

RADIATION DAMAGE IN  $\text{KMgF}_3:\text{Mn}$  AND  $\text{NaMgF}_3$

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## CHAPTER I

### INTRODUCTION

The study of radiation damage in insulating materials has become an important part of solid state physics. The interest in this field was originally stimulated by the need to comprehend the problems introduced by radiation effects in fission reactors. In recent years, the feasibility of producing power from controlled thermonuclear fusion has grown, and with this development will come a renewed need to understand the characteristic radiation problems involved (1).

Interest in radiation damage extends well outside the realm of reactor technology, however. The potential use of radiation induced defects for information storage has been considered (2). Somewhat more exotically, the radiation damage features in lunar dust grains have been analyzed to yield information concerning the past and present activity of the Sun (3).

In order to fully understand the effects produced by the interaction of radiation with matter so that potential uses of these effects may be efficiently explored, a thorough comprehension of the underlying physics is mandatory. Furthermore, investigations of radiation effects for their own sake are important since such work can lead to insights into the properties of imperfections in solids.

Investigations of radiation damage in ionic crystals, and in particular the alkali halides, have been carried out extensively (4,5).

This has come about primarily because such crystals are easily studied by the experimental techniques employed in optical spectroscopy and electron spin resonance (ESR). Such investigations have yielded a wealth of knowledge concerning the defects formed by radiation.

The irradiation of ionic crystals results in producing defects which may be grouped into three broad classes (6): (A) electronic defects, which are associated with changes in valence states; (B) ionic defects, which involve displacement of lattice ions; and (C) gross imperfections, such as dislocation loops and voids. In the present study, emphasis was placed on defects which fall into the first two classes, and a further description of these defects will now be given.

Changes in valence states may occur for impurities introduced into the crystal intentionally (doped) or inadvertently in the growth process. These are the simplest radiation end products, and many examples may be found in the literature (7-12). The change of valence of impurities arises from capture of electrons or holes and may vary considerably depending on the type of impurity and the particular host lattice.

The electronic defect which is of importance in the investigation being reported here is the self-trapped hole or  $V_K$  center. The electronic structure of the  $V_K$  center in the alkali halides was first analyzed by Castner and Känzig (13). From the analysis of the  $V_K$  center ESR spectra, the configuration was found to consist of two nearest-neighbor  $\langle 110 \rangle$  halide ions that have given up an electron (trapped a hole) and have subsequently moved together to form a halide  $[X_2^-]$  molecular ion. The electron will then be trapped at some other defect within the crystal, commonly at an impurity. Thus the impurity

(electron trap) concentration within a crystal can greatly alter the number of  $V_K$  centers formed. Optical absorption bands associated with the  $V_K$  center have also been identified (14-16).

However, the study of  $V_K$  centers has not been limited to the alkali halides. Self-trapped holes have also been investigated in a number of other compounds, including the ammonium halides (17), cubic alkaline earth halides (18,19), and  $KMgF_3$  (20). Furthermore, the study of hole centers is now being extended to materials with lower symmetry crystal structures such as tetragonal  $MgF_2$  (21).

Ionic defects consist mainly of vacancies, vacancy aggregates, and interstitials. Extensive work has been carried out on negative-ion vacancies in ionic compounds. The anion vacancy that contains an electron is referred to as the F center. Spin resonance measurements on this center were first performed by Hutchison (22). The hyperfine interaction of the F center with surrounding nuclei can be resolved by means of ENDOR (electron nuclear double resonance), first developed by Feher (23). This technique yields detailed information concerning the spatial dependence of the charge density.

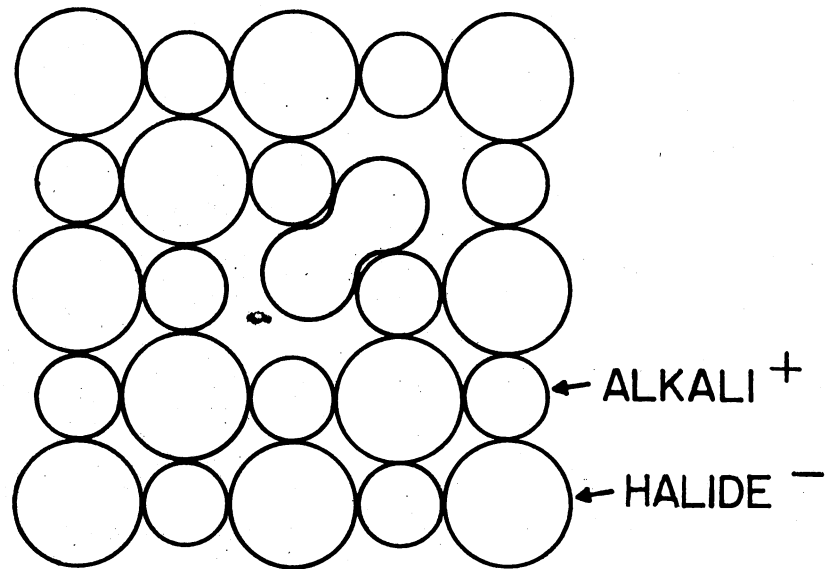
Prolonged irradiation, special heat treatment, or optical bleaching will produce vacancy aggregates. The basic F center aggregates have been designated M centers (two F centers) and R centers (three F centers). No direct observations have been made on positive-ion vacancy aggregates in halide compounds.

Displacing a lattice atom or ion from its normal lattice site will result in the production of both a vacancy and an interstitial. The combined defect is referred to as a "Frenkel defect". The interstitial species which has been studied extensively in the alkali halides is

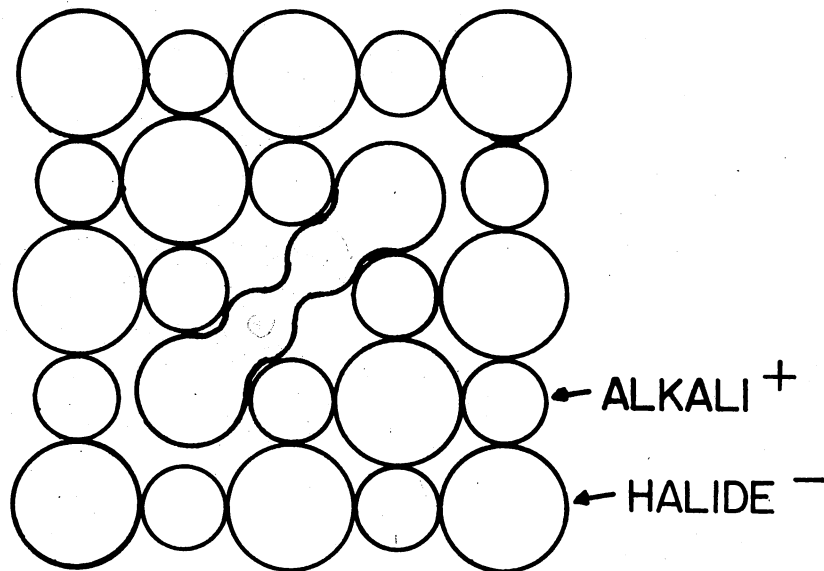


referred to as the H center. The electronic structure of the H center was first analyzed in detail by Känzig and Woodruff (24). It was found that the fundamental interstitial produced in the alkali halides is a halide atom which has bonded to a lattice halide ion, thus sharing a single lattice site. The resulting center takes on the form of a molecular ion reminiscent of the  $V_K$  center. The structure of the H center in  $KMgF_3$  has been determined, and a model has been developed based on a molecular ion configuration similar to that found for the alkali halides (25). The structures of the fundamental defects produced in halide materials are illustrated in Figures 1 and 2 for a NaCl-type lattice. A comprehensive review of the properties of electron and hole centers has been given by Klick (26) and Kabler (27) respectively. Having introduced the basic defects produced by the irradiation of halide crystals, it now remains to discuss the basic mechanisms by which these defects are formed.

At the outset of the formulation of the theory of radiation damage, before the identities of all the defects had been firmly established, there existed some controversy as to whether the primary defects formed in halide compounds were Frenkel defects or Schottky pairs consisting of cation and anion vacancies. The Schottky pair model originated from a suggestion by Seitz (28) that electronic deexcitation would occur at dislocations resulting in an "evaporation" of cation and anion vacancies from the dislocation. This model was subsequently rejected following the identification and characterization of the H center (24) and after simultaneous measurements of the expansion of a crystal and its increase in lattice constant yielded results which were inconsistent with a model based on the production of Schottky pairs (29). Thus Frenkel defects



(a)



(b)

Figure 1. The Structure of the (a)  $V_K$  Center and  
(b) H Center in the Alkali Halides

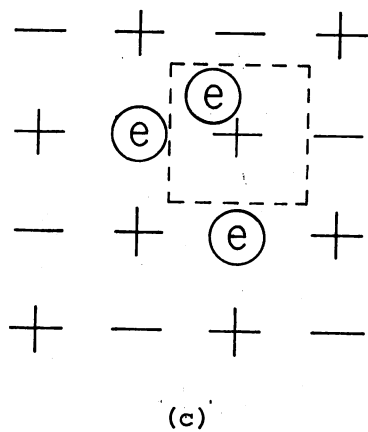
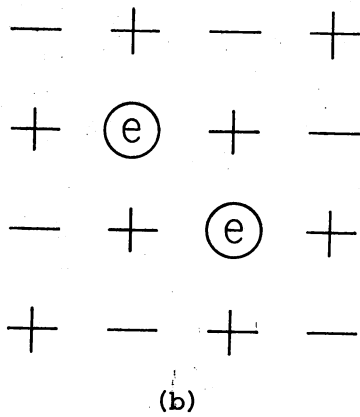
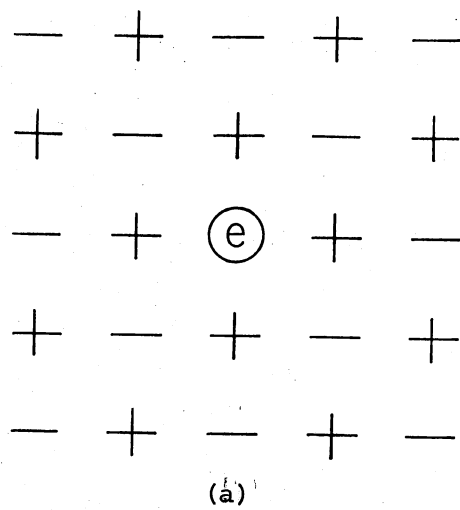


Figure 2. The Structure of the (a) F, (b) M, and (c) R Centers in the Alkali Halides

are the primary radiation damage products.

In the alkali halides defect production has been found to proceed quite efficiently, with Frenkel defects being formed through the mere application of ultraviolet light (30). Thus defect production must proceed through a conversion of electronic excitation energy into a form suitable for producing lattice defects, rather than by means of elastic collisions. Such a "radiolysis" process must then proceed through three basic steps: (I) an electronic excitation resulting in the production of a charged electronic defect in the lattice, (II) the conversion of this energy into kinetic energy of a lattice ion, and (III) the motion and final stabilization of the ion.

The initial electronic excitation leading to the production of a charged defect in the lattice is provided by the absorption of energy from the radiation field. When the source of radiation is high energy electrons, there will be a scattering of the incident electrons with the electrons of the crystal. An outline of the processes by which this energy is ultimately dissipated in the crystal may be found in nuclear physics texts (31) and will not be discussed here.

One of the first attempts to explain Frenkel defect production through radiolysis in the alkali halides was made by Varley (32) who suggested that the initially charged defects consisted of doubly ionized halide ions. If the doubly charged states had sufficiently long lifetimes, then the ions could be electrostatically ejected from their lattice positions into interstitial positions. However, calculated production efficiencies were too low compared with the experimental production rate for Frenkel defects at liquid helium temperatures (33). Furthermore, it is doubtful that the double-ionization state has a long

enough lifetime to allow ions to move before an electron is recaptured (34).

The presently accepted model for defect production in the alkali halides was arrived at through the observation that there existed a relationship between electron-hole recombination and the production of ionic defects. The so-called fundamental luminescence which arises through recombination of self-trapped holes with electrons during irradiation with ultraviolet light or x-rays was observed in KI to cease at a temperature ( $\sim 100$  K) at which F center production increased by an order of magnitude (35). This anticorrelation between fundamental luminescence and F center production has also been observed for a number of other alkali halides (36). The definitive experiment showing that electron-hole recombination does in fact produce Frenkel pairs was performed by Keller and Patten (37). In this experiment electrons trapped at impurities (T1) in KCl were released at low temperature (25 K) by bleaching into the impurity absorption band, and the subsequent recombination with  $[\text{Cl}_2^-]$  centers resulted in the formation of H centers in direct proportion to the number of self-trapped hole centers destroyed.

Thus radiolysis proceeds through the initial formation of electron-hole pairs (excitons). The formal theory for the excitonic production of defects in the alkali halides was originally put forth independently by Pooley (38) and Hersh (39). The theory assumes that the excitons take on the molecular ion configuration ( $X_2^{=*}$ ). Their formation may be understood in terms of an initial ionic excitation:



followed by the formation of the self-trapped exciton.



The  $X_2^{=*}$  molecule may then deexcite through several distinct channels.

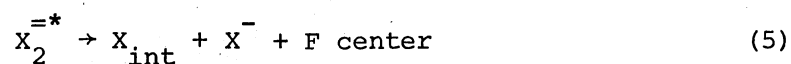
The most important deexcitation paths are:



- restoring the lattice and yielding luminescence;



- resulting in phonon emission,



- resulting in the production of Frenkel defects. Note that in the last deexcitation path it is the uncharged Frenkel pair that is produced (interstitial atom and F center) rather than the charged pair (interstitial ion and  $\alpha$  center (halide vacancy)). This is supported by the experiments done by Ueta and co-workers (40) on the production of F centers in KCl through application of short (30 nsec) pulses of high energy electrons and then comparing the results for both virgin and preirradiated samples.

The interstitial atom moves from the vacancy (F center) via a replacement sequence along close-packed rows ( $\langle 110 \rangle$  directions). Experiments have been performed (41) which show the importance of having focusing directions within the crystal so that the replacement sequence may proceed efficiently.

Radiation damage studies have been extended beyond the alkali halides to include such materials as the alkaline earth fluorides (42,43),  $\text{KMgF}_3$  (44), and  $\text{RbMgF}_3$  (45), where again the basic photochemical damage processes found for the alkali halides appear to be operative.

The presence of significant concentrations of impurities in halide compounds can affect the production rate of radiation-induced Frenkel defects in these materials (6). The production rate at lower temperatures may be enhanced through increased interstitial stabilization (46) and near room temperature may be suppressed as a result of "short-circuiting" of the excitonic production mechanism (47). Transition metal impurity ions are expected to suppress the damage rate since electron-hole recombination energy may be transferred to these ions resulting in excitation of the 3d optical transitions. The influence of transition metal ions on the defect production rate in the alkali halides has not been extensively studied because of the complexities introduced by the necessity for charge compensation (cation vacancies), although a paramagnetic center associated with  $\text{Mn}^{2+}$  in NaCl has been investigated by Itoh and co-workers and attributed to a  $\text{Mn}^{2+}$ -interstitial ion complex (48).

In  $\text{KMgF}_3$  divalent transition metal ions may be introduced without the need for charge compensation. This compound is therefore more suitable for investigating the effect of 3d impurity ions on the excitonic radiation damage mechanism. As shown in Figure 3,  $\text{KMgF}_3$  has the ideal (cubic) perovskite crystal structure. Based on their ionic sizes, transition metal ions are expected to substitute exclusively for  $\text{Mg}^{2+}$

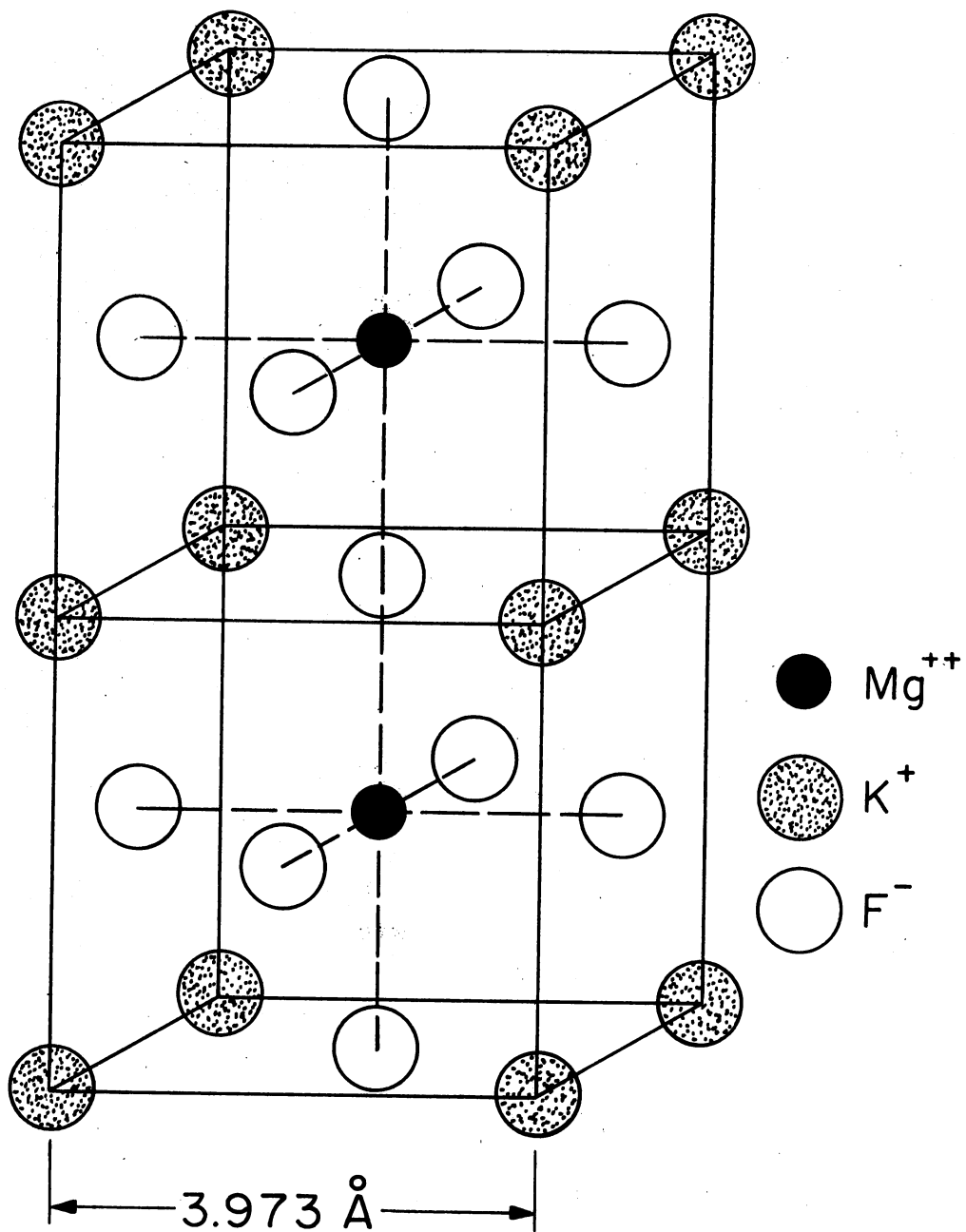


Figure 3. Crystal Structure of  $\text{KMgF}_3$



in this material.\*

In order to investigate the suppression of radiation damage in halide compounds due to the presence of transition metal impurities, Sibley and co-workers have conducted a series of radiation damage studies on  $\text{KMgF}_3$  doped with  $\text{Mn}^{2+}$ . The initial study (50) confirmed that the presence of  $\text{Mn}^{2+}$  will partially suppress F center production in  $\text{KMgF}_3$ . This work also resulted in the characterization of an optical absorption band which was tentatively attributed to  $\text{Mn}^{2+}$  ions perturbed by an adjacent F center. The defect was shown to have  $\langle 100 \rangle$  symmetry and could be selectively destroyed, but not reoriented, with optical bleaching. In a subsequent investigation (51), a detailed analysis of the luminescence associated with this center was performed, and it was determined that the oscillator strengths of the optical transitions for the perturbed  $\text{Mn}^{2+}$  ions were enhanced by approximately three orders of magnitude over those observed in  $\text{KMnF}_3$ . Recently, a second  $\text{Mn}^{2+}$ -F center type complex has been reported in  $\text{KMgF}_3$  (52). This second center differs from the initially reported defect both in luminescence and optimum production conditions. The initially reported defect is produced primarily at 77 K or below and is currently believed to be a  $\text{Mn}^{2+}$ -F center-interstitial complex. The recently observed center is produced primarily at room temperature and is thought to be a  $\text{Mn}^{2+}$ -F center pair.

One objective of the present study was to use ESR to investigate radiation induced defects associated with  $\text{Mn}^{2+}$  in  $\text{KMgF}_3$  in an effort to substantiate the defect models set forth by Sibley and co-workers, and

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\* Although the rare earth ion  $\text{Eu}^{2+}$  has been found to substitute primarily for  $\text{K}^+$  (49).

thereby contribute to the present understanding of the suppression of radiation damage in halide compounds through the inclusion of transition metal impurities. These investigations were successful in identifying the ionized form of the  $\text{Mn}^{2+}$ -F center pair ( $\text{Mn}^{2+}$ -fluorine vacancy center). In a later section of this report, a complete analysis of the ESR spectrum associated with this defect will be given, together with details of its production and thermal decay characteristics. A treatment of the thermoluminescence obtained following the same irradiation procedure that produced the  $\text{Mn}^{2+}$ -fluorine vacancy center will also be given.

A further objective of this study was to determine the structure of the intrinsic self-trapped hole centers in  $\text{NaMgF}_3$  as part of the extension of investigations of radiation damage in lower symmetry materials.  $\text{NaMgF}_3$  is a pseudo-perovskite structured material strictly isomorphous with the original perovskite  $\text{CaTiO}_3$  (53). The compound occurs naturally as the mineral neighborite and was first identified in the dolomitic oil shale of the Eocene River formation of South Ouray, Uintah County, Utah. Synthetic crystals were used in the present study.

X-ray powder diffraction patterns taken at different temperatures have shown (53) that  $\text{NaMgF}_3$  is cubic above  $900^\circ \pm 25^\circ\text{C}$  with  $a = 3.955\text{\AA}$ , tetragonal from  $760^\circ\text{C}$  to  $900^\circ\text{C}$  with  $a = 3.942\text{\AA}$  and  $c = 3.933\text{\AA}$  (at  $760^\circ\text{C}$ ), and orthorhombic below  $760^\circ\text{C}$  with unit cell dimensions at room temperature of  $a = 5.363\text{\AA}$ ,  $b = 7.676\text{\AA}$ , and  $c = 5.503\text{\AA}$ . The room temperature crystal structure of  $\text{NaMgF}_3$  is shown in Figure 4 (after Megaw (54)). It can be seen that the structure is an orthorhombic tilt system. The underlying reason for this tilting, when compared to  $\text{KMgF}_3$ , may be understood upon examination of the ionic radii of the  $\text{Na}^+$  ions ( $.95\text{\AA}$ )

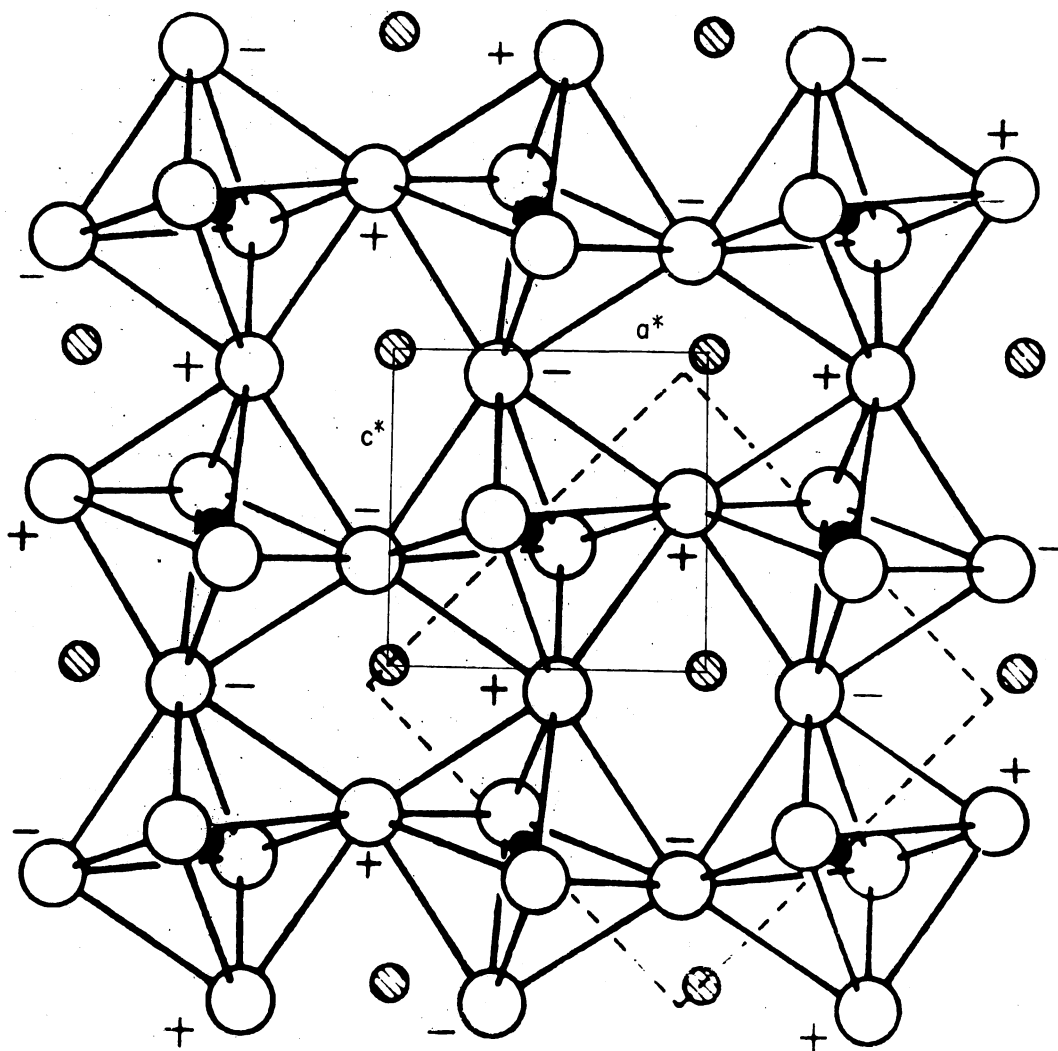


Figure 4. Crystal Structure of NaMgF<sub>3</sub>

compared to that of  $K^+$  ( $1.33\overset{\circ}{\text{A}}$ ). As a result of the smaller ionic radius, the  $Na^+$  ions fail to fill the cavities between the octahedra. This results in a tilting of the octahedra in order to minimize the volume occupied by the  $Na^+$  ion. The bonding in  $NaMgF_3$  is ionic and the  $MgF_6$  octahedra are therefore expected to remain regular at all temperatures (53). The layer of octahedra above and below the plane shown in Figure 4 are mirror images of the layer depicted, thus yielding a rather complex crystal structure. The tilting of the octahedra may be envisioned as occurring in two steps relative to the ideal perovskite structure and are illustrated in Figure 5. There is first a rotation ( $\omega$ ) about a tetrad axis perpendicular to the plane of the Figure (b). An example of a structure exhibiting this kind of tilting is the low temperature (<198 K) tetragonal form of  $RbCaF_3$  (55). This is followed by a rotation ( $\phi$ ) about a diad axis for  $NaMgF_3$  (c). In Figure 4, the orthorhombic unit cell is represented by dashed lines and the pseudocubic subcell is represented by full lines. It can be seen that the pseudocubic cell is determined roughly by the eight  $Na^+$  ions surrounding each octahedron. The relationship between the dimensions of the unit cell and the pseudocubic cell may be represented in matrix form as:

$$\begin{bmatrix} a^* \\ b^* \\ c^* \end{bmatrix} = \begin{bmatrix} \frac{1}{\sqrt{2}} & 0 & 0 \\ 0 & \frac{1}{2} & 0 \\ 0 & 0 & \frac{1}{\sqrt{2}} \end{bmatrix} \begin{bmatrix} a \\ b \\ c \end{bmatrix} \quad (6)$$

Thus, at room temperature  $a^* = 3.792\overset{\circ}{\text{A}}$ ,  $b^* = 3.838\overset{\circ}{\text{A}}$ , and  $c^* = 3.891\overset{\circ}{\text{A}}$ .

The variation in the dimensions of the pseudocubic cell as a function of

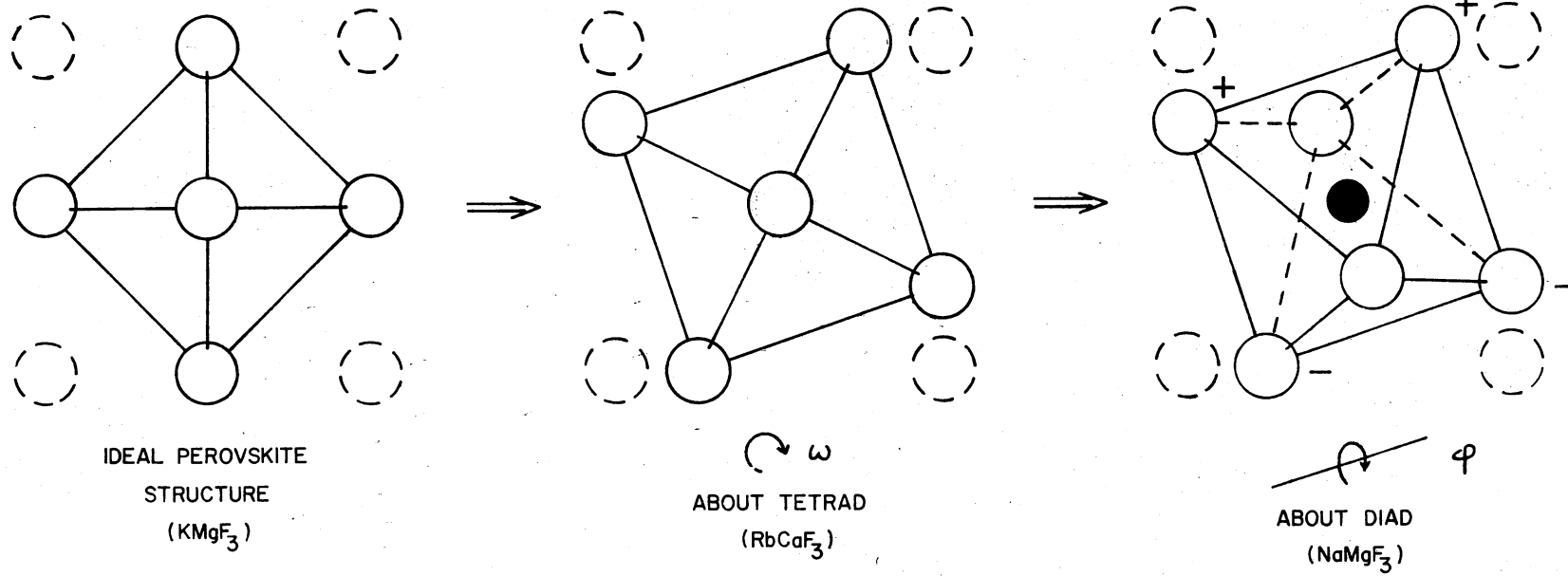


Figure 5. The Tilting of Octahedra in  $\text{NaMgF}_3$  Compared to the Ideal Perovskite Structure

temperature is shown in Figure 6 (after Chao, et al. (53)). No determination of the crystal structure below room temperature has been made, but thermal conductivity data obtained below room temperature gave no evidence for any phase changes (56). The tilt angles of the octahedra may be related to the lattice parameters through the equations:

$$\omega = \cos^{-1} \left( \frac{\sqrt{2}a}{b} \right) \quad (7)$$

$$\phi = \cos^{-1} \left( \frac{a}{c} \right) \quad (8)$$

or in terms of the pseudocubic dimensions:

$$\omega = \cos^{-1} \left( \frac{a^*}{b^*} \right) \quad (9)$$

$$\phi = \cos^{-1} \left( \frac{a^*}{c^*} \right) \quad (10)$$

The predicted tilt angles at room temperature assuming that the octahedra remain regular are  $\omega = 8.88^\circ$  (about the tetrad axis) and  $\phi = 12.95^\circ$  (about the diad axis).

As a starting point for the study of  $V_K$  centers in this material, one should make use of the knowledge that has already been obtained for  $V_K$  centers in  $\text{KMgF}_3$  which is also characterized by  $\text{MgF}_6$  octahedra, but possesses no tilting. In  $\text{KMgF}_3$ , the self-trapped holes form along  $\langle 110 \rangle$  directions (20), and there is a bent bond which arises primarily from the repulsion of the effective positive charge of the hole by the  $\text{Mg}^{2+}$ . The structure of this center is shown in Figure 7. It was then reasonable to expect that a similar bent bond would also be present in the  $V_K$  centers found in  $\text{NaMgF}_3$ . A new type of  $V_K$  center which results

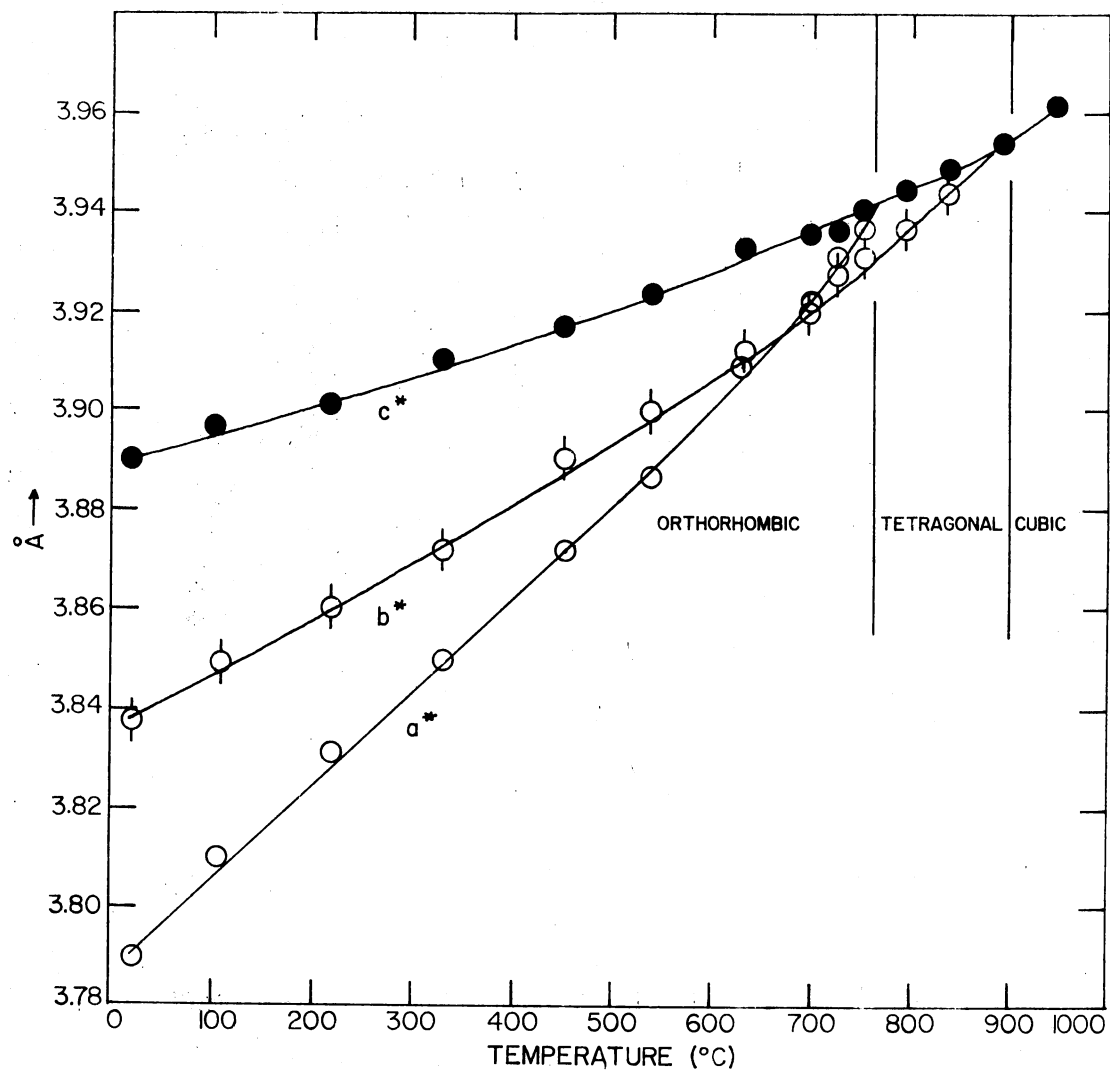


Figure 6. Variation in the Dimensions of the Pseudocubic Cell in  $\text{NaMgF}_3$  as a Function of Temperature

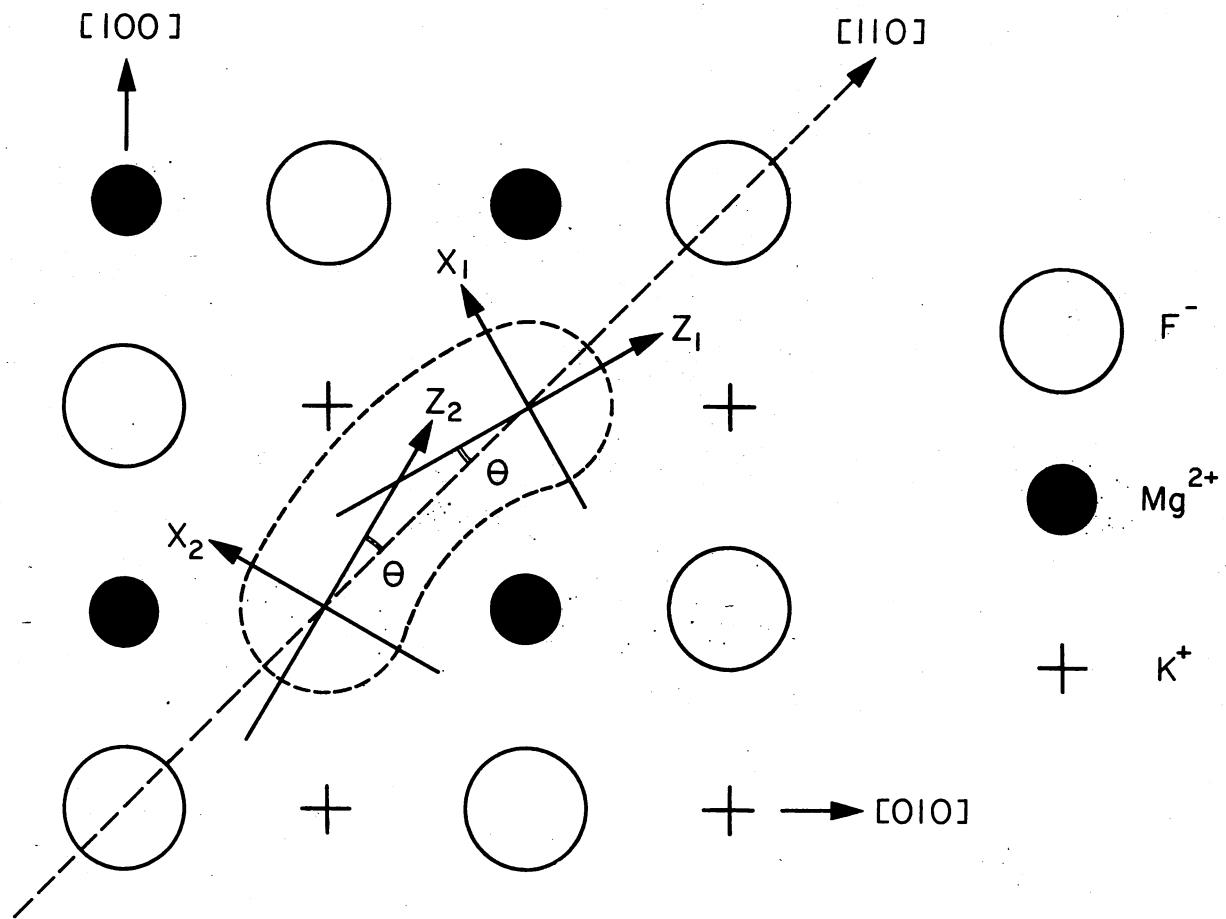


Figure 7. The Structure of the Self-Trapped Hole in  $\text{KMgF}_3$



from the peculiar tilting of the octahedra in  $\text{NaMgF}_3$  and which possesses  $\sim [100]$ -type symmetry was also analyzed. An additional result obtained from the analysis of the  $V_K$  center ESR spectra involved a determination of the tilt angles of the octahedra at low temperature (77 K).

