

C O N T E N T S
MINUTES OF A REGULAR MEETING
THE UNIVERSITY OF OKLAHOMA BOARD OF REGENTS
DECEMBER 4, 1992

Minutes (23159)

THE UNIVERSITY OF OKLAHOMA

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**MINUTES OF A REGULAR MEETING
THE UNIVERSITY OF OKLAHOMA BOARD OF REGENTS
DECEMBER 4, 1992**

A regular meeting of the Board of Regents of The University of Oklahoma was called to order in the Board Room of the Foundation Building on the Norman Campus of the University beginning at 9:08 a.m. on Friday, December 4, 1992.

The following Regents were present: Regent E. Murray Gullatt, Chairman of the Board, presiding; Regents J. Cooper West, Ada Lois Sipuel Fisher, C. S. Lewis III, G. T. Blankenship, Larry C. Brawner, and Melvin C. Hall.

Others attending all or a part of the meeting included Dr. Richard L. Van Horn, President of The University of Oklahoma, Provosts James F. Kimpel and Jay H. Stein, Vice Presidents Fred J. Bennett, Arthur J. Elbert, Jerry B. Farley, and Roland M. Smith, Mr. Fred Gipson, Mr. Robert P. White, Ms. Beth Wilson, and Dr. Chris Purcell, Executive Secretary of the Board of Regents.

Those attending the meeting from Cameron University were Dr. Don Davis, President of the University, Vice Presidents Louise Brown, Terral McKellips, and Don Sullivan, and John Sterling, Controller.

Notice of the time, date, and place of this meeting was submitted to the Secretary of State, and the agenda was posted in the Office of the Board of Regents on or before 9:00 a.m. on December 3, 1992, both as required by 25 O.S. 1981, Section 301-314.

THE UNIVERSITY OF OKLAHOMA

MINUTES

Regent Hall moved approval of the minutes of the Finance and Audit Committee meeting and the minutes of the regular meeting, both held on November 10, 1992. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the minutes unanimously approved.

REPORT OF THE PRESIDENT OF THE UNIVERSITY

President Van Horn gave the following report:

OU Press Recognition

The University of Oklahoma Press is the first publisher to receive the Barbara Sudler Award, established in 1990 by the Colorado Historical Society to recognize distinguished works on Western history by women authors, historians, editors and poets. Julie Roy Jeffrey, the author of the OU Press book, Converting the West: A Biography of Narcissa Whitman, also was recognized.

Student Honors

David Hood, a senior history major from Guthrie, is a State finalist for the Rhodes Scholar competition. He is the only student enrolled in an Oklahoma school to be selected for the honor. Regional interviews take place December 4 in Austin, Texas.

Robert W. Lang, a graduate student in the College of Business Administration, was one of six graduate students from across the nation selected as a Richard D. Irwin Fellow and invited to participate in the 1992 Richard D. Irwin/Beta Gamma Sigma National Seminar in San Diego.

Faculty Achievements

W. H. Raymond Yeh, Dean of the College of Architecture, was named 1992 Outstanding Asian American by the Asia Society of Oklahoma.

Scott L. Collins, Associate Professor of Botany and Microbiology, is serving the National Science Foundation as Visiting Program Director of Ecological Studies through mid-May, 1993.

Grants and Contracts

A grant of more than \$700,000 from the Economic Development Administration of the U.S. Department of Commerce will establish the Oklahoma Center for Urban and Regional Studies at the University. The center will provide economic development technical assistance, research, internships and teaching services targeted to specific needs of regions within the state. The grant was awarded to Chris Shove, Assistant Professor of Regional and City Planning in the College of Architecture, and Francine Gissy, Program Director of the Center for Business and Economic Development in the College of Continuing Education.

The College of Continuing Education received \$9.5 million in federal funds during the past month. Grants and contracts include \$3.2 million for the Southwest Regional Center for Drug-Free Schools and Communities from the U.S. Department of Education; \$5.6 million for training from the Department of Transportation's Federal Aviation Administration; and \$700,000 from the U.S. Department of Education for a nine-state bilingual education project. Project managers are Mike Lowther, Rex Kimmel and Hy Tran.

REPORT OF THE CHAIRMAN OF THE BOARD OF REGENTS

Regent Gullatt said we are saddened by the passing of our friend and former Regent Leonard Savage. Regent Savage, who was a successful attorney and businessman, contributed his time and energy to this Board from 1955 until 1963. He was a devoted alumnus who also served the University as a Trustee of the OU Foundation. In 1971, The University of Oklahoma honored Regent Savage with the Distinguished Service Citation. The University of Oklahoma community is grateful for the privilege of having been a part of Regent Savage's life, and we send our condolences to his wife, Mrs. Phoebe Savage, and the family.

ACADEMIC ENRICHMENT

Concerns about ethical abuses in televised political advertising have grown substantially as a result of developing video technology. Dr. Lynda Lee Kaid, Professor of Communication, described and illustrated with campaign commercials her pilot project on "Ethics in Televised Political Advertising: The Abuse of Technology." Funded by the National Science Foundation, this pilot project is designed to analyze the ways in which modern computer and audio/video technologies have been used to create ethically suspect television spots in political campaigns. Professor Kaid showed video taped samples of technical distortions. These distortions provide false and misleading impressions of the candidates for viewers.

Guidelines to be used in evaluating technological distortions were developed by a panel of ethics experts. Using these guidelines, the project has already determined that nearly 15 percent of a sample of ads from 1952 through 1992 contained ethically suspect uses of technology in their production and presentation. The project relies on OU's Political Commercial Archive to develop samples of spots for testing. It is anticipated that this pilot project will lead to a larger study designed to apply the guidelines to a comprehensive sample of spots and to test the extent to which the technological distortions affect the public's ability to make sound voting decisions.

COLLEGE OF EDUCATION EXTERNAL REVIEWS

The College of Education has just completed three major evaluations by the National Council for Accreditation of Teacher Education (NCATE), the State Department of Education, and the Oklahoma State Regents for Higher Education. The results of these evaluations have been extremely positive. The College's undergraduate and graduate programs have been given high marks. The NCATE and the State Department evaluation teams indicated that The University of Oklahoma College of Education has one of the strongest programs they had reviewed and identified more strengths in the final report than any of the experienced NCATE team members could ever remember being given to a college.

The strengths noted by the State Department and NCATE site examiners included (1) the strong research base for the new five-year teacher preparation program; (2) the strong academic content requirements in arts and sciences; (3) the excellent young research faculty; (4) the extensive, high-quality practicum experiences in rural, urban, and suburban schools; (5) the strong, positive relationship between the College of Education and other colleges on the Norman Campus and with the public schools; (6) the successful recruitment of minority students and minority and women faculty; and (7) the excellent induction program for new faculty. The College is seen as a leader in the State with a growing national reputation.

The findings of the State Department and NCATE were strongly supported by the external evaluation team that recently completed a systemwide program review of teacher education for the Oklahoma State Regents for Higher Education. With limited exceptions, their recommendations for improving teacher education in Oklahoma are already in place or being implemented at OU as part of the new five-year teacher preparation program approved more than two and one-half years ago by The University of Oklahoma Regents. The five-year teacher preparation program is now in its second year of implementation.

Senior Vice President and Provost James F. Kimpel and Dean Fred Wood were present to comment on the reviews. Dean Wood said the College is striving to become a national leader and the Strategic Planning process provides an opportunity to achieve national recognition.

1991-92 EXTERNAL AUDIT AND ANNUAL FINANCIAL REPORTS

Deloitte and Touche has completed the University audit for the year ended June 30, 1992. A copy of the Independent Auditor's Report and the Annual Financial Reports were included with agenda materials sent to the Board.

The audit was conducted in accordance with generally accepted auditing standards and in accordance with the engagement letter dated May 6, 1992. Based upon the audit, Deloitte and Touche issued opinions that the financial statements of both the Norman and Health Sciences Center campuses present fairly, in all material respects, the financial position of the University. The complete opinion statements for both campuses are included in the audit report.

In addition, Deloitte and Touche issued a Letter of Recommendations outlining recommendations to improve internal control for each campus. This letter was distributed with the agenda and included a management response to each recommendation.

Mr. Joe Evans and Mr. Don Williams, representatives of Deloitte and Touche, were present at the meeting. Mr. Evans reviewed each of the management recommendations and the status of prior year recommendations. Mr. Williams reported on the University's financial position. Both Mr. Evans and Mr. Williams expressed appreciation for the cooperation they received and thanked staff members for being supportive during the audit.

MONTHLY FINANCIAL ANALYSIS

The Monthly Financial Analysis for the four months ended October 31, 1992 was included in the agenda and is attached hereto as Exhibit A. Vice Presidents Elbert and Farley reviewed highlights and presented the following comments:

SCHEDULE OF REVENUES AND EXPENDITURES:

BUDGET CHANGES:

Total Revenue budget increased \$243,765 and total Expenditure budget increased \$218,765 due to the following:

Norman Campus:

Educational & General, Part I:

(a)	Increase in net tuition income due to adjustment in budgeted fee waivers to fund a portion of Phase II academic salary and wage increases	\$200,000
(b)	Miscellaneous budget adjustments in various units to bring budgets more in line with actual	<u>18,765</u>
		\$218,765

Auxiliary Enterprise:

Revenue budget increased to reflect an increase in the University's contract with Barnes & Noble 25,000

\$243,765

Health Sciences Center:

No changes were reported.

