

**MINUTES OF A REGULAR MEETING
THE UNIVERSITY OF OKLAHOMA
DECEMBER 12, 2017**

<u>MINUTES</u>	<u>Page</u>
Special Meeting held October 23, 2017.....	35888
Regular Meeting held October 24, 2017.....	35888

CAMERON UNIVERSITY

REPORT OF THE PRESIDENT OF THE UNIVERSITY.....	35876
Substantive Program Changes	35876
Awarding of Contract for Custodial Services.....	35877
Academic and Administrative Personnel Actions	35878
Duncan Science Laboratories Construction Contract.....	35879
Nonsubstantive Program Changes	35879 35880
Curriculum Changes	35879 35881
Emergency Operations Plan Annual Report.....	35879 35881
On-Call Architects and Engineers Quarterly Report	35879 35882
Quarterly Report of Purchase Obligations.....	35879 35883
Quarterly Financial Analysis	35879 35883

ROGERS STATE UNIVERSITY

REPORT OF THE PRESIDENT OF THE UNIVERSITY.....	35884
Grants	35884
Awarding of Contract for Elevators.....	35884
Flexible Benefit Plan Change	35885
Emergency Operations Plan Annual Report.....	35885 35886
Quarterly Report of Purchases	35885 35887
Quarterly Financial Analysis	35885 35888

THE UNIVERSITY OF OKLAHOMA

REPORT OF THE PRESIDENT OF THE UNIVERSITY35888

HEALTH SCIENCES CENTER

Easement for University of Oklahoma Schusterman Center35890

Claims and Risk Management – OUMI.....35890
35891

Health Sciences Center Quarterly Financial Analysis35890
35891

On-Call Architects, Engineers and Construction Managers Quarterly Report.....35890
35891

Quarterly Report of Purchases35890
35892

Nonsubstantive Program Changes35890
35892

Curriculum Changes35890
35893

Cross Neighborhood Tenant Improvement Allowance35890
35893

Cross Neighborhood Furniture, Fixtures and Equipment.....35890
35894

Extension and Modification of Agreement
to Provide Apparel, Products and Equipment for Athletics.....35890
35894

Quarterly Financial Analysis35890
35895

NORMAN CAMPUS

Awards, Contracts and Grants35895

Naming of the College of Architecture.....35896

College of Arts and Sciences Dean Search.....35897

Substantive Program Changes35998

Upgrade the Status of the African and African American Studies Program
to the Department of African and African American Studies.....35902

Upgrade the Status of Film and Media Studies Program
to the Department of Film and Media Studies35902

Upgrade the Status of the Religious Studies Program
to the Department of Religious Studies35903

Upgrade the Status of the Women’s and Gender Studies Program to the Department of Women’s and Gender Studies	35903
Resolution Concerning Management of the University of Oklahoma’s Classified Defense Information Program	35904
Data Governance Committees	35905
Revisions to Ethics in Research Policy.....	35906
Housing and Food Service Rates for 2018-2019	35907
Post Season Contest	35907
Easement for Phillips 1998 Descendants Trust	35908
Academic Personnel Actions	35910
Administrative and Professional Personnel Actions.....	35919

**MINUTES OF A REGULAR MEETING
THE UNIVERSITY OF OKLAHOMA BOARD OF REGENTS
DECEMBER 12, 2017**

A regular meeting of the Board of Regents governing The University of Oklahoma, Cameron University, and Rogers State University was called to order in the Auditorium at the Robert M. Bird Library on the Health Sciences Center Campus in Oklahoma City, Oklahoma, at 3:34 p.m. on December 12, 2017.

The following Regents were present: Clayton I. Bennett, Chairman of the Board, presiding; Regents Leslie J. Rainbolt-Forbes, M.D., Bill W. Burgess, Renzi Stone, Phil B. Albert and Frank Keating.

Others attending all or a part of the meeting included Mr. David L. Boren, President of The University of Oklahoma; Dr. J. Kyle Harper, Senior Vice President and Provost – Norman Campus; Jason Sanders, M.D., Senior Vice President and Provost – Health Sciences Center Campus; OU-Tulsa President John Schumann, M.D.; Vice Presidents Joe Castiglione, Kelvin Droegmeier, Tripp Hall, Nicholas Hathaway, Chris Kuwitzky, Ken Rowe and Clarke Stroud; Clive Mander, Director of Internal Auditing; Chief Legal Counsel Anil Gollahalli; and Executive Secretary of the Board of Regents, Dr. Chris A. Purcell.

Attending the meeting from Cameron University was Dr. John McArthur, President of the University.

Attending the meeting from Rogers State University was Dr. Larry Rice, President of the University and Vice President Tom Volturo.

Notice of the time, date and place of this meeting was submitted to the Secretary of State, and the agenda was posted in the Office of the Board of Regents on or before 8:00 a.m. on December 11, 2017, both as required by 25 O.S. 1981, Section 301-314.

CAMERON UNIVERSITY

REPORT OF THE PRESIDENT OF THE UNIVERSITY

President McArthur said that the University is at that time of year where the fall semester is near closing, students are proud of their work, anxious about finals and excited about future time with family. By the 16th (of this month) we hope to have students home so that employees can have time to update, clean and reorganize the campus and campus systems for the student's return for the 2018 Spring semester. He closed his report by wishing everyone a happy holiday season on behalf of Cameron University.

SUBSTANTIVE PROGRAM CHANGES – CU

The Oklahoma State Regents for Higher Education require that all substantive changes in degree programs be presented to the institution's governing board for approval before being forwarded to the State Regents for consideration. The changes in the academic programs presented below have been approved by the President, upon recommendations of the appropriate faculty, academic unit and dean, the Curriculum Committee or Graduate Council, and the Vice President for Academic Affairs. The changes are being submitted to the Board of Regents for approval prior to submission to the State Regents.

1. PROGRAM: B.S. in Organizational Leadership

PROPOSED CHANGE: Change of Instruction Mode to Online Delivery

COMMENTS: Student demand for online programs at Cameron University continues to increase. Offering this program in an online format in addition to the traditional, face-to-face format will afford the opportunity to advance this degree program as well as the state-wide Reach Higher program as a whole by offering more opportunities for degree completion to students across the region and state. The addition of the online program will allow students greater flexibility in taking courses as well as increase transferability among the Organizational Leadership programs in the state. One hundred percent online delivery will not adversely affect program rigor and quality given the faculty members' extensive knowledge and experience with distance education methods and their dedication to acquiring advanced skill sets in using online technologies. Faculty members will provide instruction and guidance as needed to students through Blackboard and Collaborate, and tutoring through Tutor.com. By moving the program fully online, students will have a better opportunity to finish their degrees while fully employed, and to move into open positions that will improve chances for increasing economic and career goal success.

Total hours required for the degree and major will not change. The requested change will not require additional funds.

President McArthur recommended the Board of Regents approve the proposed changes to the Cameron University academic programs.

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

AWARDING OF CONTRACT FOR CUSTODIAL SERVICES – CU

The University is committed to implementing cost saving measures in an effort to combat the continuing decline in state revenues. To this end, the feasibility of outsourcing custodial services was explored by issuing a request for proposal for Custodial Services on July 24, 2017.

Advertising announcing the RFP was sent to fourteen firms that had expressed interest in bidding the project.

A mandatory pre-proposal meeting was held on August 8, 2017 with nine companies attending the meeting. Companies were given the opportunity to visit the various buildings and ask questions concerning the bid documents. Proposals were accepted on September 8, 2017, at 2:00 p.m.

Companies were notified that the lowest proposal would not be the only consideration for awarding the contract. Other factors such as capacity to perform, similar size and type of clients, employment opportunities for current custodial staff employees, and verification of references would be considered.

The University had a committee to review the proposals consisting of the Director of Physical Facilities, Vice President for Business and Finance, Controller, Director of Student Housing, Director of Events Management, Purchasing Agent, Athletic Director, and a representative from the Staff Advisory Committee. Eight proposals were timely submitted with four proposals selected for further review. The following companies were selected for interviews:

McLemore Building Maintenance	Houston, Texas
Sodexo, Inc.	Gaithersburg, Maryland
SourceOne Management Services, Inc.	Tulsa, Oklahoma
UCI Services Group, Inc.	Lawton, Oklahoma

Several of the factors in making the selection included staffing considerations, methods and types of cleaning products used, training, and contract price. Based upon review of the proposals, interviews, and responses to questions posed to each company, it was determined the University would achieve significant savings by outsourcing custodial services. The committee recommends McLemore Building Maintenance as the best value for the University and the University enter into a contract with the company.

A Tabulation Sheet is attached hereto as Exhibit A.

President McArthur recommended the Board of Regents:

- I. Authorize the President or his designee to negotiate and execute a contract with McLemore Building Maintenance, Houston, Texas, for custodial services on the Lawton and Duncan campuses for a period of one year beginning January 1, 2018, with annual negotiated renewals for a maximum of five years;
- II. Authorize the President or his designee to amend the contract as additional services are required, as new facilities are placed in service, or as old facilities are taken out of service; and
- III. Report back to the Board of Regents, actions taken under authority granted by this Board action.

Regent Stone moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

ACADEMIC AND ADMINISTRATIVE PERSONNEL ACTIONS –CU

CHANGE(S):

Boss, Stephanie, Chair and Assistant Professor, Department of Sports and Exercise Science, title changed to B.H. and Flora Brewer Endowed Professor in Instructional Technology at the rank of Assistant Professor, academic year salary of \$62,950 annualized which includes a \$3,700 chair stipend (\$6,295.00), effective January 1, 2018 and Chair and Assistant Professor, Department of Sports and Exercise Science. The Endowed Professorship assignment is annually renewable upon mutual consent of the faculty member and the University.

Dennis, Jennifer, Chair and Associate Professor, Department of Education, title changed to McCasland Foundation Endowed Chair in Educational Leadership, academic year salary of \$66,610 annualized which includes a \$7,200 chair stipend (\$6,661.00), effective January 1, 2018 and Chair and Associate Professor, Department of Education. The Endowed Chair assignment is annually renewable upon mutual consent of the faculty member and the University.

Glazer, Courtney, Associate Professor, Department of Education, title changed to Flora Brewer Endowed Chair in Education, academic year salary of \$58,330 annualized (\$5,833.00), effective January 1, 2018 and Associate Professor, Department of Education. The Endowed Chair assignment is annually renewable upon mutual consent of the faculty member and the University.

McGuire, Danny, Chair and Professor, Department of Chemistry, Physics and Engineering, title changed to Dorothy S. Tobias Endowed Chair in Chemistry, academic year salary of \$75,099 annualized which includes a \$4,600 chair stipend (\$7,509.90), effective January 1, 2018 and Chair and Professor, Department of Chemistry, Physics and Engineering. The Endowed Chair assignment is annually renewable upon mutual consent of the faculty member and the University.

Morren, Christian, Assistant Professor, Department of Art, Music and Theatre Arts, title changed to Joye R. Lentz Endowed Professor at the rank of Assistant Professor, effective January 1, 2018 and Assistant Professor, Department of Art, Music and Theatre Arts. The Endowed Professorship assignment is annually renewable upon mutual consent of the faculty member and the University.

Smith, Michelle, Associate Professor, Department of Education, title changed to B.H. Brewer Endowed Chair in Education, academic year salary of \$55,917 annualized (\$5,591.70), effective January 1, 2018 and Associate Professor, Department of Education. The Endowed Chair assignment is annually renewable upon mutual consent of the faculty member and the University.

RESIGNATION(S):

Steyn, Derik, Professor, Department of Business, December 20, 2017.

President McArthur recommended the Board of Regents approve the personnel actions listed above.

Regent Rainbolt-Forbes moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

DUNCAN SCIENCE LABORATORIES CONSTRUCTION CONTRACT – CU
NONSUBSTANTIVE PROGRAM CHANGES – CU
CURRICULUM CHANGES – CU
EMERGENCY OPERATIONS PLAN ANNUAL REPORT – CU
ON-CALL ARCHITECTS AND ENGINEERS QUARTERLY REPORT – CU
QUARTERLY REPORT OF PURCHASE OBLIGATIONS – CU
QUARTERLY FINANCIAL ANALYSIS – CU

The listed items were identified, by the administration, as “For Information Only.” Although no action was required, the opportunity to discuss or consider the items individually was provided.

DUNCAN SCIENCE LABORATORIES CONSTRUCTION CONTRACT – CU

At the March 2017 meeting, the Board of Regents authorized the President or his designee to award construction contracts in an amount not to exceed \$2.25 million to renovate a portion of the Duncan Campus building to construct science labs and support spaces.

Construction documents were prepared by Frankfurt Short Bruza, the architect assigned to the project. The project was advertised for bid. On November 7, 2017, bids were received from seven of the ten firms that requested and received bid packages. The bids were evaluated by the project consultants and the following representatives of the University:

Robert Hanefield, Director of Physical Facilities
Richard McComas, Purchasing Agent

A contract in the amount of \$890,000 was awarded to Fenix Constructors Inc., Ardmore, the firm presenting the best and lowest bid. The next lowest bid was from W.L. McNatt & Company, Oklahoma City for \$899,400.

Funding for the project is available and budgeted from private donations and federal funds.

This item was presented for information only. No action was required.

NONSUBSTANTIVE PROGRAM CHANGES – CU

The Oklahoma State Regents for Higher Education confer upon each institution the authority to approve modifications that are nonsubstantive, but require the changes to be communicated to them for information. The modifications listed below have been approved by the President, upon recommendations of the Vice President for Academic Affairs, respective deans and department chairs, and the Curriculum Committee or Graduate Council.

1. PROGRAM: Minor in Corrections

PROPOSED CHANGE: Deletion

COMMENTS: Low student demand and reduced course rotation necessitates deletion of the minor. Three students remain in the minor. Remaining students will be allowed to complete the minor. Courses in the minor are used as electives in other programs. No courses will be deleted at this time. The requested change is budget neutral.

2. PROGRAM: Minor in Law Enforcement

PROPOSED CHANGE: Deletion

COMMENTS: Low student demand and reduced course rotation necessitates deletion of the minor. Three students remain in the minor. Remaining students will be allowed to complete the minor. Courses in the minor are used as electives in other programs. No courses will be deleted at this time. The requested change is budget neutral.

3. PROGRAM: Minor in Music

PROPOSED CHANGE: Program Requirement Change

COMMENTS: One required course for the minor (MUSC 1423) will be replaced with a choice among three existing courses (MUSC 3513 or MUSC 3523 or MUSC 3533) to fulfill the requirement. Total hours required for the minor will not change. The requested change will not require additional funds.

4. PROGRAM: Minor in Theatre Arts

PROPOSED CHANGE: Program Requirement Change

COMMENTS: One required course for the minor (THTR 1203) will be replaced with a choice among three existing courses (THTR 1203 or THTR 2203 or THTR 2503) to fulfill the requirement. Total hours required for the minor will not change. The requested change will not require additional funds.

This item was presented for information only. No action was required.

CURRICULUM CHANGES – CU

The Oklahoma State Regents for Higher Education confer upon each institution the authority to add, modify and delete courses, but require that the changes be communicated to them for information. The modifications listed below have been approved by the President, upon recommendations of the Vice President for Academic Affairs, respective deans and department chairs, and the Curriculum Committee or Graduate Council.

COURSE MODIFICATIONS

<u>Prefix /Number</u>	<u>Title</u>	<u>Comments</u>
ACCT 4013	Individual Income Tax	Change in prerequisites
HIST 3033	Atlantic World, 1400-1850	Change in title
HIST 3123	The Crusades	Change in title
HIST 1423	American Women, 1619-Present	Change in title
HIST 4243	American Colonial History	Change in description
HIST 4253	American Revolution and Early National Period	Change in description
HIST 4323	America, 1945-Present	Change in description and title
HIST 4353	Northern Europe, 1300-1800	Change in description and title
THTR 2203	Stagecraft	Change in prerequisites
THTR 3303	Lighting	Change in prerequisites

This item was presented for information only. No action was required.

EMERGENCY OPERATIONS PLAN ANNUAL REPORT – CU

Pursuant to the authority contained in the Oklahoma Emergency Management Act of 2003, O.S. 63, Section 681 through 683.24, the head of each designated department and agency shall take necessary actions to implement the Emergency Operations Plan by developing written

internal procedures that detail support required by the plan and shall be prepared to put the plan into action. Section 681 also requires institutions of higher learning to make an annual report to its Board of Regents “detailing the status of emergency preparedness and identified safety needs.”

Emergency planning and response is an evolutionary process adapting to the nature of the specific emergency. The Cameron University Emergency Operations Plan defines basic procedures and guidelines to minimize the impact of emergencies and maximize the effectiveness of response personnel. Response to and recovery from major emergencies and catastrophic occurrences will be conducted within the framework of the plan assuring continuity of campus operations. The plan provides effective coordination of university and community resources to protect life, preserve property, and provide stability, and lays the foundation for responses to extreme weather conditions, fires, hazardous materials incidents, large scale events, and protest actions. Although there were no significant changes to the plan this year, contact information sheets have been reviewed and updated, statistical data in section 1.2 have been updated, and section 11.0, Emergency Roles and Assignments, has been updated with current personnel information. A current copy is on file in the Board office. Additionally, Cameron University’s Emergency Operations Plan has been filed with the Comanche County Emergency Management Director.

Cameron University’s safety needs take into consideration the demographic profile of Cameron’s students as well as the physical environment of Cameron’s campus and learning site. The Cameron campus in Lawton is located adjacent to economically challenged neighborhoods and the Jim Taliaferro Community Mental Health Center. Accordingly, Cameron’s Office of Public Safety:

- maintains a close relationship with local emergency management agencies
- assists Student Services and Human Resources in updating annual orientation materials for new students and faculty members
- conducts joint training exercises involving active shooter situations, bomb threats, etc., with local emergency management agencies
- ensures that each Public Safety officer receives annual continuing education in excess of the state mandated twenty-five hours, and
- notifies all students, faculty and staff of Cameron’s severe weather procedures and designated shelter areas.

In addition, throughout the year, the Directors of Physical Facilities and Public Safety conduct visual inspections, including nightly inspections of buildings, parking lots, campus lighting and grounds to ensure compliance with safety standards.