A complete analysis of the intrinsic self-trapped holes in  $\text{NaMgF}_3$  will be presented. The production and thermal decay characteristics will be correlated with complementary thermoluminescence measurements.

## CHAPTER II

### EXPERIMENTAL PROCEDURE

#### A. Crystals

Investigations of radiation damage in  $\text{KMgF}_3:\text{Mn}$  were carried out primarily on single crystals obtained from Oak Ridge National Laboratory. They were grown by the Stockbarger technique and were doped with approximately 400 ppm manganese. Additional crystals were grown in the Oklahoma State University Crystal Growth Laboratory by means of a modified Bridgman-Stockbarger technique and contained approximately 8000 ppm manganese. All samples were found to cleave along  $\langle 100 \rangle$  planes.

Crystals of  $\text{NaMgF}_3$  used in this study were also grown at Oklahoma State University by the Bridgman-Stockbarger technique. No impurity analysis was made, but ESR data taken at high gain for virgin crystals revealed the presence of  $\text{Mn}^{2+}$  as a trace impurity. Typical crystals possessed a characteristic twinning which complicated the analysis of ESR data. Such twinning is common for compounds with tetragonal or orthorhombic crystal structures. For example, several types of twinning have been observed in isomorphous  $\text{CaTiO}_3$  (57). Only one type of twinning was discernable in  $\text{NaMgF}_3$  based on the analysis of  $V_K$  center ESR data. This domain structure was believed to be similar to that found in orthorhombic  $\text{SmAlO}_3$  (58). For this type of twinning the pseudocubic  $c^*$  axis would be coincident in every domain whereas the  $a^*$  and  $b^*$  axes

alternatively interchange from one domain to the next. This proposed domain structure is consistent with that evident in the ESR data and will be discussed further in the experimental results. When samples were annealed, it was found that quenching to room temperature too rapidly resulted in cleavage into several fragments. One such crystal-lite was found to be essentially single, i.e., no discernable twinning was present from an initial observation of the ESR data. This sample was thus used for the detailed analysis of the ESR spectra. Samples could be cleaved parallel to any of the three pseudocubic faces.

For ESR measurements, the crystal dimensions were generally limited by the diameter of the inner quartz tubing of the finger dewar (Section C). Various sample sizes were used, but volumes were typically 50-100 mm<sup>3</sup>. Samples of comparable size were used for thermoluminescence measurements.

#### B. Irradiation Procedure

Samples were irradiated primarily with 1.5 MeV electrons from a Van de Graaff accelerator at beam currents ranging from 5 to 15  $\mu$ A. Typical irradiation times ranged from 10 to 30 minutes. For irradiations at room temperature samples were wrapped in aluminum foil and mounted on the face of an aluminum block which acted as a heat sink. The combination was then placed approximately 2 inches from the end of the accelerator tube. For irradiations at 77 K, samples were placed in an eight-ounce styrofoam cup filled with liquid nitrogen. The cup was positioned approximately 1 foot from the end of the accelerator tube, and an aluminum shield ~1 mm thick was placed in front of the cup in order to protect the accelerator tube window from any hazards which

might be introduced through the build-up of ozone in the liquid nitrogen. Such dangers were also reduced by limiting the irradiation time to 5 minutes per cup of liquid nitrogen. When smaller dose rates were desired, a  $^{60}\text{Co}$   $\gamma$  cell ( $\sim 10^5$  R/hr) was used. Bleaching with ultraviolet light was accomplished through the use of a 100 watt Hanovia low pressure Hg lamp.

### C. ESR Measurements

Two methods were employed for mounting the samples in preparation for the ESR measurements. For all of the work done on  $\text{KMgF}_3:\text{Mn}$ , samples were mounted by a cleavage plane onto the end of a copper rod .125 inches long using silicon high vacuum grease. The rod-sample combination was then screwed into a 3/16" x 7" stainless steel rod and lowered into a 5 mm I.D. finger dewar filled with liquid nitrogen (see Figure 8). Using this method, samples had to be mounted prior to irradiation at 77 K. Although irradiation of the grease at 77 K was found to produce radicals which gave rise to ESR lines near  $g = 2$ , this did not hamper the investigations of  $\text{KMgF}_3:\text{Mn}$  since the cubic  $\text{Mn}^{2+}$  signals always present in the samples were in this same region of the spectrum.

The work done on  $\text{NaMgF}_3$  did require an alternative method of mounting since ESR lines necessary in the analysis of the  $V_K$  center spectra were obscured by the spurious grease lines. This was accomplished by replacing the copper rod arrangement with stainless steel tubing equipped with an adjustable spring insert (see Figure 9). Samples could then be spring-loaded to a desired tension, thus by-passing the need for an adhesive agent. Using this technique, irradiations could be performed directly with unmounted crystals.

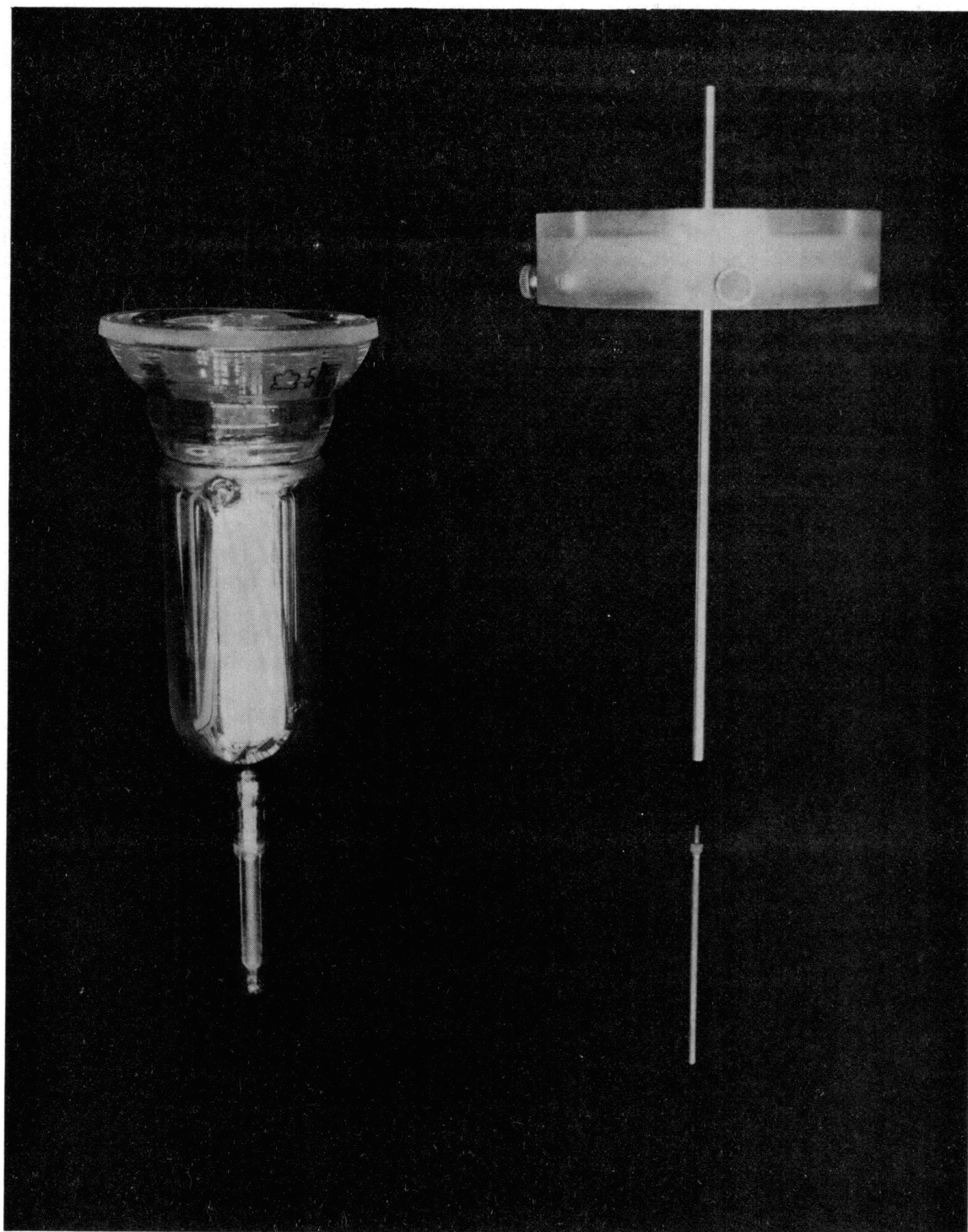


Figure 8. Liquid Nitrogen Finger Dewar Used in Conjunction  
With the Varian V-4531 Rectangular ESR Cavity

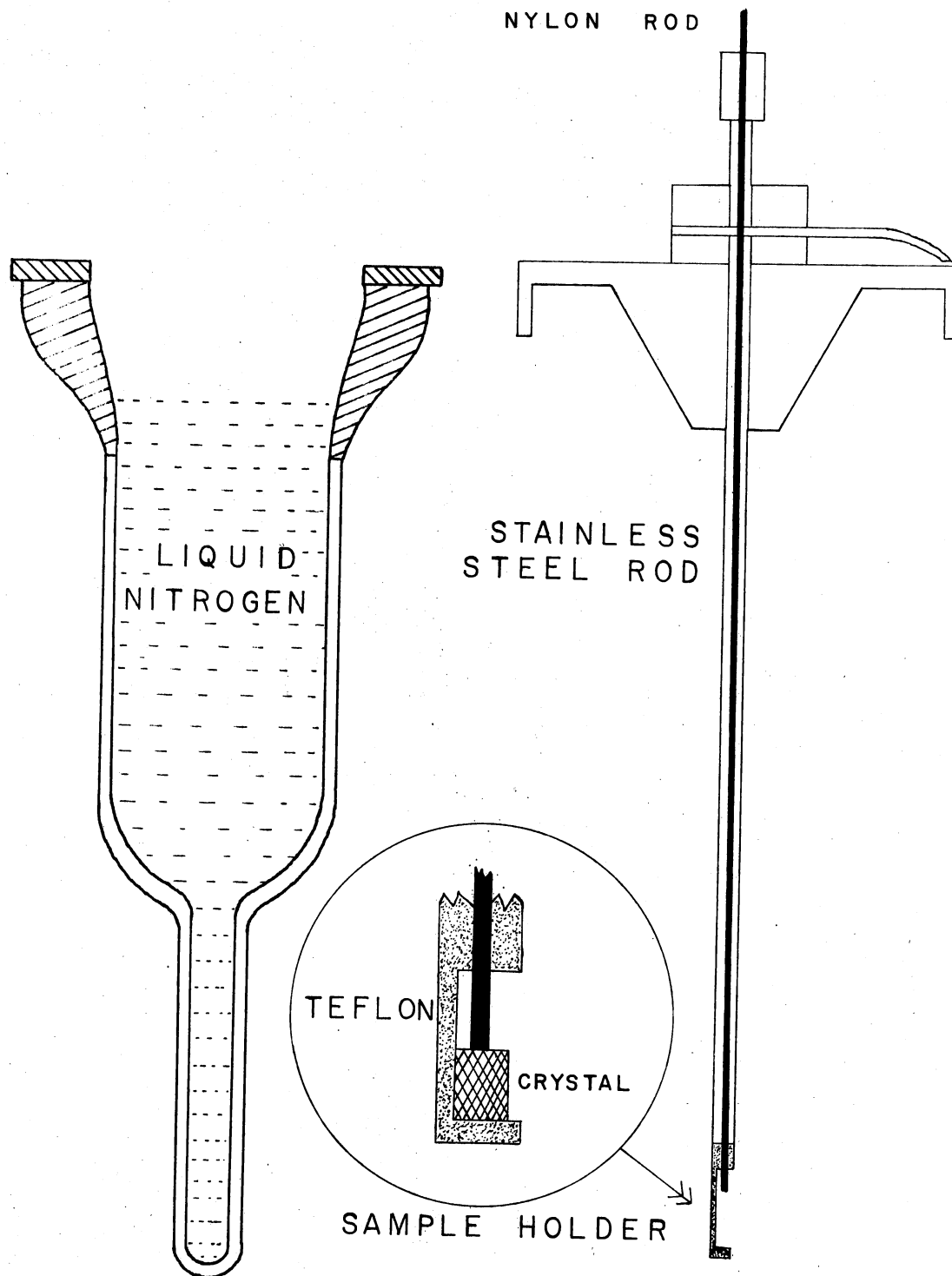


Figure 9. Holder Used in ESR Measurements of  $V_K$  Centers in  $\text{NaMgF}_3$

Following this initial procedure, the finger dewar was placed in a Varian V-4531 rectangular cavity, and an ESR measurement was subsequently performed. All ESR measurements were made at 77 K. The ESR spectrometer was of the X-band homodyne variety and utilized 100 KHz field modulation. The static magnetic field was supplied by a Varian six-inch magnet. For pulse anneal studies, a Varian Variable Temperature Accessory was used in conjunction with the Varian V-4531 cavity. A more detailed description of both the spectrometer and variable temperature system may be found in reference 59.

The measurement of magnetic fields was accomplished through the use of an NMR (proton) probe. Several probes were constructed which were used in conjunction with a marginal oscillator (59). The source of protons for these probes was pure white glycerin,  $C_3H_8O_3$ . Using different probes, measurements of magnetic field from ~1780 gauss to ~7210 gauss could be achieved. In order to convert from the measured NMR resonance frequency to magnetic field the following relationship was used (60).

$$H(\text{gauss}) = 234.87\nu (\text{MHZ}) \quad (11)$$

Corrections had to be made for the fact that the sample position and probe position were not coincident. This correction was assumed to vary linearly with the magnetic field. Additional corrections were made to account for possible shifts in the microwave frequency occurring during the course of the field measurements.

#### D. Thermoluminescence Measurements

All TSL measurements were performed in the dewar shown in Figure

10. This dewar was designed for measurements following electron irradiation near liquid nitrogen temperature. Samples were mounted by means of a brass clamp onto a cold finger positioned within a stainless steel hull fitted with brass bellows and two windows. One window was made of aluminum and was positioned in front of the sample during electron irradiation. The other window was made of sapphire and was positioned in front of the sample during TSL measurements or during bleaching. The windows could be mechanically interchanged at any temperature  $\geq 77$  K by means of the bellows mechanism. All irradiations and TSL measurements were performed using a roughing pump vacuum to insure against condensation on the sapphire window. Luminescence was detected by means of a RCA 1P28 photomultiplier tube. Sample temperatures were measured by means of a copper-constantan thermocouple wedged between the sample and brass clamp. The TSL data was recorded by means of a Mosely 135 XY Recorder where the Y axis indicated the photomultiplier tube current (luminescence intensity) and the X axis gave the sample thermocouple voltage (temperature).



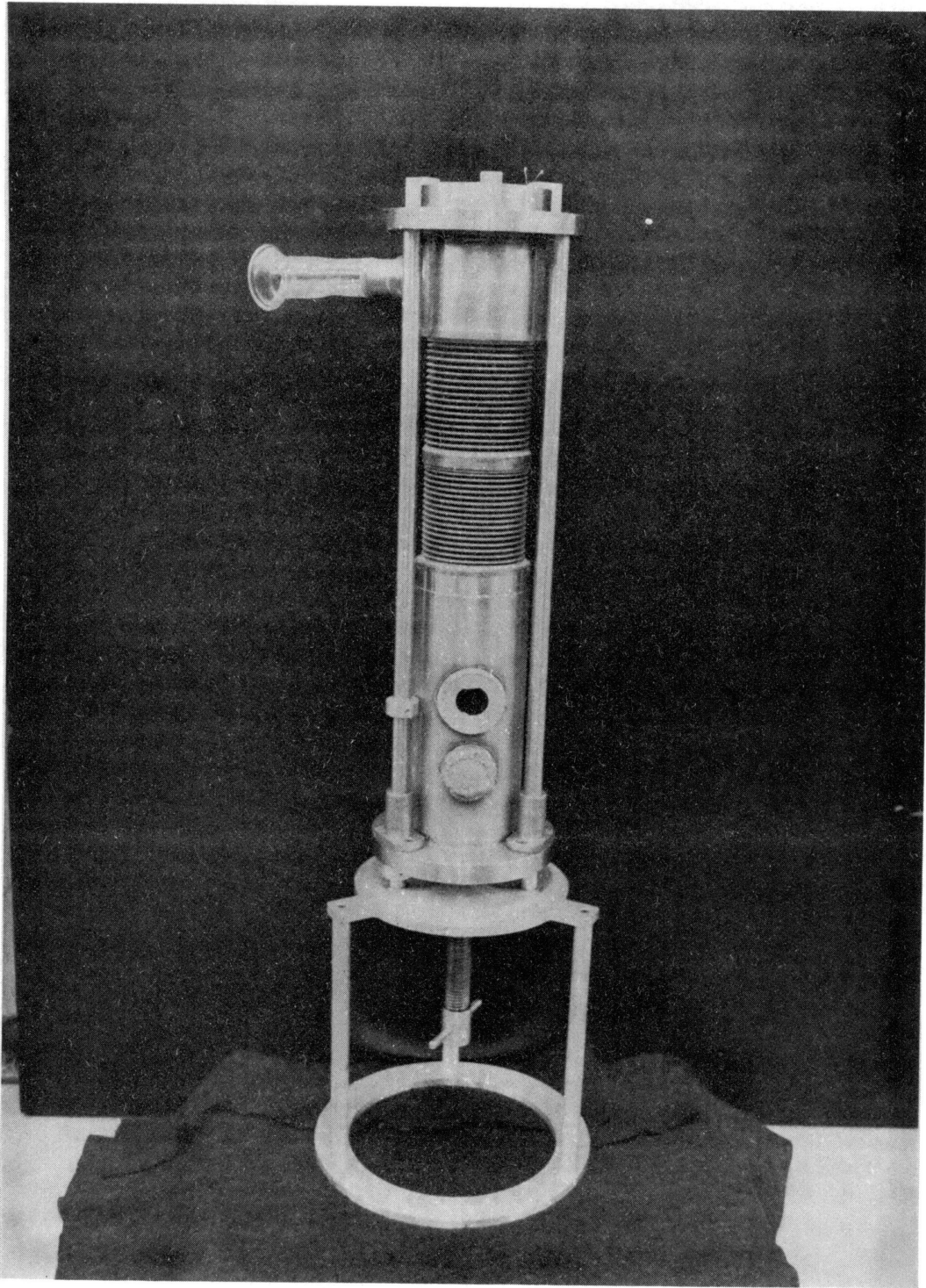


Figure 10. Dewar Used for Thermoluminescence Measurements

## CHAPTER III

### EXPERIMENTAL RESULTS

In this chapter the results of ESR and TSL measurements will be presented. The findings for  $\text{KMgF}_3:\text{Mn}$  and  $\text{NaMgF}_3$  will be treated in two separate sections with the first section centering on experiments related to the  $\text{Mn}^{2+}$ -fluorine vacancy center. The second section will be devoted primarily to the ESR results obtained for the intrinsic self-trapped holes in  $\text{NaMgF}_3$  and will also include a presentation of complementary TSL measurements. The detailed analysis of this data will be given in the following chapter.

#### A. The $\text{Mn}^{2+}$ -Fluorine Vacancy Center

Prior to electron or  $\gamma$  irradiation, ESR measurements taken for single crystals of  $\text{KMgF}_3:\text{Mn}$  (400 ppm) yielded an intense and highly resolved spectrum which was recognized as arising from  $\text{Mn}^{2+}$  at sites of octahedral symmetry. This spectrum has been analyzed in detail by Ogawa and Yokozawa (61) and is shown in Figure 11. The complexity of the spectrum arises for several reasons. The octahedral crystal field splits the six-fold degeneracy of the  ${}^6S_{5/2}$  ground state of the  $\text{Mn}^{2+}$  ion into a quartet and a doublet. The origin of this zero field splitting is not completely understood at present, but a comprehensive review of the theory is given in reference (62). The Zeeman splitting will then lift the remaining degeneracy of the energy levels correspond-

← ~ 750 GAUSS →

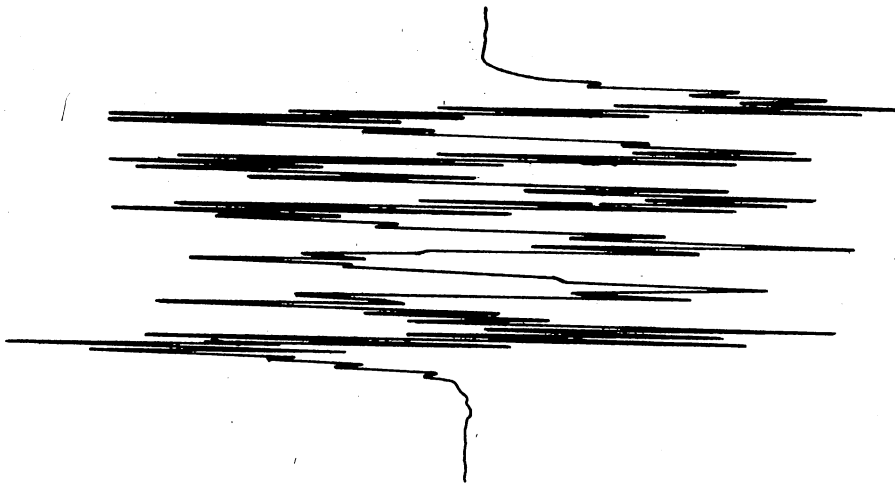


Figure 11. Cubic Mn<sup>2+</sup> ESR Spectrum

ing to the magnetic substates defined by  $m_S = -5/2, -3/2, -1/2, 1/2, 3/2,$  and  $5/2$ . There is a further hyperfine splitting of these energy levels arising from interaction of the unpaired electrons with the  $^{55}\text{Mn}$  nucleus. The corresponding nuclear spin  $I$  is  $5/2$ , and therefore the associated magnetic substates are given by  $m_I = -5/2, -3/2, -1/2, 1/2, 3/2,$  and  $5/2$ . Finally, there is a superhyperfine splitting originating from interactions between the unpaired electrons and the six equivalent surrounding  $^{19}\text{F}$  nuclei ( $I = 1/2$ ). The ESR selection rules for the transitions between energy levels are  $\Delta m_S = \pm 1$  and  $\Delta m_I = 0$ , and there are a total of 210 lines in the spectrum (including superhyperfine structure). For the case of octahedral symmetry, the hyperfine splitting is approximately one order of magnitude greater than the crystal field splitting. Thus considerable overlapping of lines occurs in the spectrum which consequently takes on the appearance of a six-line hyperfine group.

When crystals were electron irradiated at room temperature up to 30 minutes at  $10 \mu\text{A}$  no new spectra were observed to grow in, and no discernable change in the cubic  $\text{Mn}^{2+}$  spectrum was detected. However, if following the room temperature irradiation the sample was exposed to an unfiltered mercury lamp at  $77 \text{ K}$ , then a new spectrum was generated. A typical spectrum obtained for an orientation of the static magnetic field parallel to a  $[100]$  direction is shown in Figure 12. Both the maximum splitting of the lines and the best resolution of the superhyperfine structure occurs for this orientation of the magnetic field. This spectrum was generated after a room temperature electron irradiation of 30 minutes at  $10 \mu\text{A}$  followed by a  $77 \text{ K}$  uv bleach for 2 hours. The spectrum was characterized by division into six-line hyperfine groups and

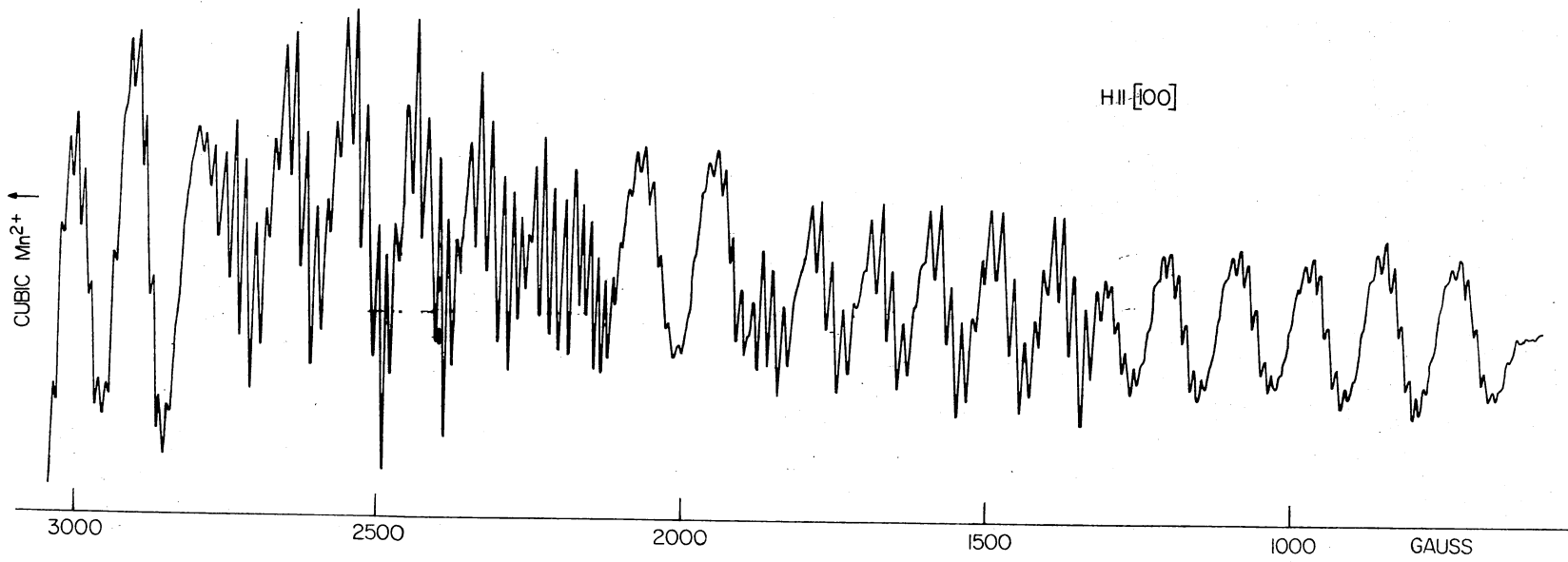


Figure 12. (a) Mn<sup>2+</sup>-Fluorine Vacancy ESR Spectrum (Low Field)

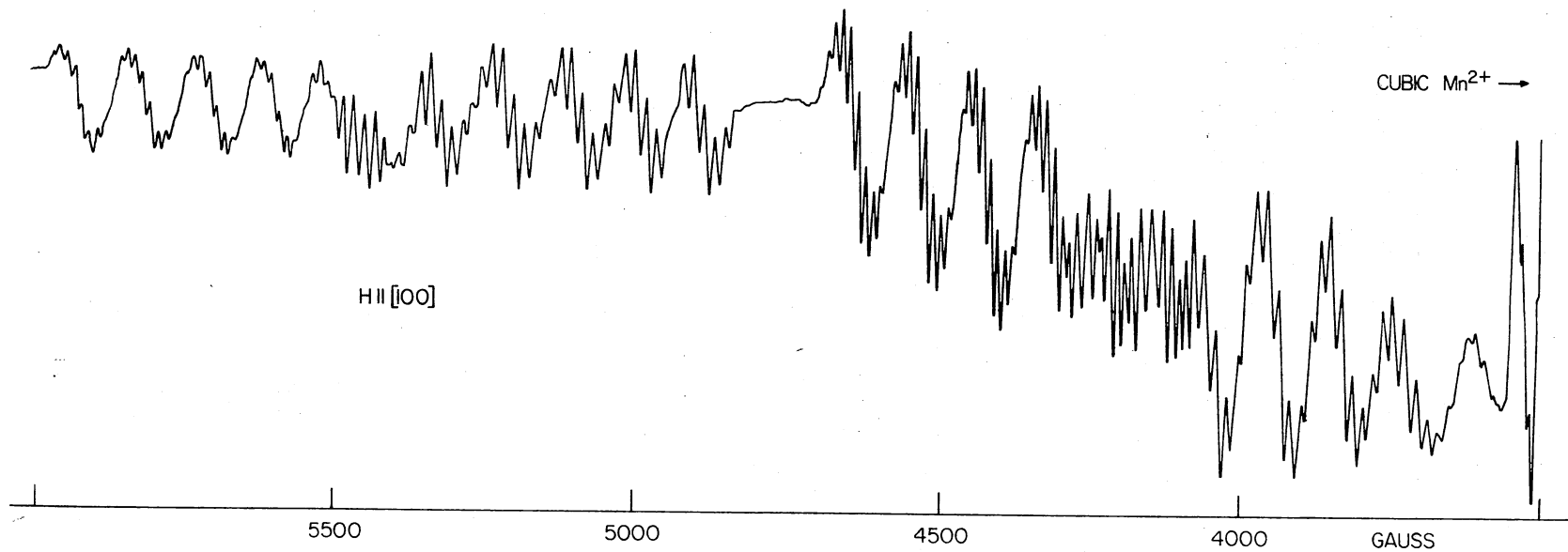


Figure 12. (b) Mn<sup>2+</sup>-Fluorine Vacancy ESR Spectrum (High Field)

was thus attributed to  $Mn^{2+}$ . The range of the spectrum was found to extend roughly from less than 1000 gauss to nearly 6000 gauss which was indicative of a large crystal field splitting. This also indicated that the  $Mn^{2+}$  was at a site departing significantly from octahedral symmetry. The analysis of this spectrum, to be discussed in the next chapter, resulted in a model in which the initial room temperature irradiation creates an F center adjacent to a  $Mn^{2+}$  ion, and the subsequent uv excitation at 77 K removes the F electron leaving a  $Mn^{2+}$ -fluorine vacancy center. A further consequence of the analysis was that the crystal field did not possess perfect [100] axial symmetry, but was determined to have a rhombic contribution. As a result, the spectrum was broken up into three distinct groups of lines. One group (I) arises for orientations of the magnetic field parallel to the defect axis defined by the  $Mn^{2+}$ -fluorine vacancy. The other two groups (II and III) arise from orientations of the magnetic field perpendicular to the defect axis. A stick diagram of the complete spectrum constructed after the computer analysis of the data is shown in Figure 13. Due to complications introduced by the overlapping of lines from different groups and the presence of the cubic  $Mn^{2+}$  spectrum, not all of the line positions in the [100] spectrum were measured. Furthermore, lines occurring at field positions of less than ~ 1780 gauss were outside the range of the proton probe. Thus values of magnetic field for 22 line positions were measured and are indicated in the stick diagram. The corrected experimental field values together with those calculated in the analysis are shown in Table I. The microwave frequency during the course of these measurements was 9.242362 GHz. No magnetic field measurements were taken for any orientations of the magnetic field other than  $\langle 100 \rangle$ .

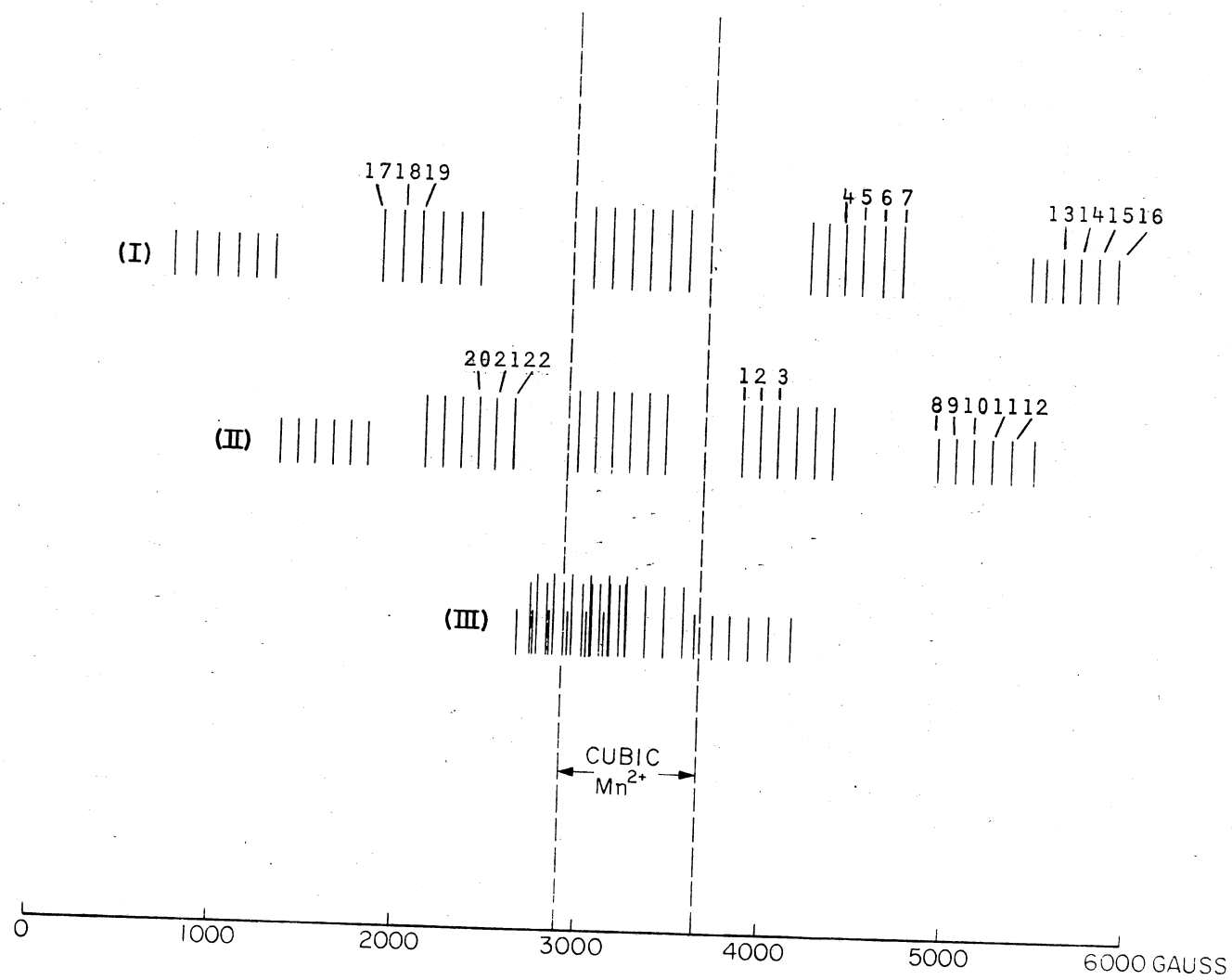


Figure 13. Stick Diagram for the Mn<sup>2+</sup>-Fluorine Vacancy ESR Spectrum



TABLE I  
CORRECTED FIELD POSITIONS (IN GAUSS) OF RESONANT LINES OF  
THE  $Mn^{2+}$ -FLUORINE VACANCY CENTER ESR SPECTRUM

Group I

Line	Experimental	Calculated
4	4395.18	4392.72
5	4495.40	4493.06
6	4598.11	4597.85
7	4703.17	4703.91
13	5585.55	5583.93
14	5680.71	5678.34
15	5782.47	5780.01
16	5888.71	5887.38
17	1864.03	1861.37
18	1972.47	1971.66
19	2079.56	2079.94

Group II

Line	Experimental	Calculated
1	3855.09	3853.90
2	3951.40	3952.13
3	4048.39	4049.47
8	4935.09	4930.49
9	5024.57	5022.81
10	5120.81	5120.88
11	5223.90	5225.81
12	5334.91	5336.47
20	2418.31	2416.17
21	2510.05	2510.60
22	2603.20	2605.17

This was due to the fact that both broadening and increased overlapping of the lines made it difficult to make absolute assignments for the transitions.