REVENUES:

During the first four months of the fiscal year, revenues realized (Schedule I, Sub-total Revenue line) are approximately \$165 million and 34.2% of the current revised annual budget. This is comparable to last year's revenues of \$166 million and 35.5% of budget. Revenue line items with variances from the fiscal year norm (33.3%) by more than five (5) percentage points are:

1. Student Tuition and Fees are at 54.7% of the current revised annual budget, as compared to 55.7% last year. At the Norman Campus, revenues are at 57.4% of budget due to the recording of student accounts receivable at the beginning of the semester. Collections of this revenue occur over the course of the semester. At the Health Sciences Center, revenues are at 42.7% of budget due to the timing of fee payment deadlines for fall tuition.
2. Endowment Income is at 68.1% of the current revised annual budget. At the Norman Campus, revenues are at 43.1% of budget as a result of a \$93,577 addition to the Regents' Fund endowments from investment activity previously reported. Excluding this addition, revenues are at 34.8% of budget, well in line with the fiscal year norm of 33.3%. At the Health Sciences Center, revenues are at 99.1% of budget due to the timing of reimbursement requests and related cash receipts from The University of Oklahoma Foundation, the Regents' Fund, and the Oklahoma State Regents' Endowment Program.
3. Sales & Service of Educational Activities income is at 26% of the current revised annual budget as compared to 25.7% last year. At the Health Sciences Center, revenues are at 9.9% of budget. Revenue in this category is dependent upon cash receipts and transfers from student clinical activities. During the first few months of the new fiscal year, there is little revenue activity.

EXPENDITURES:

During the first four months of the fiscal year, total expenditures (Schedule I, Total Expenditures line) of approximately \$154 million are at 32% of the current revised annual budget. As of October 31, 1992, only one expenditure line item had a variance from the fiscal year norm (33.3%) by more than five (5) percentage points:

1. Premiums-Property/Liability Insurance expenditures of \$531,995 are at 14.7% of the current revised annual budget compared to a similar level last year. Expenditures in this category are cyclical in nature with the majority occurring at mid-fiscal year when medical liability insurance is renewed.

CURRENT REVENUES OVER/(UNDER) EXPENDITURES:

At October 31, 1992, revenues exceeded expenditures by \$12,156,462. At the Norman Campus, revenues exceeded expenditures by \$10,756,448 due primarily to the recognition of student tuition and fees on an accrual basis. This excess will be gradually reduced as cash disbursements are processed against these revenues. At the Health Sciences Center, revenues exceeded expenditures by \$1,400,014 compared to \$3,066,691 reported during the prior year. The excess reported in the prior year was due to improved collection of External Service Unit accounts receivable. Overall, the level of revenues and expenditures reported for the first four months appears reasonable.

SUMMARY OF RESERVES:

GENERAL UNIVERSITY RESERVES:

Total General University Reserves decreased \$77,851 from the September report.

Norman Campus reserves of \$7,069,501 decreased \$83,172 from the September report. The Agency Special Reserves decreased \$92,506 due primarily to normal operating expenditures offset by increases for investment earnings. This decrease in Agency Special Reserves was offset by a \$9,334 increase in Revenue Bond Reserves in Excess of Required Amounts resulting from investment earnings.

Health Sciences Center reserves of \$4,293,115 increased \$5,321 from the September report. Agency Special Reserves increased \$540 and Revenue Bond Reserves in Excess of Required Amounts increased \$4,781 as a result of investment earnings.

AUXILIARY ENTERPRISES & SERVICE UNIT DESIGNATED RESERVES:

Total Auxiliary Enterprise & Service Unit Designated Reserves decreased \$79,389 from the September report.

Norman Campus reserves of \$23,243,289 decreased \$64,251 from the September report due to normal operational activities in accordance with the Regents' reserve policies.

Health Sciences Center reserves of \$3,953,946 decreased \$15,138 from the September report. This decrease resulted primarily from the use of Service Unit Renewal & Replacement and Capital Improvements reserves in accordance with established fiscal policies.

STATEMENT OF PLANT FUNDS:

The Total Project Budget remained unchanged from September's report.

Allotment-This-Year increased \$1,011,252 and consisted of the following projects:

Norman Campus

Section 13/New College Projects:

Faculty Space and Equipment 1993	\$116,848
Research and Instructional Equipment 1993	100,000
Computer Equip. for Administrative Units 1993	150,000
Compressed Video Facility for UCT Instr. 1993	100,000
Dept. of Public Safety Telecomm. Equip. 1993	75,000
Asbestos Removal 1993	<u>25,000</u>
Total	<u>566,848</u>

Bond Funds:

Huston Huffman Center Floor Installation	142,784
Goddard Health Center Improvements	<u>61,520</u>
Total	<u>204,304</u>
Total Norman Campus	<u>\$ 771,152</u>

Health Sciences Center**Section 13/New College Projects:**

Basic Sci. Ed. Bldg. Res. Space Conversion	\$100,000
Microscopes for Oral Pathology	18,000
Environmental Safety Renovation & Equipment	66,100
Development Office Remodel	13,800
Basic Sci. Ed. Bldg. Lecture Hall Sound Sys.	25,200
Computer Network Wiring for Nursing	7,000
Dentistry Safety Improvement	<u>10,000</u>
Total	<u>\$240,100</u>

Regent Gullatt commented that with the prospect of no increase in State funding for the next year or two, the University needs to examine in detail all areas and expenditures to find ways to be more efficient and cost effective in order to be prepared for flat or even reduced funding.

L. DALE MITCHELL BASEBALL PARK IMPROVEMENTS

A donor has agreed to provide \$100,000 to The University of Oklahoma Foundation, Inc. to help fund a number of improvements to the L. Dale Mitchell Baseball Park. The major improvements include the removal of the lower eight rows of bench seats and the installation of approximately 800 new chairback seats. A limited number of seats and spaces adjacent to the seats will be arranged to comply with the provisions of the Americans with Disabilities Act. The new chairback seats are to be marketed to donors in an effort to raise additional monies for The University of Oklahoma baseball program.

Other improvements include the lowering of the roof structures of the two existing dugouts in order to improve the views for the fans, the construction of additional seating areas behind the dugouts, as well as lengthening each of the two dugouts by approximately 30 feet in order to improve the facilities for the baseball program.

Total cost of these improvements is estimated to be \$150,000. It is proposed that the balance of funds required for the project be obtained from the Joe Elwood Blair endowment fund currently held at the OU Foundation.

Plans and specifications have been prepared at the request of the donor by the McKinney Partnership of Norman, Oklahoma and have been reviewed by Athletic Department personnel and other University staff. In an effort to have the improvements in place at the start of the 1993 baseball season, it is proposed that the OU Foundation act as contracting agent for the project.

President Van Horn recommended that the Board of Regents (1) accept a gift of \$100,000 for the installation of chairback seating, the enlargement of the spectator seating area, and other related improvements at the L. Dale Mitchell Baseball Park; (2) authorize the use of approximately \$50,000 from the Joe Elwood Blair endowment fund, currently held at The University of Oklahoma Foundation, Inc., to complete the funding for the project ; (3) approve the final plans and specifications for the project; and (4) request The University of Oklahoma Foundation, Inc. to act as the contracting agent for the accomplishment of this project.

Athletic Director Donnie Duncan was present to respond to questions. Using an architect's sketch of the park, he illustrated the improvements to be made.

Regent Blankenship moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

REVISION OF POLICY AND GUIDELINES FOR ADMINISTRATION OF STUDENT ACTIVITY FEE FUNDS

The executive and legislative branches of The University of Oklahoma Student Association (UOSA) expressed concern that current Regents' policy referring to Student Activity Fee distribution and decision making does not adequately reflect the involvement of the Graduate Student Senate. The proposed policy includes the Graduate Student Senate and its representative leadership in the decision making process.

Additional changes recommended during this review update the policy language to accurately reflect the organization of Student Affairs, the offices involved in the Student Activity Fee budget process and funding priorities.

Finally, the former policy recommended that UOSA develop policy language to identify gross budget allocation guidelines for Student Activity Fee funds to aid UOSA Congress and Senate and their respective Ways and Means Committees in planning for the following year. Revisions are now included to fulfill that Regents' recommendation.

A copy of the complete policy with revisions is as follows (additions are underlined and deletions are lined through):

Student Activity Fee (Norman Campus)

The Student Activity Fee is that portion of the University's budget which is earmarked by the State Regents for Higher Education for student governmental, recreational, social, and entertainment programs, for health care services, and student publications. It is the responsibility of the Regents to see that the Student Activity Fee is utilized to meet needs in these extracurricular areas.

The President of the University, as its chief executive officer, presents recommendations to the Regents on the distribution of all University funds, including the Student Activity Fee.

As the officer of the University most directly related to nonacademic matters of student life, the Vice President for Student Affairs has immediate administrative responsibility for the Student Activity Fee portion of the University budget, and the budget units funded from this source.

In 1969, actions of the Regents gave a significant delegation of responsibility to the UOSA congress in planning the budgets for all of those activities traditionally labeled as student activities. Since this time, UOSA has had a special role within the University. Its officers are not staff of the University - in the usual sense - but they exercise traditional staff functions and responsibilities. They plan programs, determine priorities, and prepare budget recommendations for very significant annual allocations. Many of the operating procedures involving UOSA have developed as personal agreements rather than clearly written policy, and the turnover of student government has made continuity in record keeping and procedural agreements difficult to maintain. It is now important to clarify administrative relationships as established, written policy.

The UOSA Constitution was approved by the Regents on April 17, 1969 with the following resolution:

"That under Article VIII (the article dealing with UOSA Congressional responsibility for appropriations) the action of the Student Congress shall be considered a recommendation to the President and to the Regents in the same manner as other budget requests from the various colleges are recommendations."

~~Such Student Activity Fee Committee~~ recommendations from UOSA are forwarded through the Vice President for Student Affairs to the President. All policy recommendations should follow the same administrative route.

Additionally, the Regents resolved that it was the intention under Article VIII to allocate to UOSA "that portion of the Student Activity Fee which has not been obligated by the Regents. . ."

It was not the intention of the Regents to delegate to UOSA the budget responsibility for those services of the University such as ~~the Student Health Center, the student newspaper, the Student Union, and the regularly budgeted staff of the student activities office.~~ the Goddard Health Center, The Oklahoma Daily, CART, Recreational Services, and Student Services. It was the intention to increase the involvement and the responsibility of student government in administering entertainment, recreational, organizational, educational, and social programs consistent with the purpose of the Student Activity Fee. The Regents reaffirm this intent.

