

C O N T E N T S
MINUTES OF A REGULAR MEETING
THE UNIVERSITY OF OKLAHOMA BOARD OF REGENTS
JUNE 14, 1990

Minutes (21804)

Report of the President of the University (21804)

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**MINUTES OF A REGULAR MEETING
THE UNIVERSITY OF OKLAHOMA BOARD OF REGENTS
JUNE 14, 1990**

A regular meeting of the Board of Regents of The University of Oklahoma was called to order in the Board Room of The University of Oklahoma Foundation Building on the Norman Campus beginning at 9:10 a.m. on Thursday, June 14, 1990.

The following Regents were present: Regent Ronald H. White, M.D., Chairman of the Board, presiding; Regents Sarah C. Hogan, Sylvia A. Lewis, Sam Noble, and E. Murray Gullatt.

Absent: Regents J. Cooper West and G. T. Blankenship. Mr. Blankenship's appointment to the Board of Regents for a seven-year term ending March 21, 1997 was confirmed by the State Senate on May 24, 1990.

Others attending all or a part of the meeting included: Dr. Richard L. Van Horn, President of the University, Provosts Joan Wadlow and Clayton Rich, Vice Presidents Anona L. Adair, Fred J. Bennett, Arthur J. Elbert, and Jerry B. Farley, and Mr. Fred Gipson, Ms. Beth Wilson, and Mrs. Barbara H. Tuttle, Executive Secretary of the Board of Regents.

Notice of the time, date, and place of this meeting was submitted to the Secretary of State, and the agenda was posted in the Office of the Board of Regents on or before 9:00 a.m. on June 13, 1990, both as required by 25 O.S. 1981, Section 301-314.

MINUTES

Regent Gullatt moved approval of the minutes of the Finance and Audit Committee meeting held on May 8, 1990 and the regular meeting held on May 9, 1990. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

PRESIDENT'S REPORT

President Van Horn presented the following information on recent awards and honors:

1. Provost Joan Wadlow recently was elected Vice President of the North Central Association of Colleges and Universities. She will become President of the Association in March, 1991.

2. Professor Keith Bystrom was elected President of the Central States' Law School Association. The Association is comprised of 14 public and private law schools in Oklahoma, Kansas, Missouri, Arkansas, Nebraska, and Iowa.
3. Dr. Glenn Snyder, Regents' Professor Emeritus of Education, was inducted into the Oklahoma Educators' Hall of Fame.
4. Dr. Diane J. Willis, Professor of Pediatrics, was named Oklahoma Distinguished Psychologist of the Year by the Oklahoma Psychological Association.
5. Dr. Bailus Walker, Dean-Designate of the College of Public Health, was elected to membership in the Institute of Medicine of the National Academy of Science. Dr. Walker's appointment brings the number of Oklahomans in the National Academy of Science to four: Dr. Walker, Dean Edward Brandt, Provost Clayton Rich, and Dr. C. S. Lewis, Tulsa.
6. Dean Patricia L. Forni was elected to the Board of Directors of the American Association of Colleges of Nursing.

President Van Horn reported on the following recent gifts and grants:

1. The Health Sciences Center recently received \$819,000 from the Presbyterian Health Foundation to fund 16 HSC faculty projects. This funding provides seed grants for young faculty researchers as well as grants for established researchers currently between funding cycles for the National Institutes of Health and other agencies.
2. Dr. T. H. Lee Williams, Associate Dean of the College of Geosciences and Associate Professor of Geography, received an award of \$250,000 from the National Oceanic and Atmospheric Administration to support research activities under the joint OU/NOAA Cooperative Institute for Applied Remote Sensing.
3. Dr. Gordan Sachdev, Associate Professor of Pharmacy, received a grant of \$133,000 from the National Institutes of Health to continue his study of the biochemical properties of lung secretions.

President Van Horn called attention to the fact that among this month's appointments for Board action is that of the new Dean of the College of Arts and Sciences, Dr. J. Rufus Fears, who will join the faculty July 1, 1990. He commented on Dean Fears' background and introduced him. Dean Fears was welcomed with a round of applause.

ACADEMIC ENRICHMENT PRESENTATION

Dr. Eugene Enrico, Professor of Music History, was introduced by Regent White for the academic enrichment presentation. The following information was presented as an introduction to his presentation:

Dr. Enrico has been on the OU faculty since 1980 and has been producing television programs that focus on early music. The first three programs of the series were made with the production unit at Oklahoma State University; and since 1986, the programs have been produced in partnership with David Smeal, Director of Electronic Media and Photographic Services at OU. Programs from the series are broadcast four times a year throughout Oklahoma by OETA. Certain programs also have been broadcast nationally by PBS or the SECA satellite networks and by several cable services.

Since 1988, approximately 750 videocassettes of the programs have been purchased by institutions nationally, and even internationally. For example, the programs are currently used at Harvard, Yale, Princeton, and Stanford universities; most major state universities, including the University of California at Berkeley and Michigan; and the United Nations. They also are used in many high schools and junior colleges. The programs focus on important composers and works of early music or on important topics in the history of music. The videocassettes are designed for educators to use both in the classroom and in the media library. They are written to be engaging both to the general public and to students of Music History, Music Appreciation, or World Music.

Each program draws on the academic expertise of a team of scholars who collaborate with Professor Enrico in research for the script and for the complementary study guide. The programs also present the performing and designing talents of the students and faculty of the OU College of Fine Arts, who have collaborated with guest artists well-known in the world of early music. Recent programs produced in Spain and Japan also have used the services of television professionals in those countries.

All of the programs have been supported by grants from outside the University. Since 1980, Professor Enrico has been awarded more than \$350,000 in grants for these programs from such agencies as:

- The Oklahoma Foundation for the Humanities
- The National Endowment for the Humanities
- The Arts Council of Oklahoma
- The Norman Arts and Humanities Council
- The Japan Foundation

The Japan World Exposition Commemorative Fund
The United States-Spanish Joint Committee for Cultural and
Educational Cooperation
The Program for Cultural Cooperation between Spain's Ministry
of Culture and United States' Universities
The Spain 92 Foundation
The Smithsonian Institution

Programs in the series include:

A Renaissance of Monteverdi (1980)
Wedding Cantata (1982)
Handel's Messiah: A Commemoration (1984)
Music of Thomas Morley (1986)
Music of Antonio Vivaldi (1987)
The Festino of Adriano Banchieri (1988)
And They Sang a New Song: 24 Musical Elders at Santiago De
Compostela (1989)
Gagaku: The Court Music of Japan (1989)
The Sutton Music Series (1990, three programs in progress)
The Music of Bunraku (1990, in progress),
Music at the Time of Columbus (1991, in progress)

Professor Enrico presented samples of several of the programs using video tape.

OKLAHOMA GEOLOGICAL SURVEY - BIENNIAL REPORT

The Oklahoma Geological Survey is a research and public service agency of the State. The Survey was established one year after Statehood by the first legislature. Its purpose is to investigate the land, water, mineral and energy resources of Oklahoma and to disseminate the results of these investigations to encourage the wise use of the State's natural resources. It also maintains the Oklahoma Geophysical Laboratory near Leonard, Oklahoma and a Core and Sample Library that is widely used by industry.

State statutes provide that the Survey "...shall be under the direction and supervision of the Board of Regents of The University of Oklahoma..." and "...the Director shall present to the Board a biennial report...". The biennial report for the fiscal years 1988 and 1989 was included in the agenda.

The report describes a very productive two years for the Survey with a large number of publications issued and a substantial increase in external support for programs. The biennium culminated with the move of most of the Survey from Gould Hall to the Energy Center. Although some disruptions to programs occurred because of the move and many of the professional staff are occupying temporary offices until the Energy Center Tower is completed, the Survey is again in operation.

Dr. Charles J. Mankin, Director of the Survey, reviewed the highlights in the report. He commented on several issues affecting the operation of the Survey that need attention including the status of the Core and Sample Library and the Well Log Library. He said the well samples and most of the well cores are housed in the old Navy Mess Hall located on the South Campus. Other collections of cores are housed on the North Campus and at a warehouse under lease to the Survey in Broce Research Park. He said the old Navy Mess Hall is at best in marginal condition with roof and termite problems and this is compounded by the fact that at the present rate of core and sample donations, the building will be full by late 1991 or 1992. He suggested that in view of increased use of this facility by industry and academic researchers the issue must be addressed in the very near future. The Well Log Library is housed in Gould Hall in space eventually designated for the College of Architecture. He said attention to the space requirements of the Well Log Library also is needed in the near future.

MONTHLY FINANCIAL ANALYSIS

The monthly financial analysis for the ten months ended April 30, 1990 was included in the agenda and is attached hereto as Exhibit A. Vice Presidents Elbert and Farley reviewed highlights of the financial analysis and presented the following comments:

During the month ended April 30, 1990, the Current Revised Annual Budget for revenues increased by \$2,552,870. Of this increase in budgeted revenues, \$2,052,870 occurred at the Norman Campus. State Appropriations increased \$75,000 due to the Fiscal Year 89-90 Vocational-Technical award from the State Regents to support the University's Office of Business and Industrial Cooperation project. Budgeted revenues for Norman Campus Educational and General, Part II (grants & contracts), increased by \$2,100,000 due to increased activity. Grant and contract activity is ahead of last year in the number of awards, number of modifications, and in total expenditures. Therefore, this revision is to bring the budget more in line with actual. Examples of this increased activity include an increase in the Southwest Regional Center program for substance abuse of approximately \$1 million and a new contract with the United States Postal Service for Technical Training Services in the amount of approximately \$2.5 million. At the Health Sciences Center, the revenue budget increase of \$500,000 was for External Service Unit sales in the Microcomputer Support Service entity as a result of increased sales.

The total Current Revised Annual Budget for expenditures increased \$4,929,458 as a result of the budgeted revenue adjustments described above and an additional increase of \$2,376,588 at the Health Sciences Center. This additional increase at the HSC was generated almost totally by Professional Practice Plan activity. The PPP has sufficient cash balances and continuing revenues to fund these expenditure increases.

SCHEDULE OF REVENUES AND EXPENDITURES (Schedule I):

- REVENUES
- Revenues realized are at 86.0% of budget as compared to 84.4% last year.
 - STUDENT TUITION is still low and continues to lag behind last year's collections as compared to budget due to lower enrollment.
 - ENDOWMENT INCOME remains substantially greater than last year due to gifts reported in prior months (e.g., the Mildred Andrews Boggess bequest and the final distribution from the Estate of Winifred Robey) and greater gains from investments.
 - EXTERNAL SERVICE UNITS (HSC) revenue collection jumped to 93.7% of budget and continue to surpass last year's rate of 81.6%. This is attributed primarily to external sales of the Microcomputer Support Service Unit being stronger than expected, and to faster than normal collection of Steam and Chilled Water Plant billings.
- EXPENDITURES
- Total expenditures at 4-30-90 were at 84.3% of budget as compared to 82.4% last year. This variance is not significant at this time since total current year revenues of \$333 million are above current year expenditures of \$331 million.
 - TEACHING SALARIES, OTHER PROFESSIONAL SALARIES, WAGES AND FRINGE BENEFITS percentages are slightly above last year's but are still within proper parameters. Hourly Staff Salaries experienced three payrolls during April instead of the usual two. This accounts for the apparent large increase in that category.
 - PROFESSIONAL PRACTICE SALARY SUPPLEMENTS are 93.3% of the annual budget and were due to the typically heavy disbursement of supplements during January through April. The cash balance in support of these supplements is fully adequate, which was in excess of \$16 million at the end of April.
 - PROFESSIONAL AND TECHNICAL FEES recorded by HSC are 92.9% of budget while the Norman Campus percent of budget is 115.6% or a combined figure of 102.2%. As reported last month, this is due to some

extraordinary non-recurring costs (e.g., audit fees, teleproduction expense, advertising, royalty payments, etc.) at the Norman Campus and due, in part, to the reclassification of object codes at the HSC.

- SCHOLARSHIPS AND FELLOWSHIPS percentages appear substantially over budget. However, it should be noted that virtually all scholarships and fellowships have been awarded at this time and that awards are not made unless fully funded.

CURRENT REVENUES OVER/(UNDER) EXPENDITURES -- At April 30, 1990, revenues exceeded expenditures by \$7.5 million. At the Norman Campus, the excess of revenues over expenditures was \$7.3 million, \$6.5 million was the result of the USPS settlement while the HSC experienced revenues over expenditures of \$188,018. This excess is \$2,376,588 less than the previous month and is attributable to the HSC. Practically all of the downward adjustment is due to variances from budget in the PPP. As of April 30, the PPP was operating at a net "loss" of \$101,317 for the year to date. However, revenue collections and the cash balance in the PPP are wholly sufficient and it is expected that the PPP will reflect a substantial gain at June 30, 1990.

SUMMARY OF PROJECTED RESERVES (Schedule II):

GENERAL UNIVERSITY RESERVES -- Total Projected Reserves as of June 30, 1990 were down on the Norman Campus by approximately \$5,001. This net decrease consisted of an increase in Agency Special reserves of approximately \$87,000 from increases in investment income and a decrease of approximately \$92,000 in excess reserve funds utilized to fund roof repairs in Housing. Reserves on the HSC Campus had a \$325,229 increase due primarily to a \$200,000 Grants and Contracts Indirect Cost Reimbursement and investment income from the Agency Special accounts and the Utility System.

AUXILIARY & SERVICE UNIT DESIGNATED RESERVES -- The Norman Campus reserves increased by \$159,280 due primarily to the receipt of the transfers from the bond systems. HSC reserves increased \$41,478 for the Debt Service (Required Reserve at the Trustee Bank) category.

COMBINED STATEMENT OF CHANGES IN FUND BALANCE (Schedule III):

On the Norman Campus, Private Gifts ending fund balance remains in a negative condition until the year-end settle-up with the OU Foundation, at which time those accounts operating with an authorized overdraft are cleared.

On the Health Sciences Center report, the ending fund balance experienced a decrease of \$573,000 from the previous month. Internal Service Unit activity experienced a \$102,769 decrease in fund balance from last month. When the effect of the Internal Service Unit activity is eliminated, the true Fund Balance, which was \$44,610,061, represented a decrease of \$470,231 from the

previous month. The Residency component of the overall fund balance was negative because of a lag in billing receipts as against billed expenditures. This is a typical situation which self-corrects on a continuing basis.

STATEMENT OF PLANT FUNDS (Schedule IV):

Increases in Project Budget for Plant Funds amounted to \$600,000 for the Norman Campus. The Fiscal Year 1990 increase was the allocation of Section 13 funds for computer equipment. The Health Sciences Center's Project Budget experienced an increase of \$117,602 in Other Income-Bonds category.

ADMISSIONS CRITERIA AND PROCEDURES

In response to a request made by the Chairman of the Board several months ago, information on admissions criteria and processes for Dentistry, Medicine, Law, and Graduate College students was included in the agenda for this meeting. Also included was information on students admitted to the Colleges of Dentistry and Medicine in 1988-89, 1989-90, and 1990-91. Information on students admitted for 1987-88 through 1989-90 to the College of Law and the Graduate College was included. All of this data was reviewed by Provost Rich.

1991 MEETING DATES

Information was presented on possible dates for the regular meetings of the Board of Regents in 1991. The dates were discussed briefly and it was agreed the meeting dates would be on the July agenda for action.

INTERNAL AUDIT FINDINGS

The Internal Audit Policy of the Board of Regents provides that the Director of Internal Auditing makes quarterly reports to the Regents on internal audits and post audits that have been completed since his last report. The policy also provides that the Director of Internal Auditing has the opportunity at each regular Regents' meeting to report on any condition which in his judgment could adversely affect the University.

Mr. John Eckert, Director of Internal Auditing, did not have any significant findings to report at this meeting.

FISCAL YEAR 1991 BUDGETS

The operating budget summaries presented in the new format approved by the Regents at the March Board meeting were enclosed with the agenda for this meeting. The summaries are attached hereto as Exhibit B. An analysis of proposed salary increases for all permanent faculty and for other employees

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earning \$40,000 per year or greater also was distributed to Regents. A complete review of all materials given to the Regents, plus additional information, was presented by Vice Presidents Elbert and Farley. They reported that since the Board meeting in May, the Legislature approved higher education appropriations and the State Regents allocated funds to the various higher education institutions. The increase in appropriation to higher education was \$50.8 million of which \$3.5 million was for additional endowed chairs, \$3.2 million for academic scholars, \$171,000 for Oklahoma Tuition and Aid Grants (OTAG), \$964,000 for the State Regents' office, and \$43 million for operational support of higher education institutions. Of the \$43 million increase for the institutions, the University received the following allocations:

Norman Campus - \$7.46 million
Health Sciences Center - \$5.14 million
Law Center - \$296,397
Oklahoma Geological Survey - \$91,833

No general tuition increases were approved by the Legislature for 1990-91.

Regent White expressed appreciation to all involved in the preparation of this budget and making it much easier for the Regents to understand. A little later in the meeting, Regent White proposed an executive session to discuss the appointment and reappointment of personnel in the budget as well as personnel included in the personnel section of the agenda. Regent Hogan moved approval of the executive session. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt.

The Regents met in executive session with President Van Horn and Mrs. Tuttle in the small conference room beginning at 11:00 a.m. The meeting reconvened in regular session at 12:55 p.m.

President Van Horn recommended approval of the 1990-91 budgets as presented, including the academic promotions shown below and salary changes as proposed on the 1990-91 analysis of proposed salary increases. He recommended also that the President be authorized to make any final technical corrections in the budgets.

In addition, President Van Horn recommended that the Board of Regents take the following action on letters of intent for Coaches Tubbs and Gibbs and Mr. Duncan.

Mr. Donnie Duncan

1. An annual salary of \$105,000.00 for 12 months.
2. A current commitment to you and you to the University as Director of Athletics under the terms herein for five years beginning July 1, 1990.

3. An annual review with regard to terms, salary and other fringe benefits provided by the University.

Coach Gary Gibbs

1. Annual compensation of \$100,000.00 for twelve months.
2. A current commitment to you and you to the University as Head Football Coach under the terms herein for five years beginning July 1, 1990.
3. An annual review with regard to terms of employment, salary and other fringe benefits provided by the University none of which shall be modified without the consent of all parties unless such modification is required by law or applicable NCAA or Conference rule or regulation.

Coach Billy Tubbs

1. Annual compensation of \$100,000.00 for twelve months.
2. A current commitment to you and you to the University as Head Basketball Coach under the terms herein for five years beginning July 1, 1990.
3. An annual review with regard to terms of employment, salary and other fringe benefits provided by the University none of which shall be modified without the consent of all parties unless such modification is required by law or applicable NCAA or Conference rule or regulation.

COLLEGE OF ARTS AND SCIENCES

Anthropology

Paul Minnis to Associate Professor

Botany and Microbiology

John Downard to Associate Professor

Chemistry

Michael Abraham to Professor

History

Jerome Steffen to Professor

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Physics and Astronomy

Richard Henry to Associate Professor

Zoology

William Shelton to Professor

James Thompson to Professor

COLLEGE OF BUSINESS ADMINISTRATION

Accounting

G. Lee Willinger to Associate Professor

Economics

Marilyn Flowers to Professor

Management

Larry Michaelson to Professor

COLLEGE OF EDUCATION

Educational Psychology

Calvin Stoltenberg to Professor

Instructional Leadership

Edmund Marek to Professor

COLLEGE OF ENGINEERING

Electrical Engineering and Computer Science

Fred Lee to Associate Professor

Industrial Engineering

Larry Leemis to Associate Professor

COLLEGE OF GEOSCIENCES

Geology and Geophysics

Barry L. Weaver to Associate Professor

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Meteorology

Howard B. Bluestein to Professor

COLLEGE OF LAW

Anita F. Hill to Professor

COLLEGE OF ALLIED HEALTH

Physical Therapy

Mark A. Anderson to Associate Professor

COLLEGE OF DENTISTRY

Endodontics

Clyde L. Sabala to Professor

Operative Dentistry

Robert C. Miller to Associate Professor

Joy M. Beckerley to Assistant Professor

Oral Diagnosis and Radiology

Mary E. Martin to Associate Professor

Steven R. Carson to Assistant Professor

COLLEGE OF MEDICINE

Family Medicine

James W. Mold to Associate Professor

Medicine

James H. Schmidt to Professor

Carolyn R. Corn to Associate Professor

J. Dennis Fortenberry to Associate Professor

Ralph T. Guild, III to Associate Professor

John P. Kuebler to Associate Professor

E. Nan Scott to Associate Professor

Leonard N. Slater to Associate Professor

Mary E. Haynie to Adjunct Assistant Professor

Microbiology and Immunology

Michael S. Gilmore to Associate Professor

Neurology

Peggy J. Wisdom to Associate Professor

Obstetrics and Gynecology

Gilbert G. Haas, Jr. to Professor
Peter C. Morris to Assistant Professor

Otorhinolaryngology

Jesus E. Medina to Professor

Pathology

Philip C. Comp to Adjunct Professor

Pediatrics

Thomas W. Seale to Professor
Roger A. Brumback to Adjunct Professor
Diane Kittredge to Associate Professor
Roberta Olson to Adjunct Associate Professor
Jane Schwartz to Assistant Professor

Pharmacology

Lora E. Rikans to Professor

Physiology

Rex D. Stith to Professor

Physiology and Immunology

Kenneth J. Dormer to Professor

COLLEGE OF MEDICINE-TULSA

Medicine

Paul V. Carlile, Jr. to Associate Professor

Regent Gullatt moved approval of the recommendations. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

UTILIZATION OF 1990-91 SECTION 13 AND NEW COLLEGE FUNDS

The University administration has developed a plan for the utilization of Section 13 and New College Funds now on hand or scheduled to be received during the period between July 1, 1990 and June 30, 1991 to fund a series of critically needed facilities and items of equipment. The Commissioners of the Land Office have estimated the University's Section 13/New College allotment for 1990-91 at \$3.8 million.

When approved by the Board of Regents and the State Regents for Higher Education, the projects listed below will be included in the Campus Master Plan for Capital Improvement Projects of the Norman Campus and the Health Sciences Center.

In order to implement the plan, the Board of Regents is requested to approve the projects and the proposal to use Section 13 and New College Funds in the amounts indicated as summarized below. Further details for each project were included in the agenda for this meeting.

<u>Reserve</u>	<u>Section 13 and New College Funds</u>
Section 13 and New College Fund Reserve for 1990-91	\$ 250,000
 <u>Norman Campus Projects</u>	
1. Physical Sciences Center Renovation for Chemistry and Biochemistry	\$ 665,000
2. Continuing Computer Equipment Budget, 1990-91	\$ 600,000
3. DOE Energy Conservation Matching Grant, 1990-91 (Total project cost: \$446,559)	\$ 200,000
4. Academic Space Renovation	\$ 300,000
5. Classroom Improvements, 1990-91	\$ 90,000
6. New Faculty Start-up Costs	\$ 322,000
7. Hall of Advanced Studies Emergency Fire Safety Improvements	\$ 95,000
8. Campus Safety, Accessibility and Other Critical Improvements	\$ 95,000
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Norman Campus Section 13 and New College Funds Total	\$ 2,367,000

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Health Sciences Center Projects

1.	Space Conversion for Neurosciences Research Laboratories (Total project cost: \$139,055)	\$ 125,000
2.	Space Conversion for Microbiology Research Laboratories	\$ 122,658
3.	Information Systems Network (ISN) Node for College of Health	\$ 40,000
4.	Replace Research and Education Computer System	\$ 519,000
5.	Pharmacy Administration Renovation and Equipment	\$ 118,559
6.	Dental Clinic Equipment Replacement	\$ 59,200
7.	Library Shelving	\$ 55,000
8.	Clinical Facilities Handicapped Improvements	\$ 18,941
9.	Reroof Three Campus Buildings	\$ 81,642
10.	Biochemistry Lab Space Addition and Renovation (Total project cost: \$65,000)	\$ 43,000
	Health Sciences Center	\$ 1,183,000
	Section 13 and New College Funds Total	
	Section 13 and New College Funds Total	\$ 3,800,000

President Van Horn recommended that the Board of Regents approve: (1) the projects listed above with a total cost of \$4,082,614 for inclusion in the University's Campus Master Plans of Capital Improvements Projects and (2) the plan to use a total of \$3,800,000 of 1990-91 Section 13 and New College Funds along with \$282,614 in DOE grants and other University funds to fund these projects.

This information was reviewed by Vice Presidents Elbert and Farley.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

BUDGETS FOR FOUNDATION ACCOUNTS

As a part of the budget preparation for 1990-91, Dr. Van Horn said the projected expenditures from the University's Foundation accounts which are designated as unrestricted have been prepared. The document was distributed to the Regents with the agenda for this meeting. The budgeted expenditures are

based upon past activity in each account as well as projected fund income for 1990-91. President Van Horn recommended approval of the 1990-91 projected expenditures from the unrestricted Foundation accounts as shown in the materials presented.

This material was discussed very briefly with President Van Horn presenting the following information on funds expended during this fiscal year through June 12 in support of University projects by the OU Foundation:

Facilities and Equipment	\$1,580,511.28
Salary Supplements	767,772.40
General University Educational Assistance	3,847,758.76
Faculty Awards	159,356.00
Student Awards	<u>3,534,989.35</u>
Total	\$9,890,387.79

During the brief discussion of this budget information, it was suggested that a one or two page summary in the future would be helpful.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

OKLAHOMA MEMORIAL UNION BUDGET FOR 1990-91

The lease between the Regents and the Trustees of the Oklahoma Memorial Union provides that the Board of Regents must approve the Union operating budget each year. The 1990-91 Union budget was approved by the Union Board of Trustees at its annual meeting on May 17, 1990. The budget was included in the agenda.

The 1990-91 budget reflects no increased costs for the University except for an increase in utilities of \$13,240 and the increase in Book Exchange rent of \$22,000 for the additional space approved by the Board of Regents at the April, 1990 meeting.

President Van Horn recommended that the Board of Regents approve the 1990-91 budget of the Oklahoma Memorial Union as presented.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

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ACADEMIC PERSONNEL ACTIONS

NORMAN CAMPUS:

Leaves of Absence:

Jonathan Forman, Associate Professor of Law, leave of absence without pay, January 1, 1991 through May 15, 1991. To serve as Visiting Professor at Brigham Young University.

John E. Francis, Professor of Aerospace and Mechanical Engineering, leave of absence without pay, May 16, 1990 through May 15, 1991. To continue research with graduate students at OU while working at Bradley University.

Alexander Holmes, Associate Professor of Economics, leave of absence without pay, July 1, 1990 through December 31, 1990. To continue duties as Director of State Finance.

Seun K. Kahng, Professor of Electrical Engineering and Computer Science, leave of absence without pay, August 16, 1990 through May 15, 1991. Working with NASA/Langley in research.

Judith Maute, Professor of Law, leave of absence without pay, August 16, 1990 through May 15, 1991. To work at the University of Toledo (fall) and the University of South Dakota (spring).

Appointments or Reappointments:

J. Rufus Fears, Ph.D., Dean, College of Arts and Sciences, and Professor of Classics with tenure, annual rate of \$110,000 for 12 months (\$9,166.67 per month), July 1, 1990. Paid from 127-375, Arts and Sciences Dean, pos. 1.65, and 127-223, Classics, pos. 801.60.

Gerald Vizenor, Professor of English with tenure and David A. Burr Chair of Letters, annual rate of \$79,000 for 9 months (\$8,777.78 per month), August 16, 1990. Paid from 127-234, English, pos. 23.60.

John Joseph Chiodo, Ph.D., Professor of Education with tenure and Chair of Instructional Leadership and Academic Curriculum, annual rate of \$56,000 for 12 months (\$4,666.66 per month), August 1, 1990. Paid from 127-343, Instructional Leadership and Academic Curriculum, pos. 2.60.

Stephen Ely Tabachnick, Ph.D., Professor of English with tenure and Chair, Department of English, annual rate of \$66,000 for 12 months (\$5,500.00 per month), July 15, 1990. Paid from 127-234, English, pos. 7.60, and 127-434, English Research, pos. 7.65.

Walter Ray Phillips, Visiting Distinguished Professor of Law, rate of \$45,000 for 4.5 months (\$10,000.00 per month), August 16, 1990 through December 31, 1990. Paid from 227-201, College of Law, pos. 701.65.

June 14, 1990

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Jorge L. Mendoza, Ph.D., Professor of Psychology with tenure, annual rate of \$60,000 for 9 months (\$6,666.66 per month), August 16, 1990. Paid from 127-283, Psychology, pos. 22.60, and 127-483, Psychology Research, pos. 22.65.

Eric Hartwell Anderson, Associate Professor of Art, annual rate of \$43,500 for 9 months (\$4,833.33 per month), August 16, 1990 through May 15, 1991. Paid from 127-204, School of Art, pos. 7.60.

Randall T.E. Coyne, Associate Professor of Law, annual rate of \$45,000 for 9 months (\$5,000.00 per month), August 16, 1990 through May 15, 1991. Paid from 227-201, College of Law, pos. 18.65.

F. Stephen Knippenberg, Visiting Associate Professor of Law, annual rate of \$59,000 for 9 months (\$6,555.55 per month), August 16, 1990 through May 15, 1991. Paid from 227-201, College of Law, pos. 703.65.

Leisha A. Self, Associate Professor of Law, annual rate of \$45,000 for 9 months (\$5,000.00 per month), August 16, 1990 through May 15, 1991. Paid from 227-201, College of Law, pos. 16.65.

William Murray Tabb, Visiting Associate Professor of Law, rate of \$30,000 for 4.5 months (\$6,666.66 per month), August 16, 1990 through December 31, 1990. Paid from 227-201, College of Law, pos. 702.65.