The superhyperfine structure, which arises through an interaction of the unpaired electrons with the five nearest neighbor fluorine nuclei, is only partially resolved. However the resolution is sufficient to distinguish group I resonances from group II since the superhyperfine structure on each hyperfine line differs for different orientations of the  $\text{Mn}^{2+}$ -fluorine vacancy center relative to the applied magnetic field. The two lowest field sets of hyperfine lines are shown in Figure 14.

Data was also obtained for the more heavily doped  $\text{KMgF}_3:\text{Mn}$  crystals (8000 ppm). However, no resolved superhyperfine structure could be observed in the ESR spectra generated using these crystals.

Band pass filters were used in order to determine the approximate wavelength of light necessary to produce the ESR spectrum. It was found that the spectrum could be easily produced using filters with transmissions centered at  $2536\text{\AA}$  and  $3130\text{\AA}$ , but using a  $4000\text{\AA}$  filter with comparable bleaching time the intensity of the spectrum was reduced by more than a factor of three. Thus an upper limit on the wavelength of light necessary for efficient production of the spectrum would lie in the range  $3500\text{-}4000\text{\AA}$ .

The results of a saturation study of the production of the ESR spectrum are shown in Figure 15 for an initial electron irradiation of 20 minutes at  $10\ \mu\text{A}$ . The temperature of the crystal was maintained at 77 K throughout the course of this saturation study. The data indicates that the  $\text{Mn}^{2+}$ -fluorine vacancies initially grow in very rapidly, then taper off to nearly a linear growth until saturation is achieved.

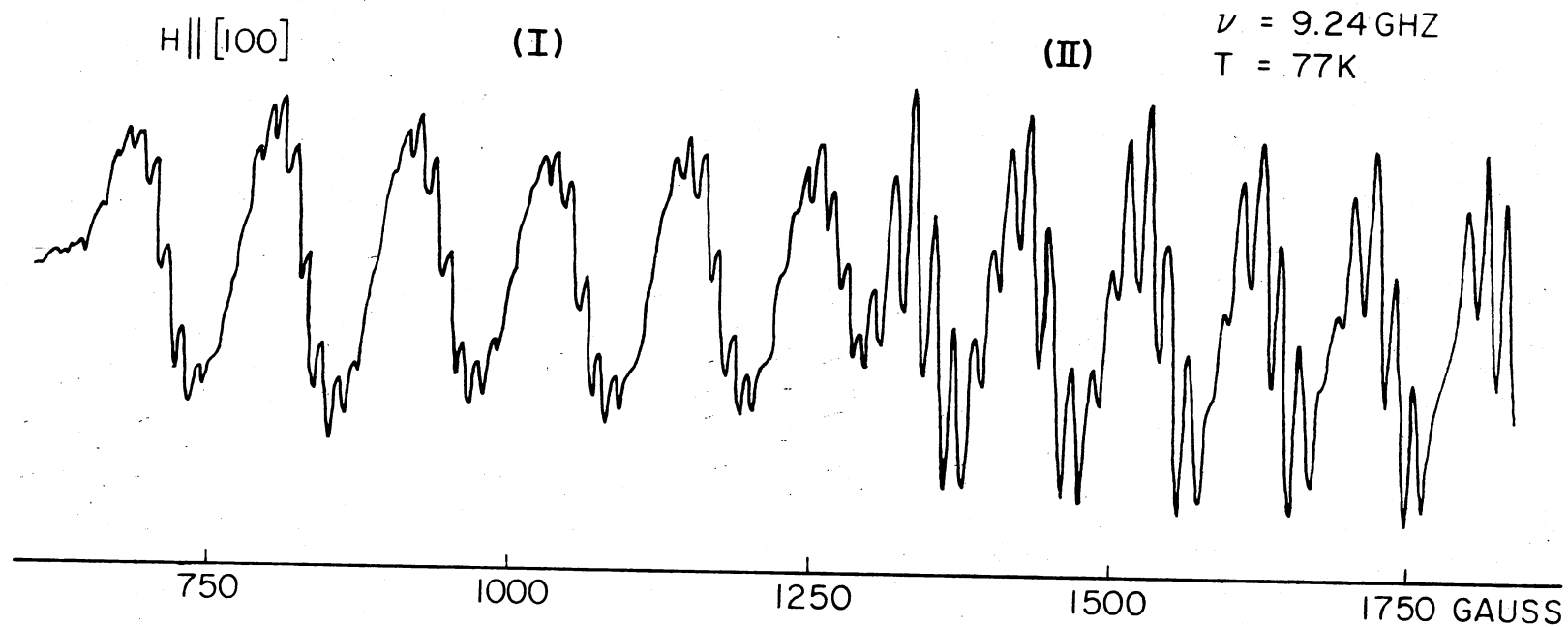


Figure 14. The Two Lowest Field Sets of Hyperfine Lines for the  $\text{Mn}^{2+}$ -Fluorine Vacancy [100] ESR Spectrum Illustrating the Difference in the Superhyperfine Patterns for Groups I and II

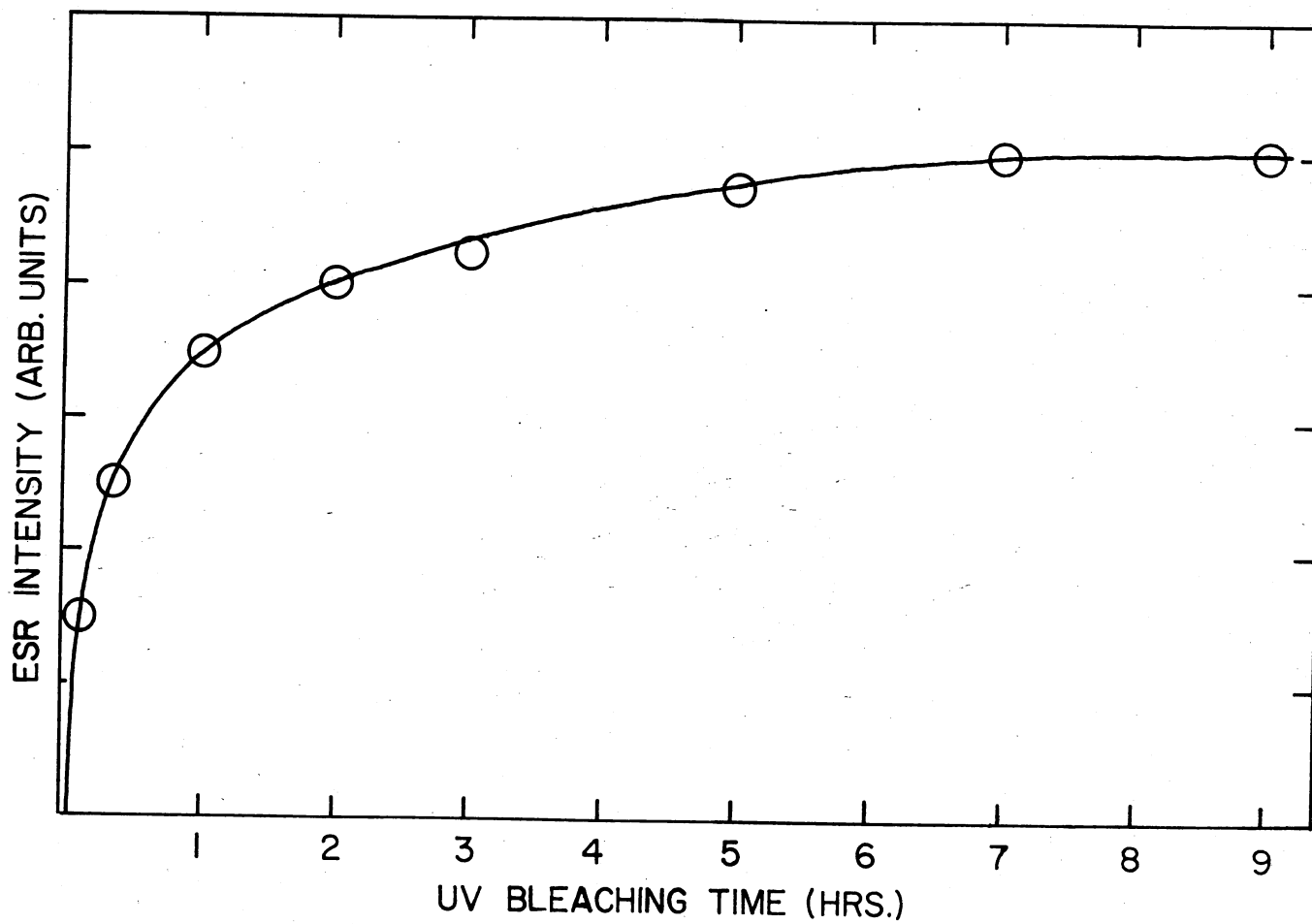


Figure 15. Saturation Study of the  $\text{Mn}^{2+}$  Fluorine Vacancy Center

$Mn^{2+}$ -fluorine vacancy centers could also be generated by room temperature  $^{60}Co$   $\gamma$  irradiation (plus 77 K uv excitation), but this required irradiation times of ~ one day in order to produce enough  $Mn^{2+}$ -F center pairs to be observable.

Direct electron irradiation of virgin or annealed crystals at 77 K could also produce  $Mn^{2+}$ -fluorine vacancy centers. This procedure was not as effective in producing the centers compared to the previously described procedure. For example, electron irradiation for 45 minutes at 77 K produced a spectrum ~ 1/4 as intense as that found for an electron irradiation of 30 minutes at room temperature followed by 2 hours of uv bleaching at 77 K. ESR signals arising from intrinsic  $V_K$  centers were also observable in the spectrum obtained for direct electron irradiation at 77 K. However, when crystals were warmed through the first TSL glow peak (115 K) which corresponds to the thermal decay of the  $V_K$  centers, the intensity of the  $Mn^{2+}$ -fluorine vacancy spectrum remained unchanged.

The results of a pulse anneal study of the  $Mn^{2+}$ -fluorine vacancy spectrum are shown in Figure 16. This data was obtained by three minute pulse anneals at progressively higher temperatures. The monitoring temperature (i.e., the temperature of the nitrogen gas used in conjunction with the Varian Variable Temperature Accessory) was ~ 96 K. This study indicates that the thermal decay of the  $Mn^{2+}$ -fluorine vacancy center occurs at ~ 185 K.

After annealing to room temperature, the  $Mn^{2+}$ -fluorine vacancy centers can be regenerated by an additional uv bleach at 77 K. This regeneration effect is shown in Figure 17. In Figure 17(a), the sample was bleached for one hour at 77 K following the initial room temperature

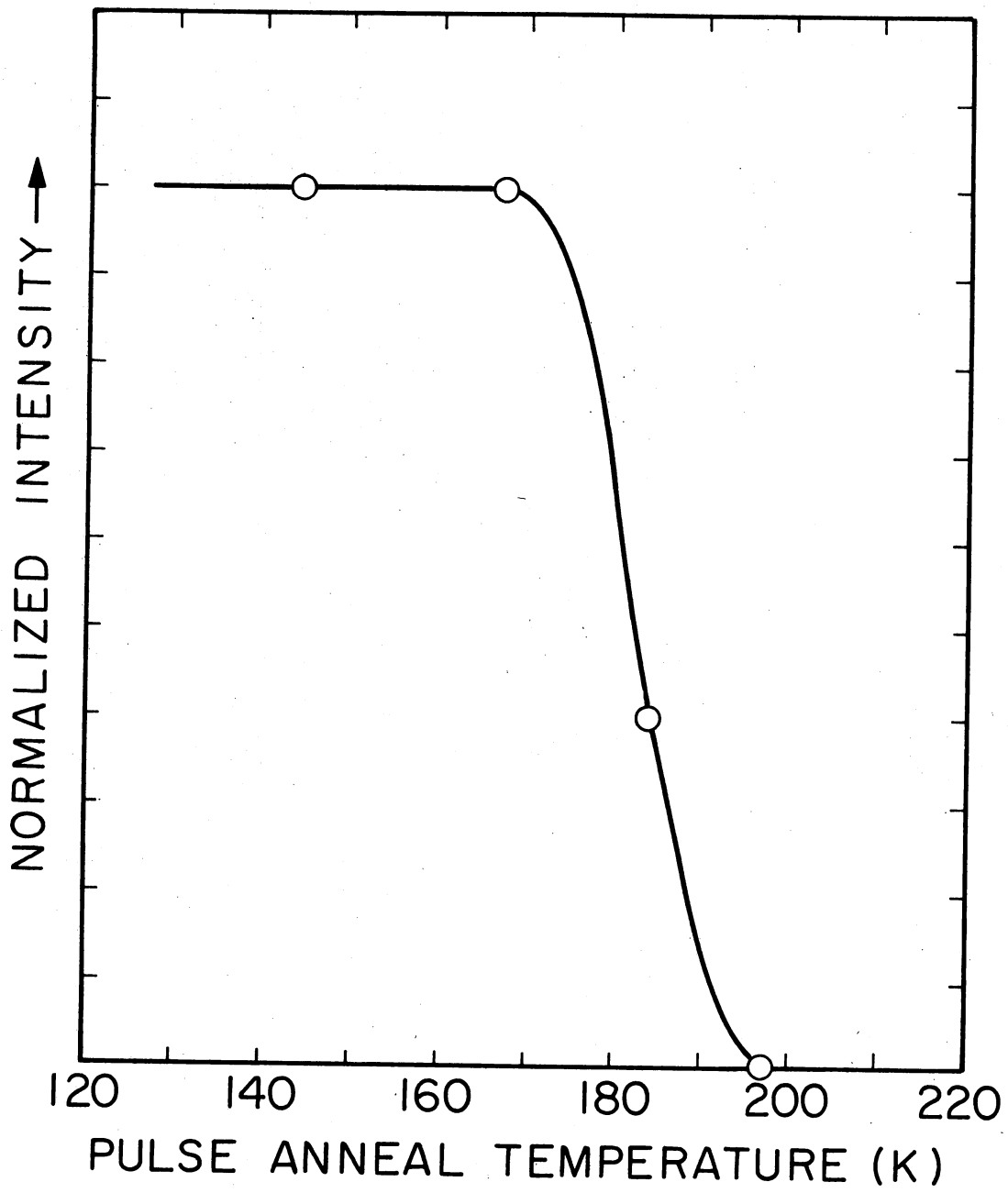


Figure 16. Pulse Anneal Study of the  $\text{Mn}^{2+}$  Fluorine Vacancy Center

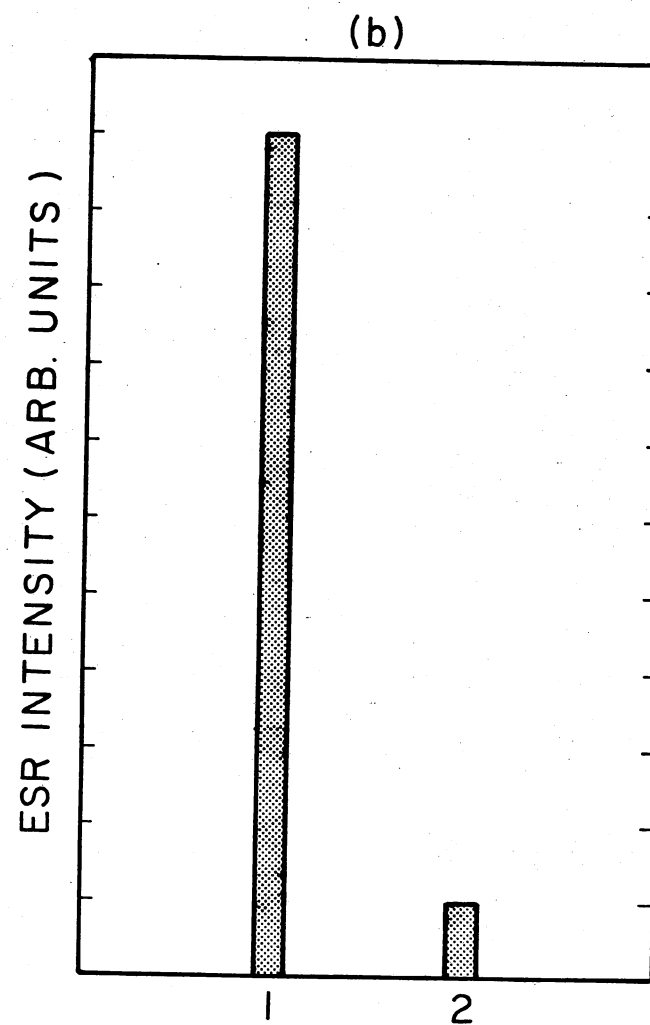
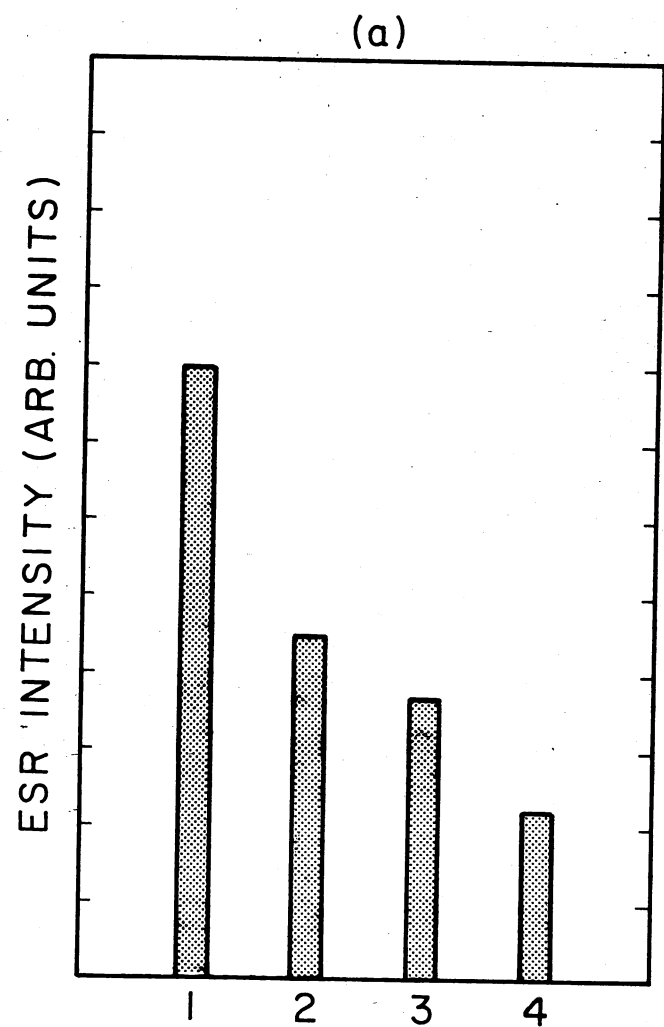


Figure 17. Regeneration of the  $Mn^{2+}$ -Fluorine Vacancy Center ESR Spectrum

electron irradiation after which the ESR intensity was measured (column 1). Columns 2, 3, and 4 represent the ESR intensity obtained by consecutively warming the sample to room temperature and rebleaching for one hour at 77 K without additional room temperature irradiation. In Figure 17(b), the sample was treated the same as in Figure 17(a) except the initial uv bleach was nine hours. The large relative difference between columns 1 and 2 indicates that the longer initial uv bleach destroyed a much larger percentage of  $\text{Mn}^{2+}$ -F center pairs in (b) than in (a).

Thermoluminescence (TSL) was generated between 77 K and room temperature using the same irradiation procedure that produced the  $\text{Mn}^{2+}$ -fluorine vacancy centers. The TSL is obtained only if crystals are electron irradiated prior to uv bleaching, i.e., uv bleaching of annealed or virgin crystals at 77 K will yield no TSL. Typical TSL data is shown in Figure 18 for an initial room temperature electron irradiation of 20 minutes. The solid curve was taken following an initial one hour uv bleach at 77 K. Each of the dashed curves were obtained without additional room temperature irradiation by warming the sample to room temperature and then rebleaching at 77 K in a manner similar to Figure 17(a). Thus the TSL exhibits a regeneration property similar to that found for the  $\text{Mn}^{2+}$ -fluorine vacancy centers. However, no TSL glow peaks (140 K, 160 K, 205 K, 236 K) coincide with the thermal decay of the  $\text{Mn}^{2+}$ -fluorine vacancy ESR spectrum. No detailed spectroscopic analysis was performed of the TSL emission, but visual observation of the emission indicated that the light was primarily 590 nm (63), characteristic of cubic  $\text{Mn}^{2+}$ .

When crystals of  $\text{KMgF}_3:\text{Mn}$  (400 ppm) were electron irradiated at



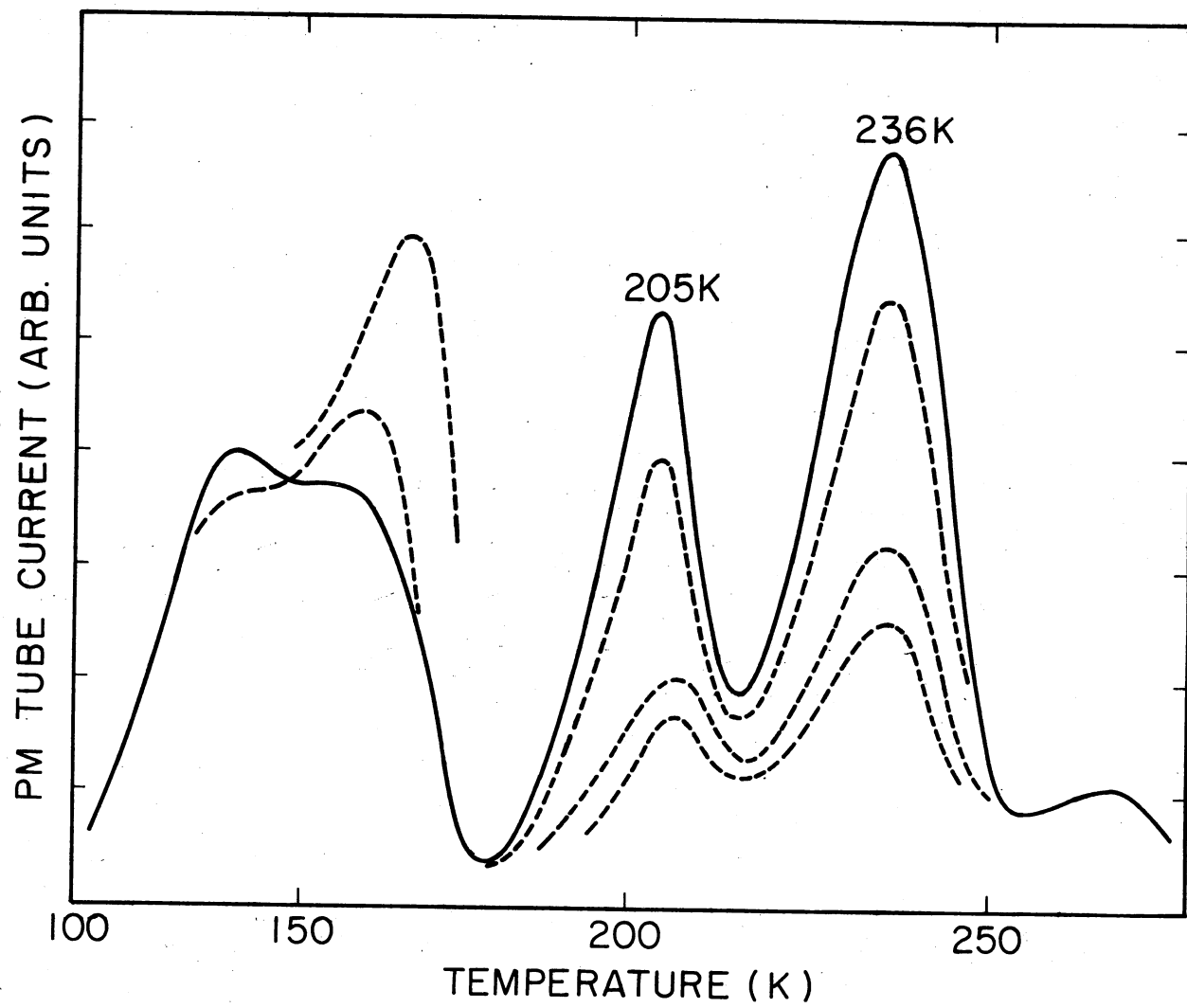


Figure 18. Thermoluminescence of  $\text{KMgF}_3:\text{Mn}$

77 K and then warmed to room temperature, an additional rather weak ESR spectrum remained which was of unknown origin. This data could not be generated solely by electron irradiation at room temperature, and only the low field portion of the spectrum was examined. This spectrum is shown in Figure 19 and possesses well defined hyperfine structure. The spectrum is centered at  $\sim 850$  gauss and shows no significant angular dependence for rotations of the magnetic field as much as  $\pm 20^\circ$  off  $\langle 100 \rangle$  directions. There appear to be 10-11 hyperfine lines in the spectrum with an associated splitting of  $\sim 50$  gauss which is approximately half the hyperfine splitting for isolated  $\text{Mn}^{2+}$ . The spectrum could originate in  $\text{Mn}^{2+}$  pairs, since this would reduce the hyperfine splitting by approximately 1/2 and increase the number of hyperfine lines from 6 to 11. However, since irradiation was necessary to produce the spectrum, then isolated  $\text{Mn}^{2+}$  pairs are unlikely. This data was not analyzed in detail in this study, but is included here for the sake of completeness.

#### B. Intrinsic $V_K$ Centers in $\text{NaMgF}_3$

When typical crystals of  $\text{NaMgF}_3$  were electron irradiated at 77 K and an ESR measurement was subsequently performed, a complex spectrum was observed for an arbitrary orientation of the applied magnetic field. Through a consideration of results obtained for other halide compounds subjected to similar irradiation treatments, this spectrum was believed to arise from  $V_K$  centers. If crystals were oriented so that the applied magnetic field was approximately perpendicular to one of the pseudocubic faces, then the spectrum would exhibit a fairly high degree of symmetry. One such spectrum is shown in Figure 20 following electron irradiation for 20 minutes (10  $\mu\text{A}$ ) at 77 K. Upon warming the crystal to room tem-

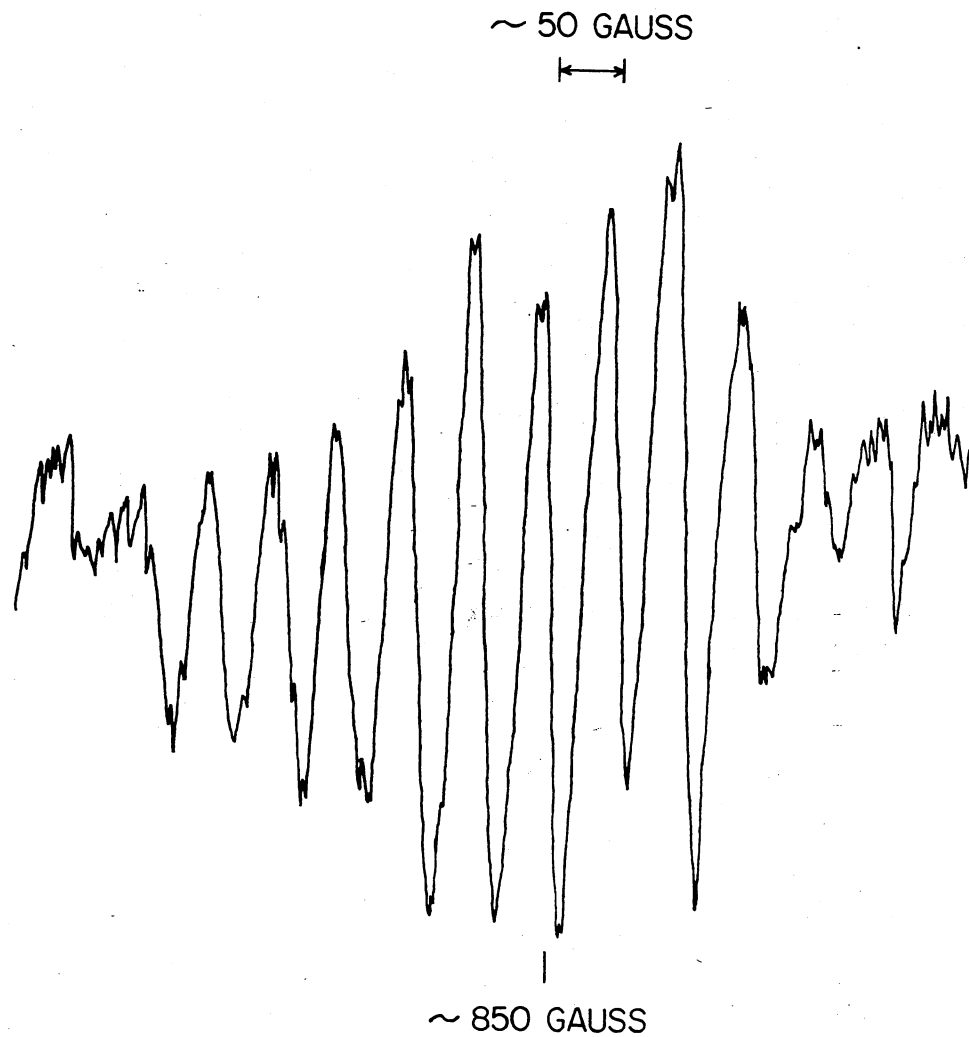


Figure 19. Unidentified ESR Spectrum Obtained After Electron Irradiation at 77 K Followed by Warming to Room Temperature

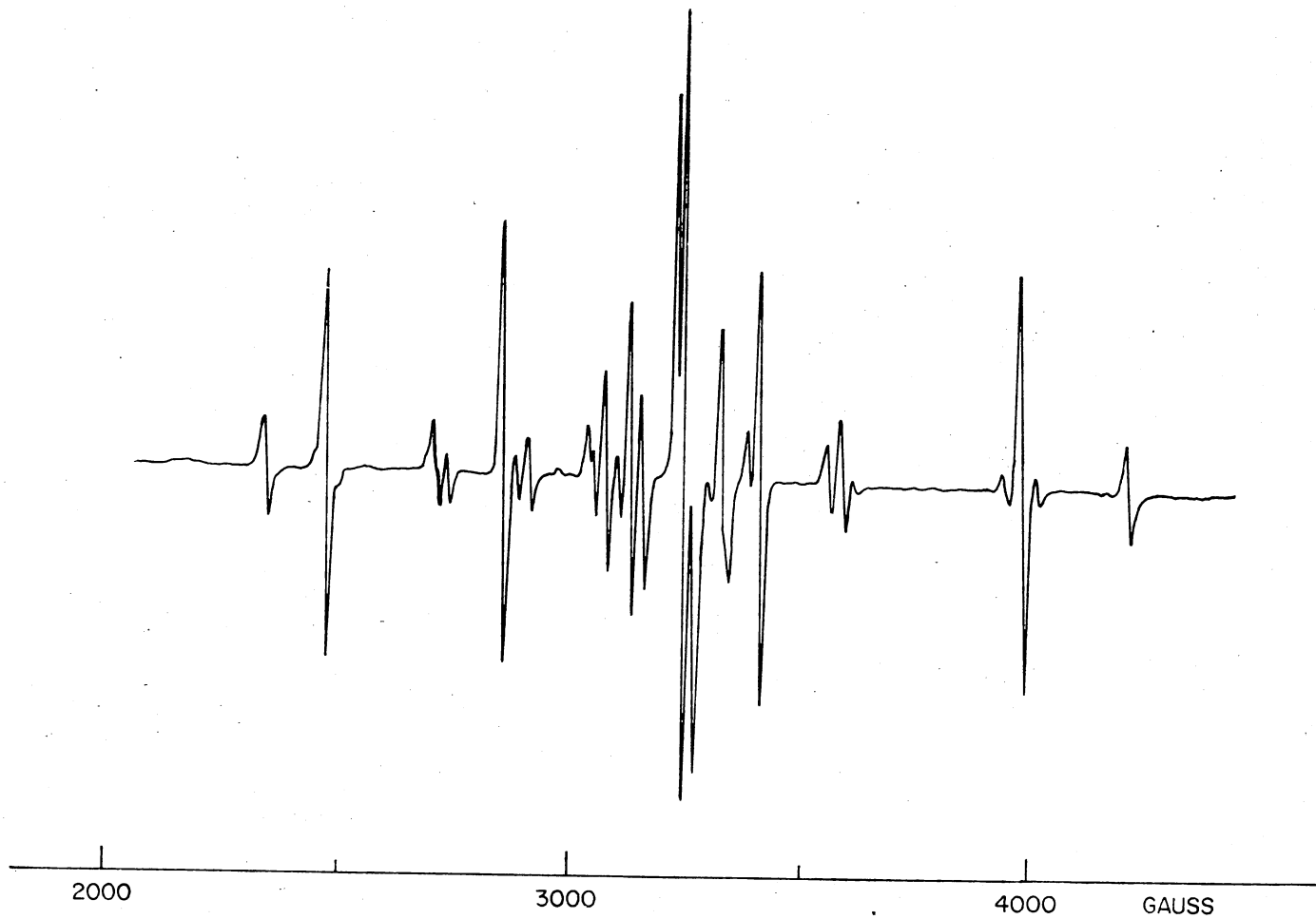


Figure 20. ESR Spectrum for NaMgF<sub>3</sub> Obtained Following Electron Irradiation at 77 K.  
H || [010], Typical Twinned Crystal

perature and then performing another ESR measurement at 77 K, there remained a spectrum which exhibited [100]-type symmetry, i.e., the maximum splitting of the lines occurred for an orientation of the applied magnetic field perpendicular to one of the pseudocubic faces. This spectrum is shown in Figure 21. The analysis of this data, given in the next chapter, yielded a model for the center giving rise to this spectrum (Figure 21) as originating in a self-trapped hole with its molecular axis aligned parallel to an [010] direction in the crystal. Note that lines 1 and 2 in the spectrum are not the same intensity as lines 3 and 4. In fact, these intensities were found to vary considerably from sample to sample as may be seen in Figure 22, which depicts a spectrum obtained in the same way as for Figure 21, but using a different arbitrarily chosen crystal. The lines arise from two mutually perpendicular orientations of the  $V_K$  center molecular axes, i.e., one orientation is approximately parallel to the magnetic field and the other orientation is approximately perpendicular to the magnetic field. This was verified experimentally by rotating the crystal  $90^\circ$  about an axis perpendicular to the magnetic field and observing that the line positions interchanged (Figure 23). The variation in intensities was attributed to twin related domains in the crystal. Only one type of domain structure was discernable in the ESR spectra.