No agency of state government has autonomy, and the use of state money (the Student Activity Fee is so defined) must have statutory and institutional controls. The policy statement on the handling of agency and auxiliary accounts which has been developed by the Office of the Vice President for Administrative Affairs is an effective step in remedying some of the accounting and administrative shortcomings which have existed, but it should not diminish the participation and the responsibility of students in planning and carrying out the activities of student government within reasonable guidelines.

In order to provide assistance with the preparation of and adherence to the UOSA budget, and to insure that all transactions are in conformance with University and State policies and requirements, a full-time professional staff member from the Student Affairs area shall serve as sponsor of all UOSA student government accounts. The selection of the account sponsor shall have the approval of both the UOSA President and the Vice President for Student Affairs. Additionally, the Office of ~~Auxiliary Accounting Student Affairs~~ shall maintain a set of ~~departmental~~ accounting records for each of the UOSA government accounts and provide this information to the account sponsor. The account sponsor will not be authorized to withhold any disbursements that meet State and institutional requirements.

The following guidelines shall govern the distribution of the Student Activity Fee and shall supersede any conflicting action of the Regents concerning the role of the UOSA Congress and Graduate Student Senate with regard to appropriations:

(1) The officer of the University who is charged with the administrative responsibility for those services and programs funded from the Student Activity Fee is the Vice President for Student Affairs. He/she is expected to recommend to the President the budgets for the ~~Student Health Service, The Oklahoma Daily, the Student Union Debt Service, and the Student Activities Office in the Center for Student Development~~ the Goddard Health Center, Counseling and Testing Services, The Oklahoma Daily, Recreational Services, Student Services, Campus Transportation and the Facilities Bond. Because of the obvious student interest in these budget units, the following budget procedure is established to insure student input from the UOSA:

a. A Budget Committee of the UOSA will be established consisting of the President, Chair of Student Congress, and Chair of Graduate Student Senate. Before recommendations regarding the allocation to UOSA are finalized, input from the Chair of the Ways and Means ~~Committee of Student Congress~~ Committees of Student Congress and Graduate Student Senate is required.

b. As soon as possible after the Spring elections, the Budget Committee will meet with the Vice President for Student Affairs for the purpose of reviewing the ~~anticipated~~ proposed budget for the following year and the probable distribution of that amount to the various units, so that the budget preparations for the UOSA can begin.

c. The Vice President for Student Affairs has the basic responsibility for the preparation of budget recommendations for those portions of the Student Activity Fee going to regularly committed budgets. ~~(at the present time, these are the Student Health Center, The Oklahoma Daily, the Union Debt Service, and the Student Activities Office of the Center for Student Development).~~

d. It is the primary responsibility of the UOSA Budget Committee to prepare budget recommendations for the uncommitted portions of the Student Activity Fee, which will support the activities of student government and student activities and services sponsored by the student government.

e. The UOSA Budget Committee and the Vice President for Student Affairs will then prepare the final budget recommendations for the total activity fee distribution. Neither shall submit budget recommendations for further review until agreement has been reached. As a last resort, should agreement not be reached between the Budget Committee and the Vice President for Student Affairs, the President of the University shall refer the matter to the Student Affairs Committee of the Regents to hear the separate views, and the Student Affairs Committee shall formulate and present directly to the Regents the Student Activity Budget.

f. The UOSA Budget Committee will submit the UOSA portion of the budget for the ~~approval of the Student Congress~~ review of Student Congress and Graduate Student Senate prior to the end of the Spring semester. It is the intent to identify in the Spring budget those amounts allocated to the budget subdivisions (UOSA administrations and joint operations and legislative allocations). ~~student activities, housing centers, and special programs~~). A line item budget will be presented to the Regents for approval each fall.

g. The recommendations of the Student Congress and Graduate Student Senate shall be submitted to the President through the Office of the Vice President for Student Affairs for submission to the Regents for approval.

(2) Each year, two percent of the total Student Activity Fee shall be placed in a reserve account prior to any distribution in order to be used by the administration throughout the year for necessary services, facilities, and programs relevant to the intended use of the Student Activity Fee (repairing recreational facilities, assisting campuswide programs, solving unanticipated budget problems, funding long-range projects such as the construction of outdoor basketball courts, etc.). The Vice President for Student Affairs shall be the sponsor of this reserve account, and shall chair a committee composed of the Vice President, the President of UOSA, Chair of UOSA Congress and Chair of Graduate Student Senate in recommending disbursements. In the event of disagreement, separate views will be presented to the President of the University for decision. The reserve shall not be used to fund the program of student organizations or those activities which it is the proper function of UOSA Congress and Graduate Student Senate to fund. This should in no way preclude UOSA from establishing its own reserve from its allocated funds.

(3) The remaining portion of the Student Activity Fee shall be used to fund the Student Government and those programs recommended by the UOSA Congress and Graduate Student Senate, so long as they are within the guidelines created by the State Regents and the Regents of The University of Oklahoma.

Although the Regents have the responsibility to commit the Student Activity Fee where it is most needed within the student community, it is intended that this uncommitted portion appropriated to UOSA will not be less than ~~\$175,000~~ \$350,000 per year.

The UOSA Budget Committee will ~~formulate prior to the next budgeting period an internal policy concerning present to both legislative bodies recommended~~ budget allocations in the following categories for the next year prior to the end of the Spring semester.

A. Administrative Costs of all salaried individuals of the UOSA. The Department of Personnel Services has agreed to assist in the formulation of job descriptions, employment practices, and recommend salary levels.

~~B. Joint operations costs for rental of buildings, maintenance, telephones, duplicating, office supplies, machine rental and travel.~~

B. Joint operations costs for student government infrastructure and those costs deemed to be of common interest to graduate and undergraduate students (e.g. Ellison Hall rental, UOSA Executive, Judicial, Commuter Student Association, etc.) as determined by the Student Activity Fee Committee. These funds, then, shall be allocated by Student Congress.

~~C. Student Activities budgeting procedures will be outlined to insure a minimal guarantee of funds in the spring so planning can begin for the fall semester.~~

C. Legislative Allocations shall be divided between the Undergraduate Student Congress and the Graduate Student Senate based upon the previous academic year enrollment as determined by the Office of Institutional Research. Undergraduate Student Congress and Graduate Student Senate will then be responsible for funding those student organizations which are composed of enrolled undergraduate or graduate.

~~D. Housing Centers will be guaranteed a minimal budget in the spring so planning can be done for fall programs.~~

~~E. Special Programs budget will be expanded and criteria established. An office for the Director of Special Programs will be established and he/she will be the budget head who will coordinate planning with the Ways and Means Committee.~~

The ~~policy Budget~~ will be presented to the Regents through the proper administrative channels as soon as ~~approved reviewed by Student Congress~~ UOSA Congress and Graduate Student Senate.

The following guidelines shall pertain to those funds budgeted by the UOSA:

A. No Student Activity Fee money shall be used to pay the legal fees of any student or students in a criminal or civil court action. Programs of legal service to the student community (public defenders for intra-University matters, legal aids, etc.) and legal assistance for the UOSA are permissible. However, no student fee money may be used in any court action against the University. Authorization for the use of UOSA legal assistance funds must come from the ~~UOSA President and UOSA Congress Chair jointly~~ President, UOSA Congress Chair and Graduate Student Senate Chair.

B. The highest priority in disbursing the program portion of the Student Activity Fee should be those student activities (educational, social and recreational) which have substantial campuswide effects. The funding of student organizations shall be discontinued except in those instances in which projects sponsored by a certain organization are deemed to have great significance for a substantial segment of the student community.

C. The UOSA Congress and Graduate Student Senate, should it ascertain that certain needs exist which cannot be resolved within a single budget year, may recommend to the President and to the Regents that an amount be obligated for a period of time (2 or 3 more years) in order to fulfill the existing need. Through such action, very significant projects (recreational equipment and facilities, for example) may be achieved. It is recommended that a standing committee of the Congress and of the Senate be established to work with the Vice President for Student Affairs and the ~~Director of the Center for Student Development~~ Dean of Students in identifying such needs and in determining priorities.

D. No UOSA funds should be utilized in funding the Student Traffic Court. Since the Traffic Court operates as an administrative arm of the University, it should be separately funded. The clearest way of accomplishing the funding would be from the collection of fines.

E. A specific policy should be formulated to permit student organizations to deposit non-state funds in private bank accounts, consistent with state law and University accounting and auditing practices.

President Van Horn recommended that the Board of Regents approve revisions to the Student Activity Fee Administration Policy to accurately reflect the bicameral organizational structure of Student Government, the new organizational structure of Student Affairs and to complete policy development recommended by Regents during the last review of this policy in August 1990.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

FINE ARTS STUDIO THEATER RENOVATION

The College of Fine Arts has previously identified the need for a studio theater to be constructed for performances which require a small, intimate theater atmosphere. Room 106 in the Fine Arts Center was previously utilized as the orchestra rehearsal area which has since

been moved to the Catlett Music Center. In the interim, and until funding could be established, Room 106 has been used informally as a studio theater. In order to provide for adequate patron seating, stage lighting and performance area for student and faculty productions, the construction of the Fine Arts Center Studio Theater is now required.

This project involves the conversion of Room 106 in the Fine Arts Center into a formalized studio theater and includes the installation of a series of seating platforms which will provide seating for 200 patrons and the installation of a lighting booth, catwalk and lighting grid system to improve production capabilities. Also included is the installation of additional structural elements in the space to support the required improvements.

The total budget for the project is \$612,903 of which \$399,543 is to be provided from Section 13 and New College Funds and \$213,360 is to be provided from private donations.

Elliott and Associates Architects, the project architects, have completed final plans and specifications and the project is ready to advertise for bids. The final plans and specifications have been reviewed and approved by representatives of the School of Drama and other University staff.

President Van Horn recommended that the Board of Regents (1) approve the plans and specifications for the Fine Arts Studio Theater Renovation project and (2) authorize the administration to advertise the project for bids.

