

C O N T E N T S  
 MINUTES OF A REGULAR MEETING  
 BOARD OF REGENTS OF THE UNIVERSITY OF OKLAHOMA  
 JULY 24, 1986

Minutes of the Committee meeting (retreat) held on June 7-8, 1986 (19129)

Minutes of the regular meeting held on June 12, 1986 (19130)

REPORT OF THE PRESIDENT OF THE UNIVERSITY (19130)

Report on activities of the Department of Ophthalmology  
 and the Dean McGee Eye Institute (19130)

	<u>Page</u>
I. The University	
<u>Academic</u>	
Establishment of Scholarship Fund. . . . .	19131
<u>Administration and Finance</u>	
Financial Analysis . . . . .	19132
1987-88 Budget Request . . . . .	19132
Internal Audit Reports . . . . .	1913 <del>2</del> 3
Commitment of Associates Funds . . . . .	19134
Capital Expenditure Reimbursement Bond Program . . . . .	19134
Investments. . . . .	19135
Associates and President's Partners Funds. . . . .	19136
Energy Center Interest . . . . .	19138
Financial Disclosure Law . . . . .	19140
Administrative Search Committee Policy . . . . .	19140
<u>Operations and Physical Plant</u>	
Major Capital Improvement Projects and Revenue Bonds . . . . .	19141
II. Health Sciences Center	
<u>Academic</u>	
Academic Personnel Actions . . . . .	19141
<u>Student Affairs</u>	
Student Appeal - Samuel Okoronkwo . . . . .	19149

II. Health Sciences Center (continued)	<u>Page</u>
<u>Administration and Finance</u>	
Administrative and Professional Personnel Actions . . . . .	19150
Acquisition of Patient Billing System . . . . .	19152
Purchase of Drugs for Obstetrics Patients . . . . .	19153
Proposals, Contracts, and Grants. . . . .	19154
<u>Operations and Physical Plant</u>	
Contract with Zoological Society and Department of Human Services. . . . .	19154
University Center/Family Medicine Project . . . . .	19155
III. Norman Campus	
<u>Academic</u>	
Discontinuation of Program. . . . .	19155
Academic Personnel Actions. . . . .	19155
Tenure. . . . .	19162
<u>Student Affairs</u>	
College of Law Code of Academic Responsibility. . . . .	19162
<u>Administration and Finance</u>	
Administrative and Professional Personnel Actions . . . . .	19180
Support for Energy Center Pledges . . . . .	19184
Oklahoma Memorial Union Fire Sprinkler System . . . . .	19184
Liability Insurance . . . . .	19186
Basketball Ticket Prices and Schedule . . . . .	19189
Athletic Ticket Policies. . . . .	19189
School of Music Equipment . . . . .	19189
Purchase of Spectrometer. . . . .	19190
Purchase of Materials Testing System. . . . .	19190

III. Norman Campus (continued)	<u>Page</u>
Purchase of Fermenter Systems . . . . .	19191
Proposals, Contracts, and Grants. . . . .	19192
Purchase of Natural Gas Pipeline Service. . . . .	19193
<u>Operations and Physical Plant</u>	
Oklahoma Memorial Stadium Addition. . . . .	19188
Improvements to Motor Pool. . . . .	19193
Max Westheimer Airpark Master Plan. . . . .	19193
Energy Center Building Casework . . . . .	19194
Renovation of Sigma Alpha Epsilon House . . . . .	19195

MINUTES OF A REGULAR MEETING  
THE UNIVERSITY OF OKLAHOMA BOARD OF REGENTS  
JULY 24, 1986

A regular meeting of the Board of Regents of The University of Oklahoma was held in the auditorium of the Health Sciences Library Building on the Oklahoma City Campus of the University beginning at 9:07 a.m. on Thursday, July 24, 1986.

Notice of the time, date, and place of this meeting was submitted to the Secretary of State, and the agenda was posted in the Office of the Board of Regents on or before 1:00 p.m. on July 22, 1986, both as required by Enrolled House Bill 1416 (1977 Oklahoma Legislature).

The following Regents were present: Regent Tom McCurdy, Chairman of the Board, presiding; Regents John M. Imel, Thomas Elwood Kemp, Charles F. Sarratt, Ronald H. White, M.D., Sarah C. Hogan, and Sylvia A. Lewis.

The following were also present for all or part of the meeting: Dr. Frank E. Horton, President of the University, Provosts Joan K. Wadlow and Clayton Rich, Vice Presidents Anona L. Adair, David A. Burr, and Arthur J. Elbert, and Barbara H. Tuttle, Executive Secretary of the Board of Regents. Other executive officers present were: Mr. Walter O. Mason, Mr. Gary L. Smith, Mr. Stanley M. Ward, and Mr. Robert P. White.

Regent White moved approval of the minutes of the Committee of the Whole (retreat) meeting held on June 7-8, 1986 as printed and distributed prior to the meeting with the following corrections:

1. That the following statement of Regent Hogan replace a similar statement included in the minutes on page 2:

In serving on the Board I am making a total commitment to the following:

To be well informed about the University; to be responsible for obtaining information, studying it and digesting it.

To support and evaluate the President.

At the time of a vacancy, to help in the selection and appointment of the President.

To oversee the finances of the University and insure its solvency.

To preserve the integrity of the University.

To take part in the clarification of its mission.

To join in planning for the next five to ten years, being aware that the mission can change because of future conditions.

To define the Mission for our supporters, faculty and students.

To review the educational program to insure that it is consistent with its mission.

To insure that the budget reflects its academic priorities.

To assess the academic programs: To evaluate the effectiveness of our program and compare our graduates with similar institutions.

To support the institution.

To be an advocate, raise money and defend the University.

To protect its autonomy as far as possible.

To serve the public good.

2. With the last sentence of the second paragraph on page 13 deleted.

With these corrections, the minutes were unanimously approved with the following affirmative vote: Regents McCurdy, Imel, Kemp, Sarratt, White, Hogan, and Lewis.

Regent Imel moved approval of the minutes of the regular meeting held on June 12, 1986 as printed and distributed prior to the meeting. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, White, Hogan, and Lewis. The Chair declared the motion unanimously approved.

President Horton said he presented the main part of his report at the Committee of the Whole meeting on Wednesday but he underscored again the institution's appreciation to the people and corporations who have provided private support to the University. He said private funds increased by \$4 million to \$17.5 million for 1985-86. President Horton reminded the Regents that one of the areas he emphasized in his inaugural address was scholarly programs. He said the University will be increasing efforts to enhance recruiting for highly gifted students and looking carefully at programs within the institution that will challenge students after they arrive. Dr. Horton also expressed again appreciation to the faculty and staff for their efforts during this past year with a most difficult budget situation. He recognized the efforts of executive officers and said he sincerely appreciates the overall efforts made during this year.

Dr. Horton introduced Dr. Tom Acers, Head of the Department of Ophthalmology and Director of the Dean A. McGee Eye Institute, for a report on the activities of the Department and Institute.

Dr. Acers reviewed the history of the establishment of the Oklahoma Eye Foundation and the Dean A. McGee Eye Institute, including the affiliation agreement between the Institute and the University executed on May 22, 1974. He reported on the ten-year growth of the Dean A. McGee Eye Institute, 1975-1985,

and its current position, including the research currently being carried out. He reported also on the development of the Department of Ophthalmology over the same period of time. He said the Department serves as the academic wing of the Institute in its three major areas of endeavor - patient care, education, and research. He said eight full-time Institute physicians have clinical faculty appointments in the Department and are essential to the educational programs on all levels. They are, however, funded by the Institute and not by the Department of Ophthalmology. The four full-time Department faculty members are funded through the Department and are more closely related to the University, college, and academic affairs as well as providing significant expertise in the clinical and research areas.

Dr. Acers said the Department of Ophthalmology, in conjunction with the Institute faculty and staff, have developed a residency training program with national recognition. Part of this is the result of the excellent physical facilities provided by the Institute, as well as a significant improvement of the entire HSC physical and academic environment. He said the University receives more than 200 applicants for the three first-year resident positions each year. He reported also that he has recently served as President of the National Association of University Professors of Ophthalmology. He reported in some detail on the following major research programs in the Institute and Department:

1. Laser development
2. Instrumentation design and development
3. Neuro-pharmacologic studies of glaucoma
4. Keratorefractive surgery

In response to questions from the Regents, he discussed the use of the laser in surgery and the refractive surgery in some detail.

Dr. Acers said both the Department and the Institute have progressed in a planned, phasic, and coordinated development pattern. Both entities have shared their resources and strengths in a mutually beneficial fashion and one which has brought favorable recognition to both. He said the affiliation agreement has worked well and provides flexibility, as well as guidelines for mutual progress.

Dr. Horton introduced the new Dean of the College of Medicine, Dr. Donald Kassebaum, who was present at the meeting and is scheduled to report for duty on August 1.

#### ESTABLISHMENT OF SCHOLARSHIP FUND

President Horton said The University has recently received word of a bequest in the amount of \$20,000 from the estate of Elmer Eugene Carter for the establishment of a memorial scholarship fund in the name of Willie Carter, deceased wife of Mr. Carter. The fund is for student scholarships. This new fund will be added to the Regents' Endowment.

This report of a bequest was presented for information. No action was required.

#### FINANCIAL ANALYSIS

The financial analysis for the University for the period ending May 31, 1986 was distributed to the Regents with the agenda for this meeting and is attached hereto as Exhibit A. The last two pages cover the monetary implications of the items included in this month's agenda. President Horton distributed substitute financial graphs and histograms which indicated the change from the preceding month. He reviewed these changes which are explained in the footnotes at the end of the report. Dr. Horton indicated next month there will be two reports - the first report for the 1986-87 fiscal year and the final report for the 1985-86 fiscal year.

This information was presented for review. No action was required.

#### 1987-88 BUDGET REQUEST

The draft budget request for 1987-88 for the University was distributed to the Regents with the agenda for this meeting and is attached hereto as Exhibit B. President Horton reminded the Regents that this request must be submitted to the Oklahoma State Regents for Higher Education by August 15, 1986 and that the State Regents' staff and a committee of the State Regents will have a conference with University officials and governing Board members on August 28 to discuss this budget request. The request was discussed in some detail at the Committee of the Whole meeting on Wednesday.

President Horton recommended approval of the 1987-88 budget request for the University as presented subject to minor modifications which may be required before submission to the Oklahoma State Regents for Higher Education.

Regent Imel moved approval of the recommendation. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, White, Hogan, and Lewis. Regent Sarratt abstained. The Chair declared the motion approved.

Regent Sarratt indicated he did not vote because he did not feel he had had an opportunity to properly review the materials presented. He asked if there was any way to get the information to the Regents earlier. President Horton commented that the staffs on both campuses are trying to work on a final budget for one year and the preparation of a budget request for a succeeding year and it puts a great strain on them. He said, however, that he will try to present in tabular form without the written materials the budget request at the June meeting prior to a draft of the entire budget request being presented at the July Board meeting.

## INTERNAL AUDIT REPORTS

President Horton reported that internal audit reports of the College of Architecture Dean's accounts and the Department of Psychiatry and Behavioral Sciences were completed recently. A copy of each report was distributed to the Regents with the agenda for this meeting. President Horton said the audit reports are routine but that Mr. John Eckert, Director of Internal Auditing, is available to respond to any questions.

The internal audit of the OCCE Program Development Services was distributed along with an executive summary of it and discussed at the June Committee of the Whole meeting. At the request of members of the Board for further review, the audit was brought up for discussion at this time.

Regent Kemp expressed concerns about the operating deficit indicated in the Program Development Services audit. He said a number of these deficits have been reported in internal audits and he wondered where the funds come from to make up this deficit. President Horton explained that this is one program within Continuing Education and Public Service (CE&PS) and in CE&PS it is necessary to look at the total operation. Some programs by their very nature will be "loss leaders"; that is, the fees they charge will not cover costs but other programs will make money and when you look at the total Continuing Education function you have to balance off the income from some of the activities with the losses in others.

Mr. John Eckert and Vice Provost Bill Maehl were invited for discussion. Dr. Maehl said he had recognized that there was a problem within the unit. Over the years he has worked closely with Internal Auditing and depended on their management advice so that when this situation came to his attention, he asked for this audit to help identify the management problems and the deficit. It was determined the problem was primarily the result of bad management and with the help of Internal Auditing Dr. Maehl said he has been able to eliminate these problems. The person in charge of the department has taken early retirement and the remaining personnel within the program have been clearly informed as to the need to structure the program proposals to cover the various costs.

In response to questions from the Regents, Vice Provost Maehl indicated that the total CE&PS operation (about 35 separate units) was in a deficit position of about \$100,000 for 1984-85 but that for 1985-86, it will be in the black. Dr. Maehl said CE&PS funds are allowed to carry over from one year to the next. About 9% of the money comes from State appropriated funds with the balance from CE&PS activities, largely participant fees, but some from grants and contracts.

Mr. Eckert indicated that all of CE&PS is not audited at one time, that a few programs are selected each year for review and that Dr. Maehl had requested this audit. Dr. Maehl said even though all of CE&PS is not audited each year, they do close out each year and have a year end result. He said during the last year a system was created which provides the kind of monitoring that is required for the CE&PS operation; a much better system than has been available in the past.



Dr. Maehl stated it is their intention that no program lose money and they regularly review ones that are causing difficulty and determine whether it is temporary or long-term.

During the discussion Regent Imel suggested and it was agreed that Vice Provost Maehl will prepare a six to eight page report on what was accomplished in CE&PS for 1985-86, not only the type of programs, but the money generated and present it to the Board at the September meeting. President Horton agreed it would be very timely to have this kind of a report.

#### COMMITMENT OF ASSOCIATES FUNDS

Associates funds in support of research and creative activity have allowed us to maintain our momentum as we work to improve our ability to contribute in the research, scholarly, and creative areas. These funds will be used to update research equipment, increase the ability of established research faculty to compete for external funding, provide "seed money" for faculty to establish their research programs, and encourage groups of faculty to collaborate in research/creative activities.

Associates funds in support of instructional innovation have allowed us to enhance undergraduate teaching, particularly in those areas of the curriculum generally considered as constituting general education. These funds will be used to update instructional equipment and classroom materials, and to support proposals that are innovative in the delivery of lower-division, general education courses.

President Horton recommended that the Board of Regents approve the use of \$200,000 of University of Oklahoma Associates funds to support research and creative activity and \$100,000 to support instructional innovation at the undergraduate teaching level for fiscal year 1986-87.

Regent Imel moved approval of the recommendation. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, White, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### CAPITAL EXPENDITURE REIMBURSEMENT BOND PROGRAM

A resolution for action and other background information regarding the University participating in the Oklahoma Development Authority Capital Expenditure Reimbursement Bond Program was included in the agenda for this Regents' meeting. The matter was discussed at length with representatives of Leo Oppenheim and Company, Fagin Brown Bush, and with the University's independent bond counsel, Mr. Brent Clark, at the Committee of the Whole meeting on Wednesday, July 23.

President Horton reminded the Regents that he had indicated on Wednesday that he has withdrawn his recommendation on this matter and that the administration will continue to work with the individuals listed above and when he is prepared to make a recommendation, he will try to arrange a special meeting of the Board to address the issue.

Regent Kemp commented that he thinks it would be desirable to have outside advice and counsel about how better to invest the \$50 million once it is received. He suggested an investment firm, such as J. & W. Seligman & Co., to provide investment advice and an opinion about what we might be able to do. He believes there are better investments than those already suggested. Regent Imel expressed concerns about the safety of the funds and also foreign investments. He believes the money should be collateralized. It was suggested that if the University is going to pursue this matter, investment advice should be available from someone who will not realize a fee on the project.

There was a discussion of the possible cost of seeking such advice, including the cost of independent bond counsel to date. Mr. Ward indicated he has not received a bill for Mr. Clark's services but he anticipates perhaps \$5,000 to \$6,000 to date on this project.

Following further discussion, Regent Imel moved that President Horton secure on behalf of the Board of Regents a financial investment counselor to advise on the parameters of this issue for a fee not in excess of \$20,000. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, White, Hogan, and Lewis. The Chair declared the motion unanimously approved. Regent Kemp commented that he is only referring to what happens after the \$50 million is received, not the entire program.

#### INVESTMENTS

J. & W. Seligman & Co., investment advisors, recently recommended the following transactions in the Regents' Endowment accounts:

SELL: 2,000 shares George Banta, Inc.  
 1,900 shares Lone Star Industries  
 1,000 shares Highland Superstores  
 \$100,000 par value U.S. Treasury Note, 12.75%  
 due February 15, 1987

BUY: 1,000 shares Snap-on-Tools  
 \$100,000 par value U.S. Treasury Bond, 8.375%  
 due August, 2008

The stocks were recommended for sale because they have not performed as expected. The Treasury Note was recommended for sale to take a capital gain and to extend the maturity at an attractive rate in today's market.

Snap-on-Tools is a large manufacturer of hand tools for the automotive and industrial maintenance markets. The stock seems well poised at this time for substantial growth potential.

These recommendations were approved by the University Trust Officer and the President and the transactions have been completed.

Since June 1 the following Certificates of Deposit and Treasury Notes have matured and have been reinvested as indicated:

1. \$100,000 Certificate of Deposit at the Republic National Bank, Norman at 8.125% matured June 1, 1986, reinvested at Republic at 6.5% to mature November 29, 1986.
2. \$100,000 Certificate of Deposit at the Norman Bank of Commerce at 8.5% matured June 2, 1986, reinvested at Bank of Commerce at 6.5% to mature December 2, 1986.
3. \$100,000 Certificate of Deposit at the United Bank and Trust Company, Norman at 8.125% due June 2, 1986, reinvested at United at 6.625% to mature December 2, 1986.
4. \$1,100,000 U.S. Treasury Bill matured June 6, 1986; \$1,130,000 reinvested in a Treasury Bill to mature September 4, 1986.
5. \$40,000 U.S. Treasury Bill matured June 6, 1986; reinvested to mature September 4, 1986.
6. \$725,000 U.S. Treasury Bill matured June 6, 1986; \$745,000 reinvested in a Treasury Bill to mature September 4, 1986.
7. \$100,000 Certificate of Deposit at the American Exchange Bank matured July 7, 1986, reinvested at American Exchange at 6.25% due January 5, 1987.
8. Certificate of Deposit in the amount of \$100,000 at City National Bank of Norman matured July 7, 1986, reinvested at City National at 6.125% to mature January 5, 1987.
9. \$265,000 U.S. Treasury Bill matured July 10, 1986, reinvested in Treasury Bill due September 4, 1986.

This was reported for information. No action was required.

ASSOCIATES AND PRESIDENT'S PARTNERS FUNDS

Following is the commitment of funds of the University of Oklahoma Associates for the period April 1 through June 30, 1986:

Academic Support            \$260,650

Geology and Geophysics

Completion of five-year commit- \$ 50,000  
ment for departmental develop-  
ment plan - approved by Board of  
Regents, May 8, 1986 (page 19018)

Chemistry	*Equipment for laboratory costs	\$ 59,431
Asian Studies	Symposium on East Asia	\$ 8,500
Geology and Geophysics	Equipment for Oxygen isotopic analysis	\$ 24,719
Chemistry	*Varian XL-300 Spectrometer	\$118,000
<u>Student Support</u>		\$ 40,000
Associates Fellowships	15 graduate assistantships at \$2,000	\$ 30,000
University Scholars Programs	Undergraduate scholarships	\$ 10,000
<u>Awards and Honors</u>		\$ 5,000
J. R. Morris	Regents' professorship	\$ 5,000

Health Sciences Center commitment of Associates funds for April 1 through June 30, 1986:

Medicine/Alumni Associates Funds

Faculty Support		\$ 4,619.94
Biochemistry Hiroyuki Matsumob, Ph.D.	Research equipment	\$ 4,619.94

Provost Development Associates Funds

Faculty Support		\$ 4,000
Biochemistry Sara L. Tobin, Ph.D.	Provost Research Award	\$ 2,000
Medicine Morris Reichlin, M.D.	Provost Research Award	\$ 2,000

The following are expenditures of Associates funds which were restricted by donors to the various colleges for the same period of time:

<u>College of Arts and Sciences</u>		\$ 1,845
Classics	Epson printer and software	\$ 420
Modern Languages	Grenobel Scholarship fund	\$ 475

\*Approved by Board of Regents, May 8, 1986 (page 19018)

July 24, 1986

19138

Psychology Undergraduate scholarship fund \$ 950

College of Geosciences \$15,000

Geology and Geophysics Shielded research lab \$ 15,000

The following is the commitment of funds of the President's Partners for the period April 1 through June 30, 1986:

Academic Support \$ 2,900

Library and Information Studies Support to establish an international agreement with the Japanese Library Science Associates \$ 1,900

English Oklahoma Project for Discourse and Theory \$ 1,000

Student Support \$14,500

Graduate Student Association Small grants to assist graduate student research \$ 2,500

Biological Station 1986 Summer Scholarships \$ 12,000

Administrative Expense \$14,000

President's Partners Administrative Account 176-600 Five percent of annual receipts to cover administrative costs of the program \$ 14,000

This report was presented for information and no action was required.

#### ENERGY CENTER INTEREST

Regent Kemp initiated a discussion about the Energy Center funds - the interest account. He said he would like to have the interest fund collapsed into the principal account. He read the following from the recent Arthur Anderson & Company audit of certain Foundation funds:

Fund interest - the Foundation has consistently followed a policy that interest earned on the assets of certain Foundation funds is available to other Foundation funds and University activities as designated by the Executive Director, the President of the University and/or the appropriate fund sponsor. A question has been raised as to the appropriateness of this policy. While we are not aware of any specific

restrictions or legal prohibition, we suggest that the Executive Director review the Foundation's policy under the direction and guidance of the Trustees. It is possible that a legal opinion is necessary in order for the Foundation to formalize its policy.

Mr. Kemp said they are saying that there is a question about spending this interest money. In his opinion, and he said he has researched it to the best of his ability, he does not think that the interest money can be spent on anything except the Energy Center.

Regent Kemp moved that the interest be collapsed into the fund and no longer used for anything except completion of the Energy Center.

In response to a question from Regent White, Regent Kemp said he does not believe the administrative costs of raising money for the Energy Center can legally come out of the Energy Center interest. Regent White expressed the opinion that we have to have money to continue to raise money. In response to a question, Vice President Burr indicated on that particular account there has never been a Regents' policy on administrative expenses, though there is a Regents' policy regarding the percentage that may be used for administrative costs from Associates and President's Partners funds. Regent White agreed that the Energy Center interest money should not be spent on a lot of other things but he expressed the desire to know what impact there would be on raising the additional money required for the building if fund-raising expenses cannot be handled from that account. Vice President Burr indicated the University has very limited funds from any source at this time for expense money or for the salary of one employee who is helping with raising money for the Energy Center, except the Energy Center accounts. He emphasized that he was not saying that we could not do it in some other ways. He said last year approximately \$100,000 was spent on Energy Center fund-raising including the salary and fringe benefits for one employee and about \$40,000 to \$45,000 additional for travel expenses, hotels, etc. associated with raising money for the Energy Center. In response to a question, President Horton indicated that the main problem if the motion passes will be where will we be able to offset those costs for the fund-raising. Regent White expressed the desire to make a provision for some administrative costs from Energy Center interest within reason much as the Board did with the Associates funds.

During further discussion Regent Kemp indicated he would agree to holding action on his motion if the Board wishes to request Chief Legal Counsel Ward to prepare an opinion regarding the expenditure of the Energy Center interest. Mr. Ward indicated that it would be premature for him to give a legal opinion with the knowledge that he has at this time on this issue. He would want more time and ability to research the issue, read the Arthur Anderson language, and take a look at the original endowment creation before he made that opinion. During further discussion it was agreed to table Regent Kemp's motion until the September meeting pending receipt of a legal opinion and that the Energy Center interest funds would not be expended for any purpose except the Energy Center

Building until that time. Regent Imel suggested, and it was agreed, that the administration also advise the Board on the impact and how the University can continue to pursue reasonable fund-raising activities if those funds are not available.

#### FINANCIAL DISCLOSURE LAW

President Horton reported Enrolled House Bill 2054 approved by the Oklahoma Legislature in 1986 and signed by Governor Nigh on June 13, 1986, pertains to the establishment of the Oklahoma Ethics Commission. The Bill also includes provisions for certain financial disclosure statements to be submitted to the Oklahoma Ethics Commission. The Act includes the following:

"A member of a board or commission as created by the Oklahoma Constitution or by statute shall file a financial disclosure statement with the Commission upon initial appointment and not later than April 30 of each even-numbered year thereafter."

Chief Legal Counsel Ward was invited to review this matter. He reminded the Board that the Financial Disclosure Law adopted in 1985 by the State Legislature was discussed last fall but it was subsequently ruled by the Attorney General that higher education boards were not required to file the disclosure statements under that law. The Financial Disclosure Law has now been amended and an Oklahoma Ethics Commission established under House Bill 2054 and on the face of it, Mr. Ward said, appears to require that higher education board members file the financial disclosure statement. He said, however, that Representative Carolyn Thompson, one of the primary authors of the Bill, has been quoted in a newspaper story that it was not the intent to include higher education board members and that an opinion has been requested from the Attorney General on this issue. If it is determined this Board must file the disclosure statements, the individual appointed to the Board in March of 1987 would have to file the statement immediately and everybody else would have to file before April 30, 1988. Mr. Ward said the consensus of those on the campus who filed these statements this past spring is that it is somewhat burdensome but the form is not in any detail.

In response to a request from the Regents, Mr. Ward indicated a copy of the form that was used this past spring would be submitted to the Regents.

#### ADMINISTRATIVE SEARCH COMMITTEE POLICY

Regent McCurdy said it has been proposed that the following sentence be added at the end of the first paragraph of the Administrative Search Committee policy:

Any member of the Board of Regents can serve on any search committee and the senior Regent serving on the committee will serve as Chair of the search committee.

This matter was brought forward at the Committee of the Whole meeting on Wednesday and discussed at some length with members of the faculty on both campuses of the University participating in the discussion.

Regent Imel moved that the matter be continued and in the interim, because of the discussion that was held with the faculty, ask the President at the first opportunity to execute the interface arrangement between a search committee and Regents and give that a trial. Regent Imel explained that by interface he means basically that the search committee and the Regents would meet together at whatever point the Board and the President agree on.

Regent Kemp said he does not believe Regents can make any restrictions on each other. Regent Lewis stated she does not believe this is a restriction, that Regents can attend any search committee meetings they wish. Regent Kemp said he believes any Regent can serve on any search committee and out of deference, the senior Regent on the committee should be chair of the committee.

Following further discussion, the following voted yes on the motion: Regents McCurdy, Imel, White, Hogan, and Lewis. Regents Kemp and Sarratt voted no. The Chair declared the motion approved.

#### MAJOR CAPITAL IMPROVEMENT PROJECTS AND REVENUE BONDS

Progress reports on major capital improvement projects under construction and in various stages of planning on both campuses of the University were distributed to the Regents with the agenda for this meeting as shown on Exhibit C attached. A report on the University's outstanding revenue bonds as of May 31, 1986 was included. President Horton called attention to the fact that the only change on the revenue bonds report is a decrease in the Stadium System Revenue Bonds 1979 Series Repair and Replacement Fund in the amount of \$200,000. He said this \$200,000 is being expended for the repair of the stadium as approved by the Board.

These reports were presented for information. No action was required.

#### HEALTH SCIENCES CENTER ACADEMIC PERSONNEL ACTIONS

President Horton recommended approval of the academic personnel actions shown below:

#### LEAVES OF ABSENCE:

A. Kurt Weiss, Professor of Physiology and Biophysics, sabbatical leave of absence with full pay, February 1, 1987 through July 31, 1987. To do research.



July 24, 1986

19142

James R. Geyer, Professor of Urology, sabbatical leave of absence with full pay, August 1, 1986 through January 31, 1987.

James Orten, Associate Professor of Psychiatry and Behavioral Sciences, leave of absence without pay, July 1, 1986 through June 30, 1987.

Susan E. Brackett, Assistant Professor of Fixed Prosthodontics, leave of absence without pay, July 1, 1986 through June 30, 1987.

Mark A. Anderson, Assistant Professor of Physical Therapy, leave of absence without pay, July 1, 1986 through June 30, 1987.

APPOINTMENTS:

Steven J. Gentling, Adjunct Professor of Health Administration, without remuneration, May 13, 1986.

Donald F. Doenitz, Adjunct Associate Professor of Health Administration, without remuneration, May 13, 1986.

Lowell C. Lenhart, Ph.D., Adjunct Associate Professor of Health Administration, without remuneration, May 13, 1986.

Dennis Carl Millirons, Adjunct Associate Professor of Health Administration, without remuneration, May 13, 1986.

Herbert A. Klontz, D.D.S., Clinical Associate Professor of Orthodontics, without remuneration, August 1, 1986.

Steven Alan Hobbs, Ph.D., Adjunct Associate Professor of Pediatrics, Tulsa, without remuneration, May 15, 1986.

David Dale McGhee, M.D., Clinical Associate Professor of Pediatrics, Tulsa, without remuneration, May 15, 1986.

Patricia A. Chase, Associate Professor of Pharmacy and Director of Pharmacy, Oklahoma Teaching Hospitals, annual rate of \$55,000 for 12 months (\$4,583.33 per month), June 1, 1986 through June 30, 1987. Paid from C4303001, Pharmacy Reimbursement Oklahoma Teaching Hospitals, pos. 8.

Larry Ray Pennington, M.D., Associate Professor of Surgery and Chief, Transplant Surgery Section, annual rate of \$70,000 for 12 months (\$5,833.34 per month), July 1, 1986 through June 30, 1987. Paid from A0000082, PPP-Surgery-Administration, 2224-2, Surgery, pos. 5, and VA Hospital.

Merle Allen Phillips, Adjunct Assistant Professor of Communication Disorders, without remuneration, July 1, 1986.

Lynn D. Carr, Adjunct Assistant Professor of Health Administration, without remuneration, March 26, 1986.

July 24, 1986

19143

Brent L. Bennett, M.D., Clinical Assistant Professor of Medicine, Tulsa, annual rate of \$46,350 for 12 months (\$3,862.50 per month), July 1, 1986 through June 30, 1987. Paid from 2805-5, Tulsa Medical College - Department of Medicine, pos. 5.

Andrea Jeanne McCoy, Clinical Assistant Professor of Nursing, \$237.50 per month, .10 time, August 18, 1986 through June 30, 1987. Paid from 2007-4, Graduate Nursing Program, pos. 105.

Carol Lea Walls, Clinical Assistant Professor of Nursing, \$237.50 per month, .10 time, August 18, 1986 through June 30, 1987. Paid from 2007-4, Graduate Nursing Program, pos. 104.

Bradley K. Farris, M.D., Assistant Professor of Ophthalmology and Adjunct Assistant Professor of Neurology and of Surgery, annual rate of \$40,000 for 12 months (\$1,713.50 per month), July 1, 1986 through June 30, 1987. Paid from B0331101, Children's Memorial Hospital, A0000076, PPP-Ophthalmology, pos. 13, and VA Hospital.

Jennifer Johnson, M.D., Assistant Professor of Pediatrics, annual rate of \$55,000 for 12 months (\$4,583.33 per month), July 1, 1986 through June 30, 1987. Paid from A0000079, PPP-Pediatrics, pos. 36.

James Marvin Lee, Ph.D., Adjunct Assistant Professor of Pediatrics, Tulsa, without remuneration, May 15, 1986.

Gerald Cecil Miller, Ph.D., Adjunct Assistant Professor of Pediatrics, Tulsa, without remuneration, May 15, 1986.

Gerald Bradley Schaefer, M.D., Assistant Professor of Pediatrics, annual rate of \$55,000 for 12 months (\$4,583.33 per month), July 1, 1986 through June 30, 1987. Paid from A0000079, PPP-Pediatrics, pos. 89.

Nancy Ann Letassy, Pharm.D., Clinical Assistant Professor of Pharmacy, without remuneration, May 1, 1986.

David E. Linden, M.D., Assistant Professor of Psychiatry and Behavioral Sciences, annual rate of \$45,000 for 12 months (\$3,750.00 per month), November 1, 1986 through June 30, 1987. Paid from A0000080, PPP-Psychiatry, pos. 45.

Catherine J. Shaw, Psy.D., Assistant Professor of Psychiatry and Behavioral Sciences, annual rate of \$40,105 for 12 months (\$3,342.09 per month), May 19, 1986 through June 30, 1987. Paid from VA Hospital, pos. 4.

Marilyn Irene Steele, M.D., Assistant Professor of Pediatrics, annual rate of \$42,000 for 12 months (\$3,500.00 per month), July 1, 1986 through June 30, 1987. Paid from B0334001, Children's Memorial Hospital, and 2216-2, Pediatrics, pos. 81.

Glenn M. Batiller, M.D., Instructor in Anesthesiology, annual rate of \$41,812 for 12 months (\$3,484.33 per month), July 7, 1986 through June 30, 1987. Paid from A0000571, PPP-Anesthesiology Administration, pos. 6.

July 24, 1986

19144

Andrew Finlay Frost, M.D., Ph.D., Instructor in Anesthesiology, annual rate of \$41,812 for 12 months (\$3,484.33 per month), July 1, 1986 through June 30, 1987. Paid from A0000571, PPP-Anesthesiology Administration, pos. 942.

Rene Luis Limjoco, M.D., Instructor in Anesthesiology, annual rate of \$41,812 for 12 months (\$3,484.33 per month), July 7, 1986 through June 30, 1987. Paid from A0000571, PPP-Anesthesiology Administration, pos. 943.

Dennis M. Parker, M.D., Clinical Instructor in Medicine, without remuneration, June 1, 1986.

Curtis J. Pink, M.D., Clinical Instructor in Medicine, without remuneration, June 1, 1986.

Alan William Holderness, M.D., Clinical Instructor in Orthopaedic Surgery and Rehabilitation, without remuneration, June 1, 1986.

Rebecca L. Overton, Special Instructor in Speech Pathology, Department of Otorhinolaryngology, annual rate of \$21,000 for 12 months (\$1,750.00 per month), June 1, 1986 through June 30, 1987. Paid from B0333001, Children's Memorial Hospital, pos. 7.

James Perry Ward, M.D., Clinical Instructor in Pediatrics, Tulsa, without remuneration, May 15, 1986.

Mary F. Gilmore, Clinical Instructor in Psychiatry and Behavioral Sciences, Tulsa, without remuneration, July 1, 1986.

