of the AFO. This strong agreement between the WRF-GHG model and field measurements confirms the wastewater pond as a significant CH₄ source.

Field measurements captured CH₄ concentration peaks beyond the simulated plume, likely from the open lots. The WRF-GHG simulation of the CH₄ plume dispersing from the wastewater pond shows that the modeled plume primarily follows the wind direction toward the south-north drive route on the east side of the AFO (Figure 5.5). This aligns with field measurements that captured elevated CH₄ concentrations along this route. However, an additional CH₄ peak was observed during the field campaign when driving along the west-east route north of the AFO—something the WRF simulation does not fully capture if considering only the wastewater pond plume. Based on the simulated wind direction from WRF-GHG and corroborated by data from the SGP meteorological station, this additional peak is likely caused by CH₄ emissions from the open

lots, rather than the wastewater pond. The position of the open lots upwind of the route supports this interpretation, emphasizing the importance of considering multiple emission sources within the AFO when analyzing CH₄ dispersion and concentration patterns in the region.

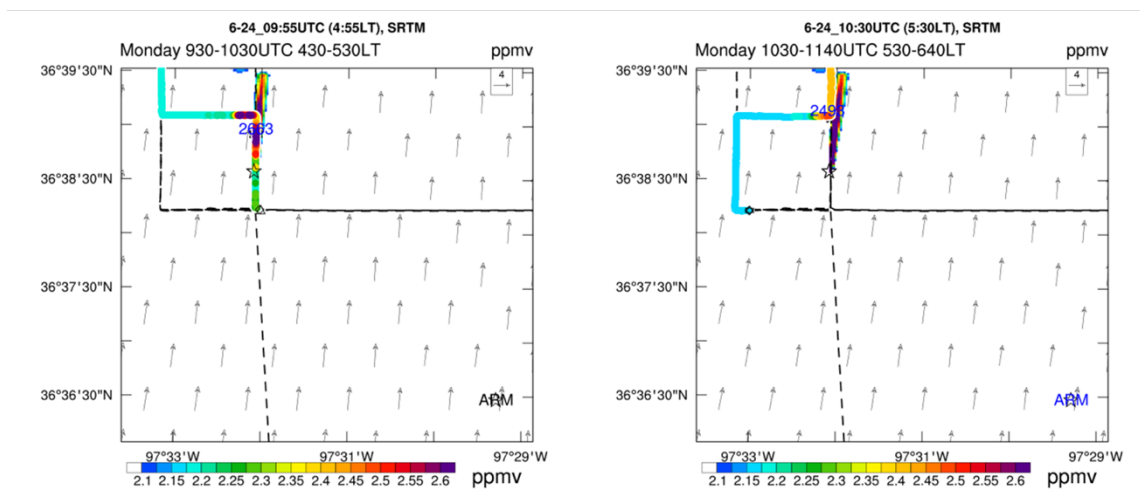


Figure 5.5 WRF-GHG simulations showing wind speed, direction, and CH₄ dispersion from the point source located at the wastewater pond (indicated by the plume) at two time intervals: 09:55 to 10:30 UTC (4:55 to 5:30 AM local time) on the left and 10:30 to 11:00 UTC (5:30 to 6:00 AM local time) on the right. The wind field is represented by arrows, and the CH₄ concentrations are indicated by the color scale. Overlaid on the simulation are the field measurement data from the early morning campaign on June 24. The location of the ARM site is also marked on the map with “ARM”. Simulated and plotted by Xiao-Ming Hu.

This case demonstrates that WRF-GHG simulations reliably represent CH₄ dispersion from the AFO. The model effectively captured the CH₄ dispersion from the wastewater pond, closely aligning with the measured CH₄ concentrations during our field campaign. This supports the reliability of WRF-GHG in simulating CH₄ emissions from agricultural sources like AFOs.

In the next section, we will explore how CH₄ disperses under stable PBL conditions and evaluate how the AFO emissions impact CH₄ concentrations at SGP, located 5.8 km southeast of the AFO. This analysis will help us understand how local emissions contribute to observed CH₄

enhancements at the SGP site, especially during stable atmospheric conditions when vertical mixing is limited.