Rodney J. Uphoff, Associate Professor of Law and Associate Director, Clinical Legal Education, annual rate of \$50,000 for 9 months (\$5,555.56 per month), August 16, 1990 through May 15, 1991. Paid from 227-201, College of Law, pos. 4.65, and 227-321, Clinical Legal Education, pos. 3.65.

Mohamed Hussein Harajli, Ph.D., Assistant Professor of Civil Engineering and Environmental Science, annual rate of \$36,750 for 9 months (\$4,083.34 per month), August 16, 1990 through May 15, 1991. Paid from 127-222, Civil Engineering and Environmental Science, pos. 8.60, and 127-422, Civil Engineering and Environmental Science Research, pos. 8.65.

Nancy Elizabeth Pruitt, Ed.D., Assistant Professor of Education, annual rate of \$30,000 for 9 months (\$3,333.33 per month), August 16, 1990 through May 15, 1991. Paid from 127-343, Instructional Leadership and Academic Curriculum, pos. 15.60.

Peter Smagorinsky, Ph.D., Assistant Professor of Education, annual rate of \$33,000 for 9 months (\$3,666.67 per month), August 16, 1990 through May 15, 1991. Paid from 127-343, Instructional Leadership and Academic Curriculum, pos. 19.60.

Mark Phillip Sharfman, Ph.D., Assistant Professor of Environmental Analysis and Policy, annual rate of \$53,000 for 9 months (\$5,888.89 per month), August 16, 1990 through May 15, 1991. Paid from 127-213, Business Administration Instruction, pos. 39.60.

Tomasz Przebinda, Ph.D., Assistant Professor of Mathematics, annual rate of \$37,000 for 9 months (\$4,111.11 per month), August 16, 1990 through May 15, 1991. Paid from 127-264, Mathematics, pos. 9.60, and 127-464, Mathematics Research, pos. 9.65.

June 14, 1990

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Gerard Walschap, Ph.D., Assistant Professor of Mathematics, annual rate of \$37,000 for 9 months (\$4,111.11 per month), August 16, 1990 through May 15, 1991. Paid from 127-264, Mathematics, pos. 32.60, and 127-464, Mathematics Research, pos. 32.65.

Jerry M. Straka, Ph.D., Assistant Professor of Meteorology, annual rate of \$31,000 for 9 months (\$3,444.44 per month), August 16, 1990 through May 15, 1991. Paid from 127-265, Meteorology Teaching, pos. 15.60, and 127-465, Meteorology Research, pos. 15.65.

Ana Isabel Rueda-Jenkins, Assistant Professor of Modern Languages, Literatures, and Linguistics, annual rate of \$32,000 for 9 months (\$3,555.56 per month), August 16, 1990 through May 15, 1991. If Ph.D. not complete by August 16, 1990, title to be changed to Acting Assistant Professor of Modern Languages, Literatures, and Linguistics, and salary changed to \$30,000 for 9 months. Paid from 127-268, Modern Languages, Literatures, and Linguistics, pos. 7.60, and 127-468, Modern Languages, Literatures, and Linguistics Research, pos. 7.65.

Andrew Bryan Cooperstock, D.M.A., Assistant Professor of Music, annual rate of \$32,000 for 9 months (\$3,555.55 per month), August 16, 1990 through May 15, 1991. Paid from 127-270, Music, pos. 18.60.

Paul Christopher Begovac, Ph.D., Assistant Professor of Zoology, annual rate of \$32,000 for 9 months (\$3,555.56 per month), August 16, 1990 through May 15, 1991. Paid from 127-297, Zoology, pos. 4.60, and 127-497, Zoology Research, pos. 4.65.

Cheryl A. Walker-Esbaugh, reappointed Instructor in Classics, annual rate of \$17,500 for 9 months (\$1,944.44 per month), (annual rate of \$14,000 for 9 months if a section of Latin not taught fall semester), August 16, 1990 through May 15, 1991. Paid from 127-223, Classics, pos. 702.60. This is an exception to the Regents' policy on seven-year limitation on appointment of full-time temporary faculty.

Changes:

Michael L. Angelotti, Professor of Education, Head of Division Teacher Education, Associate Director of Educations Profession Division; given additional title of Associate Dean, College of Education, July 1, 1990.

Charles Bert, Perkinson Chair in Engineering, George Lynn Cross Research Professor of Aerospace and Mechanical Engineering; given additional title of Director of Aerospace and Mechanical Engineering, salary changed from annual rate of \$66,000 for 9 months (\$6,933.33 per month) to annual rate of \$84,500 for 12 months (\$7,041.67 per month), July 1, 1990. Paid from 127-208, Aerospace and Mechanical Engineering, and 127-408, Aerospace and Mechanical Engineering Research, pos. 3.60.

David R. Branch, George Lynn Cross Research Professor of Physics and Astronomy; title of Chair of Physics and Astronomy, deleted, changed from 12 month basis to 9 month basis, July 1, 1990; salary changed from annual rate of \$72,118 for 12 months (\$6,009.83 per month) to annual rate of \$63,706 for 9 months (\$7,078.44 per month), August 15, 1990. Paid from 127-281, Physics and Astronomy, pos. 13.60, and 127-481, Physics and Astronomy Research, pos. 13.65.

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Charles Butler, Associate Professor of Education; title of Director, Field Experience, College of Education, deleted, July 1, 1990.

Keith N. Bystrom, Professor of Law and Director, Clinical Legal Education; titles of Associate Director of the Law Center and Associate Dean, College of Law, deleted, July 1, 1990.

Lenore Clark, Coordinator, Collections Development, Humanities Reference Librarian, and Associate Professor of Bibliography; title of Acting Head, Acquisitions, deleted June 30, 1990.

George D. Economou, Professor of English; title Chair of English, deleted, changed from 12 month basis to 9 month basis, July 1, 1990.

Davis M. Egle, Professor of Aerospace and Mechanical Engineering; title Director of Aerospace and Mechanical Engineering, deleted, July 1, 1990.

Rodney E. Evans, title changed from Professor of Business Administration to Professor of Environmental Analysis and Policy, changed from 12 months basis to 9 months basis, July 1, 1990; salary changed from annual rate of \$62,205 for 12 months (\$5,183.75 per month) to annual rate of \$53,440 for 9 months (\$5,937.78 per month), August 16, 1990. Paid from 127-213, Business Administration Instruction, pos. 40.60.

Kirby Gilliland, Associate Professor of Psychology; title of Associate Dean, College of Arts and Sciences, deleted; changed from 12 month basis to 9 month basis, July 1, 1990; salary changed from annual rate of \$48,050 for 12 months (\$4,004.17 per month) to annual rate of \$42,264 for 9 months (\$4,696.00 per month), August 16, 1990. Paid from 127-283, Psychology, pos. 15.60, and 127-483, Psychology Research, pos. 15.65.

Mary M. Holt, Associate Professor of Dance; given additional title of Interim Chair, Department of Dance, August 16, 1990 through May 15, 1991.

Charlotte Hughart, title changed from Associate Director to Interim Associate Director, Clinical Legal Education, College of Law, and Adjunct Assistant Professor of Law title deleted, July 1, 1990; title changed to Staff Attorney, College of Law, salary changed from annual rate of \$49,000 for 12 months (\$4,083.33 per month) to annual rate of \$42,200 for 12 months (\$3,516.67 per month), August 16, 1990. Paid from 227-321, Law Office Student Practice, pos. 5.65.

Edmund Marek, Associate Professor of Education; title of Interim Chair of Instructional Leadership and Academic Curriculum, deleted, July 1, 1990.

Maria E. Protti, reappointed Interim Director, Law Library, and Adjunct Assistant Professor of Law, salary temporarily changed from annual rate of \$40,000 for 12 months (\$3,333.33 per month) to annual rate of \$55,000 for 12 months (\$4,583.33 per month), July 1, 1990 through June 30, 1991. Base salary as Law Reference Librarian is \$36,000 for 12 months, paid additional \$19,000 for 12 months during interim appointment. Paid from 227-201, College of Law, pos. 1.65.

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Albert D. Smouse, Professor of Education; title Chair, Department of Educational Psychology, deleted, July 1, 1990.

Leo Whinery, Professor of Law; given additional title Alfred P. Murrah Professor of Law, salary changed from annual rate of \$75,350 for 9 months (\$6,279.16 per month) to annual rate of \$91,189 for 9 months (\$10,132.00 per month), August 16, 1990. Paid from 227-201, College of Law, pos. 12.65.

Resignations and/or Terminations:

Jerry Anderson, Assistant Professor of Law, May 15, 1990.

Harry Benham, Associate Professor of Economics, May 31, 1990.

James N. Comas, Assistant Professor of English, May 15, 1990.

Marilyn Flowers, Associate Professor of Economics, May 15, 1990.

Andrew Luzi, Assistant Professor of Accounting, May 15, 1990.

James B. Thomas, Assistant Professor of Architecture, May 15, 1990.

Retirements:

Robert J. Block, Professor of Metallurgical Engineering in Chemical Engineering and Materials Science, May 15, 1990; named Professor Emeritus of Metallurgical Engineering.

Miguel Terekhov, Professor of Dance, December 31, 1990; title Chair, Department of Dance, deleted, August 16, 1990; named Professor Emeritus of Dance.

HEALTH SCIENCES CENTER:

Leaves of Absence:

Jean L. Spitz, Professor of Radiologic Technology, sabbatical leave of absence with full pay, July 1, 1990 through December 31, 1990. To study and do research.

Jo Ann Frazer, Assistant Professor of Nursing and Clinical Assistant Professor of Psychiatry and Behavioral Sciences, leave of absence without pay, June 15, 1990 to June 30, 1991. Personal reasons.

Appointments or Reappointments:

James Noel George, M.D., Professor of Medicine with tenure, annual rate of \$80,000 for 12 months (\$6,666.67 per month), July 1, 1990. Paid from D031900, Medicine Operational.

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Boyd Lester, M.D., Clinical Professor of Psychiatry and Behavioral Sciences, annual rate of \$25,000 for 12 months (\$2,083.34 per month), .50 time, May 1, 1990 through June 30, 1990. Paid from A300180, PPP-Psychiatry Independent Operations.

Marie Antonia Bernard, M.D., Associate Professor of Medicine with tenure, annual rate of \$75,000 for 12 months (\$6,250.00 per month), July 16, 1990. Paid from D031900, Medicine Operational.

John Roland Houck, Jr., M.D., Associate Professor of Otorhinolaryngology, annual rate of \$60,000 for 12 months (\$5,000.00 per month), August 27, 1990 through June 30, 1991. Paid from A000078, PPP Otorhinolaryngology.

Dan Paul Fox, Assistant Professor of Family Medicine and Director of Physician Associate Program, annual rate of \$48,120 for 12 months (\$4,010.00 per month), May 15, 1990 through June 30, 1991. Paid from C120023, Grants for Programs for Physician Associates, and 1212218, Family Medicine.

Vasudeva Rao Goli, M.D., Assistant Professor of Medicine, annual rate of \$60,000 for 12 months (\$5,000.00 per month), July 1, 1990 through June 30, 1991. Paid from D031900, Medicine Operational.

Dwayne Allen Schmidt, M.D., Assistant Professor of Medicine, annual rate of \$55,000 for 12 months (\$4,583.33 per month), July 1, 1990 through June 30, 1991. Paid from D031900, Medicine Operational.

Joan Leslie Walker, M.D., Assistant Professor of Obstetrics and Gynecology, annual rate of \$60,000 for 12 months (\$5,000.00 per month), July 1, 1990 through June 30, 1991. Paid from A000073, PPP Obstetrics and Gynecology.

Hani Raoul Khouzam, M.D., Assistant Professor of Psychiatry and Behavioral Sciences, annual rate of \$69,086 for 12 months (\$5,757.17 per month), May 1, 1990 through June 30, 1990. Paid from VA Medical Center.

Yvonne Miller, Associate in Anesthesiology, annual rate of \$43,500 for 12 months (\$3,625.00 per month), May 14, 1990 through June 30, 1990. Paid from A000571, PPP Anesthesiology Administration.

Changes:

Sanjeev K. Deveshwar, title changed from Clinical Assistant Professor to Assistant Professor of Neurology, salary changed from without remuneration to annual rate of \$45,000 for 12 months (\$3,750.00 per month), April 1, 1990 through June 30, 1990. Paid from A000075, PPP Neurology.

James E. Hammarsten, title changed from Associate Professor to Clinical Associate Professor of Medicine, Tulsa, salary changed from annual rate of \$94,121 for 12 months (\$7,843.42 per month) to without remuneration, March 1, 1990.

James B. Roane, Associate Professor of Endodontics; title of Chair of Endodontics, deleted, July 1, 1990.

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Clyde L. Sabala, Associate Professor of Endodontics; given additional title of Chair of Endodontics, July 1, 1990.

Robert M. Shuman, Professor of Neurology, Adjunct Professor of Surgery and of Pediatrics; title of Interim Chair of Neurology deleted, salary changed from annual rate of \$84,000 for 12 months (\$6,999.99 per month) to annual rate of \$69,000 for 12 months (\$5,749.99 per month), May 1, 1990. Paid from 1212209, Neurology, and 8073011, O'Donoghue Rehabilitation Center Reimbursement.

Resignations and/or Terminations:

Alvah R. Cass, Associate Professor of Family Medicine, June 30, 1990 (with accrued vacation through August 14, 1990).

William P. Gideon, Assistant Professor of Obstetrics and Gynecology, Tulsa, March 31, 1990.

Joan E. Haase, Assistant Professor of Nursing, July 1, 1990.

Karen K. Hamilton, Assistant Professor of Medicine, June 30, 1990 (with accrued vacation through August 13, 1990).

Sandra Harris, Assistant Professor of Medicine, June 30, 1990 (with accrued vacation through August 13, 1990).

Charles H. Morgan, Clinical Assistant Professor of Neurology, March 31, 1990.

Gerald B. Schaefer, Assistant Professor of Pediatrics, May 31, 1990 (with accrued vacation through June 30, 1990).

William H. Sutherland, Assistant Professor of Medicine, Tulsa, January 1, 1990.

Retirement:

Jack Metcoff, George Lynn Cross Research Professor of Pediatrics and Adjunct Professor of Biochemistry and Molecular Biology, June 30, 1990; named George Lynn Cross Research Professor Emeritus of Pediatrics.

President Van Horn recommended approval of the academic personnel actions shown above.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

President Van Horn reported the deaths of the following:

Norman L. Byrd, Professor Emeritus of Architecture, on May 23, 1990.

Elmer M. Million, Professor Emeritus of Law, on May 12, 1990.

June 14, 1990

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ADMINISTRATIVE AND PROFESSIONAL PERSONNEL ACTIONS

NORMAN CAMPUS:

Appointments or Reappointments:

Sylvia Victoria Duca, Program Director, Aerospace Programs, Continuing Education and Public Service, annual rate of \$41,000 for 12 months (\$3,416.67 per month), April 16, 1990. Administrative Staff. Paid from 127-556, Center for Aerospace Programs, pos. 3.65.

Gary Hudson, Head Women's Basketball Coach, annual rate of \$42,000 for 12 months (\$3,500.00 per month), July 1, 1990. Professional Staff.

Changes:

Judith A. Hammer, title changed from Project Director to Program Director, Center for Child and Family Development, May 1, 1990. Changed from Administrative Staff to Professional Staff.

Paul D. Massad, promoted from Executive Director, Alumni Affairs and Development to Associate Vice President for University Affairs and Executive Director of Alumni Affairs, salary increased from annual rate of \$61,360 for 12 months (\$5,113.33 per month) (1990-91 budgeted salary) to annual rate of \$64,360 for 12 months (\$5,363.33 per month), July 1, 1990. Administrative Officer. Paid from 127-176, Records Maintenance, pos. 2.65, and 179-148, Alumni Affairs Life Trust, pos. 1.65.

Retirement:

Neil J. Dikeman, Jr., Director, Center for Economic and Management Research, June 30, 1990 (with accrued vacation through July 31, 1990).

HEALTH SCIENCES CENTER:

Appointment or Reappointment:

Robert Travis, Department Business Administrator, Department of Psychiatry and Behavioral Sciences, annual rate of \$42,000 for 12 months (\$3,500.00 per month), May 29, 1990. Managerial Staff. Paid from A300180, PPP Psychiatry Independent Operations.

Changes:

Ramon L. Busick, promoted from Senior Systems Analyst to Interim Associate Director, Research and Education Computing Services, salary temporarily changed from annual rate of \$47,162 for 12 months (\$3,930.17 per month) to annual rate of \$49,561.20 for 12 months (\$4,130.17 per month), May 1, 1990 through September 30, 1990. Temporarily changed from Professional Staff to Administrative Staff. Paid from 3883816, Research and Education Computing Services.

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J. Paul Costiloe, title changed from Associate Director, Research and Education Computing Services to Associate Director of Special Projects, Computing Services, retains titles Adjunct Assistant Professor of Public Health and of Medicine, changed from Academic to Administrative Staff, May 1, 1990.

Judy A. Roden, Departmental Business Manager, Department of Pathology; given additional title of Acting Departmental Business Administrator, Department of Psychiatry and Behavioral Sciences; salary temporarily changed from annual rate of \$43,019 for 12 months (\$3,584.92 per month) to annual rate of \$51,000 for 12 months (\$4,250.00 per month), April 17, 1990. Administrative Staff. Paid from 1212106, Pathology, and A009984, PPP Pathology Billing Expenses.

Resignation and/or Termination:

Steven Pallesen, Health Care Delivery Project Director, Department of Family Medicine, May 4, 1990 (with accrued vacation through May 31, 1990).

President Van Horn recommended approval of the administrative and professional personnel actions shown above.

Regent Hogan moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

Regent White called attention to the fact that this action of the Board includes the appointment of Gary Hudson as Head Women's Basketball Coach. He said Mr. Hudson will be here this afternoon for a press conference.

TENURE

President Van Horn recommended that the Board of Regents not grant academic tenure to Professor William H. Wiist.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

The Chair declared a recess at 1:00 p.m. for lunch for the Regents which was held in the small conference room of the Foundation Building. The meeting reconvened in regular session at 1:45 p.m.

REVISED SEXUAL HARASSMENT POLICIES

Separate Sexual Harassment policies were adopted in 1981 for each campus of the University. These policies have been under review for the past several months by the University Affirmative Action Officer, the Office of

Chief Legal Counsel, the Faculty Senate on each campus, the Employee Executive Council, the Employee Liaison Council, UOSA, and others and a new University-wide policy is proposed.

Major distinctions between the current and the proposed sexual harassment policy and procedures are as follows:

1. Policy Statement:

- a. Expands statement to clarify the inclusion of co-worker sexual harassment.
- b. Excludes student complaints against other students - refers them to student discipline procedure.
- c. Reserves the University's right to deal with complaints administratively at any time.

2. Records:

Affirmative Action Office retains all records except those for cases removed to Faculty Appeals Board.

3. Prohibited Conduct:

Lists examples of various forms of sexual harassment.

4. Filing and Other Time Limits:

- a. Extends current limit to 180 days from becoming aware of occurrence.
- b. Permits extending all other time limits for good cause or by mutual agreement.
- c. Reserves the University's right to deal with complaints administratively at any time.

5. Investigations:

- a. Investigative Council is eliminated. Current members become group from which hearing panel is selected. Hearing panel may hear and recommend resolution of complaints not resolved informally.
- b. Investigations are conducted by Affirmative Action Officer or administrator.

6. Affirmative Action Officer Role:

- a. Conducts investigations.
- b. Recommends resolutions.
- c. Coordinates appeals and requests for formal hearings.

7. Role of President:

- a. Eliminates role of President as arbitrator.
- b. President decides final appeals.
- c. President decides whether to remove a complaint against faculty to Faculty Appeals Board.

8. Possible Outcomes:

- a. Delineates the four possible outcomes more clearly.
- b. Permits sanctions against any party for improper conduct.

President Van Horn recommended that the Health Sciences Center Sexual Harassment Policy originally adopted on September 17, 1981 and the Norman Campus Sexual Harassment Policy adopted on June 18, 1981 be rescinded and the following new Sexual Harassment Policy be adopted for the University:

SEXUAL HARASSMENT POLICY AND GRIEVANCE PROCEDURE

I. POLICY

A. Statement

The University of Oklahoma explicitly condemns sexual harassment of students, staff, and faculty. Sexual harassment is unlawful and may subject those who engage in it to University sanctions as well as civil and criminal penalties.

Since some members of the University community hold positions of authority that may involve the legitimate exercise of power over others, it is their responsibility to be sensitive to that power. Faculty and supervisors in particular, in their relationships with students and subordinates, need to be aware of potential conflicts of interest and the possible compromise of their evaluative capacity. Because there is an inherent power difference in these relationships, the potential exists for the less powerful person to perceive a coercive element in suggestions regarding activities outside those appropriate to the professional relationship. It is the responsibility of faculty and staff to behave in such a manner that their words or actions cannot reasonably be perceived as sexually coercive, abusive, or exploitive. Sexual harassment also can involve relationships among equals as when repeated advances, demeaning verbal behavior, or offensive physical contact interfere with an individual's ability to work and study productively.

The University is committed to providing an environment of study and work free from sexual harassment and to insuring the accessibility of appropriate grievance procedures for addressing all complaints regarding sexual harassment. The University reserves the right, however, to deal administratively with sexual harassment issues whenever becoming aware of their existence. Records of

all complaints, except for hearings before the Faculty Appeals Board, shall be transmitted to and maintained by the Affirmative Action Officer as confidential records.

B. Definition of Sexual Harassment

Sexual harassment shall be defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature in the following context:

1. when submission to such conduct is made either explicitly or implicitly a term or condition of an individual's employment or academic standing, or
2. when submission to or rejection of such conduct by an individual is used as the basis for employment or academic decisions affecting such individual, or
3. when such conduct has the purpose or effect of unreasonably interfering with an individual's work or academic performance or creating an intimidating, hostile, or offensive working or academic environment.

C. Examples of Prohibited Conduct

Conduct prohibited by this policy may include, but is not limited to:

Unwelcome sexual flirtation; advances or propositions for sexual activity.

Continued or repeated verbal abuse of a sexual nature, such as suggestive comments and sexually explicit jokes.

Sexually degrading language to describe an individual.

Remarks of a sexual nature to describe a person's body or clothing.

Display of sexually demeaning objects and pictures.

Offensive physical contact, such as unwelcome touching, pinching, brushing the body.

Coerced sexual intercourse.

Sexual assault.

Actions indicating that benefits will be gained or lost based on response to sexual advances.

D. Retaliation

Any attempt to penalize or retaliate against a person for filing a complaint or participating in the investigation of a complaint of sexual harassment will be treated as a separate and distinct violation of University policy.

E. Sanctions

Appropriate disciplinary action may include a range of actions up to and including dismissal.

II. GRIEVANCE PROCEDURE

A. Who May Use Procedure

The grievance procedure embodied herein shall be available to any person who, at the time of the acts complained of, was employed by, or was enrolled as a student at The University of Oklahoma except for student complaints against other students. Student sexual harassment complaints against other students shall be addressed under the student discipline procedures prescribed by the University.

B. Filing of Complaint

Persons who have complaints alleging sexual harassment are encouraged to raise them with the Affirmative Action Officer or with their department heads/ chairpersons, academic deans, or administrative supervisor. These individuals and the Affirmative Action Officer are referred to as "administrator."

C. Timing of Complaint

Any complaint (either verbal or written) must be filed with the Affirmative Action Officer or other appropriate administrator within 180 calendar days of the act of alleged sexual harassment. All other time periods may be extended by mutual agreement of the parties involved or by the administrator for good cause.

As addressed in the policy, the University reserves the right to deal administratively with sexual harassment issues whenever becoming aware of their existence.

D. Withdrawal of Complaint

The complainant may withdraw the complaint at any point during the investigation or prior to the completion of a formal hearing.

E. Confidentiality of Proceedings and Records

Investigators and members of the Hearing Panel are individually charged to preserve confidentiality with respect to any matter investigated or heard.

A breach of the duty to preserve confidentiality is considered a serious offense and will subject the offender to appropriate disciplinary action.

Except for complaints that ultimately go before the Faculty Appeals Board, all records upon disposition of a complaint - regardless of how handled - shall be transmitted to and maintained by the Affirmative Action Officer as confidential records.

F. Proceedings

1. Investigation and Informal Resolution

Upon receipt of a complaint of sexual harassment, the Affirmative Action Officer or other appropriate administrator is empowered to investigate the charge, to interview the parties involved, to hear testimony pertaining to the matter, and to gather any pertinent evidence. The investigation shall be completed within 30 calendar days of receipt of the complaint and the investigator shall prepare a written report of the investigation.

In arriving at a determination of the existence of sexual harassment, at any stage of the proceedings, the evidence as a whole and the totality of the circumstances and the context in which the alleged incident(s) occurred shall be considered. The determination of the existence of sexual harassment will be made from the facts on a case-by-case basis.

Upon a clear showing at any stage in the grievance procedure that immediate harm to either party is threatened by the continued performance of either party's regular duties or University responsibilities, the proper executive officer may suspend or reassign said duties or responsibilities pending the completion of the grievance procedure.

Upon completion of the investigation, the Affirmative Action Officer or other administrator is authorized to take the following actions:

a. Satisfactory Resolution

Resolve the matter to the satisfaction of the University and both the complainant and the party accused of sexual harassment. If a resolution satisfactory to the University and both parties is reached through the efforts of the Affirmative Action Officer or other administrator, a written statement, a copy of which shall be attached to the administrator's investigation report, shall indicate the agreement reached by the parties and shall be signed and dated by each party and by the administrator. At that time the investigation and the record thereof shall be closed.

b. No Resolution

Find that the parties are unable to resolve the matter informally. Written notice of such finding shall be given each party involved, except as noted in paragraph d.(2). Any party has the right to request in writing within 15

calendar days of the date of that notice a formal hearing before a panel selected from the membership of the Committee on Sexual Harassment, according to the provisions of the section on Formal Hearing. The request is to be addressed to the Affirmative Action Officer. If no such request is made within the 15 calendar day period, the opportunity for such hearing shall be forfeited and the case shall be closed.

c. Dismissal

Find that no sexual harassment occurred and dismiss the complaint, giving written notice of said dismissal to each party involved. The complainant has the right to appeal said dismissal in writing, within 15 calendar days of the date of the notice of dismissal, to the Affirmative Action Officer by requesting a formal hearing according to the provisions of the Formal Hearing. If no appeal is filed within the 15 calendar day period the case is considered closed.

d. Determination of Impropriety

(1) Make a finding of impropriety and notify the parties of the action to be taken. Either party has the right to appeal said determination in writing within 15 calendar days of the date of notice of determination to the Affirmative Action Officer by requesting a formal hearing according to the provisions of the Formal Hearing. If no appeal is filed within the 15 calendar day period the case is considered closed.

(2) In the case of a complain against a faculty member, the administrative investigator may determine that the evidence of sexual harassment or other impropriety is sufficiently clear and serious so as to warrant the immediate commencement of formal proceedings as provided in the Abrogation of Tenure, Dismissal Before Expiration of a Term Appointment, and Severe Sanctions section of the Faculty Handbook. If the President concurs with the administrator's finding, the case shall be removed from the grievance proceedings contained herein and further action in the case shall be governed by the Abrogation of Tenure, Dismissal Before Expiration of a Term Appointment, and Severe Sanctions section in the Faculty Handbook. Otherwise, this policy and procedure shall apply.

2. Formal Hearing

a. Request for a Formal Hearing

(1) Appeals and complaints unresolved following an investigation may result in a formal hearing before a hearing panel selected from the membership of the Committee on Sexual Harassment as described below. The request for a hearing is to be addressed to the Affirmative Action Officer.

(2) The request for a hearing must contain the particular facts upon which the sexual harassment claim, or other reasons for the hearing, is based as well as the identity of the appropriate respondent(s). A copy of the request shall be given to the proper respondent(s) with an invitation to respond.

(3) Any written response to the request for a formal hearing must be sent to the Affirmative Action Officer within 15 calendar days of receiving notice that a formal hearing has been requested. A copy of the response shall be given to the party requesting the hearing.

b. Selection of a Formal Hearing Panel

Within 10 calendar days, following receipt of the written request for a hearing, the Affirmative Action Officer shall preside at a meeting with both parties to determine the members of the Hearing Panel who are to conduct a hearing.

A five member hearing panel will be chosen from the twenty-four (24) member Committee on Sexual Harassment by the parties to the complaint. The Committee on Sexual Harassment shall be established on each campus and composed of eight (8) staff members appointed by the Employee Executive Council (Norman) or Employee Liaison Council (HSC), eight (8) students appointed by each Student Government Association and eight (8) faculty members appointed by each Faculty Senate. The terms of appointment shall be for three (3) years with initial terms of 1, 2, and 3 years in each category to provide the staggered membership, except that each student shall be appointed for a one year term. The selection process shall be in the following manner: the complainant shall select two panel members, and the respondent shall select two panel members with the fifth person being chosen by the other four panelists. The fifth person shall chair the panel. If the four panelists cannot agree on the fifth, the names of five additional Committee members will be drawn by lottery. Each panelist will strike one name off the list of five names. The remaining person shall be the fifth panelist. Either party of the complaint may request the Affirmative Action Officer to disqualify any member of the Hearing Panel upon a showing of cause. Furthermore, no panelist shall be expected to serve if he/she feels that a conflict of interest exists. Replacements shall be selected in the same manner as the original panel.

The panel shall be convened by the Affirmative Action Officer for an orientation meeting prior to the formal hearing. Each panel member shall be given a copy of the written complaint, the written response, the investigator's report and the Hearing Guidelines.

c. Hearing Guidelines

The hearing panel procedures in conducting formal proceedings shall be established with reference to the Hearing Guidelines and shall provide that the parties to a proceeding may be represented by legal counsel and that the parties may present all of the evidence that they consider germane to the

determination. Further, the parties may call witnesses to testify and may cross-examine witnesses called by the other party. The formal proceeding shall be closed to the public unless both the complainant and respondent agree otherwise. Audio tape recordings of the proceedings shall be arranged by the Chair of the Hearing Panel.

