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Who Gets Hired at the Top?: The Academic Caste System Theory in the Planning Academy

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## Who Gets Hired at the Top?:

### The Academic Caste System Theory in the Planning Academy

#### Abstract

This study is the first to examine detailed faculty demographics and impacts of elite hiring networks in the planning academy. Institutional prestige significantly shapes faculty placements. Nearly half of planning faculty graduated from Berkeley, MIT, UCLA, Cornell, and UNC-Chapel Hill. Faculty are predominantly hired in similar or lower ranking programs with little upward mobility, after accounting for demographics and program factors. While race and gender did not have a significant relationship to placements, the findings demonstrate how status-based inequities are perpetuated through elite programs and constrain faculty representation.

**Keywords:** hiring, academic caste system, planning education, hiring network, ethics

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C. Aujean Lee is an assistant professor in Regional and City Planning at the University of Oklahoma. Her research examines racial disparities in housing, community institutions, and wealth in addition to diversity, equity, and inclusion issues in institutional practices.

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## Introduction

The planning academy seeks to hire and retain diverse students and faculty. Yet, the existing pipeline to train and hire underrepresented faculty leaks and contributes to the overrepresentation of white,<sup>i</sup> male faculty (Chen and Hune 2011; Hart 2016; Layton et al. 2016; Moreno et al. 2006; National Center for Education Statistics 2020; Turner, Gonzalez, and Wood 2008). Existing studies have noted racial/ethnic disparities among planning faculty (Association of Collegiate Schools of Planning [ACSP] 1989-88 Committee 1990; ACSP Task Force on Global Planning Education 2019; Hibbard et al. 2011; Innes 1993; Lowe et al. 2016; Lowe et al. 2018; Wubneh 2011). These studies attribute factors for uneven representation, including a racist or discriminatory climate, insufficient funding, or unclear expectations. This is the first study to examine detailed faculty demographics and hiring in the planning academy.

Studies on faculty hiring have examined disciplines in science, technology, engineering, and mathematics (STEM), business, and history (Clauset, Arbesman, and Larremore 2015; Larson, Ghaffarzadegan, and Xue 2014; Pinheiro, Melkers, and Newton 2017; Whittaker and Montgomery 2014). These studies highlight how disciplines use tight, elite hiring networks that perpetuate inequities. Sociologist Val Burris (2004) theorized the “academic caste system,” in which the person’s graduated university prestige heavily predicts the prestige of the institution where they will be hired (Barnett et al. 2010).

I fill a gap in planning literature by collecting and analyzing detailed demographic information and educational backgrounds of all 865 tenured or tenure-track faculty in U.S. accredited programs in 2020. These cross-sectional data are used to understand three research questions: First, what programs are key to produce and place graduates in other planning programs? Then, I describe an academic caste system, characterized by a few elite schools

placing many students and little upward mobility between ranks. The second question is if there is evidence of an academic caste system in planning programs by assessing horizontal, downward, or upward hiring between program tiers. Third, logistic multivariate regressions assess whether graduated program has a statistically significant relationship to a person's hired institution and at the top 10 ranked planning schools, after accounting for demographic or educational factors.

Understanding elite hiring networks and placements is key to promote sustained institutional change. Student engagement with diverse people promotes long-term positive perceptions (Milem, Umbach, and Liang 2004; Sáenz, Ngai, and Hurtado 2007). Diverse faculty also bring epistemological approaches and innovations in planning knowledge beyond Eurocentric biases and inequities (Bernal and Villalpando 2002; Posselt et al. 2020). Finally, hiring diverse faculty will meet the needs of diversifying students and cities, as the field continues to become more aware of systemic racism (Taylor et al. 2020; Williams 2020).

### **The Academic Caste System**

Burris's (2004) academic caste system theory builds on Weber's (1968) theory of social status, in which prestigious hierarchies depend on: (1) the privileged group adopting a distinctive lifestyle, and (2) closed interactions between the higher and lower tier groups that lead to uneven resource distribution. Burris (2004) focuses on program prestige as social status, and prestige is measured by program exchange of PhDs.

Prestige results in affirming or reproducing status divisions and leads to advantages that contribute to the closed social networks between higher and lower ranking programs. This advantage begins with students recruited at prestigious programs, in which top programs have more resources to host events or advertise in conference programs (Barnett et al. 2010) and/or

financial resources or social capital to recruit and subsequently flood the academic market with their graduates (Kawa et al. 2018). Prestigious departments then hire graduates from similar ranking programs (Burriss 2004; Mai, Liu, and González-Bailón 2015; Terviö 2011). Hiring committees also use personal contacts to select candidates from a similar ranked program to eliminate risk and uncertainty in hiring (Mai, Liu, and González-Bailón 2015; Terviö 2011).

Graduated program prestige then affects where a person is hired. In line with the academic caste theory, elite programs rarely hire graduates from lower-ranking programs because it would jeopardize their status (Burriss 2004). Students from higher ranking institutions are hired at lower ranking institutions relative to where they graduated (Burriss 2004; Nevin 2019; Terviö 2011), which helps elite schools accumulate social capital because their graduates monopolize faculty positions at more institutions. Widespread employment in the field leads to additional honors that strengthen prestige, including publications in high-ranking journals, positions in professional associations, or invited lectures (Burriss 2004).

The academic caste system challenges meritocracy in the academic job market in several ways. First, prestige of where a person graduated from is more important than faculty productivity (Burriss 2004). Second, prestige of departments has shown to be stable over time, regardless of faculty turnover and changes to department faculty productivity (Burriss 2004; Masuoka, Grofman, and Feld 2007). This stability is partially attributed to well-connected senior faculty who are less likely to suddenly migrate to another program (Barnett et al. 2010). Third, these trends occur across disciplines, which show the persistence of the academic caste system.

### **Hiring in Other Disciplines**

Studies in other fields have examined hiring and demographic trends and consistently have shown that a few universities produce a substantial number of faculty. The top five

programs in sociology, political science, and history produced 32%, 34%, and 39% of faculty, respectively (Burriss 2004). Others found that 25% of institutions produced 71 to 86% of tenure-track faculty in computer science, business, and history departments (Clauzet et al. 2015); 15 schools placed 53% in anthropology (Kawa et al. 2018); and 20% of programs placed 60% in library information science (Shu, Yan, and Song 2016).

