EFFECTIVE SCREENING OF CHEMICAL PENETRATION ENHANCERS FOR TRANSDERMAL DRUG DELIVERY

By

VIJAY KRISHNA RACHAKONDA

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Andhra University

Visakhapatnam, Andhra Pradesh, INDIA

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DRUG DELIVERY

Thesis Approved:

Dr. S. V. Madihally

Thesis Adviser

Dr. R. L. Robinson Jr.

Dr. K. A. M. Gasem

Dr. H. Gappa-Fahlenkamp

Dr. A. Gordon Emslie

Dean of the Graduate College

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CHAPTER I

INTRODUCTION

1.1 Transdermal Drug Delivery (TDD)

Transdermal drug delivery (TDD) is gaining prominence over other forms of drug delivery due to its potential advantages, including minimal trauma induction, noninvasiveness, increased patient compliance and potential for continuous, controlled delivery (1, 2). Consequently, in recent years, numerous transdermal products have been introduced into the market. Current US market for transdermal patches is over \$3 billion (3) and annual sales worldwide are estimated to be \$31.5 billion by 2015 (4). Although there is a potential market for TDD, it has been limited to only few drugs. Table 1 gives the list of FDA approved drugs administered transdermally with their commercial names and purpose. Figure 1 shows the percent of global sales of each drug administered through transdermal patches.

Drug	Trade Names	Purpose
Nitroglycerin	NITRO-BID, NITROL	chest pain
Scopolamine	ISOPTO HYOSCINE	motion sickness
Nicotine	NICORETTE, NICOTROL	smoking cessation
Clonidine	CATAPRES	high blood pressure
Fentanyl	SUBLIMAZE	pain relief
Estradiol	ALORA, CLIMARA, FEMPATCH	Postmenstrual syndrome
Testosterone	TESTODERM TTS	Hypogonadism in males

Table 1: Commercially available drugs in the form of transdermal patches



Figure 1: Global sales of different drugs through transdermal patches (5)

TDD has been successful for the delivery of drugs like nicotine, estradiol, testosterone, and fentanyl (6, 7), which have low molecular weights and high permeating characteristics such as octanol / water partition coefficients between 0 to 3, low melting points and the presence of few or no polar sites. Many drugs (insulin, melatonin etc.) possess less ideal physio-chemical properties in terms of effectively penetrating through the stratum corneum (SC), the outer most layer of the skin (8). Therefore, chemical penetration enhancers, CPEs (9, 10), or physical techniques like iontophoresis (11), sonophoresis (12, 13), or electroporation (14) are used to increase the drug absorption through skin.

1.2 Chemical Penetration Enhancers (CPEs)

One approach to breach the skin's barrier to drugs is by using chemicals called chemical penetration enhancers (CPEs) (also called sorption promoters or accelerants).

These chemicals reversibly reduce the barrier resistance of SC, thereby enhancing the diffusion of poorly penetrating drugs through skin. Although the exact mechanisms by which CPEs function are not completely understood, Barry and Williams (15) introduced the lipid protein partitioning (LPP) theory, which suggests a chemical can enhance penetration by one or more of the following mechanisms: (a) disruption of SC lipids, (b) interaction with intracellular proteins, or (c) increased partitioning of the drug into the SC (16). Although numerous CPEs for TDD have been identified and reported in the literature (17), the toxic effects of these CPEs limit their use in transdermal formulations. The degree of effectiveness of CPEs is usually accompanied by an increase in their toxic effects because, potential CPEs are known to irritate and disrupt the organized structure of the skin (18). Therefore, a careful balance is required between the potency and the toxic effects of CPEs for TDD.

1.3 Scope of the study

The potency of a CPE in enhancing the permeation of a drug is determined by measuring the rate at which the drug is permeated through skin in the presence of the CPE. Typically, these experiments are performed in Franz diffusion cells (FDCs), (9, 19) and the amount of drug permeated is quantified by using analytical techniques, which include High Performance Liquid Chromatography (HPLC) (8, 20) or Liquid Scintillation Counting (LSC) (2, 21). Such measurements are resource and labor intensive, cost prohibitive and have limited throughput. In addition, these permeation experiments provide an indirect assessment of the effect of the CPE on the barrier properties of SC, which includes CPE-drug interactions. Further, there is no rational

design criteria for selecting candidate CPEs for study and the trial-and-error experimentation can be time consuming. Thus, a need exists for a robust, quick alternate technique that can effectively pre-screen the CPEs for their potency and lead to a better understanding of the effect of the CPE alone on the skin.

Previously, electrical resistance of the skin was used to assess the integrity of skin prior to experiments for *in vitro* dermal testing (22, 23) and evaluating the corrosive effects of cosmetics on the skin (24). This suggests that the electrical properties of skin, especially the resistive (or conductive) properties, might be useful in determining the effect of potential CPEs on the barrier properties of the skin. Recently, electrical conductance of skin was used as a technique to identify potential CPEs from binary mixtures of two chemicals at different concentrations (25). However, these experiments were carried out at room temperature unlike the traditional permeation experiments, which are performed at physiological body temperature. Further, detailed comparison of this technique with the traditional FDC measurements has not been done.

1.4 Specific aims of the study

Specific aim 1: Design a more efficient system to pre-screen CPEs for their potency by using less rigorous techniques.

The pre-screening technique can effectively reduce rigorous sample handling and analysis, which in turn reduces the time required to perform the permeation experiments for skin absorption studies. In this study, resistive properties of skin were used to determine the changes in its barrier properties in the presence of various chemicals. A high throughput multi-well resistance chamber was designed and constructed, similar to a technique reported recently (26, 27). The multi-well resistance chambers were equipped to operate at conditions identical to permeation experiments. First, experiments were performed using CPEs reported in the literature (28), after which measurements were performed on forty two new potential CPEs, which were identified by a virtual design algorithm developed by the OSU Thermodynamics Group (29-31). Our results show a significant agreement between the resistance technique and the standard permeation experiments; thus, we confirm the efficacy of the resistance technique for screening potential CPEs.

Specific aim 2: Test the toxic effects of potential CPEs.

Many CPEs, which are potential enhancers, are either toxic or potential irritants to the skin cells due to their ability to irritate and cause inflammation during the interaction with the viable epidermis (25, 27). Therefore, histological studies were performed to observe the morphological changes in the layers of the skin exposed to potent CPEs.

1.5 Thesis Organization

This thesis consists of six chapters. Chapters one and two introduce the topic and provide a summary of literature review / background for this work. Detailed description of evolution of the experimentation chamber used in this study is given in Chapter 3. Chapter 4 deals with evaluation of the CPEs and their toxic effects on skin. Discussion of results and specific conclusion reached along with the future outlook are given in chapters five and six. This is a collaborative project with OSU Thermodynamics

Research group, consequently, similar documentation can be found else where, in the manuscripts (32, 33) or the theses' of members of the group (34, 35).

CHAPTER II

BACKGROUND

2.1. Routes of drug administration

Drug delivery is the administration of a pharmaceutical compound into a human / animal body to achieve therapeutic effect for a particular ailment or disorder. Drugs can be administered into the body through three main routes:

- 1. Enteral (drugs administered through gastrointestinal tract)
 - a. Oral (taken by mouth)
 - b. Sub-lingual (placed under the tongue)
- 2. Parenteral (drugs injected into the blood stream) (Figure 2)
 - a. Intravenous (injected into a vein)
 - b. Intramuscular (injected into a muscle)
 - c. Subcutaneous (injected beneath the skin)
- 3. Others (drugs administered through mucosal membranes, skin or others)
 - a. Ocular (instilled in the eye)
 - b. Nasal (absorbed through nasal membranes)
 - c. Transdermal (delivered through skin by a patch for a systemic effect)

The common routes for administering drugs are either orally or parenterally; oral is the most preferred as it is convenient, safe and least expensive. However, it has several limitations, which include low bioavailability of many drugs and hepatic first pass metabolism (19). In oral drug delivery, the drug travels to the liver through the intestinal wall before it is transported to its target site *via* the bloodstream. The intestinal wall and liver may chemically alter (metabolize) many drugs, decreasing the amount of drug reaching the bloodstream. Some orally administered drugs irritate the digestive tract. Most other non-steroidal anti-inflammatory drugs can harm the lining of the stomach and small intestine and can cause or aggravate pre-existing ulcers (36). Many drugs lose their therapeutic efficiency due to the harsh acidic environment and digestive enzymes in the stomach, or they are either absorbed poorly or erratically.

Administration of drugs through injections is the next most common route which includes the intravenous, intramuscular and subcutaneous routes (Figure. 2). For the subcutaneous route, a needle is inserted into fatty tissue just beneath the skin. The injected drug moves into small blood vessels (capillaries) and reaches the bloodstream through the lymphatic vessels. The subcutaneous route is used for administering many protein drugs because such drugs would be digested in the digestive tract if they were taken orally. The intramuscular route is preferred to the subcutaneous route when larger volumes of a drug need to be injected. For the intravenous route, a needle is inserted directly into a vein. A solution containing the drug may be given in a single dose or by continuous infusion. Despite the high bioavailability of the drug and ability to deliver a precise dose quickly and in a controlled manner, needle-based drug administration has several disadvantages. It has greater risks of adverse effects since high concentrations of the drug can be attained very rapidly (37). Needle phobia is one of the main offsets, which can make the drug injection traumatic. Administration through intravenous

injections requires experienced or properly trained personnel, because it is difficult to insert a needle or catheter into a vein. Under chronic diseased conditions, frequent visits to a physician are necessary, which can be costly.