Regent Brawner moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

HOLMBERG HALL RENOVATION PROJECT ARCHITECTURAL AND ENGINEERING FEES

As a part of the University's capital improvement program, the Board of Regents authorized a project involving the renovation of approximately 41,700 gross square feet in Holmberg Hall to provide space for music instruction, faculty offices and studios, student music practice rooms and classrooms. The building was constructed in 1918 and extensively remodeled in 1950. The total budget for the project is \$4,000,000. It is to be funded with a combination of proceeds from the State Bond Issue for Construction and Renovation of Education Buildings, which was approved by the voters in November, and funds obtained from private sources.

At the meeting in November 1992, the Board placed the five firms which were under consideration as architects and engineers in rank order and authorized the administration to negotiate the terms of a contract and a fee for professional services. Kaighn Associates Architects, Inc. and Bauer Stark + Lashbrook, Inc., A Joint Venture, was ranked first by the Board. The architectural and engineering consultant will be required to produce plans and other construction documents for the renovation of Holmberg Hall to meet the needs of the School of Music as well as to comply with the requirements of the Americans with Disabilities Act, the current life safety code, and other applicable building codes.

A preliminary agreement has been reached and the terms of a proposed contract for professional services have been developed. The joint venture of Kaighn Associates Architects, Inc. and Bauer Stark + Lashbrook, Inc. has agreed to a fee of 8.5 percent of construction cost to

develop schematic plans, construction cost estimates, project schedules, design development documents, and final plans and specifications, and to provide bidding and award of construction contract services and construction observation and administration services. In addition, the University will pay reimbursable expenses which are estimated to have a cost of approximately \$20,000 for the printing of documents, authorized travel, and other related project costs authorized in advance by the University.

It also will be necessary for the University to retain the services of an acoustical consultant. At this time it is planned to use one consultant for both the Holmberg Hall Renovation project and phase two of Catlett Music Center. These services will be covered under the terms and conditions of a separate contract.

President Van Horn recommended that the Board of Regents (1) approve the award of an architectural and engineering services contract to Kaighn Associates Architects, Inc. and Bauer Stark + Lashbrook Inc., A Joint Venture, for the preparation of plans and specifications for the renovation of Holmberg Hall with a fixed fee of 8.5 percent of the construction cost, and (2) authorize the administration to select and enter into a contract with an acoustical consultant for the project.

Regent Blankenship moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

WHITEHAND HALL RENOVATION

Whitehand Hall, located on the Norman Campus at the northeast corner of Boyd Street and University Boulevard across from the main entrance gates to the Parrington Oval, was constructed in 1920. The building originally was constructed by a private group for use as a student dormitory. In more recent times, the building has been used by the University as a dormitory for international students and by the Colleges of Architecture and Engineering as study and work space for graduate students and teaching assistants.

A detailed set of requirements for the renovation work has been developed. Space planning standards approved by the State Regents for Higher Education will be used to allocate space to various functions in an efficient and cost effective manner. The selection of materials and the design of spaces will be accomplished in a manner that will produce a renovated building that is efficient to operate and economical to maintain. Throughout the planning and design phases, project costs will be monitored and controlled to keep them as low as possible. The target budget is \$1.5 million.

At present, the building does not have a central heating, ventilation and air conditioning system and its electrical system does not comply with current code requirements. Major elements of the building are not in compliance with current fire and life safety codes. Also, the building does not comply with the provisions of the Americans with Disabilities Act. Major renovation of the building is required to bring the building into compliance with the life safety and other codes and to provide suitable space for use by the University.

At the July 1992 meeting of the Board of Regents, the Board authorized the University administration to start the process of selecting an architectural and engineering firm to provide professional services for the renovation of Whitehand Hall for University Affairs.

The architectural and engineering consultant will be required to produce plans and other construction documents for the renovation of Whitehand Hall to meet the needs of the University as well as to comply with the requirements of the Americans with Disabilities Act, the current life safety code, and the applicable building codes. Funding for the project will be provided from the Bond Issue for Construction and Renovation of Education Buildings.

In accordance with established procedures for the selection of architectural and engineering consultants, the interview committee met on October 7, 1992 and selected for interview five firms from a list of 27 individual firms and four associations composed of two or more firms which expressed interest in the project.

The interview committee was composed of the following persons:

- Frederick J. Bennett, Vice President for University Affairs
- Jaquine H. Milivojevic, Assistant to the Vice President for University Affairs
- Matthew L. Gray, Coordinator Special Events, University Development, University Affairs
- David W. Smeal, Director, Electronic Media and Photographic Services, University Affairs
- David G. Stapleton, Assistant Director, Architectural and Engineering Services, Chair
- Arthur N. Tuttle, Jr., Director, Architectural and Engineering Services
- Gary L. Ward, Coordinator, Facilities Inspection and Design, Physical Plant

On October 21, 1992, the interview committee conducted interviews to consider the qualifications of the five firms and to review the University's requirements for the project. The interviews and the review process were conducted in accordance with the provisions of Oklahoma State law and the policies of the Board of Regents.

The following qualifications for each firm were considered:

1. Professional reputation for design and engineering
2. Experience with similar master planning and design projects
3. Qualifications of the firm's professional staff
4. Scope of services offered
5. Amount of work in progress
6. Previous University projects completed
7. Financial standing and stability
8. Size of firm
9. Ability to work with special consultants

The interview committee obtained information from the consultants, the files of the State of Oklahoma Department of Central Services, and other sources. Selected facts about each firm obtained from these sources were evaluated. Based upon the information obtained during the interviews and a detailed review and evaluation of each firm's qualifications, the interview committee rated the firms in the following manner:

	Bruce L. Bockus Architects <u>Oklahoma City</u>	Coleman-Ervin- Johnston, Inc. <u>Tulsa</u>	Beck Associates Architects <u>Oklahoma City</u>	HTB, Inc. <u>Tulsa</u>	Glover-Smith- Bode, Inc. <u>Oklahoma City</u>
Acceptability of Design	127	120	96	101	70

	Bruce L. Bockus Architects <u>Oklahoma City</u>	Coleman-Ervin- Johnston, Inc. <u>Tulsa</u>	Beck Associates Architects <u>Oklahoma City</u>	HTB, Inc. <u>Tulsa</u>	Glover-Smith- Bode, Inc. <u>Oklahoma City</u>
Quality of Engineering	113	112	119	103	62
Adherence to Cost Limits	59	55	58	50	42
Adherence to Time Limits	61	52	53	45	41
Volume of Changes	62	55	53	51	41
Financial Stability	61	56	53	57	44
	—	—	—	—	—
Total Points	483	450	432	407	300

President Van Horn recommended that the Board of Regents (1) place in rank order the architectural and engineering firms which are under consideration to provide the professional services required to renovate Whitehand Hall, and (2) authorize the University administration to negotiate the terms of a contract and a fee starting with the highest ranked firm.

Regent Lewis moved the firms be ranked as follows and the administration be authorized to negotiate the terms of a contract and a fee starting with the highest ranked firm:

1. Bruce L. Bockus Architects, Oklahoma City
2. Coleman-Ervin-Johnston, Inc., Tulsa
3. Beck Associates Architects, Oklahoma City
4. HTB, Inc., Tulsa
5. Glover-Smith-Bode, Inc., Oklahoma City

The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

BOTANY-MICROBIOLOGY GREENHOUSE

In July 1991, as part of the Renovation and Equipment for New Faculty, 1991-92 project, the Board of Regents approved the use of \$75,000 of Fiscal Year 1992 Section 13 and New College Funds for the construction of a greenhouse which will serve as laboratory space for the Department of Botany and Microbiology. Additional Section 13 and New College Funds of \$50,000 for this project were approved at the July 1992 meeting of the Board of Regents as part of the Academic Space Renovation and Construction, 1992-93 project. In addition to these Section 13 and New College funds, \$75,000 in departmental and College of Arts and Sciences funds have been allocated for the project. The total current budget for the project is \$200,000.

Final plans and specifications for the project have been completed by Architectural and Engineering Services. The final plans and specifications have been reviewed and approved by representatives of the Department of Botany and Microbiology and other University staff. The project is now ready to be advertised for bids.

President Van Horn recommended that the Board of Regents (1) approve the final plans and specifications for the Botany-Microbiology Greenhouse project and (2) authorize the administration to advertise the project for bids.

Regent Brawner moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

VISITING SCIENTIST AND COLLABORATIVE RESEARCH AGREEMENT WITH INTEVEP, S.A., CARACAS, VENEZUELA

INTEVEP, S.A., Caracas, Venezuela, the research and technological support center of Petroleos de Venezuela, S.A., proposes to establish a cooperative program with The University of Oklahoma whereby researchers shall be nominated by INTEVEP for specific research assignments at OU. The objective of the program is to support one to three projects per year (up to \$150,000 per annum) at OU beginning in 1993 with an initial \$80,000 program. The agreement is one of only three awards by INTEVEP to U.S. universities, the other two being with MIT and the University of Texas at Austin.

To facilitate implementation and to coordinate the activities of this agreement, the University and INTEVEP shall each appoint no less than two representatives for a Program Steering Committee. The Committee will be co-chaired by the Manager of Research and Technological Support at INTEVEP, S.A. and the Vice President for Research at The University of Oklahoma..

Participation may come from any of the academic departments, centers, laboratories or other research or educational organizations within the University. Overall coordination of the program on our campus will be provided by the Office of the Vice President for Research, which will also provide financial administration of each project and research assignment.

The University will provide technical support for those INTEVEP scientists selected for specific research assignments on our campus. A detailed work plan and budget for each project must be approved by INTEVEP and University officials, upon recommendation of the Steering Committee, before beginning work on any project.

During their visit here, each scientist will have an appropriate title such as "Visiting Scientist", etc. All expenses associated with the visiting scientist's tenure at The University of Oklahoma shall be provided by INTEVEP, S.A. In addition, INTEVEP will pay the sum of \$80,000 annually to the University to provide for an effective, mutually beneficial setting for implementation of the research projects, management and coordination of this program, seeding of new research initiatives, and visits by University personnel to INTEVEP.