5.4 Conclusion

Our study at the Southern Great Plains (SGP) ARM site has uncovered important insights into the relationship between local CH₄ emissions and their impact on regional CH₄ concentrations. Throughout our previous investigation, we found that the SGP site consistently exhibited higher surface CH₄ levels compared to other sites across the U.S., with sharp nocturnal increases and substantial diurnal and seasonal variations. These patterns could not be fully explained by current CH₄ inventories, such as the EPA Greenhouse Gas Inventory (GHGI), highlighting the limitations of existing models to capture localized emissions.

Through the WRF-GHG simulations, we validated that the AFO is a significant local CH₄ source. Our mass balance estimates showed that the emission rate from the AFO ranged between 227 kg·hr⁻¹ and 1147 kg·hr⁻¹ during the four-day field campaign, with a substantial spike following a rainfall event on June 25, 2024. This surge in emissions, likely driven by increased microbial fermentation in the manure, underscores the complex interplay between local emissions and meteorological conditions. The comparison between modeled and observed CH₄ concentrations highlighted the possibility of larger emissions than what was initially assumed in the simulation (100 kg·hr⁻¹), suggesting that current inventories may underestimate emissions from such sources.

Furthermore, the spatial distribution of CH₄ concentrations captured during the field campaign pointed to multiple emission sources within the AFO, with both the wastewater pond and open lots contributing substantially to CH₄ levels. WRF-GHG simulations indicated that while the modeled dispersion from the wastewater pond closely matched the field observations on the

east-side drive route, additional CH₄ peaks observed on the west-east drive route were likely attributable to emissions from the open lots.

This study illustrates the importance of integrating field measurements with high-resolution modeling to improve emission inventories and refine CH₄ emission estimates. Our findings highlight the need for more accurate characterization of local emission sources, especially under various meteorological conditions, to better inform atmospheric models and climate policies. Future work should focus on refining the emission rates from such facilities and exploring the implications for regional and global CH₄ budgets, as well as improving the accuracy of predictive climate models.

Chapter 6 Summary

This dissertation investigates methane (CH_4) dynamics at the Southern Great Plains (SGP) Atmospheric Radiation Measurement (ARM) site through an integrated approach combining analysis of multi-year meteorological observations and CH_4 concentration profiles, targeted field campaigns, and atmospheric modeling that resulted in improved CH_4 emission source quantification. As a potent greenhouse gas, CH_4 plays a critical role in atmospheric chemistry and climate change. However, accurately quantifying its emissions remains a challenge due to the complex interplay between localized emissions and meteorological conditions. This dissertation provided new insights about strength and variability of emissions from agricultural operations and how land-atmosphere processes impact CH_4 concentrations which is important for improving CH_4 source quantifications.

To explore how land-atmosphere processes impact CH_4 at the SGP site, the first part of this research focuses on enhancing the understanding of land-atmosphere interactions by optimizing key parameters in the Noah-Multiparameterization Land Surface (Noah-MP) model. Using Bayesian optimization, the most sensitive land surface parameters were adjusted to improve the simulation of surface energy fluxes and atmospheric state variables. The optimized parameters led to improved accuracy in simulating energy fluxes (sensible and latent heat) and better representations of surface variables, such as soil moisture and temperature, particularly in the shallow topsoil layer. The results show that improving land-surface model performance has important implications for understanding land-atmosphere exchanges, especially in agriculturally dominated regions like the Southern Great Plains.

CH_4 dynamics at the SGP site were then analyzed, with long-term observations from 2017 to 2020 revealing consistently higher surface CH_4 concentrations compared to other U.S.

observational sites. These elevated levels of CH₄ showed pronounced diurnal and seasonal variations, with particularly sharp increases near the surface at nighttime. The study highlights the role of stable planetary boundary layer (PBL) conditions, with strong temperature inversions and shallow PBLs, in trapping CH₄ close to the surface. Localized emissions from concentrated animal feeding operations (AFOs), natural gas leaks, and open-range cattle were identified as key contributors to the CH₄ enhancements. A field campaign conducted in June 2024 provided further insights into the emissions from a large AFO located 5.8 km northwest of the SGP site. CH₄ emissions from the AFO, estimated using the mass balance method, ranged from 227 kg·hr⁻¹ to 1147 kg·hr⁻¹, with peak emissions following a rainfall event. The increase in emissions suggests that wet conditions significantly enhance microbial activity in manure ponds and open lots, leading to higher CH₄ releases.