Any party who wishes to have legal counsel present at the hearing must notify the Hearing Panel Chair and other party(ies) that legal counsel has been retained at least 5 calendar days in advance of the scheduled hearing. Attorneys may advise their clients at the hearing but may not directly address the Hearing Panel or witnesses.

The Chair of the Hearing Panel shall notify parties and other participants it wishes to call as witnesses of the date, time and location of the hearing. Parties are responsible for giving such notice to their witnesses. The hearing shall be scheduled to reasonably ensure that the complainant, respondent, and essential witnesses are able to participate.

d. Satisfactory Resolution Prior to Hearing Completion

In the event the matter is resolved to the satisfaction of all parties prior to completion of the formal proceedings of the Hearing Panel, a written statement shall indicate the agreement reached by the parties and shall be signed and dated by each party and by the Chair of the Hearing Panel. The case shall then be closed.

e. Panel's Findings and Recommendations

In the event that no solution satisfactory to the parties is reached prior to the completion of the formal proceedings of the Hearing Panel, the Panel shall make its findings and recommendations known to the proper executive officer, with copies to the President of The University of Oklahoma and the Affirmative Action Officer. The Panel's report, with its findings and recommendations, shall be prepared and properly transmitted within seven (7) calendar days after conclusion of the proceedings.

f. Executive Officer's Decision

Within 15 calendar days of receipt of the Hearing Panel's findings and recommendations, the proper executive officer shall inform the complainant and the respondent of the findings of the Hearing Panel and the officer's decision regarding the sexual harassment complaint. A copy of the officer's decision shall be transmitted to the Chair of the Hearing Panel, with copies to the President of the University and the Affirmative Action Officer. In a case investigated initially by an administrator, the administrator also shall be informed of the officer's decision. If the recommendations of the Hearing Panel are rejected or modified, the executive officer shall state the reasons for such deviation. In the event the allegations are not substantiated, all reasonable steps will be taken to restore the reputation of the accused.

g. Appeal to the President

The Executive Officer's decision may be appealed to the President within 15 calendar days of being notified of prospective action or of action taken, whichever is earlier. If the President does not act to change the decision of the Executive Officer within 15 calendar days of receiving the appeal, the decision of the Executive officer shall become final under the executive authority of the President.

III. PREPARATION AND DISSEMINATION OF INFORMATION

A. The Affirmative Action Officer is charged with distributing copies of this Policy to all current members of the University community and to all those who join the community in the future. An annual letter from the Affirmative Action Officer will be sent to all faculty and staff to remind them of the contents of this Policy. A copy of the Policy will be included in student orientation materials, including those distributed to students in professional schools. In addition, copies of the Policy will be made continually available at appropriate campus centers and offices.

B. The Affirmative Action Officer will develop a series of training sessions for persons who are likely to receive complaints that this policy has been violated, including, but not being limited to, such persons as residence hall resident advisors, academic advisors, supervisors, and University and collegiate ombudspersons. Academic departments are encouraged to provide training sessions for graduate assistants and other instructional personnel.

C. The Affirmative Action Officer will develop a course designed to prevent violations as well as to correct the behavior of those who have violated the policy.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

CONSENSUAL SEXUAL RELATIONSHIPS POLICY

The University's educational mission is promoted by professionalism and faculty/student and staff/student relationships. Professionalism is fostered by an atmosphere of mutual trust and respect. Actions of faculty members, staff members, and students that harm this atmosphere undermine professionalism and hinder fulfillment of the University's educational mission. Trust and respect are diminished when those in positions of authority abuse or appear to abuse their power. Those who abuse or appear to abuse their power in such a context violate their duty to the University community.

In order to avoid problems associated with sexual relationships between students and faculty and between students and staff in situations where the faculty or staff member exercises authority over the student, a new Consensual Sexual Relationships policy is proposed. The integrity of professional

judgments affecting students should be free from the appearance of impropriety and the question as to whether such relationships are truly consensual is removed by the prohibition.

President Van Horn said the proposed policy may operate in conjunction with the Sexual Harassment Policy by eliminating the need to determine whether, for example, an employee's sexual advances were welcomed by the student or not. Sexual conduct which is welcomed is not a violation of the Sexual Harassment Policy but could be a violation of the Consensual Sexual Relationships Policy if a student is involved with an employee. Complaints alleging a violation of the Consensual Sexual Relationships policy will be handled in accordance with the grievance procedures included in the Sexual Harassment Policy.

President Van Horn recommended that the Board of Regents adopt the following Consensual Sexual Relationships policy:

RATIONALE

The University's educational mission is promoted by professionalism in faculty-student relationships. Professionalism is fostered by an atmosphere of mutual trust and respect. Actions of faculty members and students that harm this atmosphere undermine professionalism and hinder fulfillment of the University's educational mission. Trust and respect are diminished when those in positions of authority abuse, or appear to abuse, their power. Those who abuse, or appear to abuse, their power in such a context violate their duty to the University community.

Faculty members exercise power over students, whether in giving them praise or criticism, evaluating them, making recommendations for their further studies or their future employment, or conferring any other benefits on them. Amorous relationships between faculty members and students are wrong when the faculty member has professional responsibility for the student. Such situations greatly increase the chances that the faculty member will abuse his or her power and sexually exploit the student. Voluntary consent by the student in such a relationship is suspect, given the fundamentally asymmetric nature of the relationship. Moreover, other students and faculty may be affected by such unprofessional behavior because it places the faculty member in a position to favor or advance one student's interest at the expense of others and implicitly makes obtaining benefits contingent on amorous or sexual favors. Therefore, the University will view it as unethical if faculty members engage in amorous relations with students enrolled in their classes or subject to their supervision, even when both parties appear to have consented to the relationship.

As with faculty, staff may also be in a position to exert authority and control over students. Staff, too, must be conscious of the potential for abuse of power inherent in their relationships with students. Students rely on staff for assistance and guidance in dealing with issues such as scheduling of classes, financial aid, tutoring, housing, meals, employment, educational

programs, social activities, and many other aspects of University life. Those who deal with students are expected to provide them with support and positive reinforcement. Staff who would deal with students in a sexual manner abuse, or appear to abuse, their power and violate their duty to the University community.

DEFINITIONS

As used in this policy, the terms "faculty" or "faculty member" mean all those who teach at the University, and include graduate students with teaching responsibilities and other instructional personnel. The terms "staff" or "staff members" mean all employees who are not faculty, and include academic and non-academic administrators as well as supervisory personnel. The term "consensual sexual relationship" may include amorous or romantic relationships, and is intended to indicate conduct which goes beyond what a person of ordinary sensibilities would believe to be a collegial or professional relationship.

POLICY

A. Faculty/Student Relationships

1. Within the Instructional Context

It is considered a serious breach of professional ethics for a member of the faculty to initiate or acquiesce in a sexual relationship with a student who is enrolled in a course being taught by the faculty member or whose academic work (including work as a teaching assistant) is being supervised by the faculty member.

2. Outside the Instructional Context

Sexual relationships between faculty members and students occurring outside the instructional context may lead to difficulties. Particularly when the faculty member and student are in the same academic unit or in units that are academically allied, relationships that the parties view as consensual may appear to others to be exploitative. Further, in such situations the faculty member may face serious conflicts of interest and should be careful to distance himself or herself from any decisions that may reward or penalize the student involved. A faculty member who fails to withdraw from participation in activities or decisions that may reward or penalize a student with whom the faculty member has or has had an amorous relationship will be deemed to have violated his or her ethical obligation to the student, to other students, to colleagues, and to the University.

B. Staff/Student Relationships

Consensual sexual relationships between staff and students are prohibited in cases where the staff member has authority or control over the student. A staff member who fails to withdraw from participation in activities or decisions that may reward or penalize a student with whom the staff member has or has had an amorous relationship will be deemed to have violated his or her ethical obligation to the student, to other students, to colleagues, and to the University.

COMPLAINT PROCEDURE

Complaints alleging a violation of the Consensual Sexual Relationships Policy shall be handled in accordance with the grievance procedures established for complaints under the University's Sexual Harassment Policy.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

MODIFICATION OF FISCAL MANAGEMENT POLICY

The Regents' Fiscal Management Policy gives the President "...authority to approve a financial decision up to \$150,000 in the case of a demonstrable emergency". This covers most, but not all, emergency situations between meetings.

Each year the University enters into numerous professional service agreements with federal, State, and private entities. Generally, the University is providing professional and/or technical services to entities on a fee for service basis. The agreements vary in amounts from a few hundred dollars to several million dollars, depending on the services provided.

Normally, such agreements can be processed with enough lead time to allow approval by both entities prior to the effective date. At times, the level of service is not known or agreed upon until the effective date is near. This is especially true with State agencies, since the level of services provided by the University may be dependent on the level of State funding received by the contracting agency for the coming fiscal year. In some cases, State and federal agencies have indicated they will not provide service until the agreement has been signed by the appropriate University official. Currently, University officials do not have authority to approve agreements which exceed \$35,000 without Regents' approval except for the emergency authorization cited above.

In general, Board approval is received prior to the effective date of agreements; however, on occasion agreements have been submitted for Board approval after the effective date. Approval of the modification suggested would resolve the policy issue and would provide more flexibility in administering service agreements.

President Van Horn recommended that the Board of Regents modify the Fiscal Management Policy to include the following: "The Board of Regents also delegates to the President or his designees the authority to renew professional service agreements when the level of service and the dollar value are substantially the same. A summary of all such agreements approved will be reported at the next Regents' meeting."

Regent Noble moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

REVISION TO FEE REFUND POLICY

President Van Horn said that at their August 28, 1989 meeting, the Oklahoma State Regents for Higher Education revised their policy on Refunds of Fees and Tuition and directed that all institutions in the State System implement the revised policy no later than the fall semester, 1990. The University has taken the necessary steps to implement the revised policy by this date and it is necessary for the University's current Fee Refund Policy to be in compliance with the revised State Regents' policy. The new policy shortens the refund period for withdrawal from the University from a six-week period to a three-week period. The refund period for withdrawal from classes is shortened from a six-week period to a two-week period, but allows for a 100% refund during this two-week period.

President Van Horn proposed that the Regents rescind the current Fee Refund Policy in its entirety and replace it with a new Fee Refund Policy in order to comply with the State Regents' new policy. A chart comparing the differences in the policies was presented.

President Van Horn recommended that the Board of Regents rescind the Fee Refund Policy adopted on May 13, 1971, and adopt the following new policy to be effective for the fall semester, 1990:

The refund policy with respect to fees and tuition collected from students at The University of Oklahoma shall be in accordance with the Oklahoma State System for Higher Education Refunds of Fees and Tuition policy as follows:

1. Withdrawal from the Institution

Refund for students withdrawing from the institution during the first week (one to five class days) of a regular semester, or during the first two class days of a summer term

Refund for students withdrawing from the institution during the second week (six to ten class days) of a regular semester, or during the third and fourth class days of a summer term

50%

Refund for students withdrawing from the institution during the third week (eleven to fifteen class days) of a regular semester, or during the fifth class day of a summer term

25%

Students withdrawing after the third week (fifteen class days) of a regular semester, or after one week (five class days) of a summer term

No Refund

2. Withdrawal from Classes - Changes in Schedule during the drop/add period (first two weeks of a regular semester/term, first week of summer semester/term) will result in full charges for courses added and full refund for courses dropped. Refunds will not be made for withdrawal from classes after the defined add/drop period. Appropriate add/drop charges may be assessed at the institutional level.
3. Fees Applicable Only for Current Semester - Fees are applicable only for the current semester. If a student withdraws and is entitled to a refund, the amount of the refund cannot be carried forward as a credit to a subsequent session.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

REVISION TO OBLIGATION AND COLLECTION OF STUDENT FEES POLICY

President Van Horn reported that at their August 28, 1989 meeting, the Oklahoma State Regents for Higher Education adopted a new policy regarding the collection of fees and tuition. The Norman Campus policy on this subject does not need to be revised because of the State Regents' new policy, but it is recommended that the State Regents' policy be incorporated into the Norman Campus policy statement to complement and reinforce it. The following would be inserted as the first paragraph in the policy under the heading OBLIGATION AND COLLECTION OF STUDENT FEES.

General enrollment fees and nonresident tuition and other fees for special educational services are due prior to the

first day of class. The University's administration shall establish and publish a deadline when fees are payable, after which payment may be subject to a late payment charge.

1. Add/Drop Period - Changes of schedule may be made during the first two weeks of a regular semester/term and the first week of a summer semester/term with appropriate drop and add charges.
2. Late Payment - Late payment will require payment in full as well as be subject to a late payment charge in an amount determined and published by the institution.

President Van Horn recommended that the Board of Regents approve a revision to the Obligation and Collection of Student Fees policy as explained above.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

DENTISTRY FACULTY PRACTICE POLICY REVISIONS

The policy governing the Professional Practice Plan of the College of Dentistry has been reviewed by the Faculty Practice Advisory Board and the Dean and several modifications proposed. The modifications are set forth below.

The only significant change is to redefine the full-time equivalent income. Previously, each faculty member had a full-time equivalent income recommended by the Dean and approved by the Regents. The full-time equivalent income was at least twice the base pay and was the limit for total compensation derived from base pay plus practice-derived income.

The full-time equivalent income has been redefined as the PPP earnings potential which is the amount that can be earned in practice and shall be at least equal to the base salary. This change is proposed so that the College does not publish compensation limits that are twice the base salary. It has no impact otherwise.

The other changes are to clarify the wording of sections dealing with the distribution of practice plan income and the faculty membership.

The Faculty Practice Advisory Board, the Dean, and Provost Rich all recommend approval of these changes.

The changes are:

III. DEFINITIONS OF TERMS

1. Membership

~~Those full-time members of the Faculty of The University of Oklahoma College of Dentistry who are permitted to practice and who receive a salary from the College of Dentistry and/or the affiliated hospitals and clinics and other institutions of the Health Sciences Center for the purpose of ensuring that the academic programs take precedence over other responsibilities and who elect to practice shall participate in the Professional Practice Plan. Only participating members will have the right to vote.~~

Participating members shall be in one of the following categories:

- a. those full-time (100%) members of the Faculty of The University of Oklahoma College of Dentistry who receive a salary from the College of Dentistry and/or the affiliated hospitals and clinics and other institutions of the Health Sciences Center and who elect to practice shall participate in the Professional Practice Plan. Only members in this category shall have the right to vote; however, all members shall work under the guidelines established in these bylaws.
- b. those part-time members of the Faculty of The University of Oklahoma College of Dentistry who are at least 50 percent time with the University and do not practice elsewhere in the State of Oklahoma may practice contingent on approval by the Advisory Council. Approval will be based on space, personnel availability, and the needs of the Practice. Practice time will be permitted only on days not encumbered by the University. These members must have a financial return to the Practice that covers overhead costs (assistant's salary, fringe benefits, cost of materials, laboratories costs, etc.), use of operatory, etc. prior to individual reimbursement. After the first year, there will be a loss of practice privileges if there is a financial deficiency four out of twelve months. The limit of practice is a maximum eight (8) hours per week from Monday to Friday, 8:00 a.m. to 5:00 p.m.

c. those retired members of the Faculty of The University of Oklahoma College of Dentistry, who were formerly full-time, Faculty Practice participants, and who are currently part-time instructors, may practice contingent on approval by the Advisory Council. Approval will be based on space, personnel availability, and the needs of the Practice. Individuals given the opportunity to practice within the Faculty Practice will participate with the educational program at least to the extent to which they practice. These members must have a financial return to the Practice that covers overhead costs (assistant's salary, fringe benefits, cost of materials, laboratory costs, etc.) prior to individual reimbursement. After the first year, there will be a loss of practice privileges if there is a financial deficiency four out of twelve months. The limit of practice is a maximum eight (8) hours per week from Monday to Friday, 8:00 a.m. to 5:00 p.m.

4. Full-Time Equivalent Income PPP Earnings Potential

This is the figure established by the Board of Regents of The University of Oklahoma which represents an arbitrary value placed on the individual faculty member's ~~academic and professional services on a full-time basis and which will be at least twice the guaranteed base earnings~~ exclusive of the guaranteed base. The PPP earnings potential will be at least equal to the guaranteed base.

5. Individual Corporations

The University will recognize individual corporations, but recognized incorporations will relate only to ~~the maximum earnings potential as defined in this policy, i.e., the difference between the guaranteed base and the full-time equivalent income~~ that portion of the income referred to as the PPP Earnings Potential.

IV. OPERATIONAL PROCEDURES

3. Disposition of Gross Collected Income

Collected income, described in the foregoing, will be deposited into the official depository of The University of Oklahoma College of Dentistry Professional Practice Plan and will be disbursed to the items of expense in the order listed below:

- a. dental laboratory fees and costs of precious metals; and thereafter
- ~~b. overhead costs of each individual or group such as housekeeping, billing, personnel, supplies, etc., attributable to ambulatory patients of the members of the Professional Practice Plan as agreed upon by the Advisory Council with the appropriate administrative authority; and thereafter~~
- ~~c. Fifty percent of monies remaining after payment of direct costs (item a.) to practitioner generating incomes, as long as overhead costs (item b.) are fifty percent or less, until the FTE income ceiling is equaled; and thereafter~~
- ~~d. Up to 10% of the remaining balance, after items a., b., and c. above have been paid, will be paid to an account under the direction of the Dean of the College of Dentistry. Any amounts below 10% will be determined by agreement with the divisional chairperson, the Dean and the Advisory Council of the Professional Practice Plan; and thereafter~~
- ~~e. The balance, if any, will be disbursed to the divisions (based on the percentage of income of the participants in each division) to pay for the various expenditures related to their functions as outlined in f. below. The proportions and mechanisms of these distributions within the divisions will be the responsibility of the divisional head with his/her associates. (Payment will be made only after authorization by the divisional chairperson after countersigning by the Dean.)~~
- b. fifty percent of monies remaining after payment of direct costs (item a.) to practitioner generating the income.*
- c. the remaining monies will be retained by Faculty Practice to defray direct overhead costs; and thereafter
- d. on a quarterly basis, the Advisory Council will evaluate surplus funds and declare a dividend as they deem appropriate. The dividend will be dispersed in the following manner:

* If overhead costs exceed 50%, then all such costs will be paid prior to distribution to the practitioners generating the income.

- 1) ten percent (10%) of the dividend will be paid to an account under the direction of the Dean of the College of Dentistry.
 - 2) ninety percent (90%) will be disbursed to the divisions based on the percentage of income of the participants in each division since the last dividend, to pay for the various expenditures related to their functions as outlined in e. below. The proportions and mechanisms of these distributions within the division will be the responsibility of the divisional head with his/her associates. (Payment will be made only after authorization by the divisional chairperson.)
5. Billing and Collecting

~~Initially, this shall be the responsibility of the College subject to procedures to be developed by the Regents for central auditing, accounting and billing. These shall be conducted by the College subject to applicable University procedures.~~

President Van Horn recommended that the policy governing the Professional Practice Plan of the College of Dentistry be revised as set forth above.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

REVISION OF MEDICINE PROFESSIONAL PRACTICE PLAN POLICY

Over the past several years, the Professional Practice Plan has become an increasingly important part of the operation of the College of Medicine, both because it is the source of patients for the teaching programs of the College, and because reimbursements for patient care are necessary to sustain the operation of the clinical departments and the College, including faculty compensation, and are necessary for the Oklahoma Medical Center. The practice, which accounts for approximately half of the funding of the College, is in direct competition with numerous health care organizations. It is important that it be organized to operate effectively.

The current policy allows for an excessive degree of decentralization that interferes with the plan's business operation. To correct this, the proposed revisions establish executive committees at Oklahoma City and Tulsa which will be responsible for operational decisions rather than the individual departments, and creates the position of Assistant Director of the Practice Plan-Tulsa.

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In effect these changes establish two sub-operations both part of the overall College of Medicine Professional Practice Plan, and both operating under the same policy document and University policies. The Executive Dean will be a voting member of each executive committee.

These changes are incorporated in Section X.B., which establishes the position of Assistant Director, Professional Practice Plan-Tulsa, and Sections XI.B. and XI.C. which establish new executive committees for Oklahoma City and Tulsa.

The proposed revision has been approved by the plan membership with only one dissenting vote and by the Executive Dean and Provost and has been reviewed by Legal Counsel.

President Van Horn recommended that the Board of Regents approve the revised Professional Practice Plan Policy of the College of Medicine, a copy of which is attached hereto as Exhibit C.

Regent Hogan moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

AUDIT PROGRAM FOR 1990-91

The proposed annual audit program for 1990-91 was distributed with the agenda for this meeting. It was prepared by Mr. John Eckert, Director of Internal Auditing, based on input from various administrators as well as other selection criteria. Factors considered were: (1) the amount of funds managed or generated by an activity, (2) an activity's materiality in terms of internal control risk factors, and (3) the date the activity was last audited. An updated audit account inventory listing for each campus was included.

A summary of the areas proposed for internal audit during 1990-91 is as follows:

NORMAN CAMPUS

University Bands
Paralegal Education
KGOU
School of Petroleum and Geological Engineering
Center for Engineering, Scientific and Technical Programs
Personnel Services
Purchasing Department
Physical Plant Department
Controller
Architectural and Engineering Services
Construction Administration
Max Westheimer Airpark and Swearingen Research Park

Parking and Transportation
Postal Services
Department of Public Safety
Goddard Health Center
University Affairs Division - a review of internal controls for
the receipt of donations
Special Projects
Functional Audits
Duplicate Payments
Vendor Favoritism
Departmental Payroll Accounting

HEALTH SCIENCES CENTER

Imprest Cash Procedures
Administrative Overhead Rate - Service Unit/Auxiliary Accounting
Computer Security and Disaster Control
Department of Anesthesiology Professional Practice Plan (PPP)
Department of Obstetrics and Gynecology PPP
Department of Urology PPP
Department of Otorhinolaryngology PPP
Oklahoma City North Clinic
Department of Physiology
Department of Psychiatry PPP
Internal Medicine Clinic, College of Medicine, Tulsa
Family Practice Clinic PPP/Bartlesville
Department of Communication Disorders
College of Nursing and PPP
Special Projects
Functional Audits
College of Medicine PPP/Dean's Fund
Duplicate Payments
Departmental Payroll Accounting
Hiring of Temporary Employees

In addition, the Internal Auditing Department will assist the external auditors in performing the annual audit and will perform the post-audit reviews of each audit report.

President Van Horn recommended the Board of Regents approve the annual audit program for 1990-91.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

OKLAHOMA MEMORIAL STADIUM SOUND SYSTEM IMPROVEMENTS

The current public address sound system now in use at the Oklahoma Memorial Stadium was installed in 1980 following the completion of the South End Zone project. Since the system was placed in service, a number of complaints have been received from fans regarding problems with sound quality. Most of the complaints have been received from persons seated in the area under the west side upper deck of the stadium. A number of attempts have been made to improve the system by the installation of additional loud speakers underneath the upper deck seating area. These attempts to ameliorate the problems associated with the system have not produced the desired results or satisfaction with the system.

In order to resolve the problems associated with the system, it is necessary to secure the services of a consulting firm with experience in designing sound systems for stadiums. Toward this end, letters were sent to 20 acoustical consulting firms soliciting expressions of interest in the evaluation of the existing sound system. Nine firms responded expressing interest in being considered for the project. Three firms indicated that their current work loads would not permit them to consider the project. Eight firms did not respond. A complete list of the 20 firms which were contacted by letter was distributed.

An interview committee was established to consider the qualifications of the nine firms which expressed interest in the project and to select and interview four firms for detailed interviews. Members of the interview committee included:

Robert C. Connor, Assistant Director of Athletics for Facilities
Daniel G. Gibbens, Regents' Professor of Law
Kenneth A. Neptune, Athletic Department Film Director
Robert E. Smith, Assistant Director of Athletics and Business Manager
David G. Stapleton, Assistant Director of Architectural and
Engineering Services
Arthur N. Tuttle, Jr., Director of Architectural and Engineering
Services

On May 21, 1990, four highly qualified firms were selected for interviews. Each of these firms has extensive experience with sound systems for a number of stadiums and other sports facilities. Additional information on each of these firms was provided.

Prior to the interviews, the committee undertook a review of information obtained from each firm and the recommendations and other information obtained from previous clients. On May 30, interviews of representatives of the four firms were conducted. During the interviews, the committee evaluated the following characteristics of each firm:

1. Reputation as professional acoustical consultants.
2. Previous experience with stadium projects.
3. Project cost estimating skills.
4. Record for providing services on time and as agreed.
5. General reputation for the production of accurate plans and for the control of project costs and change orders.
6. Financial condition and stability.

Based on the review of each firm's professional qualifications and prior experience with the planning and design of stadium sound systems, it is the judgment of the interview committee that Coffeen Fricke & Associates is the firm best qualified to conduct an evaluation of the existing Oklahoma Memorial Stadium sound system and to make recommendations regarding its modification or replacement.

The ranking of all four firms and rating points awarded as a result of the interview procedure are as follows:

<u>Rank</u>	<u>Firm</u>	<u>Rating</u>
1.	Coffeen Fricke and Associates, Inc. Lenexa, Kansas	406
2.	The Joiner-Rose Group, Inc. Dallas, Texas	398
3.	Boner Associates, Inc. Austin, Texas	378
4.	Acentech Incorporated (a Bolt Beranek and Newman Company) Cambridge, Massachusetts	350

Coffeen Fricke and Associates, Inc. propose the following initial professional services related to the evaluation of the existing sound system and the development of recommendations for the improvement of the system (Phase I).

1. On-site investigation and evaluation of the Stadium relating to sound reinforcement. This will include an evaluation of the existing Stadium sound reinforcement system, examination of the Stadium in regard to any architectural characteristics that might affect

sound system performance, and coordination of an improved sound system with existing facilities such as scoreboards, press box, concessions, club spaces, etc.

2. Discussions with University personnel concerning desired functional aspects of an improved Stadium sound system.
3. Examination of Stadium architectural drawings as they relate to the improvement of sound system facilities.
4. Formulation of schemes (one or more as applicable) for Stadium sound system improvement including the preparation of a concise written report including the sketches and written descriptions necessary to define these schemes, and the preparation of related preliminary cost estimates. The report will also discuss any major acoustical problems that exist and that significantly affect sound system performance.

The recommendations of the report will be specific, but the report will not include all of the design details necessary for the implementation of the recommendations. Thus, the report will not form contract documents necessary for implementation.

5. Meeting with the Owner to discuss the report and to assist in the formulation of a plan for implementing the recommendations of the report.

The proposed fee for the first phase of work is \$5,600. In addition to the fee, there will be reimbursable expense items including travel, report reproduction and certain communication items. The estimated cost of these items is approximately \$2,000.

President Van Horn recommended that the Board of Regents (1) approve a project to modify or replace the Oklahoma Memorial Stadium sound system and (2) select Coffeen Fricke and Associates, Inc. as professional consultants for the project. He also recommended that the Board of Regents authorize the administration to enter into a contract with the consultants for the required professional services including Phase I, an initial study, recommendations and a report at a fee of \$5,600 plus reimbursable expenses not to exceed \$2,000.

Regent Noble moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

LLOYD NOBLE CENTER ADDITION

In November of 1989 (page 21461), the Board of Regents approved a proposal to use \$1,000,000 of funds currently available from the Seating Donor Program to develop additional restroom and concession facilities at the Lloyd Noble Center and authorized the administration to begin the procedure to select an architectural consultant for the project.

At the March, 1990 meeting, the Board of Regents approved the award of a contract to Rees Associates, Inc. of Oklahoma City for the preparation of a feasibility study and the preparation of plans and specifications for the Lloyd Noble Center Addition project. Rees Associates, Inc. has completed work on the feasibility study which consisted of a review of six major options for the construction of additional restroom and concession facilities for the building.

A chart was presented illustrating the various options for providing additional services and facilities, and the cost of each option. The options are described below.

The first option involves the construction of additional restroom and concession facilities at the middle level of the Lloyd Noble Center adjacent to the east and south exit tunnels of the building and in relatively close proximity to the Lower East donor seating areas. The estimated total cost of this option is \$1,000,000.

The second option involves the construction of additional restroom and concession facilities in six locations adjacent to the building's upper level concourse. The estimated total cost of this option is \$1,000,000.

The remaining four options are the result of combining the various elements of the first two options. Option Three involves the construction of additional restroom and concession facilities at the middle level of the building adjacent to the east exit tunnel and at six locations adjacent to the building's upper level concourse. The estimated total cost of this option is \$1,600,000.

Option Four will provide new facilities adjacent to the east exit tunnel at the middle level of the building and at two locations adjacent to the eastern half of the upper level concourse. The estimated total cost of this option is \$1,050,000.

Option Five will provide additional facilities adjacent to the east exit tunnel at the middle level and at four locations adjacent to the western half of the building's upper level concourse. The estimated total cost of this option is \$1,317,500.

Option Six will provide additional facilities adjacent to the south exit tunnel at the middle level of the building and at four locations adjacent to the western half of the building's upper level concourse. The estimated total cost of this option is \$970,000.

Several criteria were used by Rees Associates, Inc. in evaluating the options, including the number of new facilities and fixtures and the additional useful building area to be provided by each option, the length of travel paths to the new restrooms and other facilities, the resolution of emergency egress problems present in the facility, revenue considerations, aesthetic considerations, and construction problems and schedules for each option.

Based on a review of the feasibility study, it is recommended that the architects be authorized to prepare a set of plans and specifications which will permit the University to receive bids on the proposed middle level east, middle level south and upper level facilities. The middle level east facilities will be developed and presented as the base bid. The other elements of the project on the upper level and the middle level south facilities will be presented as a series of independent add alternates.