President Van Horn recommended that the Board of Regents approve a Visiting Scientist and Collaborative Research Agreement between The University of Oklahoma and INTEVEP, S.A. to establish a cooperative program whereby visiting scientists from INTEVEP may visit and carry out specific research at OU or at INTEVEP under the direction of OU faculty or professional research staff. The program will be funded by INTEVEP, S.A. No new University of Oklahoma resources will be required for this program.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

CONTRACTS AND GRANTS

The existing Provision of Goods and Services policy provides that new contracts and proposals in excess of \$100,000 must be referred to the Board of Regents for approval. Many proposals do not result in grant awards. However, if a grant is received there may not be adequate time to wait for Board approval. Proposals in excess of \$100,000 were referred for Board approval so that if a grant was received the University could take immediate action.

Adoption of this change will remove proposals from the agenda and change the action required from approval to ratification. If the University receives a contract or grant in excess of \$100,000, internal approvals will allow for action to begin. The contract or grant will be placed on the Regents' agenda for ratification. After ratification, the final external contracts, agreements and documents will be consummated.

President Van Horn recommended that the policy for Provision and Acquisition of Goods and Services be revised to eliminate a requirement to report on a month-to-month basis each individual contract or grant proposal which exceeds \$100,000.

It is proposed that Section 4, Paragraph A. "Provision of Goods and Services" be revised by changing "proposals" to "grants" and "approval" to "ratification". Thus,

The University provides a substantial volume of services for compensation. New contracts and ~~proposals~~ grants in excess of \$100,000 must be referred to the Board of Regents for ~~approval~~ ratification. Contracts and grants ~~proposals~~ for \$100,000 or less may be approved by the President or his designee. Renewal contracts and renewal grants may be approved by the President or his designee for an amount equal to or less than the original amount ratified by the Board of Regents. Contracts and grants ratified by the Board of Regents containing subcontracts will not require Board approval to award purchase orders for the subcontracts. However, in the event the contract, grant, document or arrangement involved would establish or make policy for the University, or otherwise involve a substantial or significant service to be performed by the University, that contract, arrangement or document shall be referred to the Board of Regents for approval.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

PROPOSALS, CONTRACTS, AND GRANTS

The Provisions of Goods and Services policy provides that contract and grant proposals for \$100,000 or less may be approved by the President or his designee. Summaries of proposals in excess of \$100,000 for research and training contracts and grants for the Norman Campus and for the Health Sciences Center for October 1992 were included in the

agenda. A list of contracts above \$100,000 executed during the same period of time on proposals previously reported is also included. Comparative data for fiscal years 1992 and 1993, current month and year-to-date, are shown on Tables I, II, and III.

HIGHLIGHTS:

The College of Continuing Education was the recipient of three major awards this month:

1. Third year funding for the Southwest Regional Center for Drug-Free Schools and Communities (U.S. Department of Education; \$3.2 million; Mike Lowther, Project Manager);
2. Third year funding for the FAA-ATC Program (U. S . Department of Transportation Federal Aviation Administration; \$5.6 million; Rex Kimmel, Project Manager);
3. First year funding for a nine state bilingual education project (U.S. Department of Education; \$.7 million; Hai Tran, Project Manager) resulting from competition with several other universities and non-profit organizations.

Second year funding was received by the Chemistry/Biochemistry Department for its gene sequencing project (U.S. Department of Health and Human Services; \$.6 million; Bruce Roe, Principal Investigator).

President Van Horn recommended that the President of the University or the President's designees be authorized to execute contracts on the pending proposals as negotiations are completed. The contract budgets may differ from the proposed amounts depending on these negotiations.

Regent Hall moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

FUNDING FOR ENDOWED POSITIONS

Department of Anesthesiology

The Department of Anesthesiology requests authorization to transfer \$500,000 in independent operation funds to the Regents' Fund to establish a new chair entitled the John D. Plewes Chair in Anesthesiology. This chair is being established in memory of Dr. John D. Plewes who served as Professor and Chairman of the Department of Anesthesiology from 1984 until his death in 1992. It is most appropriate that a chair be named for Dr. Plewes as his leadership was instrumental in moving the department forward.

This \$500,000 represents matching funds to qualify for State funds under the State Regents' Endowment Program. In the event the State match is not forthcoming, the funds provided by the Department of Anesthesiology are to be returned.

Dean's Office - College of Medicine

The Executive Dean of the College of Medicine requests authorization to transfer \$250,000 in independent operation funds to the Regents' Fund to establish a new chair entitled the Chair in Child Neurology. The establishment of this Chair will enable the College of Medicine to recruit a nationally distinguished faculty scientist who specializes in the neurological diseases of children.

This \$250,000 represents matching funds to qualify for State funds under the State Regents' Endowment Program. In the event the State match is not forthcoming, the funds provided by the College of Medicine are to be returned.

President Van Horn recommended the Board of Regents authorize the transfer of Independent Operation Funds (Professional Practice Plan) to the Regents' Fund for the College of Medicine as explained above.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

GRIEVANCE PROCEDURE FOR POST-DOCTORAL FELLOWS

Post-doctoral fellows are employed at the Health Sciences Center while they receive specialized education beyond the doctorate or residency programs. Under the current structure some have instructor positions and titles, some hold residency-related positions/titles, and some have staff positions/titles. Because of the variety of categories of appointment, without a separate grievance policy for fellows, some would fall under the staff grievance procedure and some under the Faculty Appeals Board policy. It did not seem appropriate for fellows to have access to faculty rights and procedures nor to have their grievance heard by clerical or managerial staff members. Therefore, the Senior Vice President and Deans have promulgated the following policy, which upon approval, shall become a Regents' Policy:

POST-DOCTORAL FELLOW GRIEVANCE PROCEDURE

Although post-doctoral fellows are University employees, they are at the University primarily to receive additional education. Therefore, even though they are generally appointed for one year at a time, the University, through its designated officials, retains the right to evaluate the academic qualifications, performance, professional conduct, and suitability for promotion, continued education and certification of post-doctoral fellows receiving education at the University.

Because of post-doctoral fellows' positions as employees who are also receiving education, any grievance brought by a fellow regarding his or her rights as a fellow or any allegation of wrongful administrative action resulting in probation, suspension, or dismissal and termination of post-doctoral education should be brought under this policy. All grievances must be started within thirty (30) days of the time the fellow knew or should have known of the decision or situation that is the subject of the grievance.

In the event a fellow has a grievance, the following steps shall be followed:

1. The fellow shall discuss the grievance with his or her supervisor or program director and attempt to resolve the issue within the program.

2. If the fellow is not satisfied with the program's decision on the issue, he may request a meeting with the Assistant or Associate Dean in charge of post-doctoral fellows in the College in which the fellow is assigned through submission of a written grievance within ten (10) days of beginning the attempt to resolve the issue with the supervisor or program director.
3. The Assistant/Associate Dean shall investigate the written grievance.
4. The Assistant/Associate Dean shall attempt to arbitrate the grievance. If the matter cannot be resolved, the fellow may within seven (7) days of receipt of the notification that the Assistant/Associate Dean was unable to resolve the issue request a hearing before the Post-Doctoral Fellow Appeals Committee. This request shall be written and submitted to the Dean of the College in which the fellow is assigned.
5. The Dean shall convene an ad hoc Post-Doctoral Fellow Appeals Committee to consider the fellow's grievance.
6. The Post-Doctoral Fellow Appeals Committee shall consist of six members: three selected from the faculty and three selected from among the fellows in the College where the fellow is assigned. The Dean of the College shall appoint the membership. The Assistant Dean shall serve ex officio. **Charge:** The Committee may hear an appeal by a fellow who (1) thinks he or she has been unfairly evaluated by a program or that his or her rights as a fellow have been abrogated and (2) has been unable to resolve the matter in conference with the program director and the Assistant/Associate Dean. While the responsibility for evaluations rests with the program, the primary responsibility of the Committee is to mediate or adjudicate disputes which have not been satisfactorily resolved at the program level in order to protect both fellows and faculty from arbitrary and capricious decisions.
7. The Post-Doctoral Fellows Appeals Committee shall hear the grievance. The fellow may bring any person(s) whom he or she thinks will be able to contribute to his or her presentation to the Committee. The Post-Doctoral Fellow Appeals Committee hearing will be recorded. The fellow may be advised at his or her own expense by legal counsel, if desired. The fellow must notify the Committee Chairman at least seven (7) days before the hearing if legal counsel will be present. If the fellow is accompanied by legal counsel at the hearing or at any steps where the resident and a University official meet, University Legal Counsel shall also be present. Neither counsel for the fellow nor for the University may directly address the Committee in any hearing except in answer to a specific question by a committee member. The Committee shall determine the procedure and conduct of the hearing incorporating the basic principles of due process.
8. If after the Committee hearing the parties fail to achieve a mutually satisfactory settlement, the Committee will render a written report containing findings of fact and recommendations regarding the dispute in question to the Dean for his consideration.

9. The Dean of the College shall review the hearing proceedings and the report of the appeals committee and render a final decision regarding the grievance and take appropriate action. Any fellow about whom the Committee makes an adverse recommendation to the Dean will have the opportunity to talk with the Dean before the Dean makes a decision.
10. There is no appeal beyond the Dean.

President Van Horn recommended that the Board of Regents approve the grievance procedure for the Health Sciences Center Campus for post-doctoral fellows as shown above.

Regent Blankenship moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

VACATING STREETS AND ALLEYS AT THE HEALTH SCIENCES CENTER

The area of the Health Sciences Center between Lincoln Boulevard to N. Kelley and N.E. 8th to N.E. 10th Street contains platted streets and alleys which cross the proposed sites of the Family Medicine Building and the Healthy Living Center. On October 12, 1992, as reported at the November Board of Regents' meeting, the University asked the City of Oklahoma City to vacate streets and alleys in the area. Working with the Oklahoma City Urban Renewal Authority to get the vacations approved, Health Sciences Center staff developed legal descriptions, which were attached to the agenda item along with drawings of the proposed closing, and notified the affected landowners and easement holders of the application. The application is scheduled to be considered by the Oklahoma City Planning Commission on December 3. If the Planning Commission approves it, the application will go to the City Council for final approval. With City Council approval, the City will retain public utility easements in the vacated area, and the University will become the owner through its reversionary rights.