William Michael Backenstose, D.D.S., Preceptor in Dental Services Administration, without remuneration, May 19, 1986.

Eric B. Broderick, D.D.S., Preceptor in Dental Services Administration, without remuneration, May 19, 1986.

Albert Eugene Drake, Jr., D.D.S., Preceptor in Dental Services Administration, without remuneration, May 2, 1986.

Charles Walter Grim, D.D.S., Preceptor in Dental Services Administration, without remuneration, May 16, 1986.

David Mark McCollough, D.M.D., Preceptor in Dental Services Administration, without remuneration, May 22, 1986.

Scott Mathew Taylor, D.D.S., Preceptor in Dental Services Administration, without remuneration, May 1, 1986.

Steven Ray Tetrev, D.D.S., Preceptor in Dental Services Administration, without remuneration, May 5, 1986.

Chris D. Tomlins, D.M.D., Preceptor in Dental Services Administration, without remuneration, May 6, 1986.

July 24, 1986

19145

W. Scott Harrington, D.M.D., Clinical Assistant in Oral and Maxillofacial Surgery, Department of Surgery, College of Medicine, without remuneration, July 1, 1986.

July 24, 1986

<u>NAME and TITLE(S)</u>	<u>ANNUAL FTE INCOME</u>	<u>ANNUAL GUARANTEED BASE SALARY</u>	<u>ANNUAL PPP EARNINGS POTENTIAL</u>	<u>EFFECTIVE DATE</u>
APPOINTMENT:				
Edward Monroe Hampton, Pharm.D., Assistant Professor of Pharmacy	\$43,200	\$36,000 (\$3,000.00 per month)	\$ 7,200	8-1-86 thru 6-30-87
CHANGES:				
Mark S. Cranston, Instructor in Physical Therapy	FROM: \$35,000 TO: 40,454	FROM: \$23,333 TO: 25,454	FROM: \$11,667 TO: 15,000	8-1-85 thru 6-30-86
Janet E. Kristic, Assistant Professor of Nursing	FROM: 31,400 TO: 39,255	FROM: 26,170 TO: 26,170	FROM: 5,234 TO: 13,085	7-1-86

19146

## CHANGES:

Frank Adelman, Clinical Associate Professor of Family Medicine, salary changed from rate of \$240.67 per month, .05 time, to without remuneration, July 1, 1986.

Vincent Fiorica, Assistant Professor of Medicine, Tulsa, salary changed from annual rate of \$79,516 for 12 months (\$6,626.33 per month), to annual rate of \$80,516 for 12 months (\$6,709.67 per month), July 1, 1986. Paid from VA Medical Center.

James N. Greene, Assistant Professor of Medicine, Tulsa, salary changed from annual rate of \$79,516 for 12 months (\$6,626.33 per month), to annual rate of \$80,016 for 12 months (\$6,668.00 per month), July 1, 1986 through June 30, 1987. Paid from VA Medical Center.

Chesterfield G. Gunn, Regents' Professor of Medicine, Tulsa, salary changed from annual rate of \$81,258 for 12 months (\$6,771.50 per month), to annual rate of \$81,758 for 12 months (\$6,813.17 per month), July 1, 1986.

Jess Hensley, title changed from Professor to Clinical Professor of Pathology, salary changed from annual rate of \$37,500 for 12 months (\$3,125.01 per month), 1/2 time, to without remuneration, August 1, 1986.

Dan L. Nguyen, promoted from Assistant Professor to Associate Professor of Radiological Sciences, July 1, 1986.

Ann S. Owen, title changed from Adjunct Assistant Professor to Assistant Professor of Communication Disorders, July 1, 1986 through June 30, 1987.

Eugene S. Patterson, Research Associate in Medicine, salary changed from annual rate of \$21,200 for 12 months (\$1,766.67 per month), to annual rate of \$26,500 for 12 months (\$2,208.33 per month), July 1, 1986 through June 30, 1987. Paid from VA Hospital and C1112603, New Methods for Testing Efficacy of Antiarrhythmic Drugs.

Vladimar Pishkin, title changed from Professor to Clinical Professor of Psychiatry and Behavioral Sciences, salary changed from annual rate of \$67,940 for 12 months (\$5,661.67 per month), to without remuneration, June 1, 1986.

William H. Simon, Clinical Assistant Professor of Family Medicine and of Pediatrics, salary changed from rate of \$218.75 per month, .05 time, to without remuneration, July 1, 1986.

W. R. Smith, Clinical Associate Professor of Family Medicine and of Continuing Medical Education, salary changed from rate of \$647.92 per month, .15 time, to without remuneration, July 1, 1986.

Richard E. Staerkel, Clinical Assistant Professor of Family Medicine, salary changed from rate of \$218.75 per month, .05 time, to without remuneration, July 1, 1986.

July 24, 1986

19148

Gary R. Thurnau, Associate Professor of Obstetrics and Gynecology, increase approved at June meeting changed from \$8,000 to \$3,000 (annual rate of \$58,000 for 12 months, \$4,833.33 per month), July 1, 1986 through June 30, 1987. Paid from 2206-2, Gynecology and Obstetrics, pos. 6. Budget Correction.

Andrea M. West, Assistant Professor of Nursing; given additional title of Special Assistant to the Provost for Continuing Education, June 1, 1986.

RESIGNATIONS:

John A. Bullen, Clinical Assistant Professor of Psychiatry and Behavioral Sciences, Tulsa, June 1, 1986.

Robert W. Engelman, Assistant Professor of Pathology and Chief of Clinical Laboratories, Animal Resources, July 16, 1986. Accepted position at the University of South Florida.

Gary Dempsey, Clinical Instructor in Oral Diagnosis, May 28, 1986.

Janice A. Filler, Clinical Assistant Professor of Pediatrics, July 1, 1986.

Anselmo Gomez, Adjunct Assistant Professor of Anesthesiology, July 10, 1986.

Edwin Hall, Associate Professor of Pharmacy, July 8, 1986. Accepted position at Samford University.

Virginia I. Hendrick, Associate Dean for Academic Affairs, College of Medicine, and Assistant Professor of Pediatrics, June 24, 1986.

Lawrence K. L. Jung, OMRF Assistant Professor of Pediatrics, July 1, 1986.

Joel M. Linden, Adjunct Assistant Professor of Pharmacology and OMRF Research Assistant Professor of Pharmacy, July 1, 1986.

Julia M. Norris, Clinical Assistant Professor of Orthodontics, April 1, 1986.

Hector Rodriguez, Assistant Professor of Anesthesiology, June 24, 1986.

Shailesh Shah, Clinical Assistant Professor of Pediatrics, July 1, 1986.

DuAnne F. Smith, Clinical Assistant Professor of Nursing, July 1, 1986.

Bruce L. Storms, Clinical Instructor in Emergency Medicine and Trauma Section, Department of Surgery, July 1, 1986.

Bruce W. Tagge, Instructor in Obstetrics and Gynecology, June 30, 1986.

Wayne R. Tilson, Clinical Assistant in Emergency Medicine and Trauma Section, Department of Surgery, July 1, 1986.

Clell V. Todd, Instructor in Pediatrics, June 12, 1986.

July 24, 1986

19149

Lisa J. Wasemiller-Smith, Assistant Professor of Obstetrics and Gynecology, July 1, 1986.

Peter Zabos, Instructor in Anesthesiology, June 28, 1986.

#### RETIREMENTS:

Anglin J. Hill, Jr., Associate Professor of Biochemistry and Molecular Biology and of Research Dermatology, June 1, 1986 (accrued vacation through July 31, 1986).

Pamela Parrish, Associate Professor of Psychiatry and Behavioral Sciences and Adjunct Associate Professor of Medicine, January 2, 1986; given title of Professor Emeritus of Psychiatry and Behavioral Sciences.

Regent Imel moved approval of the recommendation. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, White, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### STUDENT APPEAL

Mr. Samuel Okoronkwo had expressed a wish to appeal the decision of President Horton to sustain the action taken by the Dean of the College of Pharmacy and the Health Sciences Center Provost that he not receive credit for the 1985 fall semester in which he was not enrolled in the College of Pharmacy. Information on Mr. Okoronkwo's appeal as presented by his attorney, Mr. Kwame T. Mumina, was distributed to the Regents with the agenda for this meeting. Mr. Okoronkwo requested and was granted permission to make an oral presentation of additional material. Mr. Okoronkwo was present at the Committee meeting on Wednesday and distributed additional written material and made an oral plea.

The administrative response to the appeal as presented by Dean Victor Yanchick was also distributed to the Regents with the agenda for this meeting. Dean Yanchick, as well as Provost Rich and Vice Provost Willie Bryan, were present at the Committee meeting and responded to the student's oral comments.

There was a lengthy discussion at the Committee meeting regarding this situation.

President Horton recommended that the appeal be denied.

Regent Hogan moved approval of the recommendation. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, White, Hogan, and Lewis. The Chair declared the motion unanimously approved.

Regent White retired from the meeting.



July 24, 1986

19150

HEALTH SCIENCES CENTER ADMINISTRATIVE AND PROFESSIONAL PERSONNEL ACTIONS

President Horton recommended approval of the administrative and professional personnel actions shown below:

APPOINTMENTS:

Ronald L. Davidson, Nurse Clinician, Department of Orthopaedic Surgery and Rehabilitation, annual rate of \$28,000 for 12 months (\$2,333.33 per month), July 15, 1986. Professional Staff. Paid from A000077, PPP-Orthopaedic Surgery, pos. 15.

M. LaWaun Hance, Physician's Assistant I, Department of Surgery, annual rate of \$24,000 for 12 months (\$2,000.00 per month), June 2, 1986. Professional Staff. Paid from A0000682, PPP-Surgery (Thoracic Surgery), pos. 49.

Nancy Carol Hernandez, Research Assistant II, Department of Pediatrics, annual rate of \$21,000 for 12 months (\$1,750.00 per month), December 4, 1985. Professional Staff. Paid from C1122101, Inhibition of Macrophage Phagocytosis in *Cryptococcus*, pos. 45.

Diane J. Jones, Physician's Assistant I, Department of Surgery, annual rate of \$24,000 for 12 months (\$2,000.00 per month), June 2, 1986. Professional Staff. Paid from A0000682, PPP-Surgery (Thoracic Surgery), pos. 48.

Salam Kadamani, Research Assistant III, Department of Biostatistics and Epidemiology, annual rate of \$24,000 for 12 months (\$2,000.00 per month), June 16, 1986. Professional Staff. Paid from C1114901, A Petroleum Solvent Mortality Study of Dry Cleaners, pos. 141.

Martha Kathleen Nagle, Assistant to the Provost for Academic Affairs, Office of the Provost, annual rate of \$38,000 for 12 months (\$3,166.67 per month), July 1, 1986. Administrative Staff. Paid from 0100-0, Office of the Provost, pos. 5.

Topy R. Nelson, Medical Technologist, Department of Medicine, annual rate of \$21,600 for 12 months (\$1,800.00 per month), June 2, 1986. Professional Staff. Paid from C8179001, Core Hematological grant, pos. 149.

Clifford Alexander Stodghill, reappointed Director of Food Services and Club Facilities, annual rate of \$41,106 for 12 months (\$3,425.50 per month), July 1, 1986. Administrative Staff. Paid from 0010-0, University of Oklahoma Administration, pos. 3.

CHANGES:

Susan A. Brown, Education Specialist I, Department of Family Medicine, salary changed from annual rate of \$10,500 for 12 months, .50 time, (\$875.00 per month), to annual rate of \$11,130 for 12 months, .53 time, (\$927.50 per month), July 1, 1986. Paid from C4220503, Develop postnatal education project, pos. 437.

Robin H. Crawford, Patient Education Nurse, Health Awareness Center, salary changed from annual rate of \$26,520 for 12 months (\$2,210.00 per month) to annual rate of \$18,480 for 12 months (\$1,540.00 per month), July 1, 1986 through February 28, 1987; to \$22,500 for 12 months (\$1,875.00 per month), March 1, 1987. Correction of overpayment. Paid from A0030899, PPP-Tulsa Medical College, Department of Human Services, Patient Education, pos. 3.

Robert E. Hiler, title changed from Auditor to Senior Auditor, Internal Auditing, salary changed from annual rate of \$20,825 for 12 months (\$1,735.42 per month) to annual rate of \$28,000 for 12 months (\$2,333.33 per month), July 11, 1986. Professional Staff. Paid from 0110-1, Office of Internal Audits, pos. 3.

Shirley M. Ratcliff, Clinic Manager, Family Practice Center, salary changed from annual rate of \$30,900 for 12 months (\$2,575.00 per month) to annual rate of \$24,720 for 12 months (\$2,060.00 per month), July 1, 1986. Paid from 3560-2, Tulsa Family Medicine Center - Sheridan One, pos. 104.

Thomas E. Scott, title changed from Special Assistant to the Vice Provost for Administration and Finance to Business Manager, College of Dentistry, salary changed from annual rate of \$31,036 for 12 months (\$2,586.35 per month) to annual rate of \$36,000 for 12 months (\$3,000.00 per month), July 1, 1986. Changed from Administrative Staff to Managerial Staff. Paid from 2004-3, College of Dentistry - Office of the Dean, pos. 4.

Debbie Lyn Stark, promoted from Office Manager to Education Specialist II, Department of Psychiatry and Behavioral Sciences, salary increased from annual rate of \$16,789 for 12 months (\$1,399.08 per month) to annual rate of \$23,500 for 12 months (\$1,958.33 per month), June 10, 1986. Changed from hourly to Professional Staff. Paid from 2220-2, Department of Psychiatry and Behavioral Sciences, and 1045-3, College of Dentistry - Department of Psychiatry, pos. 167.

Toni E. Warner, title changed from Coordinator, Patient Account Billing, Department of Obstetrics and Gynecology, to Manager, Departmental Billing, Department of Otorhinolaryngology, salary changed from annual rate of \$18,792 for 12 months (\$1,566.00 per month) to annual rate of \$20,700 for 12 months (\$1,725.00 per month), June 19, 1986. Changed from hourly to Managerial Staff. Paid from A0000078, PPP-Otorhinolaryngology, pos. 41.

Francis D. Wood, promoted from Systems Analyst to Senior Systems Analyst, Research and Educational System, July 1, 1982. Professional Staff.

#### RESIGNATIONS:

Sandra K. Burge, Research Assistant III, Department of Family Medicine, August 1, 1986.

Vickie C. Casey, Staff Assistant, University Development, October 1, 1985.

Nolan E. Christian, Social Worker, Department of Family Practice, Tulsa, July 1, 1986.

July 24, 1986

19152

Linda S. Council, Clinical Nurse Specialist, Department of Obstetrics and Gynecology, July 1, 1986.

Bill W. Crain, Director, Office of Public Information, July 1, 1986.

Jacob W. Flournoy, Senior Auditor, Office of Internal Auditing, July 11, 1986.

David Gilliland, Manager, Nuclear Pharmacy, and Clinical Assistant Professor of Pharmacy, July 15, 1986.

Sarah Herstand, Director, Infant Center, Department of Family Medicine, August 7, 1986.

Martha Mayne, Education Specialist II, Department of Family Practice, July 1, 1986.

Charles Prentice, Director, Alumni Affairs, Health Sciences Center, August 1, 1986.

Frances S. Watkins, Coordinator, Continuing Dental Education and Adjunct Associate Professor of Periodontics, August 13, 1986.

Jan G. Womack, Assistant to the Provost for Academic Affairs, Director of Institutional Research, and Interim Director, Computing Services, June 27, 1986. Retains title of Adjunct Associate Professor of Health Administration.

Regent Imel moved approval of the recommendation. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### ACQUISITION OF PATIENT BILLING SYSTEM

Upon mutual agreement between the Oklahoma Teaching Hospitals and the University's Department of Pathology, it was agreed that the Hospital will no longer bill for physician patient services. The Department of Pathology will assume that function. In order to address this matter, the Department has for the past several months evaluated various billing systems that would meet their specific requirements for pathology laboratory reporting and physician component billing.

The following six firms were contacted and systems offered by these firms were extensively evaluated, both in terms of meeting specific requirements and cost:

1. Harris/Lanier Information Systems
2. Travenol, Inc.
3. Sunquest Information Systems

4. Pathnet Company
5. CyCare Company
6. Interpretative Data Systems

Four firms were eliminated as their systems could not meet departmental system specifications. Interpretative Data Systems and CyCare Company were invited to make presentations. After evaluating both systems, it is the unanimous recommendation of the Department of Pathology to award a contract to CyCare Company for utilization of their laboratory and physician billing system. CyCare's system will allow the Department to utilize current IBM personal computers and printers. The initial fee and monthly cost is also less than that of Interpretative Data Systems. CyCare currently provides service to approximately ten other pathology groups while Interpretative Data Systems serves only one Pathology group.

Funds are available in the Pathology Department's Professional Practice account A0009984 for the one-time fee of \$4,060 and a monthly fee of approximately \$1,966 (monthly fee is based on number of transactions processed each month).

President Horton recommended the Health Sciences Center be authorized to enter into a contract with CyCare Systems to lease a patient billing system for the Department of Pathology for a one-time fee of \$4,060 and a monthly fee, depending on utilization of the system, of approximately \$1,966.

Regent Imel moved approval of the recommendation. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### PURCHASE OF DRUGS FOR OBSTETRICS PATIENTS

The Department of Obstetrics and Gynecology's section of Reproductive Endocrinology and Infertility has a very active program for treatment of infertility at the Health Sciences Center. The drug Pergonal is used in the treatment of patients in the infertility program. Serono Laboratories is the only company that manufactures this drug and is the only source for obtaining the drug in the United States. Pharmacies do not stock the drug due to its expense and limited usage.

The Department of Obstetrics and Gynecology purchases the drug and provides it to patients in the program. The cost of the drug, as with the treatment, is paid by the patient. The Department desires to establish a non-encumbered contract with the vendor, Serono Laboratories, for the purchase of the drug Pergonal over the next 12 months. It is estimated the Department will purchase approximately 10,000 vials of Pergonal at a cost of \$21.50 per vial, for a total cost of \$210,500. The Department will pay for only those vials ordered through this contract.

President Horton recommended the Department of Obstetrics and Gynecology establish a non-encumbered contract with Serono Laboratories for the purchase of the drug Pergonal in the amount of \$210,500 for the period July 1, 1986 through June 30, 1987.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### PROPOSALS, CONTRACTS, AND GRANTS

A summary of proposals for contracts and grants for the Health Sciences Center, including The University of Oklahoma Tulsa Medical College branch, for June, 1986 was included in the agenda for this meeting. A list of all contracts executed during this same period of time on proposals previously reported was also included.

President Horton called attention to the contract awarded to Dr. P. C. Comp during the month of June from the Department of Health and Human Services National Heart, Lung, and Blood Institute in the amount of \$90,912. The subject of Dr. Comp's research is Hereditary and Acquired Protein S Deficiency.

President Horton recommended that the President of the University or the President's designees be authorized to execute contracts on the pending proposals as negotiations are completed. The contract budgets may differ from the proposed amounts depending on these negotiations.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### CONTRACT WITH ZOOLOGICAL SOCIETY AND THE DEPARTMENT OF HUMAN SERVICES

An item was included on the agenda regarding the extension for three years of the University's contract with the Zoological Society for the lease of the LaCava land and with the Department of Human Services for land upon which an animal holding facility will be constructed.

President Horton said he has determined that it is not required that this matter be resolved today. He suggested it be held over until the September meeting while discussions take place on the implications of the three-year extension. Regent Kemp urged that this be delayed for further review as there might be more implications in this action than appear on the surface. The Board agreed to the delay.

July 24, 1986

19155

#### UNIVERSITY CENTER/FAMILY MEDICINE PROJECT

A status report on progress of the University Center/Family Medicine project was presented at the Committee of the Whole meeting yesterday. President Horton indicated that this matter will be brought up at a Health Sciences Center Committee meeting before the September meeting with the possibility that there might be action at the September meeting.

#### DISCONTINUATION OF PROGRAM

The faculty of the Colleges of Arts and Sciences and Education have recommended that the double major combining Early Childhood/Elementary Education be discontinued due to new State regulations for certification programs. This degree will now require 145 to 150 hours to complete as compared to the previously required 130 hours. The faculty in both colleges feel the increased number of hours will be prohibitive and will discourage the choice of this double major. The College of Education will continue to offer the separate certification degree programs in each area.

This proposal has been approved by the Academic Program Council and the Provost.

President Horton recommended that the Early Childhood/Elementary Education double major be discontinued.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents McCurdy, Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

Regent McCurdy retired from the meeting and Regent Imel, Vice Chairman of the Board, presided for the balance of the meeting.

#### NORMAN CAMPUS ACADEMIC PERSONNEL ACTIONS

President Horton recommended approval of the academic personnel actions as shown below:

#### LEAVES OF ABSENCE:

Rebecca S. Roberts, Assistant Professor of Geography, leave of absence without pay, August 16, 1986 through December 31, 1986. To teach at Clark University for one semester.

Nicholas J. Chelenza, Senior Course Moderator and Program Development Specialist, FAA Management Training School and Adjunct Instructor in Political Science, leave of absence without pay, October 1, 1985 through February 2, 1986; retirement date changed from October 3, 1985 to February 2, 1986.

## APPOINTMENTS:

Robert Lawton Jones, Professor of Architecture and Director, Division of Architecture, annual rate of \$45,000 for 12 months, .75 time, (\$3,750.00 per month), July 1, 1986 through June 30, 1988. Paid from 127-203, Architecture, pos. 34.60, and 127-379, Architecture Dean, pos. 8.65.

Melvin Greenhutt, Visiting Distinguished Professor of Economics, annual rate of \$22,000 for 9 months, .33 time, (\$2,444.44 per month), August 16, 1986 through May 15, 1987. Paid from 127-213, Business Administration Instruction, pos. 59.60.

David John A. Hissey, Associate Professor of Art, annual rate of \$36,000 for 9 months (\$4,000.00 per month), August 16, 1986 through May 15, 1987. Paid from 127-204, Art, pos. 24.60.

John Burns Noble, J.D., Visiting Associate Professor of Law, annual rate of \$40,000 for 9 months (\$4,444.44 per month), August 16, 1986 through May 15, 1987. Paid from 227-201, Law, pos. 15.65.

Marilynn H. Brown, reappointed Assistant Professor of Accounting, annual rate of \$19,125 for 9 months, .75 time (\$1,593.75 per month), August 16, 1986 through May 15, 1987. Paid from 127-213, Business Administration Instruction, pos. 14.6.

Robert Paul Mensforth, Visiting Assistant Professor of Anthropology, annual rate of \$22,000 for 9 months (\$2,444.44 per month), August 16, 1986 through May 15, 1987. Appointment contingent upon completion of requirements for Ph.D., by August 16, 1986. Paid from 127-202, Anthropology, pos. 8.60.

Bess B. Hood, Professor Emeritus of Bibliography; reappointed Head, Catalog Department, annual salary rate of \$15,300 for 12 months, .49 time (\$1,275.00 per month), July 1, 1986 through June 30, 1987. Paid from 127-600, University Libraries, pos. 27.65.

Ralph S. Tanner, Ph.D., Visiting Assistant Professor of Botany and Microbiology, annual rate of \$21,000 for 12 months (\$1,750.00 per month), June 1, 1986 through June 30, 1987. Paid from 157-093, Genes Cloning. Paid from grant funds; subject to the availability of funds.

Linda Lepak, Visiting Assistant Professor of Environmental Analysis and Policy, annual rate of \$17,000 for 9 months, .75 time (\$1,888.88 per month), August 16, 1986 through May 15, 1987. Paid from 127-213, Business Administration Instruction, pos. 45.60.

Thomas Dennis Bush, Jr., Assistant Professor of Civil Engineering and Environmental Science, annual rate of \$33,000 for 9 months (\$3,666.67 per month), August 16, 1986 through May 15, 1987. Paid from 127-222, Civil Engineering and Environmental Science, pos. 5.60.

Robert Charles Knox, Ph.D., Assistant Professor of Civil Engineering and Environmental Science, annual rate of \$33,000 for 9 months (\$3,666.67 per month), August 16, 1986 through May 15, 1987. Paid from 127-222, Civil Engineering and Environmental Science, pos. 15.6.

Masood Alam Khan, Ph.D., Assistant Professor of Chemistry and Staff Crystallographer, annual rate of \$26,000 for 12 months (\$2,166.67 per month), June 1, 1986. Paid from 127-221, Chemistry, pos. 38.60, and 127-421, Chemistry Research, pos. 38.65.

Kevin Wall Saunders, Ph.D., J.D., Assistant Professor of Law, annual rate of \$38,000 for 9 months (\$4,222.22 per month), August 16, 1986 through May 15, 1987. Paid from 227-201, Law, pos. 3.65.

Richard Tiuugott Dipper, Ph.D., Assistant Professor of Mathematics, annual rate of \$29,000 for 9 months (\$3,122.22 per month), August 16, 1986 through May 15, 1987. Paid from 127-264, Mathematics, pos. 13.60, and 127-464, Mathematics Research, pos. 13.65.

Nazih Nahlus, Visiting Assistant Professor of Mathematics, annual rate of \$22,500 for 9 months (\$2,500.00 per month), August 16, 1986 through May 15, 1987. If Ph.D. not completed by August 16, 1986, salary will be reduced to \$20,500. Paid from 127-264, Mathematics, pos. 22.60.

Igor Reider, Ph.D., Assistant Professor of Mathematics, annual rate of \$26,500 for 9 months (\$2,944.44 per month), August 16, 1986 through May 15, 1987. Paid from 127-264, Mathematics, pos. 3.60, and 127-464, Mathematics Research, pos. 3.65.

Elizabeth C. Marks Gunn, Visiting Assistant Professor of Political Science and Assistant Director, Public Administration Program, annual rate of \$30,000 for 12 months (\$2,500.00 per month), July 1, 1986 through June 30, 1987. Upon completion of dissertation, rate of pay will be \$32,000 for 12 months (\$2,683.33 per month). Paid from 127-243, Political Science, pos. 4.60, and 127-581, Public Administration Academic Support.

Ella R. Euwing, Visiting Assistant Professor of Social Work, annual rate of \$22,000 for 9 months (\$2,444.44 per month), August 16, 1986 through May 15, 1987. Paid from 127-288, Social Work, pos. 21.60.

Eunice Claudene McDowell, Assistant Professor of Social Work, annual rate of \$24,545 for 9 months (\$2,727.22 per month), August 16, 1986 through May 15, 1987. Paid from 127-288, Social Work, pos. 20.60.

Richard Lawrence Cifelli, Ph.D., Assistant Professor of Zoology and Assistant Curator, Vertebrate Paleontology, Stovall Museum, annual rate of \$25,000 for 12 months (\$2,083.33 per month), July 1, 1986 through June 30, 1987. Paid from 127-269, Stovall Museum, pos. 182.65.

Luther G. Mansfield, Jr., Instructor in Accounting, annual rate of \$23,500 for 9 months (\$2,611.11 per month), August 16, 1986 through May 15, 1987. Paid from 127-213, Business Administration Instruction, pos. 6.60.



Michele Tersine, reappointed Visiting Instructor in Management, annual rate of \$19,500 for 9 months (\$2,166.66 per month), 3/4 time, August 16, 1986 through May 15, 1987. Paid from 127-213, Business Administration Instruction, pos. 124.60.

John Michael Caldwell, reappointed Archivist, Carl Albert Congressional Research and Studies Center II, annual rate of \$21,600 for 12 months (\$1,800.00 per month), July 1, 1986 through June 30, 1987. Paid from 127-623, Congressional Research and Studies Center II, pos. 1.65.

Roland C. Barrett, reappointed Assistant Marching Band Director, annual rate of \$12,000 for 9 months (\$1,333.33 per month), August 16, 1986 through May 15, 1987. Paid from 127-211, University Bands, pos. 2.60.

\* Kyozo Ueyoshi, reappointed Postdoctoral Fellow, Cooperative Institute for Mesoscale Meteorological Studies, annual rate of \$25,000 for 12 months (\$2,083.33 per month), July 1, 1986 through June 30, 1987. Paid from 155-870, Cooperative Institute for Mesoscale Meteorological Studies I.

\* Jeffrey L. Savidge, reappointed Postdoctoral Research Associate, Cooperative Institute for Mesoscale Meteorological Studies, annual rate of \$21,000 for 12 months, .80 time, (\$1,750.00 per month), effective April 1, 1986 and with .60 of the appointment expiring March 31, 1987 and .20 expiring on November 30, 1986. Paid from 155-724, Supercompressibility Task II, and 155-988, Binary VLE Data.

Periyasamy Subramanian, reappointed Visiting Research Associate in Chemistry, annual salary rate of \$20,350 for 12 months (\$1,695.87 per month), October 1, 1986 through June 30, 1987. Paid from 127-421, Chemistry Research, pos. 39.65.

\* Lang-Ping Chang, reappointed Research Scientist, Cooperative Institute for Mesoscale Meteorological Studies, annual rate of \$31,000 for 12 months (\$2,583.32 per month), July 1, 1986 through June 30, 1987. Paid from 155-870, Cooperative Institute for Mesoscale Meteorological Studies I.

\* William L. Taylor, reappointed Research Scientist, Cooperative Institute for Mesoscale Meteorological Studies, annual rate of \$10,295 for 12 months, .34 time (\$857.89 per month), July 1, 1986 through June 30, 1987. Paid from 155-871, Cooperative Institute for Mesoscale Meteorological Studies II.

#### CHANGES:

Jim P. Artman, Professor of Modern Languages, Literatures, and Linguistics, salary changed from annual rate of \$32,772 for 9 months (\$3,641.33 per month) to annual rate of \$33,072 for 9 months (\$3,674.67 per month), August 16, 1986. Paid from 127-268, Modern Languages, Literatures, and Linguistics, pos. 4.60.

\* Paid from grant funds; subject to the availability of funds

Raymond D. Daniels, Professor of Chemical Engineering and Materials Science; title of Interim Director of Chemical Engineering and Materials Science extended, salary changed from \$44,150 for 9 months (\$4,905.55 per month) to \$58,867 for 12 months (\$4,905.55 per month) plus \$200 per month during interim, July 1, 1986 through June 30, 1987. Paid from 127-220, Chemical Engineering and Materials Science, and 127-420, Chemical Engineering and Materials Science Research, pos. 6.

Mary E. Davis, Associate Professor Modern Languages, Literatures, and Linguistics and of Women's Studies, salary changed from annual rate of \$44,400 for 12 months (\$3,700.00 per month) to annual rate of \$33,300 for 9 months (\$3,700.00 per month), July 1, 1986. Paid from 127-268, Modern Languages, Literatures, and Linguistics, and 127-468, Modern Languages, Literatures, and Linguistics Research, pos. 14.

Dorothy C. Higginbotham, Professor of Communication; reappointed Assistant Dean, College of Arts and Sciences, salary changed from annual rate of \$37,475 for 9 months (\$4,163.88 per month) to annual rate of \$49,967 for 12 months (\$4,163.88 per month), plus \$200 per month, July 1, 1986 through June 30, 1987. Paid from 127-375, Arts and Sciences Dean, pos. 2.65.

Bruce Hinson, Associate Professor of Journalism and Mass Communication; given additional title of Assistant Director for Student Development, School of Journalism and Mass Communication, salary changed from annual rate of \$25,809 for 9 months (\$2,867.67 per month) to annual rate of \$31,544 for 12 months (\$2,628.67 per month), August 1, 1986. Paid from 127-256, Journalism and Mass Communication, pos. 12.60.

James L. Kudrna, Associate Professor of Architecture; given additional title of Assistant Director, Division of Architecture, July 1, 1986, salary changed from annual rate of \$28,506 for 9 months (\$3,167.33 per month) to annual rate of \$30,306 for 9 months (\$3,367.33 per month), August 16, 1986. Paid from 127-203, Architecture, pos. 14.60; 127-403, Architecture Research, pos. 14.65; and 127-379, Architecture Dean, pos. 9.65.

John H. Lancaster, Professor of Botany and Microbiology; reappointed Associate Dean, College of Arts and Sciences, paid an additional \$300 per month, July 1, 1986 through June 30, 1987. Paid from 127-375, Arts and Sciences Dean, pos. 5.65.

Andy R. Magid, Professor of Mathematics, salary changed from annual rate of \$45,230 for 9 months (\$5,025.56 per month) to annual rate of \$48,230 for 9 months (\$5,358.89 per month), August 16, 1986. Paid from 127-264, Mathematics, pos. 18.60, and 127-464, Mathematics Research, pos. 18.65.

Larry E. McKibbin, title changed from Dean, College of Business Administration, to Director, Office of International Programs, August 16, 1986. Retains title Professor of Management.

July 24, 1986

19160

John H. Moore, Associate Professor of Anthropology; reappointed Acting Chair of Anthropology, salary changed from annual rate of \$29,001 for 9 months (\$3,222.33 per month) to annual rate of \$38,668 for 12 months (\$3,222.33 per month), plus \$100 per month while Acting Chair, July 1, 1986 through June 30, 1987. Paid from 127-202, Anthropology, pos. 9.60, and 127-402, Anthropology Research, pos. 9.65.

\*Thomas M. Murray, Professor of Civil Engineering and Environmental Science, salary changed from annual rate of \$46,600 for 9 months (\$5,177.78 per month) to annual rate of \$51,260 for 9 months (\$5,695.56 per month), August 16, 1986 through May 15, 1987. Paid from 157-039, IPA Agreement, pos. 8.

Ronald M. Peters, Jr., Director, Carl Albert Congressional Research and Studies Center, and Associate professor of Political Science; given additional title of Curator, Carl Albert Congressional Archives, July 1, 1986.

Paula V. Reid, resigned as Manager, Electronic Data Processing, Information Systems Programs, July 1, 1986; appointed Instructor in Management and Director of College of Business Administration Micro Computer Laboratory, annual rate of \$24,000 for 9 months (\$2,666.66 per month), August 16, 1986 through May 15, 1987. Paid from 127-213, Business Administration Instruction, pos. 126.60.

\*Lans Rothfusz, Research Associate, Cooperative Institute for Mesoscale Meteorological Studies, salary changed from annual rate of \$10,200 for 12 months (\$925.00 per month), .50 time, to annual rate of \$20,400 for 12 months (\$1,850.00 per month), full time, July 1, 1986 through February 28, 1987. Paid from 155-772, Flow Geometry, and 155-012, Laboratory Mesocyclone and Tornado Vortex.