To validate these findings, WRF-GHG s were employed to simulate CH₄ dispersion from the AFO, allowing for a direct comparison between the field measurements and the simulated results. The simulations captured the dispersion of CH₄ from the AFO, showing good agreement with field measurements. However, the simulated CH₄ enhancements at the SGP site were lower than observed with emission from the wastewater pond only. This discrepancy points to a potential underestimation of actual AFO emissions in the model. As a result, it highlights the importance of refining CH₄ emission inventories to account for localized sources and meteorological conditions, which are crucial for improving the accuracy of climate models and formulating effective mitigation strategies.

In summary, this research emphasizes the significance of accurately quantifying CH₄ emissions from agricultural and industrial sources, especially in regions with complex meteorological conditions. By integrating field measurements and atmospheric modeling, this

study provides valuable insights into the regional and global implications of CH₄ emissions, contributing to more reliable predictions of the role of CH₄ in climate change.

Chapter 7 Future Works

Building on the insights and experience gained from our field campaign at the Southern Great Plains (SGP) site, we can envision several promising directions for future research. Our investigation of CH₄ emissions from agricultural sources, particularly the concentrated animal feeding operations (AFOs), has provided valuable data for improving CH₄ emission inventories. However, this work can be extended to explore CH₄ emissions from a broader array of urban and industrial sources.

Urban-Scale CH₄ Emission Quantification

One extension of our research would involve expanding our CH₄ measurement efforts to urban areas. CH₄ emissions in urban environments are quite difficult to quantify due to the complexity of potential sources, which range from small natural gas pipeline leaks and oil wells to large landfills and wastewater treatment plants. Based on our prior field campaign experience and the measurements we conducted at the City of Norman Wastewater Reclamation Facility, we have built the foundation for developing an approach that could address these challenges.

During our visit to the wastewater facility on August 1, 2024, we recorded significant CH₄ emissions from the sedimentation pond, where wastewater solids had accumulated for over 20 years. Measurements revealed CH₄ concentrations exceeding 10,000 ppb downwind of the pond (Figure 7.1). With southeast winds, the elevated CH₄ levels extended to the nearby road, including areas near the National Weather Center across Highway 9. These findings underscore the critical role of wastewater facilities in urban CH₄ inventories. Additionally, Norman City has numerous oil wells (Figure 7.2), which present another potential source of CH₄ leaks that should be included in future urban CH₄ inventories.

By integrating measurements from diverse CH₄ sources within urban settings, such as those found in Norman, we can enhance our ability to build a more accurate urban CH₄ inventory, which would be crucial for improving climate models and developing effective mitigation strategies.



Figure 7.1 CH₄ Concentration measurement at the City of Norman Wastewater Reclamation Facility. The right panel shows the City of Norman Wastewater Reclamation Facility as seen from Google Earth, with the National Weather Center located just across Highway 9. The left panel captures the solid sedimentation pond at the facility, where methane concentrations exceeding 10,000 ppb were measured downwind of the pond on August 1, 2024.