As described in Chapter II, a crystal was found which was determined to be essentially a single domain, i.e., there was no evidence of twinning as reflected in the  $V_K$  center ESR spectra. For this single domain crystal, the spectrum generated through the same irradiation procedure as for Figure 21 is shown in Figure 24. It can be seen that there is but one orientation of the center in this crystal as was ex-

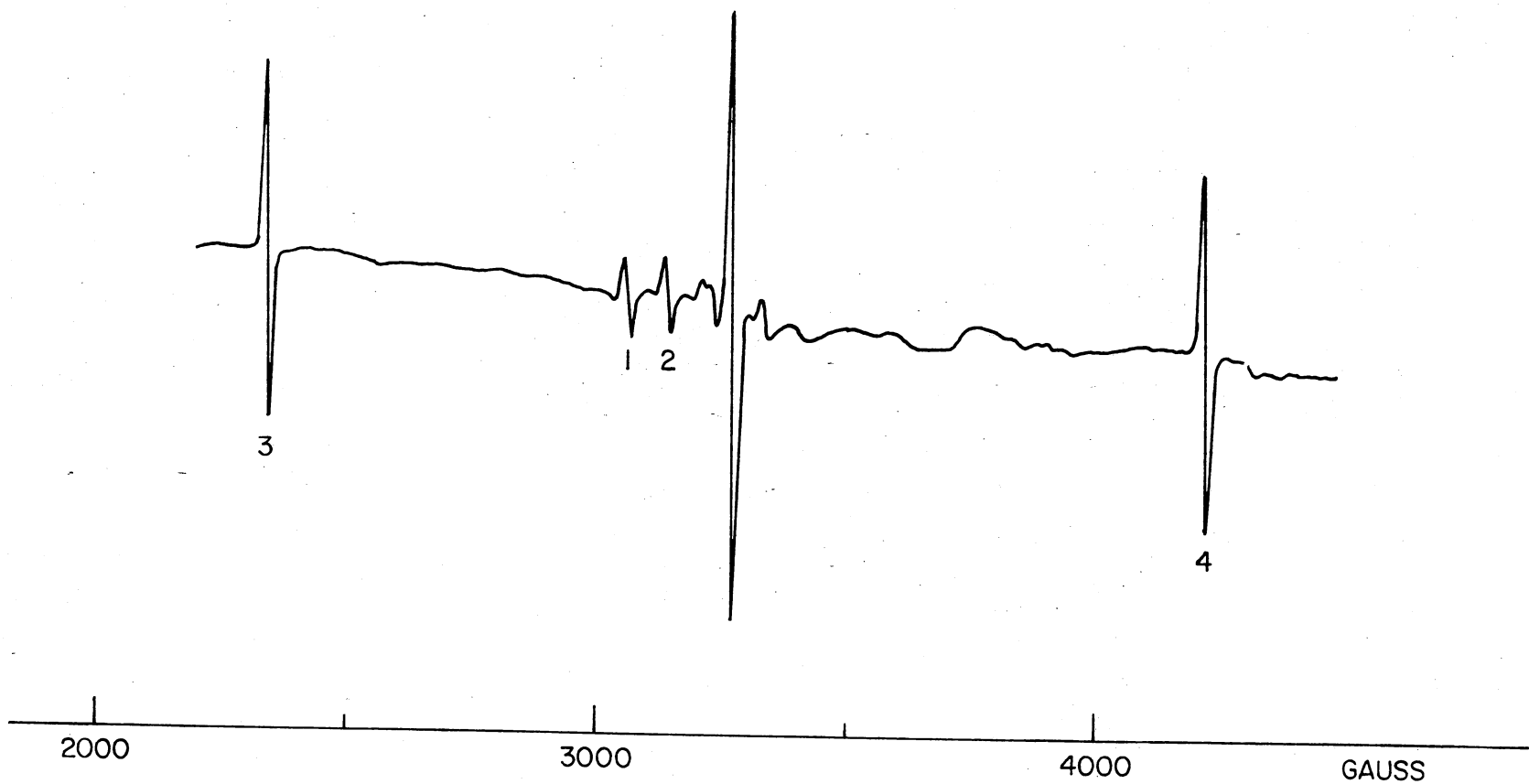


Figure 21. ESR Spectrum for NaMgF<sub>3</sub> Following an Anneal to Room Temperature. H || [010], Typical Twinned Crystal

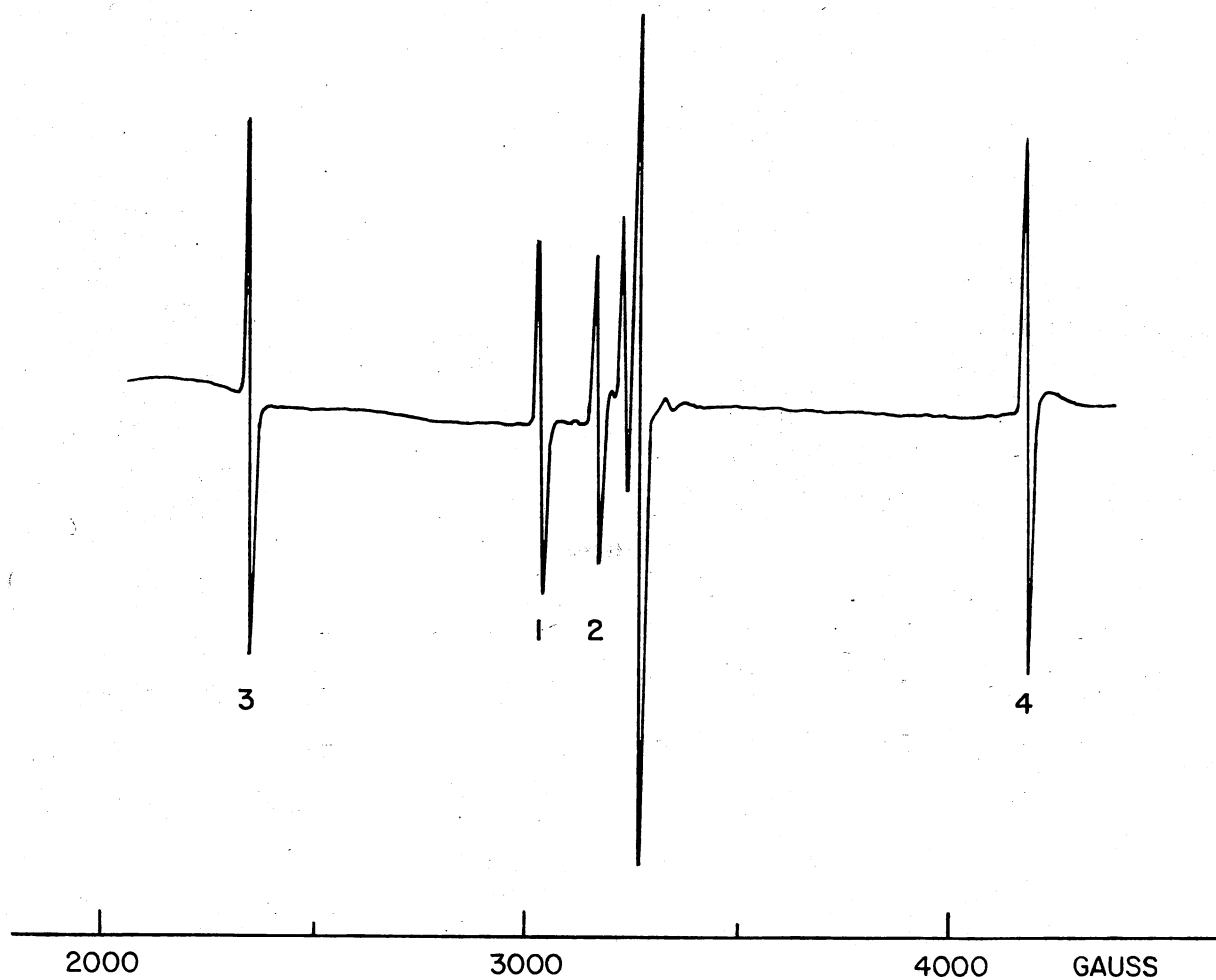


Figure 22. ESR Spectrum for NaMgF<sub>3</sub> Following an Anneal to Room Temperature.  
 H || [010], Typical Twinned Crystal--Different Domain Structure

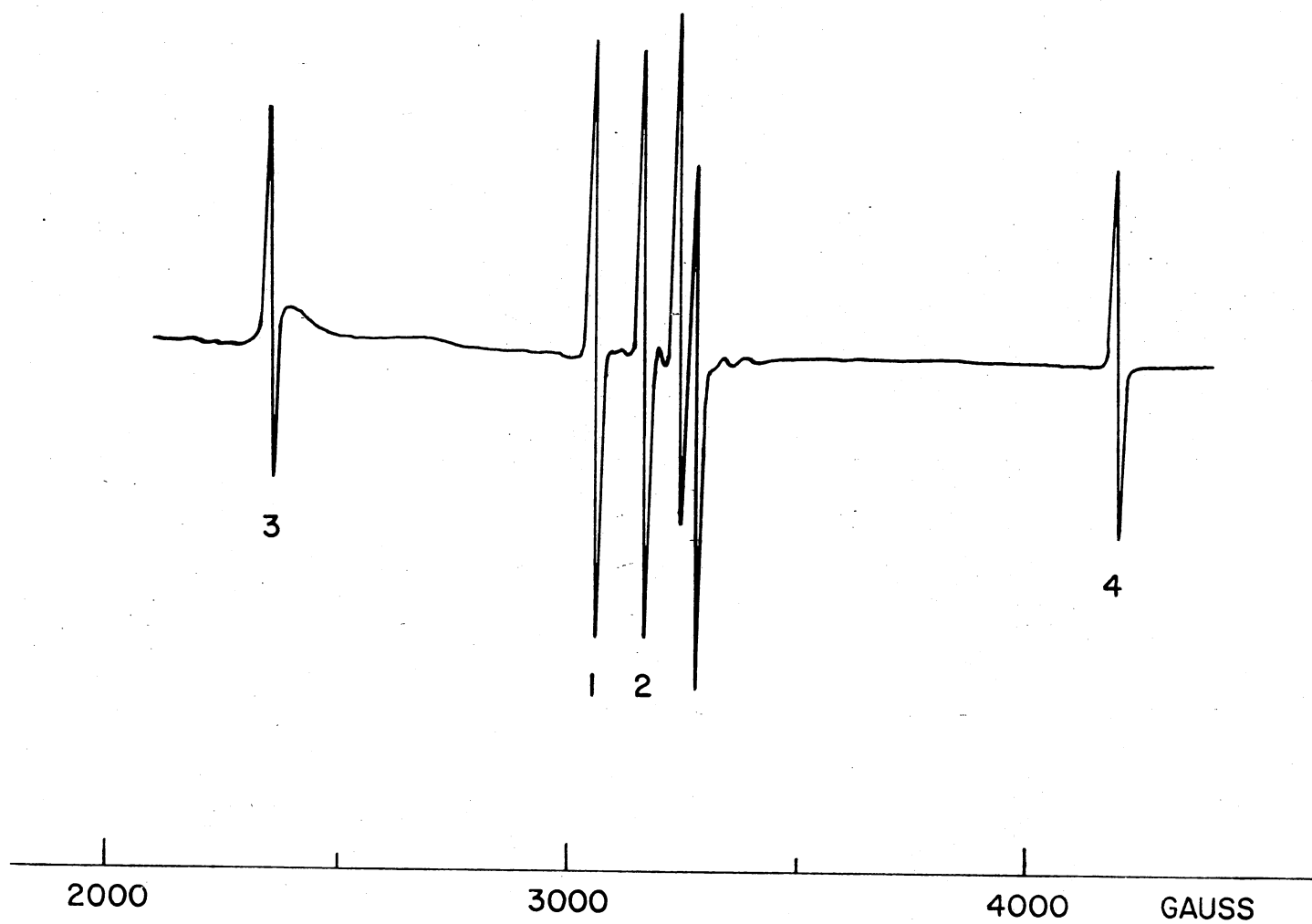


Figure 23. ESR Spectrum for NaMgF<sub>3</sub> Following an Anneal to Room Temperature. H || [100], Typical Twinned Crystal



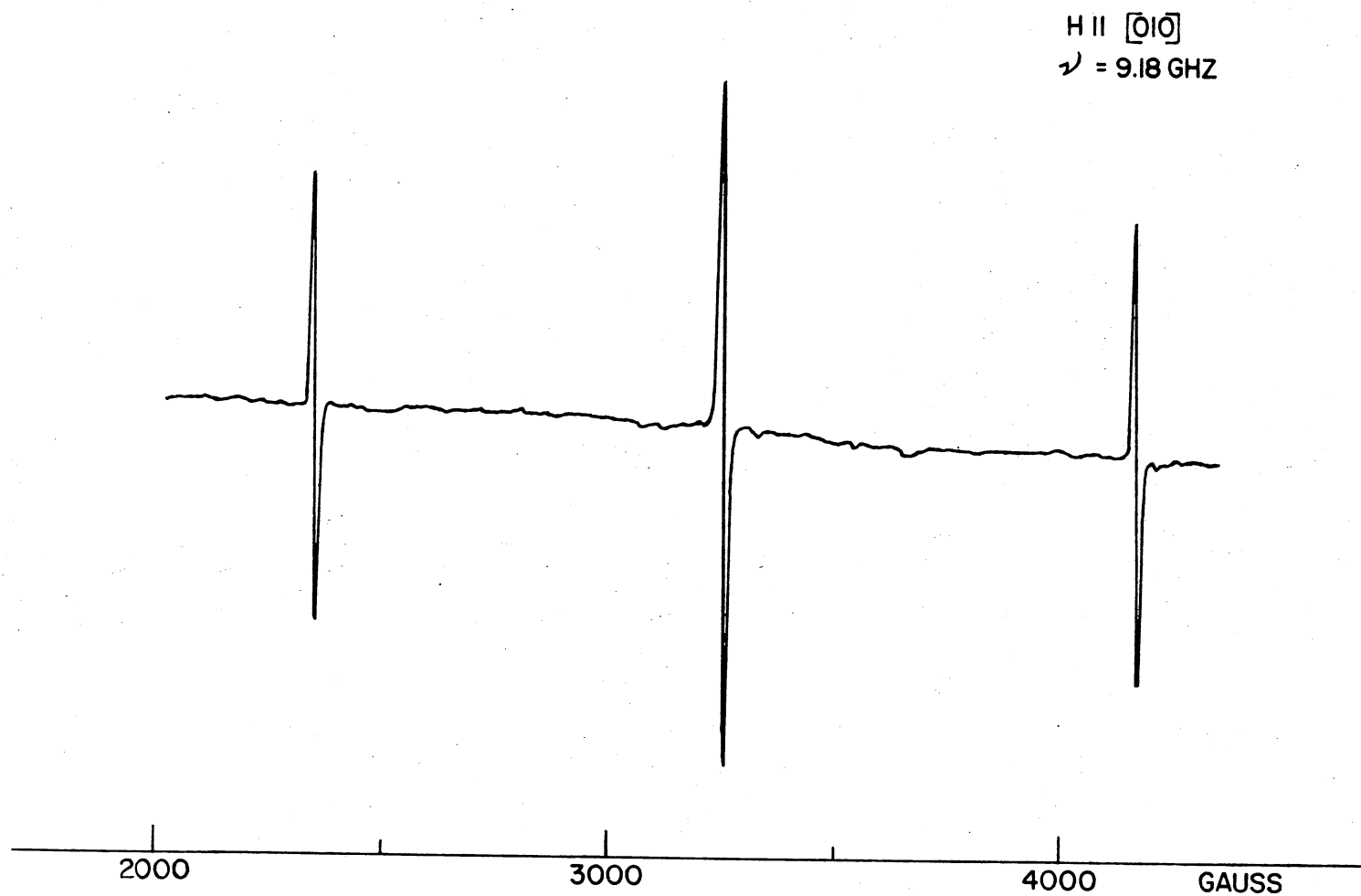


Figure 24. ESR Spectrum for  $\text{NaMgF}_3$  Following an Anneal to Room Temperature. H ||  $[010]$ ,  
Single Domain Crystal

pected. The other mutually perpendicular orientation would have originated in the other domain had it been present. Because of its symmetry, the defect giving rise to this spectrum will henceforth be referred to as a  $[100]$ -type  $V_K$  center.

The existence of the  $[100]$ -type  $V_K$  center allowed the magnetic field to be aligned unambiguously parallel to an  $[010]$  orientation of the crystal which is also parallel to the tetrad axis of rotation (see Chapter I), since the greatest splitting of the lines occurs when the magnetic field is parallel to the molecular axis of this defect. This experimental fact further aided the analysis of the spectrum generated immediately following electron irradiation at 77 K. The spectrum obtained following electron irradiation for 10 minutes at 16  $\mu$ A using the single domain crystal is shown in Figure 25. Lines 1 and 14 arise from the  $[100]$ -type  $V_K$  center, thus verifying that this defect was produced by the initial irradiation at 77 K. The analysis (Chapter IV) of the other resonances in the spectrum (Figure 25) yielded the result that lines 2, 4, 6, 8, 9, 11 and 13 arise from  $V_K$  centers of the same type as those found in  $KMgF_3$  in that they are formed between fluorines on the same octahedron. These will be referred to as  $[110]$ -type  $V_K$  centers. Lines 3, 5, 7, 10 and 12 arise from fluorine molecular ions, but no precise model has been developed for these centers in this study.

ESR measurements taken for 77 K electron irradiation times ranging from 20 seconds to 20 minutes invariably yielded the same spectrum, i.e., the ESR lines associated with all the  $V_K$  centers were observed to grow in simultaneously. For example, in Figure 25 the ratio of the intensity of line 13 (part of the  $[110]$ -type  $V_K$  spectrum) to line 14 (part of the  $[100]$ -type  $V_K$  spectrum) was always  $\sim 4.8$  if the sample temperature

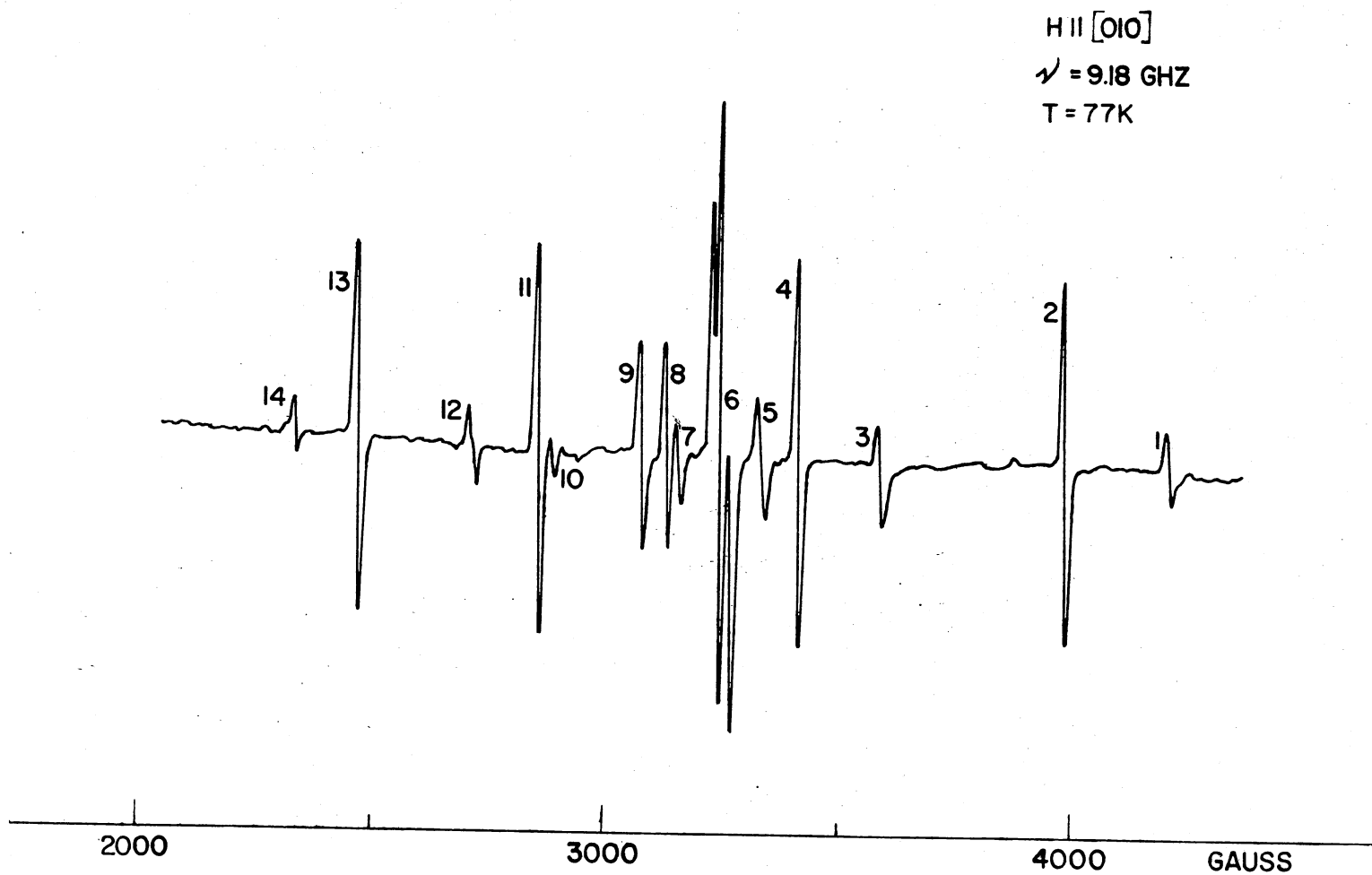


Figure 25. ESR Spectrum for  $\text{NaMgF}_3$  Following Electron Irradiation at 77 K. H || [010], Single Domain Crystal

was maintained at 77 K. No detailed production study of the  $V_K$  centers was performed, but the intensity of the spectrum was found to saturate for electron irradiations of 10-20 minutes at 77 K.

The spectrum shown in Figure 25 is for an orientation of the applied magnetic field parallel to an  $[010]$  direction since, as stated previously, the maximum splitting of the lines associated with the  $[100]$ -type  $V_K$  center occurs for this orientation. The acuity of the lines associated with the  $[110]$ -type  $V_K$  center in this spectrum was not arrived at easily, i.e., fine adjustments of the magnet orientation and waveguide tilt were necessary before a precise alignment was obtained.

If after generating this spectrum (Figure 25) the crystal was rotated  $90^\circ$  about an axis perpendicular to the applied magnetic field and a subsequent ESR measurement performed, the spectrum shown in Figure 26 was obtained. This data represents an orientation of the magnetic field parallel to a  $[100]$  direction and, as may be observed, is inequivalent to the spectrum obtained for the  $[010]$  orientation. This result was expected since these two orientations are crystallographically inequivalent, i.e., one orientation ( $[010]$ ) was parallel to the tetrad axis of rotation and the other orientation ( $[100]$ ) was perpendicular to this axis.

In this spectrum (Figure 26), lines 15, 16, 18, 19, 20, 21, 22, 23, 24, 25, 27, and 28 arise from the  $[110]$ -type  $V_K$  center, and the other lines (17 and 26) arise from unidentified fluorine molecular ions. For this orientation, the molecular axis of the  $[100]$ -type  $V_K$  center will be perpendicular to the magnetic field and will therefore give rise to lines in the center portion of the spectrum, i.e., underneath lines 21, 22, and 23.

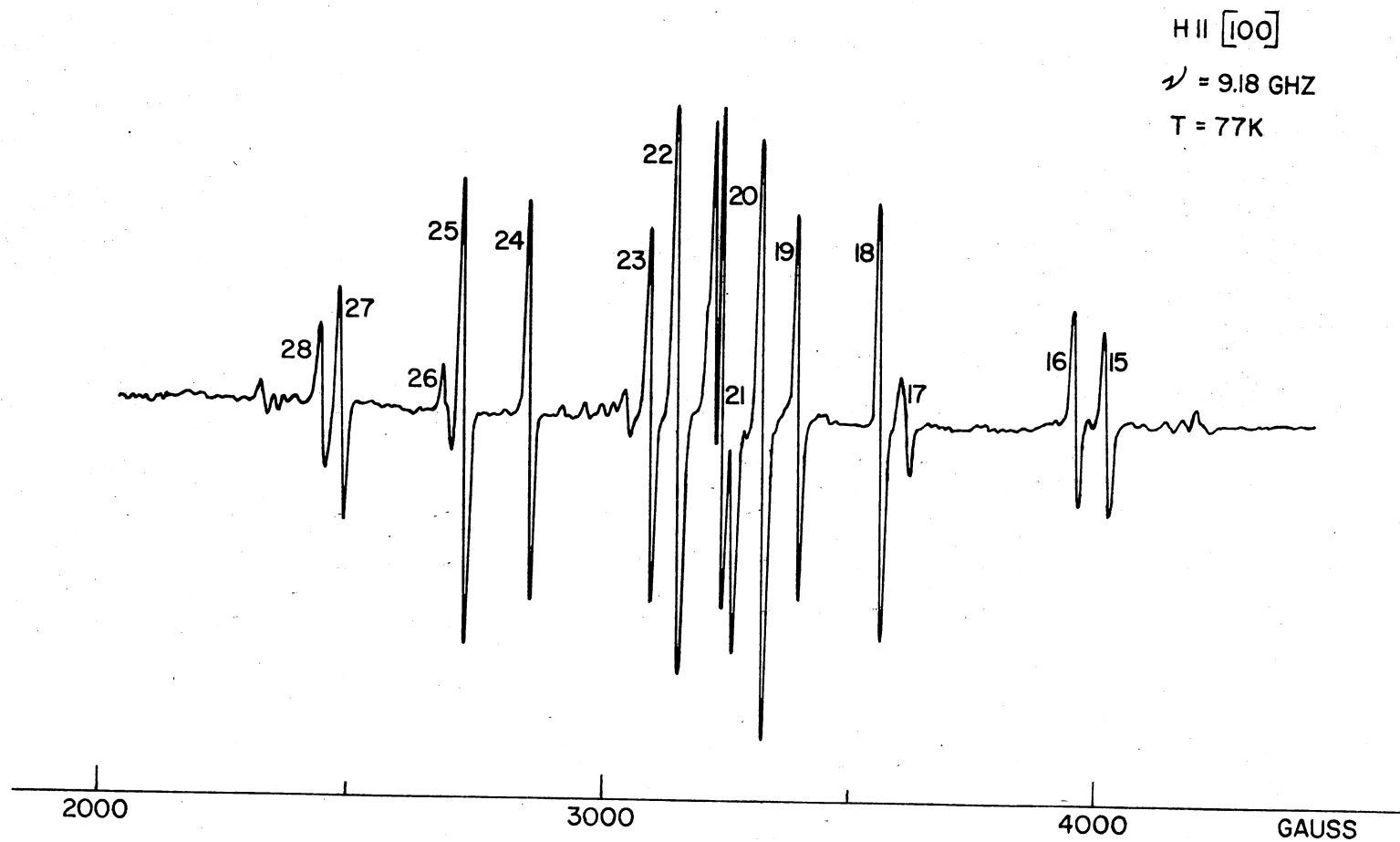


Figure 26. ESR Spectrum for  $\text{NaMgF}_3$  Following Electron Irradiation at 77K. H || [100], Single Domain Crystal

The influence of the domain structure in typical crystals may now be understood upon reexamination of Figure 21. If the spectrum shown in Figure 26 is superimposed onto that shown in Figure 25, the spectrum of Figure 21 will then be obtained upon adjusting the line intensities to account for the fact that the crystal was composed primarily of the domain associated with Figure 25.

The corrected experimental field values together with those calculated in the analysis are given in Table II for the resonances numbered in Figures 25 and 26. The microwave frequency during the course of these measurements was 9.1819680 MHz. No magnetic field measurements were performed for the resonances associated with the isolated  $[100]$ -type  $V_K$  center spectrum obtained after the  $[110]$ -type  $V_K$  centers were eliminated by warming to room temperature. This was due to the fact that an exact alignment of this center relative to the applied magnetic field could not be made with absolute certainty, somewhat paradoxically, because of the great simplicity of the spectrum, i.e., the acuity of the lines associated with the  $[110]$ -type  $V_K$  center was the strong criterion for exact alignment in the spectra obtained immediately after electron irradiation at 77 K, and this criterion was lost after warming the sample beyond the thermal decay temperature of the  $[110]$ -type  $V_K$  center.

When a sample was electron irradiated at 77 K and then exposed to the unfiltered output of a mercury lamp for ~ 5 minutes, a subsequent ESR measurement revealed that the  $V_K$  centers had been bleached, i.e., no  $V_K$  center resonances were observed. This behavior is typical for intrinsic  $V_K$  centers and is due to the release of electrons from traps annihilating the self-trapped holes. All types of  $V_K$  centers bleached

TABLE II  
 CORRECTED FIELD POSITIONS (IN GAUSS) OF RESONANT LINES FOR  
 THE ESR SPECTRA ARISING FROM THE INTRINSIC  
 SELF-TRAPPED HOLES IN  $\text{NaMgF}_3$

H    [010]			H    [100]		
Line	Experimental	Calculated	Line	Experimental	Calculated
1	4201.44	---	15	4011.44	4016.98
2	3980.69	3952.38	16	3947.07	3949.23
3	3592.14	---	17	3612.84	---
4	3415.59	3431.85	18	3556.78	3562.98
5	3333.70	---	19	3402.93	3422.89
6	3251.17	---	20	3333.75	---
7	3157.62	---	21	3251.90	---
8	3137.10	---	22	3161.69	---
9	3082.14	---	23	3108.94	3089.21
10	2890.11	---	24	2871.50	2873.97
11	2859.61	2866.48	25	2740.35	2750.64
12	2717.42	---	26	2705.71	---
13	2473.99	2504.12	27	2494.56	2506.23
14	2344.96	---	28	2457.31	2468.71

equally at 77 K. After warming a sample to room temperature following a 77 K electron irradiation so that all that remained were the [100]-type  $V_K$  centers and then applying uv light after the sample had been recooled to 77 K, it was found that bleaching of the [100]-type  $V_K$  centers alone was not as effective as bleaching the entire spectrum obtained directly following the 77 K electron irradiation. This result was anticipated, since in the process of warming the sample to room temperature a large share of the trapped electrons which would have been bleached by the light were annihilated by the thermally activated [110]-type hole centers.

The results of a pulse anneal study of the  $V_K$  centers formed through electron irradiation at 77 K are shown in Figure 27. This data was obtained by pulse annealing for three minutes at progressively higher temperatures. The monitoring temperature was 89 K. The figure is divided into two parts. In Figure 27(a) the thermal decay of the [110]-type  $V_K$  center spectrum is depicted and shows that this center becomes thermally unstable at approximately 120 K. In Figure 27(b) it can be observed that at this same temperature (120 K) the [100]-type  $V_K$  center spectrum, which was present initially at 77 K, increases in intensity and does not become thermally unstable until near room temperature ( $\sim 295$  K). Tolerances of at least  $\pm 10$  K must be placed on these transition temperatures due to the fact that in the transfer of the crystal from the liquid nitrogen bath to the microwave cavity, which was maintained at  $\sim 89$  K by flowing nitrogen gas, the crystal temperature rose beyond the transition temperature as could be ascertained by comparing the intensity of the [110]-type  $V_K$  center spectrum to that of the [100]-type  $V_K$  center, and this could have influenced the temperature



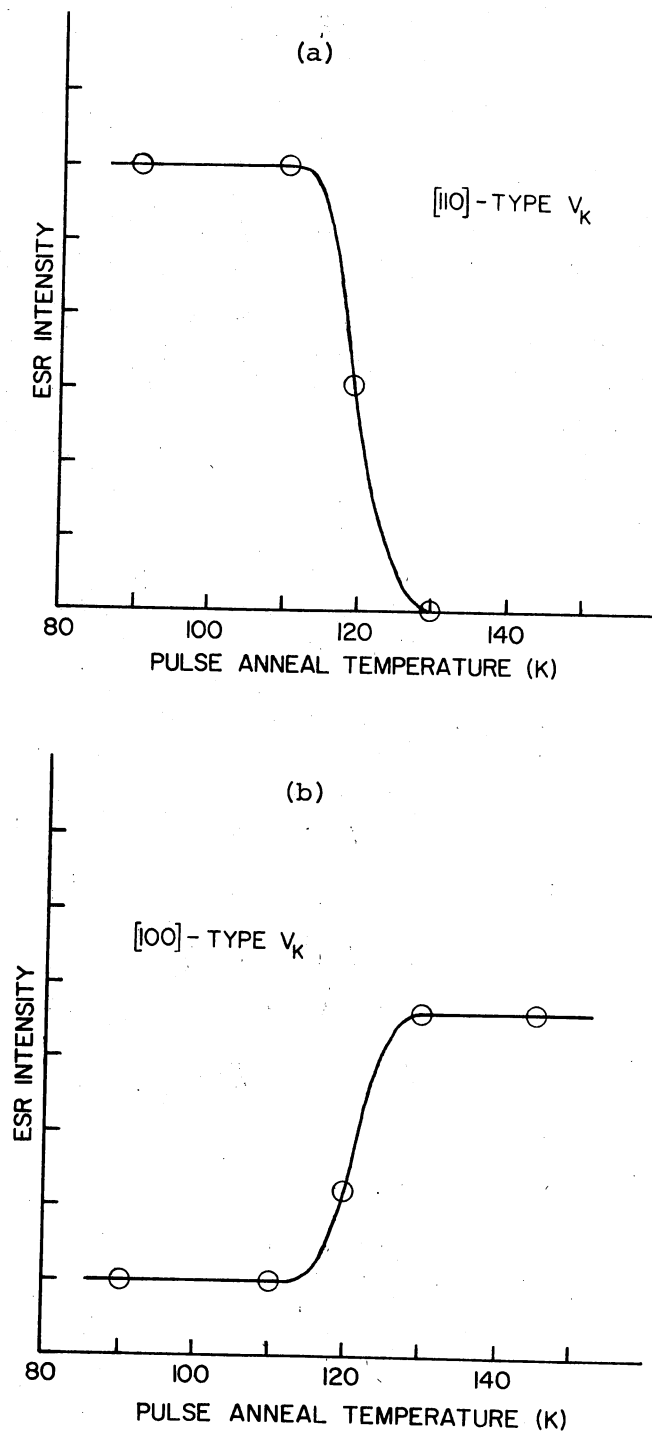


Figure 27. Pulse Anneal Study of the Intrinsic  $V_K$  Centers Produced in  $NaMgF_3$  by an Electron Irradiation at 77 K. (a) Thermal Decay of the  $[110]$ -Type  $V_K$  Center; (b) Thermal Decay of the  $[100]$ -Type  $V_K$  Center

of maximum change in the intensity of the spectra. Furthermore, the thermal decay temperature of the [100]-type  $V_K$  center (295 K) was not explicitly obtained in the pulse anneal study, but was arrived at in consideration of the fact that a few of these centers could still be detected if crystals were allowed to remain at room temperature in darkness up to 10 minutes.

Thermoluminescence was also obtained between 77 K and 600 K following electron irradiation at 77 K. A typical TSL measurement is shown in Figures 28(a), (b), and (c) following a 77 K electron irradiation of 5 minutes at 10  $\mu$ A. TSL glow peaks were found to occur at temperatures of 133 K, 180 K, 212 K, 246 K, 286 K, 317 K, 365 K, 402 K, and 573 K. The glow peak at 133 K, which was by far the strongest peak in the TSL data, is associated with the thermal decay of the [110]-type  $V_K$  centers, and the luminescence thus competes with the conversion of these holes into [100]-type  $V_K$  centers.

A reduction in the TSL intensity was found to occur if following the 77 K electron irradiation samples were exposed to the unfiltered output of a mercury lamp. In Figure 29 is shown the TSL obtained between 77 K and room temperature following a 77 K electron irradiation for 5 minutes at 10  $\mu$ A followed by application of uv light for 30 minutes. As a result of the uv bleach, the TSL intensity was reduced by more than two orders of magnitude, but was still easily discernable. This result was indicative of the high relative sensitivity of the TSL technique, since only 5 minutes of uv bleaching was required to completely annihilate the  $V_K$  center ESR spectra under comparable experimental conditions.