This item was presented for information only. No action was required.

ON-CALL ARCHITECTS AND ENGINEERS QUARTERLY REPORT – CU

In May 2017, the Board of Regents authorized a group of architectural and engineering firms to provide professional on-call services. Work completed during the first quarter of fiscal year 2018 by on-call architectural and engineering firms is summarized on the attached Exhibit B.

This item was presented for information only. No action was required.

QUARTERLY REPORT OF PURCHASE OBLIGATIONS – CU

The Board of Regents' policy governing the buying and selling of goods and services states that:

- I. Purchases and/or acquisition of goods and services over \$250,000 must be submitted to the Board for prior approval; and
- II. Purchase obligations between \$50,000 and \$250,000 must be reported quarterly to the Board as an information item. Sole source procurements in this category must also be reported and identified as such.

The required reports for the quarter ended September 30, 2017 are as follows:

PURCHASE OBLIGATIONS FROM \$50,000 TO \$250,000

Item	Description	Campus-Department	Vendor	Award Amount	Explanation/Justification
1.	Software Maintenance	ITS	Blackboard, Inc.	\$63,242	Annual Renewal
2.	Service Renewal	Business Office	TouchNet	\$86,000	Annual Renewal
3.	Building Rental	Psychology	BancFirst	\$121,000	Counseling Clinic rent
4.	Software Maintenance	ITS	Blackboard, Inc.	\$107,973	Annual Renewal
5.	Subscription Service	Library	Ebsco Subscription Service	\$165,187	Journals
6.	Insurance	Athletics	First Agency Inc.	\$56,338	Student athlete insurance
7.	Roof Construction	Physical Facilities	Ford Roofing and Sheet Metal	\$249,439	Duncan Campus roof replacement

SOLE SOURCE PROCUREMENTS IN EXCESS OF \$50,000

There were no Sole Source Procurements for the period of July 1, 2017 through September 30, 2017.

This item was presented for information only. No action was required.

QUARTERLY FINANCIAL ANALYSIS – CU

By request of the Board of Regents, the Cameron University Statements of Net Position as of September 30, 2017, Statements of Revenues, Expenses and Changes in Net Position for the three months then ended, and related Executive Summary are attached hereto as Exhibit C. The statements are unaudited and are presented for management use only.

This item was presented for information only. No action was required.

ROGERS STATE UNIVERSITY

REPORT OF THE PRESIDENT OF THE UNIVERSITY

President Rice pointed out the written report the Regents had from him and read some highlights. Page 2 mentions that the University offers over 5000 noncredit courses, will be a game-changer in the various places where we operate. Page 3 mentions the dedication of RSU's first research facility. We are not a research institution, but we conduct research and we are glad to have the land that we have purchased to have a place for faculty and students to do research. Page 4 mentions the new Nursing simulation and, lastly, the length of service recognition program yesterday, recognizing employee terms from 5 years to 44 years.

GRANTS – RSU

In accordance with Regents' policy, a list of awards and/or modifications in excess of \$250,000 or that establish or make policy for the University, or that otherwise involve a substantial or significant service to be performed by the University are shown below.

Grant Purpose:

The Community Service Grant is used primarily for staff salaries and for operations of RSU Public Television.

President Rice recommended the Board of Regents ratify the award submitted with this agenda item.

<u>Award Grantor</u>	<u>Award Period</u>	<u>Award Amount</u>
Community Corporation Service Grant for Public Broadcasting	10/01/17-09/30/18	\$644,035.00

Regent Albert moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

AWARDING OF CONTRACT FOR ELEVATORS – RSU

Rogers State University has two elevators that require major repairs and modernization. The elevators are located in student housing University Village A and in an academic building, Preparatory Hall. The scope of work includes but not limited to the following items:

- Modernization of primary controls, motors, pump, hydraulic jack and controlling electronics
- Modernization of all doors, door controls and door electronics
- Modernization of car interior including wall panels, LED lights and door. The University will install the new flooring.
- All alternate work items as required to acquire certification from the State of Oklahoma Department of Labor
- Provide post punch corrective work resulting from inspection by Rogers State University and the Oklahoma Department of Labor
- Remove and dispose of all construction debris

- Final cleaning of elevator and/or common areas as required

Pricing is based on Kone Inc. proposal using the US Communities Purchasing program and pricing. Total cost for University Village A is \$149,160 and Preparatory Hall is \$211,924.

Dr. Rice recommended awarding the contract to Kone, Inc.

State statutes allow change orders to be issued for up to 15 percent cumulative increase in original amount of the project costing \$1,000,000 or less. Approval of this project will authorize the President or his designee to sign the contracts and will allow issuance of the change orders within budget limitations. The contract amount and authorized maximum change orders total \$415,246.

Funding for this project has been established and will consist of the University auxiliary revolving funds from student housing and capital projects. Any change orders will be charged to the appropriate account listed.

President Rice recommended the Board of Regents:

- I. Authorize the President to award a contract to Kone Inc. for modernization and repair of two elevators on the Claremore campus in the amount of \$361,084; and
- II. Authorize the President or his designee to execute the contract and any necessary change orders during the project within statutory and budget limitations up to a maximum project cost of \$415,246.

Regent Rainbolt-Forbes moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

**FLEXIBLE BENEFIT PLAN CHANGE – RSU
EMERGENCY OPERATIONS PLAN ANNUAL REPORT – RSU
QUARTERLY REPORT OF PURCHASES – RSU
QUARTERLY FINANCIAL OBLIGATIONS – RSU**

The listed items were identified, by the administration, as “For Information Only.” Although no action was required, the opportunity to discuss or consider the items individually was provided.

FLEXIBLE BENEFIT PLAN CHANGE – RSU

Rogers State University offers employees the opportunity to contribute to a Health Flexible Spending Account (Health FSA). The calendar year 2017 maximum allowable contribution is \$2,600. Recent changes announced by the IRS increased the allowable contribution to an annual calendar amount of \$2,650 which can be used for health, dental and vision expenses. The contribution to an individual flexible benefit plan for calendar year 2018 will be \$2,650 effective January 1, 2018.

This item was presented for information only. No action was required.

EMERGENCY OPERATIONS PLAN ANNUAL REPORT – RSU

Pursuant to the authority contained in the Oklahoma Emergency Management Act of 2003, O.S. 63, Section 681 through 683.24, the head of each designated department and agency shall take the necessary actions to implement the Emergency Operations Plan by developing written internal procedures that detail support required by the plan and shall be prepared to put the plan into action. As required by the statutes, institutions of higher education shall make annual reports to the Board of Regents detailing the status of emergency preparedness.

The Rogers State University Emergency Operation Plan defines emergency planning and response as an evolutionary process adapting to the nature of an emergency. The intent of the Rogers State University Operations Plan is to define basic procedures as a guideline for response personnel. The University seeks to minimize the impact of emergencies and maximize the effectiveness of the campus community through increased coordination and preparedness in order to protect life, preserve property, and stabilize the incident. The plan sets the foundation for the University's response to extreme weather conditions, fires, hazardous materials incidents, large-scale events, prolonged power outages, protest actions, or any large scale event.

Although there were no significant changes to the plan this year, the RSU Police Department reviewed and updated the RSU Emergency Operation Plan in August 2017.

The Jeanne Clery Report was completed, filed with the Department of Education, and posted on the RSU website in September 2017 by Dr. Brent Marsh.

In keeping with the Clery compliance and emergency preparedness, on October 26, 2017 Rogers State University conducted an area-wide/first responder drill called PLOWED where a truck hits a RSU homecoming float and injures over 20 students. The drill utilized Rogers County Emergency Management, RSU Police Department, Claremore Police and Fire Department, Rogers County Sheriff's Department, Pafford Ambulance, RSU students, RSU Public Relations, RSU Student Affairs, RSU Physical Plant, County Health Department, Life Flight Helicopters, Claremore Hillcrest and Claremore Indian hospitals. The location of the drill was in the EXPO parking lot.

The RSU Student Apartments completed two weather related drills and two fire drills this year. All fire suppression systems are tested in June and July on all three campuses.

This year Rogers State University signed a Mutual Aid agreement with Cherokee Nation. This agreement cross-deputized the Cherokee Nation Marshal Service and the RSU Police Officers giving both departments cross jurisdiction if ever needed. Also, the Cherokee Nation provided the RSU Police Department with a grant to help add computers to their police vehicles.

The Rogers State University Police Department maintains a close relationship with local emergency management agencies, assists Student Affairs and Human Resources, conducts joint training exercises involving active shooter situations, bomb threats, etc., and ensures that University police officers receive annual continuing education in excess of the state mandated twenty-five hours.

The Facility Director of the Physical Plant and Campus Police conduct visual inspections, including nightly inspections of buildings, parking lots, campus lighting and grounds to ensure compliance with safety standards.

When a determination has been made that a timely warning should be issued, the Director of Public Relations or his/her designee will initiate appropriate media distribution of the warning, which may include, but is not limited to, campus-wide e-mail, the RSU Alert notification system which can distribute e-mails, phone calls, and text messages, posted flyers, and printing the warning in the local newspaper.

This item was presented for information only. No action was required.

QUARTERLY REPORT OF PURCHASES – RSU

The Board of Regents policy governing the buying and selling of goods and services states that:

- I. Purchases and/or acquisition of goods and services over \$250,000 must be submitted to the Board for prior approval;
- II. Purchase obligations between \$50,000 and \$250,000 must be reported quarterly to the Board as an information item. Sole source procurements in this category must also be reported and identified as such.

QUARTERLY REPORT OF PURCHASES – ALL July 1, 2017 through September 30, 2017

<u>Item</u>	<u>Description</u>	<u>Department</u>	<u>Vendor</u>	<u>Award Amount</u>	<u>Explanation / Justification</u>
I. PURCHASE OBLIGATIONS FROM \$50,000 TO \$250,000					
1	Service	All Campuses	Benefit Resources, Inc.	100,000	Benefits Administration
2	Supplies	All Campuses	Staples	50,000	Office Supplies
3	Service	Library	Ebsco Industries	66,073	Library Services
4	Service	Claremore Campus	Blackboard, Inc.	72,635	Security Services
5	Service	Athletics	Specialty Insurance Solutions	69,503	Risk Mgmt. Services
6	Supply	Athletics	BSN Sports Inc.	67,000	Athletic Apparel
7	Service	Claremore Campus	SourceOne	300,000	Custodial Services
8	Service	Bartlesville Campus	SourceOne	83,272	Custodial Services

December 12, 2017

35888

9	Service	Pryor Campus	SourceOne	56,000	Custodial Services
10	Service	Claremore Campus	Sodexo, Inc	75,000	Food Services
11	Service	Health Center	OU Physicians	62,000	Health Services
12	Service	All Campuses	University of Oklahoma	216,965	Board Services
13	Service	All Campuses	Cox Cable	60,000	Cable TV
14	Service	Athletics	Village Tours	96,121	Transportation

II. SOLE SOURCE PROCUREMENTS FROM \$50,000 TO \$250,000
Competition Not Applicable

None to Report

This item was presented for information only. No action was required.

QUARTERLY FINANCIAL ANALYSIS – RSU

By request of the Board of Regents, the Rogers State University Statements of Net Position as of September 30, 2017, Statement of Changes in Net Position for the twelve months then ended and related Executive Summary are attached hereto as Exhibit D.

This item was presented for information only. No action was required.

MINUTES

Regent Stone moved approval of the minutes of the meetings held on October 23, 2017 and October 24, 2017 as printed and distributed prior to the meeting. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

THE UNIVERSITY OF OKLAHOMA

REPORT OF THE PRESIDENT OF THE UNIVERSITY

The President had several items of good news about the University. The University of Oklahoma was once again awarded the Davis Cup for having the most Davis scholars. These intelligent students are given the opportunity to go to schools all around the world called Davis World Colleges, and the best of these students are given the funds to go to any higher education institution in the nation. Mr. Shelby Davis is a Graduate of Princeton and he thought that most of these students would go to Ivy League schools, especially his alma mater. But he has been surprised that the students have chosen to attend non-Ivy League schools for the last five years. They go through several processes which eventually select the best and brightest international students for higher education. This is the 5th

straight year that these students have chosen to come to our school. You have met them at the residential college, where they reside with students from Oklahoma and create a rich environment. This UWC class has 63 Davis scholars, an OU record and we have 212 scholars total from 79 countries at our school. The other schools that rank high are Yale, Princeton, Brown, University of South Carolina, Chapel Hill, Virginia, Michigan, Duke, Columbia and MIT. OU's Price College of Business is ranked in the top 12 schools for undergraduate entrepreneurship studies by the Princeton Review. You were there when we dedicated the home of the new Jeanne Rainbolt Graduate School at the Price College facilities in OKC. This is the Rainbolt family's gift and located at what used to be the Presbyterian Research Park. This location allows students to have practical learning experiences with business in the downtown area while getting their MBA's. We have also extended our MBA Program to other fields like medical administration, energy management and others. The University was recognized as a bicycle friendly university by the League of American Bicyclists. We would like to thank our students for the programs they have implemented called Crimson Cruisers; a bike-sharing program spearheaded by the Student Government. This is something that will create more community, sometimes it means we will need to be more watchful. We are making bicycle paths around campus and I know this will be appreciated by the students. We are going to recognize a leadership gift with a name on the College of Architecture from one of our graduates, Christopher C. Gibbs. This will provide areas in education in the College. He had been giving gifts to the College for a number of years, especially in the area of scholarships and has been generous to Architecture. He has also started two construction companies Christopher Holmes and PLC Land company in California. Both have been responsible for planning and building 1500 homes. Dean Hans Butzer is here and will talk about the College of Architecture and Shane Hampton will talk about the Institute for Quality Communities. This program assists in revitalizing a city's sense of community as well as their environment. Many communities do not have the financial ability to afford a team of financial experts. The Institute held a conference at the University of Oklahoma with over 2000 people from all over the world to talk about city planning. We want to make sure that we do not make the mistakes of older cities in terms of our city planning. I will turn it over to Hans who has made the Sky Dance bridge in OKC. He and his wife have designed the OKC Memorial and he has received the Thomas Jefferson award; the highest national award that architects can receive. He and his students are very involved with civic leaders across the state. Now they will briefly share.

Dean Butzer thanked the Board for this few minutes to share what the College of Architecture does. There are seven majors in the College: interior design, construction science, environmental design, landscape architecture, regional and city planning, urban design and architecture. We are the only college in the US to have all seven of these disciplines under one roof. The glue to all of these programs is students and Shane has done wonderful work with that (the students) and we are very unique in that way. We have 560 students and over 12 staff, the oldest program is from 1916 and we have gathered momentum since then. We prepare our students to think like constructive visionaries, we prepare them to go out and tackle building challenges. We do this by teaching them how to design intellectual and physical infrastructure, and finding ways to make that process even better.

EASEMENT FOR UNIVERSITY OF OKLAHOMA SCHUSTERMAN CENTER – TULSA

AEP - Public Service of Oklahoma Company has requested a utility easement to allow for the installation of a second redundant primary electrical feed to serve the OU-Tulsa campus. The new service line will supply a much improved, consistent and reliable source of power for the Clinic.

The attached drawing shows the location of the proposed easement. The Board is asked to approve the above-mentioned utility easement. The drawing attached hereto as Exhibit E shows the location of the easement, which is described as follows:

Commencing at the northwest property corner;
 Thence N 88°39'46"E a distance of 277.99',
 Thence S 01°20'14"E a distance of 50',
 Thence S 20°09'54"W a distance of 348.75',
 Thence S 01°22'23"E a distance of 24.55' to the POB,
 Thence S 01°17'27"E a distance of 16.96',
 Thence S 57°20'13"W a distance of 40.49',
 Thence S 01°40'17"E a distance of 440',
 Thence N 90°0'0"E a distance of 18',
 Thence S 01°17'27"E a distance of 305',
 Thence N 90°0'0" E a distance of 73',
 Thence S 14°24'27"E a distance of 113.01',
 Thence S 01°17'27"E a distance of 50',
 Thence S 89°57'08"W a distance of 155.56',
 Thence N 01°17'27"W a distance of 941.79',
 Thence N 88°44'00"E a distance of 70.55' to the POB.

President Boren recommended the Board of Regents:

- I. Approve the granting of a utility easement to AEP - Public Service Company for installation of a second redundant primary electrical feed on University property for the Schusterman Center, Tulsa Campus; and
- II. Authorize the President or his designee to execute the easement document.

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

CLAIMS AND RISK MANAGEMENT – OUMI – HSC
HEALTH SCIENCES CENTER QUARTERLY FINANCIAL ANALYSIS – HSC
ON-CALL ARCHITECTS, ENGINEERS AND CONSTRUCTION MANAGERS
QUARTERLY REPORT – ALL
QUARTERLY REPORT OF PURCHASES – ALL
NONSUBSTANTIVE PROGRAM CHANGES – NC
CURRICULUM CHANGES – NC
CROSS NEIGHBORHOOD TENANT IMPROVEMENT ALLOWANCE – NC
CROSS NEIGHBORHOOD FURNITURE, FIXTURES AND EQUIPMENT – NC
EXTENSION AND MODIFICATION OF AGREEMENT TO PROVIDE APPAREL,
PRODUCTS AND EQUIPMENT FOR ATHLETICS – NC
NORMAN CAMPUS QUARTERLY FINANCIAL ANALYSIS – NC

The listed items were identified, by the administration, as “For Information Only.” Although no action was required, the opportunity to discuss or consider the items individually was provided.

CLAIMS AND RISK MANAGEMENT – OUMI – HSC

The hospital has historically had a risk and claims management staff employed by HCA. The medical practice has historically had a risk and claims management staff employed under the College of Medicine. The hospital is organizing a new insurance program separate and apart from HCA and its self-insured insurance company and programs. The medical practice will continue to be insured by Academic Physicians Insurance Company.

The medical practice and the new hospital organization are combining liabilities insured by Academic Physicians Insurance Company. Because risks will be combined in a joint insurance program, risk and claims for the medical and hospital practice will be managed in one process.