This approach reserves a number of options until bids are received and will permit the University to determine an exact construction cost for each element of the project and to select a base bid and a group of alternates which have a combined cost that matches the available funds. This proposal provides the Board with the maximum flexibility to select the optimum combination of new facilities.

Plans also are being developed to permit the use of curtains hung from the structural frame of the building to subdivide the main arena space for functions and activities which require limited seating. Several options which will accommodate audiences of different sizes generally in the range of 3,000 to 6,000 people are under consideration.

If the Board decides to accept these recommendations it will be necessary to increase the architect's fee to cover the additional professional services associated with the expanded scope of work.

The various options were discussed at length with Mr. Arthur N. Tuttle, Jr., Director of Architectural and Engineering Services, and Leroy James, Executive Vice President of Rees Associates. Three additional options were presented during the discussion with other combinations of the various options. These proposals were identified as X, Y, and Z. The discussion included information on the estimated additional architectural fee that would be required if construction drawings were prepared for all of the various options. A figure mentioned was approximately \$84,000.

It was generally agreed that President Van Horn would submit a recommendation to the Board at the July meeting and that perhaps a tour of the facility could be arranged so that the Regents could have a look at the space available.

LLOYD NOBLE CENTER ROOF REPAIRS

The Lloyd Noble Center was completed in 1975 and opened to the public in August of that year. During the past year, the building has developed a number of water leaks. An inspection reveals that these leaks occur both in the horizontal surfaces of the built-up roofing near the roof drains and in the sloping surfaces of the exterior metal roof panels above the main roof surface. A detailed examination of both roof surfaces reveals that significant deterioration has occurred. Numerous blisters and cracks have developed through the years on the surface of the built-up roofing. A large number of areas on the metal panels have rusted through, thus allowing windblown rain water to leak into the building. These leaks are causing stains on the ceiling tile and deterioration of the ceiling tiles and other materials and surfaces in the building.

The existing metal roof panels, which have a total surface area of 12,500 square feet, need to be replaced with new more permanent material. A plan has been developed which involves the replacement of the existing panels with new panels which are similar in appearance to the existing ones. The new panels are of higher quality and should have a life expectancy of more than 20 years. The estimated cost of this part of the project is \$175,000.

The average life expectancy of a built-up roofing system is approximately 12 to 15 years. The roofing system at the Lloyd Noble Center has been in place for 16 years and now is in need of replacement.

A plan has been developed to install additional insulation and a new roof surface. The existing 109,000 square feet of built-up roofing would be covered with a one-inch thick layer of polyurethane foam insulation and a new surface coating. It is estimated that the cost of this part of the project is \$220,000.

The estimated total cost is \$395,000. Funds are available from The University of Oklahoma Student Facilities System Revenue Bonds, Series 1979, Special Revenue Fund for this project.

President Van Horn recommended that the Board of Regents: (1) approve a project involving replacement of the exterior metal roof panels and the installation of additional insulation and reroofing of the Lloyd Noble Center and (2) authorize the use of \$395,000 from The University of Oklahoma Student Facilities System Revenue Bonds, Series 1979, Special Revenue Fund for this purpose.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, and Gullatt. Regent Noble was out of the room at the time of this vote. The Chair declared the motion unanimously approved.

1990-91 STUDENT ACTIVITY FEE DISTRIBUTION

President Van Horn said the income from the Norman Campus student activity fee, which is expected to be at the level of \$5.15 per credit hour, is estimated for 1990-91 to be \$2,180,000. Current reserve funds have been added for a proposed budget of \$2,250,000. He said \$26,000 will remain in the uncommitted reserve. President Van Horn reported that Vice President Adair and the Budget Committee of UOSA met, reviewed the commitments, and have proposed that the funding shown below be made available for student services and programs as listed. The proposal has been approved by the Chair of Student Congress, the Chair of the Graduate Student Senate, and the UOSA President. The proposed distribution for 1990-91 is as follows:

	<u>1988-89</u>	<u>1989-90</u>	<u>1990-91</u>
<u>On Going Commitments</u>			
Goddard Health Center (Part-time Psychiatrist transferred - \$24,000)	\$ 500,000	\$ 418,000	\$ 907,958
Oklahoma Daily (Weekly Campus Bulletin Boards to be paid from appropriation)	81,500	83,128	92,064
Intramurals & Recreation (Swim Complex allocation - \$20,000)	186,313	186,313	186,313
Mental Health Services (Part-time Psychiatrist - \$24,000)	40,166	122,166	155,166
Student Affairs	117,067	117,067	135,567
Facilities Bond System	150,000	-0-	150,000
Campus Transportation	154,980	154,980	185,976
UOSA (Student Congress - \$336,700) [Incl. Sports Clubs - \$6,000] (Graduate Student Senate - \$32,256)	318,956	318,956	368,956
Reserve	36,018(1)	49,390(1)	68,000(1)
	<u> (2)</u>	<u> (2)</u>	<u> (2)</u>
	\$1,585,000	\$1,450,000	\$2,250,000

	<u>1988-89</u>	<u>1989-90</u>	<u>1990-91</u>
(1) <u>Continuing Obligations</u>			
Minority Culture Centers	\$ 8,000	\$ 8,000	\$ 12,000
Number Nyne	8,000	8,000	8,000
UOSA Today (4 issues)	2,220	4,384	4,384
Recreation Outdoor Maintenance	7,500	-0-	7,500
Sooner Yearbook	-0-	-0-	7,185
	<u>\$ 24,000</u>	<u>\$ 25,720</u>	<u>\$ 39,069</u>
(2) <u>One-Time and Short-Term Commitments</u>			
New Sooner Publication	\$ 2,400	\$ 2,400	\$ 2,400
Sooner Yearbook-Centennial Edition		<u>7,000</u>	<u>-0-</u>
		<u>\$ 9,400</u>	<u>\$ 2,400</u>

President Van Horn recommended that the Board of Regents approve the distribution of the student activity fee for 1990-91 as shown above.

Vice President Adair and Student President Craig Adkins addressed the Board on this issue. Vice President Adair called attention to the fact that the fee distribution is subject to approval of the increase in the fee to \$5.15 per credit hour by the State Regents and it is expected this will be accomplished at their June 25 meeting. Mr. Adkins reviewed the distribution of funds including the increases in several areas. Vice President Adair commented on the additional funds provided for Goddard Health Center in the 1990-91 proposed budget.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

ENGINEER OF RECORD - STEAM AND CHILLED WATER PLANT

The Bond Resolution for the Steam and Chilled Water Plant at the Health Sciences Center requires that the Board of Regents retain an Engineer of Record for the plant. The Bond Resolution, Part J, Page 24, states:

"The Board of Regents shall retain a firm of independent engineers on a continuous basis for the purpose of providing the University with engineering counsel in the operation of the facilities as requested. In addition to other prescribed duties, the Consulting engineer shall, not later than 120 days after the close of each fiscal year make a physical inspection of the facilities and prepare a report based upon such examination and a survey of the

management of the business of the facilities and the operation and maintenance of its properties and state if the Board of Regents has complied with the Bond Resolution; a copy of such report shall be filed with the Trustee Bank and a copy shall be mailed to any Bondholder requesting same in writing."

In addition to these requirements, the Health Sciences Center also has an occasional need for special engineering and operational reports and design projects for needed improvements and repairs to the Steam and Chilled Water System.

The previous Engineer of Record firm, Carnahan and Thompson, changed ownership and later was dissolved, leading to the need for a new firm to be appointed. The conditions of the appointment are as follows:

1. The Engineer of Record will be selected for a one-year period, with an option to renew the contract for four additional one-year periods.
2. The work of the Engineer of Record will be administered by Architectural and Engineering Services on the Health Sciences Center Campus.
3. The Engineer of Record may also perform design services limited to small projects which have a total fee of \$30,000 or less. A separate agreement will be developed for each project.
4. All professional services contracts will require the approval of the Vice President for Administrative Affairs.

Following the normal procedures for the selection of engineering firms, 85 firms were sent an announcement of the University's desire to engage an Engineer of Record for the Steam and Chilled Water Plant. Four firms responded to the announcement, and all four were considered to be qualified and were selected for interview.

The interview committee obtained information from the documents supplied by the consultants, the consultant files from the State Office of Public Affairs, and other sources. Selected basic facts about each firm and summaries of qualifications were distributed with the agenda. The interview committee was composed of the following people:

Charles M. York, P.E., Director of Site Support, Health
Sciences Center - Chairman
Bill Chenoweth, P.E., Assistant Director of Site Support,
Health Sciences Center

W. Harley Campbell, Campus Architect, Health Sciences Center
 Eloy Candelaria, Construction Superintendent, Site Support,
 Health Sciences Center
 Wilton Berry, Staff Architect, Health Sciences Center

On May 24, 1990, the interview committee conducted interviews to consider the qualifications of the four engineering firms. The interviews and the preliminary review process were conducted in accordance with the provisions of Oklahoma State law and the policies of the Board of Regents. The following qualifications of each firm were considered by the committee:

1. Professional reputation.
2. Experience with Steam and Chilled Water Plant design and operations.
3. Number of recent similar projects.
4. Scope of services offered.
5. Available professional staff.
6. Quality of engineering.

Based upon the information obtained from the interviews and a detailed review of each firm's qualifications, the interview committee rated the firms under consideration for the Engineer of Record for the Steam and Chilled Water Plant in the following manner:

	<u>C. H. Guernsey & Company</u>	<u>Zumwalt- Ragsdale, Inc.</u>	<u>PSA Con- sulting Engineers</u>	<u>Consulting Engineers- Mechanical, Electrical Energy Con- sultants, Inc.</u>
Acceptability of design	45	47	30	22
Quality of engineering	46	42	37	32
Adherence to cost limits	44	45	38	38
Adherence to time limits	45	45	35	40
Volume of changes	42	44	35	37
Financial stability	<u>46</u>	<u>44</u>	<u>43</u>	<u>43</u>
Total Rating	268	267	218	212

President Van Horn recommended that the Board of Regents (1) place in rank order the firms under consideration for the Engineer of Record for the Steam and Chilled Water Plant at the Health Sciences Center, and (2) authorize the administration to negotiate the terms of the contract and the fees with the highest rated firm.

Regent Gullatt moved the firms be ranked as follows:

1. C. H. Guernsey & Company
2. Zumwalt Ragsdale, Inc.
3. PSA Consulting Engineers
4. Consulting Engineers-Mechanical Electrical Energy Consultants, Inc.

The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

ACQUISITION OF GENERAL LEDGER SYSTEM

At the May, 1990 Regents' meeting, a report was presented which outlined the University's long-range Administrative Management System plans. This report identified the installation of a financial management system as the first step to an orderly process of updating all administrative systems. It is recommended that the Regents authorize the administration to move forward with this first step by approving the negotiation and execution of a contract to purchase a general ledger financial system. A summary of events leading to this recommendation follows:

During fiscal year 1987-88, a Steering Committee was appointed by the Vice President for Administrative Affairs to review the adequacy of the current administrative systems to support the information needs of the University's administration and Regents. This committee presented the Vice President with an outline for initiating a major administrative system enhancement project to include a financial management system (e.g., general ledger system), human resource system, budget development system, and a purchasing system.

During the period September, 1988 through March, 1989, a plan was developed which outlined the preliminary objectives and requirements of a new financial system. This plan was presented to the Interim President in mid-March, and on May 31, 1989, funds were allocated for the first phase of this project. A User Advisory Committee, with representation from each Executive Officer area, including 13 members appointed by the Provost from academic and research areas, was appointed to refine requirements for a new financial system.

Throughout the summer of 1989, the User Advisory Committee refined the financial management system objectives and requirements. In August, a Request for Information (RFI) was distributed to approximately 15 software firms with marketable financial management systems. Three firms responded to the RFI and were invited to campus to meet with the User Advisory Committee and to demonstrate their products. Two of the three firms accepted the invitation and made on-site presentations. Based upon these vendor demonstrations and input of the User Advisory Committee, a Request for Proposal (RFP) was prepared.

On November 22, 1989, the Request for Proposal/Bid (RFP) - General Accounting and Reporting System was distributed. Two companies responded to this solicitation, American Management Systems, Inc. (AMS), Arlington, Virginia and Information Associates, Inc. (IA), Dallas, Texas. In mid-December, these two firms made all day presentations to the User Advisory Committee.

The RFP contained five parts as follows:

- Part I - Introduction
- Part II - System Requirements
- Part III - Proposed System Attributes
- Part IV - Terms and Conditions
- Part V - Required Signatures

Part IV, 4.5 titled "Bid Evaluation" contains the criteria to be utilized by the institution in evaluating the systems submitted for consideration. Major evaluation factors included:

1. Responsiveness to bid terms and conditions.
2. Ability to meet delivery date.
3. Ability to meet technical specifications.
4. Past performance of bidder.
5. Financial Analysis.
6. Long-term commitment and marketplace staying power.

Throughout January and February, the Steering Committee and various other interested parties evaluated the responses provided by the two companies. The following presents the results of these evaluations:

Factor 1. Responsiveness to Bid Terms and Conditions -- Both companies delivered adequate responses to requirements listed in Part IV, Terms and Conditions. Also, each one provided system documentation manuals which provided detailed, technical information necessary for the evaluations.

Factor 2. Ability to Meet Delivery Date -- Each vendor was required to submit a calendar of accomplishments necessary for implementation of the system. Based upon these calendars and discussions with current customers for each vendor, it is apparent that both vendors can meet the required delivery date.

Factor 3. Ability to Meet Technical Specifications -- The decision as to the vendor's ability to meet the institution's technical specifications was achieved through evaluating the material prepared in response to Parts II and III and information collected on the "site visits" to customers of each vendor. A site visit team representing technical staff, operating staff, and users, visited several institutions which were either in the process of installing or had successfully installed the vendor's general ledger software. The institutions visited included: the University of Missouri at Columbia; Miami University at Oxford, Ohio; University of Alabama at Tuscaloosa; Bowling Green State University at Bowling Green, Ohio; and Virginia Polytechnical Institute at Blacksburg. Three of these sites were IA customers, and two were AMS. In

addition, the University of Maryland at College Park (an AMS customer) was visited by two members of the evaluation group. Telephone visits were made with several other institutions including the University of Minnesota (AMS), Kansas State University (IA), Arizona State University (AMS), Northwestern University (AMS), and Central State University (AMS).

After a great deal of weighing and evaluating each vendor's proposal, the general consensus indicated that each system provides a quality and highly developed core general ledger system. The evaluations concluded that each system had its strengths and weaknesses and that neither system had a definitive advantage over the other. However, it is the opinion of the Vice President for Administrative Affairs, the Controller and his accounting professionals that the AMS system would more closely meet our defined financial system needs.

From the technical perspective, the staff of Computing Services concluded that neither system had a technical advantage over the other. This position was based upon the documentation submitted by the vendors in their RFP response, the site visits described above, and the vendors' responses to a specific set of technical questions prepared by the Computing Services technical staff. The major outcome of this technical review was that more computing resources (e.g., disk space, CPU time, etc.) must be allocated to the financial system than is currently allocated. It is estimated by Computing Services that the implementation of either vendor's software will result in the need to acquire more disk space (estimated cost of \$50,000).

Factor 4. Past Performance of Bidder -- Each vendor was requested to submit a listing of institutions which had purchased their software. The consensus was that both vendors met the specifications for past performances.

Factor 5. Financial Analysis -- This quantification was accomplished by preparing a comparative cost analysis of each system as to initial out-of-pocket and continuing maintenance costs.

	<u>IA</u>	<u>AMS</u>
Base General Ledger System (Including Accounts Receivables and Grants Management)	\$262,000	\$230,000
Fixed Asset System	40,000	25,000
Decision Support Software ad hoc report generation and personal computer interfaces	25,000	20,000
	<u>327,000</u>	<u>275,000</u>

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	<u>IA</u>	<u>AMS</u>
Implementation Support:		
480 Hours	30,000 (1)	46,080
Out-of-Pocket Expenses	20,000	20,000
Software Maintenance:		
1st Two Years (2)	<u>28,900</u>	<u>41,250</u>
	<u>\$405,900</u>	<u>\$382,330</u>

(1) IA's proposal includes 30 days (240 hours) of implementation support in the license fee for the Base general ledger system. Therefore, the \$30,000 is for an additional 30 days (240 hours) at the IA quoted rate of \$125/hour. The AMS quoted rate was \$96/hour.

(2) Projected Software Maintenance:	<u>IA</u>	<u>AMS</u>
After 1st Two Years		
Third Year	\$ 31,790	\$ 43,313
Fourth Year	34,969	45,478
Fifth Year	<u>38,466</u>	<u>47,752</u>
Total	\$105,225	\$136,543
Plus: Initial cost from above	<u>\$405,900</u>	<u>\$382,330</u>
Total Projected 5 Year Cost of Software	<u>\$511,125</u>	<u>\$518,870</u>

The initial out-of-pocket costs for the new software system are very close, as indicated above, with the advantage going to AMS. However, when the maintenance license fee costs are estimated over a five-year period and combined with initial out-of-pocket costs, the price advantage shifts to IA. Due to the fact that these cost variances are small and based upon estimates for maintenance cost increases, number of hours of implementation support to be required, and out-of-pocket vendor travel costs, the consensus was that neither vendor has a definitive cost advantage over the other for the products and services specified in the RFP.

Factor 6. Long-Term Commitments and Marketplace Staying Power -- As indicated above in the past performance section of the evaluation criteria, each firm has been successful in serving the higher education marketplace. However, recent events have clouded status of IA as it relates to their long-term commitment and marketplace staying power.

IA was acquired in the mid-80s by the firm Management Sciences of America (MSA) and was operated as a wholly-owned subsidiary. Recently, MSA was acquired by Dun and Bradstreet and is being reorganized along with McDermott &

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Dodge (another Dun & Bradstreet subsidiary) into a new organization, D&B Systems. During this reorganization, the top management of IA has been reassigned to other organizations within the new D&B Systems structure. The top management which was reassigned, were the founders and architects of the IA systems and the driving force behind the success of IA over the years. With this new structure and new management, the future direction of IA is uncertain. Discussions with IA executives indicate the firm's intention is to "re-focus" its direction on the vertical higher education marketplace. This "re-focussing" has led to a reduction in research and development projects and some accompanying staff reductions. However, these same IA executives have indicated that several questions still remain regarding the direction of the firm in this new ownership structure. These factors, along with numerous comments received from every IA customer we contacted about poor product quality control from IA, has resulted in the Steering Committee and users having serious concerns about the future for IA and the current quality of its product.

On the other hand, AMS is a public company traded on the OTC market with a corporate focus on the development and implementation of comprehensive financial management systems for higher education institutions, as well as state and local governments, federal governmental agencies, financial institutions, energy companies, and telecommunications companies. The consensus is that AMS is a progressive company with a definite long-term commitment and marketplace staying power. As such, the edge definitely goes to AMS on this factor.

Based upon the evaluation of the responses as outlined above, it is recommended that the Board of Regents approve the purchase of the AMS general ledger financial system for the Norman Campus in an amount not to exceed \$382,330. The amount includes the software license fees, 480 hours of implementation support, estimated vendor out-of-pocket travel costs, and annual maintenance fees for the first two years. With the approval of the Board, a contract will be negotiated and executed for this purchase.

Upon execution of the contract, implementation will begin immediately, with full implementation being scheduled for no later than July 1, 1991.

Funds for this purchase have already been budgeted from Educational and General funds (\$300,000), with the balance to come from the auxiliaries and service units benefiting from this new system.

President Van Horn recommended that the Board of Regents approve the negotiation and execution of a contract with American Management Systems, Inc. to purchase a general ledger financial system in an amount not to exceed \$382,330.

Professor Roger Rideout, Chair of the Norman Campus Faculty Senate, had requested permission to address the Board on this purchase. Professor Rideout said he asked to discuss this with the Board because a number of the faculty who use the computer for their research and instruction have expressed great skepticism over this software package and the proposal generally. He

explained in some detail the concerns of the members of the faculty. He emphasized that Vice President Elbert has been very cooperative and has answered every question that he has asked. He said in spite of these assurances the faculty, through the Faculty Senate, wished to be on record as having grave reservations about the propriety of this move.

Vice President Elbert and Mr. John Moore, Controller, responded to Professor Rideout's comments referring to the comprehensive presentation to the Board of Regents at the May meeting and the subsequent discussion.

President Van Horn said he shares Professor Rideout's concern for educational and research computing. "I think it is true that we have had good research computing in the past and we have to have good research computing in the future. I think it is obvious when you look at our environment that even if we did nothing, ten years from now, or five years from now, or three years from now, we would not have adequate research computing. If you look at the capital plan for the University, we have moved the purchase of additional computing equipment up to a much higher priority. We have \$20 million in the capital plan for computing. We recognize we have to continue to improve the computing environment."

Dr. Van Horn said he believes computing also is a high priority for the Regents so he doesn't really think there is any disagreement, that all are agreed that we have to improve the research and educational computing environment, but he said we can't do everything at one time today. He thinks this is an intelligent purchase and a good step. He assured Professor Rideout he very much understands the concerns of the faculty.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

PURCHASE OF MEDICAL PROFESSIONAL LIABILITY COVERAGE

The College of Medicine-Tulsa anticipates 21 osteopathic residents in the training program effective July 1, 1990. To meet their professional liability requirements bid invitations were sent to 11 firms requesting offers for coverage for first through fifth year residents. Two firms responded with an offer. The estimated total cost from each firm is as follows:

<u>Company</u>	<u>Estimated Annual Cost</u>
Rich & Cartmill, Inc., agents for Continental Insurance Group Tulsa	\$76,592
Grant & Company Consolidated Insurance Tulsa	\$84,334

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The Rich & Cartmill response was all or none. Continental Insurance is the underwriter for Rich & Cartmill, Inc. and is licensed in Oklahoma, is a participant in the State Insurance Guarantee Fund and is highly rated by Best's Insurance Guide. Professional Mutual Insurance Company Risk Retention Group is the underwriter for Grant & Company. The administration proposes that the low bid from Rich & Cartmill, Inc. be accepted.

Funds are available in the following budgets:

<u>Account</u>	<u>Cost</u>
A933099 Family Practice Residency Program-Tulsa	\$ 1,838
A933100 Internal Medicine Residency Program-Tulsa	8,274
A933101 Surgery Residency Program-Tulsa	1,838
A933102 Ob/Gyn Residency Program-Tulsa	41,916
A933103 Pediatrics Residency Program-Tulsa	12,153
A933104 Psychiatry Residency Program-Tulsa	7,815
A933105 Family Practice Residency Program-Bartlesville	<u>2,758</u>
	\$76,592

President Van Horn recommended that the Board of Regents authorize awarding a purchase order to Rich & Cartmill, Inc., agents for Continental Insurance Group, for osteopathic residents professional liability coverage for the period beginning July 1, 1990 through June 30, 1991 for a total estimated cost of \$76,592.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

PURCHASE OF ADVERTISING

Agencies, numerous private patient clinics and physicians (faculty) within the Health Sciences Center need the listing of advertisements in the Southwestern Bell Yellow Pages. The agencies to be included are as follows:

- University of Oklahoma Health Sciences Center
- Dean McGee Eye Institute
- Oklahoma Medical Center
- Children's Hospital of Oklahoma
- Oklahoma City Clinic
- Presbyterian Hospital
- O'Donoghue Rehabilitation Institute
- Oklahoma State Department of Health
- Oklahoma Medical Research Foundation

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The estimated total cost of listings for the above-named clinics and agencies is \$264,000. The portion to be paid by Health Sciences Center departments is estimated as follows:

PPP Funds	\$86,850.12
State Funds	9,280.80
Grant Funds	<u>1,276.56</u>
	\$97,407.48

The purchase will be paid from Site Support-Telecommunications account 3863825 74550, which will be reimbursed by the clinics or agencies requesting advertisements. This is a sole source because no other form of advertising is comparable to Southwestern Bell Yellow Pages in terms of usage.

President Van Horn recommended that a purchase order be issued to Southwestern Bell Yellow Pages for advertisements at an estimated annual cost of \$264,000.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

PURCHASE OF NEWSPRINT

Bid specifications were circulated for an annual contract to furnish approximately 260 tons of newsprint to be used in the printing of The Oklahoma Daily and related publications during the period July 1, 1990 through June 30, 1991. The bid provided for an option to supply recycled newsprint, but no bidder responded to this option.

This purchase will be funded from Journalism Press Account 147-316.

The following bids were received:

<u>Bidders</u>	<u>Estimated Total</u>
Champion International Corp. Dallas	\$145,594.92
Carpenter Paper Company Oklahoma City	\$159,045.42
Western Paper Company Oklahoma City	No Bid
Butler Paper Company Oklahoma City	No Bid

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President Van Horn recommended that the Board of Regents approve the award of a purchase order to Champion International Corporation for the purchase of offset newsprint for the fiscal year 1990-91 in the estimated amount of \$145,594.92.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

CONTRACT FOR RADIOLOGIC READINGS

Radiology of Eastern Oklahoma, Inc. is the only group that actively participates in resident/student education and the only local radiology group that will meet the nonscheduled needs of the Tulsa Clinics.

The College of Medicine-Tulsa administration recommends the award of a contract to Radiology of Eastern Oklahoma, Inc. on a sole-source basis. Funds are available in PPP TMC-Clinics Radiology Service budget A0002898.

President Van Horn recommended the Board of Regents authorize the Health Sciences Center to award a contract to Radiology of Eastern Oklahoma, Inc. for radiologic readings for the period beginning July 1, 1990 through June 30, 1991 at an estimated cost of \$72,000.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

SERVICE AGREEMENT FOR PATIENT BILLING SYSTEMS

Currently IDX is providing patient billing/accounts receivable systems for the following clinical departments: Orthopaedic Surgery and Rehabilitation, Psychiatry and Behavioral Sciences, Pediatrics, Medicine, Pathology, Obstetrics and Gynecology, Anesthesiology, Neurology, and Urology.

During the past several years extensive evaluations of billing systems have been performed and are currently being reviewed for the above departments. IDX has been used since 1987 and has met the existing requirements of the departments for billing and information systems. IDX will be the most efficient and economical system for next fiscal year.

Basic service charges and total cost for the clinical departments is expected to remain the same as approved for fiscal year 1989-90 at the September 1989 meeting. Each department has estimated their total annual cost as shown. Funds are available in Professional Practice Plan Central Administration account A00057030092 for this contract.

<u>Department</u>	<u>Est. Annual Cost</u>
Orthopaedic Surgery and Rehabilitation	\$ 50,000
Psychiatry and Behavioral Sciences	94,000
Pediatrics	129,000
Medicine	117,000
Pathology	63,000
Obstetrics and Gynecology	128,000
Anesthesiology	70,000
Neurology	<u>49,000</u>
	\$700,000

President Van Horn recommended the Board of Regents approve the renewal of the contract with the IDX Corporation for patient billing and information systems for the period beginning July 1, 1990 through June 30, 1991 at an estimated cost of \$700,000.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

CONTRACT SOFTWARE RENTAL AND SUPPORT

The Family Medicine Clinic entered into a three-year contract with IDX on June 1, 1987 to upgrade and standardize their computer software for the two Oklahoma City clinics and the clinic at Enid. The contract will end on June 30, 1990 and IDX has offered to extend the existing terms and conditions for another twelve months. In addition to the contract renewal, IDX will support the computer hardware operating system.

The Family Medicine Clinic has evaluated billing systems for the next fiscal year and has found IDX will be the most efficient and economical. These costs are itemized as follows:

<u>Description</u>	<u>Monthly Cost</u>
Software applications:	
Physician Billing and Accounts Receivable	\$ 3,500
Paperless Collection System Software	250
MUMPS M/VX - 16	600
VMS Support operating system	<u>415</u>
	\$ 4,765

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Funds are available in the following budgets:

<u>Budget</u>	<u>Annual Cost</u>
1053540-37512 Family Practice Program Central Clinic	\$41,741.40
1053501-37525 Family Practice Program - Enid	<u>15,438.60</u>
	\$57,180.00

President Van Horn recommended that the Board of Regents approve renewal of the contract with the IDX Corporation for software rental and support of the Family Medicine Clinic computer for the period beginning July 1, 1990 through June 30, 1991 at an annual cost of \$57,180.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

PROFESSIONAL SERVICE AGREEMENTS

The following professional service agreements have been proposed:

Department of Radiological Sciences and the State of Oklahoma Veterans Affairs
- \$41,400

RENEWAL - The Department of Radiological Sciences will provide interpretations of X-ray examinations by members of the Radiology faculty and by at least one Radiology resident. A contract existed in fiscal year 1990 at a funding level of \$39,372. Funds will be deposited and expended through account A0000981, PPP-Radiology.

Department of Family Practice, Tulsa and the Oklahoma Medical Center - \$80,000

RENEWAL - The Department of Family Practice at Tulsa will provide the services and the Hospital agrees to accept the services of a Medical Director of the George Nigh Rehabilitation Institute. A contract existed in fiscal year 1990 at a funding level of \$80,000. Funds will be deposited and expended through account A0006198 - George Nigh Rehabilitation Institute.

College of Medicine-Tulsa and the Department of Human Services - \$600,000

RENEWAL - College of Medicine-Tulsa will provide outpatient clinic services to indigent persons in the Tulsa area. A contract existed in fiscal year 1990 at a funding level of \$600,000. Funds will be deposited and expended through accounts A0050199 - PPP TMC-TMEF Additional Clinic Services, A0030899 - PPP TMC-DHS TMEF PT ED.

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College of Medicine-Tulsa and the Department of Human Services - \$150,000

RENEWAL - The College of Medicine-Tulsa will provide access to comprehensive and coordinated evaluation treatment for children with special health/social conditions of low income families. A contract existed in fiscal year 1990 at a funding level of \$150,000. Funds will be deposited and expended through accounts A0010599 - PPP TMC Children's Clinic, A0005898 - PPP TMC-Clinic Common, A0030599 - PPP TMC-DHS Project Entry.