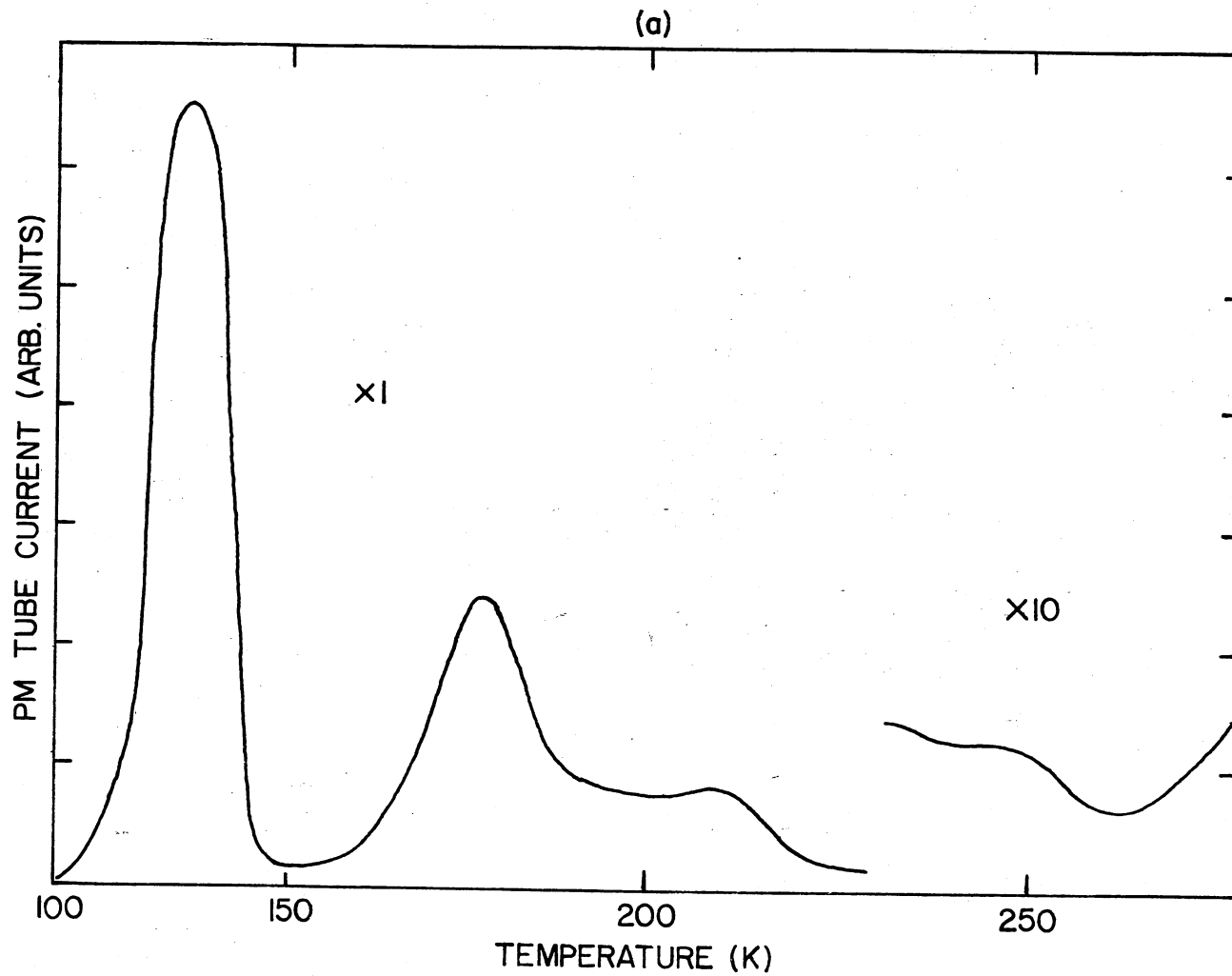


Figure 28. Thermoluminescence Obtained for NaMgF<sub>3</sub> Following Electron Irradiation at 77 K: (a) 100 K → 273 K

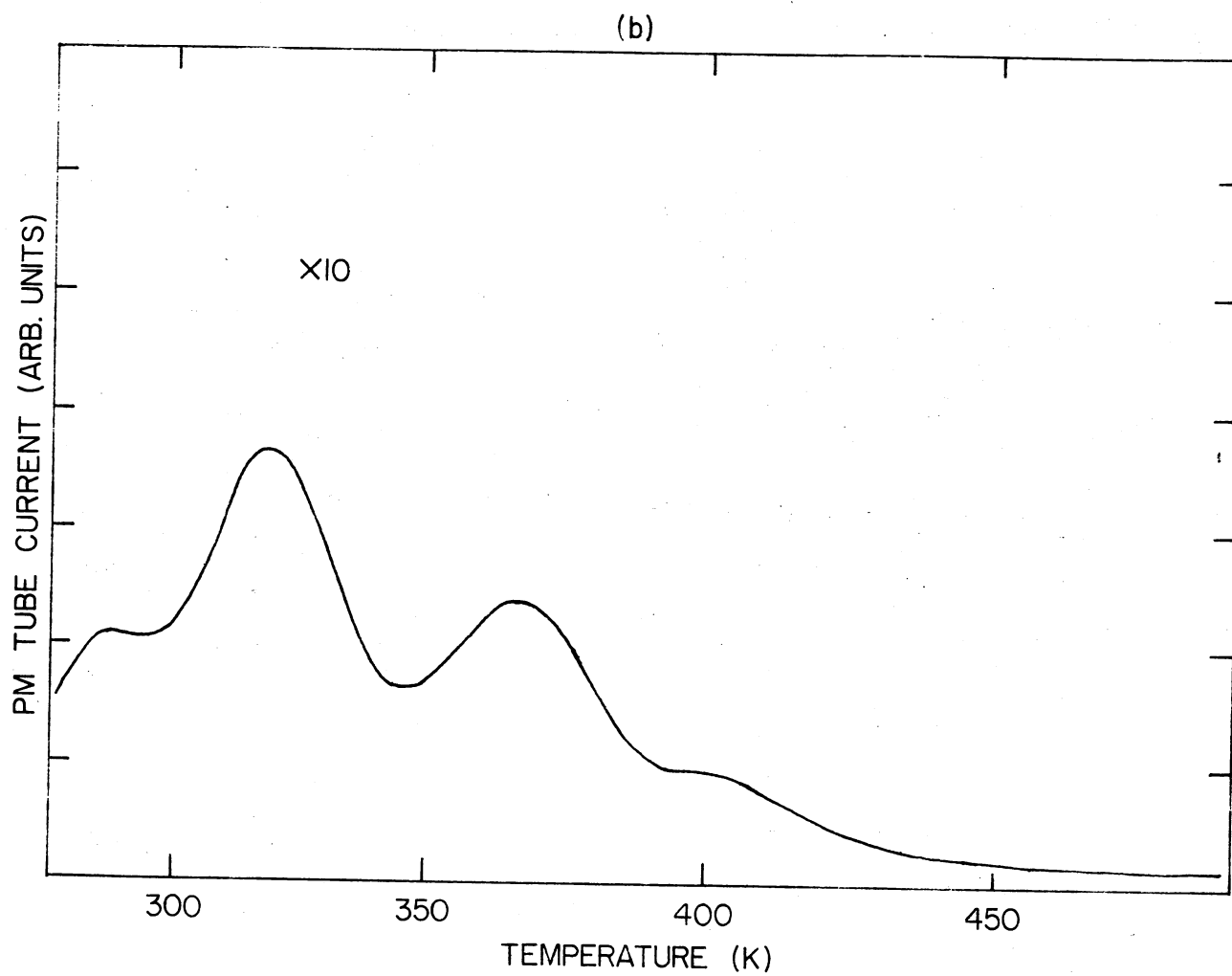


Figure 28. Thermoluminescence Obtained for  $\text{NaMgF}_3$  Following Electron Irradiation at 77 K: (b) 273 K  $\rightarrow$  485 K

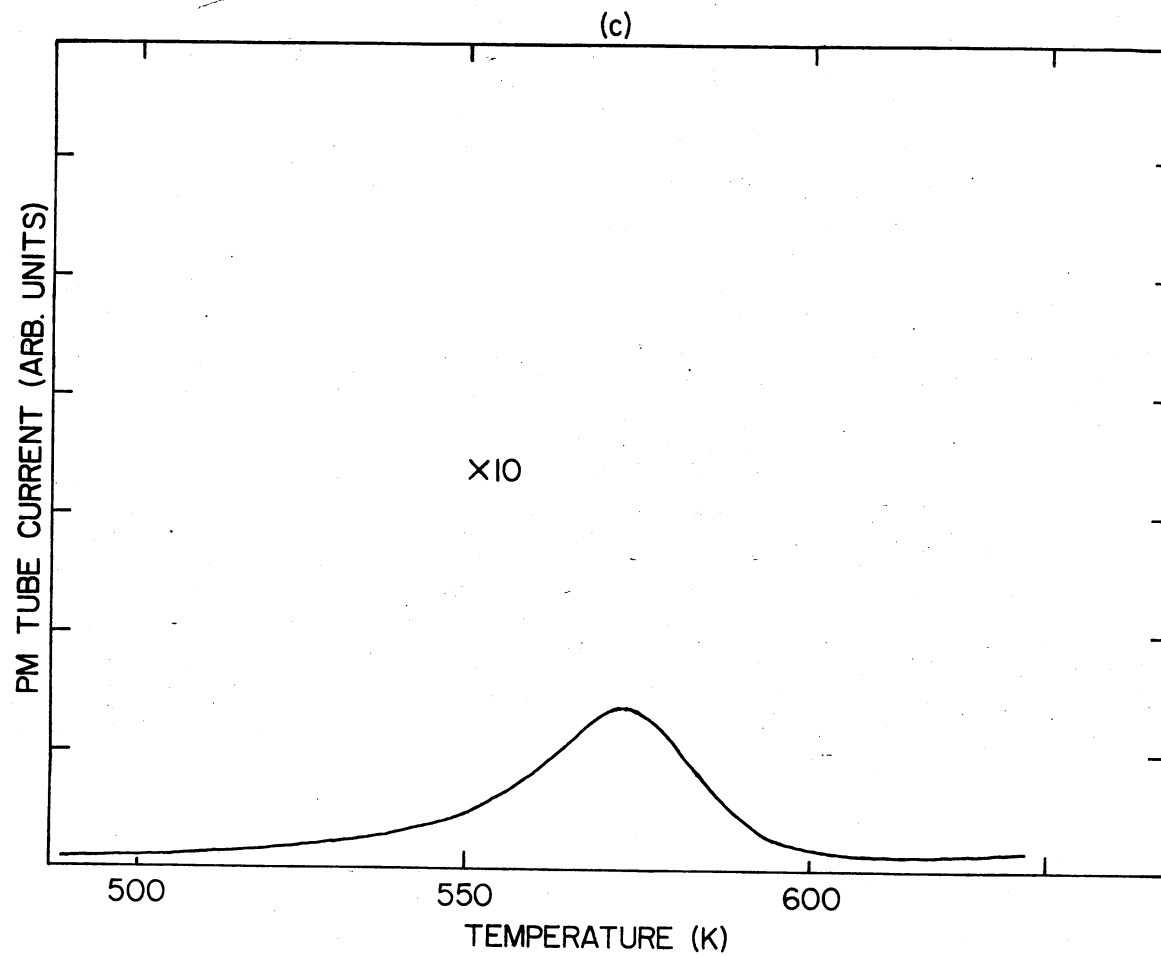


Figure 28. Thermoluminescence Obtained for  $\text{NaMgF}_3$  Following Electron Irradiation at 77 K: (c) 485 K  $\rightarrow$  600 K

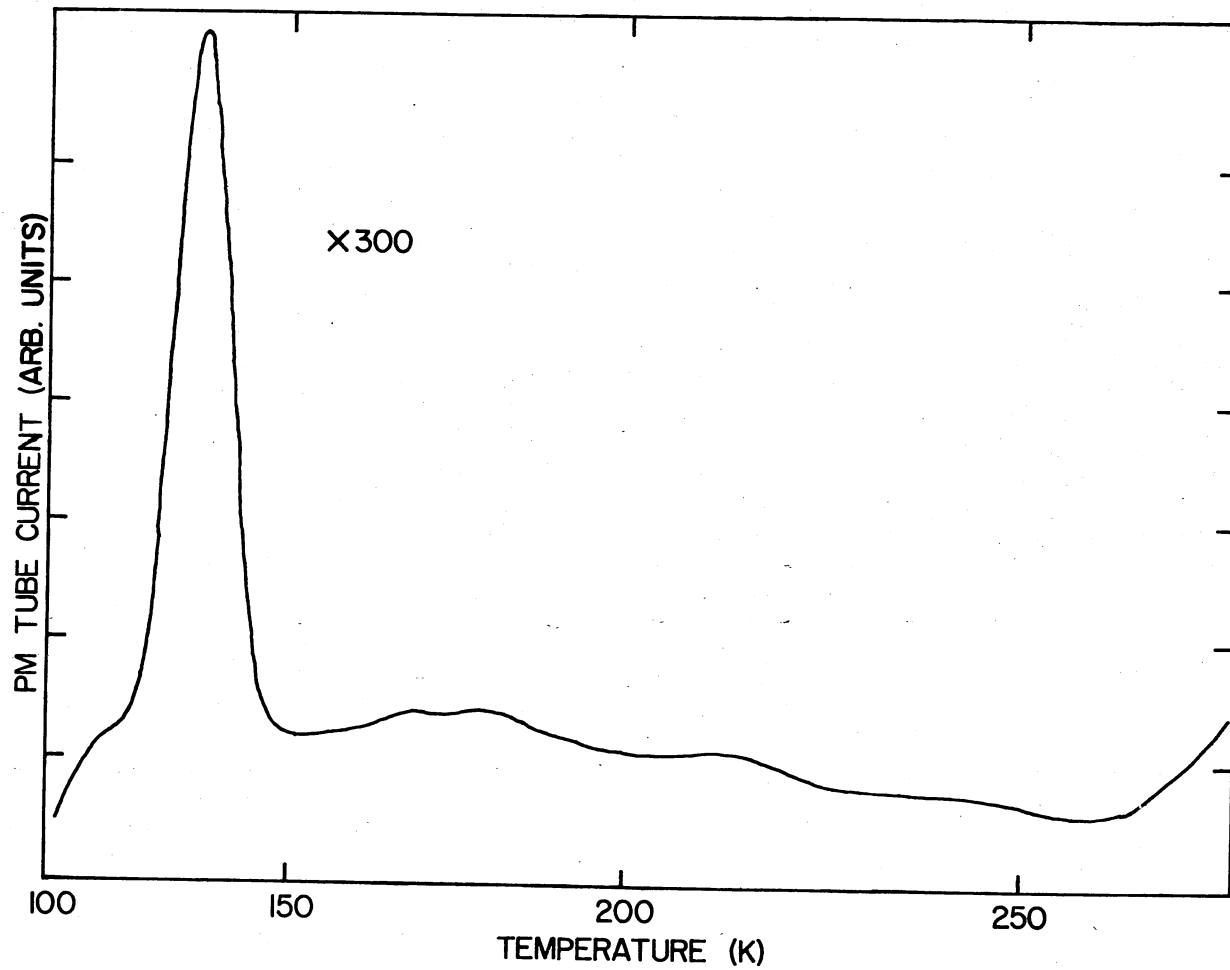


Figure 29. Thermoluminescence Obtained for  $\text{NaMgF}_3$  Following Electron Irradiation at 77 K and Application of uv Light (100 K - 273 K)

## CHAPTER IV

### ANALYSIS AND DISCUSSION

A complete analysis of the experimental results will be given in this chapter. As in the previous chapter, this presentation will be divided into two separate parts with the first section being devoted to an analysis of the  $\text{Mn}^{2+}$ -fluorine vacancy ESR spectrum and a discussion of the complementary TSL measurements. The second part will be concerned primarily with an analysis of the ESR spectra associated with self-trapped holes in  $\text{NaMgF}_3$ .

#### A. Analysis of the $\text{Mn}^{2+}$ -Fluorine Vacancy Center

In the as-grown  $\text{KMgF}_3:\text{Mn}$  crystals only the cubic  $\text{Mn}^{2+}$  ESR spectrum was present, and no additional spectra were observed to grow in as a result of room temperature electron irradiation. However, following the room temperature irradiation, a new spectrum appeared (Figure 12) when samples were exposed to an unfiltered mercury lamp at 77 K. This data was immediately recognized as arising from  $\text{Mn}^{2+}$  since the spectrum was characterized by a division into six-line hyperfine groups with an associated splitting of  $\sim 100$  gauss typical for this ion. Since irradiation of a crystal was necessary to produce the spectrum, then it could not have arisen simply from a change in the electronic structure of a nearby associated defect, present in the crystal prior to irradiation, otherwise a perturbed  $\text{Mn}^{2+}$  ESR spectrum would have been observed

prior to irradiation. The spectrum required relatively large doses to produce and slowly increased in intensity with increasing duration of the initial room temperature electron irradiation. This indicated that it could not be due to a straight ionization process but must have its origin in an intrinsic defect growing in slowly with irradiation. This was further substantiated by the fact that the spectrum could be generated in the more heavily doped (8000 ppm) crystals so that the defect responsible for the spectrum was characteristic of  $\text{KMgF}_3:\text{Mn}$  crystals in general and not a peculiarity of the more lightly doped (400 ppm) boules. Furthermore, the spectrum was shown to have  $[100]$  symmetry, since the maximum splitting of the lines was observed to occur for orientations of the applied magnetic field parallel to  $[100]$  directions. This fact indicated that the defect associated with the  $\text{Mn}^{2+}$  ion was situated in a  $[100]$  direction relative to the  $\text{Mn}^{2+}$ .

The above observations were consistent with a model in which the initial room temperature irradiation creates an F center next to a  $\text{Mn}^{2+}$  ion, and the subsequent uv bleach at 77 K removes the F electron leaving a  $\text{Mn}^{2+}$ -fluorine vacancy center. The proposed model also explained the necessity of pre-irradiating at room temperature since exposure to uv light alone will not produce F centers in this material. Since the formation of F centers was necessary, then any irradiation procedure which produced F centers should have led to the production of the observed ESR spectrum. This was supported by the fact that  $\gamma$  irradiation at room temperature followed by a 77 K uv bleach as well as direct electron irradiation at 77 K would produce the ESR spectrum. The direct production at 77 K was not nearly as efficient as the combined room temperature irradiation and 77 K bleach, but this was expected since in



this irradiation procedure both F center production and ionization were necessary to produce the fluorine vacancies.

The ESR pulse anneal study yielded a thermal decay temperature of approximately 185 K for the  $\text{Mn}^{2+}$ -fluorine vacancy spectrum (Figure 16). From a study of M center formation in  $\text{KMgF}_3$ , Riley (64) has shown that fluorine vacancies become mobile in the temperature range 180 K - 200 K. The results of the present study provide independent confirmation of this fact when one interprets the thermal decay of the  $\text{Mn}^{2+}$ -fluorine vacancy center as resulting from movement of the vacancy away from the  $\text{Mn}^{2+}$  ion. Thus the study of similar defects in other halide compounds may be of use in the determination of unknown vacancy mobility temperatures.

Following an anneal to room temperature, the  $\text{Mn}^{2+}$ -fluorine vacancy ESR spectrum could be regenerated if an additional uv bleach was performed at 77 K (Figure 17). This regeneration effect was in fact due primarily to an incomplete ionization of the originally produced  $\text{Mn}^{2+}$ -F center pairs. However, since after attaining the saturation ESR intensity and then warming the sample to room temperature, approximately 10% of the maximum ESR intensity could be regenerated by a subsequent 1 hr. 77 K uv bleach, then a partial reformation of F centers in the process of warming the sample to room temperature could occur.

Thermoluminescence was generated following the same irradiation procedure that produced the  $\text{Mn}^{2+}$ -fluorine vacancy ESR spectrum and possessed a similar regeneration behaviour (Figure 18). However, none of the TSL glow peaks coincided with the thermal decay temperature (185 K) of the  $\text{Mn}^{2+}$ -fluorine vacancy ESR spectrum. This lack of correlation implies that no significant electron-hole recombination accom-

panies the disappearance of the ESR spectrum and thus lends further support to the proposed vacancy migration mode of thermal decay. The TSL glow peaks occurring below the thermal instability temperature of the  $\text{Mn}^{2+}$ -fluorine vacancy center must be associated with a hole release process, since thermally released electrons would have partially annihilated the  $\text{Mn}^{2+}$ -fluorine vacancy ESR spectrum and this was not observed.

The ESR spectrum associated with the  $\text{Mn}^{2+}$ -fluorine vacancy center exhibits a strong crystal field splitting. The two more widely split groups of lines in Figure 13 were observed to have turning points for  $\langle 100 \rangle$  orientations of the applied magnetic field. The  $\langle 100 \rangle$  orientations also gave the best resolution of the superhyperfine structure (Figure 14). These results implied that the principal axes of the crystal field interaction must be the three mutually perpendicular  $\langle 100 \rangle$  crystalline directions. In the initial ESR analysis of the  $\text{Mn}^{2+}$ -fluorine vacancy spectrum, it was assumed that the crystal field symmetry was perfectly axial. However, a good fit of the experimental line positions to a simple axial spin Hamiltonian could not be achieved. For a complete description of the ESR spectrum, the following spin Hamiltonian was necessary:

$$\begin{aligned}
 H = & g\beta\vec{H}\cdot\vec{S} + \frac{a}{6}[S_x^4 + S_y^4 + S_z^4 - \frac{1}{5}S(S+1)(3S^2 + 3S - 1)] \\
 & + D[S_z^2 - \frac{1}{3}S(S+1)] + E[S_x^2 - S_y^2] + \frac{F}{180}[35S_z^4 - 30S(S+1)S_z^2 \\
 & + 25S_z^2 - 6S(S+1) + 3S^2(S+1)^2] + A\vec{I}\cdot\vec{S} \quad (12)
 \end{aligned}$$

The first term is the Zeeman splitting, and the terms involving the parameters  $a$ ,  $D$ ,  $E$ , and  $F$  arise from the splitting of the  ${}^6S_{5/2}$  ground

state of  $Mn^{2+}$  by the crystalline electric field. The parameter  $a$  represents the cubic field contribution while  $D$  and  $F$  represent the axial field contributions of second and fourth degree respectively. The parameter  $E$  represents the non-zero rhombic contribution for symmetries lower than axial. The last term,  $A\vec{I}\cdot\vec{S}$ , gives the hyperfine interaction between the unpaired electrons and the manganese nucleus.

Rewriting the spin Hamiltonian in terms of the operators  $S_z$ ,  $S_+$ , and  $S_-$  gives:

$$\begin{aligned}
 H = & g\beta H_z S_z + \frac{(a + 2F/3)}{120} \left[ 35S_z^4 - \frac{475}{2} S_z^2 + \frac{2835}{16} \right] \\
 & + D \left[ S_z^2 - \frac{35}{12} \right] + \frac{a}{48} [S_+^4 + S_-^4] + \frac{E}{2} [S_+^2 + S_-^2] \quad (13) \\
 & + \frac{1}{2} g\beta [H_x - iH_y] S_+ + \frac{1}{2} g\beta [H_x + iH_y] S_- \\
 & + \frac{A}{2} [I_+ S_- + I_- S_+] + AI_z S_z
 \end{aligned}$$

where  $H_x$ ,  $H_y$ , and  $H_z$  are the components of the magnetic field along the principal axes of the center. Note that the term  $\frac{a}{48} [S_+^4 + S_-^4]$  connects states which are well separated in energy and results in only a small perturbation on the energy levels. The significant fourth order parameter is  $(a + 2F/3)$  which can be determined with much greater certainty than either  $a$  or  $F$  individually.

Twelve transformations were necessary in order to take the principal axes for the crystal field interaction into the fixed crystal axes. These transformations were

$$\begin{aligned}
T = & \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & -1 & 0 \\ 0 & 0 & -1 \\ 1 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{bmatrix}, \\
& \begin{bmatrix} 0 & 0 & -1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}, \begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix}, \\
& \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & -1 \\ -1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \\
& \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}.
\end{aligned}
\tag{14}$$

The crystal axes were then transformed into the magnetic field system for an arbitrary orientation of H through the use of

$$R = \begin{bmatrix} \cos \theta & -\sin \theta \sin \phi & \sin \theta \cos \phi \\ 0 & \cos \phi & \sin \phi \\ -\sin \theta & -\cos \theta \sin \phi & \cos \theta \cos \phi \end{bmatrix}.
\tag{15}$$

The spin Hamiltonian was expressed in the form of a 36 x 36 matrix by using the  $|m_S, m_I\rangle$  basis set where  $S = 5/2$  and  $I = 5/2$ . The determination of the parameters was accomplished through repeated diagonalization of the 36 x 36 matrix (Appendix E). The computer program (Appendix A) was designed to systematically increment the Hamiltonian para-

meters until a "best" fit to experimentally measured line positions in the [100] spectrum was obtained. The values for the calculated parameters are:

$$\begin{aligned}
 g &= 2.0018 \pm 0.001 \\
 D &= -588.4 \pm 1 \text{ gauss} \\
 |E| &= 115.5 \pm 0.5 \text{ gauss} \\
 a + 2F/3 &= 3.5 \pm 1 \text{ gauss} \\
 A &= -100.3 \pm 1.5 \text{ gauss}
 \end{aligned}
 \tag{16}$$

The signs of the parameters were determined by assuming that A was negative. The tolerances were arrived at by assuming that the magnetic field measurements were accurate to within  $\pm 1$  gauss. A separate program (Appendix B) was used to predict line positions.

The most striking feature of the  $\text{Mn}^{2+}$ -fluorine vacancy ESR spectrum is the deviation from purely axial symmetry. Such a deviation is not unreasonable when one considers the degree of openness in the cubic perovskite structure (Figure 3). The effective charge of the fluorine vacancy exerts a repulsive electrostatic force on the  $\text{Mn}^{2+}$  ion. This in turn causes the axial fluorine on the opposite side of the  $\text{Mn}^{2+}$  ion from the vacancy to be displaced slightly in one of four equivalent  $\langle 100 \rangle$  directions at right angles to the defect axis. These four equivalent  $\langle 100 \rangle$  directions are relatively open in the cubic perovskite structure and thus easily allow such displacements. This suggested structural model is illustrated in Figure 30. Further investigation of the partially resolved fluorine superhyperfine structure by means of the ENDOR technique may provide additional evidence to support the proposed model.

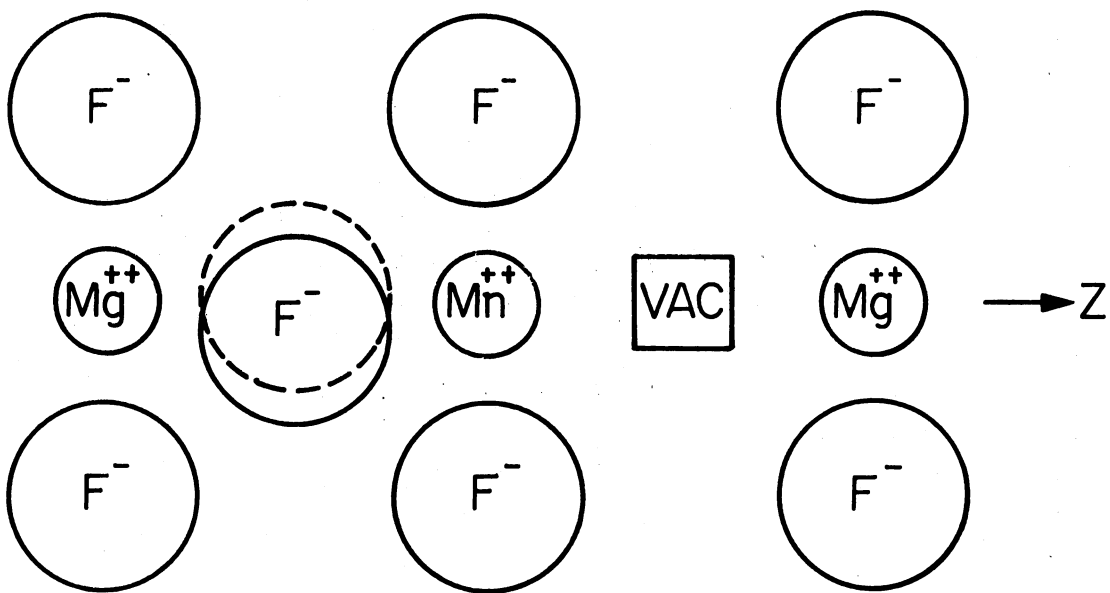


Figure 30. Defect Model for the  $\text{Mn}^{2+}$ -Fluorine Vacancy Center

## B. Analysis of Intrinsic $V_K$ Centers in $\text{NaMgF}_3$

### [110]-Type $V_K$ Centers

As stated in Chapter I, the  $\text{MgF}_6$  octahedra in  $\text{NaMgF}_3$  are expected to remain regular with fluorine-fluorine separations comparable to those found for octahedra in  $\text{KMgF}_3$ . One therefore would expect  $V_K$  centers to be formed in  $\text{NaMgF}_3$  which are morphologically the same as those found in  $\text{KMgF}_3$  in that they are formed between fluorines on an octahedron. This has been confirmed by the analysis, which is given in this section of the experimental data obtained following electron irradiation at 77 K; and the self-trapped holes so formed are labeled [110]-type  $V_K$  centers by analogy with the symmetry of comparable defects in the ideal structure ( $\text{KMgF}_3$ ). Before discussing the details involved in the diagonalization of the matrix representation of the spin Hamiltonian and the sophisticated computer programming techniques used therein, a presentation of the evolution of the logic which resulted in the final model for the spectra will be given.

In the crystal structure of  $\text{NaMgF}_3$  (see Chapter I), the tilting of the individual octahedra may be understood in terms of a resolution of the resultant tilt into two distinct rotations. The initial rotation ( $\omega$ ) is about a tetrad axis parallel to an [010] direction. This is followed by a rotation ( $\phi$ ) about a diad axis of the octahedron. In a specific layer of octahedra in an (010) plane of the crystal, there are two inequivalent octahedra distinguishable by the sense ( $\pm$ ) of each component rotation relative to the ideal (untilted) structure. The octahedra in a mirror layer above or below this specified layer will individually have the same sense of rotation about the tetrad axis

([010]), but respectively opposite rotations about a diad axis. Thus there are four distinguishable octahedra which are individually characterized by the sense of each rotation. These four octahedra are shown in segregated form in Figure 31. The labels  $A^{++}$  and  $B^{--}$  are assigned to the dissimilar octahedra found in the layer illustrated in Figure 4, and the labels  $C^{+-}$  and  $D^{-+}$  are assigned to the inequivalent octahedra in the mirror layer directly above (or below) this layer. The first superscript to the right of each letter indicates the sense ( $\pm$ ) of the tetrad rotation, and the second superscript indicates the sense of the diad rotation. For example consider the  $A^{++}$  type of octahedron. The rotation about the tetrad axis perpendicular to the plane of the figure is counterclockwise (+). This is followed by the diad rotation (+) which lifts two fluorines out of the plane of the figure (denoted by +) and lowers two fluorines through the plane of the figure (denoted by -). In the orthorhombic crystal structure, the  $C^{+-}$  type of octahedron is found directly above the  $A^{++}$  type and has the same tetrad rotation, but the diad rotation is constrained, by the octahedra above and below the  $C^{+-}$ , to have an opposite sense (-).

Of the twelve possible [110]-type  $V_K$  centers that can potentially be formed on an octahedron, there are at most six that can be inequivalent for an arbitrary orientation of the applied magnetic field, i.e., there can be no more than six different angles between the applied magnetic field and the twelve  $V_K$  center molecular axes. This simplification enables one to consider without loss of generality only those  $V_K$  centers formed on the upper half of each octahedron. These six inequivalent  $V_K$  centers are labeled 1-6 in Figure 31.

Note that for orientations of the applied magnetic field parallel



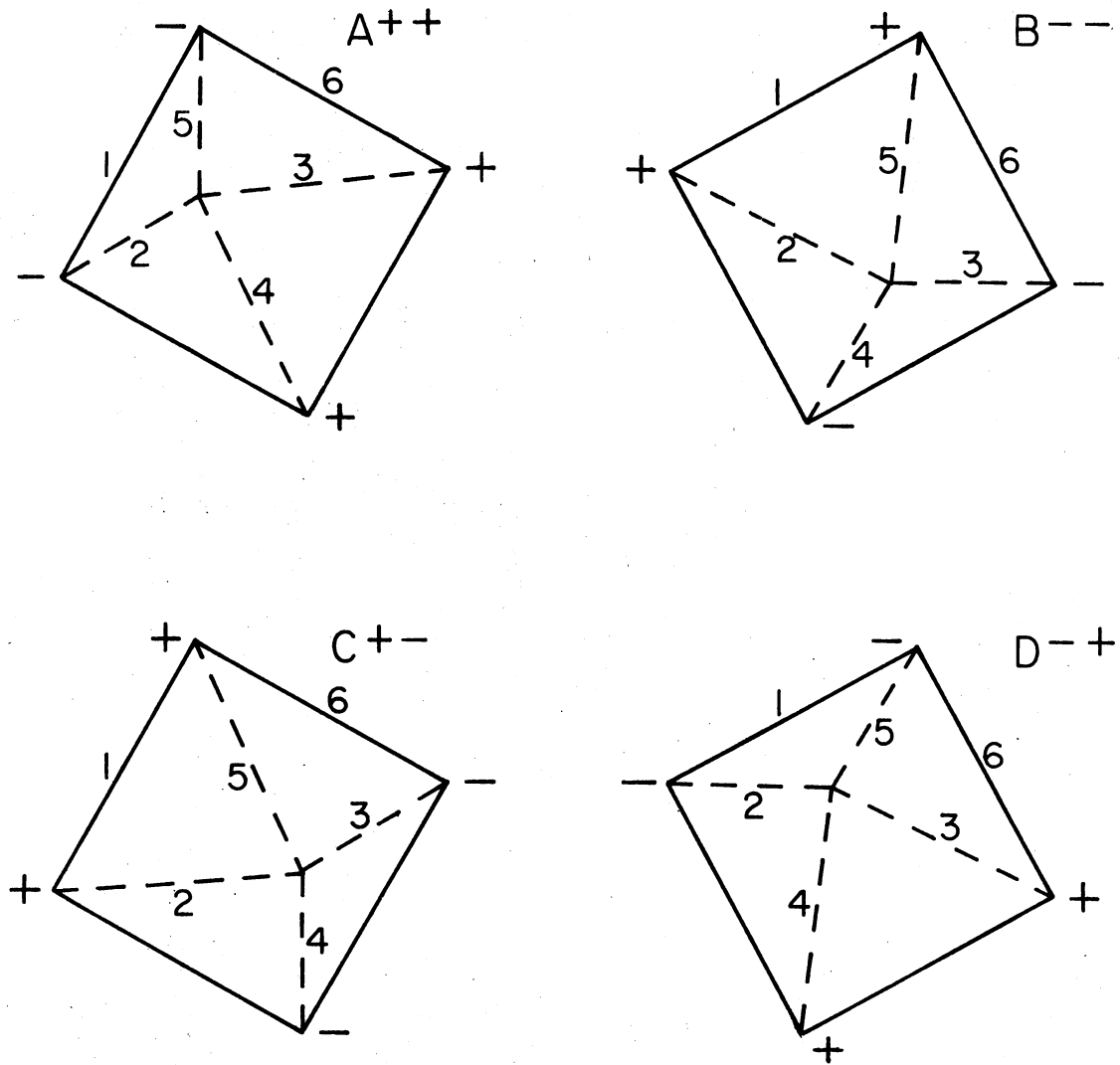


Figure 31. The Four Types of Octahedra in the Crystal Structure of  $\text{NaMgF}_3$

to the tetrad axis, which is perpendicular to the plane of Figure 31, that the magnitude of the tetrad rotation ( $\omega$ ) will have only second order effects on the angles between the magnetic field and the  $V_K$  center molecular axes. Thus the ESR spectrum obtained for this orientation of the magnetic field will contain no information pertinent to the evaluation of  $\omega$ . Furthermore, all four types of octahedra (A, B, C, D)\* will be equivalent for this orientation of the magnetic field so that in the analysis of the ESR data it is necessary to consider only one octahedron. This equivalency may be explicitly expressed in terms of the individual  $V_K$  centers on each octahedron as:

$$\begin{aligned}
 & H \parallel [010] \\
 & 1A = 1B = 1C = 1D \\
 & 2A = 3B = 3C = 2D \\
 & 3A = 2B = 2C = 3D \\
 & 4A = 5B = 5C = 4D \\
 & 5A = 4B = 4C = 5D \\
 & 6A = 6B = 6C = 6D
 \end{aligned} \tag{17}$$

where the = sign is interpreted to mean the same angle between the  $V_K$  center molecular axis and the magnetic field. An additional simplification occurs since, for example, on the A-type octahedron  $2A = 5A$  and  $3A = 4A$  for orientations of the magnetic field parallel to  $[010]$ .

For orientations of the magnetic field perpendicular to the tetrad axis and parallel to  $[100]$  (or  $[001]$  equivalently), the A-type octahedra

---

\* The superscripts ( $\pm$ ) serve no further explanatory purpose and will henceforth be deleted.

will be equivalent to the C-type octahedra, and the B-type octahedra will be equivalent to the D-type octahedra. In terms of the individual  $V_K$  centers, these equivalencies may be written:

$$\begin{array}{ccc}
 & H \parallel [100] & \\
 \hline
 A = C & & B = D \\
 1A = 1C & & 1B = 1D \\
 2A = 3C & & 2B = 3D \\
 3A = 2C & & 3B = 2D \\
 4A = 5C & & 4B = 5D \\
 5A = 4C & & 5B = 4D \\
 6A = 6C & & 6B = 6D
 \end{array} \tag{18}$$

Thus it is necessary to consider only the A and B-type octahedra in the analysis of the ESR data for orientations of the magnetic field parallel to the [100] or [010] pseudocubic directions. The analysis of the ESR spectra was restricted to these two orientations, since any other orientations would have not only yielded extremely complicated spectra, but also would have supplied no significant additional information.