Elite universities share several characteristics. They are oftentimes private U.S. universities (Masuoka, Grofman, and Feld 2007; Shu, Yan, and Song 2016).<sup>ii</sup> For example, Kawa et al. (2018) described a high level of reciprocity in hiring between the following ten anthropology programs: University of Chicago, Harvard, UC Berkeley, Michigan, Stanford, Princeton, Columbia, Penn, NYU, and Yale--eight are private universities. Second, key universities are consistent across time. For instance, Columbia, Harvard, and University of Chicago were among the top 10 programs to place graduates at PhD-granting political science departments over a 70-year period (Masuoka, Grofman, and Feld 2007). These patterns even shape new disciplines, such as computer science (Wiggins, Adamic, and McQuaid 2006).

These inequities in the academic market can reproduce the inequities that these disciplines seek to remedy because the academy excludes faculty who can push for research and disciplinary innovations. There is substantial research about the challenges that racial minorities, female, and first-generation students face in the leaky pipeline that persists across academic rank and limit selection, promotion, and/or retention (Baldwin et al. 2012; Flaherty 2018; Gardner and Holley 2011; Griffith 2010; Smith 2013). Subsequently, gains in student representation alone will not lead to long-term changes. Baldwin et al. (2012) found that racial minority PhDs have increased over a 20-year period—yet these students graduate from lower ranking schools. The pipeline particularly leaks when examining intersectional identities (Liu et al. 2019). The

proportion of white men increases as they advance—from students to campus presidents (Chen and Hune 2011)—while other identities decrease. For instance, Chen and Hune (2011) found that increased Asian American and Pacific Islander female students resulted in little progress in those who are hired, obtain tenure, and eventually are promoted to administrative positions relative to white female faculty or Asian American and Pacific Islander men.

While studies highlight hiring networks based on institution, many do not include faculty demographics because of the methodological challenges of obtaining self-identified race/ethnicity. Planning studies have assessed racial/ethnic and gender demographics but have yet to combine literature on institutional hiring networks. This study is the first to combine hiring networks and faculty demographics in planning.

### **Literature on Academic Planning Workforce**

Planning has acknowledged faculty representative disparities through research and ACSP committees (see Greenlee et al. 2018 for a summary). For example, Niebanck (1988) observed few female faculty and faculty of color. In the 1980s and 1990s, faculty of color comprised 14% of faculty (ACSP 1987-1988 Committee 1990), and Thomas (1996) counted 25 Black faculty in accredited programs. Planning programs have bridged the gap in female enrollment over time, but still lag in racial/ethnic minority enrollment (Lowe et al. 2016; Thomas 1996).<sup>iii</sup> White faculty comprised about 68% and 67% of full-time planning faculty in 2008 and 2019, respectively (Planning Accreditation Board [PAB] 2019; Wubneh 2011).<sup>iv</sup> Female faculty comprised 33% and 37% of full-time faculty in 2009 and 2017 (Lowe et al. 2018).<sup>v</sup>

Studies have offered explanations for these disparities. First, Planners of Color Interest Group (POCIG) climate studies in 2008 and 2018 show discrimination and microaggressions across rank, from students to faculty (Greenlee et al. 2018; Wubneh 2011). Black and Latinx

students reported feeling tokenized or invisible (García et al. 2020). Faculty of color also perceived that planning journals and funding agencies were biased against research on race/ethnicity; consequently, they published in non-planning journals, pushing their research contributions to the margins (Wubneh 2011).<sup>vi</sup>

Second, studies focused on the academic pipeline. Hill (1990) noted that planning programs needed to financially support master's students to enter the pipeline earlier. In the first study on doctorate education in 1975, issues with the pipeline were identified including declining financial support, unreliable mentoring, and late recruiting efforts (Innes 1993). After graduating, issues persist. First- and second-year female faculty and faculty of color experience burdens of participating on projects or committees because they are presumed to have perspectives that are otherwise missing from underrepresented groups (Hamin et al. 2000). Yet, these studies do not consider hiring networks as additional explanations for why representational disparities continue. (Sanchez (2013)'s *Planetizen* article was the only descriptive analysis identified on hiring.) In addition to discrimination and retention issues, underrepresented groups may experience additional hurdles related to the planning hiring market.

Urban planning may differ from other disciplines for several reasons. First, accredited programs are primarily offered as graduate programs, and students do not need a bachelor's degree in planning. Planning programs then present fewer barriers to entry than other fields. Second, planning programs are in a diversity of colleges or schools, such as geography, public affairs, or architecture (Anselin, Nasar, and Talen 2011). Third, most accredited planning programs are at public universities. Among 46 PhD-granting planning programs, 10 are private universities, 2 are Historically Black Colleges and Universities (HBCUs), 2 are public teaching universities, and 32 are public research universities. Consequently, planning may not follow



other disciplines—while faculty of color comprised almost a third of full-time planning faculty members in 2008, they comprised less than a fourth across all U.S. degree-granting institutions (Wubneh 2011).

### **Data Collection and Methodology**

The study sought to answer the following questions:

1. Which programs are key in producing and placing graduates in other planning programs?
2. What evidence is there of horizontal, downward, or upward hiring between program tiers?
3. After controlling for demographic and educational background, does the graduated program of study predict hiring at the top 10 ranked planning schools?

#### *Data Collection*

I used the 79 accredited U.S. PAB programs as the population, which had a total of 865 tenure-track or tenured faculty members—the unit of analysis. Emeritus faculty, professors of practice, adjunct professors, professors of teaching, and research professors were excluded (Burriss 2004). While doctoral programs are not accredited, 42 offered PhD programs in planning, and others had other doctoral programs in other fields such as public affairs or urban studies.