\*Jayne M. Salisbury, title changed from Graduate Research Assistant to Research Associate, Cooperative Institute for Mesoscale Meteorological Studies, salary changed from annual rate of \$8,700 for 12 months, .50 time (\$725.00 per month), to annual rate of \$24,590 for 12 months, full time, (\$2,049.19 per month), July 1, 1986 through October 31, 1986. Paid from 155-871, Cooperative Institute Mesoscale Meteorological Studies II, pos. 905.

Thomas H. Selland, Associate Professor of Architecture, Associate Dean, College of Architecture, and Associate Professor of Regional and City Planning; title of Director, Division of Architecture changed to Director, Design/Research Center, July 1, 1986.

William L. Shelton, Associate Professor of Zoology; given additional title of Project Director, Peace Corps Fisheries Training, salary changed from annual rate of \$27,151 for 9 months (\$3,016.78 per month) to annual rate of \$36,201 for 12 months (\$3,016.75 per month), July 1, 1986 through January 31, 1987. Paid from 127-297, Zoology, pos. 25.60, and 157-017, Peace Corps Fisheries Training, pos. 905.65.

\* Paid from grant funds; subject to the availability of funds

July 24, 1986

19161

William F. Weitzel, Professor of Business Administration; given additional title of Director of Executive Programs, July 1, 1986.

Luther W. White, Professor of Mathematics and Fellow, Cooperative Institute for Mesoscale Meteorological Studies; given additional title of Faculty Associate, Office of Business and Industrial Cooperation, August 15, 1986.

#### RESIGNATIONS:

Fredric D. Ancel, Associate Professor of Mathematics, August 16, 1986.

Abol H. Astaneh-Asl, Assistant Professor of Civil Engineering and Environmental Science, July 1, 1986. Accepted a position at the University of California.

Charles E. Barb, Associate Professor of Civil Engineering and Environmental Science and Associate Professor of Regional and City Planning, August 16, 1986. Accepted a position at the University of Washington.

Henry L. Bellmon, Bellmon Professor of Public Service, June 13, 1986.

Joe A. Blocher, Senior Course Moderator and Program Development Specialist, FAA Management Training School, and Adjunct Instructor in Political Science, May 28, 1986.

Israel R. Bonnell, Assistant Professor of Chemistry and Instrumentation Specialist, July 1, 1986. Accepted job with Proctor and Gamble.

Craig A. Dudczak, Assistant Professor of Communications, August 1, 1986.

Rosemary Dumont, Associate Professor of Library and Information Studies, August 1, 1986.

Nickolas L. Harm, Assistant Professor of Architecture, July 2, 1986. Accepted a teaching position in Saudi Arabia.

Robert J. Hogan, Associate Professor of Architecture and of Landscape Architecture, August 16, 1986. Accepted teaching position at Clemson.

Nancy Langton, Assistant Professor of Sociology and of Women's Studies, August 1, 1986. Accepted a position at Stanford University.

Charles R. McClure, Professor of Library and Information Studies, August 1, 1986. Accepted a position at Syracuse University.

William L. McKeen, Assistant Professor and Assistant Director for Student Development, School of Journalism and Mass Communication, August 1, 1986.

Regent Hogan moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

## TENURE

A discussion and consideration of academic tenure for Emanuel J. Drechsel, Assistant Professor of Anthropology, was held in executive session during the Committee of the Whole meeting on Wednesday.

On the advice of Legal Counsel, Regent Lewis moved that the Board of Regents approve tenure for Professor Drechsel in conjunction with and as part of a negotiated settlement of a dispute between Professor Drechsel and the University. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

## COLLEGE OF LAW CODE OF ACADEMIC RESPONSIBILITY

President Horton reported that in the spring of 1985 the Student Bar Association passed a resolution calling for a study of the Code of Conduct. In March of that year, Dean Wayne Alley appointed a Code of Conduct Review Committee to study the Code and to make recommendations for modification. The Committee was composed of three faculty members and three students.

Following an extensive study of a number of other codes, the Committee decided to prepare an entirely new code. The Committee returned its recommendations to the Faculty on March 12, 1986. At that time an opportunity was given to the students to examine the Code and a referendum was held so the students could express their opinion. There were minor objections to some provisions of the Code, but a substantial majority approved the new Code of Academic Responsibility.

Following the student referendum, as required by the Oklahoma Administrative Procedures Act, a public hearing was held on May 27, 1986. No one appeared.

Immediately following the public hearing, the faculty of the College adopted the Code of Academic Responsibility. The Code also has been approved by the Dean of the College of Law.

The Code of Academic Responsibility specifically defines academic misconduct and other ethical violations for students at the College of Law and prescribes the penalties and procedures for the enforcement of academic discipline and the method of review. One of the most important changes is that under the old Code of Conduct the procedure for enforcement was very similar to that followed in a criminal case. The new Code adopts a procedure for enforcement was very similar to that followed in a criminal case. The new Code adopts a procedure more similar to that found in an administrative or civil hearing. The new process should provide for a more prompt resolution of any violations that occur.

President Horton said it is the feeling that the basic principles of the Proposed Code are a better, more complete statement of the fundamental concepts of academic honesty and good faith, closes many gaps in the current Code of Conduct, and conforms to a better balance between the need for effective enforcement and principles of due process. The enforcement mechanism is based on balanced participation of faculty and students.

Dean David Swank and Professor Rick Tepker, Chair of the Code of Conduct Review Committee, were invited to comment on the proposed Code.

President Horton recommended approval of the following College of Law Code of Academic Responsibility to be effective beginning with the fall semester 1986. The Code of Academic Responsibility replaces the Code of Conduct for the College which was approved by the Board of Regents on May 12, 1977 (page 14457).

#### CODE OF ACADEMIC RESPONSIBILITY

##### Title I

##### The Purpose and Scope of the Code

##### Section 101. Purpose of Code

The purpose of this Code of Academic Responsibility is to define academic misconduct and other ethical violations, to prescribe penalties and procedures for enforcement of academic discipline, to provide for faculty review of the judgments of the Hearing Tribunal, and to provide for appeal to the Dean of the College of Law and the President of the University of Oklahoma.

##### Section 102. Scope of Code

(a) The Code of Academic Responsibility applies to all students of the College of Law of The University of Oklahoma.

(b) Each student shall sign a pledge to comply with this Code at the time of enrolling in the College of Law.

##### Title II

##### Principles of Academic Responsibility

##### Section 201. Accepted Principles of Honesty

(a) It shall be a violation of this Code for a student consciously to act contrary to accepted principles of honesty in any academic pursuit or prize competition.

(b) Accepted principles of honesty include but are not limited to the following:

(i) No student shall give, secure or exchange any information about the contents of or answers to an examination, in advance of or during such examination, except as authorized by the instructor;

(ii) No student shall consult books, papers or notes of any kind during an examination except as authorized by the instructor;

(iii) A student who has taken an examination and a student who will take that same examination may not discuss its contents with each other;

(iv) A student may not retain a copy of any examination for the purpose of preserving questions for use by another student, except as expressly authorized by the instructor; nor may a student take notes during an examination on the contents of an essay or objective examination for the purpose of preserving questions for use by another student, except as expressly authorized by the instructor;

(v) No student shall knowingly fail to stop writing an examination when the time allotted for writing the examination has elapsed;

(vi) No student shall hide, steal, or mutilate any materials belonging to the library, the University, another student, a faculty member or other member of the law school community;

(vii) No student shall submit plagiarized work in an academic pursuit or prize competition (plagiarism being the incorporation of written work, either word for word or in substance from any work of another, unless the student writer credits the original author and identifies the original author's work with quotation marks, notes or other appropriate written designation);

(viii) No student shall make any material misrepresentation to faculty or administration respecting Law School matters;

(ix) A student shall not, with knowledge, falsely accuse another student of committing acts that are violations of this Code;

(x) A student may not offer for credit any work prepared by another;

(xi) A student may not offer for credit work that has been previously offered for credit except as authorized by the instructor;

(xii) A student may not offer for credit work prepared in collaboration with another, except as authorized by the instructor;

(xiii) A student may not take an examination for another student; nor may a student knowingly permit his or her own examination to be taken by another;

(xiv) A student may not invade the administrative security maintained for the preparation and storage of examinations;

(xv) A student who learns that he or she has come in contact with an examination subject to administrative security must immediately inform the Dean or Associate Dean or the instructor;

(xvi) A student shall not falsify attendance sheets;

(xvii) A student shall not use Lexis, Westlaw or other library services for unauthorized work or activities.

Section 202. Accepted Principles of Good Faith.

(a) It shall be a violation of this Code for a student consciously to act contrary to accepted principles of good faith.

(b) Accepted principles of good faith include but are not limited to the following:

(i) No student shall harass any other member of the law school community on account of the member's race, color, religion, creed, gender, national origin, sexual preference or handicap.

(ii) For purposes of this subsection, harassment is: (A) extreme and outrageous conduct that unreasonably interferes with the work performance of another member of the law school community; or (B) extreme and outrageous conduct that creates an intimidating, hostile, or offensive environment for any other member of the law school community.

(c) It is the intent of this Section to ensure that each member of the law school community shall have the fair and equal opportunity to enjoy the benefits of association with the College without being hindered by invidious discrimination or prejudice.

Section 203. Misconduct Obstructing Administration of Examinations.

A student taking an examination must comply with all reasonable instructions and regulations of an examination and must not obstruct the administration of an examination. A student shall not violate any rules or regulations established by the College pursuant to Section 701 of this Code. Compliance with these principles includes but is not limited to the following:

(i) A student shall not fail to turn in examination materials at the appointed time;

(ii) A student shall not use a computer during the course of an examination; however, memory typewriters with limited memory capacity may be permitted with the express prior approval of the Dean or Associate Dean;

(iii) A student may not talk in any room during an examination except to, or at the direction of, a faculty member or other individuals administering an examination;

(iv) A student shall not deliberately create an unreasonable distraction during an examination;

(v) A student may not eat or smoke during an examination, except in rooms or areas designated;

(vi) A student shall not remove an examination or examination answers from the College of Law, except with the prior approval of the instructor;

(vii) A student shall not violate the rules designed to ensure that all grading of examinations is anonymous.



Section 204. Misconduct Obstructing Enforcement of the Code.

(a) Each student has an ethical responsibility to report any known or suspected violation of this Code to a member of the Council of Academic Responsibility or to another appropriate faculty member or administrator of the College of Law. Failure on the part of any student to satisfy this responsibility for enforcement of the Code is a violation of the Code.

(b) No student shall improperly obstruct enforcement of this Code. Compliance with this principle includes, but is not limited, to the following:

(i) A summoned student other than a defendant may not, without a reasonable excuse, fail to appear and give testimony before the Council of Academic Responsibility, the Hearing Tribunal, or faculty;

(ii) A student may not knowingly misrepresent material facts before the Council of Academic Responsibility, the Hearing Tribunal, or faculty;

(iii) A student may not fail to comply with a final order of the Hearing Tribunal.

Section 205. Misconduct in Pursuit of Employment.

A student may not seek to obtain unfair advantage in pursuit of employment by means of misrepresentations respecting the student's academic or professional record.

Section 206. Miscellaneous Principles.

(a) A student may not possess, transfer, or duplicate, keys to the College of Law or to any office in the College of Law, including the law library, without proper authorization.

(b) A student violates the Honor Code when he or she attempts or conspires to violate the Code.

Section 207. Library.

No other violation of library rules shall be covered by this Code, but shall remain subject to the rule-making authority of the Law Librarian, the Dean, or such other authority at the University created or empowered to deal with violations of library rules.

Section 208. Violations of Law.

Title 16 of the Student Code of Conduct and Responsibility of the University of Oklahoma (Norman Campus) is hereby incorporated in this Code, as follows:

"1. If a student is charged with, or convicted of, an off-campus violation of law, the matter is of no disciplinary concern to the institution unless the student is incarcerated and unable to comply with academic requirements, except:"

"1 The institution may impose sanctions for grave misconduct demonstrating flagrant disregard for the rights of others. In such cases, expulsion is not permitted until the student has been adjudged guilty by final judgment in a court of law.

"2 Once a student is adjudged guilty in a court of law, the institution may impose, upon proper hearing, sanctions if it considers the conduct to be so grave as to demonstrate flagrant disregard for the rights of others.

"3 Nothing in this section shall be construed to prevent the University from implementing disciplinary proceedings pending final adjudication in a competent court of law.

"2. Under authority of this Code, if the student is acquitted or the charges withdrawn, the institution shall review any previous action against the student, and may, after fair hearing in the judicial system of the University, impose further sanctions if the student is considered to have so shown a flagrant disregard for the rights of others that the safety and well-being of individuals in the University Community may be threatened.

"3. The institution may institute its own proceedings against a student who violates a law which is also a violation of a published institution regulation."

### Title III

#### The Council of Academic Responsibility

##### Section 301. Duties.

The Council of Academic Responsibility shall take care that this Code is fully enforced. The Council's enforcement duties are to:

- (a) investigate alleged violations of this Code;
- (b) decide whether an allegation of a Code violation shall be presented to the Hearing Tribunal;
- (c) appoint a representative of the Council to prosecute a case before the Hearing Tribunal; and
- (d) offer such recommendations as the Council believes appropriate to the Hearing Tribunal or to the faculty respecting the matters covered by this Code.

##### Section 302. Membership.

- (a) The Council shall consist of three members. The members are:
  - (i) The Associate Dean of the College of Law, or other representative of the Dean's office, except for the Dean;

(ii) A tenured or tenure-track member of the faculty elected by the Faculty;

(iii) One upperclass law student selected by the Student Bar Association.

(b) Notwithstanding the expiration of his or her term, a member of the Council may continue to serve until final judgment is rendered in any proceeding in which that member has actively participated.

(c) An alternate for each member of the Council shall be selected in the same manner as prescribed in Section 302(a).

Section 303. Reports of Code Violations.

Any member of the University community - faculty, student, or staff - may report alleged violations of the Code. Any member of the University community who has made such a report may forward the report to the Council of Academic Responsibility.

Section 304. Procedures of the Council.

(a) The Council shall meet in closed session. The members shall keep in confidence the content and nature of the meetings, except to the extent the Tribunal or another adjudicative body compels disclosure.

(b) When the Dean, Associate Dean, faculty member or Council member receives an allegation of a Code violation, he or she has the ministerial duty to notify the Chair of the Council on Academic Responsibility.

(c) The Chair has the ministerial duty to present any report of alleged violations to the Council. All decisions respecting whether an allegation should be investigated and whether an accusation should be prosecuted before the Hearing Tribunal shall be made by majority vote of the Council.

(d) During the Council's investigation, an accused student has no right to appear, in person or by representative, at any meetings of the Council unless the Council determines that such appearance is useful to decide whether or not to take a case to the Tribunal. If such an appearance is deemed useful by a majority of the Council, the accused student shall not, at this stage, have the right to remain silent, unless the alleged violation of the Code is also a felony or other crime for which the student might be imprisoned. If such an appearance is deemed useful by the Council, the accused student shall have the following rights:

(i) The right to a clear, concise statement of the allegations;

(ii) The right to reasonably adequate time to prepare a defense;

(iii) The right to be represented by counsel or some other representative, though not the right to have counsel or a representative supplied by the College of Law;

(iv) The right to present oral, documentary or real evidence.

(e) The Council, after investigating an allegation and assessing the results of the investigation, shall either (i) dismiss the case, or (ii) decide to prosecute the case before the Hearing Tribunal. This decision shall be made at a formal meeting closed to the public with all members required to be present. A majority vote is required to prosecute an alleged violation before the Tribunal.

(f) The Council must decide to prosecute, if at all, and give notice as hereinafter prescribed, within two months of receiving an allegation of Code violations or specific information that identifies a specific student as an alleged violator.

(g) In addition to the limitations period established by Section 304(f), the Council must decide to prosecute, if at all, and give notice as hereinafter prescribed, within one year of the date of the alleged violation of the Code.

(h) The Council, if it decides to prosecute, shall give actual notice by delivering a formal complaint in writing to the alleged offender. This complaint shall be a clear, concise statement of the allegations, but need not be in any particular form. A copy of this complaint shall also be forwarded to the Hearing Tribunal.

(i) If the Council decides not to prosecute and to dismiss a case, the Council may post a notice of its decision, but shall not disclose the identity of any accused student or witness.

(j) The Council shall have the power to approve or reject proposed compromises or settlements of complaints negotiated by the Council's representative and the representatives of accused students pursuant to Section 413 of this Code.

(k) The Council may adopt rules of procedure to supplement this section.

Section 305. Duties of the Chair.

(a) The Chair shall call and preside over all meetings of the Council.

(b) The Chair shall also:

(i) receive reports of alleged violations of the Code;

(ii) present all reports of alleged violations to the Council;

(iii) appoint a member or members to investigate alleged violations and to report findings to the Council;

(iv) serve written notice to alleged offenders of the time and place of appropriate hearings and of the alleged offender's rights before the Council and Hearing Tribunal;

(v) have the power to summon witnesses from among the University community and to subpoena documents from members of the University community, subject to other applicable laws and policies governing the University;

(vi) do such other things as may, in his or her judgment, be needed to enforce this Code and effectuate the spirit and intent of this Code; and

(vii) notify the Council of all actions taken by the Chair.

(c) All orders, decisions and actions by the Chair shall be subject to review by the Council.

Section 306. Disqualifications.

No member of the Council shall review any case if that member is disqualified because of a conflict of interest or any other appropriate reason.

Section 307. Vacancies.

Vacancies on the Council shall be filled in the manner prescribed for the position which is vacant under the terms of Section 302.

Section 308. Representative of the Council of Academic Responsibility.

(a) The Council of Academic Responsibility shall select an individual to represent the Council and to prosecute the case alleging Code violations before the Hearing Tribunal.

(b) The Council's representative may or may not be a member of the Council.

(c) If the accused student is to be represented before the Tribunal by an attorney-at-law, the Council's representative shall be a member of the faculty or an attorney-at-law secured by the College of Law for the purpose of prosecuting the Council's complaint.

(d) If the accused student will not be represented before the Tribunal by an attorney-at-law, the Council's representative shall be selected from among the senior class of the College of Law.

Title IV  
The Hearing Tribunal

Section 401. Jurisdiction.

The Hearing Tribunal shall have jurisdiction over all cases involving allegations of Code violations. Pursuant to this jurisdiction, the Tribunal shall hold a hearing to determine whether or not this Code has been violated and to prescribe penalties or remedies of any violations.

Section 402. Membership.

(a) The Hearing Tribunal shall have six members. The members are:

(i) one member of the faculty appointed by the Dean to serve as Chair for one academic year or until a replacement is appointed by the Dean;

(ii) two members of the faculty elected by the faculty to serve for one academic year or until a replacement is elected by the faculty;

(iii) three students appointed by the Dean from the panel of six students named by the Student Bar Association to serve for one academic year or until replacements are appointed by the Dean.

(b) An alternate for each member of the Tribunal shall be selected in the manner prescribed by Section 402(a).

(c) Under no circumstances shall a member or alternate of the Tribunal also be a member or alternate of the Council.

(d) Notwithstanding the expiration of his or her term, a member of the Tribunal may continue to serve until final judgment is rendered in any proceeding in which that member has actively participated.

Section 403. Disqualifications.

(a) No member of the Tribunal shall review any case if that member is disqualified because of conflicts of interest or other appropriate reasons.

(b) Either the representative of the Council or the accused student may request disqualification of a member of the Tribunal.

(c) Each member of the Tribunal must decide whether he or she can judge the case with fairness and objectivity.

(d) The Tribunal may, by majority vote of all members of the Tribunal, disqualify a member of the Tribunal for appropriate reasons.

Section 404. Vacancies.

Vacancies on the Tribunal shall be filled in the manner prescribed for the position which is vacant under the terms of Section 402.

Section 405. Confidentiality of Tribunal Proceedings.

The Tribunal shall meet in closed session unless the student charged with violating the Code requests an open hearing. The members shall keep in confidence the contents and nature of the meetings unless the faculty or other adjudicative body compels disclosure.

Section 406. Scheduling of Hearing.

The Tribunal shall hold its initial hearing on any formal written complaint within thirty calendar days of its receipt by the Chairman unless reasonable cause for delay is shown by the accused or the Council's representative.

Section 407. Hearing Procedures.

In conducting a hearing the Tribunal:

- (a) Shall proceed informally and provide reasonable opportunities for witnesses to be heard;
- (b) Shall receive all probative oral, documentary, and real evidence without regard to the legal rules of evidence, except that the Tribunal may exclude irrelevant, immaterial, and unduly repetitious evidence, and shall give effect to the rules of privilege recognized by law;
- (c) Shall, on request of either the accused student or the Council's representative, exclude witnesses from the hearing when not testifying;
- (d) Shall make a record of its hearings so as to enable review of its proceedings in the event of appeal;
- (e) Shall presume an accused student innocent of the alleged violation until the Tribunal, four members concurring, is convinced of guilt by clear and convincing evidence;
- (f) Shall decide the issue of guilt or innocence and an appropriate penalty solely on the basis of admitted evidence;
- (g) Shall decide the issue of guilt before determining an appropriate penalty; and shall offer the student adjudged to be guilty, at a separate hearing after a decision on the issue of guilt, an opportunity to offer evidence or argument regarding an appropriate penalty;
- (h) Shall not be bound to follow penalty recommendations made by the Council, and may impose any penalty authorized by this Code upon majority vote, taking into consideration the Council's recommendation, the facts of the case, and the gravity of the offense;
- (i) Shall state in writing, signed by each concurring member of the Tribunal, each finding of guilt or innocence and the penalty determined, if any; and
- (j) May write an opinion giving reasons for its decision in cases warranting an opinion; and
- (k) Shall deliver a copy of the findings and opinions, if any, to the Dean, to the Chair of the Council and to the accused student.

Section 408. Finality of Tribunal Decisions.

The Tribunal's decision is final in any case not appealed.

Section 409. Default Judgments.

The Tribunal may proceed with a hearing and render a default judgment in any case where a student defendant fails to appear after receiving reasonable notice of a hearing of charges. Such a default judgment may be appealed as this Code provides, but is final if no appeal is taken.

Section 410. Rights of the Student Before the Tribunal.

A student accused of violating the Code has the following rights in all proceedings before the Hearing Tribunal:

- (a) The right to a clear, concise statement of charges;
- (b) The right to reasonably adequate time to prepare any defense;
- (c) The right to a fair hearing;
- (d) The right to appear personally before the Hearing Tribunal;
- (e) The right to counsel or a representative of the accused's choice (although not the right to be supplied with an attorney-at-law at the expense of the College of Law), or a representative chosen by the Hearing Tribunal from among the student body;
- (f) The right to present oral, documentary or real evidence;
- (g) The right to obtain, upon request, the names of all witnesses to be called by the Council's Representative, and to obtain also, upon request, a clear and concise summary of the essence of each witness' anticipated testimony;
- (h) The right to confront accusers, including the right to examine and cross-examine witnesses;
- (i) The right to require the Tribunal to issue summonses for witnesses within the University community, and for documents in the possession of members of the University community;
- (j) The right to remain silent during Tribunal proceedings without such silence being construed against the accused;
- (k) The right to choose whether the hearing shall be open to the public, or closed and confidential;
- (l) The right to be presumed innocent until the Tribunal has considered all evidence and is convinced of guilt by clear and convincing evidence;
- (m) The right to a copy of the Tribunal's decision in writing, to be delivered or mailed as soon as practicable;
- (n) The right to waive any of these rights by notice of such waiver in writing to the Tribunal, or by failure to appear after being duly served.



Section 411. Duties of the Chair.

The Chair of the Hearing Tribunal shall:

(a) Receive written complaints from the Council alleging violations of the Code;

(b) Set the date, time and place for hearings of the Tribunal;

(c) Notify the members of the Tribunal, the Council, the accused student and other appropriate individuals, such as witnesses known to the Chair, of the date, time and place of hearings;

(d) Notify the accused student of all rights before the Tribunal as provided by Section 410;

(e) Appoint a representative from among the student body at the request of the accused student, if the accused student is not otherwise represented, as provided in Section 410(d);

(f) Have the power to summon witnesses from within the University community, and to subpoena documents in the possession of members of the University community, subject to other applicable laws and policies governing the University;

(g) Preside over Tribunal hearings;

(h) Direct the course of hearings and the preparation of the hearing record;

(i) Rule on challenges, motions, pleas, and the admissibility of evidence and testimony;

(j) Assign the duty of preparing decisions and opinions and approve the final product; and

(k) Notify the proper persons, including the Dean and the Associate Dean, of the Tribunal's decisions and of any penalties imposed;

(l) Take care that the final orders and decisions of the Tribunal are executed, including but not limited to, directing the change of official records of a student adjudged to be guilty of a violation where such change is required by a final decision and penalty.

Section 412. Ex Parte Communications.

Ex parte communications with the members of the Tribunal by any party, by any member of the Council or alternate, by any student, by any witness or by any faculty member or administration officer of the College concerning matters relevant to a case before the Tribunal are strictly prohibited. All such communications shall be disclosed by the Tribunal to the parties. Every pleading, motion, or other paper filed with the Hearing Tribunal shall be served on all other parties.

Section 413. Compromise or Settlement of a Complaint.

Nothing in this Code shall be construed to prohibit compromise or settlement of complaints alleging violations of this Code. If the Council's representative and the representative of accused students agree to such a compromise or settlement, the agreement must be approved by a majority of the Council of Academic Responsibility. Evidence of offering to compromise or settle a complaint is not admissible to prove or disprove a violation of this Code. Evidence of conduct or statements made in compromise or settlement negotiations is likewise not admissible.

Title V  
Penalties

Section 501. Penalties.

The Hearing Tribunal may impose any of the following penalties in light of the facts, the severity of the offense, mitigating circumstances and the dictates of fairness:

- (a) Admonition;
- (b) Probation;
- (c) A monetary penalty not to exceed \$250 over and above the value of any property damage or value of any property destroyed or taken and not returned;
- (d) Temporary or permanent suspension of rights or privileges deriving in whole or in part from the University;
- (e) Temporary or permanent suspension of eligibility for official extracurricular activities;
- (f) Temporary or permanent suspension of eligibility for any student office or honor;
- (g) Cancellation of credit for scholastic work done;
- (h) Reduction of the grade assigned in a course;
- (i) Suspension from the College of Law or the University;
- (j) Prevention of an individual adjudged to be guilty of a violation from resuming student status in appropriate cases;
- (k) Expulsion;
- (l) Recommendation that the Regents withdraw recognition of the student's degree, and that the Dean and the President take all actions appropriate upon official withdrawal of recognition;

(m) Notification to bar associations and other appropriate similar entities of action taken with respect to a student found to have violated Section 201 or Section 202, as permitted by law;

(n) Any combination of the foregoing penalties which, in the judgment of the Tribunal, is deemed appropriate to punish the offense or offenses committed.

Section 502. Penalties: Definitions and Miscellaneous Provisions.

(a) An admonition consists of a formal written warning by the Dean to be included in the student's file for a time to be determined pursuant to Section 503.

(b) Disciplinary probation is for a definite period and indicates that further violations may result in suspension.

(c) Cancellation of credit for scholastic work done and reduction of a grade assigned in a course are imposed only for courses in which the defendant was found guilty of academic dishonesty.

(d) A money penalty is assessed in cases such as those involving misappropriation of University property, use of University property without authorization, or abuse of or destruction of University property or of the property of a member of the law school community.

(e) Suspension from the University means that a suspended student may not receive credit at the University for work done at either this or any other educational institution during the period of suspension except when allowed by the Tribunal.

(f) Preventing an individual adjudged to be guilty of a violation of the Code from resuming student status is the minimum penalty that shall be imposed on a person subject to this Code who is not a student at the time disciplinary proceedings are instituted and who fails to appear before the Tribunal for the hearing of a complaint against that person. This penalty is the same as the penalty of suspension from the University except that the period of the penalty continues until the affected individual submits to the jurisdiction of the Tribunal. Submission to this jurisdiction involves either accepting the adjudication of violation made earlier or requesting a new hearing by the Tribunal for the purpose of contesting that adjudication of violation.

(g) Expulsion from the University means permanent severance from the University.

Section 503. Removing Records of Minor Infractions from Student's File.

The Tribunal may specify that records of penalties imposed for infractions adjudged to be minor shall be removed from a student's file after a time specified by the Tribunal.

Title VI  
Appeals

Section 601. Right of Appeal.

A student adjudged to be guilty of a violation of the Code has the right to appeal to the faculty of the College of Law in any case in which any penalty has been imposed. The faculty may affirm or set aside the judgment of the Tribunal, or it may reduce the penalty imposed by the Tribunal, but the faculty may not increase the penalty.

Section 602. Notice of Appeal.

Appeal is taken by giving written notice to the Dean, the Chair of the Tribunal and the Chair of the Council within ten school days after the date of the final decision of the Tribunal. The written notice need not be in any particular form, but must identify the student's name and the grounds for appeal.

Section 603. Effect of Appeal.

Notice of appeal timely given suspends the imposition of penalty until the appeal is finally decided.

Section 604. Preparation of Record on Appeal.

If notice of appeal is timely, the Chair of the Tribunal, on or before the school day after the day the notice of appeal is given, shall prepare the record of Tribunal Proceedings, and deliver copies of the record to the Dean, the student appellant and the Chair of the Council of Academic Responsibility.

Section 605. Contents of Record on Appeal.

The Record on Appeal is the record of all proceedings before the Hearing Tribunal. This record is confidential and consists of:

- (a) A copy of all notices to the accused student and to the Council's representative;
- (b) Any recording of the hearing, if one is made, any transcription of the hearing record, and all documentary and other evidence offered and admitted in evidence;
- (c) Written motions, pleas, and any other materials considered by the Tribunal;
- (d) The Tribunal's decision; and
- (e) The Tribunal's opinion, if any.

Section 606. Trial de novo.

The student appellant may request a trial de novo or an additional evidentiary hearing on a particular issue. The faculty may, in its discretion, order a trial de novo or an additional evidentiary hearing on a particular issue. The faculty may, in its discretion, establish an ad hoc Faculty Review Committee to conduct such a trial de novo or additional evidentiary hearing. Unless the faculty orders a trial de novo or additional evidentiary hearing on a particular issue, the faculty shall consider an appeal on the basis of the Record on Appeal.

Section 607. Hearings on Appeal.

Hearings on appeal before the faculty shall be scheduled no more than twenty school days following a timely notice of appeal. The student appellant and the Council's representative shall have the right to appear and present argument before the faculty. The student appellant may appear by a representative consistent with Section 410(e).

Section 608. Disqualifications on Appeal.

No member of the faculty who has served on the Council or the Tribunal shall participate in the deliberations on the appeal.

Section 609. Appeal to the Dean.

(a) A decision of the faculty may be appealed to the Dean, if the faculty's decision either affirms or approves any penalty under Section 501.

(b) Appeal is taken by giving written notice to the Dean within five school days after the final decision of the faculty. The written notice need not be in any particular form, but must identify the student's name and the grounds for appeal.

(c) Pending appeal to the Dean, the decision of the Tribunal and faculty is stayed.

(d) The Dean may rule on the appeal, with or without a hearing.

(e) The Dean may either affirm the judgment of the faculty, reduce penalties to be imposed as a result of the faculty's judgment, or reverse the judgments of the faculty and Tribunal, but the Dean may not increase penalties beyond those approved by the judgment of the faculty.

Section 610. Appeal to the President.

A decision of the Dean may be appealed to the President of the University of Oklahoma, if the Dean's decision affirms or approves any penalty under Section 501 other than an admonition. The President's decision shall be final. Pending appeal to the President, the decision of the Dean may be stayed by the Dean or by the faculty of the College of Law.

Title VII  
Powers and Duties of the College

Section 701. Academic Regulations.

Nothing in this Code shall be construed to restrict the powers and duties of the faculty, the administration and the College of Law to adopt reasonable rules and regulations to protect the integrity and fairness of all examinations, academic programs, academic evaluations, and competitions.

Section 702. Faculty Duties.

Nothing in this Code shall be construed to restrict the right of an instructor to take appropriate and immediate action in response to the instructor's observation of a violation of this Code, provided that:

(a) The instructor notifies the Council of Academic Responsibility of such action and the reasons for such action; and

(b) The instructor's actions shall be reviewable under the procedures of this Code or, if appropriate, under other academic or administrative processes of the College or University; and

(c) The instructor's action shall in no way alter any responsibilities for proceeding in a timely fashion or any burdens of proof as otherwise established by this Code.

Title VIII  
Miscellaneous Definitions

Section 801. "Dean" means the Dean of the College of Law or the Dean's delegate or representative.

Section 802. "Associate Dean" means the Associate Dean, or the Associate Dean's delegate or representative.

Section 803. "Student" means a person enrolled at the College of Law of The University of Oklahoma, or a person accepted for readmission at the College of Law of The University of Oklahoma at the time he or she is alleged to have violated this Code.

Section 804. "School days" are days Monday through Friday in which the College of Law is in session during the Fall and Spring semesters.

Section 805. "Prize competition" includes any competition for an extracurricular award, honor or prize, including but not limited to competition for scholarships, intra-school moot court and trial competitions, competition for financial aid, and competition in relation to law review.

Regent Kemp moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

Regent Kemp retired from the meeting for a few minutes.

#### NORMAN CAMPUS ADMINISTRATIVE AND PROFESSIONAL PERSONNEL ACTIONS

President Horton recommended approval of the administrative and professional personnel actions as shown below:

#### LEAVE OF ABSENCE:

Carolyn D. Thompson, Assistant Director, Alumni Affairs and Development, leave of absence without pay, August 12, 1986 through July 6, 1987.

#### APPOINTMENTS:

David J. Brooks, reappointed Program Development Specialist, Center for Public Management, annual rate of \$29,532 for 12 months (\$2,461.00 per month), September 1, 1986 through June 30, 1987. Professional Staff. Paid from 157-049, RAMP National 1987, pos. 905. Paid from grant funds; subject to the availability of funds.

Rebecca J. Copeland, Assistant Administrator for Business Services, Goddard Health Center, annual rate of \$29,800 for 12 months (\$2,483.33 per month), July 7, 1986. Managerial Staff. Paid from 179-107, Goddard Health Center, pos. 3.

Patronalia M. Hanley, Chemist, Oklahoma Geological Survey, annual rate of \$21,200 for 12 months (\$1,766.67 per month), August 19, 1986. Professional Staff. Paid from 327-205, Oklahoma Geological Survey, pos. 6.65.