As a Policyholder of APIC, 100% of expenses for the medical practice’s risk and claims management department are fully reimbursed to the University by APIC via a monthly reimbursement payment. Future additional expenses related to the increase in administrative services provided and the combination of staff under the College of Medicine will continue to be fully reimbursed to the University by APIC. Therefore, no compensation is necessary between OU and OUMI, as this is a function of expense fully reimbursed by APIC, which will continue.

The Service Agreement between the Board of Regents and OUMI memorializes the arrangement and indemnifies the University from any claims that arise as a result of performing the claims and risk management services. The Agreement has a one-year term with annual negotiated renewals.

This item was presented for information only. No action was required.

HEALTH SCIENCES CENTER QUARTERLY FINANCIAL ANALYSIS – HSC

By request of the Board of Regents, the Health Sciences Center Statements of Net Position as of September 30, 2017, and Statements of Changes in Net Position for the three months then ended and related Executive Summary are attached hereto as Exhibit F. The statements are unaudited and are presented for management use only.

This item was presented for information only. No action was required.

ON-CALL ARCHITECTS, ENGINEERS AND CONSTRUCTION MANAGERS QUARTERLY REPORT – ALL

In May 2017, the Board of Regents authorized a group of architectural and engineering firms to provide professional on-call services, and also authorized a group of construction management firms to provide on-call services for minor construction and renovation projects. Work done is shown in the tables attached hereto as Exhibit G.

This item was presented for information only. No action was required.

QUARTERLY REPORT OF PURCHASES – ALL

The Board of Regents policy governing the buying and selling of goods and Services states that:

- I. Purchases and/or acquisition of goods and Services over \$1,000,000 must be submitted to the Board for prior approval; and
- II. Purchase obligations between \$50,000 and \$1,000,000 must be reported quarterly to the Board as an information item. Sole source procurements in this category must also be reported and identified as such.

For II above the report for the quarter ended September 30, 2017 is attached. It is divided into the following funding source groupings.

- Educational & General (Appropriations, Tuition & Fees, Sponsored Projects Indirect Cost Reimbursements)
- Non-Educational & General (Non-Appropriated, Service Departments, Auxiliary Enterprises, Clinic Operations)
- Sponsored Projects (Federal, State, Other Grants and Contracts)
- Capital Projects

Within each grouping purchases are sorted by Supplier, then by Campus, then by Department. In the column labeled “Method” purchases are classified either as “Competed” or “Negotiated;” sole source procurements fall within the “Negotiated” classification and are identified by **bold face type**. The report is attached hereto as Exhibit H.

This item was presented for information only. No action was required.

NONSUBSTANTIVE PROGRAM CHANGES – NC

Administrative/Internal Program Change
Approved by Academic Programs Council, November 3, 2017
Change in Program Requirements

JEANNINE RAINBOLT COLLEGE OF EDUCATIONEducation Administration, Master of Education (RPC 050, MC M315-Q203, M316)

Course requirement change. The EACS faculty propose adding permanent course numbers to one of our variable credit hour courses that is required for the degree. We are doing this to reduce the number of petitions that are submitted to the Graduate College and to make the degree more streamlined for the students who are enrolling.

Reason for request:

The EACS faculty propose adding EACS 5923 as a permanent course number for the course Principal Internship. This course is currently offered under variable credit offerings so the number of hours in which a student can enroll in can vary, which has caused problems for students in the past.

New Course Designator

JEANNINE RAINBOLT COLLEGE OF EDUCATION

New Course Designator Request

Request for EDWL for World Language Education program courses.

Reason for request:

We are adding courses to our department for both the World Languages Education undergrad and graduate programs. This will involve changes to the undergrad program and the courses will be offered for the graduate program but not require a change to the program. The courses are being offered this year as EDUC rubber number courses with a new instructor we hired. We are not creating a new program. We have World Languages Education as a concentration area for our ILAC masters program and we recently took over the World Languages Education undergraduate program and hired an instructor to help manage that program. In light of that, the couple of courses we have been offering for that program, that have been offered under our generic prefix (EDUC) we would like to offer as World Languages Education prefixed courses. All our programs have their own prefix (e.g., Math Ed – EDMA; Social Studies Ed – EDSS; Science Ed – EDSC; and so on) so we thought it would provide strength for the program to have its own prefix. Both the undergrad and the graduate program are experiencing growth in terms of numbers right now so we hope to continue that growth and request a tenure track faculty line for the program in three years. Again, we think the program having their own prefixed courses will help provide strength for that request as well.

This item was presented for information only. No action was required.

CURRICULUM CHANGES – NC

The Oklahoma State Regents for Higher Education confer upon each institution the authority to delete, modify and add courses. The course deletions, modifications, and additions itemized in the list attached hereto as Exhibit I have been approved by the appropriate faculty, academic units and deans, the Academic Programs Council, and the Senior Vice President and Provost. They are being forwarded to the Board of Regents for information only.

This item was presented for information only. No action was required.

CROSS NEIGHBORHOOD TENANT IMPROVEMENT ALLOWANCE – NC

Board of Regents' policies and procedures require that acquisition contracts that merely establish unit pricing, availability and other terms and conditions but which are indefinite as to quantity and delivery must be reported to the Board of Regents if the cumulative orders against them are expected to exceed \$1,000,000 annually.

The University has tentatively agreed to lease approximately 55,000 square feet of commercial, civic, and common space in Cross Neighborhood from Provident Oklahoma Education Resources ("POER"). The lease(s) for these spaces was negotiated when the University leased the property to POER and was attached as an exhibit to POER's Ground Lease. Under the commercial lease, the University is required to pay for improvements to adapt the premises for the conduct of University business. Currently, tenant improvements are estimated at approximately \$4,200,000.

Because POER overall project is currently under budget and expected to remain so, the opportunity has arisen to include the University-requested improvements into the overall project budget. This has the potential to save the University considerable costs and allow the buildout of commercial spaces to be completed and opened sooner than if the University was required to make the improvements. Funds are available in contingency accounts currently allocated to pay for unforeseen or unexpected occurrences, including stoppage costs, weather damage, and tenant relocation expenses. POER is willing to reallocate a portion of the project's contingency funds necessary to pay for the University-requested tenant improvements if the University agrees to pay any costs exceeding the total project budget. The University's obligation to pay for University-requested improvements shall not exceed \$2,000,000 and its obligation will be triggered if (i) actual incurred costs to the project exceed total available project funds and (ii) all contingency funds have been appropriately depleted. If required, this expense will be presented to the Board of Regents in accordance with Board of Regents Policy.

This item was presented for information only. No action was required.

CROSS NEIGHBORHOOD FURNITURE, FIXTURES AND EQUIPMENT – NC

Board of Regents' policies and procedures require that acquisition contracts that merely establish unit pricing, availability and other terms and conditions but which are indefinite as to quantity and delivery must be reported to the Board of Regents if the cumulative orders against them are expected to exceed \$1,000,000 annually.

To save money on the overall project cost for Cross Neighborhood, Provident Oklahoma Education Resources ("POER") – the lessee and developer for Cross Neighborhood – has asked the University to purchase furniture, fixtures, and equipment (FFE) for the project. All costs incurred by the University will be reimbursed entirely with minimal delay. By utilizing the bargaining power and authority of the University, the project will realize significant savings. Currently, FFE is estimated to cost a maximum of \$3,500,000. Any increase above \$3,500,000 will be presented to the Board of Regents in accordance with Board of Regents policy. The University and POER will enter a written agreement outlining POER's obligation to reimburse the University.

This item was presented for information only. No action was required.

EXTENSION AND MODIFICATION OF AGREEMENT TO PROVIDE APPAREL, PRODUCTS AND EQUIPMENT FOR ATHLETICS – NC

The Athletics Department currently has an agreement with NIKE to provide athletics apparel, products, and equipment for use by student athletes and teams and consulting fees to the University on a department-wide basis for all teams. The current ten year contract term runs through June 30, 2024 with the provision for required good faith exclusive negotiations by the parties during the final year (2023-24) of the agreement for an extension and renewal of the terms.

NIKE has proposed and the University has accepted the unique opportunity to transition its branding identification for OU's football and men's and women's basketball teams from the current NIKE brand to the Jordan Brand beginning with the 2018-2019 contract year. The Jordan Brand is a specific well-known identified brand of NIKE with a unique popular logo. The University of Oklahoma will become one of only a few schools currently with that top brand designation. The inclusion in the Jordan Brand will not necessitate any significant changes to any uniforms or other apparel of these programs other than the change in logo. Shoes may be specific to the Jordan Brand shoe line.

In lieu of waiting until the required exclusive negotiations by the parties during the final year (2023-24) of the current agreement and in order to be able to participate in the opportunity only available now for this addition of the Jordan Brand, the University and NIKE have agreed to extend the current agreement four (4) years to 2027-2028 for all of its sports teams effective now and including the addition of the Jordan Brand to the designated sport programs. During the good faith negotiations and due diligence by the Athletics Department in review of the proposal, the Athletics Department determined that the best interests of the program are served by the addition of the Jordan Brand and an extension of the agreement with NIKE through June 30, 2028 with additional financial considerations outlined below.

The extension of the terms and conditions at this time significantly increases the annual financial consideration under the remaining term of the current agreement. Under the terms of the extension, an initial commitment bonus of \$2,500,000 has been paid to the University. An additional bonus payment for \$500,000 is due and payable in 2023-2024 which coincides with the final year of the current agreement. Additionally, \$63,800,000 in other financial consideration is provided to the University over the term of the new ten-year agreement for a total of \$66,800,000 of financial considerations, an annual average of \$6,680,000. The annual average financial consideration for the remaining term of the current agreement is \$4,900,000. This total and annual average financial consideration and term of the extension compare favorably with recent review of agreements at other institutions.

This item was presented for information only. No action was required.

QUARTERLY FINANCIAL ANALYSIS – NC

By request of the Board of Regents, the Norman Campus Statements of Net Position as of September 30, 2017, Statements of Revenues, Expenses and Changes in Net Position for the three months then ended, and related Executive Summary are attached hereto as Exhibit J.

This item was presented for information only. No action was required.

AWARDS, CONTRACTS, AND GRANTS

In accord with Regents' policy, a list of awards and/or modifications in excess of \$1,000,000 or that establish or make policy for the University, or that otherwise involve a substantial or significant service to be performed by the University are attached hereto as Exhibit K. Comparative data for fiscal years 2014 through 2018 and current month and year-to-date, are shown on the graphs and tables. Throughout the reports, the data stated for both campuses include the OU-Tulsa Schusterman Campus as well.

The Provisions of Goods and Services policy provides that new contracts and grants in excess of \$1,000,000 must be referred to the Board of Regents for ratification. In addition, in the event a contract, grant, document, or arrangement involved would establish or make policy for the University, or would otherwise involve substantial or significant service to be performed by the University, that contract, arrangement, or document shall be referred to the Board of Regents for approval.

	FY17 Total Expenditures	FY17 YTD Expenditures	FY18 YTD Expenditures
UNIVERSITY OF OKLAHOMA	\$283,175,346	\$74,900,372	\$78,213,384 *
NORMAN CAMPUS	\$148,804,249	\$39,775,103	\$41,893,463 *
HEALTH SCIENCES CENTER	\$134,371,097	\$35,125,269	\$36,319,921

* GeoCarb expenditures for the current reporting period are \$2,619,143.

Chart Key / Definitions for the pages that follow:

RESEARCH/OSP = Research and Other Sponsored Programs

INSTRUCTION = Instruction/Training (applies to HSC only)

OUTREACH = Formerly College of Continuing Education (CCE)

NON-GRANT/OTHER = Internal Administration / Operational Expenses; HSC’s data may include clinical trials

EXPENDITURES = Expenditures Related to Externally-Sponsored Funding

AWARDS = New Grants and Contacts Received, or Existing Award Modifications Processed

President Boren recommended that the Board of Regents ratify the awards and/or modifications for September 2017 submitted with this Agenda Item.

Regent Stone moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

NAMING OF THE COLLEGE OF ARCHITECTURE – NC

RESOLUTION

WHEREAS, Christopher C. Gibbs came to the University of Oklahoma in 1961 as a student and earned a bachelor’s degree in architecture;

WHEREAS, a successful businessman himself, he founded and grew Christopher Homes and PLC Land Company into a vast home-building and land-development operation that has planned, designed and built more than 15,000 homes, neighborhoods and planned communities in California, Texas and Florida;

WHEREAS, in 2017, he received the Regents’ Alumni Award, one of the highest honors presented by the OU Board of Regents, in recognition of his service and support of the University;

WHEREAS, to ensure that generations of students and faculty of architecture, construction science, interior design, environmental design, landscape architecture, urban design, and regional and city planning, have access to the resources necessary to plan, design and construct beautiful, resourceful, sustainable, functional and globally-minded communities in Oklahoma and beyond, he has made an exceptional gift in support of the College of Architecture;

WHEREAS, his recent commitment to the College of Architecture will provide significant support to enhance all of the College's existing programs and operations as well as fund new scholarly initiatives and student opportunities that will expand the College's abilities to support graduate and undergraduate students, industry professionals, entrepreneurs and community members in Norman, Tulsa, Oklahoma City and beyond;

WHEREAS, President Boren recommends that the Board of Regents approve the naming of the College of Architecture to honor the quality, design and craftsmanship of Christopher C. Gibbs and the companies he has built;

NOW, THEREFORE, LET IT BE RESOLVED that the College of Architecture be named in honor of Christopher C. Gibbs as a fitting tribute to his success as a home-builder, land-developer and globally-minded businessman.

President Boren recommended the Board of Regents approve the above resolution in recognition of Christopher C. Gibbs' gift to the College of Architecture.

Regent Stone moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

COLLEGE OF ARTS AND SCIENCES DEAN SEARCH – NC

In order to begin a national advertised search for the next Dean of the College of Arts & Sciences, the President and Senior Vice President & Provost recommend the appointment of a search committee as outlined below.

Regent's policy regarding search committees for Deans provides that the committee shall have faculty, student, and staff representation. The President of the University shall appoint faculty, student and staff members from nominations forwarded by the faculty of the college, by the Faculty Senate, and by the Staff Senate.

David Wrobel, currently serving as Interim Dean of the College, was granted the right to become a candidate for the permanent position when he was appointed to serve as the Interim Dean.

From among those nominated, the President recommended those listed below to serve on the search Committee:

Chair:

Randy Hewes, Dean, Graduate College

Arts and Sciences Faculty:

Humanities

Daniela Garofalo, Chair and Professor, Department of English
Robert Griswold, Professor, Department of History

Social Sciences

Eric Day, Chair and Professor, Department of Psychology
Michael Kramer, Chair and Professor, Department of Communication

Natural/Life/Physical Sciences

Keri Kornelson, Professor, Department of Mathematics
Ann West, Grayce B. Kerr Centennial Chair, Department of Chemistry and
Biochemistry

Professional Schools

Wesley Long, Chair and Associate Professor, Department of Human Relations
Julie Miller-Cribbs, Director & Professor, Social Work (Tulsa)

OU-NC Faculty at large:

Meta Carstarphen, Professor in Strategic Communication in Gaylord College of
Journalism and Mass Communication
Brian McCall, Associate Dean, College of Law
Allison Palmer, Professor, School of Visual Arts

Faculty Senate:

Hunter Heyck, Chair and Professor, Department of the History of Science

Staff Senate:

Juliana Smith, Assistant A.D. HR and Admin, Athletics

Students:

Undergraduate:

Alex Nongard, Senior, economics major; Leadership Scholar

Graduate:

Mehrnaz Afkhami, Ph.D. student in Biology

External to OU:

Marsha Williams - Current President of Arts & Sciences Board of Visitors;
Dallas, TX
Homer Paul, current member of Arts & Sciences Board of Visitors; Edmond, OK

President Boren recommended the Board of Regents approve the appointment of the members of the College of Arts and Sciences Dean Search Committee.

Regent Stone moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

SUBSTANTIVE PROGRAM CHANGES – NC

The Oklahoma State Regents for Higher Education require that all substantive changes in degree programs be presented to the institution's governing board for approval before being forwarded to the State Regents for consideration. The changes in academic programs itemized in the attached list have been approved by the appropriate faculty, academic units and deans, the Academic Programs Council, and the Senior Vice President and Provost. They are being submitted to the Board of Regents for approval prior to submission to the State Regents.

Substantive Program Change
Approved by Academic Programs Council, November 3, 2017

Existing Program Electronic Delivery

GALLOGLY COLLEGE OF ENGINEERING

Civil Engineering, Master of Science (RPC 038, MC M190)

Request existing program for online delivery. Provide an online professional degree for practicing civil engineers who would otherwise be unable to attend a traditional program.

Reason for request:

In 2015, Keypath Education reported that Civil Engineering is consistently ranked as the top online graduate engineering degree program based on degree demand, occupational demand, and competition. There are currently 141 graduate Civil Engineering MS programs nationally, and 29 of these institutions (20%) also offer online programs. An assessment by Elsmere Education - the company retained by OU to facilitate its online offerings - indicated that OU has a viable competitive position in the online Civil Engineering MS market due to its strong brand name recognition and few regional competitors. They further stated that based on OU's current online tuition rates, the program would also have an excellent cost/benefit ratio for potential students. In terms of market potential, Elsmere conservatively estimates a regionally addressable applicant pool of 38,500 individuals seeking an online Master's degree in Civil Engineering.

An online Civil Engineering MS degree program would also address one of the five critical workforce ecosystems developed by the State Department of Commerce, namely transportation. Civil Engineers are critical to repairing and upgrading our nation's transportation network, which is in dire straits in terms of condition and capacity. In 2017, the American Society of Civil Engineers (ASCE) issued their annual report card on America's infrastructure, which received an overall grade of D+. The majority of categories within the report card (e.g., roadways, bridges, ports, rail) are designed by Civil Engineers. The poor condition of our nation's infrastructure is one of the main driving forces behind the projected strong job growth in Civil Engineering for the next 25 years. Furthermore, for over 20 years, ASCE has promoted the need for advanced degrees for obtaining professional registration, and online programs provide such opportunities to working professionals who would otherwise be unable to attend a traditional program.

Option Addition

GALLOGLY COLLEGE OF ENGINEERING

Civil Engineering, Master of Science (RPC 038, MC TBD)

Add program option of Civil Engineering (Electronic Delivery). Provide an online professional degree for practicing civil engineers who would otherwise be unable to attend a traditional program.