Figure 2: Various routes of drug administration through injections

In order to overcome the above mentioned problems from conventional routes of drug delivery, researchers have started exploring alternate routes like eyes (38), mucosal membranes (39), vagina (40, 41) and skin (42, 43) to deliver therapeutics into the body. Due to the ease of application and large area of interface, drug delivery through the skin (TDD) can be very attractive when compared to other routes. In TDD, therapeutics are delivered through a patch on the skin. Drug, which is present in the patch, is absorbed into the blood stream through the skin. Many drugs are available as transdermal patches in the market, as mentioned in Section 1.1.

2.2. Structure of skin

Profound knowledge regarding the structure of skin and the impact of this structure on the delivery of drugs is important for the success of TDD. Skin, the largest organ of the integumentary system of the human body performs various functions like:

- 1. Keeping the body and organs intact.
- 2. Protecting the internal organs from pathogens, chemicals, and other external threats.
- 3. Acting as a water resistant barrier and minimizing trans-epidermal water loss (TEWL).
- 4. Regulating the temperature of the body by secretion and controlling energy losses by radiation, convection, and conduction.
- 5. Acting as a storage center for water and lipids, and helping synthesize vitamin D.

Skin consists of several different and distinct layers, each with its own specialized function. It is composed of three main layers (Figure 3 a): epidermis, which protects the body organs from infections and prevents the evaporation of water from the body; the dermis, which consists of connective tissues and cushions the body from stress and strain; and hypodermis, which consists of subcutaneous adipose tissues and acts as a thermal barrier. Figure 3 b shows the histological cross section of porcine skin with various layers.

2.2.1. Epidermis

Epidermis is the outermost layer of the skin, which consists of stratified squamous epithelium with an underlying basal lamina (37). It can be sub-divided into five distinct

layers (Figure 4), which give barrier resistance to the skin. The five layers of the epidermis from top to bottom are stratum corneum, stratum lucidum (only in palms of hands and bottoms of feet), stratum granulosum, stratum spinosum and stratum basale.



Figure 3 a): Structure of human skin



Figure 3 b): Histological cross section of porcine abdominal skin

The lower four layers of the epidermis (except stratum corneum) are also called viable epidermis, which has a thickness of 50-100 μ m and has a density similar to water. Epidermis is made up of keratinocytes (mainly melanocytes) and Langerhans cells and is devoid of any blood vessels. The cells in the bottom layer of the epidermis regenerate through mitosis and migrate to the top layers. As they move away from the dermis, the cells die due to lack of oxygen and get filled with keratin. They reach the outer most layer, SC and get sloughed off. The entire process is called *keratinization*, which takes place within weeks. The SC consists of nearly 25-30 layers of dead cells which makes the skin a natural barrier to external agents or chemicals.



Figure 4: Various layers of the epidermis (44)

2.2.2. Dermis

Dermis is a 2-3 mm thick layer present below the epidermis and it provides flexible support structure to the skin. It contains hair follicles, sweat glands, sebaceous glands, apocrine glands, lymphatic vessels and blood vessels. Collagen and elastin are synthesized in this layer. Dermis is tightly connected to the epidermis by a basement membrane and regulates temperature, pain and pressure in the body. The sense of touch and heat to the skin are provided by the nerve endings that are present in this layer.

2.2.3. Hypodermis

Hypodermis is the deepest layer of the skin, also called the subcutaneous tissue. It consists of adipose tissue (fat cells), connective tissues, macrophages and fibroblasts.

2.2.4 Stratum corneum

The upper strata of the epidermis is called Stratum Corneum (SC), which consists of corneocytes (dead cells) embedded in a lipid-rich matrix. Sheuplin *et al.* (45, 46) have reported that diffusion across SC is 3-5 times lower than dermis for most substances. Therefore, SC is considered to be the main barrier to the success of TDD. The corneocytes are comprised of cross linked keratin fibers, which are about $0.2 - 0.4 \,\mu\text{m}$ thick and about 40 μm wide (3). The corneocytes are held together by desmosomes (Figure 4), which gives structural stability to the SC. The SC lipids are composed primarily of ceramides, cholesterol and fatty acids assembled into multi-lamellar bilayers. This unusual extracellular matrix of lipid bi-layers serves as the primary barrier of the SC. The layer of lipids immediately adjacent to each corneocyte is bound covalently to the corneocyte and is important in maintaining barrier function of the skin (3). The SC serves as a barrier to the outside world and prevents evaporation of water from underlying tissues. The most simplistic and considered structure for SC is the "brick and mortar" model proposed by Michaels *et al.* (47). In this model, SC is picturized as a dual compartment system of lipid bi-layers with embedded corneocyte cells as shown in Figure 5. The stratum corneum is continuously desquamated, with a renewal period of two to four weeks. It is actively repaired by cellular secretion of lamellar bodies, following the disruption of its barrier properties or other environmental insults.



Diffusion of molecules across the SC is mainly passive and occurs through three different routes: intercellular, transcellular (Figure 5) and transappendageal. Transport across the SC is considered to be mainly by the intercellular route because of the physical structure of the intercellular lipids, which play a key role in maintaining the barrier properties of the skin. Transcellular pathway is less considered, due to the repeated partitioning involved between the lipid bi-layers and impermeable corneocyte cells with keratin when diffusion occurs. Diffusion through skin appendages like hair follicles, sebaceous glands or eccrine glands is another route through which transport may occur.

2.3 Different TDD techniques

The barrier properties of the SC can be breached for TDD in several ways (3), by using physical techniques like iontophoresis (48-51), electroporation (1, 11, 14, 52, 53), sonophoresis (12, 54), thermal poration, or by using chemicals known as chemical penetration enhancers (CPE) (10, 19, 26, 28, 55-59), which can increase the permeability of the SC for drugs.

In iontophoresis, a small electric current is applied to the skin, which provides the driving force to enable penetration of charged drug molecules into the skin. Electroporation is the application of short pulses of high voltage current to the skin producing hydrophilic pores in the intercellular bi-layers due to the momentary realignment of lipids in the SC. These pores allow the passage of macromolecules or drugs through skin. Sonophoresis (phonophoresis) uses low frequency ultrasound energy to fluidize the lipid bi-layer in order to enhance the skin penetration of active substances. These physical techniques require devices that can produce ultra sound waves or generate required voltage, which may limit their practical usage and economic feasibility to enhance the flux of a component through skin.

2.4 Chemical penetration enhancers

The use of CPEs over the physical techniques has certain advantages, including design flexibility of the patch and ease of patch application over a large area (>10 cm²) (3). An ideal penetration enhancer should reversibly reduce the barrier resistance of the SC without damaging the skin cells. According to Finnin *et al.* (59): ideal penetration enhancers should possess the following properties:

- Pharmacologically inert
- Nontoxic, nonirritating, and non-allergenic
- Rapid onset of action; predictable and suitable duration of action for the drug used
- Reversible effect of the CPE on the barrier property of SC
- Chemically and physically compatible with the delivery system
- Readily incorporated into the delivery system
- Inexpensive and cosmetically acceptable

Because the skin provides such a formidable barrier to the delivery of most drugs, a broad range of different chemical additives have been tested to enhance transdermal penetration during the last two decades. Much of the cited literature is found in patents (17) as well as pharmaceutical science literature (60). Even though many chemical entities have been identified, only a few were introduced in the market due to several limitations, which include their economic feasibility and the toxic effects on skin, which make them undesirable for developing transdermal patches.

CPEs can be divided into various groups depending on their functional groups. Enhancers like alcohols, alkyl sulfoxides, and polyols help in increasing the solubility and improving the partition coefficient of the drug (61). Solvents like dimethlysulphate and ethanol increase the permeability of SC by extracting the lipids. Oleic acid, azone, and isopropyl myristate enhance the diffusion of a permeant by disrupting the structure of lipid bi-layers. Transcellular diffusion is favored by ionic surfactants, decylmethyl sulfoxide and urea, which interact with the keratin in the corneocytes and disrupts its protein structure (61).

2.5 Skin selection

Human in vivo studies are preferred when performing skin absorption studies in the presence of various enhancers, but they are limited by ethical considerations and availability of volunteers (62). The use of human skin to perform in vitro studies is constrained by the various factors like sex, age, medical condition of the donors, and mostly the availability of cadaver skin (62, 63). In order to overcome these problems animal skin from various species like rat (19, 22, 64, 65), snake (6, 66, 67), pig (22, 25, 55, 62, 68, 69), guinea pig (9, 22), rabbit (22, 64), and mouse (22, 64, 70, 71) were investigated. Skin from pig and rhesus monkey were reported to have permeability properties closer to human skin (63). Porcine skin can be used as a surrogate to human skin because it is a well-accepted and readily available model for the human barrier and is often used to assess topical and transdermal pharmaceutical formulations either in vivo or *in vitro* (68). There are significant similarities of certain properties between porcine and human skin (e.g., epidermal thickness, physiological and morphological characteristics and lipid composition), and the permeabilities of the membranes to various compounds are similar (62). Sekkat et al. (68) have reported that the thickness of SC and the biophysical parameters like diffusivity and permeability coefficient of water across porcine skin *in vitro* and human skin *in vivo* are comparable (data shown in Table 2). This result validates the use of porcine skin in place of human skin for conducting *in vitro* studies.

Skin Source H^1 (µm) $D^2(cm^2 \times s^{-1})$ $K_p^{-3} (cm \times h^{-1})$ Porcine (*in vitro*)11.8 ± 4.0 3.2 ± 1.5 5.8 ± 1.1 Human (*in vivo*)10.9 ± 3.5 3.0 ± 1.5 6.1 ± 1.4

 Table 2: Comparison of biophysical parameters of porcine skin *in vitro* and human skin *in vivo* (68).