Data were manually collected between July and December 2020 by examining faculty profiles, curriculum vitae, dissertations, and websites by at least two coders to reduce error. A list of tenured and tenure-track faculty was created for each accredited program with the following: bachelor's degree, bachelor's degree institution, master's degree(s), master's degree(s) institution(s), doctoral degree, doctoral institution, rank, gender, race/ethnicity, and nativity. Programs of study were categorized into 4 areas: social science; humanities; STEM; and environmental studies.<sup>vii</sup> Number of department faculty was a control variable.

Several approaches were used to triangulate the race/ethnicity variable. First, many faculty self-identified their race/ethnicity on online materials (e.g., faculty website, publications, online interviews, curriculum vitae [CV]). In addition, country of origin and country where the bachelor's degree was earned was used to impute race/ethnicity for faculty members not born in the U.S. In combination with these two approaches, photos, membership in POCIG, and/or or curriculum vitae advertised in a previous POCIG CV book identified non-white faculty. Nativity was collected using the country of the bachelor's degree granting institution (ACSP Task Force on Global Planning Education 2019). Racial/ethnic identity was imputed for the population for the following: U.S.-born Black/African American; not U.S.-born Black; U.S.-born Latinx; not U.S.-born Latinx; U.S.-born Asian American; not U.S.-born Asian; Indigenous (American Indian, Native Hawaiian, Pacific Islander, and other Indigenous groups); and white.<sup>viii</sup> While there may be errors in identifying faculty race/ethnicity and nativity, similar methods are used by faculty hiring committees when searching for candidate demographic factors.

The most common bachelor's degree was architecture (17%), followed by sociology/anthropology/area studies/communication (11%), engineering (8%), planning (8%), and economics (8%). About half received a social science bachelor's degree (50%), followed by humanities (31%). The majority had a master's degree (785 or 91%), and about 41% of master's degrees were in planning, followed by M.Arch (14%) and MPA/MPP (5%). Forty-nine faculty did not have a PhD (6%) and studied landscape architecture or architecture. Most PhDs studied planning (57%), followed by public administration or public policy (8%; Table 1).<sup>ix</sup>

Table 1. Fields of Study for PhD Planning Faculty

<b>Field of Study</b>	<b>Frequency</b>	<b>%</b>
Planning	467	57
Public Administration/Public Policy	63	8
Geography	53	7
Sociology, Anthropology, Area Studies, Communication	42	5
Economics	32	4
Architecture	24	3
Biology, Ecology, Meteorology, Geology, Oceanography	20	2
Engineering	19	2
Political Science	18	2
Environmental Studies	15	2
Urban Studies	14	2
History	12	1
Law	11	1
Education	6	1
Management, Business	4	0.5
Psychology	4	0.5
Art, Design	3	0.4
Philosophy	2	0.2
Public Health, Social Welfare	2	0.2
Physics, Computer Science	2	0.2

About 75% of all faculty have tenure, and more than half are female (Table 2). White faculty comprise 65% of all faculty and have the highest percentage tenured (80%) among racial/ethnic groups. Native-born Asian American faculty have the lowest percentage tenured (53%), followed by Indigenous faculty (56%) and native-born Latinx faculty (57%). About a fourth were not born in the U.S. Faculty earned their highest degree on average more than 18 years ago (SD = 11.86), and programs had on average 11 faculty (SD = 6.25).

Table 2. Demographics of Faculty at Accredited Planning Programs

	N	All faculty	% Tenured
Female	490	57%	78%
Male	375	43%	72%
Tenured	652	75%	
Foreign-born	242	28%	
Earned PhD	818	95%	
Indigenous	9	1%	56%
Native-born			
Black	54	6%	70%
Latinx	47	5%	57%
Asian	38	4%	53%
Foreign-born			
Black	13	2%	69%
Latinx	24	3%	75%
Asian	130	15%	75%
White	558	65%	80%
	Means	SD	
Years since highest degree	18.60	11.86	
# of Faculty in program	10.97	6.25	

### *Institutional Ranking*

Sanchez's (2017, 2019) planning program h-index was used to create program ranked tiers for the final research question.<sup>x</sup> This score measures individual researchers' impact over a career while accounting for a few highly cited article outliers (Bornmann, Mutz, and Daniel 2008; Hirsch 2005; Norris and Oppenheim 2009). The h-index is widely used to measure institutional or program rankings (Huang 2012; Lund 2019; Meyers and Quan 2017; Smith et al. 2018).<sup>xi</sup> Here, median h-index was used to assess tiers of programs from which a person graduated from and was hired (Lund 2019). The top ten institutions ranked by median H-indices were grouped together and included 12 programs because three programs had a medium H-index of 15 (MIT, GA Tech, SUNY-Buffalo). I controlled for years since highest degree and program size, given their associations with h-indices and program prestige in other fields (Bornmann and Marx 2011; Burris 2004; Sanchez 2019). Appendix 1 lists planning programs by median h-index,

if the program has a PhD program, and the year that the doctoral program began where applicable.

### *Analytical Models*

The following variables were collected or calculated (Table 2):

- Graduated program institutional rank (dummy variable, 1 = top 10 institutions, 0 = non-top 10 institutions)
- Gender (1 = female)
- Race/Ethnicity and Nativity
- Academic Rank (tenured or tenure-track)
- Years since obtained degree (from 2021; Sanchez 2019)
- Program of study for Bachelor's, Master's, and Doctoral Degrees
- Number of faculty in program

Logistic regression models were used to estimate hiring in the top 10 institutions:

1.  $y = b_0 + b_1$ ;
2.  $y = b_0 + b_1 + b_2$ ;
3.  $y = b_0 + b_1 + b_2 + b_3$ ;
4.  $y = b_0 + b_1 + b_2 + b_3 + b_4$ ;

where  $y$  equals getting hired in a top 10 or non-top 10 program;  $b_1$  is graduating from a top 10 or non-top 10 program,  $b_2$  includes faculty demographics (e.g., race/ethnicity, nativity, and gender),  $b_3$  are other faculty and program control variables (such as academic rank, years since highest degree, and number of faculty in the program), and  $b_4$  includes the educational programs of study. The first model tests the association between graduated and hired institutional program ranks. The second model assesses if association of the graduated program tier is statistically

significant, after accounting for individual demographics. The third model examines the association between graduated program and hired program after adding in other faculty and program covariates. The final model includes fields of study (bachelor's, master's, doctoral) to assess if these covariates have a statistically significant association with hired institutional tier, over and above other faculty demographics and program characteristics.