Department of Psychiatry, Tulsa and Eastern State Hospital - \$127,330

RENEWAL - The Department of Psychiatry, Tulsa will provide the necessary resources to provide psychiatric residency training in the field of law and psychiatry or other psychiatric services. A contract existed in fiscal year 1990 at a funding level of \$122,770. Funds will be deposited and expended through account A0933104 - Psychiatry Residency Program-Tulsa.

Department of Psychiatry and Behavioral Sciences and Central State Griffin Memorial Hospital - \$48,000

RENEWAL - Training will be furnished in child psychiatry to three residents for Central State Griffin Memorial Hospital. The Hospital agrees to pay the Department for the services required by the agreement. A contract existed in fiscal year 1990 at a funding level of \$48,000. Funds will be deposited and expended through account A0300180 - PPP Psych-Independent Operations.

Department of Pathology and the Oklahoma Medical Center - \$75,000

RENEWAL - The Department of Pathology agrees to provide and Oklahoma Medical Center agrees to accept the services of a .75 FTE Pathologist Board Certified in Anatomical Pathology. A contract existed in fiscal year 1990 at a funding level of \$75,000. Funds will be deposited and expended through account A0002484 - OMC Cytopathology Contract.

All contracts have been reviewed by OU Legal Counsel and all are for the period July 1, 1990 through June 30, 1991.

President Van Horn recommended the Board of Regents approve the professional service agreements for the Health Sciences Center as set forth above.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

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CONTRACT FOR MARKETING SERVICES

The Professional Practice Plan faculty members and the Oklahoma Medical Center have a significant need to promote their health care services to the private sector in order to maintain a viable level of private pay clientele. The recent changes approved by the State Legislature eliminated the exclusive arrangement that existed between the Oklahoma Medical Center and its medical staff with the Oklahoma State Educators Employees Group Insurance Board (SEEGIB), whereby nearly \$20 million of third party and private pay health care services were provided this past year to enrollees and their dependents of the SEEGIB plan. The Oklahoma Medical Center and its medical staff must compete with all other SEEGIB in-network hospitals and physicians for those enrollees and dependents of the SEEGIB plan.

At the present time, it is believed all the hospitals with the exception of one, and their respective medical staff members in the Oklahoma City SMSA will participate as in-network providers. This expanded in-network capability for SEEGIB constituents presents a major need to aggressively jointly market the health care services of the College of Medicine clinical faculty and the Oklahoma Medical Center. The marketing program would be developed to include an important public service component to the community through emphasis on education.

The Oklahoma Medical Center has been authorized by the State Legislature to develop and implement a comprehensive marketing plan. They have hired professional and support staff to commence planning and development of the marketing program. The College of Medicine Professional Practice Plan desires to jointly fund the marketing program with the Oklahoma Medical Center due to the interrelationships of the College of Medicine clinical practice with the Oklahoma Medical Center and the potential cost savings via the collaborative effort.

The Professional Practice Plan funds are available in the following accounts for these marketing services. Twenty-five percent (25%) to be paid from A000270 30000 PPP-OTH Medicaid Reimbursement. Seventy-five percent (75%) to be paid from A001191 30000 Medicaid OTH Development Program.

President Van Horn recommended the Board of Regents authorize the Health Sciences Center to issue a purchase order to the Oklahoma Medical Center for marketing services at an estimated cost of \$175,000.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

REINSTITUTION OF CONTRACT WITH PRESBYTERIAN HOSPITAL

The College of Medicine has maintained a joint program with Presbyterian Hospital in Gynecologic Oncology since original approval by the Board of Regents in June, 1988 in which surgeons who are members of our full-time

faculty work both at Oklahoma Medical Center and Presbyterian Hospital. This has been done because it is very difficult to recruit gynecologic oncologists and we have found it impossible to recruit or retain them if restricted to Oklahoma Medical Center. The joint approach makes this somewhat more feasible and benefits the College as well as both hospitals. It is approved by Oklahoma Medical Center.

The following contract provides for two gynecologic oncologists to be recruited to our full-time faculty to work in Oklahoma Medical Center and Presbyterian Hospital. Presbyterian would pay \$60,000 towards the salary of each. A site of practice exception would be necessary.

CONSULTING AGREEMENT

"This Agreement is entered into this 14th day of June, 1990, by and between HCA Health Services of Oklahoma, Inc., an Oklahoma corporation (HSO) doing business as Presbyterian Hospital (Hospital), and the Board of Regents of The University of Oklahoma (University). In consideration of the mutual terms and provisions contained herein, the parties agree as follows:

"1. Recitals. The University's Gynecologic Oncology Section of the College of Medicine has increased the volume of surgical services for the purpose of state-of-the-art training for residents and students, gynecologic care for patients seeking care at the Oklahoma medical Center and clinical research. The University's efforts in this regard have improved the teaching and clinical programs and have expanded the research activities of the College of Medicine. These efforts have resulted in the need for surgical and support services in addition to those now being supplied by the Oklahoma Medical Center. HCA is interested in providing additional facilities to support the mission of the College of Medicine and the University desires to have use of such facilities.

"2. Retention of Services. HSO hereby employs and retains the services of faculty members (Physicians) of the University's Department of Obstetrics and Gynecology, Section of gynecologic Oncology.

"3. Services. Physicians shall perform services for HSO relating to the practice of gynecologic oncology at the Hospital which shall include the following:

"(a) Providing consultation, advice, information and assistance in matters related to gynecological oncology and recommendations for the development and expansion of a gynecological oncology practice at the Hospital.

"(b) Providing or facilitating in consultation with HSO, and participate in educational programs for the staff, patients and personnel of HSO, including continuing medical education and in-service nurse training.

"(c) Providing or facilitating, in coordination and consultation with HSO, an effective and continuous program to assess the quality of care to gynecological oncology patients at the Hospital.

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"(d) Providing consultation and recommendations to HSO on an as-needed basis for purposes of evaluating equipment and supplies and evaluating the provision of services.

"4. Performance. Physicians agree to devote such time, energy and attention to the performance of their duties under this Agreement as are necessary, in the judgment of HSO, to fully develop and promote a gynecological oncology program and service at the Hospital.

"5. Term. The term of this Agreement shall commence on the date hereof and shall continue for a period of one year.

"6. Compensation. For all services to be rendered under this Agreement, HSO shall pay the University \$5,000.00 per month for each Physician participating in the program, up to a maximum of two. Payment shall be made on the 15th day of each month during the term of this Agreement. Notwithstanding any provisions herein, HSO's obligation to make such payment shall be contingent upon at least one gynecological oncologist rendering services under this Agreement.

"7. Termination.

"(a) This Agreement may be terminated in any of the following events:

"(1) By mutual agreement of the parties;

"(2) By either party, with or without cause, upon thirty (30) days prior written notice to the other party.

"8. Governing Law. This Agreement shall be governed by, and construed in accordance with, the internal laws of the State of Oklahoma.

"DATED the day and year first above written."

President Van Horn recommended approval of modifying the contract with Presbyterian Hospital originally approved June, 1988 for provision of gynecologic oncology services by two faculty members of the Department of Obstetrics and Gynecology. He further recommended continuation of an exception of the site of practice policy for these two faculty members.

Regent Gullatt moved approval of the recommendations. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

FINE ARTS CENTER STUDIO THEATER RENOVATION PROJECT

At the June 1988 meeting (page 20324), the Board of Regents approved a project involving the renovation of Room 106 of the Fine Arts Center for use as a studio theater. The project subsequently was approved by the Oklahoma State Regents for Higher Education. Preliminary plans for the project were prepared by Eldon Elder and Associates, a theater design consultant. Based on recommendations prepared by the theater consultant, it was determined that the project needed to be modified to include a theater lighting grid and catwalk system, a theater sound system, a new entrance to the space from the existing lobby and a series of seating platforms. It also was determined that it is necessary to reinforce the existing structural frame of the building to support the proposed interior improvements.

Based on the results of the theater consultant's studies, the Board of Regents approved a plan to enlarge the scope of work for the renovation of Room 106 and increase the project budget to \$600,000 at the January 1989 meeting (page 20847). Funds in the amount of \$150,000 have been obtained from Section 13 and New College Funds while the balance of funds are to be obtained through private fund-raising. At the October 1989 meeting (page 21392), the Board of Regents approved the award of a contract to Elliot Associates Architects, Inc. for the preparation of plans and specifications for the project.

The project includes a new thrust stage and seating for approximately 200 patrons, a lighting grid and catwalk system, an intermission lobby, a scene shop and two green rooms for the performers.

Design development drawings and a study model have been completed by Elliot Associates Architects, Inc. and will be available at the meeting. These plans have been reviewed and approved by the School of Drama, the Dean of the College of Fine Arts, and other University staff subject to the inclusion of review comments in the final drawings. Following approval by the Board of Regents, the design development plans will be used to secure private funds for the project.

President Van Horn recommended that the Board of Regents approve the design development plans for the Fine Arts Center Studio Theater Renovation project which are to be used for fund-raising purposes.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

REAPPOINTMENT OF PATENT ATTORNEY

For the past several years, the Oklahoma City law firm of Dunlap, Coddling, Peterson and Lee has been assisting the University in its patenting and licensing program. The expenses incurred for attorneys' fees, filing costs and other associated activities have been maintained by the firm. They receive

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partial reimbursement from a contractual agreement approved by the University Regents and from University income generated through patenting and licensing revenues.

In May, 1987, the University Regents approved a contractual agreement with Dunlap, Coddling, Peterson and Lee to pay the firm \$2,000 per month as partial reimbursement for the attorneys' fees and out-of-pocket expenses incurred for evaluation of intellectual property and licensing and patent filing fees. For the period July, 1987, through December, 1989, Dunlap, Coddling, Peterson and Lee have paid \$30,129 in expenses and have incurred attorneys' fees in the amount of \$164,848 for a total expense of \$194,977. For this period, they have received payment of \$60,000 through the contractual agreement, and \$5,000 from generated royalty payments. The excess of expenses over reimbursement constitutes a donation to the University. Since the beginning of their association with the University in 1981, they have provided a total of \$475,217 in costs and services and received a total of \$101,000 in compensation.

Dunlap, Coddling, Peterson and Lee is willing to continue providing services under a similar arrangement because they wish to assist the University in developing their technology transfer program. Patenting and licensing activity at the University has increased resulting in additional out-of-pocket expenses and attorney services provided to the University. The proposal for continuation of the agreement between The University of Oklahoma Regents and Dunlap, Coddling, Peterson and Lee in the form of a letter from Mr. Charles Coddling to Mr. Kurt Ockershauser is shown on the following pages. It is recommended the proposal be accepted with the increased fee of \$3,000 per month.

President Van Horn recommended that the Board of Regents authorize the continuation of the appointment of the law firm of Dunlap, Coddling, Peterson and Lee to represent the University in its patenting and licensing program and a fee increase from the current \$2,000 per month to \$3,000 per month for a three-year period beginning July 1, 1990.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

REORGANIZATION OF SERVICE UNITS

Currently, the Equipment Rental Service Unit provides a centralized pool of typewriter and dictating equipment for rental to Health Sciences Center departments and related campus agencies. Maintenance and service agreements on department owned office equipment is also administered by this unit. Equipment Rental is organizationally aligned under the Controller's Office in Administrative Affairs.

The Microcomputer Support Services Service Unit provides hardware maintenance and software support to personal computing and office automation functions of Health Sciences Center departments. Sales of microcomputer equipment, related software, and supplies are also coordinated through this operation. Microcomputer Support Services is organizationally aligned under the Director of Computing Services in Administrative Affairs.

The reorganization of Microcomputer Support Services and Equipment Rental into a single operation will provide a more integrated level of office automation support services at the Health Sciences Center. The new service unit, Microcomputer Support/Equipment Rental, will be aligned under Computing Services and the Vice President for Administrative Affairs, since the majority of services provided will relate to the automated office environment.

President Van Horn recommended that the Board of Regents approve the reorganization of the Microcomputer Support Services and Equipment Rental Service Units into a single service unit operation under the direction of Computing Services for the Health Sciences Center.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

PROPOSALS, CONTRACTS, AND GRANTS

Summaries of proposals for research and training contracts and grants for the Norman Campus and for the Health Sciences Center for April, 1990 were included in the agenda for this meeting. A list of all contracts executed during this same period of time on proposals previously reported was also included.

A summary of the information presented and fiscal year 1989 and fiscal year 1990 month and year-to-date data is as follows:

	<u>Fiscal Year 1990</u>		<u>Fiscal Year 1989</u>	
	<u>April</u>	<u>Year-to-Date</u>	<u>April</u>	<u>Year-to-Date</u>
<u>Norman Campus</u>				
Proposals Submitted	\$10,788,861	\$101,267,899	\$2,787,007	\$121,020,352
Grant & Contract Awards	1,989,207	38,993,195	1,234,271	35,646,754
Total Expenditures	4,382,133	35,247,149	3,486,550	32,127,985
Total Projected Fiscal Year 1990 Expenditures and Fiscal Year 1989 Actual Expenditures		40,500,000		39,270,253

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	<u>Fiscal Year 1990</u>		<u>Fiscal Year 1989</u>	
	<u>April</u>	<u>Year-to-Date</u>	<u>April</u>	<u>Year-to-Date</u>
<u>Health Sciences Center</u>				
Proposals Submitted	\$ 2,794,425	\$ 35,090,771	\$ 2,704,716	\$ 35,840,338
Grant & Contract Awards	587,514	23,512,078	1,567,867	18,527,341
Total Expenditures	1,814,109	15,998,509	1,558,133	13,618,777
Total Projected Fiscal Year 1990 Expenditures and Fiscal Year 1989 Actual Expenditures		19,557,952		16,499,314

President Van Horn recommended that the President of the University or the President's designees be authorized to execute contracts on the pending proposals as negotiations are completed. The contract budgets may differ from the proposed amounts, he said, depending on these negotiations.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

LEASES FOR FAMILY MEDICINE CLINICS

Enid

Bass Memorial Hospital owns the building at 620 South Madison which houses the Family Medicine Clinic in Enid. The proposed renewal lease for 5,000 square feet of space represents the same cost at \$9.62 per square foot as fiscal year 1989-90.

Funds are available in the Health Sciences Center's Professional Practice Plan Enid Family Practice account number A0001090 to cover this contract.

Tulsa

Murphy Properties owns the land and building at 9912 East 21st Street South which houses the Family Medicine Clinic in Tulsa. The proposed renewal lease for 9,000 square feet of space for fiscal year 1990-91 contains the same terms and conditions as the fiscal year 1989-90 lease except cost. The current fiscal year lease cost per square foot is \$7.53 and next year's cost will increase to \$7.553 per square foot per year.

Funds are available in budget account number A0020199, Tulsa Family Medicine Center Marina One, for lease payments.

President Van Horn recommended the Board of Regents approve the renewal of leases for the period July 1, 1990 through June 30, 1991 with (1) Bass Memorial Baptist Hospital for the Enid Family Medicine Clinic at an annual cost of \$48,094 and (2) Murphy Properties, Inc. for the Tulsa Family Medicine Clinic at an annual cost of \$67,980.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

LEASE OF SPACE FOR OPHTHALMOLOGY

The Department of Ophthalmology currently occupies approximately 15,000 square feet of space for academic, research and patient care activities in the McGee Eye Institute. The 1990-91 lease cost will be the same as the 1989-90 cost: \$7.50 per square foot or \$112,500 annually for the lease of the space. The Institute will provide clinic personnel, equipment and janitorial services and supplies at no additional cost. The cost of the lease includes utilities and liability insurance.

Funds are available in the Department's Professional Practice Plan Ophthalmology account A0000076 to pay the lease costs.

President Van Horn recommended the Board of Regents approve renewal of the lease between the McGee Eye Institute and the Department of Ophthalmology for approximately 15,000 square feet of space at an annual cost of \$116,250 for the period July 1, 1990 through June 30, 1991.

Regent Lewis moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

APPOINTMENT OF TRUSTEE FOR LEW WENTZ FOUNDATION

The Lew Wentz Foundation of The University of Oklahoma is a separate foundation established in the 1920's from funds received from Lew Wentz of Ponca City. The purpose of the Foundation is to provide low interest loans to University of Oklahoma students. The Foundation also provides a limited number of service scholarships. The original funds were increased as a result of a bequest included in Mr. Wentz's will. The total assets are now around \$6.8 million.

The Trust Agreement of the Lew Wentz Foundation provides for a Regent Trustee. This individual must be a member of the Board of Regents and must be selected by the Regents. Regent Hogan's current term as a Regent Trustee expires on August 1, 1990.

President Van Horn recommended that the Board of Regents reappoint Regent Sarah C. Hogan as the Regent Trustee on the Lew Wentz Foundation for a term ending on March 21, 1992.

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Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Lewis, Noble, and Gullatt. Regent Hogan abstained. The Chair declared the motion approved.

LEW WENTZ FOUNDATION AGREEMENT

The Lew Wentz Foundation is a charitable foundation established by Lew Wentz of Ponca City to assist deserving students attending The University of Oklahoma in obtaining an education by making loans to them. The agreement proposed on the following pages basically reflects the long-standing custom and practice of the University with respect to servicing the Lew Wentz Foundation. It is intended to meet the requirements of 70 O.S. Supp. 1989, Section 4306(c) which states that, "the Regents or governing board of such institution (of higher education) or agency shall not...render services or provide anything of value to any such foundation without receiving documented, adequate payment or reimbursement therefor according to written contract..."

At its meeting on April 26, 1990, the Trustees of the Lew Wentz Foundation approved the agreement and authorized Mr. Robert B. Lewis, Alumni Trustee, to execute the agreement on behalf of the Lew Wentz Foundation.

The agreement will be automatically extended for successive one-year periods subject to the annual determination of the administrative expense reimbursement from the Foundation to the University.

The agreement was developed and approved by University Counsel.

President Van Horn recommended that the Board of Regents approve entering into the agreement with the Lew Wentz Foundation of The University of Oklahoma as follows:

This Agreement is made and entered into by and between the Board of Regents of The University of Oklahoma, an agency of the State of Oklahoma, hereinafter referred to as "University", and the Lew Wentz Foundation of The University of Oklahoma, a private foundation with its principal place of business in Norman, Oklahoma, hereinafter referred to as "Foundation", with reference to the following facts:

1. The Foundation is a charitable foundation established by Lew Wentz, creator of a Trust to assist deserving students attending The University of Oklahoma in obtaining an education by making loans to such deserving students.

2. The University desired to cooperate with the Foundation in its efforts to assist said deserving students of the University by rendering certain support services to the Foundation necessary to effectuate the loans.

3. The parties desire to enter into this Agreement to set forth the various support services to be provided by University and the compensation therefor from the Foundation.

NOW THEREFORE, in consideration of the mutual covenants contained herein, the parties agree as follows:

ARTICLE I. TERM

This Agreement shall commence on the 1st day of July, 1990, for a term of one year (1) year, and unless either party notifies the other as set forth hereinafter at least sixty (60) days before the expiration of the term of this Agreement or of any renewal of the term of this Agreement of its intention not to renew and continue the Agreement, such terms will be automatically extended for a further period of one year in which event all the terms and provisions hereof shall continue in full force and effect subject to the annual determination of the administrative expense reimbursement.

ARTICLE II. SERVICES

University shall provide certain administrative support services to Foundation with regard to the administration of the loan program for deserving students at The University of Oklahoma. Such services shall include, but not necessarily be limited to, reviewing all financial aid applications, processing said applications, awarding funds to said deserving students, preparing promissory notes and all support documentation for said notes, issuing checks, pursuing collections on delinquent loans, and performing accounting functions for the Foundation.

ARTICLE III. PAYMENT

In return for services rendered pursuant to this Agreement, Foundation agrees to reimburse University for administrative expenses incurred by University in accordance with an annual statement of services and payments submitted by University to Foundation. Such statement shall be based upon the reasonable expenditure of time and resources for said support services. The calculation of the reimbursement shall be made annually to reflect costs incurred for the prior fiscal year. Reimbursement to University for the preceding fiscal year (July - June) shall be made at such times, either in whole or in part, as determined by University.

ARTICLE IV. RELATIONSHIP

In the performance of all services rendered under this Agreement, University shall act solely as an independent contractor, and nothing herein shall at any time be construed to create the relationship of employer and employee, partnership, principle and agent, or joint venture as between the parties.

ARTICLE V. INSPECTION OF RECORDS

University agrees that any financial books and records relevant to the subject matter of this Agreement may be examined by Foundation during University business hours upon reasonable notice.

ARTICLE VI. ASSIGNMENT

University may not assign all or any part of the above described services to any other person, agency, firm or corporation without the prior written approval of the Foundation to such assignment.

ARTICLE VII. DEFAULT

In the event Foundation fails to tender reimbursement payments to University, as provided in this Agreement, or in the event either party fails to comply with any of its obligations under this Agreement, the other party may serve on the defaulting party, a notice of default specifying the nature thereof. If the default is not cured within fifteen (15) days of the notice of default, the other party may then serve its notice of termination, and this Agreement shall be automatically terminated on the date provided in said notice of termination.

ARTICLE VIII. WAIVER

Waiver of any breach of any provision of this Agreement by the other shall not operate or be construed as a waiver of any subsequent breach.

ARTICLE IX. NOTICES

Unless otherwise agreed in writing, any notice required hereby to be given by either party to the other shall be in writing and may be sent by Registered or Certified Mail with postage prepaid, to:

University: Secretary, Board of Regents
University of Oklahoma
660 Parrington Oval, Norman, Oklahoma 73019

Foundation: Secretary, Lew Wentz Foundation
University of Oklahoma
731 Elm Street, Norman, Oklahoma 73019

ARTICLE X. ENTIRE AGREEMENT

This Agreement constitutes the entire agreement and understanding between the parties with respect to the matters contained herein and supersedes all other agreements between and representations by the parties with respect to such matters.

ARTICLE XI. BINDING EFFECT

This Agreement shall be binding upon, and shall inure to the benefit of, the respective parties hereto, their successors, legal representatives, and to the extent herein permitted, assigns.

ARTICLE XII. AMENDMENT

This Agreement may not be modified, altered or amended unless in writing duly executed by the parties hereto except as set forth in Articles I and III.

ARTICLE XIII. GOVERNING LAW

This Agreement and any performance hereunder shall be construed and enforced in accordance with, and governed by, the laws of the State of Oklahoma.

ARTICLE XIV. SEVERABILITY

The provisions of this Agreement are severable, and if any such provision shall be held illegal, invalid, or unenforceable, by a court of competent jurisdiction, this Agreement shall be interpreted as if such invalid agreements or covenants were not contained herein and shall not affect the legality, validity, or enforceability of any other provision hereof.

ARTICLE XV. COUNTERPARTS

This Agreement may be executed in one or more counterparts, each of which shall, for all purposes of this Agreement, be deemed an original, but all of which shall constitute one and the same Agreement.

ARTICLE XVI. EFFECTIVE DATE

Notwithstanding the date of execution and delivery of this Agreement, the parties hereto agree that the terms of this Agreement shall be effective for all purposes as of the date set forth in Article I.

IN WITNESS WHEREOF, the parties have executed and delivered this Agreement on the date and year first above written.

Regent Gullatt moved approval of the recommendation. The following voted yes on the motion: Regents White, Hogan, Lewis, Noble, and Gullatt. The Chair declared the motion unanimously approved.

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CENTER FOR FINANCIAL STUDIES

The College of Business Administration, through its Division of Finance, has established a Center for Financial Studies. The mission of the Center is to support scholarly research and sponsor educational programs which will improve and share knowledge among finance students, executives and academicians.

The rapid changes occurring in the financial world make it imperative for those involved to be knowledgeable of the innovations, problems and opportunities prevailing in all sections of the financial markets. The Center for Financial Studies will assume an important leadership role in this area of growing importance by directing its programs toward mid- and top-level finance executives and toward academicians with research and teaching interests. The focus on decision-makers of industry should ensure that the Center's programs will influence current and future industrial practices, while the academic focus will develop the basic research component of the Center.

The establishment of the Center for Financial Studies fulfills one of the objectives of the College's Strategy for Excellence, which is to develop a vehicle for "improving our interactions with and obtaining support from external constituencies." Furthermore, the Center will provide the research and educational resources needed to retain the outstanding faculty the College has assembled and to achieve the College objective of being recognized as a top twenty publicly-funded College of Business Administration.

The Center will be funded from private sources and from revenue from educational programs.

This was presented for information. No action was required.

REGENTS' ENDOWMENT INVESTMENTS

During the month ended April 30, 1990, the following investment transactions were made based upon investment recommendations provided by J. & W. Seligman & Co.:

Sales:

2,000 Shares	American Television & Communications
2,600 Shares	Bank of New York
1,800 Shares	Quaker Oats
600 Shares	Time Warner, Inc.
500 Shares	Vivra, Inc.
1,600 Shares	Wells Fargo

June 14, 1990

21885

Purchases - Additions to current holdings:

2,000 Shares	Contel Corporation
2,100 Shares	Cooper Industries

Purchases - new holdings:

4,000 Shares	Biomet
2,000 Shares	Schering-Plough

Biomet is the fourth largest producer of orthopedic implants in the United States. The company's primary product line includes implants for the partial or total replacement of hips and knees. Biomet has been gaining market share within this attractive industry by offering the latest advances in technology. The company spends about 7% of sales of over \$120 million on research and development to stay in the forefront of orthopedic technology. Biomet also has a consistent record of above average profitability and a conservative balance sheet with little long-term debt. Earnings have reached new record highs each year since the stock began trading publicly in 1982.

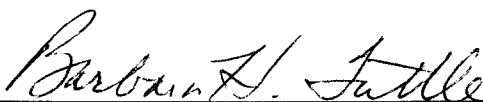
Schering-Plough is a leading producer of pharmaceuticals and consumer products with sales of \$3.2 billion. Pharmaceutical products account for about three-fourths of consolidated revenues and include major market positions in anti-asthma drugs, dermatologicals, anti-infectives and cardiovascular drugs. Consumer products are composed of well-known brand names such as Maybelline, Dr. Scholl's and Coopertone. Over the next several years, the company is very hopeful of obtaining FDA approval to market a large number of new products in the United States. With a steadily expanding product line and increasing profitability from new products, cash flow from operations is expected to continue to be more than adequate to fund future growth.

The allocation of resources within the fund following these investment transactions was well in line with the Regents' investment policy. A schedule was distributed presenting the status of the Regents' Endowment and Other Participating Funds Consolidated Investment Fund as of April 30, 1990.

President Van Horn said the University Trust Officer and he approved the Seligman recommendations, and these transactions have been completed.

This report was presented for information. No action was required.

There being no further business, the meeting adjourned at 2:50 p.m.