¹H (thickness of SC), ²D (diffusivity of water across SC), ${}^{3}K_{p}$ (permeability coefficient of water across SC)

2.6 Franz diffusion cell (FDC)

In vitro skin absorption studies are generally carried out in vertical or side by side FDCs, which were popularized by Dr. Thomas Franz (72). Since its introduction, the FDC has been used in various skin absorption studies, including topical and TDD formulations, as well as cosmetics, skin care products, and pesticides. According to Food and Drug Administration (FDA) regulations, it is an ideal tool for quality control for cosmetic preparations. It has a receptor and a donor chamber, which are filled with buffer medium and the solute of interest, respectively. The schematic diagram of a vertical FDC is shown in Figure 6. It consists of a water jacket through which temperature-controlled water is re-circulated in order to perform the experiments at a desired temperature. The skin / membrane is sandwiched between the two chambers and clamped in place tightly (clamp not shown). The donor chamber is filled with a known

volume of solute and the permeation of solute through the skin is monitored by periodic sampling of the solution from the receptor chamber. The samples are analyzed by either HPLC or LSC. However, these analytical techniques are very labor intensive and cost prohibitive.



It has been reported that the measurement of electric resistance of skin provides an indirect assessment of skin's permeability properties in the presence of respective solutes or solvents (27).

2.7 Electric resistance of skin

Skin can be modeled as an electrical resistor and a capacitor in parallel, and much of the skin's resistance is found to be in the SC (Figure 7) (73). Previously, electrical resistance of the skin was used to assess the integrity of skin prior to experiments for *in*

vitro dermal testing (12, 22, 23, 74, 75), to access the irritation potential of chemicals in a test known as Skin Integrity Function Test (SIFT) (76) and to evaluate the corrosive effects of cosmetics on the skin (24). This suggests that the electrical properties of skin, especially the resistive (or conductive) properties, can be used to determine the effect of potential CPEs on the barrier properties of the skin. The skin resistance is usually measured using a LCR databridge, which generates electric current at different frequencies.



Figure 7: Electrical equivalent of skin

2.7.1 LCR meters

An LCR meter is an electronic device which is capable of measuring inductance (L), capacitance (C), resistance (R), impedance (Z) and phase angle (θ) of various electronic circuits. Due to its ease of operation and ability to measure the barrier resistance of skin rapidly, it has found its way into *in vitro* dermal testing. The electric resistance of skin is an indicator of the condition of the SC, the principal barrier to diffusion of chemical substances (23). The barrier resistance of SC is greatly reduced by tape-stripping, exposure to chemicals, or by damaging it (68), which can be quantified by measuring the electric resistance of the skin.

The Instek high precision LCR 821 (Figure 8), which was used in this study, has a test frequency range of 12 Hz to 200 k Hz, with continuously variable frequencies. It is most useful in applications that need both high stability and high accuracy. It can measure the inductance (L), capacitance (C), resistance (R), absolute value of the impedance (Z), dissipation factor (D), quality factor (Q), and phase angle of impedance (θ) of a device under test with a measurement accuracy of 0.05%. It has a 240 X 128 dot matrix back light LCD screen with adjustable contrast in order to display the test results simultaneously on the screen. It can be operated in R/Q, C/D, C/R, L/Q, Z/ θ , L/R test modes in either series or parallel equivalent circuits except in Z/ θ mode. The variable test signal voltage ranges from 5 mV to 1.275 V, with each step voltage of 5 mV. The internal / external DC bias selection simulates the real operation condition of a device under test.



Figure 8: Instek LCR 821 high precision databridge

2.7.2 Factors affecting the electrical properties of skin

The optimal electrical conditions for *in vitro* measurements of the SC properties which reflect permeability have been well established (77). These conditions are alternating current (AC) of low frequency (0.1 - 1 k Hz) and low voltage (0.1 – 1 V) (77).

Use of AC overcomes the problems of polarization of the skin and electrodes. It also avoids damage to tissues which can occur when using DC with high current density (78). At higher AC frequencies, current goes through the capacitive channels readily, which are not dependent on free ionic movement, and resultant false resistance for the membrane is measured. Thus, permeability is better represented by the resistive current, which dominates at lower frequencies. Passage of any electric current at a voltage greater than 1 - 2 V across skin results in irreversible damage to the barrier properties of the SC (78). Electrical resistance of the skin is also dependent on its hydration state, ionic strength of the bathing medium, and current density (50, 79). The resistance of the skin varied from $250 - 25 \text{ k}\Omega$ between the ionic strength of the medium (NaCl) between 10 to 1000 mM (79). In the present study, the resistance readings were obtained at a low voltage (0.2 V) and low frequency AC (0.1 k Hz) current and by maintaining the concentration of the medium (buffer solution) constant throughout.

2.7.3 Effect of skin exposure area on electrical resistance of skin

Electrical resistance of skin decreases with increase in the cell exposure area. This reduction in resistance can be due to the increase in pathways of current carrying ions through the skin. Figure 9 shows the effect of skin exposure area on its electrical resistance for epidermal membranes of human and rat and full thickness rat skin reported in the literature (74, 80). The skin resistance was measured using a LCR databridge with the settings of 1 k Hz and 0.3 V in parallel equivalent circuit mode. The bathing medium was 0.9 % saline solution. The electrical resistance of human skin reported by various authors at different conditions is given in Table 3 (74). The huge variation in the

resistance of the skin in Table 3 suggests that *in vitro* skin studies should be performed by maintaining constant experimentation conditions, which include skin location from the specimen and exposure area, concentration of bathing medium, electrical conditions (frequency and voltage) to measure the resistance.



Figure 9: Effect of *in vitro* cell exposure area on skin resistance

Davies *et al.* have reported the electrical resistance of epidermal and whole skin membranes of various species with a LCR databridge at a frequency of 100 k Hz and using physiological saline as the bathing medium (Table 4). The skin exposure area used was 2.54 cm^2 .

Skin	Location	Exposure	AC/DC	Bathing	ER
Jim	Location	area (cm ²)	parameters	medium	$(k\Omega)$
HEM	Thigh	0.64	AC, 300mV rms. 1000Hz	0.9% NaCl	12-62
HEM	Back	0.90	AC, 3mV rms. 1000/10000Hz	3.5 mM NaCl	10/5
HEM	Unknown	0.70	DC, 250mV rms.	100 mM PBS	17
HEM	Abdominal/ breast	2.27	AC, 12.5Hz	0.9% NaCl	1-20
HEM	unknown	0.70	DC, 125/1000mV	100mM PBS	2-45/4-20
HST	Thigh	0.64	AC, <u>+</u> 1V, 0.2Hz, <u>+</u> 1µA	133 mM NaCl	260
HST	Abdominal	0.79	AC, 100Hz, <u>+</u> 10μA	150 mM PBS	20-60
HST	Torso/thigh	0.70	DC, 10µA	PBS, 156 mM Na+	43-192
HFT	Abdominal	3.5	AC, 1V rms, 1.5Hz	200mM NaCl	360
HFT	Abdominal	1.77	AC, 1V, 100300Hz	0.9%saline	88-882
HFT	Abdominal/ breast	0.79	AC	154 mM MgSO4	10.9-26.8
HS	forearm	4.0	AC, 1V rms, 1/1000	0.9% NaCl	148-293/8

Table 3: Human skin resistance at different conditions (74)

HEM, human epidermal membrane; HST, human split-thickness skin; HFT, human fullthickness skin; HS, human skin; AC, alternating current; DC, direct current; V, Volts; ER, electric resistance of skin; PBS, phosphate buffer saline.

Since using higher frequency current (100 k Hz) results in lower skin resistance, this gives a very narrow range for skin resistance to identify potential CPEs from a large set of chemicals. As shown in Table 4, porcine skin resistance was just 3 k Ω at a frequency of 100 k Hz. In our experiments, initial skin resistance was around 150 – 200 k Ω (at a frequency of 0.1 k Hz) and after exposure to a potential CPE, it dropped by 80fold to $1 - 2 k\Omega$, which gives a large range to identify CPEs. If the initial resistance was just 3 k Ω and it drops to 1 k Ω after exposure to a potential CPE, it could be difficult to distinguish between a potent and a non-potent CPE, due to error associated in biological experiments. Therefore, lower frequency currents should be used to measure the skin resistance.

Species	Skin type	Electrical resistance (k Ω)
Human	Epidermis	10.0 ± 1.0
	Whole	10.0 ± 0.7
Rat	Epidermis	2.5 ± 0.2
	Whole	3.0 ± 0.5
Pig	Epidermis	3.0 ± 0.3
	Whole	4.0 ± 0.3
Mouse	Whole	5.0 ± 0.6
Rabbit	Whole	0.8 ± 0.1
Guinea pig	Whole	5.0 ± 0.7

Table 4: Electrical resistance of epidermal and whole skin membranes of various species measured at a frequency of 100 k Hz (22)

CHAPTER III

MULTI-WELL RESISTANCE CHAMBER

3.1 Introduction

High throughput screening devices for identifying potential CPEs for TDD were reported in the literature recently (26, 27). However, the utility of these devices in place of the standard Franz diffusion cells (FDCs) was not justified. If the newly designed resistance chambers were to replace the FDCs, they should respond in a manner similar to the FDCs. In this study, a novel resistance chamber was built in-house in order to increase the throughput of the experiments. The reliability of the resistance chamber was confirmed by validating it against the FDC using CPEs reported in the literature (28). The resistance chamber was designed and developed in multiple stages to optimize its performance. The evolution of the multi-well resistance chamber from Configuration 1 to Configuration 5 is explained in this chapter. The possibility of using polymeric chitosan membranes in place of porcine skin was also investigated with the goal of reducing the variability usually associated while performing *in vitro* studies with animal / human skin samples.