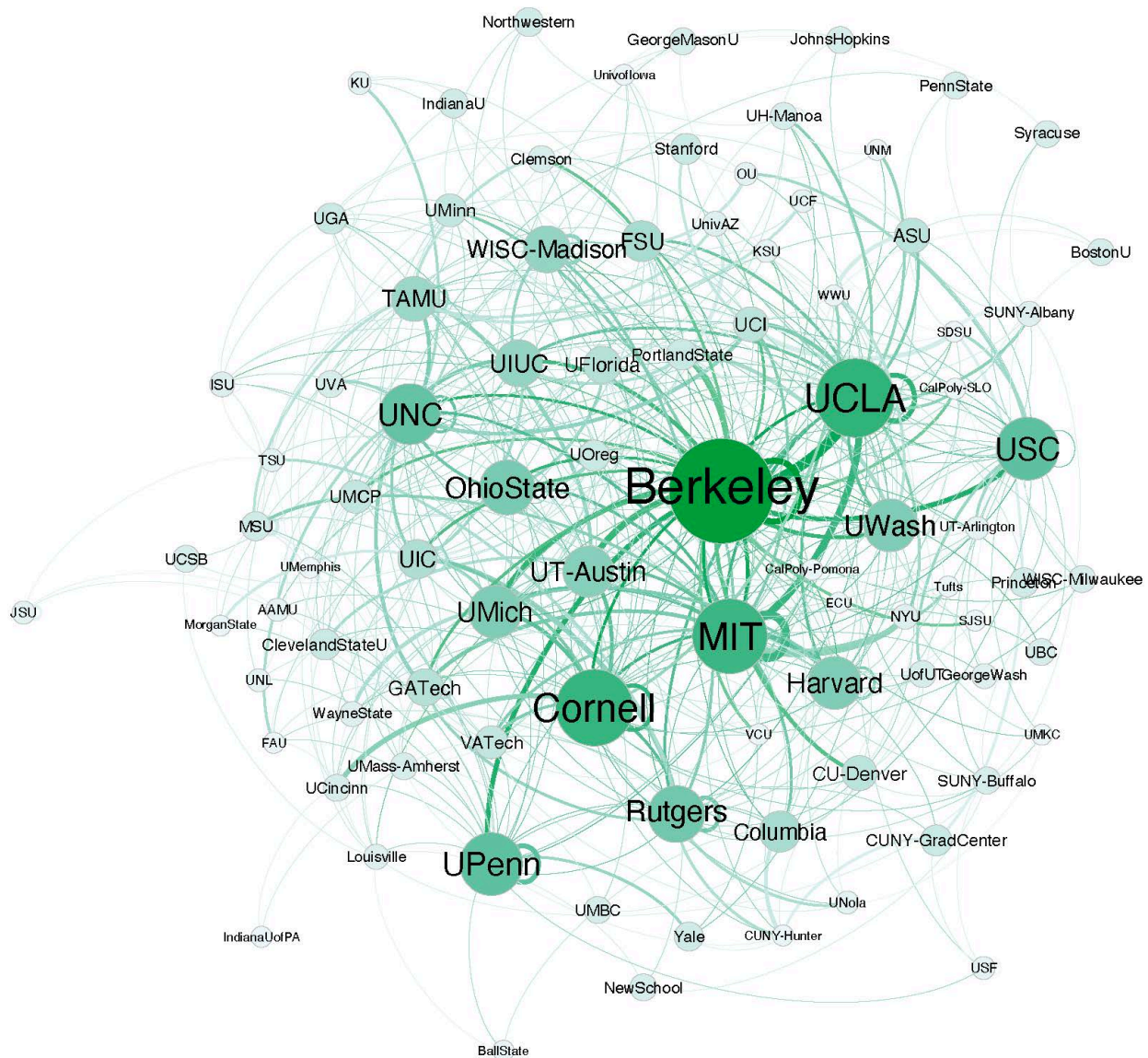
## Findings

### *RQ 1. Important Universities Producing Planning Faculty Members*

Figure 1 displays universities that produced planning faculty with PhDs. Larger nodes indicate that the university graduated more planning faculty. Bivariate analyses reveal a few key institutions that place many faculty in accredited programs. UC Berkeley doctoral graduates account for 11% of all planning faculty, followed by MIT (7%), UCLA (6%), and Cornell (5%). Consequently, these are the largest nodes in Figure 1. Five universities comprised a third of placements at planning programs (Berkeley, MIT, UCLA, Cornell, and UNC Chapel Hill). At the other end of the spectrum, 55 universities placed 1 student and 23 institutions placed 2 students.

### Figure 1. Network and Placement of Faculty with PhDs by Graduated Institutions

Note: Larger and darker nodes indicate schools that place more graduates. Thicker lines indicate dense networks between universities. Universities placing fewer than 3 faculty in addition to non-PhD faculty are excluded.



Thicker lines indicate dense networks between universities—or universities that hire from each other’s graduates. For example, Berkeley and UCLA have a thick line connecting the nodes because they frequently hire each other’s students. There are also closed loops for some of the institutions (e.g., Berkeley, MIT, UCLA, Cornell), which signify that these institutions hired their own graduates. Thinner lines indicate weaker connections between institutions, with few

hires from each other's institutions. No line indicates that zero graduates were hired between universities.

Universities include institutions that do not have accredited planning programs to highlight the interdisciplinary nature of planning faculty. Consequently, planning faculty included in Figure 1 may have graduated from these institutions but earned a non-planning degree (e.g., public administration, geography). For example, 51 planning faculty earned a PhD in Geography and are included in Figure 1.