President Van Horn recommended that the Board of Regents approve the application to close and vacate the streets and alleys described above.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Brawner, and Hall. Regent Blankenship was out of the room at the time of this vote. The Chair declared the motion unanimously approved.

LEASE SPACE FOR THE COLLEGE OF MEDICINE - TULSA ADMINISTRATIVE OFFICES

The University of Oklahoma College of Medicine-Tulsa is in critical need of additional space; therefore, the administrative offices will be relocated to permit the clinical departments to expand. This will allow the clinical departments and their respective clinics to continue to function as an integrated organization and continue to remain together, intact, on the Sheridan campus. The administrative functions of the College of Medicine-Tulsa being moved are: Office of the Dean, Department of Administration and Finance, Personnel Services, and Prospective Student Services.

The College of Medicine-Tulsa administrators are negotiating with two different building representatives. They represent the Skyline East building and City Plaza West building. Final rental rate negotiations are ongoing as are negotiations as to the quality of finishes, maintenance, and mechanical systems. Also being determined is compliance with current ADA regulations and whether there is asbestos in these buildings.

Both buildings are in close proximity to the Sheridan campus. The City Plaza West building is less than one-quarter mile from the campus and the Skyline East building is approximately one and one-half miles from the campus. Both buildings are approximately 20 years old and therefore do not meet all current environmental systems requirements which has extended the lease negotiations. The delay in locating space has impacted the clinic and academic activities and this request for authority to negotiate a lease will minimize time required to accomplish the moves.

Funds necessary to accomplish this are available in Budget Account 2819-5, Department of Operations.

President Van Horn requested the Board of Regents authorize the President or his designee to negotiate a lease for the Health Sciences Center, Tulsa Campus rental of space for the administrative offices of the College of Medicine-Tulsa. It is estimated that the College of Medicine-Tulsa will lease approximately 10,000-11,000 square feet of space at an estimated cost of \$12.00 per square foot. It is estimated that the College of Medicine will incur an additional expenditure of funds for remodeling purposes not to exceed \$100,000.

Regent Fisher moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

ESTABLISHMENT OF A TAX INCREMENT DISTRICT WHICH INCLUDES THE HSC CAMPUS IN OKLAHOMA CITY AND SURROUNDING PROPERTY

The Oklahoma Legislature in 1992 enacted the Local Development Act, 62 Okl. Stat. § 850, *et seq.* which permits the establishment of tax increment districts.

A project entitled Oklahoma Health Center Increment District and Project has been proposed by various representatives from the area which includes that portion of the project area of the University Medical Center Urban Renewal Plan bounded on the north by Northeast 13th Street, bounded on the east by Lottie Avenue, bounded on the south by Northeast 8th Street, and bounded on the west by Lincoln Boulevard, and that portion of the project area of the Harrison-Walnut Urban Renewal Plan bounded on the north by Northeast 13th Street, bounded on the east by Lincoln Boulevard, bounded on the south by Northeast 4th Street, and bounded on the west by Interstate Highway 235.

The purpose of the Act is to provide a mechanism for paying for improvements in a designated district. The incremental increase in ad valorem tax revenue, generated by the development/redevelopment of property inside the project area, is apportioned for payment of the eligible public sector costs of that development/redevelopment, usually by funding repayment of bonds for the project.

To establish a district, approval is required of the City of Oklahoma City, the statutory review committee, and the appropriate planning commissions. If established and implemented, funding can be made available for approved projects within the district.

A resolution in support of this endeavor was included in the agenda.

President Van Horn recommended that the Board of Regents pass a resolution endorsing the establishment of a tax increment district which will enhance the opportunities for the development of parking facilities and the establishment of a bio-medical research and development park on the HSC campus and surrounding property in Oklahoma City.

Regent Blankenship moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

PURCHASE OF COMPUTING EQUIPMENT

Because of the number of personal computer users, the need for technical support, and educational discounts offered direct from manufacturers to universities, the University began moving toward standardization with IBM and Apple personal computing products in 1991. Such standardization has provided departmental staff and faculty with full service which includes equipment installation, warranty service, technical support, parts and repairs.

Standardization and support has provided equipment and service to academic departments and research programs in a responsive time frame.

To insure the University will receive the best price and support either direct from the manufacturer or a distributor authorized by the manufacturer, requests for proposals (RFP) have been sent for IBM and Apple products and services. The main criteria of the RFP includes the following:

1. The contract may be renewed on an annual basis for five years.
2. Evaluation included suggested retail prices, University prices, terms, service offers, delivery, warranties and support for both repairs and technical assistance. The proposals included training and training materials at no fee.
3. The vendor will offer licensed programs, diagnostic hardware and software, nonIBM or nonApple programs, components, exchange modules, services and new products. In addition, engineering changes during the warranty period are to be provided without charge.
4. The RFP suggested one or multiple discounts.

Estimated volume of purchases for calendar year 1993 are as follows:

<u>Brand</u>	<u>Amount</u>	<u>Discounts</u>
Apple Computer	\$2,000,000	29-56 percent
IBM	1,000,000	40-74 percent
Roselius Computer, Inc.	210,000	28-41 percent

The expenditures for IBM and Apple products under the current 1992 contracts are estimated to total \$3,000,000. The 1993 contract period for IBM, Apple and Roselius products are estimated to total \$3,210,000. Roselius products are assembled in Oklahoma and will meet the needs of customers requiring a lower cost product. Roselius equipment is compatible with the network environment.

IBM compatible equipment from vendors such as Gateway, Northgate, Compuadd, etc. are purchased on an as-needed basis and are bid periodically throughout the year. The Roselius contract is an annual bid similar to the IBM and Apple contracts.

The administration requests authorization to issue contract purchase orders to the best source for IBM compatible products with Roselius, IBM, and Apple products enabling support personnel to respond to customer needs without delay and decrease documentation by placing orders electronically on an as-needed basis.

President Van Horn recommended the Board of Regents authorize awarding renewal purchase orders to Apple Computer, Inc. in the estimated amount of \$2,000,000, to IBM Corporation in the estimated amount of \$1,000,000, and to Roselius Computer, Inc. in the estimated amount of \$210,000 for personal computing hardware for the Health Sciences Center and the Norman Campus beginning January 1, 1993 through December 31, 1993.

Regent Blankenship moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

PROFESSIONAL LIABILITY INSURANCE RENEWAL

The Professional Practice Plans establish the relationship that exists between the University and the members (faculty) with respect to academic programs of the respective colleges and the professional practice of the faculty or other professional practice activities. The professional private practice activities are conducted for the care and benefit of the patient who is treated and to whom the faculty is directly responsible with respect to the medical services provided. As such, the faculty need individual malpractice insurance and the University is not included in the coverage. Insurance policies are selected by the individual faculty members and Physicians Liability Insurance Company (PLICO) is the most common insurer. The Practice Plans provide for disposition of gross collected income and malpractice insurance is an acceptable expenditure from these revenues. The Health Sciences Center disbursed an estimated total of \$2,862,003 for malpractice insurance from January 1, 1992 through December 31, 1992. Individual policies have been under \$75,000 per year. Authority is therefore requested so these renewals can be processed and paid on a timely basis. Funds are available in the respective Professional Practice Plan accounts sufficient to pay for the malpractice insurance.

Shown below is a schedule of malpractice payments by departments for calendar year 1992. Examples of PLICO rates and coverages are included with a statement of the Board of Regents' liability. PLICO rates for 1990 and 1991 were the same; however, for 1992 rates increased approximately 15% and for 1993 the professional liability rates will increase approximately 15%.

THE UNIVERSITY OF OKLAHOMA HEALTH SCIENCES CENTER
MALPRACTICE INSURANCE
EXPENDITURES FOR 1992

<u>University of Oklahoma</u>	<u>Estimated Costs for 1992*</u>
College of Medicine - Oklahoma City	
Anesthesiology	\$432,753
Dermatology	10,552
Family Medicine	145,985
Medicine	353,287
Neurology	30,918
Obstetrics & Gynecology	387,051
Ophthalmology	40,344
Orthopedic Surgery	66,856
Otorhinolaryngology	34,112
Pathology	26,747
Pediatrics	220,086
Psychiatry	26,638
Radiological Sciences	166,946
Surgery	362,356
Urology	38,097
College of Medicine - Tulsa	491,220
SubTotal	\$2,833,948
Other Colleges:	
College of Allied Health	2,266
College of Dentistry	25,540
College of Pharmacy	249
Total	\$2,862,003

The 1992 rates for Physicians Liability Insurance Company (PLICO) coverage and cost varies from a low of \$100,000 per any one claim and \$300,000 aggregate per year with no surgery costing \$1,151 annually to a maximum cost of \$25,726 for \$5 million per any one claim and \$5 million aggregate per year in the high cost surgery specialty. There are nine specialty classifications (listed below) and six coverage levels within each specialty classification. PLICO indicated the most common coverage is for \$3 million per any one claim and \$3 million aggregate per year. The rates for \$3 million coverage by the nine specialties are listed as follows:

*Actual 10-month expenditures and estimated expenditures for two months

<u>Specialty Class</u>	<u>Annual Premium</u>
1. No Surgery	\$ 2,897.00
2. Urgent Care	4,414.00
3. Minor Surgery (superficial)	4,823.00
4. Surgery, no major surgery	6,181.00
5. Surgery, Laryngology, Otology Otorhinolaryngology, Rhinology Emergency Medicine Major Surgery	13,546.00
6. Anesthesiology, Surgery: Abdominal, Cardiac, Cardiovascular Disease, General, Gynecology, Hand, Head and Neck	15,341.00
7. Surgery: Plastic, Plastic Otorhinolaryngology, and Vascular	16,339.00
8. Surgery: Thoracic, and Traumatic	17,137.00
9. Surgery: Neurology, Obstetrics and Gynecology, and Orthopaedic	21,050.00

Board of Regents' Liability

Liability of State agencies for torts of its employees committed after October 1, 1985 is governed by the Governmental Tort Claims Act, which states that physician faculty members are not employees or agents of the State for purposes of the Act when not acting in an administrative capacity or engaged in teaching duties. The definition of employee in the statute further provides that the State shall not be liable for the tortious conduct of a physician while practicing medicine or providing medical treatment to patients. The courts have not answered the question of whether acting as attending physician is practicing medicine or providing medical treatment as well as teaching. The answer may depend on the extent of the attending physician's involvement in the patient's care. Where the involvement is limited it is likely that they will decide that the limitations of liability set out in the Act would apply in that case, but that the physician's insurance company would absorb the liability.