3.2 Skin preparation

Porcine whole skin from the abdominal region of female Yorkshire pigs was

purchased locally (Ralph's Packing Co., Perkins, OK, USA) prior to steam cleaning. Skin was washed under cold running water and the hair was clipped using an electric clipper (Wahl, Series 8900, USA). The exogenous tissues and subcutaneous fatty layers were removed carefully using scissors. The skin was then used immediately or wrapped in aluminum foil and stored at - 20 °C for future use. Frozen skin was thawed at room temperature for about two hours before use. Skin membrane integrity was checked before starting the experiment by measuring resistance at a frequency and voltage of 0.1 k Hz and 0.2 V, respectively, using a LCR Databridge (Instek, CA, USA) operated in parallel mode. Samples with an initial resistivity of 20 k Ω cm² or above with Phosphate Buffer Saline (PBS, pH – 7.4, phosphate and sodium chloride concentrations of 0.001 M and 0.137 M, respectively) were used in the experiments (12, 56, 81). Any skin samples with a lower resistivity than the above values were discarded. Quality of the prepared tissue was also assessed by performing histology on randomly chosen samples.

3.3 Statistical analysis

All experiments were performed at least three times. The coefficient of variation, CV, was calculated by the ratio of the standard deviation to the mean of multiple experiments in order to validate our resistance technique, with reported literature data (76) using sodium dodecyl sulphate. Single factor one-way analysis of variance (ANOVA) with a 95 % confidence interval was used to determine the significant difference between the RFs of the candidate CPEs tested. A difference was considered statistically significant when the associated *P* value for RF was less than 0.05 between the CPE and the control.

3.4 Different configurations of multi-well resistance chamber

3.4.1 Configuration 1

Figure 10 shows the Configuration 1, the initial configuration, of the resistance chamber. It consists of two half-inch thick Teflon plates. A hole with a diameter of 1" was drilled into each Teflon plate. Porcine skin or polymeric chitosan membrane was placed between the plates, and the plates were clamped together tightly. Resistance readings were taken using 0.25" stainless steel metal dowel pins (MSC Direct, USA) and the LCR databridge. The effect of AC frequency on the resistance of porcine skin and porous chitosan membrane was investigated.



Figure 10: Configuration 1 of the resistance chamber

Resistance of the porcine skin and chitosan membrane decreased exponentially as the frequency of the AC current was increased (Figure 11) and the resistance of the chitosan membrane was significantly lower than porcine skin at the same frequency. This suggests that the polymeric chitosan membrane used in this study was highly conductive, compared to the porcine skin, which is undesirable. In order to increase the resistive properties of chitosan membrane, porous 0.5 % chitosan membranes were replaced with 4 % non porous membranes.


Figure 11: Effect of AC frequency on the resistance of porcine skin and 0.5 % porous chitosan membrane. The error bars correspond to standard deviations for three experiments.

However, even the resistance of the non-porous chitosan membranes was not comparable to the resistance of the porcine skin. Therefore, the idea of using chitosan membranes in place of skin was dropped. However, chitosan membranes were used to optimize the design of the resistance chamber, as the properties of the membranes can be controlled, unlike skin samples.

Another factor observed was that the resistance of porcine skin increased with time (Figure 12). This was attributed to dehydration of the skin as it was exposed to air for considerable time. In order to prevent the skin and membrane from dehydrating, experiments were performed by immersing the complete setup (Configuration 1) into PBS solution. This resulted in lower resistance readings for both the skin and the chitosan membrane, which is due to PBS short circuiting the system. To avoid the problems of dehydration and shorting, Configuration 1 was modified.



Figure 12: Change in resistance of porcine skin with time using Configuration 1 of the resistance chamber. Error bars correspond to standard deviations for three experiments.

3.4.2 Configuration 2

The single well experimental set-up was modified into a four well setup and fixed to a Teflon Petri dish. Figure 13 shows the schematic of Configuration 2 of the multiwell resistance chamber. It consists of two half-inch thick Teflon plates fixed to a Teflon Petri dish. Four holes with a diameter of 5/16'' were drilled into each Teflon plates. The holes in the top plate serve as donor chambers, and the holes in the bottom plate serve as receiver chambers, as in FDCs. Porcine skin was placed between the receiver and donor plates with the SC facing the donor wells, and the two plates were clamped together tightly. The petri dish was filled with PBS such that the receiver chambers were completely filled with PBS, which was assured by checking the skin resistance; presence of air pockets between the skin and the receiver chambers showed very high resistance values since air has low conductivity. Resistance readings were taken using four pairs of stainless steel electrodes fixed to the petri dish and the electrode holder, as shown in Figure 13. The electrode setup of Configuration 2 is shown in Figure 14.



Figure 13: Configuration 2 of resistance chamber



Figure 14: Electrode setup of Configuration 2

Figure 15 shows the resistance of porcine skin measured at different times using Configuration 2 of the resistance chamber. As the skin was exposed to PBS throughout the experiment in Configuration 2, the resistance of the skin reduced with time. This can be due to the dilated pores in the skin membrane caused by prolonged exposure to PBS, which increases the conducting pathways through the skin. The problem of dehydration was fixed, but the error from the experiments was significant (~30%), which shows a low reproducibility for the measurements.



Figure 15: Change in resistance of porcine skin with time using Configuration 2 of multi-well resistance chamber. Error bars correspond to standard deviations for three experiments.

3.4.3 Configuration 3

In order to improve the reproducibility from the experiments, the four well setup was changed to five well (Figure 16) and fixed to a Petri dish. Now there is a common electrode instead of individual electrode for each well in the bottom, which was fixed to the petri dish and the resistance readings were taken by sequentially changing the top electrode.



Figure 16: Electrode Setup of Configuration 3

The variation of the resistance measurements of a polymeric non-porous chitosan membrane among the four wells of the resistance chamber was studied at frequencies of 0.1, 10 and 100 k Hz (Figure 17). The difference in the resistance of chitosan membrane was ~17 % among the four wells of the resistance chamber at all the frequencies tested. The reproducibility of the data from the resistance chamber was checked by comparing resistance readings of four different chitosan membranes (Figure 18), and the error was found to be ~20%. This error in the above experiments can be due to the stress applied by the electrodes on the membrane.



Figure 17: Change in resistance of 4 % non porous chitosan with time at different frequencies. Error bars represent the standard deviations for three experiments.



Figure 18: Comparison of resistance of 4 % non porous chitosan membrane from different experiments. Error bars correspond to standard deviations for three experiments.

Eontex fabrics, which have constant conductive properties, were used to optimize the resistance chamber for high reproducibility (to reduce the error between multiple experiments). The stress applied by the electrodes on the membrane plays a vital role in measuring the resistance of membranes, as shown in the Figure 19. The error was ~20 % in the experiment when the Teflon plates were not properly fixed. By controlling the stress factor on the conductive fabric, the error of resistance measurements between the experiments was reduced from 20 % to 5-6 % as shown in the Figure 19.

The change in resistance of porcine skin with time using Configuration 3 is shown in Figure 20.



Figure 19: Comparison of the change in the resistance profiles of Eontex Fabrics from four wells of the resistance chamber. Error bars correspond to standard deviations for three experiments.



Figure 20: Resistance profile of porcine skin with Configuration 3. Error bars correspond to standard deviations for three experiments

Still there is no good reproducibility from the experiments, error in the resistance measurement with the porcine skin was still significant (~ 30%) (Figure 20). This can be due to variable skin thickness and the conductive properties of the skin, which cannot be controlled from experiment to experiment. Therefore, the resistance measurements obtained from each well were normalized to its initial value as shown in equation 1 to reduce the uncertainty associated with biological experiments.

$$RF = \frac{R_0}{R_t}$$
(1)

Even after using normalized resistance values the error between experiments was significantly higher (Figure 21), which can be due to fact that the electrodes were tightly fixed to the skin in the donor wells. Fixing the electrodes tightly to the skin can result in a decrease in the surface area where the PBS / chemical formulation interacts with the skin, leaving no space for the PBS / chemical to act at the site of the electrode. In order to fix this problem the electrode set up of Configuration 3 was again modified.



Figure 21: Normalized resistance of porcine skin with Configuration 3. Error bars correspond to standard deviations for three experiments.

3.4.4 Configuration 4

The only difference between Configuration 3 and 4 is that the electrodes are held at a constant distance both in the PBS in the common well at the bottom and in the chemical in the donor wells (Figure 22) rather than fixing them tightly. A full schematic of the resistance chamber with the modified electrode set up is shown in Figure 23.



Figure 22: Electrode Setup of Configuration 4



Figure 23: Schematic of the resistance chamber

Figure 24 shows the normalized resistance values of porcine skin with Configuration 4 of resistance chamber. The error from multiple experiments had significantly reduced from 30 % to 15 % using the resistance chamber with Configuration 4.



Figure 24: Normalized resistance of porcine skin with Configuration 4. Error bars correspond to standard deviations for three experiments.

Franz diffusion cells, which are generally used as standard systems to conduct *in vitro* studies, were used to validate the resistance technique. Using 1.77 cm² area vertical FDCs (Perme Gear Inc., Bethlehem, PA, USA), donor and receiver chambers were filled with 0.9 % NaCl solution and skin resistance was measured using two 4 mm Ag/AgCl electrodes (Invivo Metrics, Healdsberg, CA, USA), one each in the donor and receiver chamber (through the sampling port). Next, NaCl solution was emptied from the donor chamber and the cell was filled with 500 μ L of 15 % (by wt) sodium dodecyl sulphate (SDS) in water, as reported in the literature (76). After 20 h incubation with SDS at room temperature, the donor chamber was replaced with fresh 0.9 % NaCl, and the skin resistance was measured.

The Resistance Reduction Factor, RF, (also referred to in the literature (76) as the

damage ratio) was calculated. It is defined as the ratio of the initial resistance value at time 0 to the resistance value of the sample obtained at time t, or:

$$RF = \frac{R_0}{R_t}$$

The initial resistance reading, R_{0} , was taken after incubating the skin with the chemical for at least 10 - 15 minutes to reduce the variability in the measurements acquired.