Consider now the structures of the octahedra (A and B) of interest in the analysis relative to their specific placements within the environment of the pseudocubes defined by the  $Na^+$  ions (Figure 32). It is clear that not all of the  $V_K$  centers that can potentially be formed will have the same environment with respect to the  $Na^+$  ions or nearest fluorine ions. However, the defects may be grouped together or subdivided into classes in which the environments are essentially the same. There will be six such classes as given in Table III. This class scheme was devised in anticipation of the expected result that the

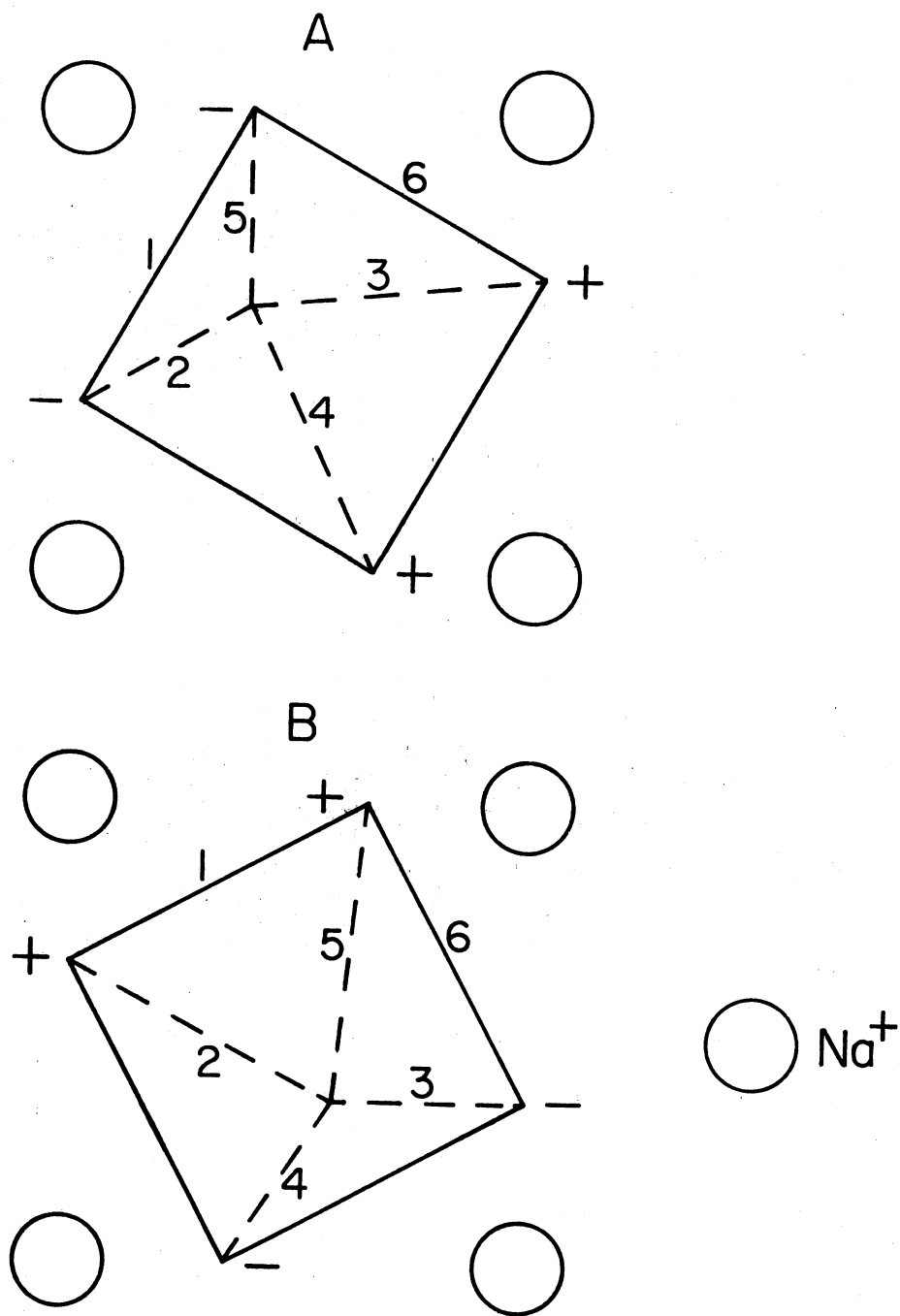


Figure 32. The Two Inequivalent Octahedra in  $\text{NaMgF}_3$   
 Necessary for the Analysis of the  
 $[110]$ -Type  $V_K$  Center ESR Spectra

values of the spin Hamiltonian parameters would be slightly different for each class. Having made this division of  $V_K$  centers, the method will now be given by which the correspondence was made between the individual lines in the ESR spectra and the classified defects.

TABLE III  
CLASSES OF [110]-TYPE  $V_K$  CENTERS IN  $\text{NaMgF}_3$

Class	I	II	III	IV	V	VI
Elements	1A, 1B	6A, 6B	2A, 3B	3A, 2B	4A, 5B	5A, 4B

The spin Hamiltonian appropriate for the analysis of ESR spectra associated with self-trapped holes is given by (13)

$$\hat{H} = \beta' \vec{H} \cdot \vec{g} \cdot \vec{S} + \vec{I}_1 \cdot \vec{A}_1 \cdot \vec{S} + \vec{I}_2 \cdot \vec{A}_2 \cdot \vec{S} - g_{\text{NF}} \beta_N \vec{H} \cdot (\vec{I}_1 + \vec{I}_2) \quad (19)$$

where

$$\beta' = \text{Bohr magneton} = 9.274096 \times 10^{-21} \frac{\text{erg}}{\text{Gauss}}$$

$\vec{S}$  = the spin operator

$\vec{g}$  = the g tensor

$\vec{H}$  = the direction and magnitude of the applied magnetic field

$\vec{A}_i$  = the hyperfine tensor representing primarily dipole-dipole interaction between the unpaired spin and the two fluorine nuclei, as well as an isotropic Fermi contact interaction

$\vec{I}_i$  = the nuclear spin operator

$g_{NF} \beta_N$  = the gyromagnetic ratio pertinent to fluorine nuclei times Plank's constant  $h$  divided by  $2\pi = 2.654144 \times 10^{-20}$  erg/Gauss

and

$|\vec{A}_1| = |\vec{A}_2|$  by symmetry.

The values of the spin Hamiltonian parameters obtained for the intrinsic  $V_K$  center in  $KMgF_3$  (20) are given in Table IV.

TABLE IV  
SPIN HAMILTONIAN PARAMETERS FOR THE INTRINSIC  
SELF-TRAPPED HOLE IN  $KMgF_3$

$g_x = 2.024$	$A_x = 160$ MHz
$g_y = 2.018$	$A_y = 160$ MHz
$g_z = 2.0024$	$A_z = 2479$ MHz
(Bent Bond) = $7^\circ$	

If one initially assumes that values of the spin Hamiltonian parameters for the  $[110]$ -type  $V_K$  centers in  $NaMgF_3$  are equal to those for the intrinsic self-trapped holes in  $KMgF_3$ , then the ESR spectra arising from the  $[110]$ -type defects may be roughly predicted if one uses the estimated room temperature tilt angles of  $\omega = 8.88^\circ$  and  $\phi = 12.95^\circ$ .

The results of this initial calculation are given in Table V for

TABLE V  
 PREDICTED LINE POSITIONS (IN GAUSS) FOR THE [110]-TYPE  $V_K$  CENTERS  
 IN  $\text{NaMgF}_3$  USING  $\text{KMgF}_3$   $V_K$  CENTER SPIN HAMILTONIAN PARAMETERS

$V_K$	H    [100]			
	1	2	3	4
1A	2663.47	3167.86	3335.41	3690.36
2A	2683.60	3163.59	3337.02	3659.62
3A	2511.86	3202.34	3322.93	3937.68
4A	2938.45	3236.83	3250.75	3351.72
5A	3091.34	3144.20	3235.44	3287.08
6A	2530.69	3197.79	3324.49	3904.69
1B	2517.34	3200.36	3323.93	3927.86
2B	2532.39	3196.73	3325.17	3901.57
3B	2657.76	3168.42	3335.46	3699.01
4B	2951.40	3234.70	3250.24	3339.75
5B	3095.47	3140.48	3236.71	3285.53
6B	2673.77	3164.99	3336.75	3674.34
	H    [010]			
1A, 1B	3105.91	3130.13	3235.28	3286.44
2A, 3B	2521.63	3199.79	3323.89	3920.44
3A, 2B	2672.04	3165.85	3336.23	3677.13
4A, 5B	2672.02	3165.85	3336.23	3677.16
5A, 4B	2521.64	3199.79	3323.89	3920.41
6A, 6B	2940.56	3237.19	3250.06	3350.61

orientations of the magnetic field parallel to  $[010]$  and  $[100]$ . If one focuses attention on the farthest split lines associated with each  $V_K$  center, i.e., neglecting columns 2 and 3, the outer lines in the ESR spectra (Table II, lines 2, 3, 4, 11, 12, 13, 15, 16, 17, 18, 19, 23, 24, 25, 26, 27 and 28) may be roughly matched to the predicted line positions. Consider first lines 2, 3, 4, 11, 12, and 13 in the spectrum obtained (Figure 25) for orientations of the magnetic field parallel to the tetrad axis ( $[010]$ ). The following correspondence can be made:

$$(H \parallel [010])$$

$V_K$	ESR Lines
1A,1B	(indeterminate)
2A,3B	2,13
3A,2B	3,12
4A,5B	3,12
5A,4B	2,13
6A,6B	4,11

(20)

Since the 1A and 1B  $V_K$  center molecular axes remain perpendicular to the magnetic field for this orientation, they will give rise to lines in the center portion of the spectrum and therefore no definite initial correspondence could be made. Next, consider lines 15, 16, 17, 18, 19, 23, 24, 25, 26, 27, and 28 in the spectrum obtained for orientations of the magnetic field perpendicular to the tetrad axis (Figure 26). The correspondence here is not as obvious as for the previous case, but a close comparison of Table V with the measured field values will yield the following initial assignments:



(H || [100])

$V_K$	ESR Lines	
1A	17,26	
1B	15,28	
2A	18,25	
2B	16,27	
3A	15,28	
3B	17,26	
4A	19,24	(21)
4B	19,24	
5A	23	
5B	23	
6A	16,27	
6B	18,25	

Thus using these correspondences, the outer lines in the ESR spectra for orientations of the applied magnetic field parallel and perpendicular to the tetrad axis were fitted to exactly by varying the spin Hamiltonian parameters and the two tilt angles. This fitting was performed for each  $V_K$  center class separately since the values of the adjusted parameters were expected to vary from class to class. The results of these calculations are given in Table VI. In order to insure that sufficient information was provided in the fitting calculations to properly determine the parameters, the number of parameters was effectively reduced from eight to six by setting the x and y components of the  $\vec{g}$  and  $\vec{A}$  tensors equal to each other within the iteration scheme used in the calculations. This was a reasonable assumption since these components are typically quite close in value (65). In particular for class I, these parameters ( $g_x, g_y, A_x, A_y$ ) were held constant since only four lines were fitted to. It is immediately discernable from Table VI that there is generally poor agreement between results obtained for the different classes. Furthermore, the values obtained for some parameters border on being unphysical, i.e., they are vastly different from

TABLE VI

INITIAL CALCULATION OF SPIN HAMILTONIAN PARAMETERS FOR  
EACH OF THE SIX CLASSES OF [110]-TYPE  
 $V_K$  CENTERS IN  $\text{NaMgF}_3$

$V_K$ Center Class	Lines Fitted to	$g_x = g_y$	$g_z$	$A_x = A_y$ (MHz)	$A_z$ (MHz)	$\omega$	$\phi$
I: 1A 1B	17,26 15,28	2.0250 (Not Varied)	2.0000	100 (Not Varied)	2557	14.35°	16.85°
II: 6A 6B	4,11,16,27 4,11,18,25	2.0250	2.0076	110	2499	15.6°	18.45°
III: 2A 3B	2,13,18,25 2,13,17,26	2.0241	2.0047	97	2534	6.85°	22.45°
IV: 3A 2B	3,12,15,28 3,12,16,27	2.0250	2.0040	135	2532	10.55	20.05°
V: 4A 5B	3,12,19,24 3,12,23	2.0160	2.0200	70	2572	9.15°	20.85°
VI: 5A 4B	2,13,23 2,13,19,24	2.0140	2.0030	~0	2602	14.35°	16.85°

the values obtained for other  $V_K$  centers (65). For example, the value of  $g_z$  for class V is significantly larger than that found for any other fluorine molecular ions. Note also that the values of the tetrad rotations ( $\omega$ ) calculated for classes III, IV, and V are approximately the same or less than the value of this angle calculated from room temperature lattice parameters ( $8.88^\circ$ ). However, in consideration of the fact that a continuous increase in the tilting of the octahedra occurs simultaneously with the decreasing size of the pseudocubic cell (Figure 6), a larger value for the 77 K tetrad rotation was expected. Finally, it must be noted that the simplicity of the ESR spectra made it necessary to assume a number of fortuitous degeneracies. For example, the 4A and 4B  $V_K$  centers are members of different classes (V and VI, respectively) and are therefore assumed to have slightly different spin Hamiltonian parameters, and yet both are assumed to give rise to lines 19 and 24 in the [100] ESR spectrum (Figure 26). Such degeneracies are expected to occur rather infrequently, and the number required to explain the spectrum was, therefore, unsatisfying. These results posed a dilemma which had to be resolved before confidence in the calculated parameters could be realized.

The first step in the resolution of the cited inconsistencies involved a reevaluation of the importance of the difference in the relative intensities of the lines in the ESR spectra. The constructed correspondence between the specific  $V_K$  centers and their line positions (Eq. 20) in the ESR spectrum indicated that the intensities of lines 2, 3, 12, and 13 should be identical and individually twice as intense as lines 4 and 11. As can be observed (Figure 25) this is not the case. Furthermore, lines 3, 5, 7 and 12 are broadened with linewidths ranging

from ~16 gauss to ~20 gauss, whereas the other lines in the spectrum are sharper with linewidths of less than ~15 gauss. Lines 17 and 26 in the [100] ESR spectrum (Figure 26) are also broadened and occur at nearly the same positions of magnetic field as lines 3 and 12 (Table II). The line broadening was not an orientation effect since the spectra reproduced exactly for a number of different measurements. The most logical explanation consistent with these facts was to assume that the broadened lines were not part of the [110]-type  $V_K$  center ESR spectra, but belong to other fluorine molecular ions which are formed as a consequence of the lower symmetry crystal structure. No model has been developed for these centers in this study. The loss of the broadened lines (3, 5, 7, 12, 17, and 26) for use in interpreting the [110]-type  $V_K$  center ESR spectra imposed the requirement that certain  $V_K$  center orientations were missing, since the only alternative would involve assuming an increase in the "accidental" degeneracies. The logic behind the assignments of the missing orientations will now be given.

For classes IV and V (see Table VI), lines 3 and 12 in the [010] ESR spectrum were the only possible choices for the assignments of the transitions associated with the 3A, 2B, 4A, and 5B  $V_K$  centers (compare Tables II and V). Thus if lines 3 and 12 are not part of the [110]-type  $V_K$  center ESR spectrum, then classes IV and V must be missing, i.e., the 3A, 2B, 4A, and 5B  $V_K$  centers are not present. If lines 17 and 26 in the [100] ESR spectrum are not associated with [110]-type  $V_K$  centers, then it would initially appear that classes I and III are missing. However, lines 15, 26, and 28 must be accounted for and therefore both classes cannot be absent. In fact, since lines 15 and 28 are associated with class I only, then this class must be present, and the

assignment of lines 17 and 26 to the 1A  $V_K$  must be modified. This can be done if one observes that this assignment was not as absolute as for the previously considered cases, and assignments of lines 18 and 25 to the 1A  $V_K$  are equally valid. Further justification for the choice of class I over class III may be obtained through a reexamination of Figure 25 and noting that lines 8 and 9 arise from  $V_K$  centers with their molecular axes  $\sim$  perpendicular to the applied field. The 1A and 1B  $V_K$  centers are the only possible defects which will exhibit this behaviour since, as can be seen in Figure 31, only the tetrad rotation will affect these  $V_K$  centers relative to orientations of the magnetic field parallel to [010] or [100].

Thus it is concluded that only three of the possible six classes of [110]-type  $V_K$  centers are present in  $\text{NaMgF}_3$  crystals following electron irradiation at 77 K. Even though only half of the  $V_K$  center orientations are present, all the outer lines in the spectra may be accounted for and only one degeneracy is assumed, namely the assignment of lines 18 and 25 to both 1A and 6B  $V_K$  centers. The missing orientations may be explained by considering the fact that the  $\text{Na}^+$  ions are shifted in the distorted low temperature crystal structure from their high symmetry positions in the cubic structure ( $\geq \sim 900^\circ\text{C}$ ). These small relative displacements may be sufficient to make some  $V_K$  center orientations preferentially unstable due to the repulsion of the effective positive charge of the self-trapped holes by the shifted  $\text{Na}^+$  ions. Thus when a [110]-type  $V_K$  center is formed at 77 K in one of the less stable orientations, it immediately makes a single jump to an orientation of lower energy on the octahedron. This reorientation must be limited to the octahedron on which the  $V_K$  center is initially formed since the relative

intensities of the ESR lines for the [110] and [100]-type  $V_K$  centers are always the same. Similar thermal reorientation behaviour has been observed to occur at  $\sim 120$  K for  $V_K$  centers in  $\text{RbCaF}_3$  (66). That compound has a tetragonal crystal structure at low temperatures, and the intrinsic self-trapped holes are divided into two classes. The ESR spectra associated with the intrinsic  $V_K$  centers in this latter material for orientations of the magnetic field parallel and perpendicular to the unique (tetrad) axis are shown in Figures 33 and 34.

The fact that only three classes of [110]-type  $V_K$  centers were present in crystals of  $\text{NaMgF}_3$  indicated that certain unknown distortions accompanying the formation of self-trapped holes in this material might exist. This may have been reflected in the result that realistic spin Hamiltonian parameters could not be calculated, however, the tilt angles calculated for the existing classes were not unreasonable (Table VI). It was further recognized that the fitting calculations could not independently distinguish between small changes of  $A_z$ ,  $\omega$ , and  $\phi$ .

Thus, since the spin Hamiltonian parameters were not expected to depart significantly from those obtained for  $V_K$  centers in  $\text{KMgF}_3$ , then these values (Table IV) were used in a final set of fitting calculations with only the tilt angles being allowed to vary. The results of these calculations are given in Table VII. The tilt angles for classes II and VI which gave the best prediction of the experimental line positions based on the preceding assumptions were determined first, and an average value for the diad rotation of  $18.5^\circ$  was obtained. Since the class I  $V_K$  centers were expected to be insensitive to the value of  $\phi$ , then the average value ( $18.5^\circ$ ) was assumed to be correct for this class and only the tetrad rotation was allowed to vary. The tilt angles calculated in

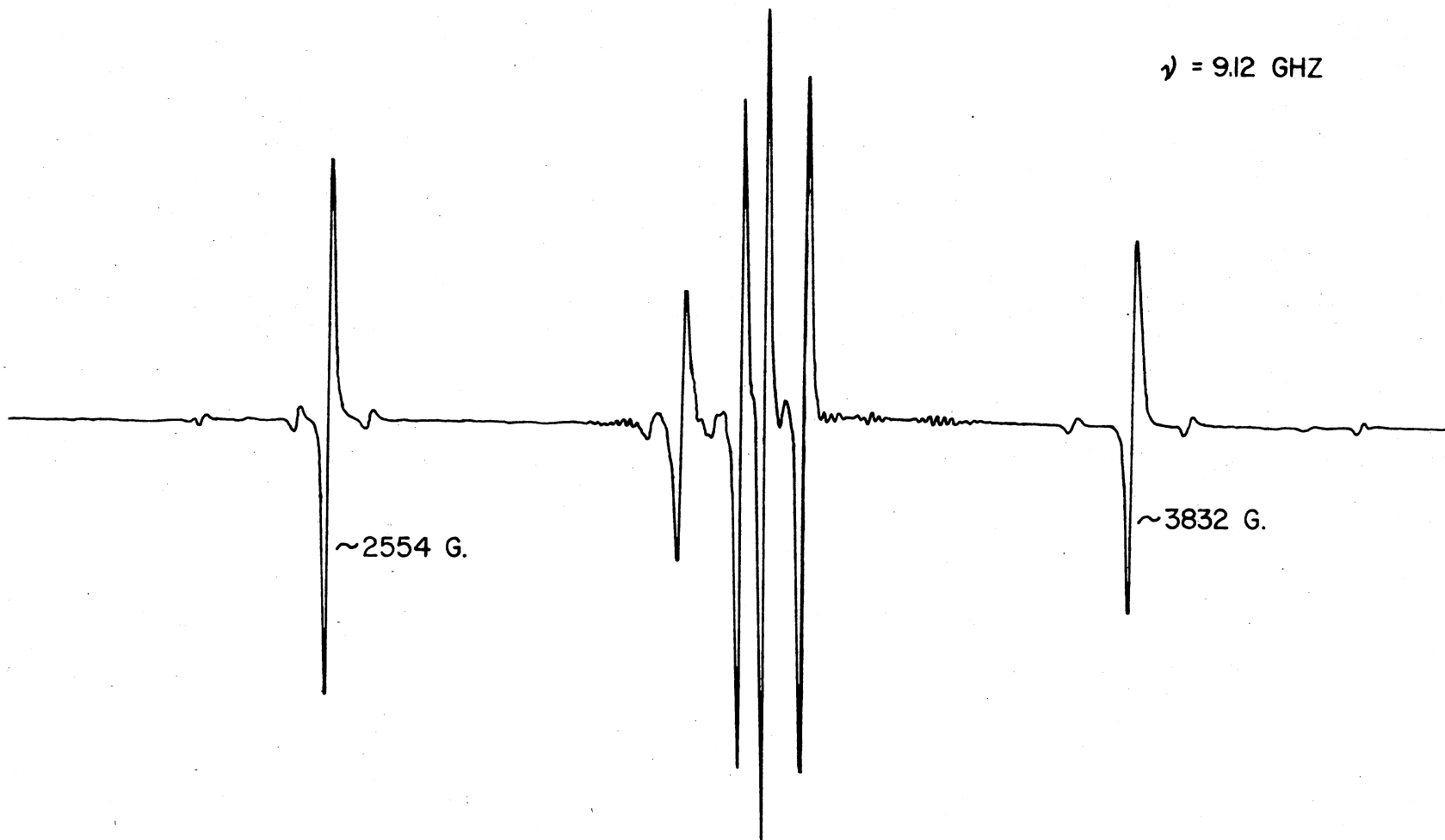


Figure 33. The ESR Spectrum for  $V_K$  Centers in  $\text{RbCaF}_3$  for H Parallel to the Unique Axis

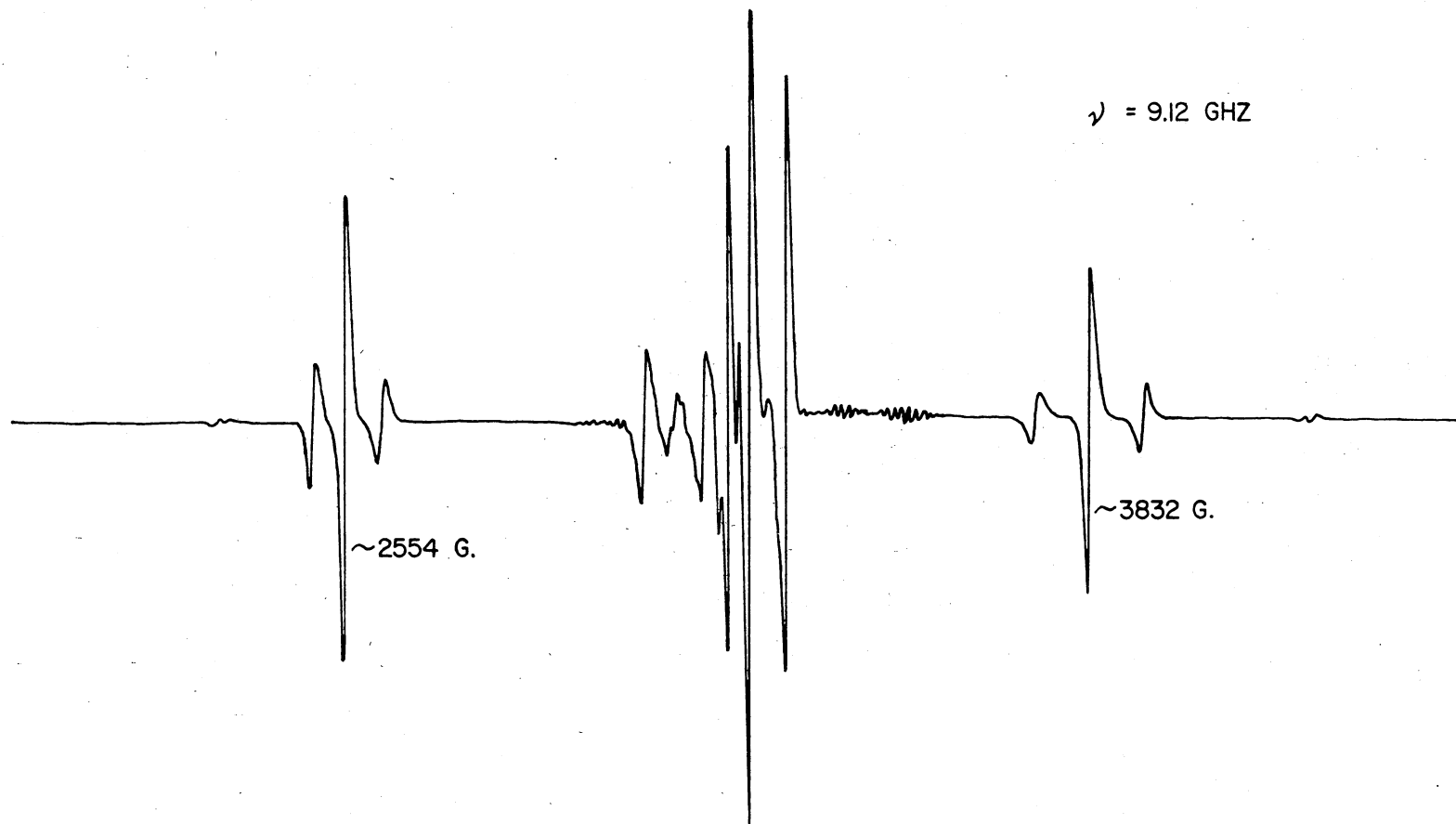


Figure 34. The ESR Spectrum for  $V_K$  Centers in  $\text{RbCaF}_3$  for H Perpendicular to the Unique Axis



TABLE VII

CALCULATION OF THE TILT ANGLES FOR THE OCTAHEDRA IN  $\text{NaMgF}_3$   
 BASED ON AN ANALYSIS OF THE  $V_K$  CENTER ESR SPECTRA

$V_K$ Center	Class	Lines Fit to	$\omega$ (Effective)	$\phi$ (Effective)
I:	1A	18,25	17.1°	18.5°
	1B	15,28		
II:	6A	4,11,16,27	15.5°	18.55°
	6B	4,11,18,25		
VI:	5A	2,13,23	15.3°	18.45°
	4B	2,13,19,24		
		Crystalline Tilt Angles:	$\omega = 16.0^\circ \pm 3^\circ$	$\phi = 18.5^\circ \pm 3^\circ$

this way were "effective" values since they also contained the inherent influence of distortions of the  $V_K$  center molecular axes away from the true lines of fluorine ions (i.e.  $[110]$ -type directions) within the octahedra. Thus the average values of these calculated angles were assumed to be representative of the true crystalline tilt angles, and liberal tolerances ( $\pm 3^\circ$ ) were placed on these values to account for the effect of the local distortion created by the  $V_K$  center itself. Using the tilt angles for each  $V_K$  center class separately, the line positions were predicted and are given in Table II (Chapter III). It can be seen that the agreement with the experimental field values is far from perfect but for a given resonance is generally within a line-width ( $\sim 15$  gauss).

At the heart of the calculations used in the analysis of the  $[110]$ -type  $V_K$  center ESR spectra is the spin Hamiltonian (Eq. 19) and the diagonalization of its matrix representation. There were five coordinate systems necessary for a complete treatment. These are defined as:

$x_g, y_g, z_g$ : g tensor principal axis system

$x_1, y_1, z_1$ : nucleus 1 hyperfine principal axis system

$x_2, y_2, z_2$ : nucleus 2 hyperfine principal axis system

$x_u, y_u, z_u$ : "untilted" crystal axes

$x, y, z$ : magnetic field system

Rewriting the Hamiltonian in the various coordinate systems:

$$\begin{aligned}
H = & \beta' (H_{x_g} g_x S_{x_g} + H_{y_g} g_y S_{y_g} + H_{z_g} g_z S_{z_g}) \\
& + I_{x_1}^1 A_{x_1} S_{x_1} + I_{y_1}^1 A_{y_1} S_{y_1} + I_{z_1}^1 A_{z_1} S_{z_1} \\
& + I_{x_2}^2 A_{x_2} S_{x_2} + I_{y_2}^2 A_{y_2} S_{y_2} + I_{z_2}^2 A_{z_2} S_{z_2} \\
& - g_{NF} \beta_N H (I_z^1 + I_z^2)
\end{aligned} \tag{22}$$

where the superscripts denote nuclei 1 and 2. It was then necessary to reexpress the Hamiltonian in the magnetic field system. This was accomplished through the use of the five different transformation matrices defined by:

$$BB = \begin{bmatrix} \cos \beta & 0 & \sin \beta \\ 0 & 1 & 0 \\ -\sin \beta & 0 & \cos \beta \end{bmatrix} \tag{23}$$

which transforms the nucleus 1 hyperfine system into the g tensor system ( $\beta \equiv$  bent bond),

$$BBB = \begin{bmatrix} \cos \beta & 0 & -\sin \beta \\ 0 & 1 & 0 \\ \sin \beta & 0 & \cos \beta \end{bmatrix} \tag{24}$$

which transforms the nucleus 2 hyperfine system into the g tensor system,

$$\begin{aligned}
 G = & \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \begin{bmatrix} 1/2 & \sqrt{2}/2 & -1/2 \\ -\sqrt{2}/2 & 0 & -\sqrt{2}/2 \\ -1/2 & \sqrt{2}/2 & 1/2 \end{bmatrix}, \begin{bmatrix} -1/2 & \sqrt{2}/2 & 1/2 \\ \sqrt{2}/2 & 0 & \sqrt{2}/2 \\ 1/2 & \sqrt{2}/2 & -1/2 \end{bmatrix}, \\
 & \begin{bmatrix} -1/2 & \sqrt{2}/2 & -1/2 \\ -\sqrt{2}/2 & 0 & \sqrt{2}/2 \\ 1/2 & \sqrt{2}/2 & 1/2 \end{bmatrix}, \begin{bmatrix} 1/2 & \sqrt{2}/2 & 1/2 \\ \sqrt{2}/2 & 0 & -\sqrt{2}/2 \\ -1/2 & \sqrt{2}/2 & -1/2 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{bmatrix} \quad (25)
 \end{aligned}$$

which transforms the g tensor system for  $V_K$  centers 1 through 6 (see Figure 31) into the g tensor system of  $V_K$  occupying position 1 ( $V_{K1}$ ),

$$T = \begin{bmatrix} \cos \phi \cos \omega & \sin \phi & \cos \phi \sin \omega \\ -\sin \phi \cos \omega & \cos \phi & -\sin \phi \sin \omega \\ -\sin \omega & 0 & \cos \omega \end{bmatrix} \quad (26)$$

which transforms the g tensor system of  $V_{K1}$  into the "untilted" crystal axes ( $\omega$  = tetrad rotation,  $\phi$  = diad rotation), and

$$R = \begin{bmatrix} \cos \phi & \sin \theta \sin \alpha & -\sin \theta \cos \alpha \\ 0 & \cos \alpha & \sin \alpha \\ \sin \theta & -\cos \theta \sin \alpha & \cos \theta \cos \alpha \end{bmatrix} \quad (27)$$

which transforms the "untilted" axis system into the magnetic field system for an arbitrary orientation of H. The complete transformation was thus of the form:

$$(G)(T)(R) = GTR$$

$$(BB)(G)(T)(R) = BBGTR$$

(28)

or

$$(BBB)(G)(T)(R) = BBBGTR$$

For example,

$$I_{x_1}^1 = BBGTR(1,1)I_x^1 + BBGTR(1,2)I_y^1 + BBGTR(1,3)I_z^1$$

After the Hamiltonian had been reexpressed in the magnetic field system, it was then necessary to introduce raising and lowering operators given by:

$$\begin{aligned} S_x &= (S_+ + S_-)/2 & I_x &= (I_+ + I_-)/2 \\ S_y &= (S_+ - S_-)/2i & I_y &= 1/2i(I_+ - I_-) \end{aligned} \quad (29)$$

The matrix representation of the spin Hamiltonian was then constructed using the eight basis vectors defined by:

$$|m_S m_{I_1} m_{I_2}\rangle$$

where

$$m_S = \pm \frac{1}{2} \equiv \text{spin magnetic quantum no's.}$$

$$\left. \begin{aligned} m_{I_1} &= \pm \frac{1}{2} \\ m_{I_2} &= \pm \frac{1}{2} \end{aligned} \right\} \equiv \text{nuclear magnetic quantum no's.} \\ \text{for nuclei 1 and 2}$$

which yields an 8 x 8 matrix of the form:

$$\begin{bmatrix}
 A(1,1) & & & & & & & & \\
 A(2,1) & A(2,2) & & & & & & & \\
 A(3,1) & 0 & A(3,3) & & & & & & \\
 0 & A(4,2) & A(4,3) & A(4,4) & & & & & \\
 A(5,1) & A(5,2) & A(5,3) & 0 & A(5,5) & & & & \\
 A(6,1) & A(6,2) & 0 & A(6,4) & A(6,5) & A(6,6) & & & \\
 A(7,1) & 0 & A(7,3) & A(7,4) & A(7,5) & 0 & A(7,7) & & \\
 A(8,1) & A(8,2) & A(8,3) & A(8,4) & 0 & A(8,6) & A(8,7) & A(8,8) & 
 \end{bmatrix}$$

The eigenvalues of this hermitian matrix were calculated using the diagonalizing subroutines (Appendix E) since the matrix is hermitian only the lower half was needed in the calculations.

The eight energy eigenvalues obtained from this diagonalization could then be used to determine the magnetic field positions associated with the four transitions for each  $V_K$  center in the ESR spectra. One program (Appendix D) was used to predict the line positions assuming constant values of the eight parameters ( $g_x, g_y, g_z, A_x, A_y, A_z, \omega$ , and  $\phi$ ). In another "fitting" program (Appendix C), each parameter was adjusted independently until a "best" fit to measured field values, comprising part of the program input, was obtained.

#### [100]-Type $V_K$ Centers

As described in Chapter III, when crystals of  $\text{NaMgF}_3$  were electron irradiated at 77 K and then warmed to room temperature, a subsequent ESR measurement revealed that a spectrum remained which possessed [100]-type symmetry, i.e., the maximum splitting of the lines occurred for orientations of the static magnetic field perpendicular to a pseudo-

cubic face. For the single domain crystal, the presence of only three resonances in the spectrum (Figure 24) with an intensity ratio of approximately 1:2:1 was indicative of an interaction with two spin  $\frac{1}{2}$  nuclei. Furthermore, the roughly measured hyperfine splitting of ~2600 MHz was typical for fluorine molecular ions. These facts suggested that the defect giving rise to this spectrum was a  $V_K$  center with its molecular axis parallel to a pseudocubic direction. The simple three-line pattern indicated that there was only one orientation of this  $V_K$  center within the crystal. This self-trapped hole has been labeled a [100]-type  $V_K$  center because of its observed symmetry.

A careful study of the assumed 77 K crystal structure for  $\text{NaMgF}_3$  resulted in the conclusion that only one possible model could be constructed for this defect consistent with the crystal symmetry and the restriction that a unique orientation of this center existed in the crystal. This structural model is depicted in Figure 35 which shows two octahedra for  $\langle 010 \rangle$  pseudocubic directions. This type of  $V_K$  center is capable of forming as a result of the diad tilts ( $\phi$ ) which bring the fluorine ions, specified in the figure, into close enough proximity for the  $V_K$  centers to be formed. Note that since the two octahedra have the same tetrad rotation ( $\omega$ ), then the fluorines that could potentially form these  $V_K$  centers will still be aligned parallel to an [010] pseudocubic direction. No other relatively simple defect model could be envisioned which would yield only one possible orientation for the  $V_K$  center molecular axes in the crystal.