Additional bivariate analyses on academic rank can identify what institutions place recent doctoral graduates as assistant professors. For example, while Cornell is of the top five universities with placed students, only 3 graduates are current assistant professors. When examining assistant professor placement, Berkeley, MIT, and UCLA remain in the top three. However, UT-Austin becomes one of the top four institutions with 11 hired assistant professors, followed by Ohio State (10). As other programs place more assistant faculty, program rankings may gradually change. For example, since Sanchez's (2013) study, MIT increased from 5<sup>th</sup> to 2<sup>nd</sup> in placing graduates, while Cornell dropped from 2<sup>nd</sup> to 4<sup>th</sup> in placements; beyond the top 5 institutions, there were other shifts. USC was not ranked in the top 10 programs in 2013; here, it is ranked 8<sup>th</sup> in placements.

### *RQ 2. Mobility Between University Tiers*

The second question sought to understand if planning has an academic caste system. An academic caste would include a (1) core group of prestigious programs central to placing students, and (2) downward hiring at lower ranking universities with few cases of upward hiring between institutional tiers (Burris 2004). Downward hiring and closed upward hiring would then



reify status divisions between institutions and programs. The previous section already identified central programs to placing students.

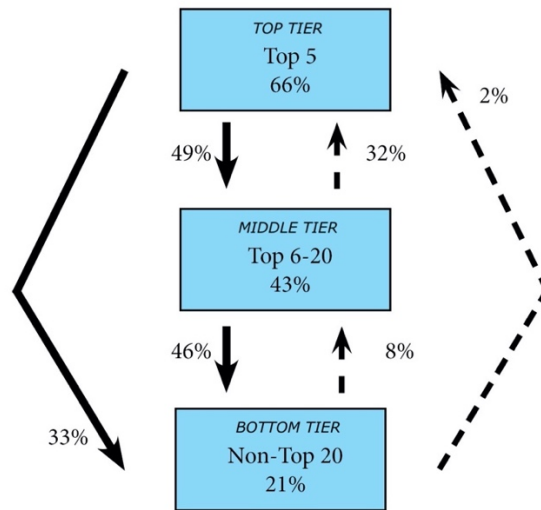
To assess mobility between program tiers, Appendix 2 displays hiring patterns among the 42 PhD-granting planning programs, ranked by number of graduates who are faculty members in accredited planning programs. Here, I focus on PhD-granting programs because they are more likely to produce faculty who shape planning through research. The top 5 institutions place 42% of all faculty at accredited programs; they also place 47% of faculty at PhD-grant programs compared to 32% of accredited planning programs without a PhD planning program. Figure 1 highlights strong connections between the top programs as visualized through a thick line that e.g., connects Berkeley, UCLA, MIT, Cornell, and Penn. This placement difference highlights the exclusivity particularly among PhD-granting programs.

Figure 2 illustrates percentage of faculty who are hired in top 5 programs (“top tier”), remaining top 20 programs (“middle tier”), and non-top 20 programs (“bottom tier”), relative to the ranking of the program from which they graduated. Nearly half of top tier faculty are hired in the middle tier, and a third of top tier faculty are hired in the bottom tier. Nearly half of faculty from the middle tier are also hired by bottom tier programs.

Figure 2. Percentage of Upward, Horizontal, and Downward Faculty Relative to Graduated Program Tier

Note: The solid black arrows indicate downward movement in graduated and hired program ranked tiers, while the dashed arrows indicate upward mobility in tier of hired program relative to where a person graduated. The percentage by the arrows indicate the percentage of faculty who graduated from the origin tier and were hired by the

destination tier. Percentages in the boxes indicate faculty hired in the same tier from which they graduated from.



There are limits to upward mobility, depending on the tier of the institution. Faculty in bottom tier are rarely hired by top 20 institutions. Fourteen faculty graduating from the bottom tier were hired in the middle tier, and two were hired in the top tier. However, there is some evidence of mobility between top 5 and top 20 universities. Nearly a third of faculty (or 26 faculty members) placed at the top tier were hired from the middle tier—eight of these faculty graduated from Harvard. The tiers also reproduced a rigid mobility by hiring from the same tier. About 66% of top tier programs hired from each other, 43% middle tier programs hired each other's graduates, and 21% bottom tier programs hired from the same tier (see also Appendix 2). In alignment with the academic caste theory, downward hiring is common between tiers but with limited upward mobility.

### *RQ 3. Factors Predicting Hiring at Prestigious Schools*

The final question examines whether graduated program ranking has a statistically significant association with hiring at top 10 programs. The logistic regressions used median h-index score to rank universities to align with other studies that use citations to measure prestige

(Huang 2012; Lund 2019; see Appendix 1). Appendix 3 summarizes the odds ratios predictions for the models. The analysis demonstrates that graduated program rank has a statistically significant positive relationship with hired university rank across the models. Faculty who graduated from the top 10 programs had a higher likelihood of getting hired at a top 10 program, after accounting for faculty and program characteristics. For the first three models, the odds that a graduate of a top 10 program was hired at a top 10 program is about 2.3 times the odds of a graduate from a non-top 10 program getting hired at a top program ( $p < 0.01$ ). The odds ratio decreases to 1.71 ( $p < 0.05$ ) in Model 4, after accounting for program of study.

Faculty demographics (race/ethnicity, nativity, and gender) were not statistically significant. For example, the odds of faculty of color getting hired at a top 10 program was not statistically significant relative to white faculty. Further bivariate analyses show similar proportions in hiring between top 10 and non-top 10 programs, which may explain the non-significant finding. For instance, U.S. born Black faculty comprise about 6% of faculty at top 10 and non-top 10 programs; white faculty comprise nearly two-thirds of all top 10 and non-top 10 hires. It is important to note small populations for some groups. There was 1 non-U.S. born Black faculty and no Indigenous faculty hired at a top 10 school.

Other faculty and program characteristics predict hired university (Models 3 and 4). Years since degree and number of faculty were control variables and had a statistically significant positive relationship with top 10 university hires. These relationships were expected, as faculty who have been in the academy longer and programs with more faculty are likely to have higher h-indices and resources to hire faculty (Barnett et al. 2010; Bornmann and Marx 2011). The final model assessed how programs of study may account for variance in hired university rank. Degrees in social science were the reference group. A master's degree in the

humanities had a marginally positive statistically significant relationship with hires at a top 10 school relative to master's degree holders from social science programs ( $p < 0.1$ ). Otherwise, there was no statistically significant difference between programs of study. This finding illuminates how planning is interdisciplinary and likely to continue to hire from different fields beyond planning.