\* Christine Heath, reappointed Administrative Assistant, Cooperative Institute for Mesoscale Meteorological Studies, annual rate of \$21,400 for 12 months (\$1,783.33 per month), July 1, 1986 through June 30, 1987. Managerial Staff. Paid from 155-870, Cooperative Institute for Mesoscale Meteorological Studies I, and 127-405, Cooperative Institute for Mesoscale Meteorological Studies, pos. 2.

\* Kathleen B. Hethcox, reappointed Systems Analyst, Information Systems Program, annual rate of \$26,309 for 12 months (\$2,192.42 per month), July 1, 1986 through June 30, 1987. Professional Staff. Paid from 156-007, Information Systems Programs Payroll Clearing Account.

Michael L. Marcotte, Director, Foundation Computing Systems, OU Foundation, annual salary rate of \$32,000 for 12 months (\$2,666.67 per month), July 14, 1986. Administrative Staff. Paid from 179-149, University Foundation Trust Office, pos. 6.65.

\* Anne C. Mycek-Memoli, reappointed Systems Analyst, Information Systems Programs, annual rate of \$26,000 for 12 months (\$2,166.66 per month), July 1, 1986 through June 30, 1987. Professional Staff. Paid from 156-007, Information Systems Programs Payroll Clearing Account.

\* Paid from grant funds; subject to availability of funds

- \* Terry P. Rizzuti, reappointed Manager, Administrative Operations, Information Systems Programs, annual rate of \$26,400 for 12 months (\$2,200.00 per month), July 1, 1986 through June 30, 1987. Managerial Staff. Paid from 156-007, ISP Payroll Clearing, pos. 905.65.
- \* Charles V. Robertson, reappointed Program Development Specialist, Chemical Dependency Studies, annual rate of \$33,560.18 for 12 months (\$2,796.68 per month), July 1, 1986 through June 30, 1987. Professional Staff. Paid from 127-533, Chemical Dependency Studies, pos. 001, and 152-995, Continuing Education and Public Service Clearing Account, pos. 905.

Sue White Shellabarger, Coordinator, Academic Advising, University College, annual rate of \$22,000 for 12 months (\$1,833.33 per month), July 7, 1986. Managerial Staff. Paid from 127-383, Vice Provost Instructional Services, pos. 2.65.

Herbert Leo Spencer, Staff Psychologist, Counseling Center, annual rate of \$25,000 for 12 months (\$2,083.33 per month), August 18, 1986. Professional Staff. Paid from 177-545, Counseling-Career Programs, pos. 6.65.

- \* Kevin W. Thomas, reappointed Programmer/Analyst II, Cooperative Institute for Mesoscale Meteorological Studies, annual rate of \$20,910 for 12 months (\$1,742.50 per month), July 1, 1986 through June 30, 1987. Professional Staff. Paid from 127-465, Meteorology Research, 155-871, Cooperative Institute for Mesoscale Meteorological Studies Task II, July 1, 1986 through August 31, 1987, and 152-993, Geosciences Clearing Account, pos. 175, September 1, 1986 through June 30, 1987.
- \* Sue-Lee Wang, reappointed Senior Systems Analyst, Cooperative Institute for Mesoscale Meteorological Studies, annual rate of \$35,000 for 12 months (\$2,916.67 per month), July 1, 1986 through June 30, 1987. Professional Staff. Paid from 155-871, Cooperative Institute for Mesoscale Meteorological Studies I.

Alan J. Wells, Telecommunication Center Engineer, School of Journalism and Mass Communication, annual rate of \$21,965 for 12 months (\$1,830.41 per month), July 1, 1986. Professional Staff. Paid from 127-256, Journalism and Mass Communication, pos. 175.65.

#### CHANGES:

Wendell L. Byrne, promoted from Supervisor, Remote Computer Operations, OK Ticket Service to Manager, Lloyd Noble Ticketing Service, salary changed from annual rate of \$21,600 for 12 months (\$1,800.00 per month) to annual rate of \$29,000 for 12 months (\$2,416.67 per month), July 1, 1986. Managerial Staff. Paid from 179-157, Lloyd Noble Ticket Sales.

- \* Paid from grant funds; subject to availability of funds



Ronald G. Campney, promoted from Auditor to Senior Auditor, Office of Internal Auditing, salary increased from annual rate of \$22,500 for 12 months (\$1,875.00 per month) to annual rate of \$28,500 for 12 months (\$2,375.00 per month), July 1, 1986. Professional Staff. Paid from 127-007, Internal Auditing, pos. 6.65.

Myrna Carney, Director, Center for Instructional Research; given additional title of Assistant Dean, University College, July 1, 1986. Administrative Staff.

\* Diana B. Chamblee, promoted from Course Moderator to Senior Course Moderator, FAA Management Training School, salary increased from annual rate of \$20,000 for 12 months (\$1,666.66 per month) to annual rate of \$21,000 for 12 months (\$1,750.00 per month), July 1, 1986 through September 30, 1986. Professional Staff. Paid from 155-891, FAA Management Training School, pos. 905.

\* Calvin Cleve Hale, promoted from Course Moderator to Senior Course Moderator, FAA Management Training School, salary increased from annual rate of \$20,000 for 12 months (\$1,666.66 per month) to annual rate of \$21,000 for 12 months (\$1,750.00 per month), July 1, 1986 through September 30, 1986. Professional Staff. Paid from 155-891, FAA Management Training School, pos. 905.

Kip O. Harbert, promoted from Senior Auditor to Assistant Director, Office of Internal Auditing, salary increased from annual rate of \$28,000 for 12 months (\$2,333.34 per month) to annual rate of \$36,500 for 12 months (\$3,042.00 per month), July 1, 1986. Changed from Professional to Administrative Staff. Paid from 127-007, Internal Auditing, pos. 3.65.

Charles W. Hickman, promoted from Acting Manager to Manager, University Book Exchange, salary increased from annual rate of \$27,549 for 12 months (\$2,295.75 per month) to annual rate of \$34,000 for 12 months (\$2,833.33 per month), August 1, 1986. Managerial Staff. Paid from 173-131, University Book Exchange, pos. 1.65.

Linda M. Holt, promoted from Staff Accountant, Grants and Contracts, to Assistant Director, Grants and Contracts Administration, salary increased from annual rate of \$28,078 for 12 months (\$2,339.83 per month) to annual rate of \$33,372 for 12 months (\$2,781.00 per month), July 1, 1986. Changed from Professional Staff to Managerial Staff. Paid from 127-499, Grants and Contracts, pos. 1.65.

Robert W. Sharp, discontinued as Manager, Work Control, June 30, 1986; appointed to hourly position, July 1, 1986 to July 9, 1986; appointed Coordinator, Design and Construction, Auxiliary Services, annual rate of \$30,000 for 12 months (\$2,500.00 per month), July 9, 1986. Paid from 147-308, Auxiliary Services, pos. 2.

\* Paid from grant funds; subject to availability of funds

July 24, 1986

19183

Paul Skierkowski, Radiation Safety Office, Department of Radiation Safety, and Adjunct Professor of Botany and Microbiology; given additional title of Associate Professor of Civil Engineering and Environmental Science, July 1, 1986 through June 30, 1989.

Hilda Smith, title changed from General Duty Nurse to Registered Nurse, Goddard Health Center, July 1, 1986. Professional Staff.

David W. White, title changed from Chief Radio Engineer to Chief Engineer, KGOU Radio, salary changed from annual rate of \$22,402 for 12 months (\$1,866.83 per month) to annual rate of \$24,500 for 12 months (\$2,041.66 per month), July 1, 1986. Changed from Hourly to Professional Staff. Paid from 127-257, KGOU Radio Station, pos. 179.65.

RESIGNATIONS:

Sam Atkinson, Environmental Engineering Scientist, School of Civil Engineering and Environmental Science, July 15, 1986.

Melanie DoRemus, Programmer/Analyst I, Energy Resources Institute, July 24, 1986.

Ronnie Halderson, Registered Nurse, Goddard Health Center, July 3, 1986.

Michael A. Masopust, Assistant Program Director and Program Development Specialist, College of Liberal Studies, July 1, 1986.

Jocelyn P. McCarty, Programmer/Analyst I, Information Systems Programs, July 1, 1986.

Richard W. Melton, Assistant Director, Office of Internal Auditing, August 1, 1986.

Duane L. Olson, Manager, Telecommunications, July 2, 1986.

Ramana B. V. Rao, Manager, Petroleum Geology and Engineering, Information Systems Programs, July 1, 1986.

Claudia Q. Scribner, Assistant to Henry Bellmon, Office of the Provost, June 13, 1986.

Mark L. Turner, Coordinator, Instructional Media Services, FAA Management Training School, August 12, 1986.

Joe Windes, Manager, Engineering Computer Network, July 1, 1986.

RETIREMENTS:

Gloria J. Boyd, Grants and Contracts Officer, Grants and Contracts, February 7, 1986 (with accrued vacation through April 8, 1986).

Angel Lara, Manager, O.U. Hacienda El Cobano, June 30, 1986 (with accrued vacation through August 27, 1986).

July 24, 1986

19184

Earle M. Sigler, Engineer, Physical Plant, April 30, 1986 (with accrued vacation through May 15, 1986).

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### SUPPORT FOR ENERGY CENTER PLEDGES

At the May meeting (page 19031) the Board of Regents requested the Foundation's backing for \$800,000 in pledges for the Energy Center Phase IVA construction. On June 25, 1986 the Executive Committee of the University of Oklahoma Foundation, Inc. approved the Board of Regents' request provided the University support its request with other University resources.

President Horton recommended that the Board of Regents request the support of the University of Oklahoma Foundation, Inc. to place the Foundation's full faith and credit behind pledge commitments totaling \$800,000 for the construction of Phase IVA of the Energy Center, and that the Board of Regents approve the commitment of Section 13/New College or appropriate private funds to support the Foundation's commitment.

President Horton stated this action will signify to the Foundation Board a recognition that Section 13/New College funds will back up the pledges that we already have for the Energy Center Building and will allow us to move forward with the next phase of the Energy Center. In response to questions, President Horton stated these Section 13 funds were carried forward from last year and have not been committed to any project. There will be no need for any activity until at least this time next year. The private pledges are ones that will come in during the life of the construction contract and they are good pledges, but this additional pledging of Section 13/New College funds will back up those pledges.

Regent Kemp moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### OKLAHOMA MEMORIAL UNION FIRE SPRINKLER SYSTEM

For some time, the Board of Trustees of the Oklahoma Memorial Union has recognized that there is a need for a fire sprinkler system in a portion of the Union Building as a safety precaution. At the annual meeting in May the Board authorized the installation of a fire sprinkler system at a cost of \$65,300.00 and borrowing the funds to complete the installation by means of a loan. Arrangements have now been completed to borrow \$60,000.00 from Charles A. Vose, Sr., Oklahoma City. The loan will be repaid over a five-year period at a rate of 7.5% interest with 60 monthly payments. The additional \$5,300.00 required for the project has already been paid from Union operating revenue. The Executive Committee of the Union Trustees met on July 18 to finalize the transaction.

In November, 1949, the Board of Regents entered into a long-term ground lease with the Oklahoma Memorial Union Board of Trustees. The lease extends to April 9, 2027.

Since the Union is an Oklahoma non-profit corporation formed for the use and benefit of The University of Oklahoma and its students, and the beneficial interest in and to said corporation has been accepted for and on behalf of the University it is necessary for the Board of Regents, under Internal Revenue Ruling 63-20, to approve obligations such as this on the part of the Union Board. Similar approval was required when the Union Parking Center was constructed in 1981. The note and the interest thereon are not an obligation of the State of Oklahoma nor any political sub-division thereof nor of The University of Oklahoma.

Operating revenues of the Oklahoma Memorial Union, except revenues of the parking facility, are available to retire the debt.

President Horton recommended adoption of the following resolution:

A RESOLUTION ACKNOWLEDGING FORMATION OF THE OKLAHOMA MEMORIAL UNION, INC.; AUTHORIZING THE ISSUANCE BY THE OKLAHOMA MEMORIAL UNION, INC., OF ITS \$60,000 REVENUE NOTE, SERIES 1986 (FIRE SPRINKLER SYSTEM PROJECT); PRESCRIBING CERTAIN CONDITIONS; AND CONTAINING OTHER PROVISIONS THERETO.

WHEREAS, The Oklahoma Memorial Union, Inc., is a nonprofit corporation organized for the use and benefit of The University of Oklahoma and the students thereof which is governed by a Board of Trustees; and

WHEREAS, the Board of Trustees of The Oklahoma Memorial Union, Inc., has determined that there is a need for a fire sprinkler system in a portion of the Oklahoma Memorial Union as a safety precaution; and

WHEREAS, the Board of Trustees of The Oklahoma Memorial Union, Inc., has determined to install a fire sprinkler system in a portion of the Oklahoma Memorial Union and to pay a portion of the costs of same to borrow \$60,000; and

WHEREAS, the Board of Trustees of The Oklahoma Memorial Union, Inc., has determined to pledge certain net revenues derived from the operation of the Oklahoma Memorial Union; subject to the approval of the Board of Regents of The University of Oklahoma; and

WHEREAS, in order to secure the lowest possible interest rate on the loan by causing the interest thereon to be exempt from federal income tax, the Board of Trustees of The Oklahoma Memorial Union, Inc., must comply with the provisions of Revenue Ruling 63-20 which provides in part as follows:

"(1) the corporation must engage in activities which are essentially public in nature; (2) the corporation must be one which is not organized for profit (except to the extent of retiring indebtedness); (3) the corporate income must not inure to any private person;

(4) the state or a political subdivision thereof must have a beneficial interest in the corporation while the indebtedness remains outstanding and it must obtain full legal title to the property of the corporation with respect to which the indebtedness was incurred upon the retirement of such indebtedness; and (5) the corporation must have been approved by the state or a political subdivision thereof, either of which must also have approved the specific obligations issued by the corporation."

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF REGENTS OF THE UNIVERSITY OF OKLAHOMA:

Section 1. The Oklahoma Memorial Union, Inc., an Oklahoma nonprofit corporation formed for the use and benefit of The University of Oklahoma and the students thereof, has been previously approved and the beneficial interest in and to said corporation has been previously accepted for and on behalf of The University of Oklahoma.

Section 2. The Board of Regents hereby approves the action taken by the Board of Trustees of The Oklahoma Memorial Union, Inc., in granting a security interest in the net revenues of the Corporation derived from the operation of the Oklahoma Memorial Union except for the revenues derived from the operation of a certain parking facility located adjacent to the Oklahoma Memorial Union, which revenues were pledged to the retirement of a prior note, the proceeds of which were used to construct the said parking facility. The Board of Regents hereby further approve the granting of a second lien on the revenues from the parking facility as further security for the Note. The Note and the interest thereon are not an obligation of the State of Oklahoma, nor any political subdivision thereof, nor of The University of Oklahoma, nor personal obligations of the Trustees of The Oklahoma Memorial Union, Inc.

Section 3. The borrowing by the Board of Trustees of The Oklahoma Memorial Union, Inc., of \$60,000 from Charles A. Vose, Sr., Oklahoma City, Oklahoma, to be evidenced by its Revenue Note, Series 1986 (Fire Sprinkler System Project) bearing interest at a rate not to exceed 7.50% per annum amortized over a five-year period, is hereby approved; provided that in no event may such Note ever become an indebtedness of the University, either directly or indirectly.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### LIABILITY INSURANCE

For a number of years the University has maintained liability insurance coverage for the maximum amount allowed by State Statute providing protection for the Board of Regents and all employees. The following policies are currently in effect and will expire August 1, 1986:

	<u>Company</u>	<u>Agent</u>
Board of Regents Errors & Omissions Limits: \$1,000,000 Deductible: \$25,000	Scottsdale Insurance Co. Policy No. GLS 030871	North American Agency Oklahoma City
Employees Comprehensive General Liability Limits: \$1,000,000 Rodily Injury-Property Damage Personal Injury Deductible: \$100	National Union Fire Ins. Co. Policy No. SCL 707 4823	Fred S. James & Co. Oklahoma City

Invitations to bid for renewal of these policies were submitted to the market in early May. The administration feels the market was thoroughly covered and a number of top brokers and agencies worked on renewal of this coverage. At the official bid opening, not a single bid was received.

In 1984, the State Legislature passed the Governmental Tort Claims Act, Title 51, OS, Supp. 1984, which became effective October 1, 1985. The law provides that the State, its political subdivisions and all their employees, while acting within the scope of their employment, whether performing governmental or proprietary functions, shall be immune from liability from tort claims. Further, the State, only to the extent and in the manner provided in the act, waives its immunity and that of its political subdivisions up to limits set forth in the act as follows:

1. Twenty Five Thousand (\$25,000) for any claim or to any claimant who has more than one claim for loss of property arising out of a single accident or occurrence;
2. One Hundred Thousand Dollars (\$100,000) to any claimant for his claim for any other loss arising out of a single accident or occurrence except however, the limits of said liability for the State of Oklahoma Teaching Hospitals shall be Two Hundred Thousand Dollars (\$200,000); or
3. One Million Dollars (\$1,000,000) for any number of claims arising out of a single accident or occurrence.

Since passage of the Tort Claims Act, there have been some concerns about actions in which the Board of Regents or University employees may be named arising out of civil rights or other suits which would be filed in Federal court and not be subject to the State law.

In an attempt to remedy this situation, legislation was passed this session amending 51 OS Supp. 1985, Section 162 allowing the State to indemnify employees, regents, commissioners, board members, etc., for judgments rendered against them pursuant to Federal law. In order to recover indemnification from the State, it must be determined by a preponderance of the evidence that:

1. The employee reasonably cooperated in good faith in the defense of the action upon which the judgment or settlement was awarded and for which indemnification is sought;
2. The actions or omissions upon which such a judgment or settlement has been rendered were not the result of fraudulent conduct or corruption by the employee;
3. The employee, in committing the acts or omissions upon which such a judgment or settlement has been rendered was acting in good faith and within any applicable written administrative policies to the employee at the time of the omissions or acts complained of;
4. The employee was acting within the scope of his/her employment at the time the acts or omissions upon which a judgment or settlement has been rendered were committed by the employee; and
5. The acts or omissions of the employee upon which a judgment or settlement has been rendered were not motivated by invidious discriminatory animus directed toward race, sex or national origin.

It is the opinion of the Tort Defense Division of the Attorney General's Office and the State Central Risk Management Office that the provisions of the amended Act preclude the need for liability insurance of the type we have been carrying for the Board of Regents and the employees of the University.

Since we are unable to secure the liability insurance we have carried in the past, we apparently must rely on the provisions of the Tort Claims Act as amended. A National Association of College and University Business Officers Insurance Task Force concerned with the national insurance situation is presently exploring a plan to create a stock insurance company to underwrite excess liability and Directors and Officers insurance for institutions. We will watch developments of this plan.

This was reported for information. No action was required.

#### OKLAHOMA MEMORIAL STADIUM ADDITION

Information was presented to the Regents at the Committee of the Whole meeting on Wednesday on the informal proposal of Touche Ross International to construct 100 suites on the east side of Oklahoma Memorial Stadium at no cost to the University. Based on the discussions yesterday, President Horton recommended that the administration be authorized to solicit proposals for the Oklahoma Memorial Stadium east side suite project for review and consideration by the Board at the September meeting.

Regent Hogan moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### BASKETBALL TICKET PRICES AND SCHEDULE

Mr. Ward reminded the Regents that last year the basketball season tickets were not offered for sale until one month before the beginning of the season. This allowed for a slow start with a somewhat dilatorious affect to the sales. Last year all ticket sales generated \$850,000. Without the TV contract, the basketball program would have had a loss of over \$200,000. The TV revenue, therefore, was a very important component in making that program successful - over \$300,000 from television last year. Mr. Ward announced that Coach Tubbs at a press conference next week will announce the basketball schedule for 1986-87. He believes the Regents will be pleased with the schedule as it will be an improvement and an upgrade from last year's schedule and include at lease 17 home games. He reported also on the possibility of two games with Louisiana State University, one in Oklahoma City in 1987 and 1988 in New Orleans, supposedly neutral sites. Mr. Ward said a season ticket price of \$120.00 for basketball tickets has been recommended by the Athletics Council. Tickets also will be available at \$8.00 per game. He said this is a slight increase over last year's season tickets which were priced primarily at \$119.00 but with some at \$102.00. He said all will be sold at \$120.00 this year. This was reported for information only.

#### ATHLETIC TICKET POLICIES

This matter was discussed at some length at the Committee of the Whole meeting on Wednesday with a report from Robert Smith and Chief Legal Counsel Ward. Regent Sarratt asked that this matter be carried forward, that a complete review of the policies be held in the fall of 1986 in plenty of time so that changes can be made for the 1987 season. At Regent Imel's suggestion, it was agreed that this matter would be placed on the agenda for the October meeting.

#### SCHOOL OF MUSIC EQUIPMENT

Because funds for the construction of and equipment for the School of Music Building were limited, it was not possible to purchase all of the items needed for the project. In order to have the funds needed to complete construction, the purchase of approximately \$45,000 in equipment items was deferred.

Now, in order to fully utilize the new School of Music Building, funds are needed to purchase a number of items of equipment. These items of equipment include: (1) sound equipment security cabinets for classrooms, (2) equipment lockers for band and orchestra musical instruments, (3) audio visual and office blinds, (4) sheet music storage boxes for the band library, (5) shelving for percussion instruments, and (6) other minor items of office and building equipment. These items of equipment have an estimated cost of \$45,000. It is proposed that monies now available from the Music Building Interest Fund be used to purchase these items of equipment.

President Horton recommended that the Board of Regents approve a plan to use \$45,000 from the School of Music Building Interest Fund to purchase new equipment for the School of Music and the University Band.



Regent Hogan moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### PURCHASE OF SPECTROMETER

This project involves replacement of the present obsolete data system used with the NMR Spectrometer in the Department of Chemistry. The new system will provide substantial additional capacity to perform experiments which cannot be done with the capacity of the present system.

Associates Funds in the amount of \$118,000 were approved by the Board for this purchase on May 8, 1986 (page 19018). If the Oklahoma State University purchase is forthcoming, the additional \$1,035.00 would be funded by Chemistry Department Account 127-221.

Bids were received from:

<u>Bidders</u>	<u>Basic System</u>	<u>Standalone Data Station</u>	<u>Total</u>
Varian Instrument Group Sugarland, Texas	\$105,225.00	\$28,810.00	\$105,225.00*

\*Note: In the event Oklahoma State University also purchases a system, O.U.'s price will be reduced to \$90,225.00. If this occurs, the standalone data system will be added for a total purchase of \$119,035.00. If Oklahoma State University does not purchase, then only the basic system will be purchased.

General Electric Company Fremont, California	\$125,000.00	\$62,500.00	\$187,500.00
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President Horton recommended that the Board of Regents approve the award of a purchase order to Varian Instrument Group of Sugarland, Texas in the amount of \$105,225.00 to furnish and install the replacement data system. If Oklahoma State University also purchases a system, then the price would drop to \$90,225.00 and the recommendation modified to add a standalone Data Station costing \$28,810.00 for a total purchase of \$119,035.00.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### PURCHASE OF A MATERIALS TESTING SYSTEM

Invitations to bid were recently distributed for the purchase of an axial torsion and rock mechanics materials testing system providing a hydraulic system for testing of rock samples for structural characteristics for research

in the behavior of rock materials and exploration research and geological classifications. The Rock Laboratory will be used by the Schools of Aerospace, Mechanical and Nuclear Engineering, Petroleum and Geological Engineering, Civil Engineering and Environmental Science, Geology, and other College of Geosciences researchers.

Funds are provided by a grant from Halliburton, O.U. Foundation Account #3-1087.

Bids were received from:

<u>Bidder</u>	<u>Total Bid Price</u>	<u>Comment</u>
MTS Systems Corporation Minneapolis, Minnesota	\$232,085.00	Reflects a discount of \$50,000.00 from the list price.
Instron Corporation Canton, Massachusetts	\$313,600.00	

President Horton recommended that the Board of Regents approve the award of a purchase order to MTS Systems Corporation of Minneapolis, Minnesota, in the amount of \$232,085.00 for the purchase of an MTS axial torsion and rock mechanics materials testing system.

Regent Hogan moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### PURCHASE OF FERMENTER SYSTEM

Bids were recently circulated to furnish and install two fermenter systems, consisting of a 100 liter capacity system, a 10 liter capacity system, and a computerized control system for the Department of Botany and Microbiology. These fermenters will replace obsolete units and be used for research projects for growth of anaerobic bacteria for study of their biochemistry and metabolism, as well as teaching use in industrial microbiology. The fermenters will also be used by researchers from Chemical Engineering, Zoology, and Chemistry.

The cost of the purchase will be met from a grant of \$75,000.00 from the MOST Project funds (More Oklahoma Science and Technology), Account #157-058.

Bids were received from:

<u>Bidder</u>	<u>Total Bid Price</u>	<u>Comment</u>
B. Braun Instruments Burlingame, California	\$ 74,980.00	Includes trade-in of obsolete fermenters

<u>Bidder</u>	<u>Total Bid Price</u>	<u>Comment</u>
New Brunswick Scientific, Inc. Edison, New Jersey	\$ 75,000.00	Bid without trade-in allowance
Adjustments for freight/ installation	4,275.00	
	\$ 79,275.00	
Labline Instruments, Inc. Melrose Park, Illinois	\$241,055.00	

President Horton recommended that the Board of Regents approve the award of a purchase order to B. Braun Instruments of Burlingame, California, in the amount of \$74,980.00 to furnish and install two fermenters with an automated control system.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### PROPOSALS, CONTRACTS, AND GRANTS

A summary of proposals for contracts and grants for the Norman Campus for June, 1986 was included in the agenda for this meeting. A list of all contracts executed during this same period of time on proposals previously reported was also included.

President Horton called attention to the contract awarded this month to Professors Robert Schlegel in Industrial Engineering and Kirby Gilliland in Psychology in the amount of \$85,823.

President Horton recommended that the President of the University or the President's designees be authorized to execute contracts on the pending proposals as negotiations are completed. The contract budgets may differ from the proposed amounts depending on these negotiations.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### PURCHASE OF NATURAL GAS PIPELINE SERVICE

The University has been purchasing third-party natural gas for over one year at a significant savings. With the increased competition and declining prices in the natural gas marketplace, there are a number of alternatives available to generate additional savings. One of these alternatives is to build a natural gas pipeline to the University which connects to a third-party pipeline. In order to properly evaluate and pursue various alternatives, it will be necessary to issue bids which would require the bidders to (1) build a connecting

pipeline to a third-party pipeline, (2) own and operate the pipeline, (3) transmit gas which the University purchases from third-party suppliers, and (4) amortize the cost of the pipeline over several years so that the ownership of the pipeline can be transferred to the University. When bids on this project are received, the University will be able to evaluate all available alternatives and select the most favorable one.

President Horton recommended that the Board of Regents authorize the issuance of a bid to provide natural gas and pipeline services to the University.

Regent Kemp moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### IMPROVEMENTS TO THE MOTOR POOL

The Norman Campus Motor Pool presently has two ten-thousand gallon gasoline tanks buried on the south side of Building 87, South Campus, which when installed many years ago were not strapped or secured in place. On several occasions over the past few years the tanks have risen in the ground, especially during periods of rainfall. The rising and twisting of these tanks on two occasions has broken the necks of the tanks causing gas fumes to be exposed. On the last occasion, in May of 1986, the rising of the tanks also severed the electrical lines leading to the gas pumps.

This renovation includes the proper installation by the University Physical Plant of four new tanks (two leaded, one unleaded and one diesel) south of the existing ones, which will then be removed. The project also includes the purchase of four new fuel pumps with canopy.

President Horton recommended that the Board of Regents approve a plan to use approximately \$95,000 from Motor Pool account 147-302 to eliminate a safety hazard at the Motor Pool.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### MAX WESTHEIMER AIRPARK MASTER PLAN

At the January 17, 1985 meeting (page 18260), the Board of Regents selected Braun Binion Barnard as consultants for Max Westheimer Airpark master planning. Later the firm changed its name to Barnard Dunkelberg and Company. In May of 1985, the Federal Aviation Agency approved a grant of \$50,850 for the project.

Work on the Max Westheimer Airpark master plan has been completed by Barnard Dunkelberg and Company. The plan is based on the concept that Max Westheimer Airpark is a general aviation airport that supports the public service, educational and research mission of The University of Oklahoma and serves as a municipal airport for the City of Norman.

A comprehensive analysis was undertaken including a review of the previous master plan document, the existing conditions of the facility, historic aviation activity, environmental factors, surrounding land use and existing airport deficiencies. Based on this analysis and a forecast of projected growth in aircraft operations, the plan for airport development was prepared. Goals of increased safety, efficiency, capacity and conformance with the University's educational and research objectives were the basis for the development of the plan.

The master plan calls for the relocation of the southern threshold of runway 17/35 1400 feet to the north and the addition of 3600 feet to the northern end of the runway. Runway 3/21 will be maintained in its present configuration. The plan also presents taxiway, ramp and airplane storage area proposals and other airport improvement projects.

As a condition of funding additional airport improvement projects, the FAA will require acceptance of the plan. The FAA also will require completion of either an environmental assessment or an environmental impact statement. The consultants are ready to proceed with this work, which follows the development of the master plan and is included as an additional element of work in the original contract authorized by the Board.

President Horton recommended that the Board of Regents accept the Max Westheimer Airpark master plan as the guide for future development and improvement of the airport, authorize final payment to Barnard Dunkelberg and Company for this phase of the work, and authorize the administration to proceed with the environmental assessment or environmental impact statement phase for the project.

Regent Sarratt moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### ENERGY CENTER BUILDING CASEWORK

LFI, Permalab, Inc. has completed Phase IIB and IIIB Casework for the Energy Center Building project. A final inspection was held July 7, 1986, by representatives of LFI, Permalab, Inc., the casework contractor, the Benham Group, the project architects and engineers, and representatives of the University. A punch list of incomplete items was developed by the project architects and has been provided to the contractor for implementation.

President Horton recommended that the Board of Regents accept Phase IIB and IIIB Casework for the Energy Center Building project as substantially complete effective July 7, 1986, and authorize final payments be made to LFI, Permalab, Inc. upon completion of all remaining punch list items.

Regent Sarratt moved approval of the recommendation. The following voted yes on the motion: Regents Imel, Kemp, Sarratt, Hogan, and Lewis. The Chair declared the motion unanimously approved.

#### RENOVATION OF THE SIGMA ALPHA EPSILON HOUSE

At the June 1986 meeting of the Board of Regents (page 19123), the Board approved the plans and specifications for the renovation of the Sigma Alpha Epsilon house at The University of Oklahoma, and authorized President Horton or his designee to advertise for bids and award a construction contract within the limits of the funds provided by the fraternity for the project. The required private funds are on deposit with the University of Oklahoma Foundation.

The University is the lessor of the premises commonly known as 730 College Street, Norman. It leased the fraternity house to the Sigma Alpha Epsilon fraternity on a long-term lease in 1963. This facility is part of the University's dormitory residential system.

As part of University housing, all major improvements thereto are subject to the State's Public Competitive Bidding Act and supervision by the University's Department of Architectural and Engineering Services.

On July 1, 1986, bids were received in the office of the Board of Regents with a 2:00 p.m. deadline for receiving such bids. Bids from two contractors were received in the office of the Board of Regents prior to the 2:00 p.m. deadline as required by the plans and specifications for the project.

At approximately 2:08 p.m. on July 1, 1986, Mr. Gary C. Johnston, doing business as Gary Johnston Building Company, attempted to submit a bid. Acting on the advice of University Legal Counsel, the administration refused to accept the bid of Mr. Johnston on the grounds that it was not submitted as required by the plans and specifications.

The two bids that were submitted in their proper form, as required by the plans and specifications, were opened and read aloud. A tabulation of those bids is as follows:

July 24, 1986

19196

<u>Item</u>	<u>L. F. Downey Construction, Inc.</u>	<u>Pope &amp; Wilson Construction, Inc.</u>
Base Proposal	\$ 69,450	\$ 79,531
Less Sales Tax Savings	1,250	950
Net Base Proposal	68,200	78,581
Alternate No. 1	25,500	17,041
Alternate No. 2	5,470	5,275
Alternate No. 3	18,200	16,860
Alternate No. 4	23,450	24,140
Alternate No. 5	2,100	2,900
Alternate No. 6	3,580	5,060
Alternate No. 7	1,380	2,500
Alternate No. 8	9,340	10,340
Alternate No. 9	3,780	5,500
Alternate No. 10	3,200	4,625
Alternate No. 11	7,450	7,100
Alternate No. 12	No Bid	No Bid
Alternate No. 13	2,000	3,794
Alternate No. 14	2,100	4,000
Sales Tax Credit	\$5/\$1,000	\$18/\$1,000

Both bids have been reviewed by the administration and staff of the University, by the project architects, and by the Board of Trustees of the Oklahoma Kappa Chapter of Sigma Alpha Epsilon fraternity.

The Board of Trustees has requested that a contract be awarded to L. F. Downey Construction, Inc. in the amount of \$135,014.25. This award includes the acceptance of the base proposal, less the sales tax savings on the base proposal, and alternates numbered 1, 3, and 4, less the sales tax credit. A letter from the Board of Trustees is on file containing its request to the University administration.

July 24, 1986

19197

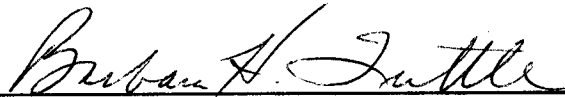
A letter dated July 7, 1986, was received at the office of the Board of Regents from Mr. Steven R. Welch of the law firm of McAfee and Taft, on behalf of Mr. Gary C. Johnston, doing business as Gary Johnston Building Company, who made the unsuccessful attempt to submit a late bid after the 2:00 p.m. deadline on July 1, 1986. In this letter, Mr. Welch requested that the Board of Regents reject all bids received, citing the authority of the Board of Regents to reject any or all bids when this action is in the best interest of the people of the State of Oklahoma. Attached to Mr. Welch's letter was a bid proposal that was represented to be the bid proposal of Gary Johnston Building Company.

It is the opinion of the Board of Trustees and the project architect that rebidding the project would cause a substantial delay in the project and it is their wish to proceed with the recommended low bid of L. F. Downey Construction, Inc.