Reason for request:

In 2015, Keypath Education reported that Civil Engineering is consistently ranked as the top online graduate engineering degree program based on degree demand, occupational demand, and competition. There are currently 141 graduate Civil Engineering MS programs nationally, and 29 of these institutions (20%) also offer online programs. An assessment by Elsmere Education - the company retained by OU to facilitate its online offerings - indicated that

OU has a viable competitive position in the online Civil Engineering MS market due to its strong brand name recognition and few regional competitors. They further stated that based on OU's current online tuition rates, the program would also have an excellent cost/benefit ratio for potential students. In terms of market potential, Elsmere conservatively estimates a regionally addressable applicant pool of 38,500 individuals seeking an online Master's degree in Civil Engineering.

An online Civil Engineering MS degree program would also address one of the five critical workforce ecosystems developed by the State Department of Commerce, namely transportation. Civil Engineers are critical to repairing and upgrading our nation's transportation network, which is in dire straits in terms of condition and capacity. In 2017, the American Society of Civil Engineers (ASCE) issued their annual report card on America's infrastructure, which received an overall grade of D+. The majority of categories within the report card (e.g., roadways, bridges, ports, rail) are designed by Civil Engineers. The poor condition of our nation's infrastructure is one of the main driving forces behind the projected strong job growth in Civil Engineering for the next 25 years. Furthermore, for over 20 years, ASCE has promoted the need for advanced degrees for obtaining professional registration, and online programs provide such opportunities to working professionals who would otherwise be unable to attend a traditional program.

Option Deletion

GALLOGLY COLLEGE OF ENGINEERING

Electrical and Computer Engineering, Master of Science (RPC 060, MC M350-Q342)

Deletion of the option Industrial Internship to reconcile the State Regents degree inventory with OU's degree inventory.

Reason for request:

Originally intended as a concentration but approved by the Regents as an option. When ECE cancelled the concentration, they were not aware that it was listed by the Regents as an option. This will delete the option to align with the current OU program inventory.

Program Suspension

JEANNINE RAINBOLT COLLEGE OF EDUCATION

Counseling Psychology, Doctor of Philosophy (RPC 040, MC D255)

Program suspension. No new students will be admitted into the program for four to five years. We will re-evaluate the status of the program during the fourth year.

Reason for request:

Due to a lack of dedicated program faculty (e.g. retirements and resignations), the Counseling Psychology doctoral program will be placed on immediate hiatus/moratorium. Students who are currently enrolled in the program will be able to access coursework and practica/internship experiences lead by EDPY faculty. The program will continue to work with the APA to help maintain APA accreditation. No new students will be accepted into the program until further notice.

Change in Program Requirements

COLLEGE OF ARTS & SCIENCES

Human Relations, Bachelor of Arts (RPC 350, MC B515)

Course requirement change. Remove HR 3043 as a core requirement and replace it with HR 3413 – Cultural Awareness. Thus, HR 3043 is becoming an HR elective credit. As for the HR elective requirements, we are wanting to eliminate the 3 categories: Organizational Studies, Diversity, and Current Problems. Instead, giving students the freedom to choose any of the HR electives to reach 15 credit hours. Total hours will not change.

Reason for request:

We believe that students need cultural awareness as a core requirement to become effective social change agents within their fields. HR 3043 - Interpersonal Skills and Group dynamics is still a popular course among students, but we feel it no longer should be a core class due to the fact that students will learn much of this material within their capstone course. HR Major Electives - Many of the courses are simply not being taught anymore and we do not currently have enough faculty to teach these said courses. Lastly, having these categories prevent students from taking desired HR elective class and to eliminate rubber numbers.

Social Work, Bachelor of Arts (RPC 210, MC B840)

Degree program requirement change. Increase the list of approved biological science courses we will accept as a prerequisite for the BSW program and add the requirement of a grade of "C or higher" on all our prerequisites for admission to the BSW program. Total hours will not change.

Reason for request:

This change is motivated mainly due to the fact that a number of students admitted to our BSW program were previously pre-nursing, pre-med or other health profession and have already completed one of the physiology or anatomy courses. Additionally, some students transfer from another college or university and have already taken one of these other biological science courses. We believe it would be redundant to require them to take a basic introductory biology course. We believe that students being admitted into the BSW program need to show a high level of competence in the major support requirements as they will also be required to make a "C or higher" in all Social Work courses.

PRICE COLLEGE OF BUSINESS

Business Entrepreneurship, Graduate Certificate (RPC 395, MC G023)

Course requirement change. Total hours required will change from 10 to 12 credit hours to be consistent with OU Regents policy for graduate certificates. In addition, we are increasing the number of elective courses to allow students to complete the 12 credit hours required for the graduate certificate.

Reason for request:

Add 2 hours to the total hours required and correctly list the required and elective coursework requirement for the Graduate Certificate in Business Entrepreneurship.

President Boren recommended the Board of Regents approve the proposed changes in the Norman Campus academic program.

Regent Keating moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

UPGRADE THE STATUS OF THE AFRICAN AND AFRICAN AMERICAN STUDIES PROGRAM TO THE DEPARTMENT OF AFRICAN AND AFRICAN AMERICAN STUDIES – NC

The request to upgrade the African and African American Studies Program to the Department of African and African American Studies is motivated by the unit's long history, its continued growth, and its key contributions to the University's mission. The program was founded in the 1970's as a Provisional Program, and first developed a major in 1994. Under President Boren's leadership, it was elevated to a permanent program in spring of 2003, and currently offers an undergraduate major and two minors. OU is currently the only university in the state in which undergraduates can both major and minor in this field. The small core of three full-time faculty (one professor, one associate professor, and one assistant professor) is joined by nine affiliates and a pool of 15 part-time faculty. The program has recently formed an active Board of Visitors and is in the process of hiring a fourth faculty member at the senior level. Courses offered within the program generated in excess of 3,000 credit hours in the last academic year. Elevating the unit from program to department speaks to the growth of the African and African American Studies Program as an academic unit and its continued importance to the University of Oklahoma as an area of excellence.

The program's faculty and director, the Interim Dean of the College of Arts and Sciences, and the Senior Vice President and Provost have approved this change. Once approved by The University of Oklahoma Board of Regents, the upgrade in status from program to department will be forwarded to the State Regents for Higher Education.

President Boren recommended the Board of Regents approve upgrading the status of the African and African American Studies Program into the Department of African and African American Studies.

Regent Rainbolt-Forbes moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

UPGRADE THE STATUS OF FILM AND MEDIA STUDIES PROGRAM TO THE DEPARTMENT OF FILM AND MEDIA STUDIES – NC

The request to upgrade the Film and Media Studies Program to the Department of Film and Media Studies is motivated by its growth from a small interdisciplinary program into a well-established unit with a reputation for excellence in teaching and research. The Film and Video Studies major was created in 1993, transitioning to Film and Media Studies in 2011. It has produced 615 graduates and currently enrolls 105 majors and 68 minors. Additionally, the program has eight full-time faculty who together taught over 3,000 credit hours in academic year 2016. The program is home to the annual Native Crossroads Film Festival and serves as the host institution for the international Society for Cinema and Media Studies. Elevating the unit from program to department recognizes the growth of the Film and Media Studies Program and its continued importance to the University of Oklahoma as an area of excellence.

The program's faculty and academic director, the Interim Dean of the College of Arts and Sciences and the Senior Vice President and Provost have approved this change. Once approved by The University of Oklahoma Board of Regents, the upgrade in status from program to department will be forwarded to the State Regents for Higher Education.

President Boren recommended the Board of Regents approve upgrading the status of the Film and Media Studies Program into the Department of Film and Media Studies.

Regent Rainbolt-Forbes moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

UPGRADE THE STATUS OF THE RELIGIOUS STUDIES PROGRAM TO THE DEPARTMENT OF RELIGIOUS STUDIES – NC

The request to upgrade the Religious Studies Program to the Department of Religious Studies is motivated by the exceptional quality of its faculty and course offerings and its contributions to the university's mission. The program was founded in 2002 as a presidential initiative and currently enrolls 29 majors and 30 minors. With a faculty of seven (one professor, two associate professors, and four assistant professors), the program generated nearly 2,000 credit hours in the past academic year. Its courses provide an essential component of the university's general education curriculum, preparing students for the religious diversity of an increasingly interconnected world. Elevating the unit from program to department speaks to the growth of the Religious Studies Program as an academic unit and its continued importance to the University of Oklahoma as an area of excellence.

The program's faculty and director, the Interim Dean of the College of Arts and Sciences, and the Senior Vice President and Provost have approved this change. Once approved by The University of Oklahoma Board of Regents, the upgrade in status from program to department will be forwarded to the State Regents for Higher Education.

President Boren recommended the Board of Regents approve upgrading the status of the Religious Studies Program into the Department of Religious Studies.

Regent Rainbolt-Forbes moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

UPGRADE THE STATUS OF THE WOMEN'S AND GENDER STUDIES PROGRAM TO THE DEPARTMENT OF WOMEN'S AND GENDER STUDIES – NC

The request to upgrade the Women's and Gender Studies Program to the Department of Women's and Gender Studies is motivated by the program's long history, its continued growth, and its contributions to the university's mission. Founded in 1976 as an interdisciplinary initiative, Women's and Gender Studies developed a major in 1994 and has since expanded to include three related minors and a graduate certificate program. The program now has seven full-time faculty and serves as the home of the Center for Social Justice. WGS has assembled an active Board of Advocates, which has raised over \$100,000 to date to support programming and student scholarship in the unit. Courses taught last year generated over 5,000 credit hours, enrolling more than 2,000 students. Elevating the unit from program to department speaks to the growth of Women's and Gender Studies as an academic unit and its continued importance to the University of Oklahoma as an area of excellence.

The program's faculty and academic director, the Interim Dean of the College of Arts and Sciences, and the Senior Vice President and Provost have approved this change. Once approved by The University of Oklahoma Board of Regents, the upgrade in status from program to department will be forwarded to the State Regents for Higher Education.

President Boren recommended the Board of Regents approve upgrading the status of the Women's and Gender Studies Program into the Department of Women's and Gender Studies.

Regent Rainbolt-Forbes moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

RESOLUTION CONCERNING MANAGEMENT OF THE UNIVERSITY OF OKLAHOMA'S CLASSIFIED DEFENSE INFORMATION PROGRAM – ALL

The University Oklahoma's facility clearance (FCL) is dependent upon University personnel who may need to work with governmental agencies on national security-sensitive projects. In connection with the facility security clearance process, certain individuals who exercise control over the management of the facility, known as Key Management Personnel (KMP), must be processed for a personnel security clearance (PCL).

The governing federal regulations are the National Industrial Security Program Operating Manual (NISPOM). Defense Security Service (DSS) has determined that each member of the Board of Regents is designated as a KMP. However, the individual Regents may be excluded from any requirement of obtaining a PCL by designating a Managerial Group that is entrusted with the responsibility of overseeing implementation of federal regulations governing access to classified information. Each member of the designated Managerial Group must meet the requirements for eligibility for access to classified information established for a contractor facility clearance. By appointing a Managerial Group, members of the Board of Regents agree that they:

- Do not require, shall not have, and can be effectively excluded from access to all classified information disclosed to The University of Oklahoma; and
- Will not implement policies that would cause the Managerial Group to violate federal regulations, policies, and/or practices dictated by the NISPOM.

RESOLUTION

I, Chris A. Purcell, do hereby certify that I am Executive Secretary of the University of Oklahoma Board of Regents, organized and existing under the Constitution and laws of the State of Oklahoma, and that this Resolution will be presented for approval to the Board of Regents of said University at a meeting to be held in Oklahoma City, Oklahoma, on December 12, 2017, at which time a quorum will be present.

WHEREAS, current Department of Defense guidance encourages that the University President, Facility Security Officer (FSO) and Insider Threat Officer, Senior Vice President and Provost, and alternate JPAS account (Joint Personnel Adjudication System clearance database) holder meet the requirements for eligibility for access to classified information established for a contractor facility clearance; and

WHEREAS, said Department of Defense Regulations permit the members of the Board of Regents to be excluded from the requirements for access to classified information of certain members of the Board of Regents, provided that this action is recorded in the corporate minutes.

NOW, THEREFORE, BE IT DECLARED that the University President, Facility Security Officer (FSO) and Insider Threat Officer, Senior Vice President and Provost, and alternate JPAS account holder at the present time do possess, or will be processed for, the required eligibility for access to classified information; and

NOW, THEREFORE, BE IT DECLARED that the University President, Facility Security Officer (FSO) and Insider Threat Officer, Senior Vice President and Provost, and alternate JPAS account holder shall serve as Key Management Personnel and as the designated Managerial Group for the University of Oklahoma's facility clearance;

BE IT RESOLVED that in the future, when any individual enters upon duties as University President, Facility Security Officer (FSO) and Insider Threat Officer, Senior Vice President and Provost, or alternate JPAS account holder, such individual shall immediately make application for the required eligibility for access to classified information; and

BE IT RESOLVED AND DIRECTED that the following members and staff of the Board of Regents and their successors shall not require, shall not have, and can be effectively and formally excluded from access to all classified information disclosed to the University of Oklahoma and shall not affect adversely University policies or practices in the performance of classified contracts for the Department of Defense or the Government contracting activities (User Agencies) of the National Industrial Security Program:

Regents:

Clayton I. Bennett, Chairman, Board of Regents
Kirk Humphreys, Vice Chairman
Leslie J. Rainbolt-Forbes, M.D., Regent
Bill W. Burgess, Jr., Regent
C. Renzi Stone, Regent
Phil B. Albert, Regent
Frank Keating, Regent

Staff:

Chris A. Purcell, Vice President for University Governance and Executive Secretary,
Board of Regents

President Boren recommended the Board of Regents approve the following resolution concerning the management of the University of Oklahoma's Classified Defense Information Program.

Regent Keating moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

DATA GOVERNANCE COMMITTEES – NC & HSC

Data Governance is a framework of policies, procedures, standards, and point committees for each campus designed to establish the accurate, secure, and effective use of institutional data. Data management is the implementation of this framework and includes the collection, internal and external distribution, and maintenance of institutional data. On the Norman campus, a two-year pilot program addressing data governance has expired. On the Health Sciences Center campus, the Information Security Review Board has been fulfilling many of these functions since 2000, relating especially to academic medical center and health care delivery issues. The University now has sufficient experience and cohesiveness to approach data governance from a multi-campus perspective. The authority of the respective campuses' Data Governance Committee shall be limited to institutional data, which does not include data from external sources not relevant to University operations (e.g., academic research data sets).

- The appointment of the Data Governance Committees will serve the following purposes:
 - Connecting representatives with varying degrees of responsibility and authority over Data Governance;
 - Vesting authority over policy and standards with a group capable of identifying data governance and management needs; and
 - Providing a visible, empowered body as a resource to other academic and administrative units on each campus.
- The Data Governance Committees' charge to adopt and implement policy and standards will assist in balancing the University's and each respective campuses' multiple interests in data by:
 - Improving outcomes across all sectors through the efficient and ethical use and distribution of data already in its possession; and
 - Ensuring authorized access to data is both prompt and legally compliant.
- The Data Governance Committees must be empowered to issue technical assistance and guidance.
 - Each Data Governance Committee must be empowered to review and recommend needed administrative changes to the executive officers at each campus; and
 - Enforcement, in conjunction with existing University offices on each campus as well as, for example, Internal Audit and Legal Counsel, is essential to preserving the integrity of University processes and promoting accountability.

President Boren recommended the Board of Regents adopt new Board Policy 3.10 – Data Governance Committees (attached hereto as Exhibit L), which creates Data Governance Committees for the Norman and Health Sciences Center campuses, respectively, and sets forth their responsibilities.

Regent Albert moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

REVISIONS TO ETHICS IN RESEARCH POLICY – NC

The Norman Campus Ethics in Research policy is a federally-mandated Regents' policy that covers forms of research misconduct such as fabrication, falsification, and plagiarism. It is codified in Norman Campus Faculty Handbook sec 3.26 and was last updated in 2004. Over the past two years, the Office of Legal Counsel has worked with the Provost's Office, the Vice President for Research, and Faculty Senate in order to bring the policy into compliance with new funding-agency requirements as well as to clarify procedures in light of past cases. Specifically, the "intent" required for misconduct now mirrors the expanded federal definition; deadlines and other procedural requirements are updated in light of federal requirements; student misconduct is referred to the Academic Integrity Code; and early settlement of cases is expressly permitted with University approval. All of the policy's basic procedures and protections for faculty remain unchanged. The Provost in consultation with VPR and General Counsel is still responsible for receiving reports, appointing faculty inquiry and investigative committees, coordinating required disclosures to funding agencies, and proposing disciplinary proceedings when necessary.

The changes to Regents' Policy 3.5.4 are included and followed by the complete policy for inclusion in the Norman Campus Faculty Handbook sec 3.26. All are attached hereto as Exhibit M.

President Boren recommended that the Board approve changes to Regents Policy 3.5.4, Ethics in Research, which is fully codified in the Norman Campus Faculty Handbook sec 3.26, in order to bring the policy into compliance with new funding-agency requirements as well as to clarify procedures in light of past cases.

Regent Rainbolt-Forbes moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

HOUSING AND FOOD SERVICE RATES FOR 2018-2019 – NC

Housing and Food Services provides safe, high quality, and affordable housing and food services for students while consistently holding a competitive ranking within the Big XII in terms of cost and student satisfaction.

Housing is requesting rate increases based on the competitive market position of its various housing types and meal plans. Proposed rate increases are attached hereto as Exhibit N and listed by facility, room type, and meal plan.

Housing and Food Services continues to set a new standard in Oklahoma and nationally for its local sourcing of food with over 50% of the food purchased for campus dining having local economic impact. Our partnerships with local producers such as 1907 Beef Company of Stillwater, Ivy Acre Farms of Shawnee, Value Added Products of Alva, and many others are helping to create local jobs and keep our family farms strong. Working in conjunction with the Made in Oklahoma Coalition, Housing and Food Services is proud of the opportunities that our local sourcing has given to many Oklahoma farmers, ranchers, and businesses.