### **Implications and Conclusion**

This is the first study to examine detailed faculty demographics and hiring in the planning academy. The findings provide evidence that align with the academic caste system theory. First, prominent programs were identified in placing graduates: Berkeley, MIT, UCLA, Cornell, and UNC-Chapel Hill. There was little upward movement between university ranking tiers. Graduating from a top 10 program also had a statistically significant relationship with hires at a top 10 program, while other covariates were not statistically significant.

However, the planning field is changing and may differ from other fields. As mentioned, some schools changed their ranking within ten years, such as USC, which moved to become one of the top 10 schools in placing students. In contrast, Masuoka et al. (2007) found that Columbia, Harvard, and University of Chicago remained among the top 10 programs in political science for more than 70 years. UT-Austin and Ohio State are also placing more assistant professors, which may signal a change in future rankings.

Future studies can evaluate whether the age of programs affect placements, particularly those that are placing more assistant professors. Program of study was also not statistically significant. This finding suggests planning will likely continue to be an interdisciplinary field, since non-planning PhDs did not have different outcomes as those with a planning degree. The field can thereby expand its pipeline by recruiting from other disciplines. Other studies can also

provide nuance in understanding prestigious schools. Schools may have two-way exchanges of hiring each other's students (Barnett et al. 2010) and prominent faculty may be more successful in placing students. Since prestige was based on citation scores here, further analyses could assess if planning subfields affects placement (Sanchez 2020; Stevens et al. 2019). Additional covariates related to salary and university location can be analyzed to understand impact on attracting and/or retaining faculty. Finally, studies can examine non-U.S. placements given the globalization of the field.

There were no statistically significant differences in hiring faculty of color or female faculty between top 10 and non-top 10 programs. This finding can be interpreted in several ways. This study captures faculty who make it through the pipeline and are successfully hired. Alternatively, high ranking programs may have more resources to conduct target hires and/or attract and retain candidates. Still, gender, race/ethnicity, and nativity remain important factors in hiring. For example, though female faculty are no longer underrepresented, harassment, sexism, and pay differences remain between male and female faculty (Croom 2017; Patton 2004).<sup>1</sup> Though faculty of color are successfully placed, the field is still predominantly white faculty, and more work is needed to support faculty of color (Turner, Gonzales, and Wood 2008). We also do not know enough about Indigenous/Native faculty or students, who have been left out or did not self-identify in planning climate studies (Greenlee et al. 2018; Wubneh 2011). Cross-sectional data do not capture students and faculty of color who leave academia (Layton et al. 2016), hiring and placement over time by cohort, or time securing a job (Flaherty 2018; Masuoka et al. 2007)—these are areas for future studies. Other studies can also assess the age of a PhD program

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<sup>1</sup> The average salary of female faculty lags relative to male faculty (or \$79,995 and \$96,369, respectively; NCES 2019).

and track the planning PhD program pipeline, cohort sizes, demographics, and placement over time to understand who leaves the academy and where planning PhDs are hired, including accredited programs, types of colleges, and/or non-planning programs. Other studies can also examine trends over time as PAB guidelines continue to evolve around faculty diversity.

Higher education scholarship provides context as to how these findings perpetuate inequities. Tight hiring networks reward faculty who come from privileged backgrounds and/or adapt to the culture of prestigious universities—an elite “cultural competence” (Warikoo, 2016). Students who decide to attend elite programs may feel more comfortable in affluent cultures (Jack 2016), while non-white students may feel discomfort (Torres 2009). The planning academy needs to evaluate whether using university prestige as a proxy for academic qualifications disqualifies barriers that underrepresented groups experience (Burriss 2004; Nevin 2019). For example, planning can value “cultural competence” that is from lived experiences of diverse people from institutions beyond elite programs. About 24% of graduate students entered higher education through a community college (Fain 2017). While HBCUs comprise less than 3% of higher education institutions, they produce a high proportion of Black students who eventually earn a PhD. For example, nearly a fourth of Black science and engineering PhDs went to a HBCU (National Science Foundation 2018) and about a tenth of all Black doctoral students graduated from a HBCU (NCES n.d.). Planning schools can hire from HBCUs, community college, and other Minority-Serving Institutions that are gateways for future candidates of more diverse backgrounds. Furthermore, Bernal and Villalpando (2002) argue that higher education institutions are built on white supremacy in that Eurocentric perspectives are prioritized, and faculty of color who stray from this norm are rejected (see also Posselt et al. 2020).

Beyond academic background, other forms of implicit bias are embedded in hiring processes. Valuing elite institutions could be form as bias because of familiarity with a program or assumptions about the candidate's abilities. Other metrics to assess and prioritize cultural competency can be used to evaluate candidates. For example, membership in programs, scholarships, and fellowships that support underrepresented groups can be included in evaluation rubrics, such as McNair Scholars, Gates Millennium Scholars, or the Ford Foundation. Rather than using institution as a shorthand for evaluation, a committee can specify what qualifications this proxy is used for and determine other evidence that would suggest quality training. This study is a step to understanding why the field lags in hiring and retaining underrepresented faculty. Students in the upcoming decades will become increasingly diverse, and planning programs need to hire in ways that support them to be responsive to the profession's needs.