The administration has reviewed Mr. Welch's letter, including his statement that a savings of \$20,000 was likely and determined that at best a savings of \$8,417 was probable. In view of these facts and the request of the Board of Trustees, the administration has issued a contract in the amount of \$135,014.25 to Downey Construction Company.

This was reported for information. No action was required.

There being no further business the meeting adjourned at 11:35 a.m.

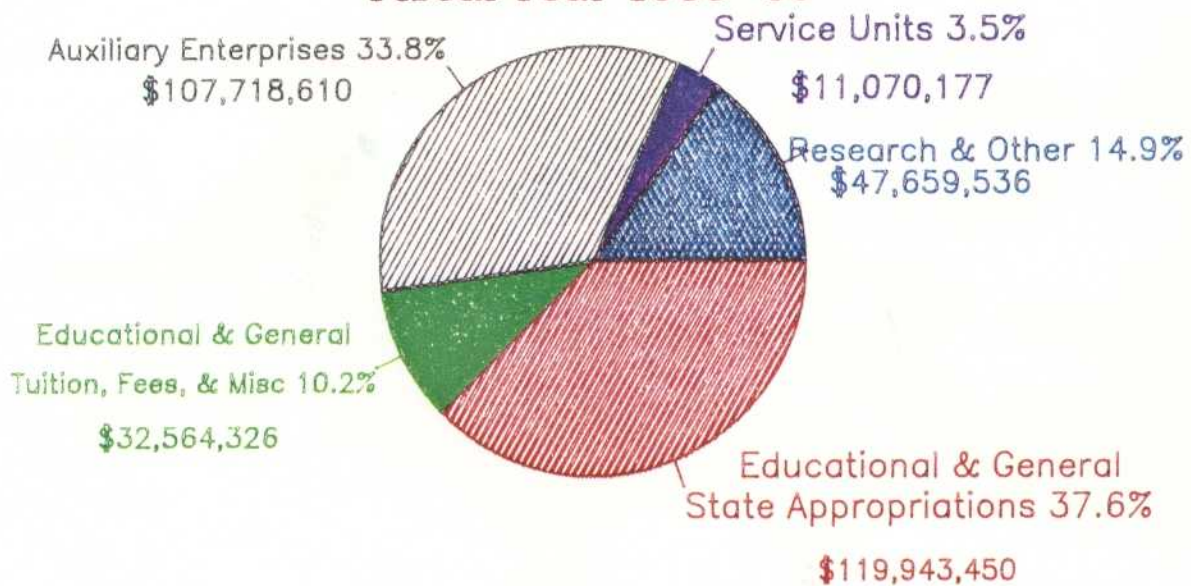


Barbara H. Tuttle  
Barbara H. Tuttle  
Executive Secretary of the Board of Regents



# University of Oklahoma

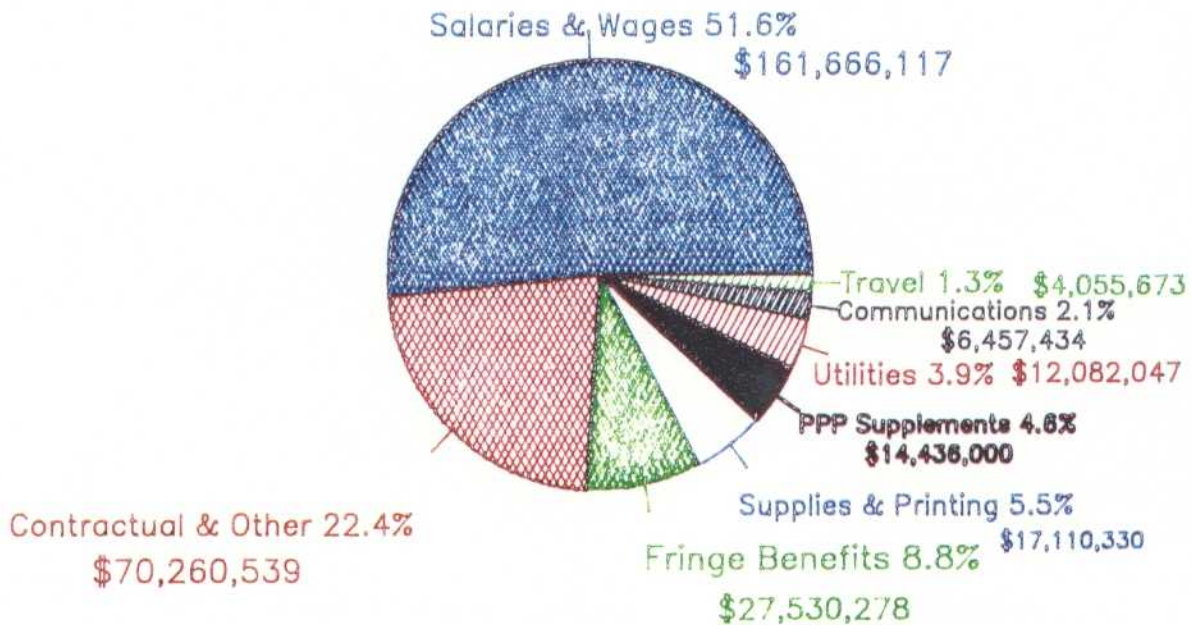
## TOTAL BUDGETED REVENUE BY FUNCTION Fiscal Year 1985-86



**Total Budgeted Revenue by Function \$318,956,099**

# University of Oklahoma

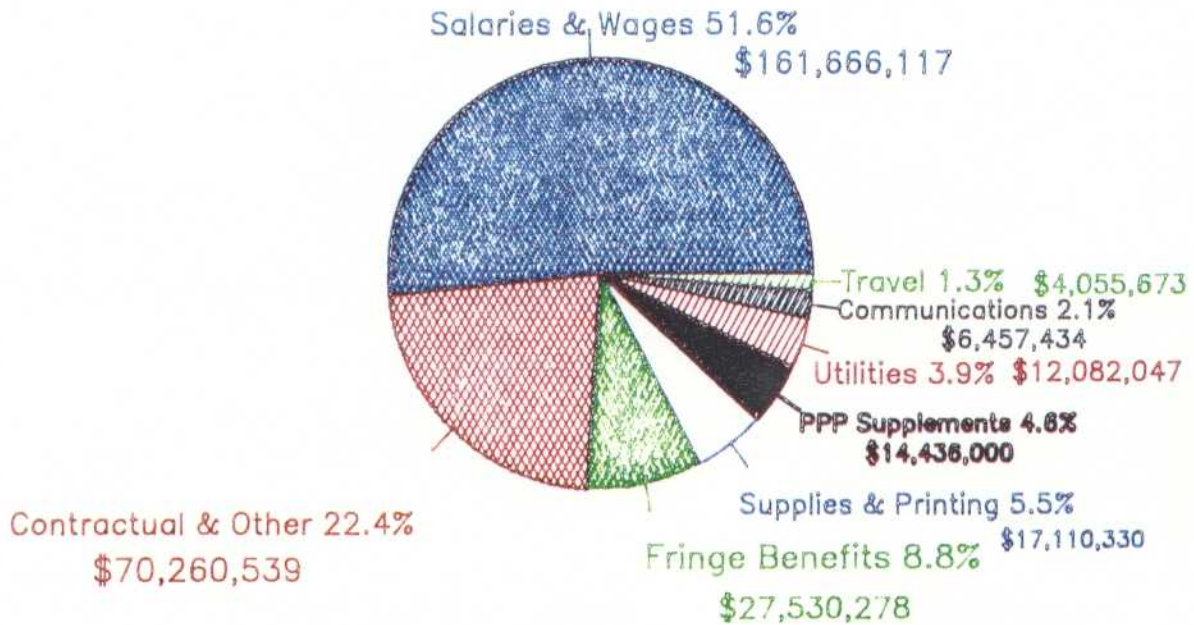
## TOTAL BUDGETED EXPENDITURES BY CATEGORY Fiscal Year 1985-86



**Total Budgeted Expenditures \$313,598,418**

# University of Oklahoma

## TOTAL BUDGETED EXPENDITURES BY CATEGORY Fiscal Year 1985-86

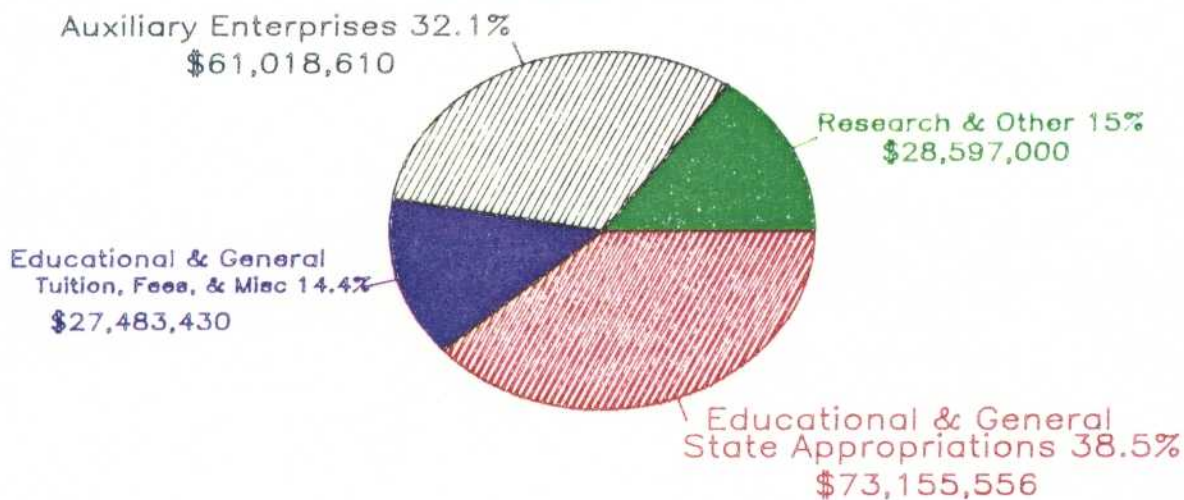


**Total Budgeted Expenditures \$313,598,418**



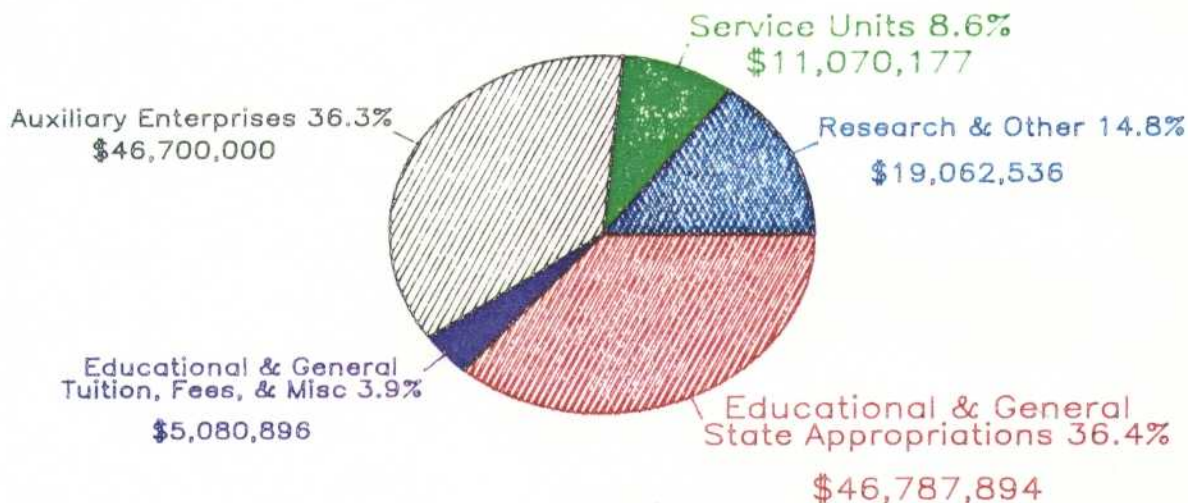
# University of Oklahoma

## Norman Campus TOTAL BUDGETED REVENUE BY FUNCTION Fiscal Year 1985-86



**Total Budgeted Revenue by Function - \$190,254,596**

## Health Sciences Center TOTAL BUDGETED REVENUE BY FUNCTION Fiscal Year 1985-86



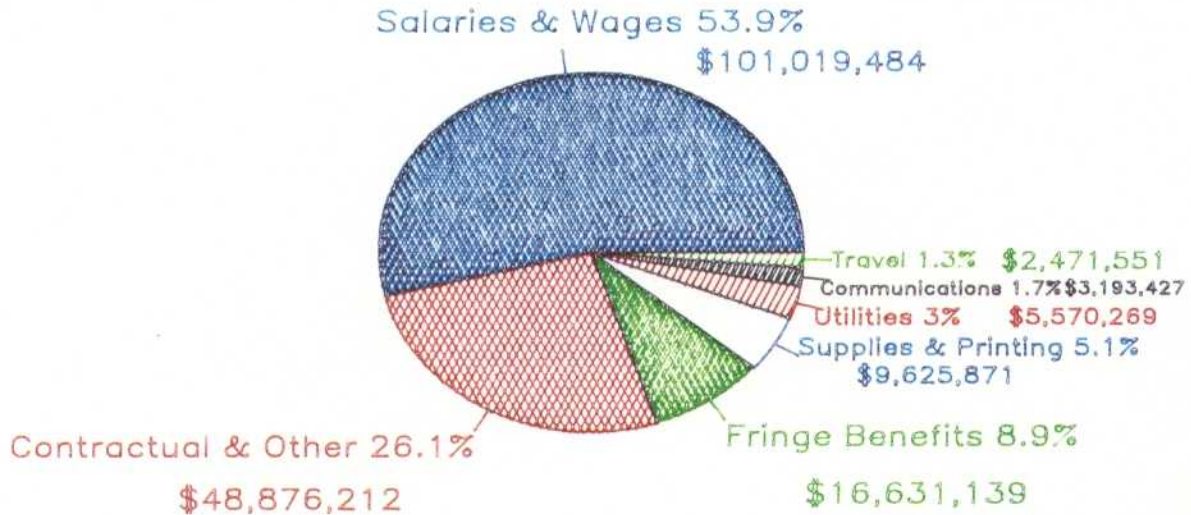
**Total Budgeted Revenue by Function - \$128,701,503**

# University of Oklahoma

## Norman Campus

### TOTAL BUDGETED EXPENDITURES BY CATEGORY

Fiscal Year 1985-86

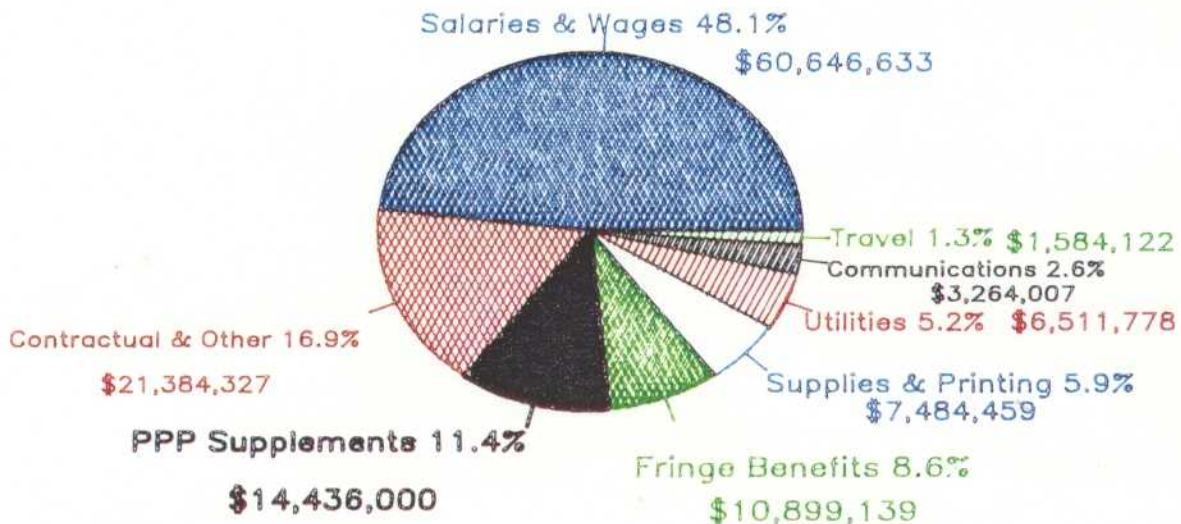


**Total Budgeted Expenditures - \$187,387,953**

## Health Sciences Center

### TOTAL BUDGETED EXPENDITURES BY CATEGORY

Fiscal Year 1985-86



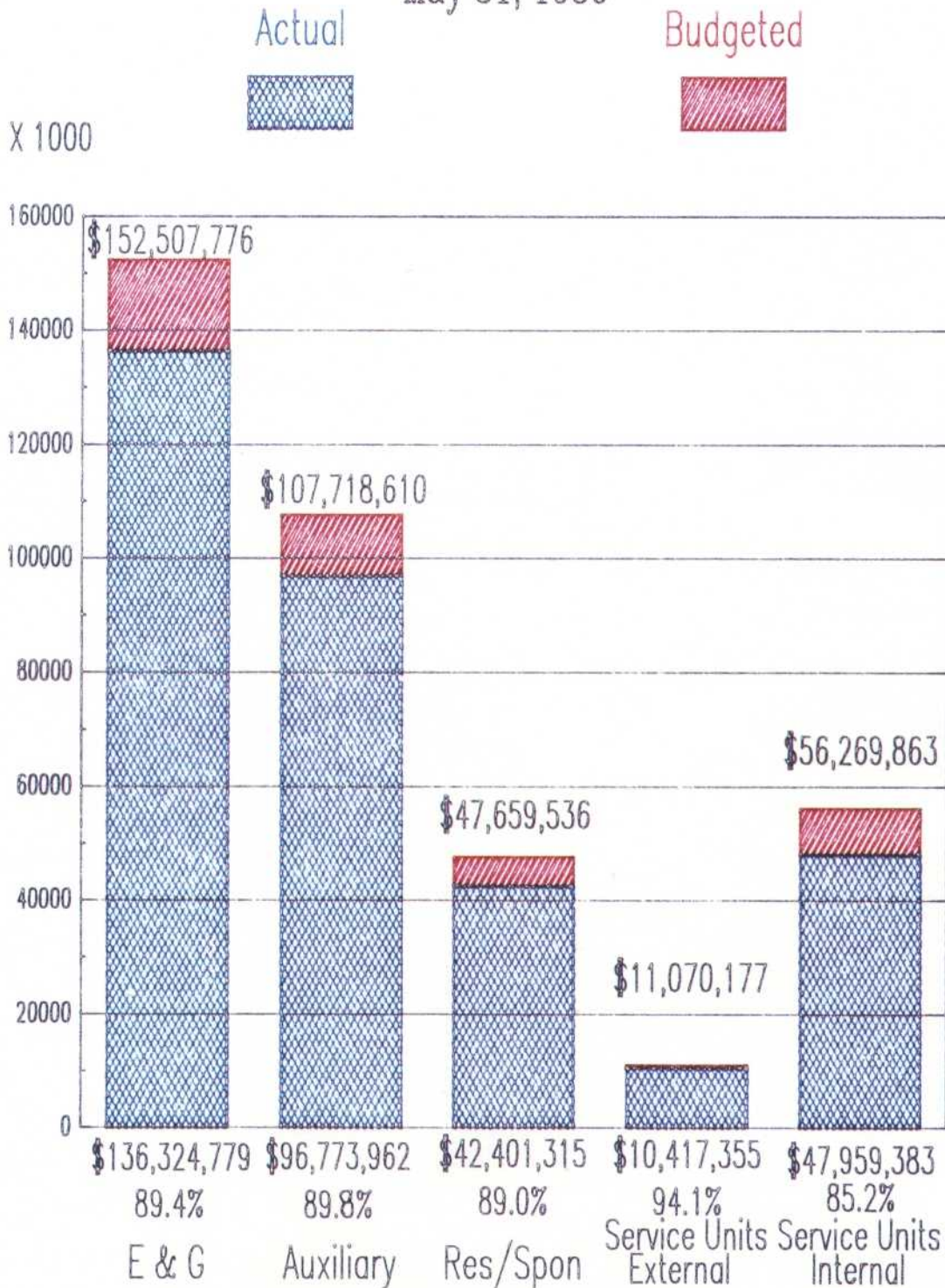
**Total Budgeted Expenditures - \$126,210,465**



# University of Oklahoma

## BUDGETED VS. ACTUAL REVENUE

May 31, 1986



# University of Oklahoma

## BUDGETED VS. ACTUAL EXPENDITURES

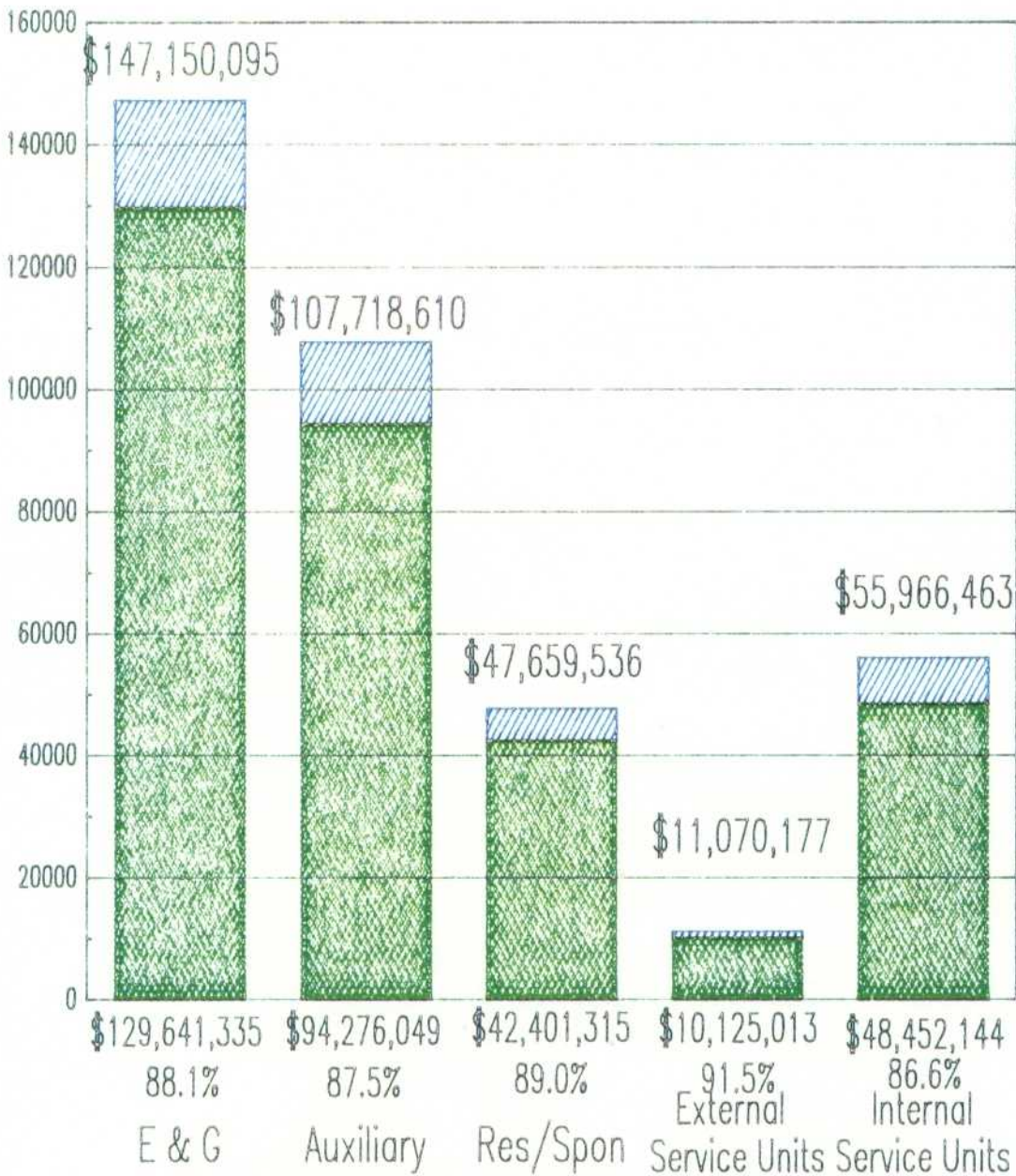
May 31, 1986

Actual

Budgeted



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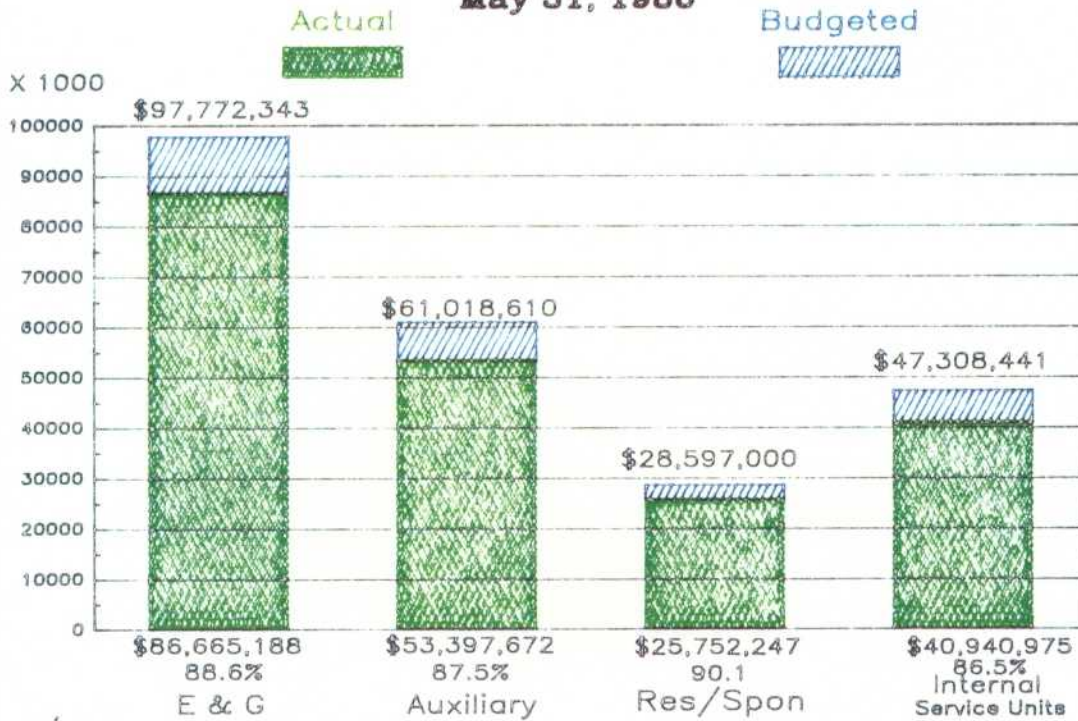


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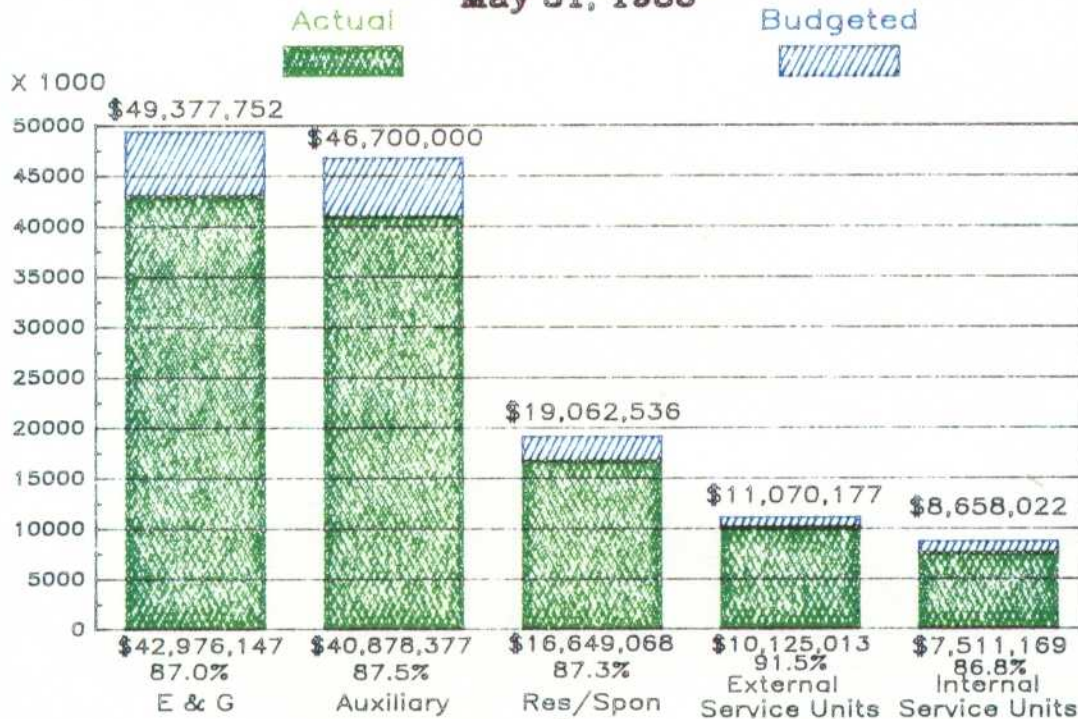


# University of Oklahoma

## Norman Campus BUDGETED VS. ACTUAL EXPENDITURES May 31, 1986



## Health Sciences Center BUDGETED VS. ACTUAL EXPENDITURES May 31, 1986



H



# THE UNIVERSITY OF OKLAHOMA

## Definition of Financial Terms

Public universities control their financial resources in a number of different funding categories which are different both in source and purpose.

The University of Oklahoma's Annual Operating Budget includes four main types of funds: Educational and General, Grants and Contracts, Auxiliary Enterprises, and Service Units (internal and external). In addition, the University manages several sources of Capital Funds.

### I. Annual Operating Budget

Operating budget funds are available for current operations, which include the support of day-to-day, short-run, consumption-type activities. These funds are divided into three main categories based on the purpose for which they are used:

- A. Educational and General activities are those which directly support the basic function of an educational institution in the creation, preservation, and dissemination of knowledge. Educational and General activities consist of instructional; research; continuing education & public service programs; general administration; and general expense activities such as student services and university relations. Also included in Educational and General activities are operations of the library, physical plant and administrative data processing. Revenue comprises two main sources: State Appropriations and Tuition and Fees (Revolving Funds).
  1. State Appropriations are derived from the State Legislature's appropriations from the State General Revenue.
  2. Revolving Funds consist of income from student tuition and fees; gifts, grants, and reimbursements; sales and services of educational departments; and all other income available to the institution for Educational and General purposes.
- B. Grants and Contracts are governmental and private grants and contracts. These funds must be used for the specified contractual purposes.
- C. Auxiliary Enterprises and Service Unit funds include all revenues and expenditures of enterprises conducted by the institution primarily for the purpose of providing services of a non-educational nature to students, faculty, staff and other university departments. The enterprises are intended to be self-supporting and are similar to business enterprises. Under Oklahoma State Law, auxiliary enterprises and service units should be self-supporting and Educational and General funds of the institution may not be used to subsidize them. While it apparently is not prohibited by law, the auxiliary enterprises and service units should not be called upon to provide support for the Educational and General activities of the institution.

1. Auxiliary Enterprises serve individual students, faculty, staff and others in areas such as housing, food service, book store, laundry, and intercollegiate athletics. The University acts as custodian for other funds classified under Auxiliary Enterprises. They include student and staff organizations such as University Sing, Housing Center Association, Administrative Officers, and Campus Chest (and are generally known as Agency Funds).
2. Service Units on the Norman Campus primarily serve only internal University departments. On the Health Sciences Center Campus service units have both internal sales and external sales. Service units provide services such as vehicle rental, office machine rental, printing, computing services, telephone services, steam and chilled water and certain other physical plant operations.

## II. Capital Budget

Capital funds are to be used or have already been expended for construction and acquisition of physical properties. Capital funds are not included in the Operating Budget.

The revenue for capital funds comes for four major sources: State appropriations, Section 13/New College Funds, revenue bonds, and private donations.

- A. State Appropriated Funds are appropriated annually by the Legislature from the State General Revenue. The appropriations for capital funds and for Educational and General funds are entirely separate and transfers between the two are not permitted. Unlike appropriations for Educational and General, appropriations for construction and acquisition of physical properties may be spent over several years.
- B. Section 13/New College Funds are income, interest, rentals and proceeds from the sale of State Section Thirteen lands which were granted to the State for the use and benefit of institutions of higher education. Under State law, these funds may be used "for the construction and purchase of buildings, for the purchase of equipment, and for other capital additions."
- C. Revenue Bonds provide funds which are borrowed against anticipated revenue. This source is most often used for Auxiliary Enterprises, but may also be used for service unit improvements which will create savings in the Educational and General budget, such as utilities.
- D. Private Donations for construction or acquisition of physical properties are accounted for as capital funds when made directly to the University. Most donations, however, are made to and managed by the University of Oklahoma Foundation.