Barbara H. Tuttle
Executive Secretary of the Board of Regents

The University of Oklahoma
Statement of Revenues and Expenditures
All Funds, All Campuses
For the Period Ending April 30, 1990

	Original Annual Budget	Current Revised Annual Budget	Percent of Total	Current Y-T-D Actual	Percent of Current Budget	Previous YTD Actual	Percent of Previous Year Current Budget
Revenue:							
State Appropriations	\$124,883,477	\$124,958,477	31.8%	\$104,107,040	83.3%	\$95,932,512	83.0%
Student Tuition and Fees	36,120,092	36,220,092	9.2%	31,924,585	88.1%	29,625,161	94.7%
Federal Grants and Contracts	52,117,812	52,482,705	13.4%	47,085,584	89.7%	46,390,050	92.7%
State and Local Grants and Contracts	13,585,803	13,606,774	3.5%	11,485,695	84.4%	9,235,236	77.1%
Private Gifts, Grants and Contracts	16,815,129	18,948,682	4.8%	12,413,791	65.5%	10,610,064	73.3%
Endowment Income	736,834	736,834	0.2%	2,032,643	275.9%	488,553	16.7%
Sales & Services of Educational Activities	3,509,366	3,509,366	0.9%	2,484,939	70.8%	2,011,178	63.0%
Auxiliary Enterprises	55,560,149	55,560,149	14.1%	50,304,424	90.5%	46,895,594	79.7%
External Service Units	7,912,600	8,412,600	2.1%	7,881,478	93.7%	6,902,903	81.6%
Professional Practice Plan	56,929,000	58,734,290	15.0%	50,270,489	85.6%	45,786,443	87.6%
Residency Income	4,049,771	4,049,771	1.0%	3,679,379	90.9%	3,129,093	78.5%
Other Sources	12,237,135	10,431,845	2.7%	9,619,391	92.2%	9,747,376	93.9%
Subtotal Revenue	384,457,168	387,651,585	98.7%	333,289,438	86.0%	306,754,163	84.4%
Budgeted Reserve	81,932	81,932	0.0%	81,932	100.0%	678,702	100.0%
Current Distributions from Reserve	0	5,092,749	1.3%	5,092,749	100.0%	7,149,602	100.0%
Total Revenue	384,539,100	392,826,266	100.0%	338,464,119	86.2%	314,582,467	84.7%
Expenditures:							
Teaching Salaries	66,552,120	67,455,911	17.2%	52,758,042	78.2%	49,092,494	78.1%
Other Professional Salaries	62,939,728	64,264,110	16.4%	55,341,324	86.1%	47,773,998	82.2%
Hourly Staff Salaries	53,642,294	54,271,463	13.8%	47,230,456	87.0%	42,988,042	83.4%
Associated Fringe Benefits	37,060,843	37,308,836	9.5%	30,614,673	82.1%	26,106,648	76.5%
Prof. Practice Salary Supplements	20,045,993	20,045,993	5.1%	18,707,025	93.3%	16,218,502	86.7%
Supplies and Materials	16,062,110	15,702,094	4.0%	15,007,639	95.6%	13,648,776	90.6%
Library Books and Periodicals	2,850,779	3,150,779	0.8%	2,345,064	74.4%	2,172,853	73.4%
Equipment	11,206,421	13,428,876	3.4%	12,282,433	91.5%	14,105,472	117.0%
Travel	4,840,081	5,762,172	1.5%	4,974,897	86.3%	4,923,123	95.6%
Communication	6,005,672	6,085,015	1.6%	5,598,127	92.0%	4,834,871	96.0%
Utilities	14,072,587	14,676,587	3.7%	11,983,381	81.7%	12,006,929	85.6%
Computing Supplies and Equipment	5,691,392	8,447,117	2.2%	6,541,022	77.4%	7,300,947	91.8%
Maint. & Repair of Buildings & Grounds	11,466,501	11,663,032	3.0%	9,038,951	77.5%	8,127,489	70.1%
Professional and Technical Fees	4,879,819	6,657,064	1.7%	6,800,220	102.2%	4,578,377	91.5%
Contractual & Related Current Expenses	35,020,727	35,084,093	8.9%	25,263,631	72.0%	24,648,675	67.4%
Scholarships and Fellowships	8,026,775	8,199,429	2.1%	9,379,748	114.4%	8,975,341	118.6%
Merchandise Purchased for Resale	4,191,809	4,017,756	1.0%	3,864,393	96.2%	4,611,078	122.7%
Debt Service	3,946,996	3,379,891	0.9%	1,696,553	50.2%	1,400,062	41.0%
Indirect Cost Recovery	6,464,325	6,664,325	1.7%	5,820,327	87.3%	5,244,082	61.2%
Premiums-Property/Liability Insurance	2,992,681	3,492,681	0.9%	3,052,830	87.4%	2,868,815	118.3%
Patient Refunds	2,464,971	870,948	0.2%	771,871	88.6%	905,772	96.1%
Laboratory Testing	659,670	819,876	0.2%	765,311	93.3%	562,093	82.6%
Membership/Registration Fees	915,429	1,215,429	0.3%	1,170,585	96.3%	936,179	104.6%
Total Expenditures	381,999,723	392,663,477	100.0%	331,008,503	84.3%	304,030,618	82.4%
Current Revenues							
over/(under) Expenditures	\$2,539,377	\$162,789		\$7,455,616		\$10,551,849	
Internal Service Units	\$75,911,239	\$77,411,239	100.0%	\$64,662,498	83.5%	\$57,026,611	91.1%

The University of Oklahoma
Summary of Projected Reserves
For the Period Ending April 30, 1990

Schedule II

GENERAL UNIVERSITY RESERVES

DEFINITION OF RESERVES: *That portion of the University's resources which are not currently budgeted for expenditure or otherwise held for specific future uses, projected to year end. As such, resources of this nature are available to fund future capital projects, operating needs, and/or unforeseen contingencies for any lawful purpose of the University.*

<u>TYPE/SOURCE OF RESERVE</u>	<u>NORMAN</u>	<u>HSC</u>	<u>TOTAL</u>
UNALLOCATED GENERAL UNIVERSITY RESERVES			
Norman Campus	\$3,318,327		\$3,318,327
Health Sciences Center		\$1,325,677	1,325,677
Law Center	480,068		480,068
Oklahoma Geological Survey	143,000		143,000
TOTAL GENERAL UNIVERSITY	3,941,395	1,325,677	5,267,072
GRANTS AND CONTRACTS INDIRECT COST REIMBURSEMENT	65,322	936,568	1,001,890
AGENCY SPECIAL (Unrestricted Interest Income)	94,303	2,098,158	2,192,461
REVENUE BOND RESERVES IN EXCESS OF REQUIRED AMOUNTS			
Utility System	205,040	670,502	875,542
Housing System	344,273	0	344,273
Student Facilities	607,762	0	607,762
Stadium System	461,524	0	461,524
TOTAL REVENUE BOND RESERVES (Excess)	1,618,599	670,502	2,289,101
SECTION 13/NEW COLLEGE	36,055	0	36,055
TOTAL PROJECTED RESERVES AS OF JUNE 30, 1990	\$5,755,674	\$5,030,905	\$10,786,579

- (1) *Net of working capital requirements of \$3,283,990 for the Norman Campus Sponsored Programs, and \$2,000,000 for the Health Sciences Center Sponsored Programs.*
- (2) *Amount which can be invested for general institutional purposes for the University. The interest earnings are used for general institutional purposes.*
- (3) *Amount which can be invested for the benefit of each individual bond system.*

AUXILIARY & SERVICE UNIT DESIGNATED RESERVES

<u>TYPE/SOURCE OF RESERVE</u>	<u>NORMAN</u>	<u>HSC</u>	<u>TOTAL</u>
AUXILIARY ENTERPRISES			
Contingency Reserves	\$475,224	\$2,352	\$477,576
Renewals & Replacements	500,755	10,880	511,635
Capital Improvements	356,644	0	356,644
Debt Service (Required Reserve at Trustee Bank)	3,237,988	0	3,237,988
Total Auxiliary Enterprises	4,570,611	13,232	4,583,843
SERVICE UNITS			
Contingency Reserves	702,764	182,442	885,206
Renewals & Replacements	1,891,802	819,105	2,710,907
Capital Improvements	329,828	201,277	531,105
Debt Service (Required Reserve at Trustee Bank)	1,558,311	9,207,987	10,766,298
Total Service Units	4,482,705	10,410,811	14,893,516
TOTAL DESIGNATED RESERVES	\$9,053,316	\$10,424,043	\$19,477,359

Total University Combined Statement of Changes in Fund Balance

For the Period Ending April 30, 1990

	Current Revised Annual Budget	Beginning Fund Balance (1)	Y-T-D (2) Additions	Y-T-D (2) Deductions	Ending Fund Balance
Norman Campus					
Educational and General	\$117,590,254	\$21,554,525	\$92,265,595	\$93,692,487	\$20,127,633
Grants and Contracts	40,500,000	2,347,616	34,132,119	35,247,149	1,232,586
Private Gifts	820,528	6,568	313,324	630,082	(310,190)
Endowment Funds	286,834	5,230,726	2,032,643	314,830	6,948,539
Sales & Services of Educ. Activities	2,724,366	1,001,449	1,838,751	1,856,498	983,702
Auxiliary Enterprises	54,954,521	6,405,146	49,737,071	46,369,584	9,772,633
Scholarships	5,390,419	52,601	6,422,790	6,418,987	56,404
Student Loans	1,775,000	12,674,612	1,811,967	1,903,289	12,583,290
Institutional Support Activities	682,024	326,844	1,349,257	1,319,285	356,816
Student Activity Fees	1,294,719	412,664	1,338,570	1,240,947	510,287
Student and Other Organizations -					
Agency Accounts	3,161,891	1,715,254	3,174,850	4,014,862	875,242
Internal Service Units	64,042,338	7,022,355	53,936,015	54,588,287	6,370,083
Total Norman Campus	293,222,894	58,750,360	248,352,952	247,596,287	59,507,025
Law Center	5,121,465	746,228	4,423,863	3,779,909	1,390,182
Oklahoma Geological Survey	1,993,300	79,776	1,653,738	1,613,712	119,802
Health Sciences Center					
Educational and General	56,073,944	1,365,677	47,122,486	48,170,487	317,676
Grants and Contracts	27,681,696	4,006,625	22,757,257	22,757,257	4,006,625
Private Gifts	0	0	0	0	0
Endowment Funds	450,000	0	0	0	0
Sales & Services of Educ. Activities	0	0	0	0	0
Auxiliary Enterprises	1,105,628	230,881	567,353	589,689	208,545
External Service Units	7,912,600	1,836,435	7,881,478	7,391,679	2,326,234
Professional Practice Plan	58,734,290	31,750,556	50,270,489	49,626,311	32,394,734
Scholarships	103,345	0	67,176	67,176	0
Student Loans	150,000	5,055,119	82,823	12,850	5,124,992
Residency	4,049,771	7,878	3,679,379	3,694,657	(7,400)
Student Activity Fee	175,000	120,887	266,804	224,651	163,040
Student and Other Organizations					
Agency Accounts	94,671	48,085	99,655	72,125	75,615
Internal Service Units	13,368,901	2,789,345	9,846,531	10,074,211	2,561,665
Total Health Sciences Center	169,899,846	47,211,388	142,641,431	142,681,093	47,171,726
Less Internal Service Units	77,411,239	9,811,700	63,782,546	64,662,498	8,931,748
GRAND TOTAL	\$392,826,266	\$96,976,052	\$333,289,438	\$331,008,503	\$99,256,987

(1) Accrual based Fund Balance as of 6/30/89.

(2) Cash Basis.

**Statement of Plant Funds
All Funds, All Campuses**

For the Period Ending April 30, 1990

<u>Funding Source</u>	<u>Project Budget</u>	<u>Allotment This Year</u>	<u>Allotment To Date</u>	<u>Expenditures This Year</u>	<u>Expenditures To Date</u>	<u>Allotment Balance</u>
State Appropriations	\$27,114,655	\$254,700	\$21,094,655	\$1,870,324	\$20,634,643	\$460,012
Federal Grants and Contracts	15,162,789	1,947,639	12,268,618	1,123,162	9,308,867	2,959,751
State Grants and Contracts	0	0	0	0	0	0
Private Gifts, Grants and Contracts	41,362,840	1,271,443	26,901,203	858,056	25,861,308	1,039,895
Section 13/New College	16,387,732	4,027,308	15,113,019	2,501,211	11,417,790	3,695,229
Other Income - Bonds	38,977,371	282,723	38,977,371	715,243	37,132,158	1,845,213
Auxiliaries	2,426,951	625,794	2,080,949	667,188	1,567,857	513,092
Total	\$141,432,338	\$8,409,607	\$116,435,815	\$7,735,184	\$105,922,623	\$10,513,192

**Comprehensive Operating Budget Summary
FY91 Proposed Budget**

As a major doctoral research university and a primary research component of the state higher education system, the University has developed a strategic plan to better serve the people of Oklahoma and the nation. The Strategy for Excellence for the Norman Campus was approved by the University of Oklahoma Board of Regents in December, 1988 and the Strategic Plan for the Health Sciences Center, in December, 1989. A combined Strategic Plan was submitted to the State Regents in April of 1990 and serves as a guide in budget development as well as approaching day-to-day decisions throughout the University. The broad goals and priorities established in the Strategic Plan for the University are as follows:

1. To provide outstanding undergraduate education for students who will become leaders in the state and the nation;
2. To achieve excellence in graduate and professional education, offering programs in selected areas that are strong at the University and important to the state;
3. To enhance significantly the quality of scholarship and creative activity and to increase the total external funding for research;
4. To increase the cultural and ethnic diversity and understanding on each campus;
5. To provide academic programs of distinction built on outstanding library and computing resources;
6. To meet the growing demand for continuing education resulting from demographic, career and technological changes of society; and,
7. To advance knowledge in the arts and humanities, enrich the campuses and communities, enhance Oklahoma's quality of life and thereby contribute to the state's economic development.

The University's budget increased \$22.2 million (5.7 percent) this year, for a record \$409 million budget. An analysis of the changes are as follows:

State appropriations	\$13.0 million
Tuition and fees	(2.7) million
Gifts, grants and contracts	8.0 million
Health Sciences Center PPP	2.3 million
Health Sciences Center Residency	.5 million
Endowment	.2 million
Sales and services of educational activities	.8 million
Auxiliary enterprises	(3.2) million
Service unit sales	1.1 million
Other sources	<u>2.2 million</u>
Total	<u>\$22.2 million</u>

The FY91 budget plans for each campus were targeted to improve quality and excellence of education. The new funding received in Educational and General budgets funded programs directly related to the core instructional and research functions as are outlined in the strategic plan.

To maintain excellence in education, a first priority in funding was directed toward faculty salary increases for which merit was the sole criterion. Funds were provided centrally to fund 5 percent average salary increases for faculty on all campuses and 2.5 percent of the target 4 percent average minimum required for staff on the Norman Campus. The 4 percent funding for average staff salary increases was provided to budget units at the Health Sciences Center. With the exception of the merit criterion and minimum targets for faculty and staff salary increase averages, deans and directors were encouraged to use their best analysis and judgement in allocation and reallocation of new and existing budget resources to better attain departmental objectives. This new approach allowed better use of existing funds, saving a balance of the new resources for strengthening enrollment and retention efforts, increasing the potential for external funding for research activity, placing emphasis on teaching excellence, expanding the general education curriculum, increasing resources for minority programs, adding to library collections, supporting growing research and instructional computing needs, and increasing the fund raising capacity of the University.

The following summary presents specific funding recommendations for the Norman Campus, the Law Center, the Oklahoma Geological Survey and the Health Sciences Center.

Norman Campus: The Norman Campus' total budget for FY91 is \$231.9 million. This amount represents a \$10.0 million (4.5 percent) net increase over the previous year. Of the total increase, state appropriations accounted for \$7.5 million (10.4 percent increase); gifts, grants and contracts \$5.2 million; activities related to educational activities \$.8 million; endowment \$.2 million; other sources \$2.0 million (including \$1.3 million for noncredit continuing education fee increases); and tuition and fees and auxiliary enterprises decreases of \$2.6 million and \$3.1 million, respectively.

No tuition increase was approved by the Legislature and no change in student enrollment is projected for FY91. Additional fee waivers of \$1.2 million, a tuition revenue adjustment in the revolving fund of \$1.2 million as a result of the drop in enrollment between FY89 and FY90, and a decrease in projected continuing education credit course revenues of \$.3 million, make up the \$2.6 million decrease in tuition and fee revenue. The net Educational and General revenue increase for the Norman Campus is \$8.3 million (7.4 percent increase).

The major funding emphasis on the Norman Campus is academic excellence; over 80 percent of funds available for distribution were directed toward academic programs. The budget allocation process for degree granting colleges developed over the past year involves both a formula and a qualitative assessment. The budget formula gives weight to credit hours produced, degrees conferred, majors, grants and contract activity as well as publications and creative activity. The qualitative assessment was based on the following factors found in the Strategy for Excellence goals or actions: minority and women faculty, past involvement in general education, past involvement in the Honors Program, research trends over five years, private fund raising, cultural outreach and public service, national recognition, interdisciplinary research graduate student recruiting, teaching load, participating in the University Center at Tulsa, and an evaluation of college progress in meeting Strategy for Excellence and college objectives.

Increases to degree granting colleges totalled \$2.1 million. New funds distributed to colleges, combined with budget flexibility given to deans and academic directors, provided salary

increases of 5.3 percent for faculty and 4.6 percent for staff in academic areas as well as 6 new faculty positions and 10 new academic staff positions in areas such as admissions, the honors program and minority research. In addition, due to the new three-to-one salary match from the Provost for minority and women faculty recruiting, the University expects another six to eight faculty positions to be added in FY91.

In addition to resources distributed to colleges, funds were budgeted to increase programs that should generate additional research dollars for the University, such as \$126,180 to establish a federal relations office and \$262,472 to increase incentives for research grants. The University in the Strategy for Excellence has also placed significant priority on services that insure increased recruitment capability and student success, placing additional funding of \$1.2 million in student tuition and fee waivers, \$100,000 in the Center for Research on Minority Education, \$290,697 in the Enrollment Management Board activities, \$73,000 in the honors program, and additional funding for the scholars program.

To support another primary goal of the Strategy for Excellence, computing support for growing research and instructional needs was increased by \$200,000 and the library acquisitions budget was increased by \$100,000. Also stressed by the State Regents and the University is the general education core curriculum for which funding was increased by \$100,000.

The budget for fund raising was increased by \$168,500. This allocation should result in increased revenues to the University, principally in raising funds for endowed positions, scholarship aid, completion of funding for capital projects, and other special programs. The additional development capacity will also strengthen the University's position to acquire large gifts.

A new position in the Controller's area should contribute to the effective implementation and maintenance of the new sophisticated accounting system to be implemented during FY91. Approximately six positions in the development and student recruiting areas were added.

Funds in the amount of \$843,296 were allocated for fixed cost rate increases in utilities and fringe benefits such as FICA and health insurance. The University funded \$132,000 for property insurance as required by state law and \$110,000 for the forthcoming postage rate increase.

The Continuing Education and Public Service budget increased by \$1.6 million from growth revenues generated from external noncredit sources. The FY91 budget proposes an increase of \$208,000 to expand Television and Satellite Service Operations and programs; \$242,000 in the Tulsa continuing education office including the National Resource Center for Youth Services; \$110,000 for the Center for Child and Family Development; \$352,000 for the first time inclusion of the Center for Aerospace Programs, and \$179,000 to enable the Continuing Education and Public Service component to secure more grants and contracts.

On the Norman Campus, revenues for auxiliary budgets decreased by \$3.1 million, largely attributable to contracting out the operation of the Book Exchange. Auxiliary revenue increases included \$541,000 for the athletic department because of increased ticket prices and various other small price increases in the auxiliary budgets.

Budgets for internal service units increased by \$7.3 million. This included a reclassification of Public Safety and Architectural and Engineering Services to the service unit category as recommended by the Cost and Pricing Task Force last summer.

Law Center: The Law Center's total budget for FY91 is \$5.7 million. This amount is a 13.3 percent increase or \$670,057 consisting of a projected \$226,597 tuition and fee revenue increase resulting from more nonresident students, an increase in state appropriations of \$296,397, and other increases of \$147,063. The Law Center provided an average 9.1 percent increase in faculty salaries and a 6.1 increase in staff salaries. To improve the quality of legal education by improving curricular offerings, one new faculty position and two staff positions were added to the budget. The goal of improving overall support of the law library was addressed by the addition of \$25,800 to the law library acquisitions budget. Increasing tuition fee waivers by \$40,000 for the College of Law should help the Law Center meet the Strategy for Excellence goal of increasing minority student representation.

Oklahoma Geological Survey: The Oklahoma Geological Survey's total budget for FY91 is \$2,048,487, a 5.5 percent increase over the previous year. The survey received an increase in state appropriations of \$91,833 (5.0 percent) and projects an increase in sales and services of \$15,500. These increases in revenue will provide funds for a 3.8 percent average staff salary increase and an increase in the survey's maintenance and operations budget.

Health Sciences Center: The Health Sciences Center's (HSC) total budget for FY91 is \$169.4 million. This amount represents a \$11.4 million or 7.2 percent increase when compared to FY91. Of the total net increase, state appropriations accounted for \$5.1 million; gifts, grants and contracts \$2.7 million; Professional Practice Plan (PPP) \$2.3 million; external service units \$1.1 million; Tulsa residency \$.5 million; auxiliary enterprises (\$74,648), endowment \$50,000, and other \$121,001. Tuition and fees were not increased for FY91. The budget for tuition and fees reflects a slight decrease, \$271,671, as a result of adjusting budgeted tuition and fees to a more accurate amount.

Enrollment at the Health Sciences Center is expected to grow 2 to 3 percent in FY91. This growth is a result of strategically positioned graduate programs (Public Health and Nursing) in Oklahoma City and Tulsa.

Professional Practice Plan revenues for FY91 (\$60,601,620) are projected at a 4 percent growth rate, compared to 15 percent to 20 percent in prior years. The small projected growth reflects possible revenue reductions because of changes in the state employee's health insurance program. At this time it is difficult to project the actual impact of the insurance program changes on the PPP.

Gifts, grants and contracts revenue has increased in each of the past five years and are projected to increase 9.6 percent next year. This is a positive reflection of the continued growth in fundamental research and emphasis in the fields of molecular and cell biology, cancer, and neuroscience.

External service units sales will grow as a result of two factors. They are: (1) the Microcomputer and Data Communications department will greatly expand its sales and maintenance activities, and (2) a greater demand for new telephone systems by internal and external users and the need for more dedicated computer lines and FAX machines.

The Tulsa Residency program is projected to grow by almost \$.5 million. The growth is a result of filling additional residency slots in Tulsa and will be funded by the Physicians Manpower Training Commission (PMTTC) and area hospitals.

The primary focus of the distribution of new Educational and General funds at the Health Sciences Center was for faculty salary increases and academic program commitments. All

deans and directors were given great flexibility in the allocation of new funds and the reallocation of existing funds. Some colleges focused on new faculty positions to meet enrollment growth and research demands while other colleges focused on meeting peer faculty salary objectives and increases in operating budgets.

Five new positions were added in each of the Colleges of Medicine and Public Health, one new position in each of the Colleges of Allied Health and Dentistry, and three new faculty positions in the College of Pharmacy to initiate the new Pharmacy Doctorate Program. The fifteen new positions including benefits, total \$1.1 million. An additional \$50,000 each was allocated to the Colleges of Allied Health and Dentistry for operating expenses.

A total of \$2,569,000 million was allocated across campus for faculty and nonfaculty merit salary increases and benefits. Excluding the College of Medicine, the average faculty and nonfaculty salary increases were 5.6 percent and 4.3 percent, respectively. The College of Medicine focused new state resources on nonfaculty salaries to achieve a 4.8 percent average increase. The average faculty increase for the College of Medicine is 3.8 percent but does not include a possible increase in supplements from PPP funds.

Grants and contracts expenditures have doubled over the past five years which necessitates increased support of the research infrastructure. New funds allocated for research support total \$398,000, including Research Administration, Grants and Contracts Administration, and Environmental and Radiation Safety. The campus reliance on budgeted salary savings was reduced by one-half or \$320,000. This permits the colleges to utilize the salary savings from vacant positions for other purposes such as equipment purchases and other expenditures of a noncontinuing nature.

The net increased operating cost of the Rogers Building, \$61,000, and utility cost increase of \$292,500 were funded, and \$250,000 has been added to the budget to reflect the HSC's share of selected Norman Campus administrative costs. In addition, funds were provided to cover the inflationary cost of FICA, health and dental insurance, the teachers' retirement system, and \$100,000 was allocated to the library.

The University of Oklahoma
Comprehensive Operating Budget Summary
FY89 Through FY91

ALL CAMPUSES

Revenues	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
State Appropriations	\$115,397,476	\$124,883,477	8.2%	\$137,878,210	10.4%
Student Tuition and Fees	31,045,921	36,120,092	16.3%	33,432,299	-7.4%
Federal Grants and Contracts	46,378,649	52,117,812	12.4%	56,304,030	8.0%
State and Local Grants and Contracts	11,705,568	13,585,803	16.1%	14,726,035	8.4%
Private Gifts, Grants and Contracts	14,666,016	16,815,129	14.7%	19,505,792	16.0%
Endowment Income	893,716	1,109,451	24.1%	1,312,332	18.3%
Sales & Services of Educational Activities	2,806,340	3,509,366	25.1%	4,322,169	23.2%
Auxiliary Enterprises	53,002,249	55,508,133	4.7%	52,291,720	-5.8%
External Service Units	8,361,247	8,468,445	1.3%	9,515,258	12.4%
Professional Practice Plan	56,087,120	58,334,290	4.0%	60,601,620	3.9%
Residency Income	3,713,702	4,433,824	19.4%	4,918,610	10.9%
Other Sources	11,574,792	11,956,661	3.3%	14,218,188	18.9%
Total Revenue	\$355,632,796	\$386,842,483	8.8%	\$409,026,263	5.7%

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$59,214,445	\$66,507,619	12.3%	\$73,738,185	10.9%
Other Professional Salaries	55,421,962	63,960,995	15.4%	67,993,861	6.3%
Hourly Staff Salaries	50,347,754	53,055,794	5.4%	55,939,339	5.4%
Associated Fringe Benefits	30,980,689	36,106,622	16.5%	39,160,347	8.5%
Prof. Practice Salary Supplements	19,092,449	20,045,993	0.0%	19,877,429	0.0%
Supplies and Materials	16,365,966	18,093,321	10.6%	20,438,083	13.0%
Library Books and Periodicals	2,722,430	2,904,879	6.7%	3,226,678	11.1%
Equipment	11,482,524	11,461,208	-0.2%	11,857,805	3.5%
Travel	4,788,149	5,478,483	14.4%	5,712,657	4.3%
Communications	5,473,864	5,667,672	3.5%	6,302,740	11.2%
Utilities	13,591,008	13,823,587	1.7%	14,653,333	6.0%
Computing Supplies and Equipment	9,094,927	7,846,226	-13.7%	8,494,235	8.3%
Maintenance & Repair of Facilities	8,645,168	8,694,079	0.6%	9,255,443	6.5%
Professional and Technical Fees	4,467,620	4,916,801	10.1%	5,172,677	5.2%
Contractual & Related Current Expenses	32,367,380	35,257,780	8.9%	36,176,339	2.6%
Scholarships and Fellowships	7,896,852	8,805,667	11.5%	10,454,906	18.7%
Merchandise Purchased for Resale	5,866,771	6,177,449	5.3%	4,368,610	-29.3%
Debt Service	2,391,617	2,515,000	5.2%	2,487,436	-1.1%
Overhead	7,711,701	6,514,276	-15.5%	7,259,653	11.4%
Premiums-Property/Liability Insurance	2,761,238	3,491,881	26.5%	3,277,714	-6.1%
Patient Refunds	1,438,686	1,070,948	-25.6%	1,025,669	-4.2%
Laboratory Testing	689,786	799,876	16.0%	774,582	-3.2%
Membership/Registration Fees	1,085,331	1,213,715	11.8%	1,237,779	2.0%
Total Expenditures	\$353,898,317	\$384,409,871	8.6%	\$408,885,500	6.4%
Net Income	\$1,734,479	\$2,432,612	40.3%	\$140,763	-94.2%

Expenditures by Function	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Instruction	\$86,177,283	\$97,070,281	12.6%	\$104,890,763	8.1%
Research	37,403,865	42,414,496	13.4%	46,804,831	10.4%
Extension and Public Service	30,645,415	34,197,410	11.6%	36,363,056	6.3%
Academic Support	17,827,186	19,369,560	8.7%	21,201,782	9.5%
Student Activities and Organizations	9,712,064	10,634,147	9.5%	11,236,974	5.7%
Institutional Support Activities	21,153,881	21,110,626	-0.2%	23,620,097	11.9%
Operation & Maintenance of Physical Plant	21,198,769	22,556,593	6.4%	23,618,468	4.7%
Data Processing	3,324,942	3,805,500	14.5%	3,999,488	5.1%
Loan Funds	1,909,447	1,797,928	-5.8%	2,024,474	12.6%
Endowment Funds	893,716	1,109,451	24.1%	1,312,332	18.3%
Scholarships and Fellowships	4,849,139	5,481,799	13.0%	6,986,027	27.4%
Auxiliary Operations	52,967,359	55,508,133	4.8%	52,291,720	-5.8%
External Service Units	8,190,112	8,468,445	3.4%	9,515,258	12.4%
Independent Operations	53,595,368	56,451,678	5.3%	60,101,620	6.5%
Residency	4,049,771	4,433,824	9.5%	4,918,610	10.9%
Subtotal	\$353,898,317	\$384,409,871	8.6%	\$408,885,500	6.4%
Internal Service Units	60,921,635	76,252,613	25.2%	87,322,664	14.5%
Total Expenditures	\$414,819,952	\$460,662,484	11.1%	\$496,208,164	7.7%

The University of Oklahoma
Comprehensive Operating Budget Summary
FY89 Through FY91

NORMAN CAMPUS

Revenues	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
State Appropriations	\$66,762,080		\$71,837,515	7.6%	\$79,300,528	10.4%
Student Tuition and Fees	24,228,286		28,007,566	15.6%	25,364,847	-9.4%
Federal Grants and Contracts	37,462,988		42,208,424	12.7%	45,432,479	7.6%
State and Local Grants and Contracts	2,810,123		3,502,473	24.6%	3,669,785	4.8%
Private Gifts, Grants and Contracts	7,877,328		9,091,151	15.4%	11,012,789	21.1%
Endowment Income	493,716		659,451	33.6%	812,332	23.2%
Sales & Services of Educational Activities	2,136,103		2,724,366	27.5%	3,507,169	28.7%
Auxiliary Enterprises	52,282,304		54,954,521	5.1%	51,812,756	-5.7%
External Service Units	0		0	0.0%	0	0.0%
Professional Practice Plan	0		0	0.0%	0	0.0%
Residency Income	0		0	0.0%	0	0.0%
Other Sources	8,853,732		8,932,540	0.9%	10,977,149	22.9%
Total Revenue	\$202,906,660		\$221,918,007	9.4%	\$231,889,834	4.5%

Expenditures by Object	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
Teaching Salaries	\$29,293,078		\$32,044,599	9.4%	\$33,626,923	4.9%
Other Professional Salaries	33,824,326		39,892,041	17.9%	42,499,766	6.5%
Hourly Staff Salaries	33,169,030		35,107,735	5.8%	36,868,129	5.0%
Associated Fringe Benefits	17,682,915		19,754,357	11.7%	20,990,162	6.3%
Prof. Practice Salary Supplements	0		0	0.0%	0	0.0%
Supplies and Materials	8,867,477		9,721,358	9.6%	11,353,357	16.8%
Library Books and Periodicals	1,745,909		1,846,011	5.7%	1,994,121	8.0%
Equipment	4,491,486		5,896,475	31.3%	6,313,068	7.1%
Travel	2,779,856		3,533,013	27.1%	3,825,749	8.3%
Communications	2,775,055		2,804,147	1.0%	3,035,291	8.2%
Utilities	8,507,982		8,753,428	2.9%	9,049,946	3.4%
Computing Supplies and Equipment	4,380,760		4,486,702	2.4%	4,878,905	8.7%
Maintenance & Repair of Facilities	7,195,774		6,861,933	-4.6%	7,251,469	5.7%
Professional and Technical Fees	1,351,038		1,414,691	4.7%	1,577,493	11.5%
Contractual & Related Current Expenses	26,565,956		29,337,976	10.4%	28,571,408	-2.6%
Scholarships and Fellowships	7,851,293		8,703,447	10.9%	10,356,276	19.0%
Merchandise Purchased for Resale	4,962,080		5,291,774	6.6%	3,098,289	-41.5%
Debt Service	1,162,578		1,260,636	8.4%	1,227,436	-2.6%
Overhead	6,300,067		5,207,684	-17.3%	5,372,046	3.2%
Premiums-Property/Liability Insurance	0		0	0.0%	0	0.0%
Patient Refunds	0		0	0.0%	0	0.0%
Laboratory Testing	0		0	0.0%	0	0.0%
Membership/Registration Fees	0		0	0.0%	0	0.0%
Total Expenditures	\$202,906,660		\$221,918,007	9.4%	\$231,889,834	4.5%