### Appendix 1. Planning Institution and Median H-Index

Institution	H Median	PhD Planning Program	Year PhD Program Started	Institution	H Median	PhD Planning Program	Year Program Started
Berkeley	24	Y	1968	Louisville	9	Y	1988*
UCLA	21	Y	1969	AAMU	9	N	
NYU	21	N		UT-Arlington	9	Y	2003*
UMCP	21	Y	2002	WISC-Madison	9	Y	1966
USC	20	Y	1983*	UMKC	9	N	
U Minn	19	Y	2013	Columbia	8	Y	1953
UNC	18	Y	1961	TSU	8	Y	2002*
Rutgers	17	Y	1967	SUNY-Albany	8	N	
ASU	17	Y	2011*	TAMU	8	Y	1980*
MIT	15	Y	1958	WISC-Milwaukee	8	N	
GA Tech	15	Y	1983	CalPoly-SLO	8	N	
SUNY-Buffalo	15	Y	2012	UOreg	8	Y	2020
Harvard	14	Y	1942*	Clemson	8	Y	2005
Penn	14	Y	1953*	WayneState	8	N	
Tufts	13	N		USF	8	N	
UMass-Amherst	13	Y	1988	UnivAZ	8	N	
SDSU	13	N		VCU	8	N	
PortlandState	13	Y	1969*	ECU	7	N	
U Mich	13	Y	1968	U Memphis	7	N	
FSU	13	Y	1972*	UH-Manoa	7	Y	2002
U WA	12	Y	1967	UNola	7	N	
FAU	12	N		MorganState	6	N	
UIC	12	Y	2000	U Cincinnati	6	Y	2002*
U IA	12	N		ISU	6	N	
OhioState	12	Y	1984	WWU	6	N	
MSU	11	Y	2014	Pratt	5	N	
UT-Austin	11	Y	2000	UNL	5	N	
UofUT	11	Y	2009*	CUNY-Hunter	5	N	
UIUC	11	Y	1983	U Florida	5	Y	1988*
Cornell	11	Y	1952	JSU	4	Y	1998*
SJSU	11	N		Indiana U of PA	4	N	
KU	11	N		CalPoly-Pomona	4	N	
VATech	10	Y	1975	UGA	4	Y	NA†
CU Denver	10	Y	1997	UNM	3	N	
Cleveland State	10	Y	1985	OU	3	Y	2014
UCI	10	Y	1997*	Auburn	3	N	
UVA	9	N		KSU	2	Y	2006
				BallState	2	N	

Note: H-index was not available for Eastern Washington, George Washington, Temple, and University of Central Florida. \*From 2014 ACSP Guide to Undergraduate and Graduate Education in Urban and Regional Planning 20th Edition [https://cdn.ymaws.com/www.acsp.org/resource/collection/6CFCF359-2FDA-4EA0-AEFA-D7901C55E19C/2014\\_20th\\_Edition\\_ACSP\\_Guide.pdf](https://cdn.ymaws.com/www.acsp.org/resource/collection/6CFCF359-2FDA-4EA0-AEFA-D7901C55E19C/2014_20th_Edition_ACSP_Guide.pdf).

† PhD program year not available on the website and program staff did not respond to inquiries.

Data Source: Sanchez (2019). <http://tomwsanchez.com/urban-planning-program-citation-metrics/>.



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**Appendix 2.** Institution Ranking by Number of Graduated PhDs Hired in Accredited Planning Programs

U.S. Institutions and Rank		Total Placed (N)	Total Placed %	42 Planning PhD-Granting Programs				Program w/o Planning	Hired own
				All	Top 5	Top 6-20	Non-top 20		
<b>Top 5</b>									
1	Berkeley	90	14%	72	20	33	19	18	5
2	MIT	58	9%	46	20	15	11	12	7
3	UCLA	46	7%	35	9	14	12	11	4
4	Cornell	42	7%	28	3	14	11	14	3
5	UNC-Chapel Hill	32	5%	22	2	14	6	10	2
<b>Total placed from Top 5</b>		<b>268</b>		<b>203</b>	<b>54</b>	<b>90</b>	<b>59</b>	<b>65</b>	<b>21</b>
<b>Percent from Top 5</b>		<b>42%</b>		<b>47%</b>	<b>66%</b>	<b>49%</b>	<b>33%</b>	<b>32%</b>	<b>42%</b>
<b>Remaining Top 20</b>									
6	Rutgers	29	5%	18	3	11	4	11	3
7	Penn	25	4%	16	1	9	6	9	4
8	U of So California	25	4%	17	1	7	9	8	1
9	Harvard	22	3%	15	8	5	3	6	2
10	U Washington	21	3%	11	3	3	5	10	0
11	Ohio State	20	3%	12	0	1	11	8	1
12	U Michigan	19	3%	13	1	6	6	6	0
13	UT-Austin	18	3%	13	2	7	4	5	1
14	WISC-Madison	16	3%	13	0	8	5	3	3
15	UIUC	16	3%	12	3	3	6	4	0
16	TAMU	16	3%	10	0	4	6	6	2
17	UIC	14	2%	10	0	6	4	4	2
18	Columbia	13	2%	9	2	3	4	4	0
19	Florida State	11	2%	6	0	2	4	5	0
20	Georgia Tech	10	2%	9	2	3	4	1	2
<b>Total placed from Top 6-20</b>		<b>275</b>		<b>185</b>	<b>26</b>	<b>78</b>	<b>81</b>	<b>90</b>	<b>21</b>
<b>Percent from Top 6-20</b>		<b>43%</b>		<b>43%</b>	<b>32%</b>	<b>43%</b>	<b>46%</b>	<b>45%</b>	<b>42%</b>
<b>Non-Top 20</b>									
21	UC Irvine	10	2%	5	1	3	1	5	0
22	ASU	9	1%	3	1	1	1	6	0
23	U Florida	8	1%	5	0	0	5	3	0
24	CU-Denver	7	1%	4	0	1	3	3	0
25	Portland State	7	1%	2	0	1	1	5	0
26	UMCP	6	1%	6	0	3	3	0	0
27	Michigan State	6	1%	4	0	0	4	2	3
28	Virginia Tech	6	1%	3	0	1	2	3	0
29	U Cincinnati	6	1%	0	0	0	0	6	0
30	U Oregon	5	1%	4	0	1	3	1	0
31	U Georgia	5	1%	3	0	1	2	2	0
32	Clemson	4	1%	4	0	2	2	0	1
33	Uof Hawai'i-Manoa	3	0.5%	2	0	0	2	1	1
34	SUNY-Buffalo	3	0.5%	1	0	0	1	2	1
34	UMass-Amherst	3	0.5%	1	0	0	1	2	0
35	U Utah	3	0.5%	0	0	0	0	3	0
36	Jackson State	2	0.3%	2	0	0	2	0	2
37	Louisville	2	0.3%	1	0	0	1	1	0
38	Kansas State	1	0.2%	1	0	0	1	0	0
38	TSU	1	0.2%	1	0	0	1	0	0
38	U Oklahoma	1	0.2%	1	0	0	1	0	0
39	UT-Arlington	1	0.2%	0	0	0	0	1	0
<b>Total placed from non-top 20</b>		<b>93</b>		<b>47</b>	<b>2</b>	<b>14</b>	<b>37</b>	<b>46</b>	<b>8</b>
<b>Percent from non-top 20</b>		<b>15%</b>		<b>11%</b>	<b>2%</b>	<b>8%</b>	<b>21%</b>	<b>23%</b>	<b>16%</b>
<b>All</b>		<b>636</b>		<b>435</b>	<b>82</b>	<b>182</b>	<b>177</b>	<b>201</b>	<b>50</b>