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	Norman Campus	Health Sciences Center	Law Center	Geological Survey	Total
<b>EDUCATIONAL AND GENERAL REVENUES</b>					
Original Budgeted Revenue	91,336,907	51,918,790	4,199,756	1,865,674	149,321,127
Tuition Over/under realization	350,000	-300,000	25,000	0	75,000
Changes In Budgeted Revenue	2,564,553	250,000	10,000	0	2,824,553
Rebudgeted Year End Balances	287,096	0	0	0	287,096
Current Budget	94,538,556	51,868,790	4,234,756	1,865,674	152,507,776
Year-To-Date Revenue	83,493,303	47,289,267	3,845,865	1,696,344	136,324,779
Projected Future Revenue	11,045,253	4,579,523	388,891	169,330	16,182,997
Total Estimated Revenue	94,538,556	51,868,790	4,234,756	1,865,674	152,507,776
Projected Revenue Variance	0	0	0	0	0
Budgeted Expenditures	91,336,907	51,918,790	4,199,756	1,865,674	149,321,127
Changes in Budgeted Expenditures	2,522,930	-903,462	-220,000	0	1,399,468
Rebudgeted Year End Balances	287,096	0	0	0	287,096
Budget Reductions (1)	2,042,551	1,637,576	115,670	61,799	3,857,596
Total Budgeted Expenditures	92,104,382	49,377,752	3,864,086	1,803,875	147,150,095
Year-To-Date Expenditures	81,895,694	42,976,147	3,318,468	1,451,026	129,641,335
Projected Future Expenditures	10,208,688	6,401,605	545,618	352,849	17,508,760
Total Estimated Expenditures	92,104,382	49,377,752	3,864,086	1,803,875	147,150,095
Projected Expenditure Variance	0	0	0	0	0
<b>AUXILIARY ENTERPRISES/PROF. PRACTICE PLAN</b>					
Budgeted Revenue	56,445,256	46,700,000			103,145,256
Changes in Budgeted Revenue	4,573,354	0			4,573,354
Current Budget	61,018,610	46,700,000			107,718,610
Year-To-Date Revenue	55,020,118	41,753,844			96,773,962
Projected Future Revenue	5,998,492	4,946,156			10,944,648
Total Estimated Revenue	61,018,610	46,700,000			107,718,610
Projected Revenue Variance	0	0			0
Budgeted Expenditures	56,445,256	46,700,000			103,145,256
Changes in Budgeted Expenditures	4,573,354	0			4,573,354
Total Budgeted Expenditures	61,018,610	46,700,000			107,718,610
Year-To-Date Expenditures	53,397,672	40,878,377			94,276,049
Projected Future Expenditures	7,620,938	5,821,623			13,442,561
Total Estimated Expenditures	61,018,610	46,700,000			107,718,610
Projected Expenditure Reductions	0	0			0

Financial Analysis Continued

May 31, 1986

	Norman Campus	Health Sciences Center	Law Center	Geological Survey	Total
<b>RESEARCH &amp; OTHER SPONSORED PROGRAMS</b>					
Budgeted Revenue	29,400,000	19,062,536			48,462,536
Changes in Budgeted Revenue	-803,000	0			-803,000
Current Budget	28,597,000	19,062,536			47,659,536
Year-To-Date Revenue	25,752,247	16,649,068			42,401,315
Projected Future Revenue	2,844,753	2,413,468			5,258,221
Total Estimated Revenue	28,597,000	19,062,536			47,659,536
Projected Revenue Variance	0	0			0
Budgeted Expenditures	29,400,000	19,062,536			48,462,536
Changes in Budgeted Expenditures	-803,000	0			-803,000
Total Budgeted Expenditures	28,597,000	19,062,536			47,659,536
Year-To-Date Expenditures	25,752,247	16,649,068			42,401,315
Projected Future Expenditures	2,844,753	2,413,468			5,258,221
Total Estimated Expenditures	28,597,000	19,062,536			47,659,536
Projected Expenditure Reductions	0	0			0
<b>SERVICE UNITS - EXTERNAL ACTIVITY</b>					
Budgeted Revenue		10,500,000			10,500,000
Changes in Budgeted Revenue		570,177			570,177
Current Budget		11,070,177			11,070,177
Year-To-Date Revenue		10,417,355			10,417,355
Projected Future Revenue		652,822			652,822
Total Estimated Revenue		11,070,177			11,070,177
Projected Revenue Variance		0			0
Budgeted Expenditures		10,500,000			10,500,000
Changes in Budgeted Expenditures		570,177			570,177
Total Budgeted Expenditures		11,070,177			11,070,177
Year-To-Date Expenditures		10,125,013			10,125,013
Projected Future Expenditures		945,164			945,164
Total Estimated Expenditures		11,070,177			11,070,177
Projected Expenditure Reductions		0			0
TOTAL PROJECTED OPERATING REVENUE	184,154,166	128,701,503	4,234,756	1,865,674	318,956,099
TOTAL PROJECTED OPERATING EXPENDITURES	181,719,992	126,210,465	3,864,086	1,803,875	313,598,418

7

Financial Analysis Continued

May 31, 1986

	Norman Campus	Health Sciences Center	Law Center	Geological Survey	Total
<b>SERVICE UNITS - INTERNAL RECHARGES</b>					
Budgeted Revenue	48,762,210	12,100,000			60,862,210
Changes in Budgeted Revenue	-1,453,769	-3,138,578			-4,592,347
Current Budget	47,308,441	8,961,422			56,269,863
Year-To-Date Revenue	40,383,627	7,575,756			47,959,383
Projected Future Revenue	6,924,814	1,385,666			8,310,480
Total Estimated Revenue	47,308,441	8,961,422			56,269,863
Projected Revenue Variance	0	0			0
Budgeted Expenditures	48,762,210	12,100,000			60,862,210
Changes in Budgeted Expenditures	-1,453,769	-3,441,978			-4,895,747
Total Budgeted Expenditures	47,308,441	8,658,022			55,966,463
Year-To-Date Expenditures	40,940,975	7,511,169			48,452,144
Projected Future Expenditures	6,367,466	1,146,853			7,514,319
Total Estimated Expenditures	47,308,441	8,658,022			55,966,463
Projected Expenditure Reductions	0	0			0
<b>*CAPITAL PROJECTS</b>					
Allotments for Capital Expenditures	44,062,771	2,655,321			46,718,092
Project Expenditures to Date	34,713,680	475,924			35,189,604
Balance Available for Expenditures	9,349,091	2,179,397			11,528,488
*Completed projects are deleted from total.					

M

University of Oklahoma  
 Norman Campus  
 Educational & General  
 Revenue and Expenditure Summary  
 May 31, 1986

REVENUES	Original Budget	Budget Modification	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Student Tuition	12,246,213	350,000 (1)	12,596,213	11,919,803	676,410	12,596,213
Other Student Fees	675,069	22,000	697,069	546,078	150,991	697,069
OU Foundation	1,014,200	2,114,170	3,128,370	0	3,128,370	3,128,370
Indirect Cost	2,732,432	0	2,732,432	2,732,432	0	2,732,432
CE & PS Revenue	6,253,731	0	6,253,731	4,977,290	1,276,441	6,253,731
Other Revenue	330,250	428,383	758,633	708,890	49,743	758,633
State Appropriations	68,085,012	0	68,085,012	62,321,714	5,763,298	68,085,012
	91,336,907	2,914,553	94,251,460	83,206,207	11,045,253	94,251,460
Dist. from Reserve and Reallocate P/Y Balances	0	287,096	287,096	287,096	0	287,096
	91,336,907	3,201,649	94,538,556	83,493,303	11,045,253	94,538,556

EXPENDITURES	Original Budget	Budget Reductions	Budget Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
Administration & General	8,682,302	184,702	536,490	9,034,090	8,236,103	797,987	9,034,090
Instruction	49,148,015	1,468,297 (2)	942,117	48,621,835	43,060,828	5,561,007	48,621,835
Research	8,119,847	101,451	1,117,764	9,136,160	7,785,072	1,351,088	9,136,160
Continuing Education	7,142,768	24,204	-62,526	7,056,038	6,330,808	725,230	7,056,038
Libraries	4,315,289	66,000	-58,924	4,190,365	3,750,035	440,330	4,190,365
Physical Plant	13,064,177	197,897 (3)	295,105	13,161,385	11,880,339	1,281,046	13,161,385
Data Processing	864,509	0	40,000	904,509	852,509	52,000	904,509
	91,336,907	2,042,551	2,810,026	92,104,382	81,895,694	10,208,688	92,104,382

Accompanying footnotes appear on page Y

N

University of Oklahoma  
Law Center  
Educational & General  
Revenue and Expenditure Summary  
May 31, 1986

REVENUES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Student Fees	536,960	25,000 (1)	561,960	542,002	19,958	561,960
Gifts, Grants, Reimb.	40,000	10,000	50,000	8,234	41,766	50,000
Sales & Services	28,000	0	28,000	12,255	15,745	28,000
Enrichment Fees	55,000	0	55,000	36,421	18,579	55,000
Other Revenue	234,926	0	234,926	222,035	12,891	234,926
State Appropriations	3,304,870	0	3,304,870	3,024,918	279,952	3,304,870
	4,199,756	35,000	4,234,756	3,845,865	388,891	4,234,756

EXPENDITURES	Original Budget	Budget Reductions	Budget (4) Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
Administration	426,735	36,063	-35,575	355,097	339,553	15,544	355,097
Staff Benefits	479,998	6,700	-13,306	459,992	359,248	100,744	459,992
Instruction	1,919,819	72,907	-114,960	1,731,952	1,496,623	235,329	1,731,952
Law Enrichment	55,000	0	-18,914	36,086	33,943	2,143	36,086
Paralegal Education	93,779	0	-10,686	83,093	75,413	7,680	83,093
Moot Court	14,000	0	-1,634	12,366	12,279	87	12,366
Law Office Student Pract.	104,195	0	-11,588	92,607	84,932	7,675	92,607
Cont. Legal Education	125,456	0	-887	124,569	104,998	19,571	124,569
Law Review	73,617	0	-2,646	70,971	41,392	29,579	70,971
Law Library	660,243	0	-24,708	635,535	513,101	122,434	635,535
Physical Plant	246,914	0	14,904	261,818	256,986	4,832	261,818
	4,199,756	115,670	-220,000	3,864,086	3,318,468	545,618	3,864,086



University of Oklahoma  
 Geological Survey  
 Educational & General  
 Revenue and Expenditure Summary  
 May 31, 1986

REVENUES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Sales and Services	100,000	0	100,000	79,887	20,113	100,000
State Appropriations	1,765,674	0	1,765,674	1,616,457	149,217	1,765,674
	1,865,674	0	1,865,674	1,696,344	169,330	1,865,674

EXPENDITURES	Original Budget	Budget Reductions	Budget Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
Administration	89,084	3,118	0	85,966	77,489	8,477	85,966
Basic Geological Investigations	248,210	8,687	0	239,523	182,473	57,050	239,523
Industrial Mineral Investigation	137,242	8,822	0	128,420	97,794	30,626	128,420
Coal Investigations-Mineral	252,437	10,539	0	241,898	208,863	33,035	241,898
Environmental Geological Studies	143,412	0	0	143,412	124,632	18,780	143,412
Petroleum Investigation-Minerals	354,163	10,898	0	343,265	294,463	48,802	343,265
Basic Research	230,603	8,071	0	222,532	180,156	42,376	222,532
Oklahoma Geophysical Observatory	119,174	4,171	0	115,003	94,730	20,273	115,003
Public Information & Assistance	64,082	2,243	0	61,839	43,274	18,565	61,839
Cooperative Water Resources	150,000	5,250	0	144,750	75,000	69,750	144,750
Core & Sample Library	77,267	0	0	77,267	72,152	5,115	77,267
	1,865,674	61,799	0	1,803,875	1,451,026	352,849	1,803,875

P



University of Oklahoma  
Health Sciences Center  
Educational & General  
Revenue and Expenditure Summary  
May 31, 1986

REVENUES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Budget
Student Fees	3,562,896	-300,000 (1)	3,262,896	3,118,425	144,471	3,262,896
OU Foundation	0	0	0	0	0	0
Indirect Cost	750,000	250,000	1,000,000	625,000	375,000	1,000,000
Family Med PPP Non-Credit	545,000	0	545,000	439,849	105,151	545,000
Dental Clinic & Other	273,000	0	273,000	282,682	-9,682	273,000
State Appropriations	46,787,894	0	46,787,894	42,823,311	3,964,583	46,787,894
	51,918,790	-50,000	51,868,790	47,289,267	4,579,523	51,868,790

EXPENDITURES	Original Budget	Budget Reductions	Budget Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Budget
Instruction	36,020,681	885,069	-548,073	34,587,539	30,173,495	4,414,044	34,587,539
Research	1,682,912	142,007	-231,035	1,309,870	1,019,002	290,868	1,309,870
Continuing Education	216,377	5,000	5,000	216,377	198,632	17,745	216,377
Administration	2,399,751	79,300	13,800	2,334,251	1,969,596	364,655	2,334,251
General Expense	1,718,690	101,650	36,507	1,653,547	1,504,458	149,089	1,653,547
Library	1,248,800	40,000	-34,453	1,174,347	1,011,695	162,652	1,174,347
Physical Plant	7,291,544	313,100	-117,134	6,861,310	6,032,331	828,979	6,861,310
Data Processing	1,340,035	71,450	-28,074	1,240,511	1,066,938	173,573	1,240,511
	51,918,790	1,637,576	-903,462	49,377,752	42,976,147	6,401,605	49,377,752

8

University of Oklahoma  
Norman Campus  
Auxiliary Enterprises  
Revenue and Expenditure Summary  
May 31, 1986

REVENUES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Intercollegiate Athletics	11,160,000	1,400,000 (1)	12,560,000	11,122,500	1,437,500	12,560,000
V P University Affairs	293,309	0	293,309	34,242	259,067	293,309
Foundation Operations	2,244,258	0	2,244,258	746,991	1,497,267	2,244,258
Lloyd Noble	4,539,086	0	4,539,086	3,332,022	1,207,064	4,539,086
Auxiliary Services	9,010,876	773,183 (2)	9,784,059	10,058,305	-274,246	9,784,059
Housing & Food Service	10,847,919	157,671 (3)	11,005,590	10,859,249	146,341	11,005,590
Student Loans & Grants	4,533,000	1,450,000 (4)	5,983,000	5,741,087	241,913	5,983,000
Other Admin. Affairs	3,574,163	225,000 (5)	3,799,163	3,083,342	715,821	3,799,163
University Press	1,918,865	0	1,918,865	1,674,013	244,852	1,918,865
CE & PS	864,550	115,000 (6)	979,550	917,152	62,398	979,550
Other Provost	1,257,305	52,500 (7)	1,309,805	1,426,947	-117,142	1,309,805
Student Publications	961,434	0	961,434	903,978	57,456	961,434
Student Organizations	991,426	150,000 (8)	1,141,426	1,133,518	7,908	1,141,426
Goddard Health Center	2,700,202	250,000 (9)	2,950,202	2,624,029	326,173	2,950,202
Other Student Affairs	1,521,013	0	1,521,013	1,343,383	177,630	1,521,013
Executive Affairs	27,850	0	27,850	19,360	8,490	27,850
	56,445,256	4,573,354	61,018,610	55,020,118	5,998,492	61,018,610

EXPENDITURES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
Intercollegiate Athletics	11,160,000	1,400,000 (1)	12,560,000	11,668,642	891,358	12,560,000
V P University Affairs	293,309	0	293,309	231,441	61,868	293,309
Foundation Operations	2,244,258	0	2,244,258	783,441	1,460,817	2,244,258
Lloyd Noble	4,539,086	0	4,539,086	2,808,610	1,730,476	4,539,086
Auxiliary Services	9,010,876	773,183 (2)	9,784,059	9,611,150	172,909	9,784,059
Housing & Food Service	10,847,919	157,671 (3)	11,005,590	9,731,121	1,274,469	11,005,590
Student Loans & Grants	4,533,000	1,450,000 (4)	5,983,000	5,534,469	448,531	5,983,000
Other Admin. Affairs	3,574,163	225,000 (5)	3,799,163	3,133,176	665,987	3,799,163
University Press	1,918,865	0	1,918,865	1,687,481	231,384	1,918,865
CE & PS	864,550	115,000 (6)	979,550	814,599	164,951	979,550
Other Provost	1,257,305	52,500 (7)	1,309,805	1,185,927	123,878	1,309,805
Student Publications	961,434	0	961,434	927,003	34,431	961,434
Student Organizations	991,426	150,000 (8)	1,141,426	1,063,336	78,090	1,141,426
Goddard Health Center	2,700,202	250,000 (9)	2,950,202	2,690,345	259,857	2,950,202
Other Student Affairs	1,521,013	0 (10)	1,521,013	1,509,855	11,158	1,521,013
Executive Affairs	27,850	0	27,850	17,076	10,774	27,850
	56,445,256	4,573,354	61,018,610	53,397,672	7,620,938	61,018,610

Accompanying footnotes appear on page Y

R

University of Oklahoma  
 Health Sciences Center  
 Auxiliary Enterprises  
 Revenue and Expenditure Summary  
 May 31, 1986

REVENUES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Clinic Operations	3,601,100	-193,000	3,408,100	3,168,355	239,745	3,408,100
Faculty Practice	36,739,400	0	36,739,400	32,422,850	4,316,550	36,739,400
Nuclear Pharmacy	548,000	0	548,000	495,298	52,702	548,000
Student Loans & Organ.	589,400	0	589,400	542,108	47,292	589,400
Vending	169,200	93,000	262,200	224,745	37,455	262,200
Student Lounge	109,800	0	109,800	93,433	16,367	109,800
Dental Student Supply	371,500	0	371,500	329,565	41,935	371,500
Parking Service	94,200	0	94,200	69,128	25,072	94,200
Other	1,214,700	0	1,214,700	1,063,627	151,073	1,214,700
TMC Residency	3,262,700	100,000	3,362,700	3,344,735	17,965	3,362,700
	46,700,000	0	46,700,000	41,753,844	4,946,156	46,700,000

EXPENDITURES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
Clinic Operations	3,601,100	-193,000	3,408,100	3,112,247	295,853	3,408,100
Faculty Practice	36,739,400	0	36,739,400	31,999,299	4,740,101	36,739,400
Nuclear Pharmacy	548,000	0	548,000	461,119	86,881	548,000
Student Loans & Organ.	589,400	0	589,400	514,519	74,881	589,400
Vending	169,200	93,000	262,200	136,326	125,874	262,200
Student Lounge	109,800	0	109,800	62,550	47,250	109,800
Dental Student Supply	371,500	0	371,500	303,595	67,905	371,500
Parking Service	94,200	0	94,200	86,316	7,884	94,200
Other	1,214,700	0	1,214,700	849,676	365,024	1,214,700
TMC Residency	3,262,700	100,000	3,362,700	3,352,730	9,970	3,362,700
	46,700,000	0	46,700,000	40,878,377	5,821,623	46,700,000

University of Oklahoma  
 Norman Campus  
 Research & Other Sponsored Projects  
 Revenue and Expenditure Summary  
 May 31, 1986

REVENUES	Original Budget	Budget (1) Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Commerce	588,000	212,000 (2)	800,000	708,695	91,305	800,000
Defense	882,000	318,000	1,200,000	1,082,536	117,464	1,200,000
Energy	3,528,000	-2,078,000 (3)	1,450,000	1,286,236	163,764	1,450,000
Interior	294,000	86,000	380,000	342,119	37,881	380,000
Transportation	12,642,000	-353,000 (4)	12,289,000	11,105,617	1,183,383	12,289,000
Health, Human Services	2,058,000	242,000	2,300,000	2,079,254	220,746	2,300,000
Education	2,058,000	67,000	2,125,000	1,912,516	212,484	2,125,000
EPA	588,000	27,000	615,000	547,757	67,243	615,000
NASA	294,000	-204,000	90,000	82,716	7,284	90,000
NSF	2,058,000	242,000	2,300,000	2,037,456	262,544	2,300,000
Peace Corps	588,000	132,000	720,000	653,827	66,173	720,000
Other Federal	294,000	146,000	440,000	391,600	48,400	440,000
State of Oklahoma	1,176,000	49,000	1,225,000	1,101,434	123,566	1,225,000
Commercial	1,176,000	-129,250	1,046,750	947,464	99,286	1,046,750
Foundations	294,000	166,000 (5)	460,000	415,161	44,839	460,000
Other Universities	254,000	1,000	255,000	231,129	23,871	255,000
Other States	40,000	-38,750	1,250	1,115	135	1,250
Other Sources	588,000	312,000	900,000	825,615	74,385	900,000
<b>Total Revenue</b>	<b>29,400,000</b>	<b>-803,000</b>	<b>28,597,000</b>	<b>25,752,247</b>	<b>2,844,753</b>	<b>28,597,000</b>

EXPENDITURES	Original Budget	Budget (1) Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
Arts & Sciences	5,400,000	500,000 (6)	5,900,000	5,148,318	751,682	5,900,000
Engineering	3,000,000	-650,000 (7)	2,350,000	2,073,224	276,776	2,350,000
Geosciences	1,504,000	146,000 (2)	1,650,000	1,542,942	107,058	1,650,000
Business Administration	294,000	-19,000	275,000	241,140	33,860	275,000
Education	294,000	-149,000	145,000	127,691	17,309	145,000
Liberal Studies	50,000	-15,000	35,000	30,144	4,856	35,000
Provost Direct	2,000,000	-725,000 (8)	1,275,000	1,104,675	170,325	1,275,000
Grad College/VPRA	588,000	412,000 (9)	1,000,000	897,255	102,745	1,000,000
Geological Survey	294,000	-93,000	201,000	188,384	12,616	201,000
VP Student Affairs	1,176,000	-51,000 (10)	1,125,000	996,918	128,082	1,125,000
VP Instr Services	294,000	-54,000	240,000	212,589	27,411	240,000
FAA Programs	12,348,000	-328,000 (4)	12,020,000	11,037,441	982,559	12,020,000
Other CE&PS	1,764,000	-124,000	1,640,000	1,489,888	150,112	1,640,000
All Other	394,000	347,000	741,000	661,638	79,362	741,000
<b>Total Expenditures</b>	<b>29,400,000</b>	<b>-803,000</b>	<b>28,597,000</b>	<b>25,752,247</b>	<b>2,844,753</b>	<b>28,597,000</b>

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University of Oklahoma  
 Health Sciences Center  
 Research & Other Sponsored Projects  
 Revenue and Expenditure Summary  
 May 31, 1986

REVENUES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Health & Human Services	7,002,069	-875,000	6,127,069	5,234,202	892,867	6,127,069
Defense	388,272	25,000	413,272	380,708	32,564	413,272
Other Federal	611,987	0	611,987	504,096	107,891	611,987
State Agencies	622,734	125,000	747,734	657,103	90,631	747,734
Foundations	552,170	300,000	852,170	768,544	83,626	852,170
Medical Organizations	523,366	0	523,366	482,070	41,296	523,366
Private Industry	868,163	350,000	1,218,163	1,106,654	111,509	1,218,163
Multiple Sources	111,775	950,000	1,061,775	943,137	118,638	1,061,775
Hospital Reimbursements	8,382,000	-875,000	7,507,000	6,572,554	934,446	7,507,000
	19,062,536	0	19,062,536	16,649,068	2,413,468	19,062,536

EXPENDITURES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
College of Medicine	17,186,353	-589,000	16,597,353	14,812,760	1,784,593	16,597,353
College of Dentistry	1,000	14,000	15,000	9,968	5,032	15,000
College of Nursing	173,923	125,000	298,923	255,096	43,827	298,923
College of Public Health	564,764	0	564,764	348,281	216,483	564,764
College of Allied Health	294,562	0	294,562	212,536	82,026	294,562
College of Pharmacy	111,562	300,000	411,562	279,505	132,057	411,562
Graduate College	298,681	0	298,681	237,866	60,815	298,681
Tulsa Medical College	123,576	0	123,576	88,907	34,669	123,576
Library	1,164	50,000	51,164	41,695	9,469	51,164
General Administration	306,951	100,000	406,951	362,454	44,497	406,951
	19,062,536	0	19,062,536	16,649,068	2,413,468	19,062,536

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University of Oklahoma  
 Norman Campus  
 Service Units (Internal)  
 Revenue and Expenditure Summary  
 May 31, 1986

REVENUES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Computing Services	5,592,542	-817,864 (1)	4,774,678	4,196,855	577,823	4,774,678
Physical Plant Service Units	12,621,002	0	12,621,002	10,872,789	1,748,213	12,621,002
Physical Plant Utilities System	12,424,005	-1,800,000 (2)	10,624,005	9,038,291	1,585,714	10,624,005
Vehicle Rental	1,508,468	351,532 (3)	1,860,000	1,378,794	481,206	1,860,000
Auxiliary Accounting	248,043	0	248,043	151,000	97,043	248,043
University Storeroom	2,457,800	-657,800 (2)	1,800,000	1,605,630	194,370	1,800,000
University Publications	172,127	0	172,127	145,959	26,168	172,127
University Rental Services	558,009	50,000 (3)	608,009	553,466	54,543	608,009
Auxiliary Services	181,797	0	181,797	146,600	35,197	181,797
University Printing	3,635,616	0	3,635,616	3,133,149	502,467	3,635,616
Telecommunications	2,184,375	1,297,163 (4)	3,481,538	2,968,600	512,938	3,481,538
Insurance Premium Distribution	4,500,000	0	4,500,000	4,131,702	368,298	4,500,000
Unemployment Compensation	770,831	-200,000 (5)	570,831	300,978	269,853	570,831
Media Center - Education	0	5,000	5,000	1,150	3,850	5,000
Postage Clearing	850,000	65,000 (6)	915,000	807,358	107,642	915,000
Architectural - Engineering Svc	448,218	0	448,218	175,584	272,634	448,218
Workmans Compensation	519,729	253,200 (7)	772,929	705,204	67,725	772,929
University Aircraft	89,648	0	89,648	70,518	19,130	89,648
	48,762,210	-1,453,769	47,308,441	40,383,627	6,924,814	47,308,441

EXPENDITURES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
Computing Services	5,592,542	-817,864 (1)	4,774,678	4,534,071	240,607	4,774,678
Physical Plant Service Units	12,621,002	0	12,621,002	10,966,680	1,654,322	12,621,002
Physical Plant Utilities System	12,424,005	-1,800,000 (2)	10,624,005	9,069,800	1,554,205	10,624,005
Vehicle Rental	1,508,468	351,532 (3)	1,860,000	1,550,264	309,736	1,860,000
Auxiliary Accounting	248,043	0	248,043	248,460	-417	248,043
University Storeroom	2,457,800	-657,800 (2)	1,800,000	1,594,611	205,389	1,800,000
University Publications	172,127	0	172,127	153,445	18,682	172,127
University Rental Services	558,009	50,000 (3)	608,009	570,809	37,200	608,009
Auxiliary Services	181,797	0	181,797	167,281	14,516	181,797
University Printing	3,635,616	0	3,635,616	2,842,441	793,175	3,635,616
Telecommunications	2,184,375	1,297,163 (4)	3,481,538	2,914,077	567,461	3,481,538
Insurance Premium Distribution	4,500,000	0	4,500,000	4,487,477	12,523	4,500,000
Unemployment Compensation	770,831	-200,000 (5)	570,831	207,761	363,070	570,831
Media Center - Education	0	5,000	5,000	4,309	691	5,000
Postage Clearing	850,000	65,000 (6)	915,000	807,259	107,741	915,000
Architectural - Engineering Svc	448,218	0	448,218	194,251	253,967	448,218
Workmans Compensation	519,729	253,200 (7)	772,929	545,815	227,114	772,929
University Aircraft	89,648	0	89,648	82,164	7,484	89,648
	48,762,210	-1,453,769	47,308,441	40,940,975	6,367,466	47,308,441

Accompanying footnotes appear on page Y

V

University of Oklahoma  
 Health Sciences Center  
 Service Units (Internal)  
 Revenue and Expenditure Summary  
 May 31, 1986

REVENUES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Physical Plant	5,218,469	-1,794,112	3,424,357	2,600,622	823,735	3,424,357
Graphic Services	849,954	-401,770	448,184	402,700	45,484	448,184
Computing Services	2,226,243	-100,523	2,125,720	1,909,990	215,730	2,125,720
Other	1,619,014	-460,558	1,158,456	1,040,890	117,566	1,158,456
Steam & Chilled Water	2,186,320	-381,615	1,804,705	1,621,554	183,151	1,804,705
	12,100,000	-3,138,578	8,961,422	7,575,756	1,385,666	8,961,422

EXPENDITURES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
Physical Plant	5,218,469	-2,097,512	3,120,957	2,598,061	522,896	3,120,957
Graphic Services	849,954	-401,770	448,184	391,828	56,356	448,184
Computing Services	2,226,243	-100,523	2,125,720	1,931,337	194,383	2,125,720
Other	1,619,014	-460,558	1,158,456	1,050,623	107,833	1,158,456
Steam & Chilled Water	2,186,320	-381,615	1,804,705	1,539,320	265,385	1,804,705
	12,100,000	-3,441,978	8,658,022	7,511,169	1,146,853	8,658,022

W

University of Oklahoma  
 Health Sciences Center  
 Service Units (External)  
 Revenue and Expenditure Summary  
 May 31, 1986

REVENUES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Revenue	Projected Revenue	Total Revenue
Physical Plant	3,726,200	-54,948	3,671,252	3,424,058	247,194	3,671,252
Graphic Services	460,700	147,919	608,619	564,358	44,261	608,619
Computing Services	104,200	20,945	125,145	110,400	14,745	125,145
Other	848,900	548,077	1,396,977	1,267,490	129,487	1,396,977
Steam & Chilled Water	5,360,000	-91,816	5,268,184	5,051,049	217,135	5,268,184
	10,500,000	570,177	11,070,177	10,417,355	652,822	11,070,177

EXPENDITURES	Original Budget	Budget Modifications	Revised Budget	Y-T-D Expenditures	Projected Expenditures	Total Expenditures
Physical Plant	3,726,200	-54,948	3,671,252	3,420,686	250,566	3,671,252
Graphic Services	460,700	147,919	608,619	549,121	59,498	608,619
Computing Services	104,200	20,945	125,145	111,633	13,512	125,145
Other	848,900	548,077	1,396,977	1,277,515	119,462	1,396,977
Steam & Chilled Water	5,360,000	-91,816	5,268,184	4,766,058	502,126	5,268,184
	10,500,000	570,177	11,070,177	10,125,013	945,164	11,070,177

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The University of Oklahoma  
Regents Report  
Footnotes

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FINANCIAL ANALYSIS

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- 1) Departmental Budgets are reduced and funds are set aside in a University savings account.

NORMAN CAMPUS & HSC - EDUCATIONAL & GENERAL

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- 1) Tuition overrealization. Main Campus, Law Center and HSC
- 2) Includes \$550,000 of the President's Reserve.
- 3) No reduction was made to the \$5.483 million utility budget.
- 4) Budget Modification of -\$230,000 due to unfilled budget positions. Law Center

NORMAN CAMPUS - AUXILIARY ENTERPRISES

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- 1) Additional television, pre-season ticket sales and concession revenues will result in an over-realization of revenue. Budget modifications made for increases in expenditures for team sports and concessions.
- 2) Unanticipated capital improvements, e.g. bridge work at golf course, new busses, renovation of Airport Control Tower, and miscellaneous parking and traffic improvements. Additional unanticipated revenue of \$963,040 from Hitachi sale will result in an overrealization of revenue. Approximately \$400,000 of expenditures have been transferred in from other non-budgeted accounts.
- 3) Increase due to opening of additional Cross Center building in response to increased demand for housing and food service. Parkview Apts. rented with electricity furnished by the University. Extraordinary expenditures in excess of the budget will be approximately \$400,000. These are demolition expenses to Nieman Apartments, various renovation projects to Hester-Robertson Hall, Adams Center, Walker Tower and Cate Dining.
- 4) Pell Grant awards increased by The Department of Education.
- 5) Budget Modification because 79' Student Facility System Repairs, OU Golf Course Development Fund and new Real Estate Development accounts not in original budget.
- 6) Increased number of participants in Banking and Pre-Collegiate programs.
- 7) Liberal Studies to transfer approx. \$115,000 of revenue to support their program in E & G. Fine Arts realizing more activity than originally estimated. Budget increase because Joe Price House Maintenance Fund not in original budget.
- 8) Increase due to revised estimate of revenue collections. Primary increases in UOSA accounts.
- 9) Budget Modification due to unexpected increased participation in the University's new Preferred Provider Option (PPO) Health Insurance Plan.
- 10) Unanticipated expenses in Residential Programs, Swimming Pool, Recreation Center Operations and Student Affairs Auxiliary Accounting will result in overrealization of expenditures.

NORMAN CAMPUS - RESEARCH & OTHER SPONSORED PROJECTS

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- 1) Original estimates adjusted to reflect actual grants and contracts received. May be subsequently revised, based on proposals submitted and federal awards made.
- 2) Reflect growth in weather-related programs.
- 3) Loss of oil and gas production and reserves contract with the Energy Information Administration of the Department of Energy.
- 4) FAA-ATC Program staffing increases have been delayed until Fall 1986. MTS Program in Lawton was reduced by approximately 8%.
- 5) Scholarships and fellowships funded with Associates Funds through the University Foundation. The same applies to a Regents Fellowship Award.
- 6) Continued growth pattern for Arts and Sciences.
- 7) Original estimates were higher than actual grant activity.
- 8) Loss of ETA-23 contract offset by increases in the Kanter Archives and weather-related programs.
- 9) Increases in scholarship/fellowship programs plus Science and Public Policy programs.
- 10) Student aid expenditures, funded by the Federal government, are adjusted to reflect actual experience through March 31, 1986.

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NORMAN CAMPUS - SERVICE UNITS (Internal)

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- 1) Reduced to reflect originally budgeted capital items that were expended from Section 13/New College and other capital accounts. Additional capital expenditures in excess of the budget will be approximately \$75,000.
- 2) Adjustment to reflect an over estimation of the non-E&G portion of the budget, sales and services have decreased.
- 3) Increase due to a higher than expected rental volume.
- 4) Increase due to transfers from auxiliary accounts and other service units for expansion of the telephone system to South Campus, Housing, Music and Energy Center.
- 5) Decrease to align budget with revised projection.
- 6) Modification needed for higher usage of postage meters than anticipated.
- 7) Increase due to revised estimate of premium collections.