The results showed that the RF was 10 (CV = 0.15) after 20 h. This was comparable to the reported literature value of 11.3 (76). Moreover, the CV was significantly lower than the reported value of 1. The reduction in variation was achieved by

i) Using skin samples which had similar initial resistance value in all the experiments,

ii) Using porcine abdominal skin instead of the dorsal skin as it has less variation in thickness and hair density, and

iii) Importantly, not washing the skin with detergent and allowing it to dry prior to final resistance reading as reported by Heylings *et al.* (76); SDS solution was wiped off using tissue paper. Washing with soap may result in accumulation of surfactants, which may give false resistance values by interacting with the NaCl solution. Allowing the skin to dry before taking the resistance readings may damage its integrity.

The initial resistance of the skin with the saline solution was always greater than 50 k Ω , which is an indicator of good intact barrier of the SC in the SDS experiments. The resistance of the skin sample had dropped 10-fold after the treatment with SDS while it had dropped by only 1.6-fold when distilled water is used in place of SDS. This indicates that the chemical had caused some damage to the skin. The chemical might

have reduced the barrier resistance of SC by increasing the partitioning into the skin or by fluidizing the crystalline structure of SC and causing dissolution of SC lipids.

The reliability of the multi-well resistance chamber had significantly improved from Configuration 1 to Configuration 4, as mentioned earlier. Now, we have a better system, which is capable of making relatively fast, repeatable, accurate measurements of the skin resistance for screening potential CPEs.

In order to validate the use of the multi-well resistance chamber in place of FDCs, the results from the resistance chamber using porcine skin *in vitro* were compared to results from the FDC experiments (described below) using:

i) Nicotine as the drug, at a concentration of 100 mg / mL in PBS. Nicotine was selected because it is a low molecular weight, highly permeating chemical (82). The experiments with nicotine were performed at room temperature in the both multi-well resistance chamber and the FDCs.

ii) Decanol, nonanol, oleic acid and lauric acid as the CPEs, each at a concentration of 5 % (wt / v) in 1:1 PBS and ethanol solution. All four chemicals were reported in the literature (8, 28) as good penetration enhancers.

Vertical FDCs with an exposure area of 0.64 cm² were used for validating the multi-well resistance chamber measurements. Receiver chambers of the diffusion cells and the resistance chambers were filled with PBS. Donor chambers were filled with 5 % (wt / v) of decanol, nonanol, oleic acid or lauric acid in 1:1 PBS and ethanol solution. Experiments were conducted by maintaining the receiver chamber of the diffusion cells at 37 ± 1 °C by a re-circulating water jacket around it. Skin resistance was measured from the resistance chamber and the FDCs at different time intervals and the RF was

determined as mentioned earlier.

In all the above experiments, a donor chamber filled with 1:1 PBS and ethanol solution alone served as a control. RF values were calculated at different time intervals (0, 3, 6, 12 and 24 h).

A linear increase in the RF with time was observed in the multi-well resistance chamber in the presence of nicotine (Figure 25). However, there was no significant change in RF of the control. RF values from FDCs showed identical behavior. This suggested that there is no influence of the adjacent wells on skin resistance; thus, the possibility exists for using the multi-well resistance chamber to perform multiple experiments simultaneously.

Next, the effect of the CPE was assessed using decanol by performing experiments in the multi-well chamber and FDC.



Figure 25: Validating the resistance chamber with Franz cell in the presence of nicotine. The error bars correspond to standard deviation. (n=3). FC – Franz diffusion cell, RC – multi-well resistance chamber.

Interestingly, no significant change (Figure 26, RF in the resistance chamber and FDC in the presence of decanol at 21 °C) was observed in RFs between decanol and control even after 48 h. Since these experiments were performed at room temperature (21°C) unlike the permeation experiments, we questioned whether the temperature of the receiver chamber could affect the permeability or the RFs value of the skin.



Figure 26: Effect of temperature on RF in the presence of decanol in comparison to Franz diffusion cell. The error bars correspond to standard deviation. (n=3). FC – Franz diffusion cell, RC – multi-well resistance chamber.

3.4.5 Configuration 5

The effect of temperature on the RF of skin in the presence of CPEs was investigated using Configuration 5 of the multi-well resistance chamber. In Configuration 5, the temperature of the receiver chamber of multi-well resistance was increased to 37 °C (physiological body temperature), similar to permeation experiments. This was achieved by maintaining the receiver chambers at 37 ± 1 °C using 1/8" copper tubing below the receiver plate through which controlled-temperature water was recirculated using a peristaltic pump and a constant-temperature water bath (Figure 27). The donor chambers were maintained at the room temperature in order to simulate the conditions of *in vivo* skin permeation experiments.



The RF in the presence of decanol at 37 °C was significantly higher than the control value. In order to check for the consistency of the results from the resistance chamber at 37 °C, the results were compared with Franz diffusion cell measurements. The RFs obtained in multi-well chambers in the presence of decanol and control at 37 °C was comparable to that obtained using FDC (Figure 26). Further, no increase in RF was observed when experiments were performed by maintaining the receiver chamber at 21°C in FDCs. This suggests that the temperature of the receiver chamber significantly influences RF values. At lower temperatures, (less than 37 °C) skin resistance might be higher due to increased rigidity of the lipid bi-layers. At higher temperatures, the individual lipid molecules may have more vibrational energy, which makes the lipid bilayers more fluidic and may offer less resistance. All subsequent experiments were performed by maintaining the receiver chamber at 37 °C. Experiments were performed using nonanol, oleic acid and lauric acid to validate the results from the resistance chamber to the standard FDC. As shown in Figure 28 a, b and c, the results from the resistance chamber were consistent with the FDCs for all the three CPEs. This final set up of the multi-well resistance chamber was used to identify potential CPEs using the

skin resistance. The physio-chemical properties of the CPEs in the validation experiments along with the RF values are given in Table 5.



Figure 28 a): Validating the resistance chamber to Franz diffusion cell in the presence of nonanol. The error bars correspond to standard deviation. (n=3). FC – Franz diffusion cell, RC – multi-well resistance chamber.

Table 5: Physio-chemical properties of CPEs in the validation set with the RF values

CPE	MW	Log(K _{OW})	RF
1-Decanol	158.3	4.1 ± 0.3	30.7 ± 6.7
1-Nonanol	144.3	3.6 ± 0.3	40.8 ± 3.8
Lauric acid	200.3	4.7 ± 0.4	50.3 ± 7.5
Oleic acid	282.5	6.9 ± 0.6	17.2 ± 2.6

Figure 28 b): Validating the resistance chamber to Franz diffusion cell in the



presence of lauric acid. The error bars correspond to standard deviation. (n=3). FC – Franz diffusion cell, RC – multi-well resistance chamber. Figure 28 c): Validating the resistance chamber to Franz diffusion cell in the



presence of oleic acid. The error bars correspond to standard deviation. (n=3). FC – Franz diffusion cell, RC – multi-well resistance chamber.

CHAPTER IV

EVALUATION OF CHEMICAL PENETRATION ENHANCERS

4.1 Virtual Design of CPEs

In order to expedite the process of identifying potential CPEs, researchers have resorted to computer aided techniques, such as computer-aided molecular design (CAMD) (83), for a rational design to select candidate CPEs for experimentation. Potential CPEs were virtually generated using computer aided molecular design techniques (34) in which molecular generation of the CPEs was done using robust genetic algorithms and physio-chemical properties such as skin penetration coefficient, octanolwater partition coefficient, melting point (29), and skin sensitization using quantitative structure property relationships. Forty two potential CPEs were virtually generated by OSU Thermodynamics Group, which were divided into three groups according to their hierarchy and source. These groups include:

Preliminary set: This set consists of CPEs which were generated using genetic algorithms by Godavarthy (84-86). Seven potential CPEs were virtually generated using reliable models, which were developed and validated for properties including (a) octanol-water partition coefficient, (b) melting point, (c) aqueous solubility, (d) skin permeation and (e) skin irritation of the molecules.

Generations 1 - 5: CPEs in these groups were virtually generated by Golla (34),

by mutating the existing CPEs reported in various databases. Molecules from each of five genetic generations were scored according to their efficacy, which was based on values of selected physio-chemical properties of the molecules, and those with the best score were evaluated experimentally in this study.

Library search set: The molecules in the DIPPR (Design Institute for Physical Property Data) database were scored according to their viability (34) comparable to Generations 1 - 5, and the virtual screening results were validated by performing experiments on seventeen potential CPEs.

Miscellaneous set: This set consists of chemicals, which were not generated or scored virtually, but were selected based on their physio-chemical properties.

The CPEs tested in this study are given in Table 6. Resistance experiments were performed to evaluate the potency of these CPEs. All the potential CPEs were tested at a concentration of 5 % (wt / v) in 1:1 PBS and ethanol solution with the receiver chambers maintained at 37 ± 1 °C.

Initially, seven CPEs of the preliminary set were chosen for experimentation. The results given in Figure 29 indicate that P1 and P2 increased the RFs with compared to the control sample. The other four CPEs showed no significant effect on RFs with respect to the control (P > 0.05). Although RF value had increased in the presence of P3, it was statistically similar to control (P > 0.05). Potential CPEs P1 and P2 were relatively more potent than P3 in increasing the RF value. The difference between the RF values of P1 and P2 with respect to the control was statistically significant (P < 0.05). Skin samples exposed to CPEs P1 and P2 also show a continuous increase in the RFs without reaching saturation, even after 24 h.