The University might, however, have potential liability in a medical malpractice case for the acts or omissions of the University employed support personnel, such as nurses, or for a claim of improper supervision of students or residents. Maximum liability for the Board of Regents of The University of Oklahoma would be \$100,000 per claim and the Regents would not as individuals have a liability.

President Van Horn recommended authorization be delegated to the President or his designee to renew malpractice insurance policies to provide professional liability insurance coverage for all Professional Practice Plan members in the Health Sciences and all alleopathic (MD) residents and medical students. The total cost is estimated to be \$3,400,000.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

INTERNAL AUDIT FINDINGS

The Internal Audit Policy of the Board of Regents provides that the Director of Internal Auditing makes quarterly reports to the Regents on internal audits and post audits that have been completed since his last report. The policy also provides that the Director of Internal Auditing has the opportunity at each Regents' meeting to report on any condition which in his judgment could adversely affect the University. Mr. John Eckert, Director of Internal Auditing, did not have any significant findings to report at this meeting.

ACADEMIC PERSONNEL ACTIONS

Health Sciences Center:

Leave of Absence:

William A. Kent, Associate Professor of Fixed Prosthodontics, sabbatical leave of absence with half pay, January 5, 1993 to January 5, 1994. To participate in a Geriatric Fellowship Program.

Appointments or Reappointments:

Stephen Earl Reagan, D.D.S., Associate Professor of Operative Dentistry and Director, Advanced Education in General Dentistry, annual rate of \$55,000 for 12 months (\$4,583.33 per month), January 11, 1993 through June 30, 1993. Paid from 10339330, Restorative Dentistry.

Megan B. Bialas, reappointed Assistant Professor of Internal Medicine, Tulsa, salary remains at annual rate of \$90,938 for 12 months (\$7,578.17 per month), July 1, 1992 through June 30, 1993. Paid from VA Medical Center-Muskogee. Budget correction.

Nancy C. Lamoreux, reappointed Assistant Professor of Medicine, Tulsa, salary remains at \$108,938 for 12 months (\$9,078.17 per month), July 1, 1992 through June 30, 1993. Paid from VA Medical Center-Muskogee. Budget correction.

David W. Potts, reappointed Assistant Professor of Medicine, Tulsa, and Clinical Instructor in Pathology, Tulsa, salary remains at \$105,220 for 12 months (\$8,768.33 per month), July 1, 1992 through June 30, 1993. Paid from VA Medical Center-Muskogee. Budget correction.

Changes

Lester E. Krenning, title changed from Associate Professor to Clinical Associate Professor of Family Practice, Tulsa, salary changed from annual rate of \$75,953 for 12 months (\$6,329.42 per month) to without remuneration, November 30, 1992 (with accrued vacation through January 14, 1993).

Regina Resta, title changed from Postdoctoral Research Fellow, Department of Medicine, to Instructor in Medicine, September 1, 1992 through June 30, 1993. Changed from Professional Staff to Academic.

Resignations and/or Terminations:

Carlos U. Arancibia, Associate Professor of Anesthesiology, October 30, 1992 (with accrued vacation through December 2, 1992).

David Gower, Assistant Professor of Surgery, February 28, 1993 (with accrued vacation through April 15, 1993).

Norman Campus:

Leave of Absence:

Igor Reider, Associate Professor of Mathematics, leave of absence with partial pay, .41 time, August 16, 1992 through May 15, 1993.

Appointments or Reappointments:

Raymon L. Brown, reappointed Visiting Geophysicist III, Oklahoma Geological Survey, salary remains at \$31,963 for 12 months (\$2,663.54 per month), .50 time, July 1, 1992 through June 30, 1993. Paid from 362-7120, Oklahoma Geological Survey Research, pos. 701.63.

*Thaddeus J. Keefe, III, reappointed Research Associate, Oklahoma Biological Survey, salary remains at annual rate of \$49,440 for 12 months (\$4,120.00 per month), October 17, 1992 through June 30, 1993. Paid from 125-67308, IPA Thad Keefe, pos. 905.65.

*Zbigniew Sorbjan, reappointed Research Scientist, Center for Analysis and Prediction of Storms, annual rate of \$27,066 for 12 months (\$2,255.54 per month), .50 time, November 1, 1992 through February 28, 1993. Paid from 125-5856, CAPS FY 92, pos. 905.65.

Changes:

Betty J. Harris, Associate Professor of Anthropology and of Women's Studies and Director, Women's Studies, salary temporarily changed from annual rate of \$33,885 for 9 months (\$3,765.00 per month) to annual rate of \$36,385 for 9 months (\$4,042.78 per month), August 16, 1992 through May 15, 1993. Paid from 122-7202, Anthropology, pos. 13.60; 122-7402, Anthropology Research, pos. 13.63; and 122-7271, Women's Studies, pos. 1.60. To be paid an administrative supplement of \$2,500 while Director of Women's Studies.

Arthur B. Van Gundy, Jr., Professor of Communication, salary changed from annual rate of \$40,812 for 9 months (\$4,534.67 per month) to annual rate of \$46,000 for 9 months (\$5,111.11 per month), August 16, 1992. Paid from 122-7290, Communication, pos. 5.60, and 122-7490, Communication Research, pos. 5.63. FY 1993 equity increase.

President Van Horn recommended approval of the academic personnel actions shown above.

Regent Brawmer moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

President Van Horn reported the following deaths:

Helen F. Lauterer, Professor Emeritus of Drama, on November 14, 1992.

Ralph E. Olson, Professor Emeritus of Geography, on November 16, 1992.

ADMINISTRATIVE AND PROFESSIONAL PERSONNEL ACTIONS

Norman Campus:

Changes:

Francine M. Gissy, Program Director, Office of Business and Industrial Cooperation; given additional title Program Director, Continuing Education Academic Programs/Business Management, November 1, 1992. Administrative Staff.

Eva Midobuche-Bernal, title changed from Senior Program Development Specialist to Associate Program Director, Bilingual Education Multifunctional Resource Center, salary changed from annual rate of \$37,652 for 12 months (\$3,137.67 per month) to annual rate of \$42,630 for 12 months (\$3,553.00 per month), October 1, 1992 through September 30, 1993. Changed from Professional Staff to Administrative Staff. Paid from 125-6283, Multifunctional Center. Paid from grant funds; subject to availability of funds.

Retirement:

William R. Audas, Director, Career Planning and Placement Service, and Adjunct Assistant Professor of Marketing, December 31, 1992.

President Van Horn recommended approval of the administrative and professional personnel actions shown above.

Regent Hall moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

LITIGATION

No executive session or report on pending litigation was necessary at this meeting.

CAMERON UNIVERSITY

COOPERATIVE AGREEMENT WITH GREAT PLAINS AREA VOCATIONAL-TECHNICAL SCHOOL

Among the specific missions given Cameron University by the Oklahoma State Regents for Higher Education is providing higher education support for Fort Sill. Last spring, a Military Installation Voluntary Review team conducted an evaluation of Fort Sill's educational services and identified an urgent need to expand significantly the college-level educational opportunities available to soldiers to meet current military requirements with specific emphasis given to the need for college-level vocational-technical programs.

A review by the staff of the Fort Sill Educational Services Office has identified specific courses which match career ladder and other Military Occupational Specialty requirements for soldiers now stationed on post. Cameron can meet all identified course needs with the exception of certain vocational courses, which can be satisfied through the use of a cooperative agreement

with the Great Plains Area Vocational-Technical School (GPAVT). The State Regents have prescribed policy guidelines for these agreements, and the proposed agreement between Cameron and GPAVT conforms to the policy guidelines.

President Davis recommended that Cameron University and the Board of Regents enter into a cooperative articulation agreement with the Great Plains Area Vocational-Technical School for college-level vocational-technical education programs requested by Fort Sill.

Dr. McKellips and Dr. Phillips reviewed the proposal for the Regents. It was pointed out that the programs covered by this agreement do not lead to degrees and are therefore outside the policy guidelines of the Oklahoma State Regents. The Chancellor has stated he feels the technicalities can be worked out.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

APPOINTMENT OF AUDIT FIRM FOR FISCAL YEAR ENDING JUNE 30, 1993

This item was a part of the agenda but was not considered at this meeting.

AUDIT REPORT FOR FISCAL YEAR ENDING JUNE 30, 1992

The accounting firm of Cole & Reed, Oklahoma City, has completed the annual audit of Cameron University for the fiscal year ending June 30, 1992. The report of the auditor and responses of the management of Cameron University were included in the agenda for this meeting.

President Davis recommended that the audit report for the fiscal year ending June 30, 1992, prepared by the accounting firm of Cole & Reed, be accepted.

Mike Gipson and Sam Cole of Cole and Reed were present for this report. Mr. Gipson expressed appreciation for the cooperation of Cameron staff. He said it is recommended, because of actions referred to by Deloitte and Touche in their report on the audit of The University of Oklahoma, that Cameron consider filing income tax returns. Mr. Gipson said comments made by the firm that performed the 1990-91 audit were reviewed and it was noted all recommendations made have been implemented.

Regent Blankenship moved approval of the recommendation. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved.