Housing and Food Services is entering our fourth year of implementation of our residential curriculum. This approach to student learning and co-curricular experiences has transformed the way we understand the student experience, retention efforts, and learning in on-campus housing. The curricular model is on the forefront of research and thinking in higher education—the past two decades of research have pointed us at the process and structure that our curriculum offers.

Housing and Food Services at the University of Oklahoma was selected to host the 2017 Residential Curriculum Institute here in Norman. In October, we welcomed 300 participants from over 100 institutions in the United States and Canada for a three-day learning experience, highlighting the work of our own Residence Life department. These highlights will include retention intervention strategies with individual students, a research based response to the first-year experience, and student-initiated community development practices.

President Boren recommended the Board of Regents approve 2018-2019 room and board rates for Norman Campus residential colleges, residence halls, and apartments.

Regent Keating moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

POST SEASON CONTEST – NC

Arrangements necessary for the University's participation in a bowl game must be made prior to the next Regents' meeting; therefore, it is necessary to seek authorization for the President, the Athletic Director, or their designee to award purchase orders and sign contracts associated with the University's participation in a bowl game. Provisions outlined in Regents'

policies regarding post-season athletic contests will be followed. Additionally, an agreement with the University of Oklahoma Foundation to advance bowl related expenses is required to facilitate the contracts, purchase orders and arrangements necessary for the University's participation in a post season bowl game.

President Boren recommended the Board of Regents:

- I. Authorize the President, the Athletic Director, or their designee to award purchase orders and sign contracts associated with The University of Oklahoma's participation in a post-season bowl game; and
- II. Authorize the President, the Athletic Director, or their designee to negotiate an agreement with The University of Oklahoma Foundation to advance bowl related expenses as required.

Regent Rainbolt-Forbes moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

EASEMENT FOR PHILLIPS 1998 DESCENDANTS TRUST – NC

At the July 1949 meeting, the University was transferred ownership of real property to the Board of Regents by Norman W. Brillhart near Lake Texoma for use as a biological experiment station. The adjoining property belongs to the Phillips 1998 Descendants Trust ("the Trust"). The Trust has requested a non-exclusive road and utility easement for existing shared driveway. Their intent is to memorialize the shared road and utility easement.

The drawing attached hereto as Exhibit O shows the location of the proposed easement. The Board is asked to approve the granting of a permanent road and utility easement to the Trust, its successors and assigns, as described below.

Road and Utility Legal Description:

The Northwest Quarter of the Northwest Quarter of the Northeast Quarter of the Northeast Quarter of the Northeast Quarter (NW/4 NW/4 NE/4 NE/4), Section 8, Township 8 South, Range 5 East, Indian Meridian, Marshall County, Oklahoma, more particularly described as follows;

Commencing from the Northwest Corner of the Northwest Quarter of the Northwest Quarter of the Northwest Quarter of the Northeast Quarter (NW/4 NW/4 NE/4 NE4) of said Section 8;

Thence N89°51'55"E along the North line of the Northeast Quarter, a distance of 156.08 feet to the POINT OF BEGINNING;

Thence continuing N89°51'55"E along said North line, a distance of 73.92 feet to the Northwest Corner of a Parcel recorded in Book 1080 on Page 96 in the Office of the Marshall County Clerk;

Thence S00°05'525"W along the West line thereof, a distance of 281.6 feet;

Thence N06°10'40" W along the West line thereof, a distance of 116.17 feet;

Thence N20°12'59"W, a distance of 176.35 feet to the POINT OF BEGINNING, containing 0.18 acres. All bearing contained in this legal description were based upon Corps of Engineers Survey, Section 5 T8S, R5E, September 1977, North line of the Northwest Quarter of Section 8, Original stone found at NW Corner of Section 8 to C.O.E. Monument at NE Corner of the NW/4, N89°50'00"E 2641.76 feet. Prepared on this date, July 21, 2017 by Obert D. Bennett, RPLS No. 1471 Oklahoma. Subject

to Easements, Rights-of-Way and Restrictions, recorded or implied, if any, thereof and subject to a 33-foot Statutory Section line Right-of-Way, being 16.5 feet on each side of the Section line.

The attached drawing shows the location of the proposed non-exclusive, permanent road and utilities easement the Trust will grant to the University.

Road and Utility Legal Description:

The Northwest Quarter of the Northwest Quarter of the Northeast Quarter of the Northeast Quarter (NW/4 NW/4 NE/4/ NE/4), Section 8, Township 8 South, Range 5 East, Indian Meridian, Marshall County, Oklahoma, more particularly described as follows:

Commencing from the Northwest Corner of the Northwest Quarter of the Northwest Quarter of the Northeast Quarter of the Northeast Quarter (NW/4 NW/4 NE/4 NE/4) of said Section 8. Thence N89° 51'55"E along the North line of the Northeast Quarter, a distance of 230.0 feet to the Northwest Corner of a Parcel recorded in Book 1080, Page 96 in the Office of the Marshall County Clerk:

Thence S00°05'52"W along said West line, a distance of 152.78 feet to the POINT OF BEGINNING;

Thence S09°59'42"E, a distance of 52.54 feet;

Thence S01°49'18"E, a distance of 64.76 feet;

Thence S13°07'08"E, a distance of 42.66 feet;

Thence S26°20'07"E, a distance of 21.34 feet to a point on the South line of a Parcel recorded in Book 1080, Page 96;

Thence S89°51'52"W, along said South line, a distance of 16.42 feet;

Thence N23°57'38"W, a distance of 22.15 feet;

Thence N10°11'08"W, a distance of 29.03 feet to a point on the West line of the aforementioned Parcel;

Thence N00°05'52"E along the West line thereof, a distance of 128.37 feet to the POINT OF BEGINNING, containing 0.04 Acres. All bearings contained in this legal description were based upon Corps of Engineers Survey, Section 5, T8S, R5Ek, September 1977, North line of the Northwest Quarter of Section 8, Original stone found at NW Corner of Section 8 to C.O.E. Monument at NE Corner of the NW/4, N89°50'00"E 2641.76 feet. Prepared on this date, July 21, 2017 by Obert D. Bennett, RPLS no. 1471 Oklahoma Subject to Easements, Rights-of-Way and Restrictions, recorded or implied, if any, thereof and subject to a 33 foot Statutory Section line Right-of-Way, being 16.5 feet on each side of the Section line.

President Boren recommended the Board of Regents:

- I. Approve the granting and receipt of a permanent, non-exclusive road and utility easement to the Phillips 1998 Descendants Trust for the existing driveway property shared by the University of Oklahoma and the Phillips 1998 Descendants Trust; and
- II. Authorize the President or his designee to execute the easement document.

Regent Rainbolt-Forbes moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

ACADEMIC PERSONNEL ACTIONS – NC & HSCHealth Sciences Center:

LEAVE(S) OF ABSENCE:

Baker, Ashley Ann, Assistant Professor of Pediatrics, medical leave of absence with pay, November 6, 2017 through February 10, 2018.

BigFoot, Dolores Subia, Professor of Pediatrics and Adjunct Professor of Psychiatry and Behavioral Sciences, return from medical leave of absence with pay, November 13, 2017.

Onan, Abbey Kristin, Clinical Associate Professor of Comprehensive Care, return from leave of absence with pay, October 16, 2017.

Wetherill, Marianna S., Assistant Professor of Health Promotion Sciences, Assistant Professor of Family and Community Medicine, Tulsa, Adjunct Assistant Professor of Nutritional Sciences, and The George Kaiser Family Foundation Chair in Population Healthcare, medical leave of absence with pay October 18, 2017 through January 16, 2018.

NEW APPOINTMENT(S):

Edil, Barish, M.D., Professor and Chair of Surgery and The John A. Schilling Chair in Surgery, annualized rate of \$200,000 for 12 months, December 1, 2017 through June 30, 2018. Tenure credentials under review by University committees. Includes an administrative supplement of \$100,000 while serving as Chair, Department of Surgery. Tenurable base \$100,000.

Frank, Summer Gale, Ph.D., Assistant Professor of Research, Department of Biostatistics and Epidemiology, annualized rate of \$85,000 for 12 months, October 29, 2017 through June 30, 2018.

Lich, Alexandra N., M.D., Clinical Assistant Professor of Pediatrics, annualized rate of \$70,000 for 12 months, December 31, 2017 through June 30, 2018.

Nguyen, Huong Thi Quynh, D.D.S., Clinical Assistant Professor of Prosthodontics, annualized rate of \$100,000 for 12 months, October 1, 2017 through June 30, 2018.

Pal, Abhijeet, M.D., Clinical Assistant Professor of Pediatrics, annualized rate of \$70,000 for 12 months, October 16, 2017 through June 30, 2018. Nepotism waiver previously approved by the Board of Regents on October 24, 2017.

Ray, Bryan J., Ph.D., Clinical Assistant Professor of Pediatrics, annualized rate of \$20,000 for 12 months, 0.25 time, October 30, 2017 through June 30, 2018.

Stone, Justin, Clinical Instructor in Surgery, annualized rate of \$60,000 for 12 months, November 20, 2017 through June 30, 2018.

Toma, Grigore, M.D., Assistant Professor of Anesthesiology, annualized rate of \$65,000 for 12 months, October 25, 2017 through June 30, 2018. New consecutive term appointment

Wood, Kevin, M.D., Clinical Assistant Professor of Neurology, annualized rate of \$65,000 for 12 months, November 30, 2017 through June 30, 2018.

REAPPOINTMENT(S):

Benyajati, Siribhinya, Ph.D., reappointed as Professor Emeritus of Physiology, annualized rate of \$30,000 for 12 months, 0.35 time, November 6, 2017 through June 30, 2018.

CHANGE(S):

Ali, Lamiaa, Assistant Professor of Pediatrics, Tulsa, salary changed from annualized rate of \$72,000 for 12 months to annualized rate of \$77,000 for 12 months, November 12, 2017 through June 30, 2018. University base \$70,000. Additional responsibilities

Gessouroun, Morris, Associate Professor of Pediatrics and The CMRI Patricia Price Browne Endowed Distinguished Research Chair in Pediatrics, title changed from Interim Chair to Chair of Pediatrics, salary changed from annualized rate of \$150,000 for 12 months to annualized rate of \$225,000 for 12 months, January 1, 2018 through June 30, 2018. Includes an administrative supplement of \$125,000 while serving as Chair, Department of Pediatrics. University base \$100,000.

Hutchens, Amy Marie, Clinical Assistant Professor of Nursing, salary changed from annualized rate of \$72,500 for 12 months to annualized rate of \$66,500 for 12 months, October 30, 2017 through June 30, 2018. Removal of \$4,000 administrative supplement for serving as Continuing Education Coordinator, College of Nursing.

Konrad, Kathryn M., Assistant Professor of Nursing, salary changed from annualized rate of \$68,648 for 12 months to annualized rate of \$72,648 for 12 months, October 30, 2017 through June 30, 2018. Additional responsibilities

Loeffler, April Sue, Clinical Assistant Professor of Nursing, salary changed from annualized rate of \$55,682 for 10 months to annualized rate of \$69,918 for 12 months, October 16, 2017 through June 30, 2018. Additional responsibilities

Postier, Russell G., Interim Executive Dean, College of Medicine and David Ross Boyd Professor of Surgery, titles Chair, Department of Surgery and The John A. Schilling Chair in Surgery deleted, December 1, 2017.

Staudt, Michelle R., Assistant Professor of Health Sciences Library and Information Management and Assistant Dean for Graduate Education and Research, Graduate College, salary changed from annualized rate of \$90,000 for 12 months to annualized rate of \$103,500 for 12 months, December 11, 2017 through June 30, 2018. Includes an administrative supplement of \$13,500 for additional responsibilities as the HSC Research Integrity Officer. University base of \$90,000

Teague, Tracy Kent, Vice President for Research, OU-Tulsa, Professor of Internal Medicine, Tulsa, Adjunct Professor of Psychiatry, Tulsa, Adjunct Professor of Pharmaceutical Sciences, Associate Dean for Research, School of Community Medicine, Tulsa; title The James Carter Todd Chair in Cancer Research deleted, given additional title The George Kaiser Family Foundation Chair in Community Medicine Research, December 10, 2017. Tenured base \$122,725

Tryon, Charles A., Jr., Instructor in Medical Informatics, Tulsa, salary changed from annualized rate of \$77,010 for 12 months to annualized rate of \$85,000 for 12 months, October 29, 2017 through June 30, 2018. University base \$32,000. Additional funding

Whelan, Lori Jessica, Associate Professor of Emergency Medicine, Tulsa, given additional title The George Kaiser Family Foundation Chair in Emergency Medicine #2, salary changed from annualized rate of \$226,000 for 12 months to annualized rate of \$266,000 for 12 months, December 10, 2017 through June 30, 2018. University base \$65,000

Yamane, Shane S., title changed from Assistant Professor to Clinical Assistant Professor of Surgery, Tulsa; salary changed from annualized rate of \$80,000 for 12 months, full-time, to agreed Professional Practice Plan earnings from OUP patient care activity, 0.10 time, October 29, 2017 through June 30, 2018.

RESIGNATION(S) AND/OR TERMINATION(S):

Fraga-Lovejoy, Camilla, Assistant Professor of Pediatrics, November 3, 2017. Accepted a position at Hawaii Pacific Health/Kapiolani Medical Specialists

Gibson, Breeanna Daniela, Assistant Professor of Obstetrics and Gynecology, October 31, 2017.

McBride, Sean P., Assistant Professor of Anesthesiology, October 25, 2017.

McFadden, Heather Anne, Clinical Instructor in Dermatology, November 30, 2017.

RETIREMENT(S):

Lazzara, Ralph, Regents' Professor, George Lynn Cross Research Professor of Medicine, and The Natalie O. Warren Chair in Medicine, November 1, 2017.

Young, Stephen Kent, David Ross Boyd Professor of Oral Pathology, Professor of Oral Diagnosis and Radiology, and Dean Emeritus, College of Dentistry, January 6, 2018. Named David Ross Boyd Professor Emeritus of Oral Pathology.

Norman Campus:

LEAVE(S) OF ABSENCE:

Heddy, Benjamin C., Assistant Professor of Educational Psychology, leave of absence without pay, January 1, 2018 through May 15, 2018.

NEW APPOINTMENT(S):

Ackerman, Adam, Instructor of Management Information Systems, annualized rate of \$75,000 for 12 months, January 1, 2018 through June 30, 2018. Two and a half-year renewable term appointment.

Burke, Bridget J., Associate Dean for Special Collections, University Libraries, annualized rate of \$125,000 for 12 months, January 15, 2018.

Christensen, Brant E., Ph.D., Assistant Professor of Accounting, annualized rate of \$200,000 for 9 months, August 16, 2018 through May 15, 2019. New tenure-track faculty.

Davis, Gary, Instructor of Educational Psychology, annualized rate of \$56,000 for 9 months, January 1, 2018 through May 15, 2021. Three and a half-year renewable term appointment.

Gravelle, Chad M., Ph.D., Research Scientist, Cooperative Institute for Mesoscale Meteorological Studies, annualized rate of \$75,000, October 31, 2017. Paid from grant funds; subject to availability of funds.

Guo, Jing, Scholar of Chemistry and Biochemistry, annualized rate of \$75,790 for 12 months, 0.475 time, November 15, 2017.

Hicks, Joan, Ph.D., Assistant Professor of Economics, annualized rate of \$113,000 for 9 months, January 1, 2018 through May 15, 2018. New tenure-track faculty.

Nedelescu, Daniel, Ph.D., Lecturer of Economics, annualized rate of \$75,000 for 9 months, August 16, 2018 through May 15, 2021. Three-year renewable term appointment.

Oelke, Matthew L., Instructor of Aerospace and Mechanical Engineering, rate of \$7,500 for 4.5 months, 0.25 time, January 1, 2018 through May 15, 2018.

Raymond, Kate M., Assistant Professor of Instructional Leadership and Academic Curriculum, annualized rate of \$60,500 for 9 months, August 16, 2018 through May 15, 2019. If Ph.D. not completed by August 16, 2018, title to be changed to Acting Assistant Professor, August 16, 2018 through May 15, 2019. New tenure-track faculty.

Suriamin, FNU, Geologist IV, Oklahoma Geological Survey, annualized rate of \$77,000 for 12 months, January 16, 2018.

Wen, Yixin, Ph.D., Research Scientist, Cooperative Institute for Mesoscale Meteorological Studies, annualized rate of \$60,000 for 12 months, October 31, 2017. Paid from grant funds; subject to availability of funds.

REAPPOINTMENT(S):

Hart, Geri L., reappointed as Adjunct Instructor of Civil Engineering and Environmental Science, rate of \$8,000 for 4.5 months, 0.25 time, January 1, 2018 through May 15, 2018.

Spector, Robert G., reappointed as Professor Emeritus of Law, rate of \$20,000 for 4.5 months, 0.25 time, January 1, 2018 through May 15, 2018.

CHANGE(S):

Anderson, Ronald H., Assistant Professor of Management and International Business, annualized rate of \$84,256 for 9 months, additional stipend of \$3,000 for increased teaching duties in the Division of Management and International Business, August 16, 2017 through December 31, 2017; additional stipend of \$7,426 for increased teaching duties in the Division of Management and International Business, January 1, 2018 through May 15, 2018.

Backus, Mary Sue, Professor of Law and Robert Glenn Rapp Foundation Presidential Professor, annualized rate of \$131,163 for 9 months, additional stipend of \$10,000 for increased teaching duties in the College of Law, January 1, 2018 through May 15, 2018.

Ballard, Keith E., Professor of Educational Leadership and Policy Studies and Director of Professional Development and Leadership Academy, delete title George Kaiser Family Foundation Chair in Leadership, given additional title George Kaiser Family Foundation Endowed Professor, salary remains at annualized rate of \$110,000 for 12 months, November 1, 2017.

Bartley, Laura E., Associate Professor of Microbiology and Plant Biology and Faculty Fellow in the College of Arts and Sciences, salary changed from annualized rate of \$73,036 for 9 months to annualized rate of \$78,036 for 9 months, January 1, 2018.