Group	CPE	Group	CPE
Preliminary Set	P1	Generation 4	OSU16
	P2		OSU17
	P3	Generation 5	OSU18
	P4	Library Search	OSU19
	P5	Set	OSU21
	P6		OSU22
	P7		OSU23
Generation 1	OSU1		OSU24
	OSU2		OSU25
	OSU3		OSU26
	OSU4		OSU27
	OSU5		OSU28
	OSU6		OSU29
	OSU7		OSU30
	OSU9		OSU31
Generation 2	OSU10		OSU32
	OSU11		OSU33
	OSU12		OSU34
Generation 3	OSU13	Miscellaneous	OSU35
	OSU14		OSU36
	OSU15		OSU37

Table 6: CPEs used in this study



Figure 29: RFs of the CPEs from preliminary set. The error bars correspond to standard deviation. (n=3).

The current experiments also indicate that the testing time can be reduced from 24 h to 6 h without a significant change in the outcome. Using a reduced experimentation time (6 h), chemicals P1 and P2 showed significant increase in RFs (RFs of P1 and P2 were three times greater than the control value even at 6 h) with respect to the control. This permits more experiments to be performed in a given time period, thus leading to a higher throughput. Therefore, the experimentation time was reduced to six hours for all the subsequent experiments.

Next, the CPEs from the Generation 1 were tested for their potency. Figure 30 shows the results from the experiments. From the eight CPEs tested four (OSU2, OSU3, OSU6 and OSU7) showed some potential, of which two (OSU1 and OSU2) were very promising in increasing the RF as compared with the control. Moreover, the RF values from CPEs OSU1 and OSU2 were more statistically significant (P < 0.05) than the CPEs OSU6 and OSU7 relative to control.

OSU10 and OSU12 have shown more significant potential (P < 0.05, for the three CPEs relative to control) than OSU11 (P > 0.05, with respect to control) in Generation 2 (Figure 31). The RFs of the CPEs OSU10 and OSU12 were ~55 in 6 h, which was approximately 15 times better than the control. This shows that both CPEs have reduced the barrier resistance of the SC nearly 15 times more than the control; thus, they can play a potential role in increasing the penetration of a drug through skin.

A significant jump in the RF value was observed between 3 h and 4 h with the skin sample exposed to OSU10 (Figure 31). This phenomenon may be attributed to the dissolution of SC lipid by the CPE resulting in greater drug permeation through skin. In this case, OSU10 might have slowly dissolved the SC lipids in the first three hours, and



Figure 30: RFs of the CPEs from Generation 1. The error bars correspond to standard deviation. (n=3).



Figure 31: RFs of the CPEs from Generation 2. The error bars correspond to standard deviation. (n=3).

after finding significant pathways through the tortuous lipid layers, it might have enhanced the movement of current ions through skin. Hence, a significant drop in skin resistance was observed between 3 h and 4 h with OSU10, which resulted in a raise in the RF value. After this marked increase, the RF value remained nearly constant for the remainder of the test period, which shows that the effect of the CPE had reached saturation.

Among all the CPEs in Generations 2 - 5, only OSU15 showed a significant increase in the RF with relative to control (P < 0.05). In fact, OSU15 yielded the highest RF value (~ 80), as shown in Figure 32. OSU14 also showed a slight increase in the RF with respect to the control.



Figure 32: RFs of the CPEs from Generations 3, 4 and 5. The error bars correspond to standard deviation. (n=3).

The RF values for CPEs from the Library search group and the Miscellaneous set are shown in Figures 33 a and 33 b. The results indicate that ten CPEs (OSU25, OSU26, OSU28, OSU29, OSU30, OSU33, OSU34, OSU35, OSU36 and OSU37) had effectively increased the RF values relative to the control sample. The RFs of the ten CPEs were statistically significant (P < 0.05) with respect to the control. The other eight CPEs showed no significant effect or little effect in reducing the barrier resistance of the skin with respect to the control (P > 0.05). There was a significant raise in the RF of the skin sample exposed to OSU34 after 3 h. This phenomenon can be explained by the SC lipid dissolution mechanism, described earlier dealing with OSU10 of Generation 1. However, in this case, there was a continuous increase in the RF value throughout the test period. The continuous increase in the RF of skin with OSU34 after 3 h supports the hypothesis that a CPE can enhance drug permeation in many ways, as explained by Barry and Williams (15). OSU34 should be promoting the movement of current through skin by two mechanisms, which include dissolving the SC lipids and softening the impermeable layers of SC.

There was a rapid increase in the RF in the presence of OSU30 for three hours, which can be due to the dissolution of SC lipids (Figure 33 b). A rapid reduction in RF was observed after three hours. This can be due to the hindered free movement of the current ions through skin due to the CPE.

Table 7 shows the RFs of all the CPEs investigated in this study with their physiochemical properties.



Figure 33 a): RFs of the CPEs from Library search set. The error bars correspond to standard deviation. (n=3).



Figure 33 b): RFs of the CPEs from Library search set and miscellaneous set. The error bars correspond to standard deviation. (n=3).

Group	СРЕ	MW	logK _{OW}	RF
Preliminary Set	P1	253.4	5.2 ± 0.5	28.7 ± 1.5
·	P2	154.3	2.7 ± 0.2	21.1 ± 2.4
	P3	116.1	-1.6 ± 0.4	8.4 ± 6.0
	P4	99.1	-0.2 ± 0.4	6.7 ± 2.3
	P5	101.1	-0.6 ± 0.4	5.7 ± 1.7
	P6	219.4	2.6 ± 0.4	5.9 ± 1.7
	P7	220.2	-0.6 ± 0.4	3.0 ± 0.5
Generation 1	OSU1	120.2	1.7 ± 0.2	1.4 ± 0.1
	OSU2	128.2	3.0 ± 0.3	28.3 ± 7.6
	OSU3	128.2	2.22	16.7 ± 2.4
	OSU4	72.2	2.8 ± 0.3	2.1 ± 0.2
	OSU5	100.2	2.0 ± 0.4	2.1 ± 0.3
	OSU6	130.2	2.2 ± 0.2	6.7 ± 0.7
	OSU7	70.1	2.5 ± 0.2	7.0 ± 2.6
	OSU9	144.2	2.3 ± 0.6	3.0 ± 0.9
Generation 2	OSU10	168.3	3.5 ± 0.6	53.0 ± 5.7
	OSU11	116.2	1.1 ± 0.3	5.0 ± 2.3
	OSU12	87.2	1.1 ± 0.5	60.0 ± 8.0
Generation 3	OSU14	100.2	1.6 ± 0.2	14.4 ± 2.3
	OSU13	116.2	1.6 ± 0.2	2.4 ± 1.0
	OSU15	129.2	2.9 ± 0.4	75.8 ± 8.1
Generation 4	OSU16	114.2	1.66	3.8 ± 2.0
	OSU17	112.2	1.5 ± 0.2	4.2 ± 1.5
Generation 5	OSU18	114.2	2.1 ± 0.3	5.0 ± 0.7
Library Search	OSU24	123.0	2.1 ± 0.1	1.7 ± 0.4
Set	OSU23	113.0	2.0 ± 0.2	3.3 ± 0.8
	OSU25	111.0	2.0 ± 0.2	12.2 ± 2.4
	OSU26	121.2	2.0 ± 0.3	10.5 ± 1.0
	OSU19	68.1	2.1 ± 0.3	3.5 ± 0.3
	OSU21	122.1	1.5 ± 0.3	4.8 ± 0.2
	OSU22	188.2	1.5 ± 0.4	1.1 ± 0.1
	OSU27	138.1	1.8 ± 0.4	5.8 ± 0.4
	OSU28	126.6	3.1 ± 0.2	53.2 ± 7.2
	OSU29	92.1	2.5 ± 0.1	15.8 ± 3.1
	OSU30	94.2	1.3 ± 0.8	53.4 ± 9.0
	OSU31	128.3	4.9 ± 0.4	4.2 ± 0.3
	OSU32	/0.1	2.6 ± 0.3	3.9 ± 0.1
	OSU33	144.2	2.8 ± 0.2	46.1 ± 8.1
Missellener	05034	1/2.3	3.8 ± 0.3	$\delta \delta . 0 \pm 11.0$
wiscenaneous Set	05035	304.3 150.2	0.5 ± 2.6	19.4 ± 0.1
	05030	150.2	3.2 ± 0.2	$\delta 3.0 \pm 11./$
Control	02037	132.2	2.0 ± 0.4	39.0 ± 8.0
Control				2.2 ± 0.4

Table 7: Physio-chemical properties of CPEs with the RFs values

4.2 Correlating the RFs with permeability coefficients

Although potential CPEs can be identified by using the resistance technique, drugs with a range of lipophilic characteristics and molecular weights have to be considered for validating the technique. Therefore, the RF values of few CPEs were correlated with the permeability coefficients of two drugs, melatonin and insulin in the presence of the respective CPE reported in the literature. Melatonin and insulin were used for this study because they belong to two different classes of drugs, one being hydrophobic and the other hydrophilic with a significant difference between their molecular weights.

4.2.1 Correlating the RFs with permeability coefficients of melatonin

Melatonin is a weakly hydrophobic drug molecule with octanol / water partition coefficient, $log(K_{OW})$ of 1.2 and molecular weight of 232.28 Da (87). The K_P values of melatonin through porcine skin *in vitro* were obtained from the literature (10, 33, 88). The permeability factor, defined as the ratio of the permeability coefficient obtained from the potential enhancer to that of the control, was calculated as follows:

Permeability Factor =
$$\frac{K_{P(CPE)}}{K_{P(Control)}}$$

Then the permeability factors of the CPEs considered were compared to the RFs obtained from the resistance chamber. The normalized RFs and permeability factors of melatonin in the presence of the respective CPE were given in Table 8. RF values obtained from the resistance chamber after 24 h were plotted against the permeability factors for the selected CPEs. A regression line was drawn through all the points. The

results obtained (Figure 34) show a good correlation ($R^2 = 0.95$) between the permeability

factors and the RFs of the CPEs.