ACADEMIC PERSONNEL ACTIONS


Regent Hall moved the Board meet in executive session for the purpose of discussing personnel issues. The following voted yes on the motion: Regents Gullatt, West, Fisher, Lewis, Blankenship, Brawner, and Hall. The Chair declared the motion unanimously approved. The executive session began at 11:05 a.m. in the small board room.

December 4, 1992

23190

The meeting reconvened in regular session at 11:50 a.m. The Chairman announced this item was being deferred to the next meeting of the Board.

There being no further business, the meeting adjourned at 11:51 a.m.



Chris A. Purcell
Executive Secretary of the Board of Regents

The University of Oklahoma
Statement of Revenues and Expenditures
All Funds, All Campuses
For the Period Ending October 31, 1992

Fiscal Year Norm = 33.33%

	Original Annual Budget	Current Revised Annual Budget	Percent of Total	Current Y-T-D Actual	Percent of Current Budget	Previous YTD Actual	Percent of Previous Year Current Budget
Revenue:							
State Appropriations	\$153,830,880	\$153,824,845	31.8%	\$51,418,787	33.4%	\$50,314,372	33.5%
Student Tuition and Fees	38,054,707	38,265,307	7.9%	20,929,019	54.7%	21,435,282	55.7%
Federal Grants and Contracts	62,950,884	65,295,231	13.5%	20,469,201	31.4%	21,950,063	34.1%
State and Local Grants and Contracts	23,059,667	23,435,867	4.8%	7,304,029	31.2%	6,400,715	31.3%
Private Gifts, Grants and Contracts	31,010,489	31,716,189	6.6%	9,107,964	28.7%	8,951,444	31.5%
Endowment Income	1,228,477	1,322,054	0.3%	899,661	68.1%	434,293	50.5%
Sales & Services of Educational Activities	9,099,670	9,099,670	1.9%	2,366,213	26.0%	2,435,927	25.7%
Auxiliary Enterprises	52,490,661	52,415,325	10.8%	18,998,439	36.3%	20,384,177	37.4%
External Service Units	8,791,754	8,791,754	1.8%	2,819,137	32.1%	3,696,336	33.9%
Professional Practice Plan	76,060,500	76,060,500	15.7%	23,242,960	30.6%	22,814,097	33.0%
Residency Income	5,856,000	5,856,000	1.2%	1,718,954	29.4%	1,697,503	32.8%
Other Sources	16,672,175	16,672,175	3.4%	5,628,667	33.8%	5,006,748	35.3%
Subtotal Revenue	479,105,864	482,754,917	99.7%	164,903,031	34.2%	165,520,957	35.5%
Budgeted Reserve	80,932	80,932	0.0%	80,932	100.0%	107,932	100.0%
Current Distributions from Reserve	0	1,255,518	0.3%	1,255,518	100.0%	1,931,719	49.6%
Total Revenue	479,186,796	484,091,367	100.0%	166,239,481	34.3%	167,560,608	35.6%
Expenditures:							
Faculty Salaries	82,231,138	82,261,527	17.1%	24,487,630	29.8%	22,837,729	29.1%
Professional Salaries	93,757,793	96,021,303	19.9%	30,749,568	32.0%	31,500,157	35.8%
Hourly Staff Salaries	47,462,396	48,103,542	10.0%	15,951,741	33.2%	16,366,458	34.6%
Associated Fringe Benefits	48,361,337	48,821,609	10.1%	14,888,530	30.5%	14,051,494	31.4%
Prof. Practice Salary Supplements	28,000,000	28,000,000	5.8%	8,465,177	30.2%	8,004,198	31.7%
Supplies and Materials	22,725,314	23,081,627	4.8%	6,353,571	27.5%	5,991,403	29.5%
Library Books and Periodicals	4,157,478	4,347,823	0.9%	1,434,067	33.0%	2,474,522	57.3%
Equipment	14,109,880	14,655,851	3.0%	5,063,784	34.6%	5,389,978	29.9%
Travel	8,646,634	8,657,781	1.8%	2,636,414	30.5%	2,504,201	29.7%
Communication	6,728,723	6,582,330	1.4%	2,427,321	36.9%	2,053,025	34.4%
Utilities	15,931,885	15,936,731	3.3%	5,144,260	32.3%	5,839,549	37.1%
Computing Supplies and Equipment	10,765,114	11,441,810	2.4%	4,188,402	36.6%	4,494,019	43.9%
Maint. & Repair of Buildings & Grounds	11,756,694	11,223,116	2.3%	3,860,987	34.4%	4,192,568	45.6%
Professional and Technical Fees	9,466,111	9,576,165	2.0%	3,023,295	31.6%	3,427,027	41.7%
Contractual & Related Current Expenses	34,262,701	34,064,199	7.1%	12,620,837	37.1%	12,574,097	31.3%
Scholarships and Fellowships	12,791,995	12,812,995	2.7%	4,664,295	36.4%	4,181,383	33.7%
Merchandise Purchased for Resale	8,413,950	8,451,265	1.8%	2,910,598	34.4%	2,901,267	36.3%
Debt Service	1,629,544	1,746,998	0.4%	538,275	30.8%	576,149	36.2%
Indirect Cost Recovery	10,430,699	11,001,493	2.3%	3,639,274	33.1%	3,581,850	36.6%
Premiums - Property/Liability Insurance	3,612,685	3,612,685	0.8%	531,995	14.7%	542,198	16.7%
Membership/Registration Fees	1,701,364	1,701,364	0.4%	502,999	29.6%	498,632	33.6%
Total Expenditures	476,943,435	482,102,214	100.0%	154,083,019	32.0%	153,981,904	33.4%
Current Revenues over/(under) Expenditures	2,243,361	1,989,153		12,156,462		13,578,704	
Internal Service Units	63,175,988	57,840,433	100.0%	16,505,753	28.5%	16,840,428	19.8%

The University of Oklahoma
Summary of Reserves
For the Period Ending October 31, 1992

Schedule II

GENERAL UNIVERSITY RESERVES

DEFINITION OF RESERVES: *That portion of the University's resources which are not currently budgeted for expenditure or otherwise held for specific future uses, projected to year end. As such, resources of this nature are available to fund future capital projects, operating needs, and/or unforeseen contingencies for any lawful purpose of the University.*

<u>TYPE/SOURCE OF RESERVE</u>	NORMAN	HSC	TOTAL
UNALLOCATED GENERAL UNIVERSITY RESERVES			
Norman Campus	\$3,318,327	\$0	\$3,318,327
Health Sciences Center	0	1,012,564	1,012,564
Law Center	400,000	0	400,000
Oklahoma Geological Survey	100,000	0	100,000
TOTAL GENERAL UNIVERSITY	3,818,327	1,012,564	4,830,891
GRANTS AND CONTRACTS INDIRECT COST REIMBURSEMENT	0	638,447	638,447 (1)
AGENCY SPECIAL (Unrestricted Interest Income)	1,801,048	1,876,057	3,677,105 (2)
REVENUE BOND RESERVES IN EXCESS OF REQUIRED AMOUNTS			
Utility System	226,592	766,047	992,639
Organized Group Housing	1,067	0	1,067
Student Facilities	74,664	0	74,664
Stadium System	664,041	0	664,041
TOTAL REVENUE BOND RESERVES	966,364	766,047	1,732,411 (3)
SECTION 13/NEW COLLEGE	483,762	0	483,762
TOTAL RESERVES	\$7,069,501	\$4,293,115	\$11,362,616

AUXILIARY ENTERPRISE & SERVICE UNIT DESIGNATED RESERVES

<u>TYPE/SOURCE OF RESERVE</u>	NORMAN	HSC	TOTAL
AUXILIARY ENTERPRISES			
Contingency Reserves	\$3,256,960	\$600	\$3,257,560
Renewals & Replacements	2,973,173	20,769	2,993,942
Capital Improvements	5,780,659	0	5,780,659
Debt Service (Required Reserve at Trustee Bank)	7,681,620	0	7,681,620 (3)
Total Auxiliary Enterprises	19,692,412	21,369	19,713,781
SERVICE UNITS			
Contingency Reserves	1,366,172	733,975	2,100,147
Renewals & Replacements	1,275,077	855,046	2,130,123
Capital Improvements	834,437	22,700	857,137
Debt Service (Required Reserve at Trustee Bank)	75,191	2,320,856	2,396,047 (3)
Total Service Units	3,550,877	3,932,577	7,483,454
TOTAL DESIGNATED RESERVES	\$23,243,289	\$3,953,946	\$27,197,235

(1) Net of working capital requirements of \$5,141,294 for the Norman Campus Sponsored Programs, and \$3,300,000 for the Health Sciences Center Sponsored Programs.

(2) Amount which can be invested for general institutional purposes for the University. The interest earnings are used for general institutional purposes.

(3) Amount which is invested for the benefit of each individual bond system.

The University of Oklahoma
Statement of Plant Funds
All Funds, All Campuses
For the Period Ending October 31, 1992

Schedule III

<u>Funding Source</u>	<u>Project Budget</u>	<u>Allotment This Year</u>	<u>Allotment To Date</u>	<u>Expenditures This Year</u>	<u>Expenditures To Date</u>	<u>Allotment Balance</u>
State Appropriations	\$26,868,860	\$0	\$19,783,860	\$951	\$19,778,524	\$5,336
Federal Grants and Contracts	2,582,100	0	1,739,000	164,100	969,566	769,434
State Grants and Contracts	0	0	0	0	0	0
Private Gifts, Grants and Contracts	22,730,782	0	22,330,782	120,955	22,125,849	204,933
Section 13/New College	16,003,284	2,208,406	11,773,778	1,357,780	9,527,387	2,246,391
Other Income – Bonds	710,304	204,304	599,304	0	385,074	214,230
Auxiliaries	159,928	0	156,528	36,614	120,520	36,008
Total	<u>\$69,055,258</u>	<u>\$2,412,710</u>	<u>\$56,383,252</u>	<u>\$1,680,400</u>	<u>\$52,906,920</u>	<u>\$3,476,332</u>