MONETARY IMPLICATIONS OF AGENDA ITEMS  
JULY, 1986

	<u>Norman Campus</u>	<u>Health Sciences Center</u>
<u>Proposals, Contracts, and Grants</u>		
Proposals	\$5,160,410	\$5,741,576
Grants and Contracts	2,697,356	745,541
 <u>Expenditures</u>		
Purchases	\$ 457,290	\$ 238,500
Capital Items	\$ 95,000	
Associates	\$ 300,000	
Personnel (annual) See next page		
 <u>Income</u>	 \$ 20,000	

	<u>E &amp; G</u> <sup>1</sup>	<u>Service Units or Aux.</u>	<u>PPP</u> <sup>2</sup>	<u>Affil.</u> <sup>3</sup> <u>Insti.</u>	<u>Grant or Contract</u>	<u>OU Fdn. Reim.</u>
<u>Health Sciences Center</u>						
Leaves	\$( 63,295)					\$( 25,160)
Appointments	198,735		\$ 375,822	\$ 111,712	\$ 171,298	
Reappointments						
Salary Changes <sup>4</sup>	58	\$	( 29,092)	( 44,440)	( 27,570)	
Changes <sup>5</sup>				2,000		
Resignations	<u>(367,038)</u>	<u>( 5,200)</u>	<u>(265,484)</u>	<u>( 82,218)</u>	<u>(176,543)</u>	
	\$ (231,540)	\$ ( 5,200)	\$ 81,246	\$ ( 12,946)	\$ ( 57,975)	

Norman Campus

Leaves	\$( 12,306)	\$( 26,676)				
Appointments	498,210	29,800			21,000	32,000
Reappointments	239,831				259,700	
Salary Changes <sup>4</sup>	27,329	30,000			35,140	
Changes <sup>5</sup>	7,960	7,400				
Resignations or Retirements	<u>(526,471)</u>	<u>(101,224)</u>			<u>(118,375)</u>	<u>\$(24,000)</u>
	\$ 226,593	\$( 60,700)			\$ 197,465	\$( 8,000)

<sup>1</sup>E & G = Educational and General - State appropriated funds

<sup>2</sup>PPP = Professional Practice Plan funds

<sup>3</sup>Oklahoma Memorial Hospital, Oklahoma Children's Memorial Hospital, Oklahoma Medical Research Foundation, Veterans Administration Hospital, Department of Human Services

<sup>4</sup>Regular annual salary increase, budget corrections, or increases (decreases) as a result of change in position or promotion

<sup>5</sup>Other salary increases or awards

DRAFT

THE UNIVERSITY OF OKLAHOMA  
1987-88 OPERATING BUDGET NEEDS

HEALTH SCIENCES CENTER

LAW CENTER

NORMAN CAMPUS

OKLAHOMA GEOLOGICAL SURVEY

# DRAFT

## HEALTH SCIENCES CENTER

### OVERVIEW

The recent history of the Health Sciences Center can be separated into a phase of growth during the 1970's and a phase of improvement and consolidation since.

Growth: 1971-81. Four of the seven colleges were founded or relocated to this campus and the number of faculty increased from 271 to 667. Eighty percent of the University's buildings were completed and occupied, and comparable expansion of the teaching hospitals occurred. The intense expansion which characterized this period outstripped resources, but an almost new and extensively redirected academic health center emerged as a result.

Improvement and Consolidation: 1982 to present. The emphasis has been on quality in teaching, in research, and in the clinical programs, rather than on growth. The exceptional facilities of both the University and the teaching hospitals, excellent leadership of various departments and colleges, and increased state funding on two occasions during this period have been important factors helping to bring this improvement about. The reputation and quality of the Health Sciences Center have increased both locally and nationally. External research funding has increased more than three-fold.

However, this recent period has been plagued by economic reverses; first because basic Federal capitation support for health professional colleges was discontinued and, since 1983, because of the serious downturn in the State's economy. As a

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result, much of the effort in the past several years has been aimed at minimizing the negative impacts of budgets which have fallen well below the average amounts for health sciences centers, nationally, and well below the amounts established by the State Regents, as well.

The factors which have been most helpful in minimizing the negative impacts have included:

a) Careful prioritization along academic lines, so that the highest priority academic programs have been cut relatively less and so that the most essential components of all programs have been safeguarded. However, currently, this process has been carried as far as possible and cuts now anticipated are significantly reducing quality.

b) Expansion of the Professional Practice Plan of the College of Medicine, with transfer of a substantial part of the academic program costs from the State budget to the Practice Plan. The Practice Plan and reimbursement contracts from the teaching hospitals now contribute over 50% of expenditures of the College of Medicine, whereas State Education and General funds budgeted for the College are less than 25%.

The gross overdependence of the Health Sciences Center on Practice Plan revenue and teaching hospital contracts not only threatens to distort the College of Medicine's operations, but it creates a serious financial exposure. These clinically derived funds are jeopardized by the competitive pressures on both the clinical practice of the College and on the teaching hospitals.

Shortfalls in the Department of Human Service's budget creates additional problems for the College.

c) Increased support of Research is the third factor which has minimized the damage. Such support has been provided in the State's Education and General budget, from federal sources and from private individuals and foundations both locally and nationally. The increased interest in and support of the Health Sciences Center by the Oklahoma City and Tulsa business communities have had major positive impacts. Recent joint program development with a major Oklahoma foundation will have an additional, very positive effect.

#### OUTLOOK

It has been a matter of great satisfaction that so much has been done in the past several years even though resources have declined. However, it is unrealistic to anticipate maintenance of past gains, let alone continued improvement, unless the Health Sciences Center can be funded at levels that are closer to those of other health science centers, nationally.

After years with minimal to no pay increases, compensation of faculty and staff is slipping well below national averages. Overdependence on the Professional Practice Plan is creating an obvious exposure because of sharply increasing competition in the health care industry, generally. Facilities now are overutilized. Equipment, once new and of excellent quality, is becoming obsolete, with inadequate resources for maintenance and replacement. Support of new faculty and funding of research are



inadequate, while supporting service, including the library, the computers and all administrative functions have been scaled back too far. (Only 31% of the Education and General budget is used for administrative support programs, whereas the average for all higher education institutions is 41%.) For the first time, major reductions in the teaching programs have been necessary.

Although we still are making impressive progress in specific areas, the situation created for the Health Sciences Center by reduced state resources over this period of years, by similar cuts in the funding of the teaching hospitals, and by competitive pressures on the clinical practice, all begin to make our situation tenuous. We are beginning to lose key faculty, and external research support has slipped for the first time, from \$15.0 million last year to \$14.1 million in 1985-86. Further, loss of high quality faculty, additional program cuts and decreased quality will be inevitable if present conditions continue.

#### GENERAL LEVEL OF CAMPUS SUPPORT

During the past several years, the State regents have made substantial improvements in the authorized level of campus support. This has been due in part to changes which bring the allowances for faculty compensation, faculty to student ratios and other factors closer to national averages, and in part to the increased allowance for organized research.

These improvements were critical for the Health Sciences Center. However, the key factor in maintaining quality is not

the recommended budget, but the actual amount of support received, as compared with the support of those health sciences centers with which we must compete for faculty. Even with the improvements commented upon above, the level of State support remains far below the average for academic health centers nationally.

This statement can be supported from several sets of national data. In each case, information is collected from all of the nation's health science centers, including our Health Sciences Center. This data is analyzed in a consistent way and reported back to us, as indicated below.

The Association of American Medical Colleges (AAMC) maintains a comprehensive, up to date financial survey of all medical schools in the United States. The amount of state support of all state medical schools in 1983-84 was obtained by special request. The table below compares the University of Oklahoma College of Medicine with the average for the 74 state medical schools in the nation during the past three year period. The level of State funding per student reported by the AAMC at the University of Oklahoma College of Medicine was only 56% of the national average in 1982-83. Ominously, funding sank to 50% of the national average two years later, by 1984-85. Although data for 1985-86 are not available, it is most likely that the situation has become worse in the past year.

COST OF MEDICAL EDUCATION FOR STATE SUPPORTED INSTITUTIONS

<u>Academic Year</u>	<u>1982-83</u>	<u>1983-84</u>	<u>1984-85</u>
<u>Total State Support</u>			
Oklahoma	\$15,876,935	\$15,122,105	\$14,679,895
National Average	\$17,944,007	\$19,214,898	\$21,427,373
<u>Total Medical Students</u>			
Oklahoma	698	705	677
National Average	538	505	540
<u>Support per Medical Student</u>			
Oklahoma	\$22,746	\$21,450	\$20,911
National Average	\$35,956	\$38,055	\$42,295
Oklahoma as % of National Average	63%	56%	49%

It is unlikely that we can continue to retain our best faculty, or maintain quality when, year after year, support levels hover near half of the national average, and threaten to decline further.

OBJECTIVES FOR 1987-88

The basic objectives will be to improve the quality of the faculty and academic programs of the Health Sciences Center and to shape them in a way that best meets the needs of the State. Specific objectives are:

1. Faculty compensation should be brought to national average levels, and staff compensation improved.
2. It is critical, especially under conditions where overall support may not be optional, to emphasize the development of research. This is important both for the knowledge gained,

and because of the major impact of research on maintaining faculty morale.

3. The high quality of medical and professional expertise needed for a major regional center and for high quality clinical teaching must be maintained.

4. Graduate and continuing education need to be strengthened.

These four specific objectives for 1987-88 are commented upon in greater detail below:

1. Faculty Compensation. The goal is to bring the average compensation of faculty in each discipline to the national average for that discipline. That is important because we are in competition with other medical and health professional colleges nationally for high quality faculty. We cannot recruit successfully or retain our best faculty when compensation is significantly below the national average.

The Association of American Medical Colleges maintains extensive and accurate information about compensation nationally for medical school faculty, as do the comparable national associations for the other health professional colleges. The amounts shown are base pay. Total compensation would be higher, particularly in the clinical departments of the College of Medicine, because of supplemental payments from the Professional Practice Plan.

Comparison of Average Total Compensation of HSC Faculty  
with National Averages, by College, 1985-86

College	1986		Percent Difference	1987 OSRHE	Percent Difference
	National Average	1986 HSC			
				Standard	
Medicine	60,950	52,074	(15)	59,700	(13)
Dentistry	50,180	42,687	(15)	46,200	( 8)
Nursing	34,652	32,031	( 8)	34,500	( 7)
Public Health	52,296	39,659	(24)	43,800	(10)
Allied Health	33,787	30,602	( 9)	33,500	( 9)
Pharmacy	44,572	40,055	(10)	38,200	( 6)

In our budget submission, we have used the national average compensation levels shown above and added an inflation factor of 4% per year. The goal of reaching the national average is important.

2. Research. The Health Sciences Center has made striking progress in developing biomedical research in the past several years. This can be attributed to several factors. Most important, of course, is the quality of many of the faculty. Chairmen and deans who are effective in such recruiting play an important role, as do campus policies promoting and rewarding research. The State Regents' assignment of funds for organized research has been a most critical factor, both because it has facilitated the staffing of research support functions and because a significant amount of direct support of faculty research was made possible.

The State benefits substantially from the support it supplies for research. Such support is a key factor in maintaining morale and in recruiting high quality faculty, most

of whom are excellent teachers and clinicians as well as being capable scientists. Such individuals attract large amounts of support from federal and out of state private sources that would not otherwise come to the state. These external grant funds have more than tripled in the last several years, during which the state's organized research funding was increased. State funds expended for organized research in 1985-86 totaled \$1,309,870 whereas external grant and contract funding was \$14.1 million, indicating very substantial leverage.

The State could benefit economically because of the formation of new high technology companies to exploit the commercial possibilities of patents and processes developed as a result of research carried on at the Health Sciences Center. Interaction between University research and high technology industry has been economically and intellectually beneficial elsewhere in the country. Efforts to stimulate such developments here are underway and will be augmented.

Although the Health Sciences Center has substantially improved its position in respect to external funding of research, it is unrealistic to rely in the long run on federal and private resources. The Federal Government is leveling its commitment, while private industry cannot be expected to contribute to a much greater degree than in the past. Therefore, the State will have to support research in order to maintain the academic and economic benefits it derives.

Biomedical research is as integral to the Health Sciences Center and certainly benefits the State as much as does the scientific activity of the Norman and Stillwater campuses. Therefore, the amount of Organized Research funding for the Health Sciences Center in 1987-88 should be 14% of the instructional budget. This is the same amount provided for the Norman and Stillwater campuses.

3. Medical and Professional Expertise. Although critical for the Health Sciences Center and for the State, the maintenance of clinical expertise and effectiveness is supported from clinically derived funds rather than from the State's Education and General budget.

4. Graduate and Continuing Education. A significant number of continuing education programs are underway in the different colleges. However, there is a definite need to substantially increase such programs statewide, in medicine and in the other health professions. This is necessary if the Health Sciences Center is to truly fulfill its broad role of health education for Oklahoma. Given the current marginal funding of teaching programs throughout the Health Sciences Center, this can only be done with incremental funds.

The emphasis on graduate education reflects a need for more highly technically trained health professionals in most or all of the health professions. Expanded Master's education has been developed in Oklahoma City and Tulsa in both Nursing and Public Health in the past few years. Graduate dental training was

started in 1981, with a new program in periodontics scheduled for 1987. Planning for graduate training in prosthodontics is underway. This shift from emphasis on primary dentistry fits the State's needs now that the shortage of general dentists has been remedied. Current plans call for a masters program in Physical Therapy and new small doctoral programs in Nursing and Pharmacy in the next few years.

#### TULSA MEDICAL COLLEGE

The Tulsa Medical College has a vital role in maintaining the quality of medical care in the Tulsa region through residency and post graduate medical education programs, as well as by medical student education, research and clinical care. The College is supported to an even greater extent than the Oklahoma City campus from clinical and hospital sources. These are equally threatened by the new competitive hospital environment. Therefore, fully adequate state support is as critical in Tulsa as in Oklahoma City.

Over the past several years of budget reductions, a number of key faculty and staff positions have been eliminated or transferred away from the State Education and General budget. Incremental funds are recommended to reinstate the most critical of these positions.

#### FAMILY PRACTICE CLINICS

The amount of support for the Family Practice Clinics in Oklahoma City and Tulsa are compared below (to update).



<u>Clinic</u>	<u>Total Support</u>	<u>Patient Visits</u>	<u>Support Per Visit</u>	<u>FTE Students</u>	<u>Per FTE Student (\$x1000)</u>
OKC-Central	\$772,326	14,500	\$53.3	49	\$15.8
OKC-North	\$259,760	8,000	\$32.5	18	\$14.4
Sheridan	\$177,932	13,700	\$13.0	18	\$ 9.9
Marina	\$296,733	15,000	\$19.8	26	\$11.4

It is evident that the Sheridan and Marina clinics in Tulsa have significantly less support per patient or per FTE student than the Oklahoma City clinics. However, these clinics in Tulsa are used in the same way as in Oklahoma City for medical student and first year residency teaching, and their need for financial support is the same. It is recommended that the support per FTE student of the Tulsa clinics be brought to the same level as the average for the Oklahoma City clinics.

#### ENROLLMENT TRENDS

The shortage of physicians and dentists of the 1950's and 1960's has been largely remedied, in part because the number of medical and dental students in this country has been increased, and in part because of immigration of foreign graduates. The perception has shifted now to that of an oversupply of physicians and dentists. This oversupply is one of the factors which has contributed to greatly increased competition in the health care industry, with reduced income and reduced autonomy projected for physicians and dentists in the future. All this has made careers as doctors and dentists seem less attractive, and caused the number and quality of applicants to decrease nationally, with a 31% decrease in dental school applicants since 1981 and an 8.5% decrease in medical school applicants since 1984.

Even more severe changes have occurred in Oklahoma. The number of in state applications have dropped by 24% for medical school and by 35% for dental school since 1981. The academic quality of applicants has also decreased. As a result, neither the medical nor dental class has filled in the past two years. The authorized medical class size is 176 students, but only 138 matriculated last year and 150 will this fall, while the dental class has had 55 students rather than 72 last year and this.

Although there continues to be a projected undersupply of baccalaureate nurses, we have experienced a reduction in applicants to Nursing School in the past two years as well. This also reflects a national trend of decreasing nursing college enrollments thought by many to be because of the greater variety of career options being selected by women these days. Primarily because of the drop off in applicants, the number of entering baccalaureate students has been reduced from 138 last year to an estimated 100 this fall.

The three tables below give details about the applicants and matriculants of these three programs:

Table I. Dental School (D.D.S.) Class Entering in the Fall.

Applicants	<u>1983</u>	<u>1984</u>	<u>1985</u>	<u>1986</u>
Residents	82	69	76	75
Non-residents	<u>289</u>	<u>271</u>	<u>313</u>	<u>237</u>
TOTAL	371	340	389	312
Matriculants				
Residents	57	47	45	44
Non-residents	<u>15</u>	<u>13</u>	<u>10</u>	<u>11</u>
TOTAL	72	60	55	55

N

Average GPA of Entering Class	3.17	3.20	3.27	3.24
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Table II. Medical School (M.D.) Class Entering in the Fall.

Applicants	<u>1983</u>	<u>1984</u>	<u>1985</u>	<u>1986</u>
Residents	374	381	312	303
Non-residents	<u>329</u>	<u>467</u>	<u>538</u>	<u>529</u>
TOTAL	703	848	850	832

Matriculants

Resident	164	160	118	133
Non-residents	<u>12</u>	<u>16</u>	<u>20</u>	<u>17</u>
TOTAL	176	176	138	150

Average GPA of Entering Class	3.55	3.58	3.61	3.57
----------------------------------	------	------	------	------

Minority Composition:

Blacks	5	6	6	7
Other Minority	10	14	5	6

Table III. Nursing School (B.S.) Class Entering in the Fall.

Applicants	<u>1983</u>	<u>1984</u>	<u>1985</u>	<u>1986</u>
Residents	252	224	197	150
Non-residents	7	<u>9</u>	<u>16</u>	<u>18</u>
TOTAL	259	233	213	168

Matriculants

Residents	149	136	130	--
Non-residents	<u>3</u>	<u>2</u>	<u>6</u>	<u>--</u>
TOTAL	152	138	136	100

Average GPA of Entering Class	3.01	2.91	2.94	--
----------------------------------	------	------	------	----

Enrollment in other programs has held steady or increased, so that the total enrollment at the Health Sciences Center has remained almost steady, even considering the reductions in Medicine, Dentistry, and Nursing. These changes are summarized below:

ENROLLMENT DATA FOR THE OKLAHOMA CITY CAMPUS (Not including students at Tulsa)

	<u>1985-86</u>	<u>1986-87</u>	<u>1987-88</u> <u>Projected</u>
Medical Students	585	545	517
Residents (Head Count)	491	500	500
Physician Associate (Head Count)	28	35	35
Dentistry Students (Head Count)	263	236	222
Dental Hygiene Students	30	34	36
Nursing Students:			
Undergraduate (Head Count)	287	250	200
Graduate (Head Count)	107	110	80
College of Public Health (includes students at Tulsa)	299	290	325
College of Allied Health:			
Undergraduate (Head Count)	337	338	360
Graduate (Head Count)	79	90	90
College of Pharmacy:			
Undergraduate (FTE)	196	220	229
Graduate (Head Count)	20	20	30
Graduate College of Medical and Dental Science Students (FTE)	76	90	90
TOTAL	2,798	2,758	2,714

UNIVERSITY OF OKLAHOMA HEALTH SCIENCES CENTER

Educational and General Budget Summary

For the Fiscal Year 1987-88

Primary Budget Needs -----	1986-87	1986-87		1987-88	INCREASE AMOUNT	% OF INCREASE OVER 87 BUDGET
	BUDGET	OUHSC REQUEST	OSRHE REQUEST	OUHSC REQUEST		
College of Medicine	\$12,638,616	\$18,274,857	\$15,645,883	\$16,722,317	\$4,083,701	32.3
Tulsa Medical College	3,799,804	5,305,912	4,594,789	5,540,114	1,740,310	45.8
Family Medicine Clinics	2,561,334	3,339,773	3,038,166	3,444,623	883,289	34.5
College of Dentistry	5,159,988	7,216,376	6,087,693	7,479,306	2,319,318	44.9
College of Nursing	2,960,667	4,951,414	4,434,771	3,726,569	765,902	25.9
College of Public Health	1,683,761	3,742,408	2,670,665	3,893,183	2,209,422	131.2
College of Allied Health	2,499,837	3,759,181	3,451,531	3,780,605	1,280,768	51.2
College of Pharmacy	1,504,538	2,249,896	1,539,534	2,400,182	895,644	59.5
Grad Col of Med & Dent Sci	1,055,908	1,184,445	1,007,757	1,215,372	159,464	15.1
Organized Research	1,533,939	4,587,740	3,147,111	5,490,455	3,956,516	257.9
Continuing Education	217,235	620,000	303,466	620,000	402,765	185.4
Library	1,076,703	1,761,839	1,285,356	1,761,839	685,136	63.6
General Administration	2,340,268	2,745,465	2,531,709	2,632,977	292,709	12.5
General Expense	2,883,694	3,266,041	3,141,810	3,267,482	383,788	13.3
Physical Plant	6,809,178	8,447,508	8,452,737	8,790,846	1,981,668	29.1
TOTAL OKC BUDGET NEEDS	<u>\$48,725,470</u>	<u>\$71,452,855</u>	<u>\$61,332,978</u>	<u>\$70,765,870</u>	<u>\$22,040,400</u>	45.2

LAW CENTER  
 EDUCATIONAL AND GENERAL  
 1987-88 OPERATING BUDGET NEEDS

Salaries and Wages Improvement			
Faculty		\$330,996	
Professional Staff		49,784	
Classified Wages		52,564	
		-----	\$433,344
Graduate Assistant Support & Student Wages			25,000
Associated Employee Benefits			78,885
Maintenance & Operations Budget			108,008
Renovation & Repair of Space			200,000
Instructional Equipment			100,000
Utilities			25,000
Paralegal			10,000
Continuing Legal Education			24,764
New Programs			25,000
Library Acquisitions			70,000
Restoration of Prior Year Budget Reductions			
Faculty	1.0 FTE	40,000	
Part-Time Faculty		30,000	
Professional Staff	1.5 FTE	38,000	
Classified Wages	2.0 FTE	25,187	
Associated Employee Benefits		8,100	
Maintenance & Operations		110,028	
Library Acquisitions		46,500	
1987-88 Improvements		36,902	
		-----	334,717
New Positions			
Faculty	1.0 FTE	70,000	
Professional Staff	1.2 FTE	42,683	
Classified Wages	2.0 FTE	25,455	
Associated Employee Benefits		30,329	
Support for New Position		7,500	
		-----	175,967
			-----
1987-88 PROPOSED BUDGET INCREASE	38.1%		\$1,610,685
1986-87 BUDGET (less enrichment reserve)			4,212,506
			-----
1987-88 PROPOSED BUDGET			\$5,823,191
Less 1986-87 State Appropriation			(2,971,825)
Less 1987-88 Chargeable Revolving Fund			(876,220)
Less 1987-88 Student Enrichment Fee			(40,000)
			-----
PROPOSED INCREASE IN STATE APPROPRIATION	65.1%		\$1,935,146
			-----

8

## LAW CENTER

1987-88 Request

\$1,935,146

The faculty salary goal for the College of Law, which has been accepted by the State Regents in their planning for the last five years, was to reach the average of the top twenty-five percent of the American Bar Association approved law schools. This is still the goal for the College of Law, but it is unrealistic for 1987-88. To reach that salary level would require an average faculty salary increase of 52 percent. In 1987-88, the average faculty salary for the top 25 percent of the ABA approved law schools will be approximately \$72,200. The average faculty salary at the College of Law ranked 77th of 172 reporting schools in 1983-84. In 1984-85 it fell to 107th of 170 reporting schools. The average faculty salary increased by 8 percent in 1985-86; however, the rank of the College of Law fell substantially to 125th place among 171 approved schools reporting to the ABA. In 1986-87, with no salary increases, the College of Law average faculty salary will likely rank in the bottom 15% of ABA approved law schools.

Among the Big Eight law schools, the University of Oklahoma ranks at the bottom in average faculty salaries. In 1986-87, the average faculty salary at the other four Big Eight schools will be \$54,323. The goal of the College of Law for faculty salary increases is to equal the anticipated average annual salary of the other four Big Eight law schools in 1987-88. To accomplish this, the average faculty salary must be increased by 20 percent. This increase is essential if the College of Law is to retain its faculty and when necessary replace losses with highly qualified professors.

One new faculty position has been requested. This position is to be funded at a top salary level. The College of Law has lost many of its most distinguished faculty members in the last few years. Some have been replaced with entry level faculty members. If the quality of the college is to remain at a high level, experienced faculty members with national reputations must be employed.

The salary and wages of the Law Center's professional and classified staff must be upgraded to remain competitive in the Oklahoma City metropolitan area. In order to do so, the salaries of the professional staff must be increased by an average of 14.6 percent and the wages of the classified staff must be increased by an average of 16.9 percent. Students wages for legal research and writing instructors, legal research assistants, and other law student employees will need to be increased by 20 percent. Student wages have not been increased in five years and are completely out of line when compared to the student wages paid by Oklahoma City law firms.

R



The Oklahoma Bar Association recently passed mandatory continuing legal education for its membership. With the increase in demand for courses/seminars, a professional position will need to be added to the Law Center's Continuing Legal Education department to assist in program development. Additional funding is needed to support the costs of the increased course offerings.

The position of the Director of the Legal Assistant Training Division of the Law Center will need to be increased to full time to meet the increased demands for legal assistantship training.

To provide increased service to law students and to the practicing lawyers in the state, two new classified staff positions will be required. The book budget will need to be increased, but because of space constraints most of the increase will be devoted to non-book materials, such as video disks and video cassettes. Additional cost will be incurred as the library places more reliance on alternate means of document delivery.



The College of Law will begin a program of computerized instruction in a number of regular courses. It is necessary to purchase equipment and to make some building modifications to accomplish this.



THE UNIVERSITY OF OKLAHOMA NORMAN CAMPUS  
EDUCATIONAL AND GENERAL  
1987-88 OPERATING BUDGET NEEDS

Salaries and Wages Improvement		\$6,903,440
Associated Employee Benefits		1,725,860
Graduate Assistant Support		
Restore 80 FTE lost in 1986-87	\$958,080	
Graduate Assistants    60 FTE	718,560	
GA Salary Base Adjustment (16%)	795,652	2,472,292
Maintenance & Operations Budget	-----	
Academic Area: Restore to 1982-83 level with projected inflation of 4.3%		3,214,877
Partial Restoration of Academic Positions		
45 FTE Faculty		1,877,580
Library		
Restore to 1982-83 level plus 4.3% inflation		1,360,979
Computing Services		
Restore to 1982-83 level plus 4.3% inflation		1,621,932
Organized Research Support & Equipment		3,300,000
Utilities		312,237
Physical Plant Operations		1,283,262
Administration & General Expense		1,120,000
University Center at Tulsa		406,860
Continuing Education & Public Service		1,486,275
Special Projects		573,650
Other Academic Support		1,037,561
		-----
1987-88 PROPOSED BUDGET INCREASE	32.5%	\$28,696,805 a
1986-87 BUDGET		88,258,795 b
Less CE&PS Non-chargeable Revolving Fund		(4,376,178)
		-----
1987-88 PROPOSED BUDGET		\$112,579,422
Less 1986-87 State Appropriation		(61,223,974)
Less 1987-88 Chargeable Revolving Fund		(21,579,234)
		-----
PROPOSED INCREASE IN STATE APPROPRIATION	48.6%	\$29,776,214
		=====

a Does not include \$2,042,551 of 1985-86 savings carried forward in FY 1986-87.

b Includes \$2,042,551 of 1985-86 savings carried forward in FY 1986-87.  
Restoration of this amount is necessary to prevent further cuts in faculty, staff, Library acquisitions, Computing Services and other critical programs.

T

**SALARIES, WAGES AND BENEFITS**

**1987-88 Request**

<b>Salaries and Wages Improvement</b>	<b>\$6,903,440</b>
<b>Associated Employee Benefits</b>	<b>\$1,725,860</b>

The stature of the University of Oklahoma and the quality of its graduates depends primarily upon the quality of the faculty and staff. The ability to retain and improve the fine quality of the faculty and staff is directly related to the University's ability to reward existing employees and to compete with other institutions of higher learning across the country. Unfortunately, faculty and staff have experienced no pay increases for three of the past four years.

This request for a 14 percent average increase in faculty and staff salaries would place the university in the top third of the Big Eight Universities and it would raise staff salaries competitively to near the middle of the recruitment market. Currently the University of Oklahoma ranks below all Big Eight AAU Universities in estimated average faculty salaries. Anticipated increases of at least 4.3 percent for Big Eight Universities in fiscal year 1987-88 will widen the salary gap between the University of Oklahoma and the Big Eight average if this request is not funded.

Estimated average salaries for 1987-88 indicate that with no progress the University of Oklahoma will be 9.94 percent below the top third of Big Eight average faculty salaries and 21.34 percent below the average for national AAU Public Universities.

Estimated 1987-88 Faculty Salary Needs

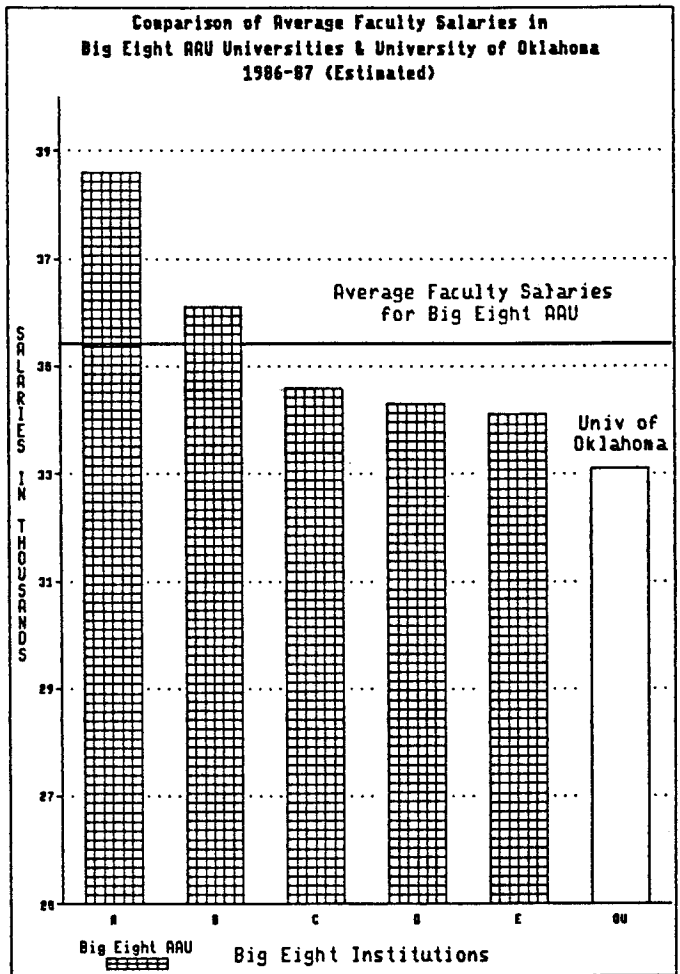
OU Avg Salary for Ranked Faculty	Constructed Big Eight Top 1/3 Avg Salary	Percentage Below Market
\$34,158	\$37,554	9.94%
OU Avg Salary for Ranked Faculty	Constructed AAU Public Avg Salary	Percentage Below Market
\$34,158	\$41,446	21.34%

Source: Institutional Research

To successfully compete for competent faculty, the University must, at a minimum, compete with other Big Eight Universities. This increase would allow achievement of that goal and place the University within reach of the national average faculty salaries for AAU Public Universities.

Monthly and hourly employees' compensation levels are even less competitive than that of faculty salaries. A fiscal year 1986-87 Pay Plan Survey conducted by Personnel Services reflects that a 14.6 percent increase for monthly employees and a 16.9 percent increase for hourly employees would be required to compete in the job market. Ability to pay in the middle of the market is required to recruit employees capable of delivering quality programs for students, faculty, staff and the general public, and to prevent high turnover which jeopardizes the quality of programs and services.

The 14 percent increase requested is an average for faculty and staff groups. The associated employee fringe benefit amount requested includes fixed increases in FICA, but no increases in the benefits package.



**GRADUATE ASSISTANT SUPPORT**

**1987-88 Request**

	<u>FTE</u>	<u>Amount</u>
Restore GA's lost in 86-87	80.0	\$ 958,080
Graduate Assistants	60.0	718,560
Increase Stipend 16%		<u>795,652</u>
		<u>\$2,472,292</u>

Graduate teaching and research assistants are essential to the University's national academic standing as an institution of higher education. Maintaining status as a comprehensive research university demands qualified graduate research assistants to operate labs, develop computer programs, prepare materials and conduct tests under the direction of faculty. Graduate teaching assistantships allow the University to offer a variety of quality courses that might otherwise not be available to students.



Funding of Graduate Assistantships is a high priority of the University because they are essential to the University's operation of superior graduate programs. It is necessary that stipends provide a sufficient level of financial support to graduate students to attract qualified individuals and to prevent loss of good students to other institutions. The number of available full time graduate assistantships must be increased in order that the University can maintain excellence that it has demonstrated.

Unfortunately, 166 full time graduate assistantship positions have been lost since FY 1982-83. Stipends have increased only one of four years since that time and the University remains far below averages for doctoral granting institutions. In FY 1982-83, the University of Oklahoma ranked second in the Big Eight by level of graduate assistant stipend. The rank for graduate teaching assistant stipends dropped in FY 1985-86 to last in the Big Eight.

This request would restore the 80 FTE graduate assistantships lost in 1986-87 as well as add 60 FTE to further restore losses since 1982-83 and to support development of graduate programming. The request to increase stipends by 16 percent would make the University more competitive with the Big Eight and Big Ten AAU Universities in attracting quality graduate students. An increase of 26 percent would be required to compete with the estimated average stipend for Big Eight and Big Ten AAU institutions for 1987-88. Graduate Assistant funding will remain a priority until the University is able to maintain a ranking within the top third of Big Eight and Big Ten institutions.

W

**MAINTENANCE & OPERATIONS BUDGET**

**1987-88 Request**

<b>M&amp;O (Academic) to 1982-83 levels</b>	<b>\$3,082,337</b>
<b>4.3 percent inflation for 1987-88</b>	<b>132,540</b>
	<b><u>\$3,214,877</u></b>

The University's Maintenance & Operations Budgets for academic areas are insufficient to support both the instructional and research functions. Maintenance and operations funds must pay telephone and communications expenses as well as stretch to provide paper for tests and handouts, chemicals for organic chemistry and zoology, films for special classes, small computers to enhance research and instruction and high technology equipment for engineering, physics and other sciences.

The Provost area has experienced severe reductions in maintenance and operations over the past several years in an attempt to prevent larger reductions in faculty positions. Based on constant dollars, an increase of approximately 55 percent will be required in the Maintenance and Operations Budget to restore funding to FY 1982-83 levels.

**Comparison of Constant Dollars  
M&O Budgets - Academic Area**

Fiscal Year	CPI (1971=100)	M&O Budgets	
		Actual \$	Constant \$
1987	287.9	5,629,217	5,629,217
1986	276.8	6,229,015	6,478,806
1985	266.2	5,555,321	6,008,178
1984	256.2	6,335,433	7,119,325
1983	247.1	7,476,989	8,711,554

NOTE: The consumer price indexes for 1986 and 1987 are estimated on the basis of a 4 percent yearly inflation rate.