СРЕ	RF _{CPE} /RF _{Control}	K _{PCPE} /K _{PControl}	Reference ¹
Control	1.00	1.00	33
Decanol	18.83	20.13	88
Nonanol	14.00	10.06	88
Oleic acid	13.16	9.37	88
Lauric acid	14.03	9.57	88
P1	5.83	4.59	33
P2	4.38	3.23	33
P3	1.91	1.14	33
P4	1.33	1.46	33
P5	1.19	1.34	33
P7	0.61	1.08	33
D17	29.30	31.18	10

Table 8: Normalized RFs of CPEs and K_{P} values of melatonin in the presence of the respective CPE

¹Reference from which $K_{P(CPE)}/K_{P(Control)}$ was calculated



Figure 34: Comparison of RFs and permeation coefficients for melatonin.

4.2.2 Correlating the RFs with permeability coefficients of insulin

Insulin is a strongly hydrophilic drug molecule with octanol / water partition coefficient, log (K_{OW}) of -1.76 and molecular weight of 5808 Da. The K_P values of insulin through porcine skin *in vitro* were obtained from Yerramsetty (32, 35) of the OSU Thermodynamics Research Group. Then the permeability factors of insulin in the presence of the CPEs considered were compared to the RFs obtained from the resistance chamber.

Although, there is no exact linear relation between the RF and Kp values of each enhancer from the resistance technique and the permeation experiments respectively (R^2 =0.075, Figure 35), all the CPEs which had a RF value greater than three times the control value had enhanced the permeation of insulin through skin.



Figure 35: Comparison of RFs and permeation coefficients for insulin. The error bars correspond to standard deviations for three experiments

The horizontal and vertical lines in the Figure 35 are the threshold values for permeability factor and resistance reduction factor respectively. The threshold value for resistance reduction factor *wrt* control was set at 3, which suggests that the CPEs which cross this threshold value were able to reduce the barrier resistance of skin three times better than the control. A value of 2 was set for the threshold value of permeability factor, which suggests that the CPEs which cross this threshold value of permeability factor, which suggests that the CPEs which cross this threshold value were twice as better as the control, in enhancing the permeation of the drug through skin. All the CPEs, which have a $RF_{CPE} / RF_{Control}$ higher than the threshold value, had a permeability factor of at least twice the control.

4.3 Histological studies

The use of potent CPEs is generally limited by their toxicity. Therefore, histological assessments were performed using potent CPEs from preliminary set and the Generations 1 - 5, to test the toxic effects of the CPEs on skin.

Porcine skin samples were exposed to 5 % (wt / V) of the CPE on the SC side and with PBS on the dermis side for 48 h. The dermis was maintained at 37 °C and the SC at room temperature (21 °C) as in resistance experiments. The skin samples were fixed in 1.5 % buffered formalin at room temperature, dehydrated in graded ethanol and then embedded in paraffin. The embedded samples were cut into 6 μ m sections using microtome. These sections were then stained with Hemotoxylin and Eosin and digital photomicrographs were taken at representative regions using a Nicon E800 microscope.

Morphological changes in the skin (especially in the epidermal layers) after treating the skin samples with the CPEs were observed visually and graded into four classes from A - D, similar to a procedure reported recently (87) on rat epidermal keratinocyte organotypic cultures: Class A – non toxic (when the morphology of the sample looks essentially identical to the control). Class B – slightly toxic (when the morphology looks very similar to the control sample with minor differences). Class C – toxic (when there is partial epidermal degradation with nuclei bleeding into the dermal layers). Class D – severely toxic (when there is severe epidermal degradation with cell death). Table 9 shows the CPEs graded according to their effect on the morphology of the skin. Figures 36, 37 and 38 show the histological skin sections exposed to CPEs. The grades of the CPEs can be seen on the right hand botton of each skin sample.

CPE	Grade	CPE	Grade
Decanol	В	P4	В
Nonanol	В	P5	С
Oleic acid	А	OSU2	А
Lauric acid	В	OSU3	В
P1	А	OSU10	В
P2	D	OSU12	С
Р3	А	OSU14	А

Table 9: Histological evaluation of the CPEs

Nonanol had slightly disrupted the epidermal papilla, which can be clearly seen from Figure. 36. The nuclei of the cells from the epidermis are slightly bleeding in to the dermis, inferring that nonanol might be slightly harmful to the skin at the concentration used. The morphology of the skin samples exposed to oleic acid looks almost like the control sample indicating that it is a non-toxic enhancer. No damage is done to the skin cells by this CPE. There were some disruptions in the epidermal layer in the skin section exposed to lauric acid, indicating that it might be slightly harmful to the skin as shown in Figure 36.

Figure 37 shows the histological skin sections of the porcine skin exposed to CPEs from the preliminary set. CPEs P1, P3 and P4 did not alter the structure of the epidermal layers, indicating their non-toxicity. But partial epidermal degradation was found with the nuclei bleeding in to the dermis from skin sample exposed to P5, which indicates its moderate toxicity on skin cells. There was severe epidermal degradation with complete cell death with skin exposed to P2, which makes it a very toxic enhancer.

CPEs OSU2 and OSU14 were nontoxic as there were no significant changes in the morphology of the skin samples (Figure 39). OSU10 was moderately toxic with nuclei from the epidermis slightly bleeding in to the dermis. Dispersed and degraded epidermal layers were found in the skin samples exposed to OSU12, which makes it a toxic enhancer.



Figure 36: Histological cross section of porcine skin exposed to CPEs in validation set



Figure 37: Histological cross section of porcine skin exposed to CPEs in preliminary set


Figure 38: Histological cross section of porcine skin exposed to CPEs in Generations 1-5.

CHAPTER V

DISCUSSION

In this study, the utility of the electrical resistance of skin in a novel multi-well resistance chamber was investigated to identify potential CPEs and to increase the rate at which data can be obtained. First, the highly permeating, well investigated nicotine (82) was used to compare the RF values from the multi-well resistance chamber and a FDC. There was a good agreement between the two. The results also demonstrated that there is no influence of the adjacent wells on the resistance measurements. Also, a higher difference could be observed in the RFs of control and nicotine at 37 °C than at room temperature.

To investigate the effect of temperature on RF values, experiments were performed on decanol while maintaining the receiver chambers at 37 °C and 21 °C. As shown in Figure 27, a significant difference in the RF values was observed for 37 °C and 21 °C. Although others have reported the utility of resistance technique in screening the CPEs (26, 27), they did not compare with the traditional FDC experiments and they did not maintain the receiver chamber at 37 °C, similar to the permeation experiments.

Our findings support similar observations in the literature (89) that temperature plays a significant role in assessing the barrier characteristics of skin in the presence of CPEs. Therefore, experiments designed to identify potential CPEs should be performed

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at temperature conditions consistent with those of permeation experiments. The electrode set-up reported in the literature (26) to measure the electrical properties may introduce significant variability since the common electrode was inserted into the dermis of the skin. The length of insertion, as well as the path length of electrical conductivity, could vary from well to well. Thus, this type of electrode set-up could have significant influence on the resistance measurements. The potential problem was avoided in our study by inserting the common electrode in an electrolyte bath, ensuring that length of current travel is equal in all the wells.

Using the multi-well resistance chamber, nineteen potential CPEs were identified from the forty two tested. The results from the pre-screening technique were confirmed by comparing them with the permeation data of the drugs, melatonin and insulin. There was a good correlation between the RFs of the CPEs and the permeability coefficients of melatonin in the presence of the respective CPEs. However, more data distributed uniformly over the correlation range would be required to confirm this finding with the melatonin.

Although, there is no exact linear relation between the RF values and permeation data of insulin, the pre-screening technique was successful in identifying potential enhancers. These results indicate that, using the resistance technique, potential CPE's can be effectively pre-screened from a larger pool of chemicals, thus reducing the time required to conduct the permeability studies. For example, CPEs which have a RF less than three times the control value can be avoided during the permeation experiments since they were not effective at the concentrations used. Actually, a better strategy would be to examine the behavior of these chemicals at higher concentrations. Also, by using the resistance technique, CPEs can be tested in the absence of the drug considered, which can be useful in understanding the CPE interactions with the skin.

However, using the resistance technique, we cannot predict the extent to which a CPE can enhance the permeation of a drug. For example, one can expect that OSU34, which was identified as most potent CPE using the resistance technique, would also be the best CPE in terms of enhancing the permeation of a drug through skin. However, OSU29, which had a lesser RF value, effectively enhanced the permeation of insulin through porcine skin than OSU34 (32). This can be due to the fact that, using the resistance technique, we are determining the potency of the CPEs based on the movement of the current ions through skin, which are very small, when compared to the drug molecules. And the enhancement abilities of CPEs usually depend on the properties of the drug such as molecular weight and lipophilic characteristics. Therefore, drugs with a range of lipophilic behavior and molecular weights should be carefully selected and experiments should be performed to better understand the utility of resistance technique with different classes of drugs. Nevertheless, the pre-screening technique was able to successfully predict the CPEs that would enhance permeation of insulin through skin, and it can be used as a 'coarse' method for quickly identifying CPEs that would significantly alter the barrier properties of skin.

Experimental variability usually associated with biological experiments was minimized by storing the skin at -20 °C instead of -80 °C and by checking the integrity of the skin samples prior to each experiment. Porcine skin from the same breed and sex was maintained throughout the experiments. The experiments were performed in a saturated environment to avoid the evaporation of the PBS from the Petri dish.