**Appendix 3. Odds ratios predicting hiring at top 10 planning programs**

VARIABLES		Model 1		Model 2		Model 3		Model 4	
Graduated from Top 10 Program		2.30	***	2.26	***	2.53	***	1.71	**
Graduated from Non-Top 10 Program		Omitted		Omitted		Omitted		Omitted	
<b>Race and Nativity</b>									
Black	US-Born			1.54		2.01	*	0.95	
	Non US-Born			0.00		0.00		0.54	
Latinx	US-Born			1.11		0.95		0.98	
	Non US-Born			1.29		1.39		1.01	
Asian	US-Born			1.37		1.44		1.95	
	Non US-Born			0.94		1.33		1.10	
Indigenous				0.00		0.00		0.00	
White				Omitted		Omitted		Omitted	
Gender	Male			Omitted		Omitted		Omitted	
	Female			0.90		0.86		0.76	
Academic Rank	Tenure-Track					Omitted		Omitted	
	Tenured					0.96		0.87	
PhD	No					Omitted		Omitted	
	Yes					2.94	*	2.35	
Years since Degree						1.02	*	1.03	***
# of Faculty in Dept						1.14	***	1.05	***
Program of Study	BA Humanities							0.75	
	BA STEM							1.50	
	BA Environment							0.00	
	BA Social Science							Omitted	
	MA Humanities							0.57	*
	MA STEM							1.38	
	MA Environment							0.55	
	MA Social Science							Omitted	
	PhD Humanities							1.03	
	PhD STEM							0.64	
	PhD Environment							2.04	
	PhD Social Science							Omitted	
	Intercept		-1.81	***	-1.67	***	-3.85	***	-3.68
		(0.12)		(0.17)		(0.67)		(0.71)	
Observations		865		865		860		860	

Notes: \* p < 0.1, \*\* p < 0.05, \*\*\* p < 0.01. Models 3 and 4 do not include the 5 faculty members for whom year of graduation was unavailable. Model 4 only includes the first master's degree program of study--additional models were tested that included the first and second master's degree of study, but the second master's degree covariates were not statistically significant and were consequently excluded from the final model. Top 10 program designation was determined by median h-index score and includes 12 programs because they had the same H-index. Similar results in statistical significance and odds ratios were produced when using mean h-index score.

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<sup>i</sup> Following Crenshaw (1991), white is not capitalized "since whites do not constitute a specific cultural group" (1244).

<sup>ii</sup> Given that these institutions typically are the most well-resourced, it is unclear of these programs earned or inherited prestige.

<sup>iii</sup> Wubneh (2011) attributed gains in racial representation to Planning Accreditation Board (PAB) guidelines that use diversity as a criterion to evaluate accreditation. See Wubneh (2011) and Sen et al. (2014) for efforts to incorporate diversity in PAB guidelines between 1989 and 2011 and efforts by the Committee of Diversity and POCIG.

<sup>iv</sup> In 2018, about 68% of full-time faculty in postsecondary institutions were white; 50% were female (NCES 2020).

<sup>v</sup> PAB data used focus on full-time faculty as defined by course load rather than academic rank.  
<https://www.planningaccreditationboard.org/wp-content/uploads/2021/04/2015TeachingDef.pdf>

<sup>vi</sup> See Catlin (1993) for evidence for bias in his assessment of *Journal of the American Planning Association (JAPA)*, *Urban Affairs Quarterly*, and *Planning* between 1955 and 1989.

<sup>vii</sup> Environmental studies programs were separate because many combine STEM, social science, and/or humanities.

<sup>viii</sup> These categories differ from PAB and align with studies that call for PAB to collect detailed racial/ethnic and nativity demographics (ACSP Task Force on Global Planning Education 2019; Hibbard et al. 2011; Lee et al. 2021).

<sup>ix</sup> These numbers correspond to PAB's (2009) analysis of full-time faculty with a non-planning degree in accredited planning programs. About 65% of full-time faculty had a planning PhD. Note that PAB collects data for full-time faculty as defined by course load rather than by academic status.

<sup>x</sup> The h-index is calculated by identifying the largest number of articles for which  $x$  is the number of publications that have been cited at least  $x$  times. An index of 10 would indicate a faculty has at least 10 publications with at least 10 citations. Other studies used US World News Rankings for program ranks (Lang et al. 2019; Sweitzer and Volkwein 2009); this ranking was not used here given the small number of planning programs. Several considerations are important to note about citations and the h-index. Faculty in policy or geography schools tend to publish more than those in architecture schools (Anselin et al. 2011). Stevens et al. (2019) note that articles about theory, history, and transportation are cited more than diversity, education, or land use (see also Sanchez 2020). Pojani et al. (2018) found Australian female planning faculty have fewer citations than male faculty, likely due to historical patterns of gendered labor and accumulated disadvantages, where female faculty on average are younger and less likely to have tenure-track positions. Planetizen rankings were not used to measure institutional prestige because it ranks master's programs rather than doctoral programs.

<sup>xi</sup> The h-index has a strong association with total citations (Cronin and Meho 2005) and is useful for small fields with lower citation counts (Lund 2019).