Berkinshaw, Stewart M., Associate Provost of Academic Affairs and Director of Academic Financial Operations, Office of the Senior Vice President and Provost, given additional title Director of Budget and Financial Planning, salary changed from annualized rate of \$159,500 for 12 months to annualized rate of \$204,500 for 12 months, January 1, 2018.

Berry, Kodi L., Research Scientist, Cooperative Institute for Mesoscale Meteorological Studies, salary changed from annualized rate of \$65,488 for 12 months to annualized rate of \$69,744 for 12 months, November 1, 2017. Paid from grant funds; subject to availability of funds.

Bodine, David J., Research Scientist, Advanced Radar Research Center, salary changed from annualized rate of \$85,000 for 12 months to annualized rate of \$91,800 for 12 months, November 1, 2017. Paid from grant funds; subject to availability of funds.

Bosse, Eric E., Lecturer of Expository Writing Program, annualized rate of \$43,774 for 9 months, additional stipend of \$6,500 for increased teaching duties in the Expository Writing Program, January 1, 2018 through May 15, 2018.

Brady, Shane R., Assistant Professor of Social Work, annualized rate of \$65,000 for 9 months, additional stipend of \$4,500 for increased teaching duties in the Anne and Henry Zarrow School of Social Work, January 1, 2018 through May 15, 2018.

Burke, Susan K., Associate Professor of Library and Information Studies, title changed from Interim Director to Director of the School of Library and Information Studies, salary changed from annualized rate of \$105,000 for 12 months to annualized rate of \$110,072 for 12 months, October 1, 2017.

Callaghan, Amy, Associate Professor of Microbiology and Plant Biology and Internship and Professional Development Coordinator, salary changed from annualized rate of \$72,210 for 9 months to annualized rate of \$77,210 for 9 months, January 1, 2018.

Carl, John D., Assistant Professor of Sociology, annualized rate of \$55,000 for 9 months, additional stipend of \$1,500 for increased teaching duties in the Department of Sociology, January 1, 2018 through May 15, 2018.

Cheong, Boon Leng, Research Scientist, Advanced Radar Research Center, salary changed from annualized rate of \$129,916 for 12 months to annualized rate of \$136,412 for 12 months, November 1, 2017. Paid from grant funds; subject to availability of funds.

Cichewicz, Robert H., Professor and Regents' Professor of Chemistry and Biochemistry, salary changed from annualized rate of \$130,968 for 9 months to annualized rate of \$150,000 for 9 months, January 1, 2018.

Cruise, Rebecca J., Assistant Dean of the College of International Studies and Assistant Professor of International and Area Studies, annualized rate of \$96,202 for 12 months, additional stipend of \$7,000 for increased teaching duties in the Department of International and Area Studies, January 1, 2018 through May 15, 2018.

de Beurs, Kirsten M., Associate Professor and Chair of the Department of Geography and Environmental Sustainability and Director of the Center for Spatial Analysis, salary changed from annualized rate of \$149,400 for 12 months to annualized rate of \$159,400 for 12 months, January 1, 2018.

Edmondson, Robert A., Assistant Professor of Professional and Continuing Studies, annualized rate of \$63,650 for 9 months, additional stipend of \$1,600 for increased teaching duties in the College of Professional and Continuing Studies, April 24, 2017 through December 8, 2017.

Elmore, Richard D., Professor of Geology and Geophysics, delete titles Director of the ConocoPhillips School of Geology and Geophysics and Eberly Family Chair in Geology and Geophysics; given additional title Edward Lamb McCollough Chair in Geology and Geophysics, salary changed from annualized rate of \$213,372 for 12 months to annualized rate of \$180,000 for 9 months, January 1, 2018. Changed from 12-month academic administrator to 9-month faculty.

Grant, Carl, Associate Dean for Knowledge Services and Chief Technology Officer, University Libraries, given additional title Interim Dean of University Libraries, July 1, 2018.

Grillot, Suzette R., Dean of the College of International Studies, Professor of International and Area Studies, William J. Crowe Chair in Geopolitics and Vice Provost of International Programs, annualized rate of \$218,250 for 12 months, additional stipend of \$7,000 for increased teaching duties in the Department of International and Area Studies, January 1, 2018 through May 15, 2018.

Halterman, Ronald L., Chair and Professor of the Department of Chemistry and Biochemistry, salary changed from annualized rate of \$144,840 for 12 months to annualized rate of \$153,840 for 12 months, January 1, 2018.

Holt, Benjamin F., Associate Professor of Microbiology and Plant Biology, salary changed from annualized rate of \$73,870 for 9 months to annualized rate of \$92,593 for 9 months, January 1, 2018.

Huskey, Samuel J., Chair and Associate Professor of the Department of Classics and Letters and Joseph Paxton Presidential Professor, salary changed from annualized rate of \$105,000 for 12 months to annualized rate of \$115,000 for 12 months, January 1, 2018.

Jablonski, Michael R., Associate Professor of Mathematics, salary changed from annualized rate of \$77,112 for 9 months to annualized rate of \$85,112 for 9 months, January 1, 2018.

Jackson, Rachel C., Lecturer of Expository Writing Program, salary changed from annualized rate of \$41,000 for 9 months to annualized rate of \$42,000 for 9 months, January 1, 2018.

Johnson, Kathleen L., Professor of Journalism and Mass Communication and McMahan Centennial Professor of News Communication, annualized rate of \$65,790 for 9 months, additional stipend of \$6,000 for increased teaching duties in the Gaylord College of Journalism and Mass Communication, January 1, 2018 through May 15, 2018.

Jones, Julie M., Lecturer of Journalism and Mass Communication, annualized rate of \$68,289 for 9 months, additional stipend of \$2,250 for increased teaching duties in the Gaylord College of Journalism and Mass Communication, January 1, 2018 through May 15, 2018.

Karr, Elizabeth A., Associate Professor of Microbiology and Plant Biology, salary changed from annualized rate of \$73,881 for 9 months to annualized rate of \$78,881 for 9 months, January 1, 2018; additional stipend of \$2,500 for serving as the Graduate Liaison in the Department of Microbiology and Plant Biology, January 1, 2018 through December 31, 2018.

Kelly, Jeff, Ph.D., Director and Professor of the Oklahoma Biological Survey and Professor of Biology, given additional titles Corix Endowed Chair in Water and Sustainability and Director of the Corix Plains Institute, salary changed from annualized rate of \$120,000 for 12 months to annualized rate of \$220,000 for 12 months, January 1, 2018.

Kerr, Robert L., Professor of Journalism and Mass Communication, Gaylord Family Professor #2 and Edith Kinney Gaylord Presidential Professor, annualized rate of \$85,507 for 9 months, additional stipend of \$4,500 for increased teaching duties in the Gaylord College of Journalism and Mass Communication, January 1, 2018 through May 15, 2018.

Ketchum, Paul R., Assistant Professor of Professional and Continuing Studies, annualized rate of \$65,400 for 9 months, additional stipend of \$735 for increased duties in the College of Professional and Continuing Studies, January 1, 2017 through May 15, 2017. Paid from grant funds; subject to availability of funds.

Kibble, Anthony J., Instructor of Social Work, given additional title Undergraduate Coordinator in the Anne and Henry Zarrow School of Social Work, salary remains at annualized rate of \$50,000 for 12 months, July 17, 2017.

Kurlinkus, William C., Assistant Professor of English, salary changed from annualized rate of \$60,000 for 9 months to annualized rate of \$62,000 for 9 months, January 1, 2018.

Larson, Daniel J., Assistant Professor of Health and Exercise Science, given additional title Assistant Professor of Sports Management, no additional remuneration, June 20, 2017.

Lawson, Paul A., Professor of Microbiology and Plant Biology, salary changed from annualized rate of \$86,710 for 9 months to annualized rate of \$94,210 for 9 months, January 1, 2018.

Libault, Marc, Associate Professor of Microbiology and Plant Biology, salary changed from annualized rate of \$70,502 for 9 months to annualized rate of \$75,502 for 9 months, January 1, 2018.

Lifschitz, Lucy, Associate Professor of Mathematics, salary changed from annualized rate of \$72,456 for 9 months to annualized rate of \$74,456 for 9 months, January 1, 2018.

Livingood, Susannah B., Associate Provost of Academic Affairs and Director of Institutional Research and Reporting, salary changed from annualized rate of \$121,631 for 12 months to annualized rate of \$125,000 for 12 months, November 1, 2017.

LoLordo, Vincent N., Lecturer of Expository Writing Program and Writing Enriched Curriculum Coordinator, salary changed from annualized rate of \$42,000 for 9 months to annualized rate of \$43,000 for 9 months, January 1, 2018.

Marcus-Mendoza, Susan, Professor of Women's and Gender Studies, title changed from Professor of Human Relations to Professor in the College of Arts and Sciences, salary remains at annualized rate of \$96,900 for 9 months, June 21, 2017.

McCarthy, Heather R., Associate Professor of Microbiology and Plant Biology, salary changed from annualized rate of \$70,502 for 9 months to annualized rate of \$75,502 for 9 months, January 1, 2018.

Menon, Smita K., Research Assistant Professor of Chemistry and Biochemistry, salary changed from annualized rate of \$47,500 for 12 months to annualized rate of \$50,000 for 12 months, October 1, 2017.

Moore, Jensen, Assistant Professor of Journalism and Mass Communication, annualized rate of \$68,000 for 9 months, additional stipend of \$2,250 for increased teaching duties in the Gaylord College of Journalism and Mass Communication, January 1, 2018 through May 15, 2018.

Morais de Sa e Silva, Michelle Graciela, Lecturer of International and Area Studies, annualized rate of \$60,000 for 9 months, additional stipend of \$7,000 for increased teaching duties in the Department of International and Area Studies, January 1, 2018 through May 15, 2018.

Pepper, Amelia S., Assistant Professor of Law, annualized rate of \$82,451 for 12 months, additional stipend of \$15,000 for increased teaching duties in the College of Law, January 1, 2018 through May 15, 2018.

Pilat, Stephanie Z, Associate Professor and Director of the Division of Architecture and Edith Kinney Gaylord Presidential Professor, given additional title W. Edwin Bryan, Jr. Professor of Architecture, salary changed from annualized rate of \$143,000 for 12 months to annualized rate of \$155,500 for 12 months, August 1, 2017.

Pritchard, Robert S., Instructor of Journalism and Mass Communication, annualized rate of \$57,783 for 9 months, additional stipend of \$2,250 for increased teaching duties in the Gaylord College of Journalism and Mass Communication, January 1, 2018 through May 15, 2018.

Qi, Yanrong, title changed from renewable term Instructor to renewable term Lecturer of Modern Languages, Literatures, and Linguistics, salary remains at annualized rate of \$44,177 for 9 months, January 1, 2017.

Raymond, Mark A., Assistant Professor of International and Area Studies and Wick Cary Professor of International Studies #5, salary changed from annualized rate of \$72,000 for 9 months to annualized rate of \$85,000 for 9 months, January 1, 2018; additional stipend of \$2,500 for increased teaching duties in the Department of International and Area Studies, January 1, 2018 through May 15, 2018.

Smith, Mitchell P., Chair and Professor of the Department of International and Area Studies, Associate Dean of the College of International Studies, Director of the Masters of International Relations Program and Max and Heidi Berry Chair in International and Area Studies, annualized rate of \$164,940 for 12 months, additional stipend of \$16,500 for increased teaching duties in the Department of International and Area Studies, January 1, 2018 through May 15, 2018.

Smothermon, Connie S., Assistant Professor of Law, Director of Competitions, Director of Externships and Assistant Director of Legal Writing and Research, annualized rate of \$77,438 for 9 months, additional stipend of \$25,000 for increased teaching duties in the College of Law, January 1, 2018 through May 15, 2018.

Soreghan, Gerilyn S., Professor of Geology and Geophysics, Williams Companies Foundation Presidential Professor and David L. Boren Professor, delete title James Roy Maxey Professor in Geology, December 31, 2017; given additional title Director of the ConocoPhillips School of Geology and Geophysics, salary changed from annualized rate of \$116,804 for 9 months to annualized rate of \$176,000 for 12 months, January 1, 2018. Changing from 9-month faculty to 12-month academic administrator.

Tanner, Ralph S., Professor of Microbiology and Plant Biology and Sam K. Viersen Family Foundation Presidential Professor, salary changed from annualized rate of \$94,507 for 9 months to annualized rate of \$97,007 for 9 months, January 1, 2018.

Warren, Diane M., Chair and Associate Professor of the Department of Anthropology, salary changed from annualized rate of \$90,000 for 12 months to annualized rate of \$100,000 for 12 months, January 1, 2018.

Wawrik, Boris, Associate Professor of Microbiology and Plant Biology, salary changed from annualized rate of \$73,836 for 9 months to annualized rate of \$78,836 for 9 months, January 1, 2018.

NEPOTISM WAIVER(S):

Soreghan, Michael, Associate Professor of Geology and Geophysics, annualized rate of \$81,299 for 9 months, January 1, 2018. Dr. Michael Soreghan is the spouse of Dr. Gerilyn Soreghan, Director of Geology and Geophysics, which would place him under her supervision. All decisions regarding employment, compensation, evaluations, promotions and awards will be executed by Committee A and/or the Dean of Earth and Energy. A Nepotism Waiver Management Plan has been reviewed and approved to ensure that Dr. Gerilyn Soreghan is removed from all financial and supervisory matters related to Dr. Michael Soreghan.

Spicer, Paul G., Professor of Anthropology, annualized rate of \$157,590 for 9 months, August 26, 2016. Dr. Paul Spicer is a faculty member in Anthropology. His spouse, Dr. Lori Jervis, is a member of Anthropology's Committee A. In order to comply with the University's nepotism policy, the other member(s) of Committee A will handle all personnel matters related to Dr. Spicer, in lieu of Dr. Jervis. This includes review of annual performance evaluations and recommendations for compensation, promotion, and awards. A Nepotism Waiver Management Plan has been reviewed and approved.

RESIGNATION(S)/TERMINATION(S):

Karabuk, Suleyman, Associate Professor of Industrial and Systems Engineering, August 16, 2017.

Kingfield, Darrel M., Research Scientist, Cooperative Institute for Mesoscale Meteorological Studies, January 1, 2018.

Vargas, Juanita G., Associate Professor of Educational Leadership and Policy Studies, August 1, 2018.

Wang, Yun, Professor of Physics and Astronomy, January 1, 2018.

RETIREMENT(S):

Brandes, Joyce A., Associate Professor of Educational Psychology, January 1, 2018. Named Professor Emeritus of Educational Psychology.

Cottom, Daniel A., Professor of English and David A. Burr Chair in Letters, January 1, 2018. Named Professor Emeritus of English.

Frey, Melissa L., Associate Professor of Educational Psychology, December 1, 2017. Named Professor Emeritus of Educational Psychology.

Gardner, James E., Professor of Educational Psychology, January 1, 2018. Named Professor Emeritus of Educational Psychology.

Griffith, Priscilla L., Professor of Instructional Leadership and Academic Curriculum and Ruth G. Hardman Chair in Education, January 1, 2018. Named Professor Emeritus of Instructional Leadership and Academic Curriculum.

Knapp, Michael C., David Ross Boyd Professor of Accounting, Glen McLaughlin Chair in Business Ethics and John E. Mertes Jr. Presidential Professor, January 1, 2018.

Kutner, Peter B., Professor and Hugh Roff Professor of Law, January 1, 2018. Named Hugh Roff Professor Emeritus of Law.

Livesey, Steven J., Professor of History of Science and Brian E. and Sandra O'Brien Presidential Professor, January 1, 2018. Named Professor Emeritus of History of Science.

Mares, Michael A., Director of Sam Noble Oklahoma Museum of Natural History, Curator of Mammalogy of the Sam Noble Oklahoma Museum of Natural History, Professor of Biology, Sam K. Viersen Family Foundation Presidential Professor and Joseph Brandt Professor, July 1, 2018. Named Director Emeritus of Sam Noble Oklahoma Museum, Professor Emeritus of Biology, and Curator Emeritus of Mammalogy of the Sam Noble Oklahoma Museum of Natural History.

Martin, James E., Professor of Education, Zarrow Family Chair in Learning Enrichment and Director of the Zarrow Center for Learning Enrichment, January 1, 2018. Named Professor Emeritus of Education.

Parker, Gregory A., George Lynn Cross Research Professor of Physics and Astronomy, January 1, 2018. Named George Lynn Cross Research Professor Emeritus of Physics and Astronomy.

Phillips, Judith Lewis, Professor of History and of Women's and Gender Studies and President's Associates Presidential Professor, January 1, 2018. Named Professor Emeritus of History.

Wallach, Bret, Professor of Geography and Environmental Sustainability, May 15, 2018. Named Professor Emeritus of Geography and Environmental Sustainability.

President Boren recommended the Board of Regents approve the academic personnel actions shown above.

Regent Keating moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

DEATH(S):

President Boren regretted to report the following deaths:

Dubowski, Kurt M., George Lynn Cross Research Professor Emeritus of Medicine, October 23, 2017.

Seely, J. Rodman, Clinical Professor of Pediatrics, October 27, 2017.

Unger, Leon, Regents' Professor Emeritus and David Ross Boyd Professor Emeritus of Biochemistry and Molecular Biology, October 24, 2017.

ADMINISTRATIVE AND PROFESSIONAL PERSONNEL ACTIONS – NC & HSC

Health Sciences Center:

LEAVE(S) OF ABSENCE:

Peek, Carolyn A., Pharmacist Manager, Department of Pediatrics, College of Medicine, paid leave of absence, family medical leave, from November 10, 2017 to February 15, 2018.

NEW APPOINTMENT(S):

Antwine, Shauntae C., Nurse Practitioner, Medicine Infectious Diseases, College of Medicine, at an annualized rate of \$89,393 for 12 months (\$7,449.42 per month), November 1, 2017. Professional Nonfaculty.

Capetillo, Jessica M., Senior Administrative Manager, Department of Surgery, College of Medicine, at an annualized rate of \$70,000 for 12 months (\$5,833.33 per month), December 4, 2017. Managerial Staff.