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The use of the potent CPEs is not only limited by their toxic effects as mentioned in this study. Skin sensitization, corrosion and irritation are the other factors, which can limit their use in TDD (90). Skin sensitization generally occurs when skin is exposed to a toxic substance, which causes allergic reactions like erythema (redness), vesicles, papules, scaling, and pruritus (itching). If the skin's exposure to a toxic chemical results in tissue necrosis, it is termed as corrosion, which is irreversible. Skin corrosion causes ulcers, bleeding, bloody scabs, and discoloration. Skin irritation is caused when toxic substances affect the viable epidermis by triggering various inflammatory responses. Development of rashes, inflammation, swelling, scaling, and abnormal tissue growth are the clinical signs of skin irritation and this is a reversible process unlike skin corrosion. Therefore, the problems related to skin sensitization, corrosion and irritation should be carefully considered and addressed in order to confirm the safety of the CPEs before using them for TDD.

CHAPTER V

CONCLUSIONS AND RECOMMENDATIONS

5.1 Conclusions

- 1. A rapid high throughput pre-screening technique was explored with success in order to identify potential CPEs for TDD.
- 2. A novel multi-well resistance chamber was build and optimized to perform the experiments at conditions similar to standard permeation experiments.
- Using the pre-screening technique nineteen potential CPEs were identified out of the forty two tested.
- 4. The pre-screening technique was validated by comparing the results with the permeation data of drugs, melatonin and insulin.
- 5. Although a linear relation between the resistance and permeation data does not exist, all the CPEs, which had a RF value greater than three times the control value, were able to enhance the permeation of insulin through full thickness porcine skin *in vitro*.
- 6. Out of the total potential CPEs in preliminary set and Generations 1 5, three of them were identified as toxic to the skin using histology.

5.2 Recommendations

5.2.1 Future experimental design strategy

A systematic approach is necessary when designing the strategy for conducting experiments in order to identify potential CPEs for TDD. The use of crude trial-and-error methods results in a time consuming and economically inefficient experimental design strategy. The resistance technique used in this study can improve the throughput from the experiments by eliminating the chemicals which are not potent, thereby reducing the effort to perform the more rigorous permeation experiments in the presence of different types of solutes. However, resistance experiments should be performed using the enhancers at different concentrations in order to find the optimum concentration at which the enhancer is non-toxic and still potent. For instance, the CPEs, which are potent in this study, may be toxic to the skin cells at the concentration used, but may be non-toxic at lower concentrations and still be a potent enhancer. The experimental design for identifying potential CPEs at non-toxic concentrations can be optimized effectively using the strategy shown in Figure 39.

Initially, the potential CPEs can be generated virtually using computer aided molecular design techniques as described in the Section 4.1. Next, the resistance experiments should be performed using the virtually generated CPEs at a concentration of 5 % (wt / v). If the resistance reduction factor, RF of the CPE is greater than three times the control value, toxicity studies should be performed with the CPE at the respective concentration. If the CPE is non-toxic, permeation studies should be conducted with different solutes in the presence of the CPE. If the CPE had enhanced the permeation of solute through skin, the properties of the CPE should be put into the virtual algorithm,

which generates the potential CPEs for the next generation. In this process, if the CPE is either non-potent (RF < three times the control value) or toxic, an optimum concentration, C_{OPT} should be found at which the CPE is potent and non toxic using the resistance technique and the toxicity assessments.



Figure 39: Future experimental design strategy

5.2 Use of multiple CPEs

Careful attention is required when using CPEs for TDD. Many CPEs, which are potent enhancers, are very toxic or known for their irritating effect when in contact with the viable layers of the skin. For example, surfactants are known to breach the barrier properties of the skin very effectively; however they are very toxic. In this scenario, combination of enhancers is one of the solutions to the issue. There are reports, which claim that use of combination of enhancers / vehicles is better than the individual enhancers (25, 27, 57, 91). The random mixtures, which were formed from the combination of multiple enhancers, are likely to exhibit the additive properties of their individual constituents. The potency and irritancy of these mixtures can be the average of its corresponding single components. For example, if a chemical X is highly potent and toxic and chemical Y is less potent and non-toxic, then the combination mixture from these chemicals can be a moderately potent mixture with less toxicity / irritancy, which might effectively breach the skin's barrier for few drugs.

Therefore, research should be directed to investigate the effect of multiple CPEs to increase the performance of CPEs for TDD.

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APPENDIX

USING LCR METER TO MEASURE RESISTANCE

- Plug in and press the power button on the front panel to apply AC power to the LCR meter.
- 2. Operate the LCR meter in auto. This can be done by pressing and holding the start button for three seconds.
- 3. The LCD screen of the LCR meter looks similar to the figure shown below.



- 4. Set the frequency to 0.1 k Hz using the frequency button and numerical keys on the front panel.
- 5. Set the voltage to 0.2 V. This can be done by pressing the menu button (F6 key), which opens the menu screen shown in the Figure below.

	CAP. R/L OFFSET SET SORT SET PARAMETER CALIBRATION	OFFSET SORT SETTING CALBRAT EXIT	
Power		LCH METZA LCR-621	

6. Press the F3 (settings) key and set the voltage to 0.2 V by using the F2 key and numerical keys on the next screen.

	TEMORY NO: 8 UOLTAGE= 0.588 AUERAGE= 1 RECALL CALIBRATION RECALL EXIT	
on		

- 7. After setting the voltage, press start button and exit the menu screen.
- 8. Zero the LCR meter using open and short circuit tests to eliminate the strayed measurements as described in the manual.

In brief open and short circuit tests can be done by following the procedure mentioned below.

Open circuit test:

i. Press the F6 menu key to open the menu screen.

ii. Press the F1 (capacitance, R / L OFFSET) key to open the OFFSET menu, which is shown below.



- iii. Press F1 key to select open circuit zeroing.
- iv. If the zeroing process is successful, a message of "OK" can be seen on the LCD screen as shown below.



v. Repeat the steps from i to iv if the process fails.

Short circuit test:

- i. Connect the electrodes of the LCR meter to a clean small metal wire to short the circuit.
- ii. Press the F6 menu key to open the menu screen.

- iii. Press the F1 (capacitance, R / L OFFSET) key to open the OFFSET menu, which is shown above.
- iv. Press F2 key to select short circuit zeroing.
- v. If the zeroing process is successful, a message of "OK" can be seen on the LCD screen.
- vi. Repeat the steps from i to iv if the process fails.
- 9. After the zeroing is successful select the R / C mode by pressing the mode button.
- 10. Select the parallel mode by pressing the circuit button.
- 11. The LCD screen should look similar to the Figure below



12. Finally, connect the LCR meter to electrodes of the resistance chamber as shown

in the Figure below and note the resistance measurements.



VITA

Vijay Krishna Rachakonda

Candidate for the Degree of

Master of Science

Thesis: EEFECTIVE SCREENING OF CHEMICAL PENETRATION ENHANCERS FOR TRANSDERMAL DRUG DELIVERY

Major Field: Chemical Engineering

Biographical:

- Personal Data: Born on 26th October, 1983 in Vijayawada, Andhra Pradesh, INDIA to Veeroji Rao and Leela
- Education: Completed Secondary School (2000) and High School (2002) from Sri Kakatiya High School and Nalanda College respectively, located in Vijayawada, Andhra Pradesh, INDIA. Obtained Bachelor of Technology Degree (2006) in Chemical Engineering from Andhra University, Visakhapatnam, Andhra Pradesh, INDIA. Completed the requirements for the degree of Master of Science in Chemical Engineering at Oklahoma State University, Stillwater, Oklahoma in July, 2008.
- Experience: Worked as a teaching assistant for undergraduate level courses in Chemical Engineering Design I and II, ChE 4224 (Aug 2006 – May 2007) and Introduction to Chemical Process Engineering, ChE 2033 (Jan 2008-May 2008) at Oklahoma State University. Working as a Research Assistant (Aug 2006 – Present) in School of Chemical Engineering, Oklahoma State University, Stillwater, OK.

Professional Memberships:	
American Institute of Chemical Engineers	2007 - Present
Omega Chi Epsilon	2007 - Present

Name: Vijay Krishna Rachakonda

Date of Degree: July, 2008

Institution: Oklahoma State University

Location: Stillwater, Oklahoma

Title of Study: EFFECTIVE SCREENING OF CHEMICAL PENETRATION ENHANCERS FOR TRANSDERMAL DRUG DELIVERY

Pages in Study: 83

Candidate for the Degree of Master of Science

Major Field: Chemical Engineering

- Scope and Method of Study: The potency of a CPE in enhancing the permeation of a drug is usually determined by quantifying the amount of drug permeated through skin in the presence of the CPE. Typically, these experiments are performed in Franz diffusion cells, and the amount of drug permeated is quantified by using rigorous analytical techniques, which are resource and labor intensive, cost prohibitive and have limited throughput. Further, there is no rational design in the criteria for selecting candidate CPEs for study and this trial-and-error method can be time consuming. Therefore, a need exists for a robust, quick alternate technique that can effectively pre-screen the CPEs for their potency. In this study, resistive properties of skin were used to determine the potency of the CPEs. A high throughput multi-well resistance chamber was designed and constructed in order to increase the throughput from the experiments. The multi-well resistance chambers were equipped to perform the experiments at conditions identical to permeation experiments and forty two potential CPEs were evaluated, which were generated by virtual design techniques. Histological studies were also performed to test the toxic effects of selected potent CPEs.
- Findings and Conclusions: Using the resistance technique and the multi-well resistance chamber, nineteen potential CPEs were identified from the forty two tested. Our results show a significant agreement between the resistance technique and the standard permeation experiments; thus, we confirm the efficacy of the resistance technique for screening potential CPEs. In summary, resistance technique can be used to effectively pre-evaluate potential CPEs, thereby reducing the time required to conduct the skin absorption studies.