Net Income	\$0	\$0	0.0%	\$0	0.0%
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Expenditures by Function	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
Instruction	\$50,205,936		\$57,271,162	14.1%	\$59,983,506	4.7%
Research	22,296,399		25,524,955	14.5%	28,140,569	10.2%
Extension and Public Service	21,390,948		23,915,307	11.8%	25,208,645	5.4%
Academic Support	11,823,044		12,803,399	8.3%	13,986,475	9.2%
Student Activities and Organizations	8,050,634		8,934,758	11.0%	9,442,965	5.7%
Institutional Support Activities	13,378,184		13,447,349	0.5%	15,852,406	17.9%
Operation & Maintenance of Physical Plant	12,980,634		13,436,186	3.5%	13,758,273	2.4%
Data Processing	3,324,942		3,805,500	14.5%	3,999,488	5.1%
Loan Funds	1,875,000		1,775,000	-5.3%	2,000,000	12.7%
Endowment Funds	493,716		659,451	33.6%	812,332	23.2%
Scholarships and Fellowships	4,804,919		5,390,419	12.2%	6,892,419	27.9%
Auxiliary Operations	52,282,304		54,954,521	5.1%	51,812,756	-5.7%
Independent Operations	0		0	0.0%	0	0.0%
Subtotal	\$202,906,660		\$221,918,007	9.4%	\$231,889,834	4.5%
Internal Service Units	48,609,633		62,883,712	29.4%	70,180,615	11.6%
Total Expenditures	\$251,516,293		\$284,801,719	13.2%	\$302,070,449	6.1%

The University of Oklahoma
Comprehensive Operating Budget Summary
FY89 Through FY91

LAW CENTER

Revenues	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
State Appropriations	\$2,767,410		\$3,184,241	15.1%	\$3,480,638	9.3%
Student Tuition and Fees	1,341,549		1,575,855	17.5%	1,802,452	14.4%
Federal Grants and Contracts	0		0	0.0%	6,500	100.0%
State and Local Grants and Contracts	0		0	0.0%	0	0.0%
Private Gifts, Grants and Contracts	33,000		35,000	6.1%	65,000	85.7%
Endowment Income	0		0	0.0%	0	0.0%
Sales & Services of Educational Activities	0		0	0.0%	30,000	100.0%
Auxiliary Enterprises	0		0	0.0%	0	0.0%
External Service Units	0		0	0.0%	0	0.0%
Professional Practice Plan	0		0	0.0%	0	0.0%
Residency Income	0		0	0.0%	0	0.0%
Other Sources	280,763		226,369	-19.4%	306,932	35.6%
Total Revenue	\$4,422,722		\$5,021,465	13.5%	\$5,691,522	13.3%

Expenditures by Object	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
Teaching Salaries	\$1,706,650		\$2,042,153	19.7%	\$2,364,563	15.8%
Other Professional Salaries	655,478		759,588	15.9%	668,845	-11.9%
Hourly Staff Salaries	389,541		403,165	3.5%	458,922	13.8%
Associated Fringe Benefits	455,875		560,088	22.9%	625,663	11.7%
Prof. Practice Salary Supplements	0		0	0.0%	0	0.0%
Supplies and Materials	106,995		110,164	3.0%	125,246	13.7%
Library Books and Periodicals	285,557		325,557	14.0%	343,672	5.6%
Equipment	10,256		13,087	27.6%	10,500	-19.8%
Travel	74,676		85,627	14.7%	96,288	12.5%
Communications	67,079		70,060	4.4%	86,965	24.1%
Utilities	120,000		120,000	0.0%	120,000	0.0%
Computing Supplies and Equipment	0		0	0.0%	0	0.0%
Maintenance & Repair of Facilities	106,152		108,368	2.1%	155,000	43.0%
Professional and Technical Fees	87,100		59,013	-32.2%	63,000	6.8%
Contractual & Related Current Expenses	357,363		364,595	2.0%	572,858	57.1%
Scholarships and Fellowships	0		0	0.0%	0	0.0%
Merchandise Purchased for Resale	0		0	0.0%	0	0.0%
Debt Service	0		0	0.0%	0	0.0%
Overhead	0		0	0.0%	0	0.0%
Premiums-Property/Liability Insurance	0		0	0.0%	0	0.0%
Patient Refunds	0		0	0.0%	0	0.0%
Laboratory Testing	0		0	0.0%	0	0.0%
Membership/Registration Fees	0		0	0.0%	0	0.0%
Total Expenditures	\$4,422,722		\$5,021,465	13.5%	\$5,691,522	13.3%

Net Income	\$0	\$0	0.0%	\$0	0.0%
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Expenditures by Function	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
Instruction	\$2,980,745		\$3,482,758	16.8%	\$3,763,073	8.0%
Research	0		0	0.0%	0	0.0%
Extension and Public Service	0		0	0.0%	0	0.0%
Academic Support	1,181,268		1,251,437	5.9%	1,528,031	22.1%
Student Activities and Organizations	0		0	0.0%	0	0.0%
Institutional Support Activities	0		0	0.0%	0	0.0%
Operation & Maintenance of Physical Plant	260,709		287,270	10.2%	400,418	39.4%
Data Processing	0		0	0.0%	0	0.0%
Loan Funds	0		0	0.0%	0	0.0%
Endowment Funds	0		0	0.0%	0	0.0%
Scholarships and Fellowships	0		0	0.0%	0	0.0%
Auxiliary Operations	0		0	0.0%	0	0.0%
Independent Operations	0		0	0.0%	0	0.0%
Subtotal	\$4,422,722		\$5,021,465	13.5%	\$5,691,522	13.3%
Internal Service Units	0		0	0.0%	0	0.0%
Total Expenditures	\$4,422,722		\$5,021,465	13.5%	\$5,691,522	13.3%

The University of Oklahoma
Comprehensive Operating Budget Summary
FY89 Through FY91

OKLAHOMA GEOLOGICAL SURVEY

Revenues	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
State Appropriations	\$1,730,392	\$1,836,654	6.1%	\$1,928,487	5.0%
Student Tuition and Fees	0	0	0.0%	0	0.0%
Federal Grants and Contracts	0	0	0.0%	0	0.0%
State and Local Grants and Contracts	0	0	0.0%	0	0.0%
Private Gifts, Grants and Contracts	0	0	0.0%	0	0.0%
Endowment Income	0	0	0.0%	0	0.0%
Sales & Services of Educational Activities	0	0	0.0%	0	0.0%
Auxiliary Enterprises	0	0	0.0%	0	0.0%
External Service Units	0	0	0.0%	0	0.0%
Professional Practice Plan	0	0	0.0%	0	0.0%
Residency Income	0	0	0.0%	0	0.0%
Other Sources	89,646	104,646	16.7%	120,000	14.7%
Total Revenue	\$1,820,038	\$1,941,300	6.7%	\$2,048,487	5.5%

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	874,749	879,500	0.5%	898,480	2.2%
Hourly Staff Salaries	373,896	417,991	11.8%	427,629	2.3%
Associated Fringe Benefits	276,596	309,630	11.9%	294,358	-4.9%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	40,707	41,077	0.9%	46,862	14.1%
Library Books and Periodicals	4,000	4,000	0.0%	4,000	0.0%
Equipment	4,836	4,793	-0.9%	5,000	4.3%
Travel	24,324	25,448	4.6%	25,000	-1.8%
Communications	34,948	36,337	4.0%	38,000	4.6%
Utilities	6,600	7,000	6.1%	7,000	0.0%
Computing Supplies and Equipment	2,350	2,834	20.6%	3,000	5.9%
Maintenance & Repair of Facilities	0	0	0.0%	0	0.0%
Professional and Technical Fees	0	0	0.0%	0	0.0%
Contractual & Related Current Expenses	152,032	187,690	23.5%	274,158	46.1%
Scholarships and Fellowships	0	0	0.0%	0	0.0%
Merchandise Purchased for Resale	25,000	25,000	0.0%	25,000	0.0%
Debt Service	0	0	0.0%	0	0.0%
Overhead	0	0	0.0%	0	0.0%
Premiums-Property/Liability Insurance	0	0	0.0%	0	0.0%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	0	0	0.0%	0	0.0%
Total Expenditures	\$1,820,038	\$1,941,300	6.7%	\$2,048,487	5.5%

Net Income	\$0	\$0	0.0%	\$0	0.0%
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Expenditures by Function	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Instruction	\$0	\$0	0.0%	\$0	0.0%
Research	730,417	781,094	6.9%	812,882	4.1%
Extension and Public Service	987,251	1,053,836	6.7%	1,095,005	3.9%
Academic Support	0	0	0.0%	0	0.0%
Student Activities and Organizations	0	0	0.0%	0	0.0%
Institutional Support Activities	0	0	0.0%	30,000	100.0%
Operation & Maintenance of Physical Plant	102,370	106,370	3.9%	110,600	4.0%
Data Processing	0	0	0.0%	0	0.0%
Loan Funds	0	0	0.0%	0	0.0%
Endowment Funds	0	0	0.0%	0	0.0%
Scholarships and Fellowships	0	0	0.0%	0	0.0%
Auxiliary Operations	0	0	0.0%	0	0.0%
Independent Operations	0	0	0.0%	0	0.0%
Subtotal	\$1,820,038	\$1,941,300	6.7%	\$2,048,487	5.5%
Internal Service Units	0	0	0.0%	0	0.0%
Total Expenditures	\$1,820,038	\$1,941,300	6.7%	\$2,048,487	5.5%

The University of Oklahoma
Comprehensive Operating Budget Summary
FY89 Through FY91

HEALTH SCIENCES CENTER

Revenues	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
State Appropriations	\$44,137,594		\$48,025,067	8.8%	\$53,168,557	10.7%
Student Tuition and Fees	5,476,086		6,536,671	19.4%	6,265,000	-4.2%
Federal Grants and Contracts	8,915,661		9,909,388	11.1%	10,865,051	9.6%
State and Local Grants and Contracts	8,895,445		10,083,330	13.4%	11,056,250	9.6%
Private Gifts, Grants and Contracts	6,755,688		7,688,978	13.8%	8,428,003	9.6%
Endowment Income	400,000		450,000	12.5%	500,000	11.1%
Sales & Services of Educational Activities	670,237		785,000	17.1%	785,000	0.0%
Auxiliary Enterprises	719,945		553,612	-23.1%	478,964	-13.5%
External Service Units	8,361,247		8,468,445	1.3%	9,515,258	12.4%
Professional Practice Plan	56,087,120		58,334,290	4.0%	60,601,620	3.9%
Residency Income	3,713,702		4,433,824	19.4%	4,918,610	10.9%
Other Sources	2,350,651		2,693,106	14.6%	2,814,107	4.5%
Total Revenue	\$146,483,376		\$157,961,711	7.8%	\$169,396,420	7.2%

Expenditures by Object	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
Teaching Salaries	\$28,214,717		\$32,420,867	14.9%	\$37,746,699	16.4%
Other Professional Salaries	20,067,409		22,429,866	11.8%	23,926,770	6.7%
Hourly Staff Salaries	16,415,287		17,126,903	4.3%	18,184,659	6.2%
Associated Fringe Benefits	12,565,303		15,482,547	23.2%	17,250,164	11.4%
Prof. Practice Salary Supplements	19,092,449		20,045,993	5.0%	19,877,429	-0.8%
Supplies and Materials	7,350,787		8,220,722	11.8%	8,912,618	8.4%
Library Books and Periodicals	686,964		729,311	6.2%	884,885	21.3%
Equipment	6,975,946		5,546,853	-20.5%	5,529,237	-0.3%
Travel	1,909,293		1,834,395	-3.9%	1,765,620	-3.7%
Communications	2,596,782		2,757,128	6.2%	3,142,484	14.0%
Utilities	4,956,426		4,943,159	-0.3%	5,476,387	10.8%
Computing Supplies and Equipment	4,711,817		3,356,690	-28.8%	3,612,330	7.6%
Maintenance & Repair of Facilities	1,343,242		1,723,778	28.3%	1,848,974	7.3%
Professional and Technical Fees	3,029,482		3,443,097	13.7%	3,532,184	2.6%
Contractual & Related Current Expenses	5,292,029		5,367,519	1.4%	6,757,915	25.9%
Scholarships and Fellowships	45,559		102,220	124.4%	98,630	-3.5%
Merchandise Purchased for Resale	879,691		860,675	-2.2%	1,245,321	44.7%
Debt Service	1,229,039		1,254,364	2.1%	1,260,000	0.4%
Overhead	1,411,634		1,306,592	-7.4%	1,887,607	44.5%
Premiums-Property/Liability Insurance	2,761,238		3,491,881	26.5%	3,271,714	-6.1%
Patient Refunds	1,438,686		1,070,948	-25.6%	1,025,669	-4.2%
Laboratory Testing	689,786		799,876	16.0%	774,582	-3.2%
Membership/Registration Fees	1,085,331		1,213,715	11.8%	1,237,779	2.0%
Total Expenditures	\$144,748,897		\$155,529,099	7.4%	\$169,255,657	8.8%
Net Income	\$1,734,479		\$2,432,612	40.3%	\$140,763	-94.2%

Expenditures by Function	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
Instruction	\$32,990,602		\$36,316,361	10.1%	\$41,144,184	13.3%
Research	14,377,049		16,108,447	12.0%	17,851,380	10.8%
Extension and Public Service	8,267,216		9,228,267	11.6%	10,059,406	9.0%
Academic Support	4,822,874		5,314,724	10.2%	5,687,276	7.0%
Student Activities and Organizations	1,661,430		1,699,389	2.3%	1,794,009	5.6%
Institutional Support Activities	7,775,697		7,663,277	-1.4%	7,737,691	1.0%
Operation & Maintenance of Physical Plant	7,855,056		8,726,767	11.1%	9,349,177	7.1%
Loan Funds	34,447		22,928	-33.4%	24,474	6.7%
Endowment Funds	400,000		450,000	12.5%	500,000	11.1%
Scholarships and Fellowships	44,220		91,380	106.6%	93,608	2.4%
Auxiliary Operations	685,055		553,612	-19.2%	478,964	-13.5%
External Service Units	8,190,112		8,468,445	3.4%	9,515,258	12.4%
Independent Operations	53,595,368		56,451,678	5.3%	60,101,620	6.5%
Residency	4,049,771		4,433,824	9.5%	4,918,610	10.9%
Subtotal	\$144,748,897		\$155,529,099	7.4%	\$169,255,657	8.8%
Internal Service Units	12,312,002		13,368,901	8.6%	17,142,049	28.2%
Total Expenditures	\$157,060,899		\$168,898,000	7.5%	\$186,397,706	10.4%

The University of Oklahoma
Budget Expenditure Summary by Account Group
FY89 Through FY91

ALL CAMPUSES

EDUCATIONAL AND GENERAL

Expenditures by Object	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
Teaching Salaries	\$46,928,935		\$52,614,391	12.1%	\$57,061,152	8.5%
Other Professional Salaries	30,169,980		35,420,397	17.4%	37,196,019	5.0%
Hourly Staff Salaries	21,579,464		23,100,993	7.1%	23,749,680	2.8%
Associated Fringe Benefits	19,147,467		22,567,813	17.9%	24,491,544	8.5%
Prof. Practice Salary Supplements	0		0	0.0%	0	0.0%
Supplies and Materials	5,323,168		5,577,089	4.8%	6,418,959	15.1%
Library Books and Periodicals	2,628,768		2,788,320	6.1%	3,100,485	11.2%
Equipment	3,553,764		3,384,931	-4.8%	3,788,421	11.9%
Travel	1,336,244		1,672,699	25.2%	1,828,428	9.3%
Communications	2,488,602		2,724,742	9.5%	2,948,214	8.2%
Utilities	9,420,382		9,660,524	2.5%	10,400,302	7.7%
Computing Supplies and Equipment	6,355,291		5,025,662	-20.9%	5,538,144	10.2%
Maintenance & Repair of Facilities	3,752,653		4,437,686	18.3%	4,831,264	8.9%
Professional and Technical Fees	851,772		940,124	10.4%	1,117,682	18.9%
Contractual & Related Current Expenses	5,014,238		5,860,623	16.9%	8,289,161	41.4%
Scholarships and Fellowships	95,000		95,000	0.0%	94,920	-0.1%
Merchandise Purchased for Resale	25,000		25,000	0.0%	25,000	0.0%
Debt Service	0		0	0.0%	0	0.0%
Overhead	0		0	0.0%	0	0.0%
Premiums-Property/Liability Insurance	16,734		53,789	221.4%	54,000	0.4%
Patient Refunds	0		0	0.0%	0	0.0%
Laboratory Testing	18,290		19,012	3.9%	20,000	5.2%
Membership/Registration Fees	99,011		123,002	24.2%	126,000	2.4%
Total Expenditures	\$158,804,763		\$176,091,797	10.9%	\$191,079,375	8.5%

GRANTS AND CONTRACTS

Expenditures by Object	FY89		FY90		FY91	
	Budget		Budget	% Chg	Budget	% Chg
Teaching Salaries	\$7,623,852		\$8,655,056	13.5%	\$9,013,948	4.1%
Other Professional Salaries	11,644,067		13,731,855	17.9%	15,160,839	10.4%
Hourly Staff Salaries	11,964,511		13,377,050	11.8%	15,200,044	13.6%
Associated Fringe Benefits	5,342,002		6,175,586	15.6%	6,726,327	8.9%
Prof. Practice Salary Supplements	0		0	0.0%	0	0.0%
Supplies and Materials	2,925,409		3,857,654	31.9%	4,195,880	8.8%
Library Books and Periodicals	75,929		87,870	15.7%	110,620	25.9%
Equipment	2,740,528		4,120,912	50.4%	4,426,955	7.4%
Travel	1,075,494		1,718,766	59.8%	1,759,132	2.3%
Communications	96,603		108,046	11.8%	124,100	14.9%
Utilities	0		0	0.0%	0	0.0%
Computing Supplies and Equipment	521,616		615,276	18.0%	677,063	10.0%
Maintenance & Repair of Facilities	16,993		5,406	-68.2%	5,431	0.5%
Professional and Technical Fees	1,681,363		1,769,024	5.2%	1,866,087	5.5%
Contractual & Related Current Expenses	3,885,122		5,013,625	29.0%	3,530,422	-29.6%
Scholarships and Fellowships	443,063		584,399	31.9%	381,868	-34.7%
Merchandise Purchased for Resale	0		0	0.0%	0	0.0%
Debt Service	0		0	0.0%	0	0.0%
Overhead	7,255,932		5,980,000	-17.6%	6,680,000	11.7%
Premiums-Property/Liability Insurance	2,273		2,987	31.4%	3,286	10.0%
Patient Refunds	0		0	0.0%	0	0.0%
Laboratory Testing	231,595		235,564	1.7%	247,755	5.2%
Membership/Registration Fees	40,442		42,620	5.4%	39,547	-7.2%
Total Expenditures	\$57,566,794		\$66,081,696	14.8%	\$70,149,304	6.2%

PRIVATE GIFTS

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	284,579	313,547	10.2%	404,908	29.1%
Hourly Staff Salaries	189,233	204,900	8.3%	242,503	18.4%
Associated Fringe Benefits	103,466	108,022	4.4%	142,492	31.9%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	17,914	19,243	7.4%	26,654	38.5%
Library Books and Periodicals	0	0	0.0%	0	0.0%
Equipment	6,831	7,337	7.4%	10,900	48.6%
Travel	9,500	14,900	56.8%	21,650	45.3%
Communications	21,524	24,362	13.2%	25,596	5.1%
Utilities	0	0	0.0%	0	0.0%
Computing Supplies and Equipment	0	0	0.0%	0	0.0%
Maintenance & Repair of Facilities	477	470	-1.5%	400	-14.9%
Professional and Technical Fees	0	0	0.0%	0	0.0%
Contractual & Related Current Expenses	66,519	125,860	89.2%	152,687	21.3%
Scholarships and Fellowships	2,420	1,887	-22.0%	1,700	-9.9%
Merchandise Purchased for Resale	0	0	0.0%	0	0.0%
Debt Service	0	0	0.0%	0	0.0%
Overhead	0	0	0.0%	0	0.0%
Premiums-Property/Liability Insurance	0	0	0.0%	0	0.0%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	0	0	0.0%	0	0.0%
Total Expenditures	\$702,463	\$820,528	16.8%	\$1,029,490	25.5%

ENDOWMENT FUNDS

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$322,000	\$360,000	11.8%	\$390,000	8.3%
Other Professional Salaries	25,406	27,403	7.9%	31,103	13.5%
Hourly Staff Salaries	0	0	0.0%	0	0.0%
Associated Fringe Benefits	83,886	96,125	14.6%	116,843	21.6%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	72	0	-100.0%	578	100.0%
Library Books and Periodicals	0	0	0.0%	0	0.0%
Equipment	595	0	-100.0%	48,000	100.0%
Travel	0	0	0.0%	0	0.0%
Communications	0	0	0.0%	406	100.0%
Utilities	0	0	0.0%	0	0.0%
Computing Supplies and Equipment	500	0	-100.0%	0	0.0%
Maintenance & Repair of Facilities	0	0	0.0%	175,000	100.0%
Professional and Technical Fees	0	13,294	100.0%	25,244	89.9%
Contractual & Related Current Expenses	10,581	45,262	327.8%	17,032	-62.4%
Scholarships and Fellowships	450,676	567,367	25.9%	508,126	-10.4%
Merchandise Purchased for Resale	0	0	0.0%	0	0.0%
Debt Service	0	0	0.0%	0	0.0%
Overhead	0	0	0.0%	0	0.0%
Premiums-Property/Liability Insurance	0	0	0.0%	0	0.0%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	0	0	0.0%	0	0.0%
Total Expenditures	\$893,716	\$1,109,451	24.1%	\$1,312,332	18.3%

**SALES AND SERVICES
OF EDUCATIONAL ACTIVITIES**

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	16,580	27,454	65.6%	32,900	19.8%
Hourly Staff Salaries	56,038	77,043	37.5%	191,948	149.1%
Associated Fringe Benefits	11,947	37,923	217.4%	40,072	5.7%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	529,973	657,590	24.1%	935,020	42.2%
Library Books and Periodicals	0	0	0.0%	0	0.0%
Equipment	90,722	100,980	11.3%	88,005	-12.8%
Travel	79,777	91,161	14.3%	94,715	3.9%
Communications	38,002	46,250	21.7%	52,883	14.3%
Utilities	0	0	0.0%	0	0.0%
Computing Supplies and Equipment	11,315	14,373	27.0%	12,350	-14.1%
Maintenance & Repair of Facilities	0	0	0.0%	0	0.0%
Professional and Technical Fees	35,139	43,470	23.7%	46,621	7.2%
Contractual & Related Current Expenses	1,064,923	1,550,051	45.6%	1,934,145	24.8%
Scholarships and Fellowships	331	269	-18.7%	170	-36.8%
Merchandise Purchased for Resale	32,515	30,982	-4.7%	35,000	13.0%
Debt Service	0	0	0.0%	0	0.0%
Overhead	41,237	46,820	13.5%	43,340	-7.4%
Premiums-Property/Liability Insurance	0	0	0.0%	0	0.0%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	0	0	0.0%	0	0.0%
Total Expenditures	\$2,008,501	\$2,724,366	35.6%	\$3,507,169	28.7%

AUXILIARY ENTERPRISES

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	5,836,833	6,548,209	12.2%	6,620,547	1.1%
Hourly Staff Salaries	9,803,279	9,544,737	-2.6%	9,226,858	-3.3%
Associated Fringe Benefits	2,876,155	3,093,386	7.6%	3,058,135	-1.1%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	3,522,604	3,283,967	-6.8%	3,900,761	18.8%
Library Books and Periodicals	773	750	0.0%	0	-100.0%
Equipment	918,733	869,113	-5.4%	852,473	-1.9%
Travel	1,005,717	819,543	-18.5%	866,362	5.7%
Communications	1,004,909	818,986	-18.5%	844,272	3.1%
Utilities	2,478,243	2,455,000	-0.9%	2,375,078	-3.3%
Computing Supplies and Equipment	645,201	475,684	-26.3%	413,881	-13.0%
Maintenance & Repair of Facilities	4,553,408	3,858,012	-15.3%	3,813,165	-1.2%
Professional and Technical Fees	158,390	99,644	-37.1%	118,159	18.6%
Contractual & Related Current Expenses	16,470,145	16,272,548	-1.2%	14,915,558	-8.3%
Scholarships and Fellowships	377,961	432,143	14.3%	562,600	30.2%
Merchandise Purchased for Resale	5,172,885	5,475,667	5.9%	3,319,139	-39.4%
Debt Service	1,162,578	1,260,636	8.4%	1,227,436	-2.6%
Overhead	140,964	198,108	40.5%	175,846	-11.2%
Premiums-Property/Liability Insurance	0	0	0.0%	0	0.0%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	1,977	2,000	1.2%	1,450	-27.5%
Total Expenditures	\$56,130,754	\$55,508,133	-1.1%	\$52,291,720	-5.8%

EXTERNAL SERVICE UNITS

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	303,899	242,028	-20.4%	257,016	6.2%
Hourly Staff Salaries	799,047	732,840	-8.3%	784,761	7.1%
Associated Fringe Benefits	229,146	194,441	-15.1%	218,170	12.2%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	191,632	243,445	27.0%	261,056	7.2%
Library Books and Periodicals	7,149	7,595	6.2%	5,547	-27.0%
Equipment	1,572,073	1,558,385	-0.9%	1,087,515	-30.2%
Travel	8,780	9,328	6.2%	9,730	4.3%
Communications	934,320	1,065,034	14.0%	1,400,815	31.5%
Utilities	1,652,383	1,674,155	1.3%	1,836,323	9.7%
Computing Supplies and Equipment	401,308	418,114	4.2%	489,339	17.0%
Maintenance & Repair of Facilities	71,976	88,317	22.7%	205,679	132.9%
Professional and Technical Fees	52,160	57,336	9.9%	141,749	147.2%
Contractual & Related Current Expenses	422,189	559,262	32.5%	792,944	41.8%
Scholarships and Fellowships	0	0	0.0%	0	0.0%
Merchandise Purchased for Resale	1,878	29,378	1464.3%	364,691	1141.4%
Debt Service	1,229,039	1,254,364	2.1%	1,260,000	0.4%
Overhead	269,758	286,592	6.2%	357,557	24.8%
Premiums-Property/Liability Insurance	29,176	30,997	6.2%	30,239	-2.4%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	396	421	6.3%	965	129.2%
Membership/Registration Fees	13,803	16,413	18.9%	11,162	-32.0%
Total Expenditures	\$8,190,112	\$8,468,445	3.4%	\$9,515,258	12.4%

PROFESSIONAL PRACTICE PLAN

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$4,339,658	\$4,878,172	12.4%	\$7,273,085	49.1%
Other Professional Salaries	3,427,315	3,634,274	6.0%	3,883,835	6.9%
Hourly Staff Salaries	5,119,676	5,302,338	3.6%	5,676,702	7.1%
Associated Fringe Benefits	2,504,269	3,103,130	23.9%	3,576,212	15.2%
Prof. Practice Salary Supplements	19,092,449	20,045,993	5.0%	19,877,429	-0.8%
Supplies and Materials	3,184,769	3,724,389	16.9%	3,868,234	3.9%
Library Books and Periodicals	7,460	17,842	139.2%	7,350	-58.8%
Equipment	2,506,602	1,311,873	-47.7%	1,459,947	11.3%
Travel	1,167,656	1,044,318	-10.6%	1,021,892	-2.1%
Communications	759,051	760,231	0.2%	777,116	2.2%
Utilities	0	15,000	100.0%	20,000	33.3%
Computing Supplies and Equipment	1,079,773	1,200,878	11.2%	1,253,824	4.4%
Maintenance & Repair of Facilities	206,738	285,276	38.0%	203,684	-28.6%
Professional and Technical Fees	1,499,452	1,829,802	22.0%	1,685,884	-7.9%
Contractual & Related Current Expenses	2,831,811	2,912,600	2.9%	3,406,187	16.9%
Scholarships and Fellowships	1,179	7,568	541.9%	1,162	-84.6%
Merchandise Purchased for Resale	595,779	580,853	-2.5%	586,979	1.1%
Debt Service	0	0	0.0%	0	0.0%
Overhead	0	0	0.0%	0	0.0%
Premiums-Property/Liability Insurance	2,529,313	3,208,720	26.9%	2,991,954	-6.8%
Patient Refunds	1,438,686	1,070,948	-25.6%	1,025,669	-4.2%
Laboratory Testing	432,246	544,879	26.1%	505,862	-7.2%
Membership/Registration Fees	871,486	972,594	11.6%	998,613	2.7%
Total Expenditures	\$53,595,368	\$56,451,678	5.3%	\$60,101,620	6.5%