Cruzan, Craigery B., Director of Student Counseling Services, HSC Student Affairs, Administration & Finance, at an annualized rate of \$65,500 for 12 months (\$5,458.33 per month), November 13, 2017. Administrative Staff.

Finny, Vineetha A., Staff Pharmacist, Cancer Center Clinical Services, College of Medicine, at an annualized rate of \$102,000 for 12 months (\$8,500.00 per month), October 30, 2017. Professional Nonfaculty.

Goebel, Tracy J., Speech Language Pathologist, Department of Pediatrics, College of Medicine, at an annualized rate of \$60,000 for 12 months (\$5,000.00 per month), January 2, 2018. Professional Nonfaculty.

Johnson, Maria F., Oncology Nurse III, Cancer Center Clinical Services, College of Medicine, at an annualized rate of \$62,000 for 12 months (\$5,166.67 per month), November 27, 2017. Professional Nonfaculty.

Mathew, Nissy M., Nurse Practitioner, Medicine Infectious Diseases, College of Medicine, at an annualized rate of \$76,500 for 12 months (\$6,375.00 per month), October 16, 2017. Professional Nonfaculty.

O'Connor, Terri L., Neonatal Nurse Practitioner, Department of Pediatrics, College of Medicine, at an annualized rate of \$86,500 for 12 months (\$7,208.33 per month), November 27, 2017. Professional Nonfaculty.

Putthoff, Andrea L., Nurse Practitioner, Cancer Center Clinical Services, College of Medicine, at an annualized rate of \$91,653 for 12 months (\$7,637.80 per month), December 11, 2017. Professional Nonfaculty.

Sheldon, Taryn M., Physician Assistant II, Department of Surgery, College of Medicine, at an annualized rate of \$87,000 for 12 months (\$7,250.00 per month), December 4, 2017. Professional Nonfaculty.

Vermillion, Brandi, Senior Clinic Manager, OU Physicians Faculty Clinics, College of Medicine, at an annualized rate of \$63,799 for 12 months (\$5,316.58 per month), November 27, 2017. Managerial Staff.

Visnieski, Tiffany I., Nurse Navigator, OU Physicians CHP Clinics, College of Medicine, at an annualized rate of \$63,799 for 12 months (\$5,316.58 per month), November 8, 2017. Professional Nonfaculty.

Wilson, Jayci L., Physician Assistant I, Otorhinolaryngology, College of Medicine, at an annualized rate of \$90,000 for 12 months (\$7,500.00 per month), November 6, 2017. Professional Nonfaculty.

REAPPOINTMENT(S):

Earley, Deborah A., Audiologist, Keys Speech & Hearing Center, College of Allied Health, at an annualized rate of \$75,000 for 12 months (\$6,250.00 per month), October 29, 2017. Professional Nonfaculty.

Miller, Ella J., Senior IT Analyst, IT Administration, Provost, at an annualized rate of \$82,000 for 12 months (\$6,833.33 per month), November 27, 2017. Professional Nonfaculty.

Vasquez, Jennifer D., Nurse Practitioner, Obstetrics and Gynecology, College of Medicine, at an annualized rate of \$80,000 for 12 months (\$6,666.67 per month), October 30, 2017. Professional Nonfaculty.

CHANGE(S):

Acklin, Lauren F., Physician Assistant I, OU Physicians CHP Clinics, College of Medicine, salary changed from an annualized rate of \$71,199 for 12 months (\$5,933.33 per month) to an annualized rate of \$72,624 for 12 months (\$6,052.00 per month), June 25, 2017. Professional Nonfaculty. Equity Adjustment.

Allembaugh, Lexi A., title changed from Patients Accounts Liaison, OU Physicians, College of Medicine, to OU Physicians Project Manager II, OU Physicians, College of Medicine, salary changed from an annualized rate of \$55,119 for 12 months (\$4,593.25 per month) to an annualized rate of \$61,000 for 12 months (\$5,083.33 per month), October 15, 2017. Professional Nonfaculty. Promotion.

Chronister, Micki L., title changed from Nurse Navigator, OU Physicians CHP Clinics, College of Medicine, to Clinical Research Nurse II, Department of Pediatrics, College of Medicine, salary changed from an annualized rate of \$63,780 for 12 months (\$5,315.01 per month) to an annualized rate of \$67,007 for 12 months (\$5,583.95 per month), October 29, 2017. Professional Nonfaculty. Promotion.

Doerfert-Schrader, Whitney, title changed from Staff Registered Nurse II, OU Physicians Faculty Clinics, College of Medicine, to Quality Manager, OU Physicians, College of Medicine, salary changed from an annualized rate of \$65,075 for 12 months (\$5,422.92 per month) to an annualized rate of \$70,280 for 12 months (\$5,856.67 per month), October 1, 2017. Professional Nonfaculty. Equity Adjustment.

Evans, Kristin D., title changed from Senior Staff Accountant, Financial Services, Administration & Finance, to Administrative Manager, Department of Pediatrics, College of Medicine, salary changed from an annualized rate of \$59,975 for 12 months (\$4,997.92 per month) to an annualized rate of \$63,500 for 12 months (\$5,291.67 per month), November 26, 2017. Managerial Staff. Promotion.

Fleming, Ashley K., title changed from Senior Clinic Manager, OU Physicians Faculty Clinics, College of Medicine, to Senior Project Manager, OU Physicians CHC, College of Medicine, salary changed from an annualized rate of \$62,220 for 12 months (\$5,185.00 per month) to an annualized rate of \$64,000 for 12 months (\$5,333.33 per month), October 29, 2017. Professional Nonfaculty. Promotion.

Gleason, Melissa L., Physician Assistant I, OU Physicians CHP Clinics, College of Medicine, salary changed from an annualized rate of \$85,000 for 12 months (\$7,083.33 per month) to an annualized rate of \$86,700 for 12 months (\$7,225.00 per month), June 25, 2017. Professional Nonfaculty. Correction to budget.

Mathews, Ashley, title changed from Senior Programmer Analyst, Stephenson Cancer Center, Institutional Centers of Excellence, to Mobile Application Developer, Stephenson Cancer Center, Institutional Centers of Excellence, salary changed from an annualized rate of \$74,289 for 12 months (\$6,190.75 per month) to an annualized rate of \$85,000 for 12 months (\$7,083.33 per month), October 1, 2017. Professional Nonfaculty. Promotion.

McCall, Joey R., title changed from Senior Administrative Manager, Department of Pediatrics, College of Medicine, to Clinical Departmental Business Administrator, Pathology, College of Medicine, salary changed from an annualized rate of \$63,000 for 12 months (\$5,250.00 per month) to an annualized rate of \$90,000 for 12 months (\$7,500.00 per month), November 12, 2017. Administrative Staff. Promotion.

McGinley, Fransen O., Physician Assistant II, Stephenson Cancer Center, Institutional Centers of Excellence, salary changed from an annualized rate of \$106,731 for 12 months (\$8,894.25 per month) to an annualized rate of \$85,385 for 12 months (\$7,115.42 per month), November 26, 2017. FTE change from 100% to 80%.

Posey, Rachel R., Nurse Practitioner, OU Physicians CHP Clinics, College of Medicine, salary changed from an annualized rate of \$96,552 for 12 months (\$8,046.01 per month) to an annualized rate of \$98,483 for 12 months (\$8,206.92 per month), June 25, 2017. Professional Nonfaculty. Correction to budget.

Poteat, Tamara A., Physician Assistant II, Obstetrics & Gynecology, College of Medicine, salary changed from an annualized of \$80,561 for 12 months (\$6,713.47 per month) to an annualized rate of \$90,838 for 12 months (\$7,531.92 per month), October 29, 2017. Professional Nonfaculty. Equity Adjustment.

Smith, Michael, title changed from Landscape Services Manager, Grounds Maintenance and Landscaping, Administration and Finance, to Director of Landscaping Services, Grounds Maintenance and Landscaping, Administration and Finance, salary changed from an annualized rate of \$57,755 for 12 months (\$4,812.93 per month) to an annualized rate of \$70,000 for 12 months (\$5,833.34 per month), January 15, 2018. Administrative Officer. Promotion.

Swafford, Emily L., Clinical Physical Therapist, Obstetrics and Gynecology, College of Medicine, salary changed from an annualized rate of \$74,982 for 12 months (\$6,248.50 per month) to an annualized rate of \$67,484 for 12 months (\$5,623.67 per month), October 15, 2017. Professional Nonfaculty. FTE change from 100% to 90%.

Thumann, Ashley T., title changed from Quality Manager, OU Physicians, College of Medicine, to Data Analysis Manager, Department of Pediatrics, College of Medicine, salary changed from an annualized rate of \$67,304 for 12 months (\$5,608.67 per month) to an annualized rate of \$80,453 for 12 months (\$6,704.48 per month), October 15, 2017. Managerial Staff. Promotion.

Wilburn, Brian G., Parking & Transportation Manager, OU Parking, Administration & Finance, salary changed from an annualized rate of \$77,000 for 12 months (\$6,416.66 per month) to an annualized rate of \$84,011 for 12 months (\$7,000.93 per month), October 29, 2017. Administrative Staff. Equity Adjustment.

Wilson, Sarah A., title changed from Program Manager, Stephenson Cancer Center, Institutional Centers of Excellence, to Assistant Director, Clinical Trials Office, Stephenson Cancer Center, Institutional Centers of Excellence, salary changed from an annualized rate of \$68,250 for 12 months (\$5,687.50 per month) to an annualized rate of \$75,000 for 12 months (\$6,250.00 per month), October 1, 2017. Managerial Staff. Promotion.

Wood, Becky A., title changed from Research Management Coordinator III, Department of Medicine Grants, College of Medicine, to Senior Administrative Manager, Department of Pediatrics, College of Medicine, at an annualized rate of \$63,648 for 12 months (\$5,304.00 per month), November 26, 2017. Managerial Staff.

RETIREMENT(S):

Bales, Sandra J., Clinical Department Business Manager II, Radiological Sciences, College of Medicine. January 1, 2018. Retirement.

Cotter-Lalli, Mary A., Director of Sponsored Programs, Research Administration Office. December 9, 2017. Retirement.

Malone, Linda D., OU Physicians Director of Contracting, OU Physicians, College of Medicine. October 1, 2017. Retirement.

Medved, Leona L., Administrative Coordinator, Biochemistry/Molecular Biology, College of Medicine. January 3, 2018. Retirement.

RESIGNATION(S) / TERMINATION(S):

Baker, Billie S., Lead Ultrasonographer Technologist, OU Physicians Faculty Clinics, College of Medicine. December 23, 2017. Resignation.

Cutler, Sheri, Manager of Professional Liability & Risk, OU Physicians, College of Medicine.
November 18, 2017. Resignation.

Edwards, Heather, Clinical Pharmacist, Experimental Education, College of Pharmacy.
December 17, 2017. Resignation.

Shiple, Trevor D., Business Advisor, Department of Pediatrics, College of Medicine. January 6,
2018. Resignation.

Stinnett, Alexis L., Nurse Practitioner, Cancer Center Clinical Services, College of Medicine.
December 30, 2017. Resignation.

Norman Campus:

NEW APPOINTMENT(S):

Borelli, Anthony John, IT Analyst III, Administration and Finance, Office of Business Analytics,
October 30, 2017. Managerial Staff.

Finley, Shelly M., Program Administrator II, College of Atmospheric and Geographic Sciences,
GeoCarb Mission Collaboration, annualized rate of \$74,000 for 12 months, 10/16/2017.
Managerial Staff.

Galutia, Lucas J., Information Technology Analyst II, Gallogly College of Engineering,
Computer Science, annualized rate of \$65,000 for 12 months, October 16, 2017. Managerial
Staff.

Graves, Grant M., Program Administrator II, Vice President's Office, Research Administration,
Oklahoma Water Survey, annualized rate of \$63,000 for 12 months, November 6, 2017.
Managerial Staff.

Hudson, Chelsea L., Development Associate II, Office of the Dean, Michael F. Price College of
Business, annualized rate of \$62,000 for 12 months, 09/21/2017. Managerial Staff. Correction from
October Agenda.

Jordan, Elizabeth N., Development Associate II, Office of the Dean, Michael F. Price College of
Business, annualized rate of \$63,000 for 12 months, October 23, 2017. Managerial Staff.

CHANGE(S):

Baldwin, Toby B., Director of Compliance [Managerial Associate I], Athletics Compliance,
salary changed from annualized rate of \$122,000 for 12 months to annualized rate of \$132,000
for 12 months, October 1, 2017. Managerial Staff. Retention and merit.

Barker, Sharon L., General Manager of Cate Ala Carte and Cate Taco Mayo [Managerial
Associate II], Student Affairs, Food Services, salary changed from annualized rate of \$55,000 for
12 months to annualized rate of \$61,000 for 12 months, December 1, 2017. Managerial Staff.
Increase.

Calonkey, Susan L., title changed from Development Officer [Development Associate I] to
Development Director [Development Associate II], Office of the Dean, Gallogly College of
Engineering, salary remains at annualized rate of \$65,000 for 12 months, October 1, 2017.
Managerial Staff. Job re-classification.

Carter, Tawnya J., General Manager of Union Operations [Managerial Associate II], Student Affairs, Food Services, salary changed from annualized rate of \$55,000 for 12 months to annualized rate of \$60,000 for 12 months, December 1, 2017. Managerial Staff. Increase.

Buettner-Wilkins, Stephanie Paige, title changed from Development Officer [Development Associate I] to Development Director [Development Associate II], Office of the Dean, Gallogly College of Engineering, salary remains at annualized rate of \$75,000 for 12 months, October 1, 2017. Managerial Staff. Job re-classification.

Coffman, Ila G., Librarian [Librarian II] University Libraries, Catalog and Metadata, salary changed from annualized rate of \$56,000 for 12 months to annualized rate of \$62,000 for 12 months, January 01, 2018. Professional Staff. Merit increase.

Cox, Marie Margaret, Coordinator, CAPT Southwest Resource Team [Program Administrator III], University Outreach, Southwest Prevention Center, salary changed from annualized rate of \$75,767 for 12 months to annualized rate of \$78,040 for 12 months, November 1, 2017. Managerial Staff. Increase.

Davis, David M., Exhibition Coordinator [Technology Project Management Specialist II], Office of the Dean, University Libraries, salary changed from annualized rate of \$60,000 for 12 months to annualized rate of \$65,000 for 12 months, October 1, 2017. Managerial Staff. Merit increase.

Feland, Danny R., Facilities Manager [Technology Project Management Specialist II], Advanced Radar Research Center, salary changed from annualized rate of \$78,750 for 12 months to annualized rate of \$84,262 for 12 months, November 1, 2017. Managerial Staff. Increase. Additional responsibilities.

Goodman, Kimberley K., title changed from Administrator [Administrator II], Office of the Dean, College of Architecture to Director of Administration and Finance [Administrator II], Office of the Dean, Jeannine Rainbolt College of Education, salary changed from annualized rate of \$80,297 for 12 months to annualized rate of \$82,297, October 23, 2017. Administrative Staff. Accept other job on campus.

Goodspeed, Suzanne Y., title changed from Associate Director [Administrator II], Information Technology Business Office to Managing Director for Business Operations and Fiscal Management [Administrator III], Business Planning, Fiscal Management, and Talent, Information Technology, salary changed from annualized rate of \$84,000 for 12 months to annualized rate of \$90,636 for 12 months, December 1, 2017. Administrative Staff. Job re-classification.

Grover, Amanda Makenzie, title changed from Scholarship Management Assistant [Financial Aid Specialist I], Financial Aid Services to Data Scientist [IT Analyst II], Office of Business Analytics, salary changed from annualized rate of \$35,000 for 12 months to annualized rate of \$65,000 for 12 months, November 1, 2017. Managerial Staff. Accept other job on campus.

Guthrie, Tanya D., Director, Administration, Finance and Operations [Administrator II], Office of the Dean, College of Atmospheric and Geographic Scientist, salary change from annualized rate of \$98,837 for 12 months to annualized rate of \$102,837 for 12 months, December 1, 2017. Administrative Staff. Increase.

Hins, Thomas D., ERP Application Developer [IT Analyst II], Service Management and Operational Excellence, Information Technology, salary changed from annualized rate of \$81,600 for 12 months to annualized rate of \$86,868 for 12 months, December 1, 2017. Managerial Staff. Equity and Retention.

Jennings, LaShonda D. Williamson, Associate Coordinator, CAPT, Southwest Resource Team [Program Administrator II], University Outreach Southwest Prevention Center, salary changed from annualized rate of \$69,525 for 12 months to annualized rate of \$71,611 for 12 months, November 1, 2017. Managerial Staff. Increase.

Kelley, Redmond C., Radar Engineer [Technology Project Management Specialist III], Advanced Radar Research Center, salary changed from annualized rate of \$110,384 for 12 months to annualized rate of \$115,903 for 12 months, November 1, 2017. Managerial Staff. Additional job responsibilities. Paid from grant funds; subject to availability of funds.

Leonard, Jason D., Executive Director of Compliance [Managerial Associate I], Athletics Compliance, salary changed from annualized rate of \$207,500 for 12 months to annualized rate of \$222,500 for 12 months, October 1, 2017. Managerial Staff. Retention, merit, and additional responsibilities.

Luciani, Nicole E., Training and Technical Assistant Specialist, CAPT Southwest Resource Team [Program Administrator II], University Outreach, Southwest Prevention Center, salary changed from annualized rate of \$60,601 for 12 months to annualized rate of \$62,419 for 12 months, November 1, 2017. Managerial Staff. Increase.

Macari, Loyola L., title changed from Senior Study Abroad Advisor [University Student Program Specialist II] College of International Studies, Students Abroad, to Director of International Programs [University Student Program Specialist III], Office of the Dean, Mewbourne College of Earth and Energy, salary changed from annualized rate of \$36,999 for 12 months to annualized rate of \$65,000 for 12 months, November 1, 2017. Managerial Staff. Accept other job on campus.

Mason, Bobby J, University Institutional Equity Officer, salary changed from an annualized rate of \$171,900 for 12 months (\$14,325 per month) to an annualized rate of \$186,900 for 12 months (\$15,575 per month), November 1, 2017, Executive Officer. Increase in responsibility

McCord, Matthew S., Radar Engineer [Technology Project Management Specialist III], Advanced Radar Research Center, salary changed from annualized rate of \$104,346 for 12 months to annualized rate of \$109,563 for 12 months, November 1, 2017. Managerial Staff. Additional job responsibilities. Paid from grant funds; subject to availability of funds.