The distance between the fluorines prior to formation of the [100]-type  $V_K$  center may be calculated from the value obtained for the diad rotation ( $18.5^\circ$ ) in the [110]-type  $V_K$  center analysis. Upon examining

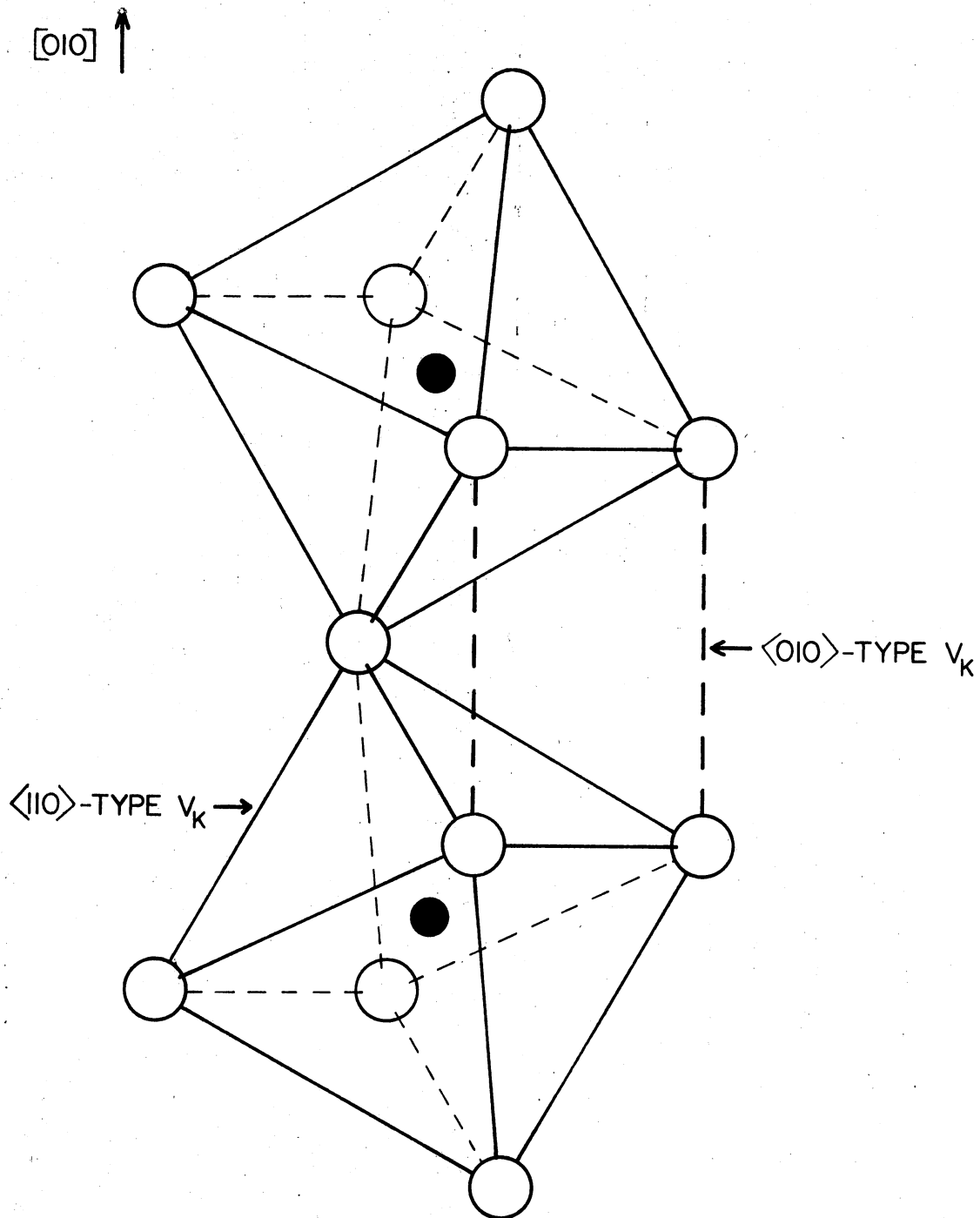


Figure 35. Model for the  $[100]$ -Type  $V_K$  Center in  $\text{NaMgF}_3$



the geometry of the tilted octahedra in the plane perpendicular to the axis of the diad rotation, the following equation may be arrived at which relates the diad rotation ( $\phi$ ) to the intra-octahedral fluorine-fluorine separation ( $l$ ) and the distance ( $s$ ) between the two inter-octahedral fluorine ions potentially giving rise to the [100]-type  $V_K$  center:

$$\sin(45^\circ - \phi) = \frac{s}{2l} \quad (30)$$

and for  $\phi = 18.5^\circ$  this gives  $s = .892l$ . Thus the fluorine-fluorine separation for [100]-type  $V_K$  centers is comparable to and in fact slightly less than that for [110]-type  $V_K$  centers.

This simple calculation would initially appear to be inconsistent with the experimental result that the [100]-type  $V_K$  center has a greater thermal stability than the [110]-type  $V_K$  center, since larger ionic separations are expected to provide an increase in the amount of lattice distortion available and therefore allow a deeper self-trapping of the hole. However, since the analysis of the [110]-type  $V_K$  center ESR spectra indicated that some distortions arising from the shifted  $Na^+$  ions and the presence of the self-trapped hole itself were present, then it is reasonable to assume that similar effects may contribute to increasing the thermal stability of the [100]-type  $V_K$  centers.

The values of the parameters  $A_z$  and  $g_z$  associated with this defect were calculated directly from the experimental line positions (Table II) and were determined to be:

$$A_z = 2602 \text{ MHz} \quad g_z = 2.0034 \quad (31)$$

No determination of the other components of the hyperfine and  $\vec{g}$  tensors was attempted, since this would have required a measurement of the magnetic field positions associated with the isolated spectrum for an exact alignment of the applied magnetic field parallel to [100]. Such a measurement was not possible because, as argued in Chapter III, exact alignments of the magnetic field for the isolated spectra could not be achieved. The values of  $A_z$  and  $g_z$  were determined solely from the measurement of lines 1 and 14 in the spectrum obtained following direct electron irradiation at 77 K (Figure 25).

It is interesting to speculate that the [100]-type  $V_K$  center may be preferred over the [110]-type  $V_K$  center in regard to the production of defects by way of the Pooley-Hersh (excitonic) mechanism (Chapter I). This conjecture arises from the fact that the lines of fluorine ions are uniform in the direction of alignment of the [100]-type  $V_K$  center molecular axes but are staggered in the direction of alignment of the [110]-type  $V_K$  center molecular axes. Thus, after formation of the self-trapped excitons, the subsequent dissociation and ionic replacement sequence may proceed more efficiently for those  $X_2^{=*}$  molecular ions formed at [100]-type  $V_K$  center sites. Verification of this speculation could possibly be realized by way of an experiment similar to that performed by Keller and Patten (Chapter I) once the interstitial molecular ions in  $\text{NaMgF}_3$  are characterized.

Finally, it should be pointed out that the structure of the [100]-type  $V_K$  center has to our knowledge no precedent among the self-trapped holes studied in various halide materials in the sense that it is formed as a consequence of the particular tilting of the octahedra in  $\text{NaMgF}_3$ . This suggests that similar centers may also be found to occur in other

compounds with tilted octahedra such as the low temperature ( $\leq 25^{\circ}\text{C}$ ) form of  $\text{KMgCl}_3$  (67).

### Thermoluminescence

Following electron irradiation of  $\text{NaMgF}_3$  at 77 K, thermoluminescence was found to occur continuously from 77 K to 600 K and was characterized by a number of well defined glow peaks (Figure 28). The strongest glow peak occurred at  $\sim 133$  K and was believed to be associated with the thermal decay ( $120 \pm 10$  K) of the  $[110]$ -type  $V_K$  centers. This interpretation is supported by the results obtained for  $\text{KMgF}_3$  in which a relatively sharp and intense glow peak was found to occur at approximately the same temperature as that corresponding to the thermal decay of the intrinsic  $V_K$  centers in that compound. A specific model for the origin of the luminescence involves assuming that electron-hole recombination occurs at an impurity ion which in the process changes valence state. The recombination energy is then transferred to the impurity ion which subsequently deexcites through the emission of a photon, thus yielding the luminescence. The luminescence will therefore be characteristic of the impurity ion in the newly formed valence state. This model has been found to agree well with results obtained in a comprehensive study of TSL in rare earth doped  $\text{CaF}_2$  obtained following irradiation with x-rays at low temperatures (68).

ESR measurements taken after samples had been warmed through the first TSL glow peak and then subsequently recooled to 77 K revealed the presence of weak spectra associated with fluorine molecular ions other than  $[100]$ -type  $V_K$  centers. These centers were assumed to be perturbed defects and were not analyzed in this study. However, the thermal decay

of these centers may have been responsible for the weaker glow peaks (212 K, 246 K, and 286 K) in the TSL data since only [100]-type  $V_K$  centers were observed with ESR to be present after samples had been warmed completely to room temperature. The thermal decay of the [100]-type  $V_K$  center cannot be unambiguously correlated with a TSL glow peak due to the presence of several peaks in the temperature range of thermal instability for this center.

The TSL generated above room temperature may originate in interstitial-F center recombination near an impurity. This explanation differs from the electron-hole recombination model proposed for the low temperature TSL in that it does not specifically require any changes in impurity valence states. Such a model has been suggested previously (69) for the high temperature TSL observed in  $KMgF_3$  following electron or  $\gamma$  irradiation at room temperature.

No detailed spectroscopic analysis of the TSL emission was performed, but visual observation of the emission revealed a predominantly blue luminescence for the TSL emission in all temperature ranges. This emission is most likely associated with a transition metal ion such as  $V^{2+}$ .

## CHAPTER V

### SUMMARY

This study has resulted in the ESR identification and characterization of several defects produced by irradiation in crystals of  $\text{KMgF}_3:\text{Mn}$  and  $\text{NaMgF}_3$ . The production and thermal decay of these defects have been correlated with complementary thermoluminescence measurements.

In  $\text{KMgF}_3:\text{Mn}$ , the ESR identification of the ionized form of the  $\text{Mn}^{2+}$ -F center pair ( $\text{Mn}^{2+}$ -fluorine vacancy center) has been made, and a defect model has been proposed based on the analysis. This study has provided independent verification that the increase in oscillator strengths of the optical transitions for the defect associated  $\text{Mn}^{2+}$  ions in  $\text{KMgF}_3$  is a result of exchange interaction rather than a change in site symmetry. A list of further radiation damage studies related to those performed for  $\text{KMgF}_3:\text{Mn}$  which would be useful might include:

- (1) An ESR investigation of the  $\text{Mn}^{2+}$ -F center pairs directly.

Such a study may provide more information concerning the exchange interactions between the two defects.

- (2) An ENDOR study of the  $\text{Mn}^{2+}$ -fluorine vacancy center may provide a more detailed and accurate model for this defect.

- (3) A more complete study of the TSL generated at low temperatures (<295 K) following room temperature electron irradiation and 77 K UV bleaching is in order. In particular, the correlation between ESR results obtained for the pure samples following this same irradiation

procedure should be performed since a brief ESR investigation indicated that fluorine molecular ions grew in under such irradiation treatments.

(4) The study of  $\text{Mn}^{2+}$ -F center type defects may be extended to such halide compounds as  $\text{MgF}_2$  and  $\text{RbMgF}_3$  and the same series of experiments performed.

(5) A determination of the concentration of  $\text{Mn}^{2+}$ -F center pairs in the crystal would be useful in determining if a preferential production of F centers next to  $\text{Mn}^{2+}$  occurs.

(6) A more complete study of the unknown ESR spectrum that remained after electron irradiating at 77 K and subsequently warming to room temperature (Chapter III) may provide more information about the electron traps in this compound.

A study of  $\text{NaMgF}_3$  electron irradiated at 77 K revealed the existence of two distinct and yet both intrinsic self-trapped holes in this material. The two fluorines sharing the hole were found to be on the same octahedron for one  $V_K$  center ([110]-type  $V_K$ ) and on adjacent octahedra for the other  $V_K$  center ([100]-type  $V_K$ ). The thermal decay of the [110]-type  $V_K$  center was found to be accompanied by both a strong thermoluminescence glow peak and a conversion into [100]-type  $V_K$  centers. The analysis of the ESR spectra associated with the [110]-type  $V_K$  center yielded values for previously undetermined 77 K octahedral tilts of  $16.0^\circ$  about a tetrad axis and  $18.5^\circ$  about a diad axis. All the low temperature TSL in  $\text{NaMgF}_3$  is associated with a hole release process since the [100]-type  $V_K$  center remains stable throughout the low temperature luminescence range (77 K to room temperature).

The following topics are suggested for future study in  $\text{NaMgF}_3$ :

(1) An ESR investigation of fluorine molecular ions which are pro-

duced by electron irradiation at room temperature followed by a 77 K uv bleach. These defects are most likely interstitial centers.

(2) An ESR investigation of  $\text{NaMgF}_3$  electron irradiated at  $\sim 4.2\text{K}$  should have all classes of  $[110]$ -type  $V_K$  centers formed. This would thus be a test of the thermal reorientation behaviour assumed in the analysis.

(3) More precise measurements of the thermal decay temperatures for the intrinsic self-trapped holes are needed to establish the relationship with the TSL more precisely.

(4) The optical absorption bands associated with the intrinsic radiation induced defects in  $\text{NaMgF}_3$  are in need of absolute identification.

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APPENDIX A

$\text{Mn}^{2+}$ -FLUORINE VACANCY CENTER FITTING PROGRAM

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0001      IMPLICIT REAL*8(A-H,O-Z)
0002      REAL*8 A(36,36),A1(36,36),E(36),E2(36),TAU(2,36)
0003      C(36),P(6),FREQ(22),TP(3,3)
0004      P(1) = 2.00270+00
0005      P(2) = 3.20+01
0006      P(3) = 1.556710+03
0007      P(4) = 3.21760+02
0008      P(5) = -2.30+01
0009      P(6) = -2.81250+02
0010      R = 9.270+00/6.6260+00
0011      FREQ = 9.2423520+03
0012      N = 36
0013      NM = 36
0014      1 DO 90 LL = 1,6
0015      KK = 1
0016      2 J = 1
0017      3 GO TO (60,5,6,60,60,60,60,60,11,50,13,50,
0018      C60,16,17,60,60,60,21,60,50,24,60),J
0019      4 H = 5.585550+03
0020      I = 3
0021      GO TO 26
0022      5 H = 5.680710+03
0023      I = 4
0024      GO TO 26
0025      6 H = 5.782470+03
0026      I = 5
0027      GO TO 26
0028      7 H = 5.883710+03
0029      I = 6
0030      GO TO 26
0031      8 H = 4.395180+03
0032      I = 8
0033      GO TO 26
0034      9 H = 4.495400+03
0035      I = 10
0036      GO TO 26
0037      10 H = 4.598110+03
0038      I = 11
0039      GO TO 26
0040      11 H = 4.703170+03
0041      I = 12
0042      GO TO 26
0043      12 H = 2.079550+03
0044      I = 22
0045      GO TO 26
0046      13 H = 1.972470+03
0047      I = 23
0048      GO TO 26
0049      14 H = 1.864080+03
0050      I = 24
0051      GO TO 25
0052      15 H = 4.350090+03
0053      I = 30
0054      GO TO 27
0055      16 H = 5.014570+03
0056      I = 29
0057      GO TO 27
0058      17 H = 5.120810+03

```

```

0067 I = 23
0068 GO TO 27
0069 18 H = 5.223500+03
0070 I = 27
0071 GO TO 27
0072 19 H = 5.334910+03
0073 I = 28
0074 GO TO 27
0075 20 H = 3.855090+03
0076 I = 24
0077 GO TO 27
0078 21 H = 3.951400+03
0079 I = 23
0080 GO TO 27
0081 22 H = 4.048390+03
0082 I = 22
0083 GO TO 27
0084 23 H = 2.603200+03
0085 I = 12
0086 GO TO 27
0087 24 H = 2.510050+03
0088 I = 11
0089 GO TO 27
0090 25 H = 2.418310+03
0091 I = 10
0092 GO TO 27
0093 26 TR(1,3) = 0.00+00
0094 TR(2,3) = 0.00+00
0095 TR(3,3) = 1.00+00
0096 GO TO 28
0097 27 TR(1,3) = 0.00+00
0098 TR(2,3) = 1.00+00
0099 TR(3,3) = 0.00+00
0100 28 WI = P(1)*B*H*TR(2,3)/2.00+00
0101 W2 = P(1)*R*H*TR(3,3)
0102 W3 = P(5)
0103 DO 29 K = 1,36
0104 DO 29 L = 1,36
0105 AR(K,L) = 0.00+00
0106 29 AI(K,L) = 0.00+00
0107 DO 20 K = 1,6
0108 AR(K,K) = 2.50+00*(W2-P(6)*(K-1))+5.00-01*W3
0109 C+1.00+01*P(3)/3.00+00+6.250+00*P(6)
0110 AI(6+K,K) = DSQRT(5.00+00)*WI
0111 AR(7,2) = 2.50+00*P(6)
0112 AR(8,3) = DSQRT(1.00+01)*P(6)
0113 AR(9,4) = 1.50+00*DSQRT(5.00+00)*P(6)
0114 AR(10,5) = 2R(3,3)
0115 AR(11,6) = AR(7,2)
0116 AR(12+K,K) = DSQRT(1.00+01)*P(4)
0117 AR(24+K,K) = DSQRT(5.00+00)*P(2)/2.00+00
0118 AR(5+K,6+K) = 1.50+00*(W2-W3-P(6)*(K-1))
0119 C-2.00+00)*P(3)/3.00+00+3.750+00*P(5)
0120 AI(12+K,6+K) = 2.00+00*DSQRT(2.00+00)*WI
0121 AR(13,3) = DSQRT(1.00+01)*P(6)
0122 AR(14,9) = 4.00+00*P(6)
0123 AR(15,10) = 3.00+00*DSQRT(2.00+00)*P(6)
0124 AR(16,11) = AR(14,9)

```

```

0113 AR(17,12) = AP(13,9)
0114 AR(18+k,6+k) = 3.0D+00*DSQRT(2.0D+00)*P(4)
0115 AR(30+k,6+k) = DSQRT(5.0D+00)*P(2)/2.0D+00
0116 AR(12+k,12+k) = 5.0D-01*(W2-P(6))*(K-1)
      C+W3-8.0D+00*P(3)/3.0D+00+1.25D+00*P(6)
0117 AI(18+k,12+k) = 3.0D+00*WI
0118 AR(19,14) = 1.5D+00*DSQRT(5.0D+00)*P(6)
0119 AR(20,15) = 3.0D+00*DSQRT(2.0D+00)*P(6)
0120 AP(21,16) = 4.5D+00*P(6)
0121 AK(22,17) = AR(20,15)
0122 AR(23,18) = AR(19,14)
0123 AP(24+k,12+k) = 3.0D+00*DSQRT(2.0D+00)*P(4)
0124 AR(18+k,18+k) = 5.0D-01*(P(6)*(K-1)-W2)+W3-8.0D+00*P(3)
      C/3.0D+00-1.25D+00*P(6)
0125 AI(24+k,18+k) = 2.0D+00*DSQRT(2.0D+00)*WI
0126 AR(24+k,24+k) = 1.5D+00*(P(6)*(K-1)-W2-W3)-2.0D+00*P(3)
      C/3.0D+00-3.75D+00*P(6)
0127 AI(30+k,24+k) = DSQRT(5.0D+00)*WI
0128 AP(30+k,30+k) = 2.5D+00*(P(6)*(K-1)-W2)+5.0D-01*W3
      C+1.0D+01*P(3)/3.0D+00-6.25D+00*P(6)
30 AR(30+k,18+k) = DSQRT(1.0D+01)*P(4)
0129 AR(25,20) = DSQRT(1.0D+01)*P(6)
0130 AR(26,21) = 4.0D+00*P(6)
0131 AP(27,22) = 3.0D+00*DSQRT(2.0D+00)*P(6)
0132 AR(28,23) = AR(26,21)
0133 AP(29,24) = AR(25,20)
0134 AR(31,26) = 2.5D+00*P(6)
0135 AR(32,27) = DSQRT(1.0D+01)*P(6)
0136 AP(33,28) = 1.5D+00*DSQRT(5.0D+00)*P(6)
0137 AR(34,29) = AR(32,27)
0138 AP(35,30) = AR(31,26)
0139 CALL HTRIDI(N,M,N,AK,AI,D,E,F2,TAU)
0140 CALL IMTOLI(N,D,E,IERR)
0141 FREQ(J) = D(I+6)-D(I)
0142 GO TO 70
0143 60 FREQ(J) = FREQ
0144 J = J+1
0145 IF (J-22) 31,31,32
0146 31 GO TO 3
0147 32 SUM = 0.0D+00
0148 DO 33 J = 1,22
0149 33 SUM = SUM+(FREQ(J)-FREQ)**2
0150 WRITE(6,50)SUM
0151 GO TO (34,42,45),KK
0152 34 SUM2 = SUM
0153 IF(LL-1)35,35,36
0154 35 SUM1 = SUM
0155 GO TO (37,38,39,40,41,55),LL
0156 37 DD = 3.0D-04
0157 GO TO 42
0158 38 DD = 1.0D+00
0159 GO TO 42
0160 39 DD = 1.0D+00
0161 GO TO 42
0162 40 DD = 1.0D+00
0163 GO TO 42
0164 41 DD = 1.0D+00
0165 GO TO 42
0166

```

```
0167      55 DD = 1.0D+00
0168      42 P(LL) = P(LL) + DD
0169      KK = KK+1
0170      GO TO 2
0171      43 IF(SUM-SUM2)90,44,44
0172      +4 P(LL) = P(LL)-2.0D+00*DD
0173      KK = KK+1
0174      GO TO 2
0175      45 IF(SUM-SUM2)90,46,46
0176      46 P(LL) = P(LL)+DD
0177      90 CONTINUE
0178      IF(SUM-SUM2)47,48,48
0179      47 SUM2 = SUM
0180      48 CONTINUE
0181      WRITE(6,50)SUM2
0182      WRITE(6,51) (P(I),I = 1,6)
0183      WRITE(6,52) (FREQ(J),J = 1,22)
0184      IF(SUM1-SUM2)49,49,1
0185      49 CONTINUE
0186      50 FORMAT('0','0',F22.5)
0187      51 FORMAT('0',4F17.4)
0188      52 FORMAT('0',8F13.2)
0189      STOP
0190      END
```



APPENDIX B

PROGRAM USED IN PREDICTING LINE POSITIONS FOR  
THE  $Mn^{2+}$ -FLUORINE VACANCY CENTER

```

0001      IMPLICIT REAL*8 (A-H,O-Z)
0002      REAL*8 AR(20,3), AI(36,36), E(36), E2(36), TAU(2,36),
0003      CP(36), CF(30), T(3,3), TF(3,3), R(3,3), P(6)
0004      P(1) = 2.00180+00
0005      P(2) = 2.00+01
0006      P(3) = -1.548710+03
0007      P(4) = 3.23760+02
0008      P(5) = -7.290+00
0009      P(6) = -2.34250+02
0010      WRITE (6,1) ( P(I), I=1,6 )
0011      1 FORMAT ( 6F14.4 )
0012      B=9.270+00/6.6260+00
0013      FREQ = 9.2423020+03
0014      N=36
0015      NM=36
0016      THETA = 0.00+00
0017      PHI = 0.00+00
0018      2 C=1.00+00
0019      WRITE (6,3) THETA, PHI
0020      3 FORMAT ( '0.2F15.3' )
0021      THETA=THETA*(3.14150+00/1.30+02)
0022      PHIR=PHI*(3.14150+00/1.30+02)
0023      R(1,3)=DSIN(THETA)*DCOS(PHIR)
0024      R(2,3)=DSIN(PHIR)
0025      R(3,3) = DCOS(THETA)*DCOS(PHIR)
0026      5 I=1
0027      6 H=5.70+03
0028      DO 7 K=1,3
0029      DO 7 L=1,3
0030      7 T(K,L)=0.00+00
0031      8 T(1,1)=C
0032      T(2,2)=C
0033      T(3,3)=C
0034      20 DO 21 K=1,3
0035      21 TR(K,3)=T(K,1)*R(1,3)+T(K,2)*R(2,3)+T(K,3)*R(3,3)
0036      W1=P(1)*R#1*TR(1,3)/2.00+00
0037      W11=P(1)*R#1*TR(2,3)/2.00+00
0038      W2=P(1)*R#4*TR(3,3)
0039      W3=P(2)+2.00+00*P(5)/3.00+00
0040      DO 22 K=1,36
0041      DO 23 L=1,36
0042      23 AR(K,L)=0.00+00
0043      DO 24 K=1,6
0044      AR(K,K)=2.50+00*(W2-P(6)*(K-1))+5.00-01*W3+1.00+01*P(3)
0045      C/2.00+00+6.250+00*P(6)
0046      AR(6+K,K)=DSQRT(5.00+00)*W1K
0047      24 AI(6+K,K)=DSQRT(5.00+00)*W11
0048      AR(7,2)=2.50+00*P(6)
0049      AR(8,3)=DSQRT(1.00+01)*P(6)
0050      AR(9,4)=1.50+00*DSQRT(5.00+00)*P(6)
0051      AR(10,5)=AR(8,3)
0052      AR(11,6)=AR(7,2)
0053      DO 25 K=1,3
0054      25 AR(12+K,K)=DSQRT(1.00+01)*P(4)
0055      AR(13+K,K)=DSQRT(5.00+00)*P(2)/2.00+00
0056      AR(14+K,3+K)=1.50+00*(W2-W3-P(6)*(K-1))-2.00+00*P(3)
0057      C/3.00+00+3.750+00*P(6)

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0056      AR(12+K,6+K)=2.0D+00*DSQRT(2.0D+00)*W1R
0057 25  AI(12+K,6+K)=2.0D+00*DSQRT(2.0D+00)*W1I
0058      AF(13,8)=DSQRT(1.0D+01)*P(6)
0059      AF(14,9)=4.0D+00*P(6)
0060      AK(15,10)=3.0D+00*DSQRT(2.0D+00)*P(6)
0061      AF(16,11)=AF(14,9)
0062      AR(17,12)=AP(13,8)
0063      DO 26 K=1,6
0064      AR(18+K,6+K)=2.0D+00*DSQRT(2.0D+00)*P(4)
0065      AR(30+K,6+K)=DSQRT(5.0D+00)*P(2)/2.0D+00
0066      AR(12+K,12+K)=5.0D-01*(W2-P(6)*(K-1))+W3-8.0D+00*P(3)
0067      C/3.0D+00+1.25D+00*P(6)
0068      AP(19+K,12+K)=3.0D+00*W1R
0069 26  AI(18+K,12+K)=3.0D+00*W1I
0070      AR(19,14)=1.5D+00*DSQRT(5.0D+00)*P(6)
0071      AF(20,15)=3.0D+00*DSQRT(2.0D+00)*P(6)
0072      AR(21,16)=4.5D+00*P(6)
0073      AP(22,17)=AF(20,15)
0074      AP(23,18)=AP(19,14)
0075      DO 27 K=1,5
0076      AP(24+K,12+K)=2.0D+00*DSQRT(2.0D+00)*P(4)
0077      AF(12+K,18+K)=5.0D-01*(P(6)*(K-1)-W2)+W3-8.0D+00*P(3)
0078      C/3.0D+00-1.25D+00*P(6)
0079      AR(24+K,18+K)=2.0D+00*DSQRT(2.0D+00)*W1R
0080      AI(24+K,18+K)=2.0D+00*DSQRT(2.0D+00)*W1I
0081      AR(24+K,24+K)=1.5D+00*(P(6)*(K-1)-W2-W3)-2.0D+00*P(3)
0082      C/3.0D+00-3.75D+00*P(6)
0083      AP(30+K,24+K)=DSQRT(5.0D+00)*W1R
0084      AI(20+K,24+K)=DSQRT(5.0D+00)*W1I
0085      AR(30+K,30+K)=2.5D+00*(P(6)*(K-1)-W2)+5.0D-01*W3+1.0D+01*P(3)
0086      C/3.0D+00-5.25D+00*P(6)
0087 27  AP(30+K,18+K)=DSQRT(1.0D+01)*P(4)
0088      AP(25,20)=DSQRT(1.0D+01)*P(6)
0089      AR(26,21)=4.0D+00*P(6)
0090      AR(27,22)=3.0D+00*DSQRT(2.0D+00)*P(6)
0091      AP(28,23)=AP(26,21)
0092      AP(29,24)=AP(25,20)
0093      AR(31,25)=2.5D+00*P(6)
0094      AR(32,27)=DSQRT(1.0D+01)*P(6)
0095      AP(33,28)=1.5D+00*DSQRT(5.0D+00)*P(6)
0096      AR(24,29)=AP(32,27)
0097      AP(35,30)=AP(31,26)
0098      CALL FREQO(N,N,AR,AI,D,E,E2,TAU)
0099      CALL INTQL1(N,D,E,IEFR)
0100      WRITE(5,33)
0101 38  FREQO(F,25,4)
0102      FREQO=(I+6)-C(I)
0103      IF(D/35*(FREQO-FREQO)-3.0D+00) 28,28,29
0104 29  FF(I)=N
0105      WRITE(5,34) P(1)
0106      GO TO 30
0107 29  H=H*(FREQO/FREQO)
0108      GO TO 22
0109 30  IF (I-30) 31,32,32
0110 31  I = I+1
0111      H=H+9.0D+01
0112      GO TO 22
0113 32  WRITE(5,33) (FF(I),I=1,30)

```

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DATE = 75239

MAIN

FORTRAN IV 6 LEVEL 21

33 F06MAT (or F18.2)  
STOP  
END

0110  
0111  
0112

APPENDIX C

[110]-TYPE  $V_K$  CENTER FITTING PROGRAM

```

0001 IMPLICIT PEAL*8(A-H,C-Z)
0002 PFAL*8 AR(8,8),AI(8,8),E(8),E2(8),TAU(2,8),D(8),P(8),FREQ(14),
CG(3,3),T(3,3),P(3,3),TR(3,3),GTR(3,3),w(21),BB(3,3),BBB(3,3),
CF(3,3),S(3,3)
0003 BETA = 7.0D+00
0004 BETAR = BETA*(3.1415D+00/1.9D+02)
0005 P(1) = 2.02D+00
0006 P(2) = 2.02D+00
0007 P(3) = 2.0025D+00
0008 P(4) = 5.0D+01
0009 P(5) = 5.0D+01
0010 P(6) = 2.5D+03
0011 P(7) = 1.56D+01
0012 P(8) = 1.84D+01
0013 B = 9.27D+00/6.626D+00
0014 FREQQ = 9.1779522D+03
0015 GRN = 5.6446D+01/1.4092D+04
0016 N = 8
0017 NM = 8
0018 1 DD 75 LL = 1,8
0019 GO TO (91,75,75,75,75,91,91),LL
0020 91 KK = 1
0021 2 J = 1
0022 P(2) = P(1)
0023 P(5) = P(4)
0024 3 GO TO (4,5,6,7),J
0025 4 H = 3.55678D+03
0026 I = 4
0027 JJ = 1
0028 GO TO 27
0029 5 H = 2.74035D+03
0030 I = 1
0031 JJ = 1
0032 GO TO 27
0033 6 H = 4.01144D+03
0034 I = 4
0035 JJ = 1
0036 GO TO 28
0037 7 H = 2.45731D+03
0038 I = 1
0039 JJ = 1
0040 GO TO 28
0041 26 THETA = 4.5D+01
0042 ALPHA = 9.0D+01
0043 OMEGA = P(7)
0044 PSI = P(8)
0045 GO TO 60
0046 27 OMEGA = -P(7)
0047 PSI = -P(8)
0048 THETA = 4.5D+01
0049 ALPHA = 0.0D+00
0050 GO TO 50
0051 28 THETA = 4.5D+01
0052 ALPHA = 0.0D+00
0053 OMEGA = P(7)
0054 PSI = P(8)
0055 60 DD 30 K = 1,3
0056 DD 30 L = 1,3

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```

0057      30 G(K,L) = 0.0D+00
0058      18 G(1,1) = 1.0D+00
0059      18 G(2,2) = 1.0D+00
0060      18 G(3,3) = 1.0D+00
0061      10 G(1,1) = 0.5D+00
0062      10 G(1,2) = DSQRT(2.0D+00)/2.0D+00
0063      10 G(1,3) = -G(1,1)
0064      10 G(2,1) = -G(1,2)
0065      10 G(2,3) = -G(1,3)
0066      10 G(3,1) = -G(1,1)
0067      10 G(3,2) = G(1,2)
0068      10 G(3,3) = G(1,1)
0069      20 G(1,1) = -0.5D+00
0070      20 G(1,2) = DSQRT(2.0D+00)/2.0D+00
0071      20 G(1,3) = -G(1,1)
0072      20 G(2,1) = G(1,2)
0073      20 G(2,3) = G(1,2)
0074      20 G(3,1) = -G(1,1)
0075      20 G(3,2) = G(1,2)
0076      20 G(3,3) = G(1,1)
0077      21 G(1,1) = -0.5D+00
0078      21 G(1,2) = DSQRT(2.0D+00)/2.0D+00
0079      21 G(1,3) = G(1,1)
0080      21 G(2,1) = -G(1,2)
0081      21 G(2,3) = G(1,2)
0082      21 G(3,1) = -G(1,1)
0083      21 G(3,2) = G(1,2)
0084      21 G(3,3) = -G(1,1)
0085      22 G(1,1) = 0.5D+00
0086      22 G(1,2) = DSQRT(2.0D+00)/2.0D+00
0087      22 G(1,3) = G(1,1)
0088      22 G(2,1) = G(1,2)
0089      22 G(2,3) = -G(1,2)
0090      22 G(3,1) = -G(1,1)
0091      22 G(3,2) = G(1,2)
0092      22 G(3,3) = -G(1,1)
0093      23 G(1,3) = 1.0D+00
0094      23 G(2,2) = -1.0D+00
0095      23 G(3,1) = G(1,3)
0096      31 OMEGAR = OMEGA*(3.1415D+00/1.8D+02)
0097      31 PSIR = PSI*(3.1415D+00/1.8D+02)
0098      31 THFTAR = THETA*(3.1415D+00/1.8D+02)
0099      31 ALPHAR = ALPHA*(3.1415D+00/1.8D+02)
0100      31 T(1,1) = DCOS(PSIR)*DCOS(OMEGAR)
0101      31 T(1,2) = DSIN(PSIR)
0102      31 T(1,3) = DCOS(PSIR)*DSIN(OMEGAR)
0103      31 T(2,1) = -DSIN(PSIR)*DCOS(OMEGAR)
0104      31 T(2,2) = DCOS(PSIR)
0105      31 T(2,3) = -DSIN(PSIR)*DSIN(OMEGAR)
0106      31 T(3,1) = -DSIN(OMEGAR)
0107      31 T(3,2) = 0.0D+00
0108      31 T(3,3) = DCOS(OMEGAR)
0109
0110
0111
0112
0113
0114

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0115      32 R(1,1) = DCOS(THETAR)
0116      R(1,2) = DSIN(THETAR)*DSIN(ALPHAR)
0117      R(1,3) = -DSIN(THETAR)*DCOS(ALPHAR)
0118      R(2,1) = 0.0D+00
0119      R(2,2) = DCOS(ALPHAR)
0120      R(2,3) = DSIN(ALPHAR)
0121      R(3,1) = DSIN(THETAR)
0122      R(3,2) = -DCOS(THETAR)*DSIN(ALPHAR)
0123      R(3,3) = DCOS(THETAR)*DCOS(ALPHAR)
0124      DO 33 K = 1,3
0125      DO 33 L = 1,3
0126      33 TR(K,L) = T(K,1)*R(1,L)+T(K,2)*R(2,L)+T(K,3)*R(3,L)
0127      DO 34 K = 1,3
0128      DO 34 L = 1,3
0129      34 GTR(K,L) = G(K,1)*TR(1,L)+G(K,2)*TR(2,L)+G(K,3)*TR(3,L)
0130      BB(1,1) = DCOS(BETAR)
0131      BB(1,2) = 0.0D+00
0132      BB(1,3) = DSIN(BETAR)
0133      BB(2,1) = 0.0D+00
0134      BB(2,2) = 1.0D+00
0135      BB(2,3) = 0.0D+00
0136      BB(3,1) = -DSIN(BETAR)
0137      BB(3,2) = 0.0D+00
0138      BB(3,3) = DCOS(BETAR)
0139      BBB(1,1) = BB(1,1)
0140      BBB(1,2) = BB(1,2)
0141      BBB(1,3) = -BB(1,3)
0142      BBB(2,1) = BB(2,1)
0143      BBB(2,2) = BB(2,2)
0144      BBB(2,3) = BB(2,3)
0145      BBB(3,1) = -BB(3,1)
0146      BBB(3,2) = BB(3,2)
0147      BBB(3,3) = BB(3,3)
0148      DO 95 K = 1,3
0149      DO 95 L = 1,3
0150      95 F(K,L) = BBB(K,1)*GTR(1,L)+BBB(K,2)*GTR(2,L)+BBB(K,3)*GTR(3,L)
0151      DO 96 K = 1,3
0152      DO 96 L = 1,3
0153      96 S(K,L) = BBB(K,1)*GTR(1,L)+BBB(K,2)*GTR(2,L)+BBB(K,3)*GTR(3,L)
0154      W(1) = B*H*(GTR(1,3)*GTR(1,1)*P(1)+GTR(2,3)*GTR(2,1)*P(2)
0155      C+GTR(3,3)*GTR(3,1)*P(3))
0156      W(2) = B*H*(GTR(1,3)*GTR(1,2)*P(1)+GTR(2,3)*GTR(2,2)*P(2)
0157      C+GTR(3,3)*GTR(3,2)*P(3))
0158      W(3) = B*H*(GTR(1,3)*GTR(1,3)*P(1)+GTR(2,3)*GTR(2,3)*P(2)
0159      C+GTR(3,3)*GTR(3,3)*P(3))
0160      W(4) = F(1,1)*F(1,1)*P(4)+F(2,1)*F(2,1)*P(5)+F(3,1)*F(3,1)*P(6)
0161      W(5) = F(1,1)*F(1,2)*P(4)+F(2,1)*F(2,2)*P(5)+F(3,1)*F(3,2)*P(6)
0162      W(6) = F(1,1)*F(1,3)*P(4)+F(2,1)*F(2,3)*P(5)+F(3,1)*F(3,3)*P(6)
0163      W(7) = F(1,2)*F(1,1)*P(4)+F(2,2)*F(2,1)*P(5)+F(3,2)*F(3,1)*P(6)
0164      W(8) = F(1,2)*F(1,2)*P(4)+F(2,2)*F(2,2)*P(5)+F(3,2)*F(3,2)*P(6)
0165      W(9) = F(1,2)*F(1,3)*P(4)+F(2,2)*F(2,3)*P(5)+F(3,2)*F(3,3)*P(6)
0166      W(10) = F(1,3)*F(1,1)*P(4)+F(2,3)*F(2,1)*P(5)+F(3,3)*F(3,1)*P(6)
0167      W(11) = F(1,3)*F(1,2)*P(4)+F(2,3)*F(2,2)*P(5)+F(3,3)*F(3,2)*P(6)
0168      W(12) = F(1,3)*F(1,3)*P(4)+F(2,3)*F(2,3)*P(5)+F(3,3)*F(3,3)*P(6)
0169      W(13) = S(1,1)*S(1,1)*P(4)+S(2,1)*S(2,1)*P(5)+S(3,1)*S(3,1)*P(6)
0167      W(14) = S(1,1)*S(1,2)*P(4)+S(2,1)*S(2,2)*P(5)+S(3,1)*S(3,2)*P(6)
0168      W(15) = S(1,1)*S(1,3)*P(4)+S(2,1)*S(2,3)*P(5)+S(3,1)*S(3,3)*P(6)
0169      W(16) = S(1,2)*S(1,1)*P(4)+S(2,2)*S(2,1)*P(5)+S(3,2)*S(3,1)*P(6)