SCHOLARSHIPS

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	0	0	0.0%	0	0.0%
Hourly Staff Salaries	0	0	0.0%	0	0.0%
Associated Fringe Benefits	0	0	0.0%	0	0.0%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	319	319	0.0%	419	31.3%
Library Books and Periodicals	0	0	0.0%	0	0.0%
Equipment	0	0	0.0%	0	0.0%
Travel	0	0	0.0%	0	0.0%
Communications	0	0	0.0%	0	0.0%
Utilities	0	0	0.0%	0	0.0%
Computing Supplies and Equipment	0	0	0.0%	0	0.0%
Maintenance & Repair of Facilities	0	0	0.0%	0	0.0%
Professional and Technical Fees	0	0	0.0%	0	0.0%
Contractual & Related Current Expenses	18,390	15,568	-15.3%	0	-100.0%
Scholarships and Fellowships	4,800,275	5,384,954	12.2%	6,897,000	28.1%
Merchandise Purchased for Resale	500	405	-19.0%	0	-100.0%
Debt Service	0	0	0.0%	0	0.0%
Overhead	0	0	0.0%	0	0.0%
Premiums-Property/Liability Insurance	0	0	0.0%	0	0.0%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	0	0	0.0%	0	0.0%
Total Expenditures	\$4,819,484	\$5,401,246	12.1%	\$6,897,419	27.7%

STUDENT LOANS

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	0	0	0.0%	0	0.0%
Hourly Staff Salaries	0	0	0.0%	0	0.0%
Associated Fringe Benefits	0	0	0.0%	0	0.0%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	0	0	0.0%	0	0.0%
Library Books and Periodicals	0	0	0.0%	0	0.0%
Equipment	0	0	0.0%	0	0.0%
Travel	0	0	0.0%	0	0.0%
Communications	0	0	0.0%	0	0.0%
Utilities	0	0	0.0%	0	0.0%
Computing Supplies and Equipment	0	0	0.0%	0	0.0%
Maintenance & Repair of Facilities	0	0	0.0%	0	0.0%
Professional and Technical Fees	0	0	0.0%	0	0.0%
Contractual & Related Current Expenses	76,421	62,274	-18.5%	15,637	-74.9%
Scholarships and Fellowships	1,719,700	1,727,620	0.5%	2,000,000	15.8%
Merchandise Purchased for Resale	0	0	0.0%	0	0.0%
Debt Service	0	0	0.0%	0	0.0%
Overhead	0	0	0.0%	0	0.0%
Premiums-Property/Liability Insurance	0	0	0.0%	0	0.0%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	0	0	0.0%	0	0.0%
Total Expenditures	\$1,796,121	\$1,789,894	-0.3%	\$2,015,637	12.6%

INSTITUTIONAL SUPPORT ACTIVITIES

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	213,188	193,026	-9.5%	215,534	11.7%
Hourly Staff Salaries	285,315	198,962	-30.3%	226,077	13.6%
Associated Fringe Benefits	102,061	84,746	-17.0%	94,213	11.2%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	44,763	62,906	40.5%	64,468	2.5%
Library Books and Periodicals	0	0	0.0%	0	0.0%
Equipment	6,911	7,272	5.2%	7,650	5.2%
Travel	5,800	6,250	7.8%	8,872	42.0%
Communications	25,323	24,500	-3.3%	24,550	0.2%
Utilities	0	0	0.0%	0	0.0%
Computing Supplies and Equipment	275	112	-59.3%	5,000	4364.3%
Maintenance & Repair of Facilities	200	369	84.5%	0	-100.0%
Professional and Technical Fees	2,900	659	-77.3%	150	-77.2%
Contractual & Related Current Expenses	69,873	124,134	77.7%	171,866	38.5%
Scholarships and Fellowships	0	0	0.0%	700	100.0%
Merchandise Purchased for Resale	0	0	0.0%	250	100.0%
Debt Service	0	0	0.0%	0	0.0%
Overhead	1,003	88	-91.2%	0	-100.0%
Premiums-Property/Liability Insurance	0	0	0.0%	0	0.0%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	0	0	0.0%	0	0.0%
Total Expenditures	\$757,612	\$703,024	-7.2%	\$819,330	16.5%

RESIDENCY

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	3,085,864	3,413,561	10.6%	3,811,099	11.6%
Hourly Staff Salaries	34,178	18,885	-44.7%	20,100	6.4%
Associated Fringe Benefits	411,806	490,060	19.0%	569,089	16.1%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	126,416	129,658	2.6%	130,682	0.8%
Library Books and Periodicals	2,353	2,402	2.1%	2,576	7.2%
Equipment	11,504	14,365	24.9%	14,620	1.8%
Travel	52,241	44,456	-14.9%	42,457	-4.5%
Communications	12,119	9,096	-24.9%	10,637	16.9%
Utilities	0	3,908	100.0%	4,180	7.0%
Computing Supplies and Equipment	20,330	13,640	-32.9%	13,269	-2.7%
Maintenance & Repair of Facilities	55	9	-83.6%	40	344.4%
Professional and Technical Fees	24,393	28,189	15.6%	29,108	3.3%
Contractual & Related Current Expenses	72,843	68,712	-5.7%	67,289	-2.1%
Scholarships and Fellowships	0	0	0.0%	0	0.0%
Merchandise Purchased for Resale	0	0	0.0%	0	0.0%
Debt Service	0	0	0.0%	0	0.0%
Overhead	0	0	0.0%	0	0.0%
Premiums-Property/Liability Insurance	156,785	159,198	1.5%	161,078	1.2%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	38,884	37,685	-3.1%	42,386	12.5%
Total Expenditures	\$4,049,771	\$4,433,824	9.5%	\$4,918,610	10.9%

STUDENT ACTIVITY FEES

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	309,956	228,782	-26.2%	220,831	-3.5%
Hourly Staff Salaries	286,966	192,133	-33.0%	200,253	4.2%
Associated Fringe Benefits	122,128	78,780	-35.5%	71,732	-8.9%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	135,890	123,237	-9.3%	139,716	13.4%
Library Books and Periodicals	0	0	0.0%	0	0.0%
Equipment	19,435	34,687	78.5%	36,486	5.2%
Travel	14,133	13,660	-3.3%	14,126	3.4%
Communications	52,889	35,588	-32.7%	37,939	6.6%
Utilities	40,000	15,000	-62.5%	17,450	16.3%
Computing Supplies and Equipment	1,293	780	-39.7%	546	-30.0%
Maintenance & Repair of Facilities	39,554	14,757	-62.7%	17,195	16.5%
Professional and Technical Fees	128,601	93,175	-27.5%	96,773	3.9%
Contractual & Related Current Expenses	920,447	694,636	-24.5%	808,711	16.4%
Scholarships and Fellowships	6,482	4,423	-31.8%	5,340	20.7%
Merchandise Purchased for Resale	3,893	0	0.0%	0	0.0%
Debt Service	0	0	0.0%	0	0.0%
Overhead	399	599	50.1%	328	-45.2%
Premiums-Property/Liability Insurance	26,957	36,190	34.3%	37,157	2.7%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	7,259	0	-100.0%	0	0.0%
Membership/Registration Fees	14,773	15,401	4.3%	14,600	-5.2%
Total Expenditures	\$2,131,054	\$1,581,828	-25.8%	\$1,719,183	8.7%

**STUDENT & OTHER ORGANIZATIONS-
AGENCY ACCOUNTS**

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	104,295	180,459	73.0%	159,230	-11.8%
Hourly Staff Salaries	230,047	305,913	33.0%	420,413	37.4%
Associated Fringe Benefits	46,356	76,610	65.3%	55,518	-27.5%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	363,037	413,824	14.0%	495,656	19.8%
Library Books and Periodicals	0	100	100.0%	100	0.0%
Equipment	54,826	51,353	-6.3%	36,833	-28.3%
Travel	32,806	43,402	32.3%	45,293	4.4%
Communications	40,523	50,837	25.5%	56,212	10.6%
Utilities	0	0	0.0%	0	0.0%
Computing Supplies and Equipment	58,025	81,707	40.8%	90,819	11.2%
Maintenance & Repair of Facilities	3,113	3,777	21.3%	3,585	-5.1%
Professional and Technical Fees	32,450	41,084	26.6%	45,220	10.1%
Contractual & Related Current Expenses	1,443,878	1,952,625	35.2%	2,074,700	6.3%
Scholarships and Fellowships	764	1,037	35.7%	1,320	27.3%
Merchandise Purchased for Resale	34,321	35,164	2.5%	37,551	6.8%
Debt Service	0	0	0.0%	0	0.0%
Overhead	2,408	2,069	-14.1%	2,582	24.8%
Premiums-Property/Liability Insurance	0	0	0.0%	0	0.0%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	0	0	0.0%	0	0.0%
Membership/Registration Fees	4,955	4,000	-19.3%	4,021	0.5%
Total Expenditures	\$2,451,804	\$3,243,961	32.3%	\$3,529,053	8.8%

INTERNAL SERVICE UNITS

Expenditures by Object	FY89	FY90		FY91	
	Budget	Budget	% Chg	Budget	% Chg
Teaching Salaries	\$0	\$0	0.0%	\$0	0.0%
Other Professional Salaries	4,577,984	4,002,851	-12.6%	4,880,771	21.9%
Hourly Staff Salaries	12,730,345	12,895,956	1.3%	14,484,763	12.3%
Associated Fringe Benefits	4,228,795	5,773,577	36.5%	6,456,405	11.8%
Prof. Practice Salary Supplements	0	0	0.0%	0	0.0%
Supplies and Materials	1,847,054	2,290,634	24.0%	2,206,241	-3.7%
Library Books and Periodicals	4,613	5,201	100.0%	4,653	0.0%
Equipment	4,081,986	4,151,671	1.7%	3,844,470	-7.4%
Travel	698,928	756,068	8.2%	808,205	6.9%
Communications	2,237,332	2,358,157	5.4%	2,733,347	15.9%
Utilities	1,230,533	1,358,953	10.4%	1,252,227	-7.9%
Computing Supplies and Equipment	1,242,518	1,524,954	22.7%	1,351,023	-11.4%
Maintenance & Repair of Facilities	621,906	759,426	22.1%	1,494,253	96.8%
Professional and Technical Fees	78,171	110,796	41.7%	203,151	83.4%
Contractual & Related Current Expenses	15,490,385	26,727,808	72.5%	30,742,509	15.0%
Scholarships and Fellowships	0	0	0.0%	0	0.0%
Merchandise Purchased for Resale	8,609,741	10,141,297	17.8%	13,319,452	31.3%
Debt Service	2,020,936	1,943,134	-3.8%	2,170,207	11.7%
Overhead	952,281	1,163,773	22.2%	1,098,499	-5.6%
Premiums-Property/Liability Insurance	239,921	254,892	6.2%	248,715	-2.4%
Patient Refunds	0	0	0.0%	0	0.0%
Laboratory Testing	589	625	6.1%	1,435	129.6%
Membership/Registration Fees	27,617	32,840	18.9%	22,338	-32.0%
Total Expenditures	\$60,921,635	\$76,252,613	25.2%	\$87,322,664	14.5%

POLICY FOR OPERATION AND GOVERNANCE OF THE PROFESSIONAL PRACTICE PLAN
OF THE GEOGRAPHIC FULL-TIME FACULTY OF THE COLLEGE OF MEDICINE

I. NAME

The name of this group is The University of Oklahoma College of Medicine Professional Practice Plan.

II. PURPOSE

This group is organized exclusively for the service, educational and research purposes of The University of Oklahoma College of Medicine.

As a means of accomplishing the purposes set out in the preceding paragraph and subject to the limitations, policies and procedures of The Board of Regents of The University of Oklahoma, this group is organized to engage in the continuous active conduct of medical education, medical research, and medical care as an organized group practice to improve The University of Oklahoma College of Medicine, its teaching resources, its research resources and facilities, and its service opportunities; to serve the citizens of Oklahoma by providing and promoting health care and providing consultation services to physicians of Oklahoma; to provide instruction and training of students enrolled in The University of Oklahoma College of Medicine, and of physicians and residents; to further a program of medical development, education and research in Oklahoma, and to pursue such additional purposes as may be approved by The Board of Regents of The University of Oklahoma.

III. MEMBERS

This group shall have members and each member shall be entitled to one vote which may be cast either in person or by written proxy.

IV. FACULTY

The faculty of the College of Medicine is comprised of four different groups of individuals:

A. STRICT FULL-TIME

This group is made up of faculty who devote their entire time to carrying out the academic programs of the College.

B. VOLUNTEER FACULTY

This group is made of physicians who are engaged primarily in the private practice of Medicine in the State of Oklahoma but who devote a portion of their time to the academic programs of the College.

C. GEOGRAPHIC FULL-TIME FACULTY

This group is composed of physicians and others whose primary commitment is to the academic programs of the College of Medicine but who also devote a portion of their time to the exercise of their professional disciplines, which in many instances includes the private practice of Medicine and is confined to the campuses of The University of Oklahoma Health Sciences Center.

D. PART-TIME FACULTY

These persons are faculty directly compensated by The University primarily for academic activities.

This policy applies to the geographic full-time faculty and to all faculty whose letters of appointment specify that their primary commitment should be the academic programs of the College of Medicine without respect to their physical location. Part-time faculty are excluded from the application of this policy.

V. EMPLOYMENT

When participating in the Academic programs of the College of Medicine and pursuing their academic activities, faculty are to be considered employees of The University of Oklahoma College of Medicine. When engaged in the private practice of Medicine or other exercise of professional disciplines (private activities), they should not be considered employees of the University. The latter activities are conducted for the care and benefit of patients who are treated and to whom the faculty members are directly responsible with respect to the medical or professional services provided. They are not conducted at the request or on behalf of the University. Conduct of these activities is independent from the University employment status.

VI. INCOME TREATMENT

It is the prerogative of the individual faculty member to determine whether and to what extent he or she wishes to engage in professional practice activities. The decision to engage in such professional private practice activities by the faculty members will be made with the knowledge and understanding that the fees received for patient care are to be included as generated income governed by this policy. University fringe benefits will be computed on the guaranteed base salary only. Amounts paid above these base salary

amounts are to compensate faculty members for their professional private practice activities. Such amounts may not be paid to faculty members as employees and may be excluded in the calculations of the University fringe benefits.

VII. PROFESSIONAL PRACTICE

The University shall not possess the right to control or direct the faculty members in the performance of their professional private practice activities, it being understood that whether or not a faculty member conducts professional private practice activities shall be within each faculty member's sole discretion and the University shall neither control nor direct the faculty member as to the results to be accomplished or as to the details and means by which the results are accomplished. No professional office hours or other schedules shall be required on the part of the faculty members for the carrying out of their professional private activities.

The right to terminate the Physician-Patient relationship shall be vested only in the Patient and Physician.

When members of the faculty deliver specialized personal consultative services or primary medical care to patients, these faculty members by their personal efforts and presence enhance the stature and reputation of the College of Medicine and the Health Sciences Center.

A reasonable degree of active involvement by the clinical faculty in health delivery practices is essential to the maintenance of their professional skills. This contributes to the educational mission of the College of Medicine, including the research component of education.

Active participation in health delivery systems requires of the participant a significant commitment in terms of energy, emotional dedication, time and the assumption of personal liabilities in addition to those assumed in carrying out academic programs. This policy includes within its operational structure the entire range of the medical disciplines and specialities. There are fundamental differences among these disciplines, departments and specialties in matters relating to: (1) the unit of service for which a professional fee is rendered; (2) the amount of customary fee per unit of service; (3) the status of the patient (ambulatory or hospitalized); (4) the goal of the services rendered; and (5) their individual and collective needs as they relate in turn to their primary functions of teaching, training, clinical care and community service.

VIII. REGENTS' POLICY

In the process of exercising their discretion as professionals in matters of patient care, the members of the plan are not agents of

the University. This does not, however, diminish their responsibility to account to the University under the plan for all income earned, nor relieve them of the duty of compliance with policies and agreements of the Board of Regents governing site of practice.

Adherence to this Policy, as with all the Board of Regents' policies, is a condition of employment for strict full-time and geographic full-time faculty of the College of Medicine. Under this Policy, as in all University matters, the members of a Department are responsible to their Chair or Head, as the Chair or Head is responsible to his/her Dean, and the Dean, through the Provost of the Health Sciences Center, to the President.

IX. DEFINITION OF TERMS

A. Membership

1. Strict full-time and geographic full-time faculty members will participate in the Professional Practice Plan. A list of members who meet these criteria will be supplied yearly by the Chairs of the Departments to the Executive Dean.

2. All members of the faculty of The University of Oklahoma College of Medicine must be appointed members of the faculty as individuals and they must exercise their professional capabilities including the practice of medicine under this policy. The University will recognize individual corporations, but recognized incorporations will relate only to the income above the guaranteed base; this policy does not permit participating faculty members to practice as part of an incorporation without the express permission of The University of Oklahoma Board of Regents.

B. Guaranteed Base

This is the specific salary that is guaranteed annually by contract with The University of Oklahoma, or one of the affiliated hospitals or clinics or other institutions of the Health Sciences Center, through appointment to the faculty of the College of Medicine by the Board of Regents of The University of Oklahoma to compensate the individual faculty member for that portion of his or her time which will be devoted to academic programs and to ensure that the academic programs take precedence over other responsibilities. This also includes amounts paid as a guaranteed salary by the Veterans Administration Medical Centers and other affiliated hospitals for teaching and/or patient services. It is recognized the faculty member may devote as much of the remainder of his or her time as he or she wishes to professional practice, or other exercise of his or her professional capabilities.

C. Collected Income

Collected income for the purpose of income distribution shall be defined as those funds collected and deposited to appropriate departmental PPP accounts representing reimbursement for private practice activity.

D. Health Sciences Center Campus

For the purposes of this Policy, the definition of Health Sciences Center includes all those health care facilities located in the immediate geographic area of the College of Medicine that is commonly referred to and commonly understood to be The Health Sciences Center-Oklahoma City and Tulsa. In Oklahoma City, this includes, but is not necessarily limited to, all the facilities owned and/or operated by the Board of Regents, the Department of Human Services, and in Tulsa, the College of Medicine in Tulsa, which includes, but is not necessarily limited to, those clinics and hospitals affiliated with The College of Medicine - Tulsa.

E. Plan Distribution Policy

Academic departments will submit to the Executive Dean a plan concerning the distribution policy for funds generated by members of that academic department. The plan will be available for distribution to new members of the academic unit.

X. OPERATIONAL PROCEDURES

A. Director, Professional Practice Plan

There shall be a Director of the Professional Practice Plan who shall answer to the Advisory Council through the Executive Committee. The Director shall be charged with the responsibility of managing the central administrative functions of the Plan for purposes of insuring efficient operations of the Plan, compliance to requirements set forth by applicable state and/or federal regulations, The University of Oklahoma, and those governing bodies established under Article XI. These administrative functions may include other functions as determined by the Advisory Council and Executive Committee.

B. Assistant Director, Professional Practice Plan - Tulsa

There shall be an Assistant Director of the Professional Practice Plan who shall report to the Director of the Professional Practice Plan. The Assistant Director shall be charged with the responsibility of managing the central administrative functions of the Plan for purposes of ensuring efficient operations of the Plan, compliance to requirements set forth by applicable state and/or federal regulations, The University of Oklahoma, and those governing bodies established under Article XI, Governance of the Professional Practice Plan of this policy. This administrative function may include other functions as determined by the Advisory Council and Executive Committee.

C. Sources of Collected Income

All sources of collected income, including but not limited to the following:

1. Patient care fees wherever earned by the faculty member
2. Professional consultation
3. Honoraria
4. Compensation for supervision of professional services

All of the above examples to be treated as private practice activities which are not compensated by the guaranteed base.

D. Income Exclusions

Not included within the scope of this policy are:

1. Prizes and awards
2. Faculty Members' interest in:
 - a. Royalties
 - b. Copyrights
 - c. Patent Rights
3. Nonprofessional Income
4. Compensation received as a result of military leave
5. Income earned during sabbatical and leave without pay, but only when the exclusion is specifically authorized by the Regents.

E. Disposition of Gross Collected Income

Collected income described above in Section C, Article X, will be deposited daily in the appropriate Professional Practice Plan departmental account(s). Income will be deposited through The University of Oklahoma Health Sciences Center Office of the Bursar or subsidiary entity and will be disbursed by departments for items of expense in the order listed as follows:

1. The direct cost of billing and collections incurred by departments. These costs include the salaries/wages/benefits of individuals involved in the billing and collection process. In addition, direct costs, such as rents, supplies, equipment leases or purchases, nursing and other personnel, etc. attributable to both the billing/collection process and the cost of professional practice of members of each department shall be covered from income deposited to departmental account(s).

2. The Advisory Council approved annual budget from shared and joint activities, including the salaries, benefits and direct costs of the Office of the Director of Professional Practice Plan, shall be prorated against individual department accounts in a manner subject annually to approval by the Advisory Council. Notwithstanding the manner of allocation, these costs will be covered by departmental professional fees.

3. A gross receipts tax of 3.7% shall be transferred to the Dean's Enrichment Fund on all collected income as defined under Section C of these Bylaws. The only exclusions will be interest earned on "A" accounts, patient refunds, checks and/or commercial drafts not honored for insufficient funds.

4. A surtax shall be transferred to the Dean's Enrichment Fund based on individual faculty total compensation - (Base Pay + PPP Supplemental) as follows:

- a. No surtax on total income under \$100,000.
- b. 7.5% on total income from \$100,000 to \$150,000.
- c. 15% on total income from \$150,000 to \$200,000.
- d. 20% on all income over \$200,000.

5. Acceptable Categories of Expenditures by Departments include:

a. Attainment of income potential of the individual members. (It must clearly be recognized that there is no guarantee that this income potential will be achieved.)

b. Visiting consultants and lecturers at the Health Sciences Center.

c. Expenses directly related to faculty and staff recruitment.

d. Salaries for residents, other house staff and related personnel.

e. Students scholarships, stipends and tuitions.

f. Personnel training courses and attendance at professional and educational meetings.

g. Laboratories; salaries, maintenance and equipment.

h. Office and administrative expenses.

i. Other expenses incurred in support of departmental teaching, research and professional care of patients.

j. Salary for non-faculty personnel.

6. All accounts will be handled in accordance with standard accounting principles and the statutes of The State of Oklahoma as they apply to Special Agency Accounts, and the policies of The Board of Regents of The University of Oklahoma.

F. Depository

The Special Agency Account of The University of Oklahoma Health Sciences Center is the only authorized depository.

XI. Governance of the Professional Practice Plan

A. The Advisory Council

1. Membership

The Advisory Council shall represent the full membership of the Professional Practice Plan and shall be responsible for policy matters and advising the Executive Dean, Chair of the Practice Plan, Provost and President on matters affecting the operations.

2. The Advisory Council shall consist of:

a. The Chair or Head of each of the Departments of the College of Medicine at Oklahoma City and Tulsa.

b. Nine (9) members-at-large elected at the annual meeting by and from the faculty members of clinical departments with unmodified faculty titles for terms of two years each. No more than two (2) members-at-large shall be elected from any one department. Three (3) of the above shall be elected by and from the College of Medicine - Tulsa.

c. The Executive Dean of the College of Medicine (ex officio) member without vote).

d. The Dean of The University of Oklahoma College of Medicine-Tulsa (ex officio) member without vote).

e. The Director of Professional Practice Plan and Assistant Director - Tulsa. (ex officio members without vote.)

3. Meetings

The Advisory Council shall meet quarterly at a time designated by the Chair of the Advisory Council and upon call by request of the Chair or seven (7) members of the Advisory Council. Notice of the meeting and an agenda will be distributed to each member at least one week prior to the meeting. The Chair or Head of each Department may designate an individual to represent him/her annually or at individual meetings by notifying, in writing, the Chair of the Advisory Council.

4. Officers

The officers of the Advisory Council shall be a Chair, a Vice Chair, and a Secretary, who shall be elected annually from the members of the Advisory Council by the full membership at the annual meeting. The Chair shall preside. The Vice Chair is designated as the Chair elect. If necessary, the tenure of the Vice Chair will be extended one (1) year in order to complete the term of Chair. The officers shall perform such duties as naturally appertain to their respective offices and duties as may be imposed by the Advisory Council.

5. Quorum and Voting

A quorum shall consist of one-half of the voting members of the Advisory Council. Any official action of the Advisory Council requires a majority vote of its members present.

6. Rules of Order for Advisory Council and its Committees

a. Agenda

All items of business not requiring formal action by the Advisory Council, unless an objection is registered, are accepted "for information only." All items for business requiring formal action by the Advisory Council must be in the form of a resolution.

b. Procedural Motions

Robert's Rules of Order will be accepted as final authority for parliamentary procedures.

B. THE EXECUTIVE COMMITTEE

Oklahoma City

1. Composition

The Executive Committee shall consist of:

a. Three (3) officers of the Advisory Council,

b. Five (5) members of the Advisory Council, one of whom shall be a representative of the Tulsa Campus PPP Faculty, elected by the Advisory Council.

c. Executive Dean

d. Dean - Tulsa

e. Ex officio members without vote: Practice Plan Director, OMC Chief Executive Officer, Associate Dean-Administration, Medical Director OMC.

2. Election of Officers

The Executive Committee shall elect a Chair by majority vote whose tenure shall coincide with that of the Advisory Council Officers.

3. Meetings and Quorum

The Executive Committee shall meet at least every other month and upon call of the Chair or any five (5) voting members of the Advisory Council. A quorum shall consist of no fewer than five (5) members.

4. Duties

The Executive Committee shall exercise the full authority of the Advisory Council and shall be responsible for the operation and management of the joint activities and shared programs and of the Plan in Oklahoma City. These include but are not limited to:

a. Management of operations and budget approved by the Advisory Council.

b. Provision of uniform procedures to account for all earnings generated by the faculty.

c. Reporting and audit of all monies received and dispersed as a result of the private practice of the faculty.

d. Provide operating, financial, and other reports necessary to the efficient operation of the Plan.

e. Annually propose an operating budget and assessment necessary to the operating of the Plan to be approved by the Advisory Council.

f. Engage legal counsel, accountants, consultants and others as required. It is understood, however, that only the legal counsel for the Board of Regents may prepare legal documents and represent the University and its constituent parts in the University's external affairs. The Committee is also charged with the process of selecting a Director of the Professional Practice Plan.

g. Identify and correct clinical service deficiencies.

h. Contract and manage clinical service agreements.

All actions are subject to the policies and procedures of The University of Oklahoma and the Board of Regents of The University of Oklahoma.

C. Executive Committee-Tulsa

1. Composition

The Executive Committee - Tulsa shall consist of:

a. Chairs of the clinical departments of the College of Medicine-Tulsa.

b. One member from The Oklahoma City Campus PPP Faculty elected by the Advisory Council.

c. Executive Dean

d. Dean, College of Medicine-Tulsa

e. Ex officio members without vote, Assistant Director-Tulsa, Associate Dean-Administration-Tulsa, Clinics Administrator.

2. Election of Officers

The Executive Committee shall elect a Chair and Vice-Chair by majority vote whose tenure shall coincide with that of the Advisory Council Officers.

3. Meetings and Quorum

The Executive Committee shall meet monthly and upon call of the Chair or any five (5) members of the Advisory Council. A quorum shall consist of no fewer than five (5) members.

4. Duties

The Executive Committee shall exercise the full authority of the Advisory Council and shall be responsible for the operation and management of the joint activities and shared programs and of the Plan in Tulsa. These include but are not limited to:

a. Management of operations and budget approved by the Advisory Council.

b. Provision of uniform procedures to account for all earnings generated by the faculty.

c. Reporting and audit of all monies received and disbursed as a result of the private practice of the faculty.

d. Provide operating, financial, and other reports necessary to the efficient operating of the Plan.

e. Annually propose an operating budget and assessment necessary to the operation of the Plan to be approved by the Advisory Council.

f. Engage legal counsel, accountants, consultants, and others as required. It is understood, however, that only the legal counsel for the Board of Regents may prepare legal documents and represent the University and its constituent parts in the University's external affairs. The Committee is also charged with the process of selecting a Director of the Professional Practice Plan.

g. Identify and correct clinical service deficiencies.

h. Contract and manage clinical service agreements.

All actions are subject to the policies and procedures of The University of Oklahoma and the Board of Regents of The University of Oklahoma.

D. Ad Hoc Committees

Ad Hoc Committees may be appointed as necessary by the Chair of The Advisory Council or the Executive Committee.

E. Annual Meeting of Members

Annually, at a time to be determined by the Executive Committee, there shall be a meeting of the full membership of the Professional Practice Plan at which time a report of the activities of the Council shall be given by the Officers and the Director. In addition, elections will be held for at-large members and officers. Special meetings may be called upon request of the Executive Committee.

F. Departmental Restrictions

Nothing contained herein shall be construed to prevent the contributing members within any department from suggesting such additional department restrictions as they may wish, but without conflict with the general plan. Departmental business practice policies will be implemented by the Chair of each Department as provided under these Bylaws.

G. Miscellaneous Provisions

1. Policy Amendments

The policy for the operation and governance of the Professional Practice Plan may be amended by the Board of Regents of The University of Oklahoma. Proposed amendments will be submitted to the Advisory Council for advice and comment. In addition, amendments to the Policy may be proposed or initiated for the consideration of the Regents by:

a. proposal to the Advisory Council by the Executive Committee, or by petition of any twenty (20) members, followed by

b. approval by the Advisory Council and presentation to the full membership, and requiring

c. ratification by two-thirds (2/3) of the members present at an annual or special meeting and thence forwarding the proposed amendment through the Executive Dean of the College of Medicine, Provost of The Health Sciences Center to the President for approval for submission to the Board of Regents of The University of Oklahoma.

2. Exceptions

It is recognized that there are, and should be, a wide spectrum of individuals within a viable faculty with variation in contribution, interests, geographic status, longevity, financial status and need. Because of the importance of the contributions of these individuals and their appropriate compensation, certain specific arrangements, that are exceptions to the foregoing, can be made only:

- a. with the approval of the respective Department Head,
- b. with the approval of the Executive Committee,
- c. with the approval of the Executive Dean, the Provost of the Health Sciences Center, and
- d. with contractual approval by the President and the Board of Regents of The University of Oklahoma.