After inflation adjustment, the 1986-87 M&O Budget is the lowest since FY 1978. To maintain the FY 1987 M&O Budget at the FY 1983 level, an additional \$3,082,337 is needed.

**ACADEMIC POSITIONS**

**1987-88 Request**

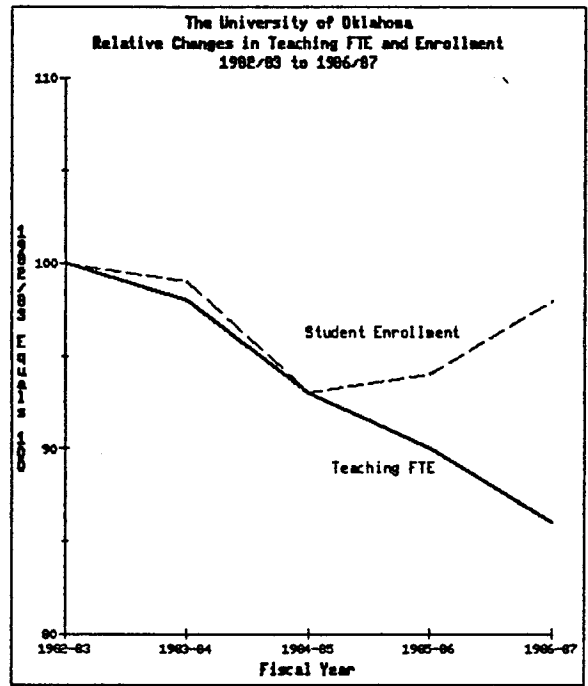
**Partial Restoration  
of Academic Positions**

<u>FTE</u>	<u>Amount</u>
45	\$1,877,580

Since FY 1982-83, approximately 100 faculty positions were lost on the Norman Campus. As a result of these losses and recent increases in enrollment, the University has fallen far below recommended levels in faculty-student ratios for comparable institutions.

It would be necessary to fund over 100 full time faculty positions to reach the same faculty-student ratio as in FY 1982-83, although it was below desirable levels at that time. Projected enrollment for 1987-88 has exceeded the 18,000 levels for the Norman Campus which is equal to enrollment for 1982-83.

This request would restore 45 full time faculty positions or 45 percent of those lost since FY 1982-83. The need exists for a minimum of 100 faculty positions in order to reach the standard faculty-student ratio of 17 to 1 recommended by the Oklahoma State Regents in the FY 1986-87 Operating Budget Needs. However, because of recent state economic conditions and the time frame required for recruiting and selecting top caliber individuals, the 45 requested is more realistic for FY 1987-88. Addition of Graduate Assistants could also lessen the burden. It is a primary goal of the University to attain a faculty-student ratio that meets or exceeds the standard recommended for comparable institutions.



**LIBRARY**

**1987-88 Request**

<b>Collections Development and</b>	
<b>New Acquisitions to 1982-83 levels</b>	<b>\$1,304,870</b>
<b>4.3 percent inflation for 1987-88</b>	<b>56,109</b>
	<b><u>\$1,360,979</u></b>

The University Library, Norman Campus, has been a top priority in funding since 1978 when it resided near the bottom of 104 research libraries in operational budgets. Tremendous progress was made and the budget was doubled by 1982-83, but the ranking again dropped to 97th by 1984-85. The same 1984-85 comparisons ranked the University Library at 81st in volumes added and 99th in salary and wage expenditures.

Four of the past five years of budget shortfalls have threatened the Library's collections and the acquisition budget for both books and serials. Maintenance of current serial subscriptions (journals and periodicals) would require a \$250,000 per year increase. In FY 1984-85, the University of Oklahoma ranked last in Big Eight Research Libraries in current serials. New acquisitions have also fallen behind standards requiring a large amount of funding to compensate for years of standstill or cutback budgets. Attempts have been made to protect the Library from cuts, but it could not be spared altogether without seriously impacting the other areas of instruction.

Funds are requested to bring the Library's funding level to that of FY 1982-83 which will allow the University of Oklahoma to move upward in national rankings. Of the \$1,360,979 requested, \$758,777 would fund new acquisitions. The remaining \$602,202 would fund collections development, communications and data processing.

**Comparison of Constant Dollars  
Library**

Fiscal Year	CPI (1971=100)	Library	
		Actual \$	Constant \$
1987	287.9	3,328,497	3,328,497
1986	276.8	3,585,446	3,729,227
1985	266.2	3,203,475	3,464,615
1984	256.2	3,825,875	4,299,256
1983	247.1	3,976,745	4,633,367

NOTE: The consumer price indexes for 1986 and 1987 are estimated on the basis of a 4 percent yearly inflation rate.



**COMPUTING SERVICES**

**1987-88 Request**

<b>Restore budget to 1982-83 levels</b>	<b>\$1,555,065</b>
<b>4.3 percent inflation for 1987-88</b>	<b>66,867</b>
	<b><u>\$1,621,932</u></b>

Each year teaching and research faculty depend more heavily on computing. Instruction and research now constitute approximately 75 percent of the Computing Services budget.

Since FY 1982-83 funding has dropped as demand in academic areas has increased. If funding is not increased service will eventually deteriorate and will be provided on a limited basis. In effect, this will eliminate development of new applications, new users will be severely limited, and current users will suffer lengthy delays.

In 1985-86 because of continued budget constraints of the University, Computing Services was compelled to continue most of the austerity measures implemented during previous years in response to funding shortages. Staff turnover remained higher than normal last year as the demand for technical staff, particularly in states other than Oklahoma, continued to be quite high. As the University falls further behind in salary levels for technical and professional staff and in advancement of technology, collective years of experience and degree of skills of the staff will diminish.

The request for Computing Services funding of \$1,621,932 will restore the budget to the FY 1982-83 level. This amount will fund recurring equipment costs such as controllers and terminals in research and academic areas as well as a shortfall in funding which supports continuing operations, particularly maintenance of equipment. Other obsolete equipment such as line printers, tape drives and disk drives would also be replaced.

Funding of annual equipment replacement and maintenance must be accomplished before demand and growth requirements are satisfied. Burgeoning research and instruction requirements cannot be addressed until an adequate funding level is restored.

Comparison of Constant Dollars  
Computing Center



Fiscal Year	CPI (1971=100)	Computing Center	
		Actual \$	Constant \$
1987	287.9	3,207,324	3,207,324
1986	276.8	3,660,630	3,807,425
1985	266.2	3,547,673	3,836,871
1984	256.2	3,742,208	4,205,237
1983	247.1	4,087,483	4,762,389

NOTE: The consumer price indexes for 1986 and 1987 are estimated on the basis of a 4 percent yearly inflation rate.

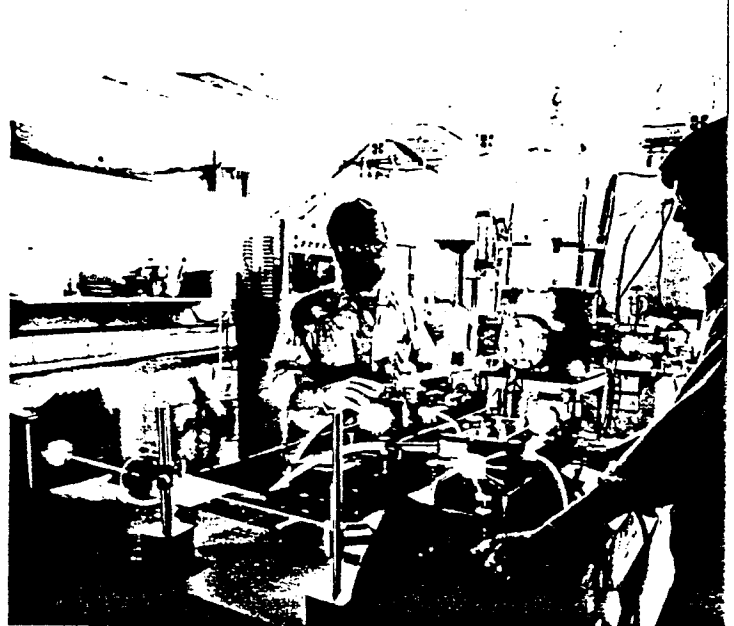
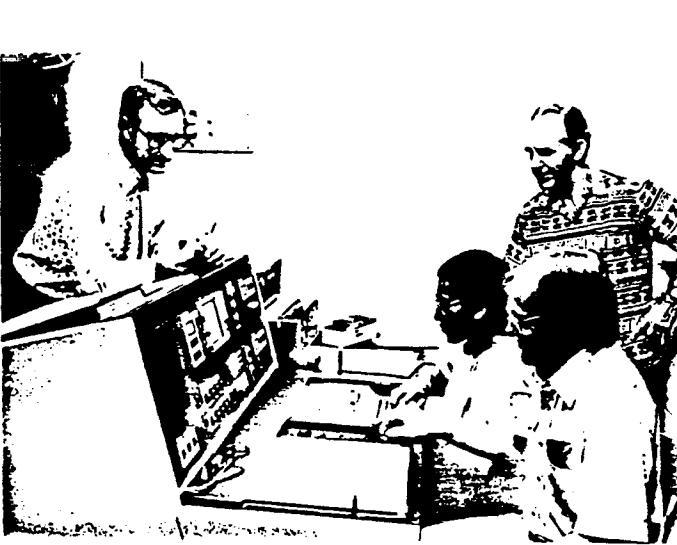


## ORGANIZED RESEARCH SUPPORT

### 1987-88 Request

Organized Research Equipment	\$3,000,000
Organized Research	<u>300,000</u>
	\$3,300,000

Much of the Norman Campus' organized research units not only support economic development for the state, but provide unusual and valuable public service and information. Several units have grown in scope and stature in recent years such as the Institute for Dryland Development, the Environmental Groundwater Institute and the Oklahoma Climatological Survey. Support for research units has become increasingly difficult because of budget cutbacks and the increasing faculty-student ratio. Equipment needs for research are especially critical. A minimum of \$3 million is required on an annual basis to replace obsolete and worn equipment due to normal wear and tear.



Several new additions to the University's research effort were made in the past year. Formation of the Office of Business and Industrial Cooperation and of the Political Communications Center which houses the Kanter Collection political campaign tapes will enhance return of the State's investment in research activities. The additional \$300,000 requested will partially restore past reductions in staff support and maintenance and operations which will provide a stronger base for further growth in organized research.

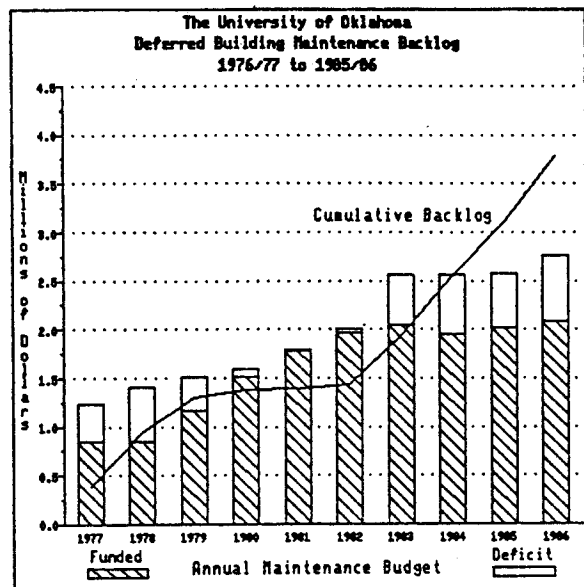
**UTILITIES AND PHYSICAL PLANT OPERATIONS**

**1987-88 Request**

	<u>FTE</u>	<u>Amount</u>
<b>Utilities</b>		\$ 312,237
<b>Deferred Maintenance</b>	9	503,456
<b>Materials and Contractual</b>		363,909
<b>Maintenance Positions</b>	18	415,897
		<u>\$1,595,499</u>

The Physical Plant function is responsible for maintenance of an attractive and secure physical environment which is conducive to learning, research development and service to the public. Services provided include facilities planning and design, building maintenance, grounds care and energy conservation.

New facilities and budget cutbacks have placed a strain on Physical Plant service since FY 1982-83. It has often been necessary to defer funds for maintenance of physical facilities toward operation of current programs. This has created a deferred maintenance backlog of over \$3.7 million. The rapidly accelerating maintenance backlog is currently evident in some of the streets, sidewalks, curbs, bowed brick retaining walls and rotting wood windows in older structures. This situation effects much higher replacement and repair costs to facilities than would be necessary if maintenance is performed on a scheduled, timely basis. The \$503,456 requested for deferred maintenance includes funding of materials and 9 FTE skilled craftsmen to help reduce the ten year backlog. The level of funding for 1985-86 supported only 38.5 FTE skilled craftsmen for 3 million square feet of space, the lowest level of funding in the Big Eight.



An additional \$363,909 is requested for materials and contractual services displaced because of the shift to fund utilities for the O.U. Energy Center and the Stanley B. Catlett Sr. Music Center which both opened for classes in FY 1986-87. In the current budget approximately 18 FTE custodial, landscape and maintenance positions were reassigned to the new Energy and Music buildings. Funds in the amount of \$415,897 are requested for 18 FTE positions to resume an adequate service level for the total campus and to provide basic trades maintenance for the new buildings.

A 5 percent increase totaling \$312,237 is also recommended for projected utility increases for FY 1987-88. Conservation efforts have contained some costs in the past, but they cannot completely eliminate cost increase due to rate changes by major utility companies and increased computer and research demands throughout the campus.

CC

**ADMINISTRATION & GENERAL EXPENSE**

**1987-88 Request**

	<u>FTE</u>	<u>Amount</u>
Staff Positions	15	\$ 470,000
M&O Budgets		650,000
		<u>\$1,120,000</u>

The functions of the Norman Campus Administration and General Expense include a broad number of activities which support the instructional, research and service programs of the Norman Campus as well as the total University system. The President and his staff have overall responsibility for leadership and for insuring proper management and accountability of all University units. Student



Affairs provides special student services and preserves the quality of student life on campus. University Affairs is responsible for fund raising, University development, alumni relations, media services, student recruiting, and records maintenance. Other administrative support services focus on acquisition, control and management of resources such as accounting, budget, purchasing and personnel. Administrative and general support areas have lost approximately 200 full-time positions during the past four budget years and over \$1 million have been reduced from maintenance and operations funds.

This request recommends the replacement of 15 positions in administrative and general areas at a cost of \$470,000, less than 10 percent of the full-time positions lost since FY 1982-83. These positions would be placed in critical areas that support students, promote safety and those areas that support academic units in purchasing supplies and equipment, hiring personnel and managing budgets. Funds will be directed toward areas recently charged with additional responsibilities by the State and the Federal Government. Additionally, Federal cutbacks in financial aid will place more demands on Minority Services, Career Planning and Placement Services and the Counseling Center.

The \$650,000 for Maintenance and Operations is necessary for restoration of a portion of operating funds given up in non-academic areas. Additional equipment is also necessary for enforcement of Occupational Safety and Health Act Statutory requirements, records maintenance, contractual services and small computer equipment to improve efficiency.

UNIVERSITY CENTER AT TULSA

1987-88 Request

	<u>FTE</u>	<u>Amount</u>
Staff Support	2.90	\$ 77,560
Travel Expense		69,800
Academic Unit Cost		<u>259,500</u>
		<u>\$406,860</u>

Senate Bill 480 of the 1982 Oklahoma Legislature established the University Center at Tulsa. The four institutions designated by the Oklahoma State Regents for Higher Education to support the Center are Langston, Northeastern, Oklahoma State University, and The University of Oklahoma. Each institution provides quality direct and indirect instructional support as a public service to commuter students in the Tulsa area.

In 1987-88 an expansion of the University of Oklahoma's degree program to include a Master of Arts in Economics - Managerial Economics; Master of Liberal Studies; Master of Architecture - Urban Design; Bachelor of Liberal Studies; Master of Human Relations; Master of Arts in Communication; Bachelor of Arts in Classics; Master of Music; and Master of Music Education is proposed.

This expansion is based on sample surveys of professional needs assessments conducted by the University Center at Tulsa and various other institutions. Based on the analysis of the surveys executed in Tulsa and surrounding areas, the 1987-88 enrollment projection of 352 FTE reflects an increase of 129 student FTE or 58 percent. The addition of a full-time Counselor/Recruiter, a .65 FTE administrative staff position and a 1.25 FTE clerical position would provide the staff support required for the program expansion.

The needs identified for the Center far exceed the funding provided to support the current programs in Library Science, Social Work, Public Administration, and Journalism. Approval of this funding request would allow the Center to address the demands for enhancement of existing programs and the development of new programs to better meet the requirements of the students attending the University Center at Tulsa.

EE

## CONTINUING EDUCATION & PUBLIC SERVICE

1987-88 Request

\$1,486,275

The delivery of courses for academic credit throughout Oklahoma is a major responsibility of the University's Continuing Education and Public Service unit. On-site instruction, independent study, short courses, seminars, workshops and conferences developed by CE&PS serve approximately 40,000 individuals each year. Support services such as admissions, registration, record maintenance, financial services, and management information systems must all be strengthened to respond to increased workloads and demands of the service units.



## SPECIAL PROJECTS

1987-88 Request

\$ 573,650

Project Threshold has received over \$3 million in federal funds since 1969, and the past three-year contract (1985-87) would not have been funded without a \$50,000 per year matching commitment for 1986 and 1987. In light of possible termination of federal funds, the amount of \$100,000 is requested to continue counseling and advisory services for minority/disadvantaged students. Additionally, as part of our continued commitment toward the state-wide desegregation plan, the Norman Campus is proposing the establishment of a minority graduate student recruitment office with \$100,000 in fellowship funds to augment existing support for graduate students. Funds are recommended as well to expand Summer Session and Evening Programs to increase programming for non-traditional students.

## OTHER ACADEMIC SUPPORT

1987-88 Request

\$1,037,561

Additional funding is requested for resources and services which support the University's academic program. Funds for the Stovall Museum, the Fred Jones Art Center and the Archaeological Survey are requested to increase the percentage of E&G funds expended on these important programs. Funds for expanded transfer-student counseling and instructional support are also requested.

FF

## ENROLLMENT

It has been a long-standing objective of the University of Oklahoma and of the Oklahoma State Regents for Higher Education that the University increase its emphasis on graduate and upper division instruction. Inherent in this objective is the need to achieve higher quality among incoming students by raising admissions standards, initially for specific academic programs and eventually for the University as a whole. In light of these objectives and policies, total enrollment for 1987-88 is projected to increase only slightly over 1986-87. We will continue efforts to shift enrollment from the lower division into the upper and graduate divisions.

### FTE STUDENT ENROLLMENT NORMAN CAMPUS (EXCLUDING LAW) BY COURSE LEVEL

	Lower Division	Upper Division	Graduate Division	Total	Percent Change
1982-83	9,808	6,219	2,282	18,309	2.0
1983-84	9,203	6,213	2,317	17,733	-3.1
1984-85	8,410	5,819	2,211	16,440	-7.3
1985-86	8,500	5,955	2,605	17,060	3.6
1986-87 (est)	8,600	6,100	3,000	17,700	3.6
1987-88 (proj)	8,700	6,250	3,050	18,000	1.7

### FTE STUDENT ENROLLMENT NORMAN CAMPUS (EXCLUDING LAW) BY STUDENT LEVEL

The following schedule reflects enrollment projections based on student level which again reflects the emphasis on graduate and upper division work.

	Lower Division	Upper Division	Graduate Division	Total	Percent Change
1982-83	7,903	7,508	2,866	18,277	
1983-84	7,328	7,628	3,304	18,260	-0.1
1984-85	6,596	7,295	3,168	17,059	-6.6
1985-86 (est)	6,750	7,357	3,069	17,176	0.7
1986-87 (est)	6,850	7,500	3,500	17,850	3.9
1987-88 (proj)	6,950	7,650	3,550	18,150	1.7

OKLAHOMA GEOLOGICAL SURVEY  
EDUCATIONAL AND GENERAL  
1987-88 OPERATING BUDGET NEEDS

Salaries and Wages Improvement	\$159,599
Associated Employee Benefits	31,263
Maintenance & Operations Budget	12,005
New Positions                    4.0 FTE	138,984
Increase in Water Coop Program	15,000
Field and Laboratory Equipment	45,600
	-----
<b>1987-88 PROPOSED BUDGET INCREASE    22.3%</b>	<b>\$402,451</b>
<b>1986-87 BUDGET</b>	<b>1,800,906</b>
	-----
<b>1987-88 PROPOSED BUDGET</b>	<b>\$2,203,357</b>
Less 1986-87 State Appropriation	(1,587,741)
Less 1987-88 Chargeable Revolving Fund	(150,000)
	-----
<b>PROPOSED INCREASE IN STATE APPROPRIATION                    29.3%</b>	<b>\$465,616</b>
	-----

PROGRAM BUDGET ANALYSIS	FY 1986-87	FY 1987-88	% Increase
	-----	-----	-----
Admin & Central Office Expense	\$86,084	\$97,612	13.4%
Basic Geologic Investigations	228,210	269,904	18.3%
Industrial Mineral Investigations	127,242	172,424	35.5%
Coal Investigations	242,437	308,947	27.4%
Environmental Geologic Studies	139,412	191,002	37.0%
Petroleum Investigations	336,395	387,709	15.3%
Basic Research	230,603	276,376	19.8%
Oklahoma Geophysical Observatory	119,174	147,538	23.8%
Public Information & Assistance	64,082	102,747	60.3%
Cooperative Wager Resources Program	150,000	165,000	10.0%
Core & Sample Library	77,267	84,098	8.8%
	-----	-----	
<b>TOTAL E&amp;G BUDGET</b>	<b>\$1,800,906</b>	<b>\$2,203,357</b>	<b>22.3%</b>
	-----	-----	
<b>ESTIMATED REVENUE</b>			
OGS Reserve	\$1,366	--	
Projected Carryover	61,799	--	
Revolving Fund	150,000	150,000	
State Appropriation	1,587,741	2,053,357	29.3%
	-----	-----	
<b>TOTAL REVENUE</b>	<b>\$1,800,906</b>	<b>\$2,203,357</b>	<b>22.3%</b>
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HH

OKLAHOMA GEOLOGICAL SURVEY

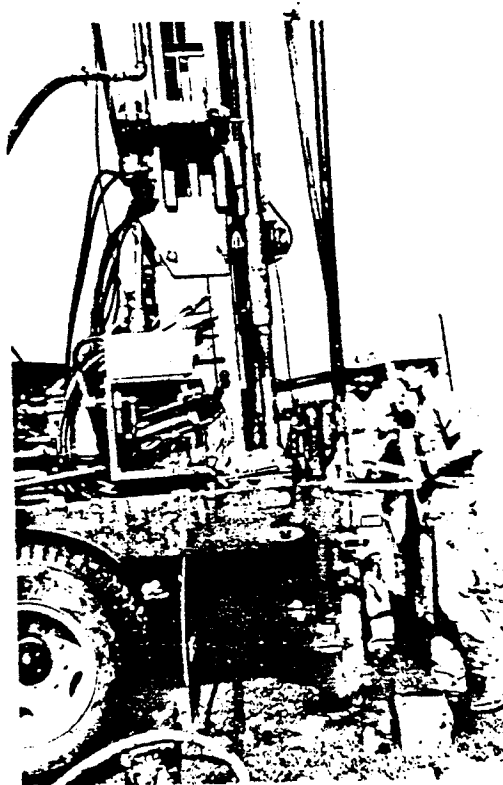
1987-88 Request

\$465,616

The budget reductions imposed by the downturn in the State's economy caused the Survey to impose further restrictions on expenditures. These actions included:

- a further reduction in the employment of part-time students;
- extending the freeze on several vacant positions;
- a further reduction in out-of-state travel;
- continuing the deferral of most equipment purchases; and
- deferring the start-up of proposed new programs.

The proposed budget requests funding to address some of the effects of the four-year deferral of most equipment purchases and to improve staff compensation. In addition, funds for four positions are requested in order to strengthen program capabilities in several areas important to the support of State efforts for industrial expansion. Specifically, the Survey is requesting a budget of \$2,203,357 for the 1987-88 fiscal year. This represents a requested increase of 29.3 percent or \$465,616 in State Appropriation.





PROJECTS UNDER CONSTRUCTION

Project	Architects or Engineers	Contractors	Contract Award Date	Original Adjusted Completion Date	Original Current Contract Amount	Status Percent Complete	Source of Funds
College of Medicine Laboratory Renovation	Lawrence and Flesher, a Partnership	Site Support	---	06/30/87	\$ 965,000	10%	Repairs and Renovation Account and Section 13 Funds.

PROJECTS IN VARIOUS STAGES OF PLANNING

Project	CMP Priority Number	Architects or Engineers	Contract or Letter	Estimated Cost	Status
Steam & Chilled Water System Expansion, Phase V	---	Frankfurt-Short-Bruza Associates	11/30/79	\$ 4,700,000	Construction has been completed on major portions of this project and planning is underway on other elements.
Family Medicine/University Center, Family Medicine Building, Phase I	NC1	Jones Hester Bates and Riek and Quinn and Associates, A Joint Venture	---	\$ 6,532,641	Planning studies are underway and the architectural firm has been selected.
Family Medicine/University Center, Student-Alumni Center, Phase IIA	NC2	Jones Hester Bates and Riek and Quinn and Associates, A Joint Venture	---	\$ 1,298,896	Planning studies are underway and the architectural firm has been selected.
Family Medicine/University Center, Preventive Medicine-Aerobics Center, Phase IIB	NC3	Jones Hester Bates and Riek and Quinn and Associates, a Joint Venture	---	\$ 4,013,933	Planning studies are underway and the architectural firm has been selected.
Family Medicine/University Center, Outdoor Recreation Area, Phase III	NC4	Jones Hester Bates and Riek and Quinn and Associates, A Joint Venture	---	\$ 250,262	Planning studies are underway and the architectural firm has been selected.

A

PROJECTS UNDER CONSTRUCTION

Project	Architects or Engineers	Contractors	Contract Award Date	<u>Original</u> Adjusted Comple- tion Date	<u>Original</u> Current Contract Amount	Status Percent Complete	Source of Funds
Energy Center Building, Phase IIA	The Benham Group	Harmon Construction	07/14/83	<u>12/31/85</u> <u>06/06/86</u>	\$ 9,229,613 \$10,144,485	100%	State Building Funds and Private Funds.
Energy Center Building, Phases IIIA and IIIA (Alternate)	The Benham Group	Harmon Construction	01/13/84	<u>12/31/85</u> <u>06/06/86</u>	\$ 3,788,914 \$ 5,080,127	100%	State Building Funds and Private Funds.
Energy Center Building, Phases IIB and IIBB	The Benham Group	Permalab, Inc.	01/20/86	05/07/86	\$ 1,843,964	86%	State Building Funds and Private Funds.
Utility Systems Improvements, Building Automation System Additions	Physical Plant	Physical Plant	---	05/24/86	\$ 446,000	96%	Utility Bond Funds.
N.E.L. Building Renovation	Architectural and Engineering Services	Physical Plant	---	<u>04/15/86</u> <u>06/30/86</u>	\$ 500,000	99%	Section 13 and New College Funds.
Indoor Practice Facility, Flooring	Architectural and Engineering Services	Martin Surfacing & Decking, Inc.	05/20/86	07/06/86	\$ 195,000	70%	Private Funds.
Oklahoma Memorial Stadium Repairs	Cornforth Associates	Gilbertson Construction Company	06/23/86	08/25/86	\$ 143,800	0%	Bond Reserve Funds.
Lloyd Noble Center Parking Lot Repair and Resurfacing Project	Architectural and Engineering Services	Vantage Paving, Inc.	06/27/86	08/26/86	\$ 414,736	0%	Bond Reserve Funds.

B

PROJECTS IN VARIOUS STAGES OF PLANNING

Project	CMP Priority Number	Architects or Engineers	Contract or Letter	Estimated Cost	Status
Science Hall Renovation	M&R 20	Shaw Associates, Inc.	01/22/76	\$ 886,201	Inactive
Gould Hall Renovation, Phase II	M&R 13B	Loftis, Bell and Downing Architects and Planners	07/22/82	\$ 1,695,000	Inactive
Golf Course Improvement Program, Dam, Lake, and Pumping System, Part 1	---	Associated Engineers, Inc.	12/10/80	\$ 450,000	Final Plans have been completed.
Golf Course Improvement Program, Water Distribution and Sprinkler System, Part 2	---	Architectural and Engineering Services	---	\$ 300,000	Final plans have been completed.
Golf Course Improvement Program, Landscaping and Other Improvements, Part 3	---	Architectural and Engineering Services	---	\$ 250,000	Preliminary studies are in process.
Golf Course Improvement Program, Effluent Line, Part 4	---	Associated Engineers, Inc.	12/10/80	\$ 150,000	Final plans have been completed.
Energy Center Building, Phases IIB, IIIB and IV	NC 1	The Benham Group	12/16/81	\$45,000,000	Phases IA, IIA, IIIA and IIIB Alternate are complete. Phases IIB and IIIB are nearly complete. Design development plans for Phase IV are complete. Final plans for Phases IVA and IVC have been completed. A contract for construction of Phase IVA has been awarded.
School of Music Building, Phases IB, IC and ID	NC 2&3	Kaighn Associates Architects, Inc. and Bauer, Stark and Lashbrook, A Joint Venture	04/08/82	\$13,400,000	Additional work on Phase IB is on hold.
Brooks Street Parking Area	---	Lawrence, Lawrence and Flesher	07/27/79	\$ 750,000	Inactive.
Copeland Hall Addition	NC 4	Murray-Jones-Murray	03/01/83	\$ 5,000,000	Inactive.

2

PROJECTS IN VARIOUS STAGES OF PLANNING

Project	CMP Priority Number	Architects or Engineers	Contract or Letter	Estimated Cost	Status
College of Environmental Design Expansion	M&R 9	Architectural and Engineering Services	---	\$ 800,000	Inactive.
Career Planning and Placement Renovation	M&R 59	Architectural and Engineering Services	---	\$ 200,000	Inactive.
University Childhood Center	NC 27	Architectural and Engineering Services	---	\$ 800,000	Inactive.
Utility Systems Improvements	NC 5	C.H. Guernsey Company, Inc.	07/06/84	\$ 9,986,000	Construction is substantially complete on 75% and in progress on 5% of the project. The remainder is in various stages of planning and design.
Max Westheimer Field, Ramp Area Reconstruction and Extension	NSI 7	Leard and Associates	---	\$ 2,000,000	The consultant's report has been received and is being reviewed.
Max Westheimer Field, Master Plan Update	---	Braun Binion Barnard, Inc.	04/01/85	\$ 65,000	Grants for this work have been awarded. An Environmental Assessment for Approach Protection has been completed and the master plan update is underway and is being reviewed.
Fred Jones Memorial Art Center Renovation	M&R 32	Architectural and Engineering Services	---	\$ 479,000	Planning is underway. Final plans for the gallery renovation and new flooring have been completed and construction is underway.

0

## STATEMENT OF BONDING BONDS

May 31, 2006

	Length of Issue	Original Issue	Bond Principal Outstanding	Minimum Required Reserve	Reserve Fund	Restricted Funds Principal & Interest	Repair & Replacement	Total Restricted	Outstanding Less Total Restricted
<b>Student Housing Revenue Bonds</b>									
-----									
1957 Bond System									
Series A & B (1957)	40	\$6,541,000.00	\$246,000.00	\$43,763.00	\$491,283.73	\$0.00	\$30.62	\$491,314.35	(\$245,314.35)
Series C (OCCE 1959)	30	1,400,000.00	250,000.00	146,978.00	0.00	288,446.41	0.00	288,446.41	-38,446.41
1963-64 Bond System									
Series A & B (1963)	40	5,700,000.00	3,514,000.00	537,000.00	999,477.52	460.74	679,819.12	1,679,757.38	1,834,242.62
Series C (1964)	39	3,000,000.00	2,005,000.00	302,000.00	409,448.19	102.60	743,951.64	1,153,502.43	851,497.57
1965 Bond System	33	13,600,000.00	9,274,000.00	911,000.00	1,186,402.86	1,115.22	1,852,872.19	3,040,390.27	6,233,609.73
<b>Student Facilities Revenue Bonds</b>									
-----									
1979 Bond System	30 1/2	10,405,000.00	9,780,000.00	777,378.00	903,762.72	1,357.81	979,221.61	1,684,342.14	7,895,657.86
<b>Stadium System Revenue Bonds</b>									
-----									
1974 Series	15	5,000,000.00	930,000.00	391,773.00	0.00	933,794.14	0.00	933,794.14	-3,794.14
1979 Series	17	5,800,000.00	3,270,000.00	468,610.00	647,495.04	1,583.30	467,938.33	1,117,016.67	2,152,963.33
OGH Series 2 (SAE)	30	340,000.00	172,000.00	40,000.00	47,835.87	47,669.49	18,201.73	\$113,707.09	58,292.91
-----									
Utility System Bonds 1984	20	12,260,000.00	12,260,000.00	1,556,350.00	2,997,694.64	633,154.62	0.00	3,630,849.26	8,629,150.74
-----									
TOTAL NORMAN CAMPUS		\$64,046,000.00	\$41,701,000.00	\$5,174,852.00	\$7,683,400.57	\$1,907,684.33	\$4,742,035.24	\$14,333,120.14	\$27,367,879.86
=====									
<b>Utility System Revenue Bonds</b>									
-----									
Series 1973	30	\$10,125,000.00	\$8,030,000.00	\$787,900.00	\$2,494,850.00	\$539,054.00	\$210,518.00	\$3,244,422.00	\$4,785,578.00
Series 1977	27 1/2	7,040,000.00	5,795,000.00	548,052.00	1,680,367.00	238,344.00	206,470.00	2,125,181.00	3,669,819.00
Series 1979	30	7,060,000.00	6,580,000.00	555,900.00	1,297,297.00	488,651.00	183,103.00	1,969,051.00	4,610,949.00
-----									
TOTAL HEALTH SCIENCES CENTER		\$24,225,000.00	\$20,405,000.00	\$1,891,852.00	\$5,472,514.00	\$1,266,049.00	\$600,091.00	\$7,338,654.00	\$13,066,346.00
=====									
TOTAL BOTH CAMPUSES		\$88,271,000.00	\$62,106,000.00	\$7,066,704.00	\$13,155,914.57	\$3,173,733.33	\$5,342,126.24	\$21,671,774.14	\$40,434,225.86
=====									

Note: No accrued interest payable or receivable is included in the Bonds Outstanding or Restricted Funds total.