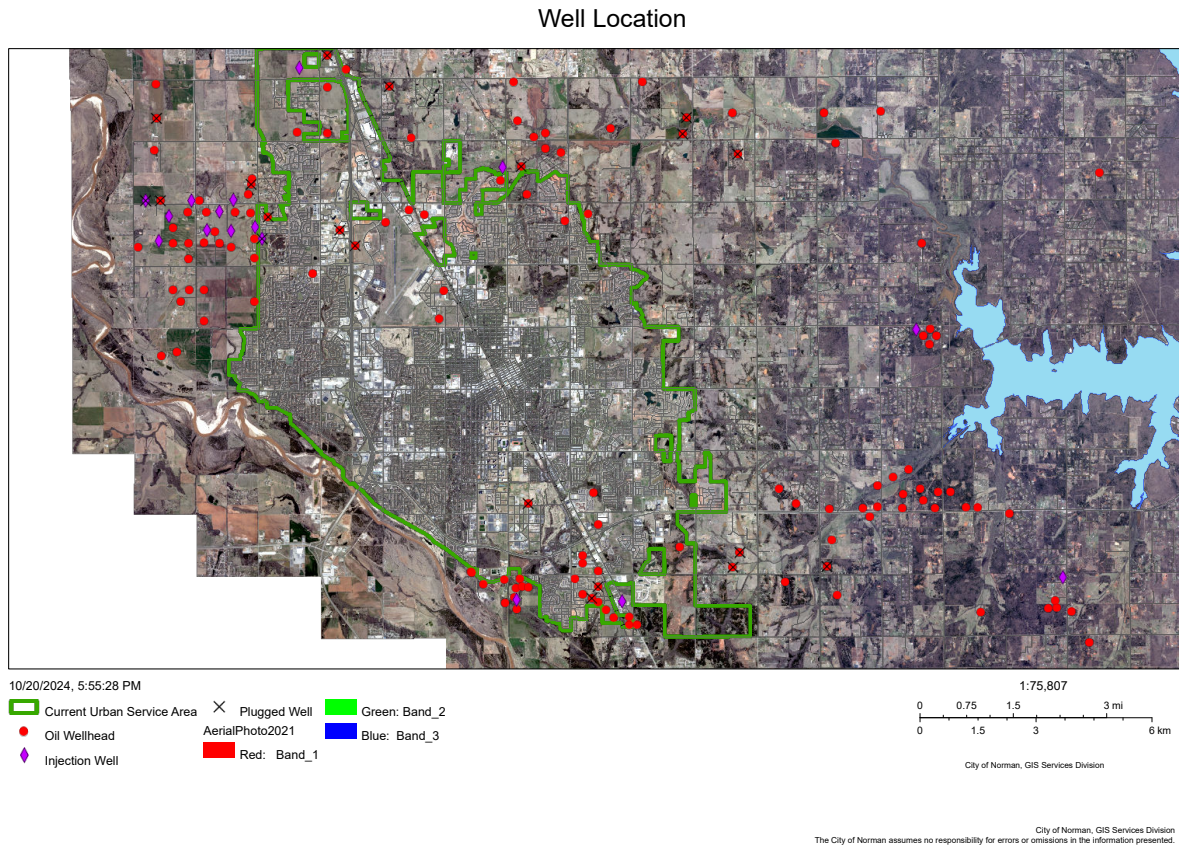


Figure 7.2: Oil well locations in the city of Norman, Oklahoma, highlighting different types of wells, including plugged wells (red X), oil wellheads (red dots), and injection wells (purple dots). The green outline indicates the current urban service area. Data source: City of Norman GIS Services Division, from <https://www.normanok.gov/your-government/departments/planning-and-community-development/planning-and-zoning/oil-gas>

Tools and Techniques for Urban CH₄ Inventories

The methodology we employed during the field campaign at SGP is adaptable for urban-scale studies, including the use of the LI-COR LI-7810 CH₄/CO₂/H₂O Trace Gas Analyzer, meteorological anemometers, and GPS. When coupled with the WRF-GHG, we can simulate CH₄ dispersion and better understand how emissions from local sources contribute to overall CH₄ concentrations in the atmosphere.

The equipment needed for urban-scale CH₄ quantification is relatively straightforward. With a portable trace gas analyzer like the LI-7810, a meteorological anemometer to record wind speed and direction, and GPS to track location, we can map CH₄ sources with precision. The addition of WRF-GHG simulations would allow us to model the transport and dispersion of CH₄ across the city, helping us identify hotspots and quantify the contribution of various CH₄ sources.

Building a Comprehensive Urban CH₄ Inventory

By collecting data from both small and large CH₄ sources—ranging from leaks in natural gas pipelines and emissions from oil wells to large emitters like landfills and wastewater treatment plants—we can build a more accurate and localized top-down urban CH₄ inventory. This would provide a more accurate CH₄ Inventory compared to traditional bottom-up CH₄ inventories like EPA GHGI.

In conclusion, our ability to extend the methodologies developed in this dissertation to an urban scale offers exciting opportunities for improving CH₄ emission inventories and enhancing our understanding of urban CH₄ dynamics. By leveraging portable instrumentation and advanced atmospheric models like WRF-GHG, we can develop more accurate and detailed CH₄ inventories that account for the complex landscape of urban emissions, paving the way for more effective mitigation strategies at both local and global scales.

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