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0170      W(17) = S(1,2)*S(1,2)*P(4)+S(2,2)*S(2,2)*P(5)+S(3,2)*S(3,2)*P(6)
0171      W(18) = S(1,2)*S(1,3)*P(4)+S(2,2)*S(2,3)*P(5)+S(3,2)*S(3,3)*P(6)
0172      W(19) = S(1,3)*S(1,1)*P(4)+S(2,3)*S(2,1)*P(5)+S(3,3)*S(3,1)*P(6)
0173      W(20) = S(1,3)*S(1,2)*P(4)+S(2,3)*S(2,2)*P(5)+S(3,3)*S(3,2)*P(6)
0174      W(21) = S(1,3)*S(1,3)*P(4)+S(2,3)*S(2,3)*P(5)+S(3,3)*S(3,3)*P(6)
0175      DD 97 K = 1.8
0176      DD 97 L = 1.8
0177      AR(K,L) = 0.0D+00
0178      97 AI(K,L) = 0.0D+00
0179      AR(1,1) = 0.5D+00*W(3)+0.25D+00*(W(12)+W(21))-GBN*H
0180      AR(2,2) = 0.5D+00*W(3)+0.25D+00*(W(12)-W(21))
0181      AR(3,3) = 0.5D+00*W(3)-0.25D+00*(W(12)-W(21))
0182      AR(4,4) = 0.5D+00*W(3)-0.25D+00*(W(12)+W(21))+GBN*H
0183      AR(5,5) = -0.5D+00*W(3)-0.25D+00*(W(12)+W(21))-GBN*H
0184      AR(6,6) = -0.5D+00*W(3)-0.25D+00*(W(12)-W(21))
0185      AR(7,7) = -0.5D+00*W(3)+0.25D+00*(W(12)-W(21))
0186      AR(8,8) = -0.5D+00*W(3)+0.25D+00*(W(12)+W(21))+GBN*H
0187      AR(2,1) = W(15)/4.0D+00
0188      AI(2,1) = W(18)/4.0D+00
0189      AR(3,1) = W(6)/4.0D+00
0190      AI(3,1) = W(9)/4.0D+00
0191      AR(5,1) = 0.5D+00*W(1)+0.25D+00*(W(10)+W(19))
0192      AI(5,1) = 0.5D+00*W(2)+0.25D+00*(W(11)+W(20))
0193      AR(6,1) = 0.25D+00*(W(13)-W(17))
0194      AI(6,1) = 0.25D+00*(W(14)+W(16))
0195      AR(7,1) = 0.25D+00*(W(4)-W(8))
0196      AI(7,1) = 0.25D+00*(W(5)+W(7))
0197      AR(4,2) = AR(3,1)
0198      AI(4,2) = AI(3,1)
0199      AR(5,2) = 0.25D+00*(W(13)+W(17))
0200      AI(5,2) = 0.25D+00*(W(14)-W(16))
0201      AR(6,2) = W(1)/2.0D+00+(W(10)-W(19))/4.0D+00
0202      AI(6,2) = W(2)/2.0D+00+(W(11)-W(20))/4.0D+00
0203      AR(8,2) = AR(7,1)
0204      AI(8,2) = AI(7,1)
0205      AR(4,3) = AR(2,1)
0206      AI(4,3) = AI(2,1)
0207      AR(5,3) = 0.25D+00*(W(4)+W(8))
0208      AI(5,3) = 0.25D+00*(W(5)-W(7))
0209      AR(7,3) = W(1)/2.0D+00-(W(10)-W(19))/4.0D+00
0210      AI(7,3) = W(2)/2.0D+00-(W(11)-W(20))/4.0D+00
0211      AR(8,3) = AR(6,1)
0212      AI(8,3) = AI(6,1)
0213      AR(6,4) = AR(5,3)
0214      AI(6,4) = AI(5,3)
0215      AR(7,4) = AR(5,2)
0216      AI(7,4) = AI(5,2)
0217      AR(8,4) = W(1)/2.0D+00-(W(10)+W(19))/4.0D+00
0218      AI(8,4) = W(2)/2.0D+00-(W(11)+W(20))/4.0D+00
0219      AR(6,5) = -AR(2,1)
0220      AI(6,5) = -AI(2,1)
0221      AR(7,5) = -AR(3,1)
0222      AI(7,5) = -AI(3,1)
0223      AR(8,6) = -AR(3,1)
0224      AI(8,6) = -AI(3,1)
0225      AR(8,7) = -AR(2,1)
0226      AI(8,7) = -AI(2,1)
0227      CALL HTR IOI (NM,N,AR,AI,D,E,E2,TAU)

```

```
0228      CALL IMTQL1 (N,D,E,IERR)
0229      FREQ(J) = D(9-I)-D(I)
0230      J = J+1
0231      IF(J-4)35,35,36
0232      35 GO TO 3
0233      36 SUM = 0.0D+00
0234      DO 37 J = 1,4
0235      37 SUM = SUM+(FREQ(J)-FREQQ)**2
0236      WRITE(6,50) SUM
0237      GO TO (38,70,80),KK
0238      38 SUM2 = SUM
0239      IF(LL-1)39,39,40
0240      39 SUM1 = SUM
0241      40 GO TO (75,75,41,75,75,42,85,86),LL
0242      41 DD = 1.0D-04
0243      GO TO 43
0244      42 DD = 1.0D+00
0245      GO TO 43
0246      85 DD = 0.05D+00
0247      GO TO 43
0248      86 DD = 0.05D+00
0249      43 P(LL) = P(LL)+DD
0250      KK = KK+1
0251      GO TO 2
0252      70 IF(SUM-SUM2)75,44,44
0253      44 P(LL) = P(LL)-2.0D+00*DD
0254      KK = KK+1
0255      GO TO 2
0256      80 IF(SUM-SUM2)75,45,45
0257      45 P(LL) = P(LL)+DD
0258      75 CONTINUE
0259      IF(SUM-SUM2)46,47,47
0260      46 SUM2 = SUM
0261      47 CONTINUE
0262      WRITE(6,50)SUM2
0263      WRITE(6,51) (P(I),I = 1,8)
0264      WRITE(6,52) (FREQ(J),J = 1,4)
0265      IF(SUM1-SUM2)48,48,1
0266      48 CONTINUE
0267      50 FORMAT('0',F22.5)
0268      51 FORMAT('0',4F17.4)
0269      52 FORMAT('0',8F13.2)
0270      STOP
0271      END
```

APPENDIX D

PROGRAM USED IN PREDICTING LINE POSITIONS FOR  
THE  $[110]$ -TYPE  $V_K$  CENTER

```

0001 IMPLICIT REAL*8 (A-H,I-Z)
0002 REAL*8 P(6),F(8),F2(8),TAM(2,8),D(8),HF(4),G(3,3),T(3,3),R(3,3),
      CTR(3,3),GTR(3,3),W(21),AP(8,8),AI(8,8),DOMRDT(3,3),TC(3,3),
      CRB(3,3),BBB(3,3),F(3,3),S(3,3)
0003 BETA = 7.00D+00
0004 BETAR = BETA*(3.1415D+00/1.8D+02)
0005 P(1) = 2.0024D+00
0006 P(2) = 2.0018D+00
0007 P(3) = 2.0024D+00
0008 P(4) = 1.60D+02
0009 P(5) = 1.60D+02
0010 P(6) = 2.479D+03
0011 WRITE(6,1) (P(I),I = 1,6)
0012 1 FORMAT(6F15.4)
0013 R = 9.27D+00/6.626D+00
0014 FREQ = 9.1779522D+03
0015 GBN = 5.6446D+01/1.4092D+04
0016 N = 8
0017 NM = 8
0018 THETA = 4.5D+01
0019 OMEGA = 1.52D+01
0020 ALPHA = 0.0D+00
0021 170 JJ = 1
0022 70 OMEGA = DABS(OMEGA)
0023 PSI = 1.84D+01
0024 65 WRITE(6,3) OMEGA,PSI,THETA,ALPHA,BETA
0025 3 FORMAT('0',4F15.3)
0026 OMEGAR = OMEGA*(3.1415D+00/1.8D+02)
0027 PSIR = PSI*(3.1415D+00/1.8D+02)
0028 THETAR = THETA*(3.1415D+00/1.8D+02)
0029 ALPHAR = ALPHA*(3.1415D+00/1.8D+02)
0030 J = 1
0031 60 DO 30 K = 1,3
0032 DO 30 L = 1,3
0033 30 G(K,L) = 0.0D+00
0034 GO TO (4,5,6,7,8,9),J
0035 4 G(1,1) = 1.0D+00
0036 G(2,2) = 1.0D+00
0037 G(3,3) = 1.0D+00
0038 GO TO 11
0039 5 G(1,1) = 0.5D+00
0040 G(1,2) = DSQRT(2.0D+00)/2.0D+00
0041 G(1,3) = -G(1,1)
0042 G(2,1) = -G(1,2)
0043 G(2,3) = -G(1,3)
0044 G(3,1) = -G(1,1)
0045 G(3,2) = G(1,2)
0046 G(3,3) = G(1,3)
0047 GO TO 11
0048 6 G(1,1) = -0.5D+00
0049 G(1,2) = DSQRT(2.0D+00)/2.0D+00
0050 G(1,3) = -G(1,1)
0051 G(2,1) = G(1,3)
0052 G(2,3) = G(1,2)
0053 G(3,1) = -G(1,1)
0054 G(3,2) = G(1,2)
0055 G(3,3) = G(1,3)
0056 GO TO 11

```

```

0057      7  G(1,1) = -0.50+00
0058      G(1,2) = DSQRT(2.00+00)/2.00+00
0059      G(1,3) = G(1,1)
0060      G(2,1) = -G(1,2)
0061      G(2,2) = G(1,2)
0062      G(2,3) = -G(1,1)
0063      G(3,2) = G(1,2)
0064      G(3,3) = -G(1,1)
0065      GO TO 11
0066      8  G(1,1) = 0.50+00
0067      G(1,2) = DSQRT(2.00+00)/2.00+00
0068      G(1,3) = G(1,1)
0069      G(2,1) = G(1,2)
0070      G(2,3) = -G(1,2)
0071      G(3,1) = -G(1,1)
0072      G(3,2) = G(1,2)
0073      G(3,3) = -G(1,1)
0074      GO TO 11
0075      9  G(1,3) = 1.00+00
0076      G(2,2) = -1.00+00
0077      G(3,1) = G(1,3)
0078      11  T(1,1) = DCOS(PSIR)*DCOS(OMEGAR)
0079      T(1,2) = DSIN(PSIR)
0080      T(1,3) = DCOS(PSIR)*DSIN(OMEGAR)
0081      T(2,1) = -DSIN(PSIR)*DCOS(OMEGAR)
0082      T(2,2) = DCOS(PSIR)
0083      T(2,3) = -DSIN(PSIR)*DSIN(OMEGAR)
0084      T(3,1) = -DSIN(OMEGAR)
0085      T(3,2) = 0.00+00
0086      T(3,3) = DCOS(OMEGAR)
C      THE DCMROT TRANSFORMATION TAKES INTO ACCOUNT THE EFFECT OF
C      DOMAINS IN THE CRYSTAL. TWELVE DOMAINS WILL BE CONSIDERED. EACH
C      DOMAIN IS DISTINGUISHABLE BY THE SENSE OF THE ROTATIONS OF THE
C      TILT ANGLES OMEGA AND PSI. OMEGA IS A ROTATION ABOUT A TETRAD
C      AXIS, AND THERE ARE THREE DIFFERENT TETRAD AXES. THE PSI ROTATION
C      FOLLOWS THE OMEGA ROTATION AND MAY OCCUR ABOUT ANY ONE OF FOUR
C      DIFFERENT DIAD AXES. NOTE THAT OMEGA ROTATIONS ABOUT THE THREE
C      NEGATIVE TETRAD AXES DO NOT CONSTITUTE DIFFERENT DOMAINS SINCE
C      SUCH ROTATIONS ARE ALREADY INCLUDED IN EACH DOMAIN BY DEFINITION
C      AND WITHIN THE COMPUTER PROGRAM THROUGH A CHANGE IN SIGN OF
C      OMEGA AND PSI. THE ROTATIONS UNIQUELY DEFINE THE XYZ CRYSTAL AXES.
0087      100 DO 101 K = 1,3
0088      DO 101 L = 1,3
0089      101 DCMROT(K,L) = 0.00+00
0090      GO TO (102,103,104,105,106,107,108,109,110,111,112,113,114,115,116,117,118,119,120),JJ
0091      102 DCMROT(1,1) = 1.00+00
0092      DCMROT(2,2) = 1.00+00
0093      DCMROT(3,3) = 1.00+00
0094      GO TO 110
0095      103 DCMROT(1,3) = 1.00+00
0096      DCMROT(2,2) = 1.00+00
0097      DCMROT(3,1) = -1.00+00
0098      GO TO 110
0099      104 DCMROT(1,1) = -1.00+00
0100      DCMROT(2,2) = 1.00+00
0101      DCMROT(3,3) = -1.00+00
0102      GO TO 110
0103      105 DCMROT(1,3) = -1.00+00

```

```

0104 DDMMRPT (2,2) = 1.0E+00
0105 DDMMRPT (3,1) = 1.0E+00
0106 DDMMRPT (1,0) = 0.0E+00
0107 106 DDMMRPT (1,1) = 0.5D+00
0108 DDMMRPT (1,2) = DSQRT (2.0D+00) / 2.0D+00
0109 DDMMRPT (1,3) = -0.5E+00
0110 DDMMRPT (2,1) = -DSQRT (2.0E+00) / 2.0D+00
0111 DDMMRPT (2,3) = -DSQRT (2.0D+00) / 2.0D+00
0112 DDMMRPT (3,1) = -0.5E+00
0113 DDMMRPT (3,2) = DSQRT (2.0E+00) / 2.0D+00
0114 DDMMRPT (3,3) = 0.5D+00
0115 DDMMRPT (1,0) = 0.0E+00
0116 107 DDMMRPT (1,1) = -0.5E+00
0117 DDMMRPT (1,2) = DSQRT (2.0E+00) / 2.0E+00
0118 DDMMRPT (1,3) = 0.5E+00
0119 DDMMRPT (2,1) = -DSQRT (2.0D+00) / 2.0D+00
0120 DDMMRPT (2,3) = -DSQRT (2.0D+00) / 2.0D+00
0121 DDMMRPT (3,1) = -0.5E+00
0122 DDMMRPT (3,2) = -DSQRT (2.0D+00) / 2.0D+00
0123 DDMMRPT (3,3) = 0.5D+00
0124 DDMMRPT (1,0) = 0.0E+00
0125 115 DDMMRPT (1,1) = -0.5D+00
0126 DDMMRPT (1,2) = -DSQRT (2.0D+00) / 2.0D+00
0127 DDMMRPT (1,3) = 0.5D+00
0128 DDMMRPT (2,1) = -DSQRT (2.0E+00) / 2.0D+00
0129 DDMMRPT (2,3) = -DSQRT (2.0D+00) / 2.0D+00
0130 DDMMRPT (3,1) = 0.5D+00
0131 DDMMRPT (3,2) = -DSQRT (2.0D+00) / 2.0D+00
0132 DDMMRPT (3,3) = -0.5D+00
0133 DDMMRPT (1,0) = 0.0E+00
0134 116 DDMMRPT (1,1) = 0.5D+00
0135 DDMMRPT (1,2) = -DSQRT (2.0D+00) / 2.0D+00
0136 DDMMRPT (1,3) = -0.5D+00
0137 DDMMRPT (2,1) = -DSQRT (2.0D+00) / 2.0D+00
0138 DDMMRPT (2,3) = -DSQRT (2.0D+00) / 2.0D+00
0139 DDMMRPT (3,1) = 0.5D+00
0140 DDMMRPT (3,2) = DSQRT (2.0D+00) / 2.0D+00
0141 DDMMRPT (3,3) = -0.5E+00
0142 DDMMRPT (1,0) = 0.0E+00
0143 117 DDMMRPT (1,1) = 0.5D+00
0144 DDMMRPT (1,2) = -DSQRT (2.0E+00) / 2.0D+00
0145 DDMMRPT (1,3) = 0.5E+00
0146 DDMMRPT (2,1) = DSQRT (2.0E+00) / 2.0D+00
0147 DDMMRPT (2,3) = -DSQRT (2.0E+00) / 2.0D+00
0148 DDMMRPT (3,1) = 0.5D+00
0149 DDMMRPT (3,2) = DSQRT (2.0D+00) / 2.0D+00
0150 DDMMRPT (3,3) = 0.5D+00
0151 DDMMRPT (1,0) = 0.0E+00
0152 118 DDMMRPT (1,1) = 0.5D+00
0153 DDMMRPT (1,3) = DSQRT (2.0D+00) / 2.0D+00
0154 DDMMRPT (2,1) = 0.5D+00
0155 DDMMRPT (2,3) = DSQRT (2.0E+00) / 2.0D+00
0156 DDMMRPT (3,1) = -0.5E+00
0157 DDMMRPT (3,2) = DSQRT (2.0E+00) / 2.0D+00
0158 DDMMRPT (3,3) = -0.5D+00
0159 DDMMRPT (1,0) = 0.0E+00
0160 119 DDMMRPT (1,1) = -0.5D+00
0161

```



```

0220      H = 1.00
0221      H = 1.00
0222      H = 1.00
0223      H = 1.00
0224      H = 1.00
0225      H = 1.00
0226      H = 1.00
0227      H = 1.00
0228      H = 1.00
0229      H = 1.00
0230      H = 1.00
0231      H = 1.00
0232      H = 1.00
0233      H = 1.00
0234      H = 1.00
0235      H = 1.00
0236      H = 1.00
0237      H = 1.00
0238      H = 1.00
0239      H = 1.00
0240      H = 1.00
0241      H = 1.00
0242      H = 1.00
0243      H = 1.00
0244      H = 1.00
0245      H = 1.00
0246      H = 1.00
0247      H = 1.00
0248      H = 1.00
0249      H = 1.00
0250      H = 1.00
0251      H = 1.00
0252      H = 1.00
0253      H = 1.00
0254      H = 1.00
0255      H = 1.00
0256      H = 1.00
0257      H = 1.00
0258      H = 1.00
0259      H = 1.00
0260      H = 1.00
0261      H = 1.00
0262      H = 1.00
0263      H = 1.00
0264      H = 1.00
0265      H = 1.00
0266      H = 1.00
0267      H = 1.00
0268      H = 1.00
0269      H = 1.00
0270      H = 1.00
0271      H = 1.00
0272      H = 1.00
0273      H = 1.00
0274      H = 1.00

```



```

0270 AI(8,2) = 0.250+00*(W(4)+W(8))
0271 AI(8,3) = 0.250+00*(W(5)-W(7))
0272 AI(7,3) = W(1)/2.00+00-(W(10)-W(19))/4.00+00
0273 AI(7,4) = W(2)/2.00+00-(W(11)-W(20))/4.00+00
0274 AI(6,1) = AI(6,1)
0275 AI(8,2) = AI(6,1)
0276 AI(6,4) = AI(5,3)
0277 AI(8,4) = AI(5,3)
0278 AI(7,4) = AI(5,2)
0279 AI(7,4) = AI(5,2)
0280 AI(8,4) = W(1)/2.00+00-(W(10)+W(19))/4.00+00
0281 AI(8,4) = W(2)/2.00+00-(W(11)+W(20))/4.00+00
0282 AI(6,5) = -AI(2,1)
0283 AI(6,5) = -AI(2,1)
0284 AI(7,5) = -AI(3,1)
0285 AI(7,5) = -AI(3,1)
0286 AI(8,6) = -AI(3,1)
0287 AI(8,6) = -AI(3,1)
0288 AI(8,7) = -AI(2,1)
0289 AI(8,7) = -AI(2,1)
0290 CALL HTRIPI (NM,N,AP,AI,D,E,F2,TAU)
0291 CALL IMTOLI (N,D,E,IERP)
0292 FREQ = D(9-I)-D(1)
0293 IF(DABS(FREQQ-FREQ)-3.00+00)16,16,17
0294 16 HF(I) = H
0295 GO TO 13
0296 17 H = H*(FREQQ/FREQ)
0297 GO TO 14
0298 14 IF(I-4)19,20,20
0299 I = I+1
0300 GO TO 50
0301 22 WRITE(6,25) (HF(I),I = 1,4)
0302 25 FORMAT(4F13.2)
0303 IF(J-6)26,10,10
0304 J = J+1
0305 GO TO 60
0306 10 IF(OMEGA-0.00+00)121,121,90
0307 90 OMEGA = -OMEGA
0308 PSI = -PSI
0309 GO TO 65
0310 121 IF(JJ-2)150,203,203
0311 150 NOTE: JJ=1 IS THE FIRST LAYER OF OCTAHEDRA AND JJ=3 IS THE
0312 SECOND LAYER
0313 JJ = JJ+2
0314 GO TO 70
0315 200 IF(THETA-4.50+01)27,22,29
0316 20 IF(ALPHA-9.00+01)201,202,202
0317 201 ALPHA = ALPHA+9.00+01
0318 GO TO 170
0319 202 THETA = THETA
0320 CONTINUE
0321 STOP
0322 END

```

APPENDIX E

DIAGONALIZATION SUBROUTINES

```

0001      SUBROUTINE HTRIDI(NM,N,AR,AI,D,E,E2,TAU)
C
0002      INTEGER I,J,K,L,N,II,NM,JPI
0003      REAL*8 AR(NM,N),AI(NM,N),D(N),E(N),E2(N),TAU(2,N)
0004      REAL*8 F,FI,G,G1,H,HH,SI,SCALE
0005      REAL*8 DSQRT,CDABS,DABS
0006      COMPLEX*16 DCMPLX

C
C
C      THIS SUBROUTINE IS A TRANSLATION OF A COMPLEX ANALOGUE OF
C      THE ALGOL PROCEDURE TRED1, NUM. MATH. 11, 181-195(1968)
C      BY MARTIN, REINSCH, AND WILKINSON.
C      HANDBOOK FOR AUTO. COMP., VOL.II-LINEAR ALGEBRA, 212-226(1971).
C
C      THIS SUBROUTINE REDUCES A COMPLEX HERMITIAN MATRIX
C      TO A REAL SYMMETRIC TRIDIAGONAL MATRIX USING
C      UNITARY SIMILARITY TRANSFORMATIONS.
C
C      ON INPUT:
C
C      NM MUST BE SET TO THE ROW DIMENSION OF TWO-DIMENSIONAL
C      ARRAY PARAMETERS AS DECLARED IN THE CALLING PROGRAM
C      DIMENSION STATEMENT;
C
C      N IS THE ORDER OF THE MATRIX;
C
C      AR AND AI CONTAIN THE REAL AND IMAGINARY PARTS,
C      RESPECTIVELY, OF THE COMPLEX HERMITIAN INPUT MATRIX.
C      ONLY THE LOWER TRIANGLE OF THE MATRIX NEED BE SUPPLIED.
C
0007      CONTINUE
C      ON OUTPUT:
C
C      AP AND AI CONTAIN INFORMATION ABOUT THE UNITARY TRANS-
C      FORMATIONS USED IN THE REDUCTION IN THEIR FULL LOWER
C      TRIANGLES. THEIR STRICT UPPER TRIANGLES AND THE
C      DIAGONAL OF AR ARE UNALTERED;
C
C      D CONTAINS THE DIAGONAL ELEMENTS OF THE THE TRIDIAGONAL MATRIX;
C
C      E CONTAINS THE SUBDIAGONAL ELEMENTS OF THE TRIDIAGONAL
C      MATRIX IN ITS LAST N-1 POSITIONS. E(1) IS SET TO ZERO;
C
C      E2 CONTAINS THE SQUARES OF THE CORRESPONDING ELEMENTS OF E.
C      E2 MAY COINCIDE WITH E IF THE SQUARES ARE NOT NEEDED;
C
C      TAU CONTAINS FURTHER INFORMATION ABOUT THE TRANSFORMATIONS.
C
C      ARITHMETIC IS REAL EXCEPT FOR THE USE OF THE SUBROUTINES
C      CDABS AND DCMPLX IN COMPUTING COMPLEX ABSOLUTE VALUES.
C
C      QUESTIONS AND COMMENTS SHOULD BE DIRECTED TO R. S. GARROW,
C      APPLIED MATHEMATICS DIVISION, ARGONNE NATIONAL LABORATORY
C
C-----
0008      TAU(1,1) = 1.000
0009      TAU(2,1) = 0.000
    
```

84210005  
84210006  
84210007  
84210008  
84210009  
84210010  
84210011  
84210012  
84210013  
84210014  
84210015  
84210016  
84210017  
84210018  
84210019  
84210020  
84210021  
84210022  
84210023  
84210024  
84210025  
84210026  
84210027  
84210028  
84210029  
84210030  
84210031  
84210032  
84210033  
84210034  
84210035  
84210036  
84210037  
84210038  
84210039  
84210040  
84210041  
84210042  
84210043  
84210044  
84210045  
84210046  
84210047  
84210048  
84210049  
84210050  
84210051  
84210052  
84210053  
84210054  
84210055  
84210056  
84210057  
84210058  
84210059  
84210060

```

0010      DO 100 I = 1, N
0011      D(I) = AR(I,I)
C
C          :::::::::: FOR I=N STEP -1 UNTIL 1 DO -- ::::::::::
0012      DO 300 II = 1, N
0013          I = N + 1 - II
0014          L = I - 1
0015          H = 0.000
0016          SCALE = 0.000
0017          IF (L .LT. 1) GO TO 130
C          :::::::::: SCALE ROW (ALGOL TDL THEN NOT NEEDED) ::::::::::
0018      DO 120 K = 1, L
0019          SCALE = SCALE + DABS(AR(I,K)) + DABS(AI(I,K))
C
C          IF (SCALE .NE. 0.000) GO TO 140
0020          TAU(1,L) = 1.000
0021          TAU(2,L) = 0.000
0022          E(I) = 0.000
0023          E2(I) = 0.000
0024          GO TO 290
C
C          DO 150 K = 1, L
0026          DO 150 K = 1, L
0027              AR(I,K) = AR(I,K) / SCALE
0028              AI(I,K) = AI(I,K) / SCALE
0029              H = H + AR(I,K) * AR(I,K) + AI(I,K) * AI(I,K)
0030          CONTINUE
C
C          E2(I) = SCALE * SCALE * H
0031          G = DSQRT(H)
0032          E(I) = SCALE * G
0033          F = CDABS(CCMPLX(AR(I,L),AI(I,L)))
0034          :::::::::: FORM NEXT DIAGONAL ELEMENT OF MATRIX T ::::::::::
C          IF (F .EQ. 0.000) GO TO 160
0035          TAU(1,L) = (AI(I,L) * TAU(2,I) - AR(I,L) * TAU(1,I)) / F
0036          SI = (AR(I,L) * TAU(2,I) + AI(I,L) * TAU(1,I)) / F
0037          H = H + F * G
0038          G = 1.000 + G / F
0039          AR(I,L) = G * AR(I,L)
0040          AI(I,L) = G * AI(I,L)
0041          IF (L .EQ. 1) GO TO 270
0042          GO TO 170
C          TAU(1,L) = -TAU(1,I)
0043          SI = TAU(2,I)
0044          AR(I,L) = G
0045          F = 0.000
C          DO 240 J = 1, L
0046          DO 240 J = 1, L
0047          G = 0.000
0048          GI = 0.000
C          :::::::::: FORM ELEMENT OF A*U ::::::::::
0049          DO 120 K = 1, J
0050          SI = G + AR(J,K) * AR(I,K) + AI(J,K) * AI(I,K)
0051          GI = GI - AR(J,K) * AI(I,K) + AI(J,K) * AR(I,K)
0052          CONTINUE
C          JPI = J + 1
0053          IF (L .LT. JPI) GO TO 220
0054
0055
0056

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0057          DO 200 K = JP1, L                      84210119
0058          G = G + AR(K,J) * AR(I,K) - AI(K,J) * AI(I,K) 84210120
0059          GI = GI - AR(K,J) * AI(I,K) - AI(K,J) * AR(I,K) 84210121
0060          C 200 CONTINUE                          84210122
          C 220 ::::::::::: FORM ELEMENT OF P ::::::::::: 84210123
          E(J) = G / H                               84210124
          TAU(2,J) = GI / H                          84210125
0061          F = F + E(J) * AR(I,J) - TAU(2,J) * AI(I,J) 84210126
0062          C 240 CONTINUE                          84210127
0063          C                                     84210128
0064          C                                     84210129
0065          C 260 HH = F / (H + H)                 84210130
          C 260 ::::::::::: FORM REDUCED A ::::::::::: 84210131
          C 260 DO 260 J = 1, L                     84210132
          F = AR(I,J)                               84210133
          G = E(J) - HH * F                          84210134
          E(J) = G                                    84210135
          FI = -AI(I,J)                              84210136
          GI = TAU(2,J) - HH * FI                    84210137
          TAU(2,J) = -GI                             84210138
          C 260 DO 260 K = 1, J                       84210139
          AR(J,K) = AR(J,K) - F * E(K) - G * AR(I,K) 84210140
          + FI * TAU(2,K) + GI * AI(I,K)            84210141
          AI(J,K) = AI(J,K) - F * TAU(2,K) - G * AI(I,K) 84210142
          - FI * E(K) - GI * AR(I,K)                84210143
          C 260 X CONTINUE                          84210144
          C 270 DO 280 K = 1, L                       84210145
          AR(I,K) = SCALE * AR(I,K)                 84210146
          AI(I,K) = SCALE * AI(I,K)                 84210147
          C 280 CONTINUE                          84210148
          C 290 TAU(2,L) = -SI                       84210149
          HH = D(I)                                  84210151
          D(I) = AR(I,I)                             84210152
          AR(I,I) = HH                               84210153
          AI(I,I) = HH                               84210154
          C 300 AI(I,I) = SCALE * SCALE * H          84210155
          CONTINUE                                  84210156
          C 300 RETURN                               84210157
          C 300 ::::::::::: LAST CARD OF HTRIDI ::::::::::: 84210158
          C 300 END                                  84210159
          C 300                                     84210160

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```
0001 SUBROUTINE IMTQL1(K,D,E,IFRR) 91210004
0002 INTEGER I,J,L,M,N,II,MML,IERR 91210005
0003 REAL*8 D(N),E(N) 91210006
0004 REAL*8 B,C,F,G,P,R,S,MACHEP 91210007
0005 REAL*8 DSORT,DABS,DSIGN 91210008
                                91210009
                                91210010
                                91210011
C THIS SUBROUTINE IS A TRANSLATION OF THE ALGOL PROCEDURE IMTQL1,
C NUM. MATH. 12, 377-383(1969) BY MARTIN AND WILKINSON,
C AS MODIFIED IN NUM. MATH. 15, 450(1970) BY DUBRULLE.
C HANDBOOK FOR AUTO. COMP., VOL.II-LINEAR ALGEBRA, 241-248(1971).
C THIS SUBROUTINE FINDS THE EIGENVALUES OF A SYMMETRIC
C TRIDIAGONAL MATRIX BY THE IMPLICIT QL METHOD.
C ON INPUT:
C N IS THE ORDER OF THE MATRIX;
C D CONTAINS THE DIAGONAL ELEMENTS OF THE INPUT MATRIX;
C E CONTAINS THE SUBDIAGONAL ELEMENTS OF THE INPUT MATRIX
C IN ITS LAST N-1 POSITIONS. E(1) IS ARBITRARY.
0006 CONTINUE
C ON OUTPUT:
C D CONTAINS THE EIGENVALUES IN ASCENDING ORDER. IF AN
C ERROR EXIT IS MADE, THE EIGENVALUES ARE CORRECT AND
C ORDERED FOR INDICES 1,2,...,IEPR-1, BUT MAY NOT BE
C THE SMALLEST EIGENVALUES;
C E HAS BEEN DESTROYED;
C IEPR IS SET TO
C 7 FOR NORMAL RETURN,
C J IF THE J-TH EIGENVALUE HAS NOT BEEN
C DETERMINED AFTER 30 ITERATIONS.
C QUESTIONS AND COMMENTS SHOULD BE DIRECTED TO B. S. GARROW,
C APPLIED MATHEMATICS DIVISION, ARGONNE NATIONAL LABORATORY
-----
C :::::::::: MACHEP IS A MACHINE DEPENDENT PARAMETER SPECIFYING
C THE RELATIVE PRECISION OF FLOATING POINT ARITHMETIC.
C MACHEP = 16.000**(-13) FOR LONG FORM ARITHMETIC
C ON S360 ::::::::::
0007 DATA MACHEP/7341000000000000/ 91210050
0008 IPR = 0 91210051
0009 IF (M .EQ. 1) GO TO 1001 91210052
                                91210053
                                91210054
                                91210055
                                91210056
                                91210057
0010 DO 10 I = 2, 91210058
0011 100 F(I-1) = E(I) 91210059
                                91210060
0012 F(N) = 0.000 91210061
```

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0013      DO 200 L = 1, N
0014      J = 0
C          ::::::::::: LOOK FOR SMALL SUB-DIAGONAL ELEMENT :::::::::::
0015      105      DO 110 4 = L, N
0016          IF (M .EQ. N) GO TO 120
0017          IF (DABS(E(M)) .LE. MACHEP * (DABS(D(M)) + DABS(D(M+1))))
C          GO TO 120
0018      110      CONTINUE
C          120      P = D(L)
0019          IF (M .EQ. L) GO TO 215
0020          IF (J .EQ. 30) GO TO 1000
0021          J = J + 1
C          ::::::::::: FORM SHIFT :::::::::::
0022          G = (D(L+1) - P) / (2.000 * E(L))
0023          R = DSQRT(G*G+1.000)
0024          S = J(M) - P + E(L) / (G + DSIGN(R,G))
0025          G = 1.000
0026          C = 1.000
0027          P = 0.000
0028          MML = M - L
C          ::::::::::: FOR I=M-1 STEP -1 UNTIL L DO -- :::::::::::
0029      200      II = 1, MML
0030          I = M - II
0031          F = S * E(I)
0032          B = C * E(I)
0033          IF (DABS(F) .LT. DABS(G)) GO TO 150
0034          C = G / F
0035          K = DSQRT(C*C+1.000)
0036          E(I+1) = F * R
0037          S = 1.000 / R
0038          G = C * S
0039          GO TO 160
0040          150      S = F / G
0041          R = DSQRT(S*S+1.000)
0042          T(I+1) = G * R
0043          C = 1.000 / F
0044          S = S * C
0045          160      G = D(I+1) - P
0046          B = (E(I) - G) * S + 2.000 * C * B
0047          P = S * P
0048          D(I+1) = G + P
0049          G = C * R - B
0050      200      CONTINUE
C          D(L) = D(L) - P
0051          T(L) = C
0052          E(M) = 0.000
0053          GO TO 105
C          ::::::::::: ORDER EIGENVALUFS :::::::::::
0054      215      IF (L .EQ. 1) GO TO 250
C          ::::::::::: FOR I=L STEP -1 UNTIL 2 DO -- :::::::::::
0055      230      II = 2, L
0056          I = L + 1 - II
0057          IF (DABS(E(I-1)) .GT. 1) GO TO 270
0058          D(I) = D(I-1)
0059          CONTINUE
0060      230      CONTINUE
0061

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0062      250      I = 1
0063      270      D(I) = P
0064      290      CONTINUE
0065      C          GO TO 1001
0066      C          :::::::::: SET ERROR -- NO CONVERGENCE TO AN
0067      C          1000      IERR = L      EIGENVALUE AFTER 30 ITERATIONS ::::::::::
0068      C          1001      RETURN
0068      C          :::::::::: LAST CARD OF IMTOL1 ::::::::::
0068      END
```

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VITA

Mark Allen Young

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Doctor of Philosophy

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