Meier, John B., Radar Engineer [Technology Project Management Specialist III], Advanced Radar Research Center, salary changed from annualized rate of \$103,949 for 12 months to annualized rate of \$109,146 for 12 months, December 1, 2017. Managerial Staff. Additional job responsibilities. Paid from grant funds; subject to availability of funds.

Pagel, Ryan A., Senior Software Developer [IT Analyst III], Information Technology, salary changed from annualized rate of \$73,800 for 12 months to annualized rate of \$82,500 for 12 months, January 1, 2018. Managerial Staff. Equity and Retention.

Pan, Hong, Radar Engineer [Technology Project Management Specialist III], Advanced Radar Research Center, salary changed from annualized rate of \$74,000 for 12 months to annualized rate of \$76,220 for 12 months, November 1, 2017. Managerial Staff. Additional responsibilities. Paid from grant funds; subject to availability of funds.

Palk, Laura M., title changed from Accreditation and Assurance of Learning Coordinator [Development Associate II], Marketing and Supply Chain Management to Assistant Dean, Alumni and Development [Assistant Dean, Academic Affairs II], Law Center Development, salary changed from annualized rate of \$110,000 for 12 months to annualized rate of \$120,000 for 12 months, December 15, 2017. Managerial Staff. Accept other job on campus.

Piersall, Cody Wade, Radar Engineer [Technology Project Management Specialist III], Advanced Radar Research Center, salary changed from annualized rate of \$78,250 for 12 months to annualized rate of \$82,162 for 12 months, November 1, 2017. Managerial Staff. Additional responsibilities. Paid from grant funds; subject to availability of funds.

Redden, Amy D., ERP Application Developer [IT Analyst II], Service Management and Operational Excellence, Information Technology, salary changed from annualized rate of \$79,868 for 12 months to annualized rate of \$86,868 for 12 months, December 1, 2017. Managerial Staff. Equity and Retention.

Reiss, Fredrick A., Head of Digital Data and Metadata and E-Content Licensing Services [Librarian III], University Libraries, Library Systems, salary changed from annualized rate of \$76,500 for 12 months to annualized rate of \$80,000 for 12 months, October 1, 2017. Professional Staff. Merit increase.

Roberts, Cari L., Digital Data and Metadata and E-Content Licensing Services [Information Technology Analyst II], University Libraries, Library Systems, salary changed from annualized rate of \$62,500 for 12 months to annualized rate of \$66,000 for 12 months, October 1, 2017. Managerial Staff. Merit increase.

Roop, Kari D., Director External Relations [Marketing/Public Relation Specialist II], Office of the Dean, College of Atmospheric and Geographic Sciences, salary changed from annualized rate of \$59,000 for 12 months to annualized rate of \$64,000 for 12 months, December 1, 2017. Managerial Staff. Additional responsibilities.

Schoenborn, Nicole L., Training and Technical Assistant Specialist [Program Administrator II], University Outreach, Southwest Prevention Center, salary changed from annualized rate of \$65,405 for 12 months to annualized rate of \$67,367 for 12 months, November 1, 2017. Managerial Staff. Increase.

Sokol, Christopher H., Director of Compliance [Managerial Associate I], Athletics Compliance, salary changed from annualized rate of \$62,000 for 12 months to annualized rate of \$70,000 for 12 months, October 1, 2017. Managerial Staff. Retention, merit, and additional responsibilities.

Starchman, David A., Radar Engineer [Technology Project Management Specialist III], Advanced Radar Research Center, salary changed from annualized rate of \$120,000 for 12 months to annualized rate of \$122,400 for 12 months, November 1, 2017. Managerial Staff. Additional responsibilities. Paid from grant funds; subject to availability of funds.

Stubblefield, James Patrick, Director of Compliance [Managerial Associate I], Athletics Compliance, salary changed from annualized rate of \$58,000 for 12 months to annualized rate of \$62,000 for 12 months, October 1, 2017. Managerial Staff. Retention.

Summers II, James B., title changed from Information Systems Manager [Information Technology Specialist], Information Technology, to Data Manager [Information Technology Specialist III] Office of Research Services, salary changed from annualized rate of \$70,000 for 12 months to annualized rate of \$82,000 for 12 months, December 1, 2017. Managerial Staff. Accept other job on campus.

Van Nostrand, Joy D., Research Scientist, Laboratory Manager [Scientist/Researcher II], Institute for Environmental Genomics, salary changed from annualized rate of \$60,000 for 12 months .5 FTE to annualized rate of \$60,000 for 12 months 1.0 FTE, October 28, 2017. Professional Staff. Voluntary change in FTE.

Wheatley, Brendan D., Estimator [Technology Project Management Specialist II], Physical Plant, Administration Office, salary changed from annualized rate of \$56,000 for 12 months to annualized rate of \$61,000 for 12 months, December 1, 2017. Managerial Staff. Merit and retention increase.

Winkleman, Rhonda R., General Manager of Southside Operations [Managerial Associate II], Student Affairs, Food Services, salary changed from annualized rate of \$55,000 for 12 months to annualized rate of \$60,000 for 12 months, December 1, 2017. Managerial Staff. Increase.

Wolfe, Erin G., Executive Director, Office of Strategic Initiatives [Administrator IV], Strategic Initiatives, salary changed from annualized rate of \$90,000 for 12 months to annualized rate of \$102,000 for 12 months, December 1, 2017. Administrative Staff. Increase.

RESIGNATION(S)/TERMINATION(S):

Brink, Rebecca E., Administrator III, Office of the President, Open Records, November 3, 2017.

Castro, Amanda Coury, Administrator II, Academic Affairs, Prospective Student Services, October 10, 2017

Holland, Vicky K., Administrator II, Parking Administration, November 10, 2017.

Nealson, Dennis E., Special Project Associate, Cooperative Institute for Mesoscale Studies, October 28, 2017.

Palk, Scott L., Assistant Dean of Students, College of Law, November 25, 2017.

RETIREMENT(S):

Doescher, Starla G., Librarian III, University Libraries, Acquisitions, January 1, 2018.

Porter, Jannie C., Program Specialist II, Associate Dean's Office, College of Liberal Studies, Continuing Education, January 1, 2018.

Portwood, Lisa A., Assistant Dean, Academic Affairs III, University College Advising, January 1, 2018.

Winslow, Sharon D., Managerial Associate I, Admissions and Records Administration, February 1, 2018.

Wong, Katherine C., Librarian III, University Libraries, Cataloging and Metadata Services, January 1, 2018.

President Boren recommended the Board of Regents approve the academic personnel actions shown above.

Regent Keating moved approval of the recommendation. The following voted yes on the motion: Regents Rainbolt-Forbes, Burgess, Stone, Albert and Keating. The Chair declared the motion unanimously approved.

There being no further business, the meeting adjourned at 4:11 p.m.

Chris A. Purcell, Ph.D.
Executive Secretary of the
Board of Regents



2800 W Gore Blvd
Lawton, OK 73505
580-581-2225
Fax 580-581-5769

BID TABULATION

Project: Custodial Services
RFP CAM18001
RFP Due Date: 9/8/17 at 2:00 p.m. CST

Provider	Proposal Form	Non-Collusion Affidavit	Business Relationship Affidavit	Executive Order 11246	Sex Offender Affidavit	Total Base Price	Unit Price-Special Cleaning Services	Additional Offers
SourceOne Management Services, Inc. Tulsa, OK	X	X	X	X	X	\$ 886,572.00	\$19.50/hour	
McLemore Building Maintenance Houston, TX	X	X	X	X	X	\$ 988,266.00	\$16.00/hour	
UCI Services Group, Inc Lawton, OK	X	X	X	X	X	\$ 1,083,086.04	\$18.37/hour	
Sodexo, Inc. Gaithersburg, MD	X	X	X	X	X	\$ 1,131,450.00	\$9.62/hour	Will purchase existing custodial equipment for \$50,000
WFF Facility Services, Inc. St. Louis, MO	X	X	X	X	X	\$ 1,169,688.00	\$13.49/hour	
ABM Industry Groups, LLC Dallas, TX	X	X	X	X	X	\$ 1,215,743.00	\$20.00/hour	
Jamark Cleaning Lawton, OK	X	X	X	X	X	\$ 1,590,125.34	\$21.24/hour	
Cherokee Nation Mission Solutions Tulsa, OK	X	X	X	X		\$ 1,817,157.35	\$20.65/hour	

EXHIBIT B

<u>Firm Name</u>	<u>Date Initiated</u>	<u>Work Performed</u>	<u>Fee</u>
Frankfurt-Short-Bruza Associates, P.C	April 26, 2017	Design Development and Construction Documents – Duncan Science Labs	\$42,376
LWPB Architects and Planners	May 16, 2017	Schematic Design – Library Atrium	\$9,425
LWPB Architects and Planners	May 25, 2017	Schematic Design – Soccer Complex	\$2,175
LWPB Architects and Planners	May 25, 2017	Schematic Design Update – Conwill Hall	\$3,707

CUMULATIVE TOTAL PROFESSIONAL
ARCHITECTURAL AND ENGINEERING FEES FOR WORK
COMPLETED BY ON-CALLS THROUGH THE FIRST QUARTER
OF FISCAL YEAR 2017-2018

<u>Firm Name</u>	<u>Total Fees</u>
Frankfurt-Short-Bruza Associates, P.C	\$42,376
LWPB Architects and Planners	<u>15,307</u>
Total	\$57,683

QUARTERLY FINANCIAL ANALYSIS
For the quarter ended September 30, 2017

EXECUTIVE SUMMARY

Highlights from the Statements of Net Position as of September 30, 2017 and Statements of Revenue, Expenses and Changes in Net Position for the three months then ended are presented below. The statements are unaudited and are presented for management use only.

STATEMENTS OF NET POSITION

- Total assets and deferred outflows of resources of \$96.8 million exceeded related liabilities and deferred inflows of resources of \$73.1 million by \$23.7 million.
- Education & General assets and deferred outflows of \$26.6 million trailed related liabilities and deferred inflows of \$55.2 million by \$28.6 million. The Education & General net position is influenced by the University's proportionate share of the unfunded net pension obligation of the Oklahoma Teachers Retirement System. This amount is approximately \$37.1 million.
- Sponsored Program assets of \$834 thousand exceeded related liabilities of \$33 thousand by \$801 thousand.
- Auxiliary Enterprise assets of \$6.1 million exceeded related liabilities of \$.2 million by \$5.9 million.
- Other Fund assets and deferred outflows of resources of \$63.3 million exceeded related liabilities and deferred inflows of resources of \$20.7 million by \$42.6 million. Other Funds consist of fixed assets, net of accumulated depreciation and related master lease obligations, and other academic and administrative activities.

STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION

- Total revenues of \$27.2 million exceeded expenses of \$20.1 million, resulting in a net increase of \$7.1 million.
- Education & General revenues of \$17.2 million exceeded expenses of \$10.5 million, resulting in a net increase of \$6.7 million.
- Sponsored Program revenues of \$373 thousand trailed expenses of \$427 thousand by \$54 thousand.
- Auxiliary Enterprise revenues of \$3.6 million exceeded expenses of \$2.1 million by \$1.5 million.
- Other Fund revenues of \$6 million trailed expenses of \$7 million, resulting in a net decrease of \$1 million. This decrease is due primarily to unfunded depreciation of \$1.1 million.

CAMERON UNIVERSITY
STATEMENTS OF NET POSITION
SEPTEMBER 30, 2017
UNAUDITED-MANAGEMENT USE ONLY

	Education & General		Sponsored Programs		Auxiliary Enterprises	
	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017	9/30/2016
ASSETS						
CURRENT & NONCURRENT ASSETS						
Cash and cash equivalents	\$ 8,071,906	\$ 7,793,812	\$ 772,155	\$ 580,994	\$ 5,072,113	\$ 4,398,307
Investments	-	-	-	-	-	-
Accounts receivable, net	3,557,431	3,772,584	62,439	79,331	1,013,024	1,004,457
Prepaid expenses and other assets	1,892,516	1,788,510	-	-	-	-
Capital assets, net	-	-	-	-	-	-
TOTAL ASSETS	\$ 13,521,853	\$ 13,354,906	\$ 834,594	\$ 660,325	\$ 6,085,137	\$ 5,402,764
DEFERRED OUTFLOWS OF RESOURCES						
Deferred outflows for pensions	\$ 13,051,051	\$ 5,984,465	\$ -	\$ -	\$ -	\$ -
Deferred charge on OCIA lease restructure	-	-	-	-	-	-
TOTAL DEFERRED OUTFLOWS OF RESOURCES	\$ 13,051,051	\$ 5,984,465	\$ -	\$ -	\$ -	\$ -
LIABILITIES						
CURRENT LIABILITIES						
Accounts payable	\$ 140	\$ 15,774	\$ -	\$ 760	\$ -	\$ 4,686
OPEB obligation	137,286	137,286	-	-	-	-
Current portion of long-term debt	-	-	-	-	-	-
Accrued expenses	482,901	486,883	22,466	21,431	28,728	30,396
Unearned revenue	-	-	-	-	42,855	64,283
Deposits held in custody for others	-	-	-	-	67,100	82,500
Total current liabilities	620,327	639,943	22,466	22,191	138,683	181,865
NONCURRENT LIABILITIES						
OPEB obligation	1,100,684	988,116	-	-	-	-
OTRS pension liability	45,773,344	36,072,547	-	-	-	-
Other noncurrent liabilities	331,653	315,830	10,897	3,589	20,399	17,021
Master lease obligations	-	-	-	-	-	-
Total noncurrent liabilities	47,205,681	37,376,493	10,897	3,589	20,399	17,021
TOTAL LIABILITIES	\$ 47,826,008	\$ 38,016,436	\$ 33,363	\$ 25,780	\$ 159,082	\$ 198,886
DEFERRED INFLOWS OF RESOURCES						
Deferred inflows for pensions	\$ 4,400,529	\$ 4,707,187	\$ -	\$ -	\$ -	\$ -
Deferred credit on OCIA lease restructure	-	-	-	-	-	-
TOTAL DEFERRED INFLOWS OF RESOURCES	\$ 4,400,529	\$ 4,707,187	\$ -	\$ -	\$ -	\$ -
NET POSITION						
Unrestricted	11,469,189	11,411,017	-	-	5,926,055	5,203,878
Restricted for OTRS pension liability	(37,122,822)	(34,795,269)	-	-	-	-
Restricted	-	-	801,231	634,545	-	-
Endowment	-	-	-	-	-	-
Capital assets, net of related debt	-	-	-	-	-	-
TOTAL NET POSITION	\$ (25,653,633)	\$ (23,384,252)	\$ 801,231	\$ 634,545	\$ 5,926,055	\$ 5,203,878

CAMERON UNIVERSITY
STATEMENTS OF NET POSITION
SEPTEMBER 30, 2017
UNAUDITED-MANAGEMENT USE ONLY

	Other Funds		Total
	9/30/2017	9/30/2016	9/30/2016
ASSETS			
CURRENT & NONCURRENT ASSETS			
Cash and cash equivalents	\$ 2,696,937	\$ 1,773,969	\$ 14,547,082
Investments	-	-	-
Accounts receivable, net	889,136	872,802	5,729,174
Prepaid expenses and other assets	10,910	12,742	1,801,252
Capital assets, net	59,736,692	62,854,427	62,854,427
TOTAL ASSETS	\$ 63,333,675	\$ 65,513,940	\$ 84,931,935
DEFERRED OUTFLOWS OF RESOURCES			
Deferred outflows for pensions	-	-	\$ 5,984,465
Deferred charge on OCIA lease restructure	-	-	-
TOTAL DEFERRED OUTFLOWS OF RESOURCES	\$ -	\$ -	\$ 5,984,465
LIABILITIES			
CURRENT LIABILITIES			
Accounts payable	-	-	\$ 21,220
OPEB obligation	-	-	137,286
Current portion of long-term debt	2,211,315	2,292,424	2,292,424
Accrued expenses	-	-	538,710
Unearned revenue	-	-	64,283
Deposits held in custody for others	77,916	139,620	222,120
Total current liabilities	2,289,231	2,432,044	3,070,707
NONCURRENT LIABILITIES			
OPEB obligation	-	-	988,116
OTRS pension liability	-	-	36,072,547
Other noncurrent liabilities	-	-	336,440
Master lease obligations	18,124,987	20,408,541	20,408,541
Total noncurrent liabilities	18,124,987	20,408,541	57,805,644
TOTAL LIABILITIES	\$ 20,414,218	\$ 22,840,585	\$ 61,081,667
DEFERRED INFLOWS OF RESOURCES			
Deferred inflows for pensions	-	-	\$ 4,707,187
Deferred credit on OCIA lease restructure	287,633	315,149	315,149
TOTAL DEFERRED INFLOWS OF RESOURCES	\$ 287,633	\$ 315,149	\$ 5,022,336
NET POSITION			
Unrestricted	-	-	16,614,895
Restricted for OTRS pension liability	-	-	(34,795,269)
Restricted	126,821	102,364	736,909
Endowment	66,000	66,000	66,000
Capital assets, net of related debt	42,439,003	42,189,842	42,189,842
TOTAL NET POSITION	\$ 42,631,824	\$ 42,358,206	\$ 24,812,377

CAMERON UNIVERSITY
STATEMENTS OF REVENUE, EXPENSES AND CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2017
UNAUDITED-MANAGEMENT USE ONLY

	Other Funds		Total		% of Total
	9/30/2017	% of Budget	9/30/2017	% of Budget	
REVENUES					
Student tuition and fees, net	-	0.0%	\$ 14,096,487	51.8%	52.3%
Grants and contracts	5,548,328	46.2%	5,962,853	21.9%	21.1%
Sales and services	-	0.0%	2,472,307	9.1%	7.1%
State appropriations	310,734	25.9%	4,479,569	16.5%	18.6%
Private gifts	177,975	29.7%	192,125	0.7%	0.9%
Endowment & investment income	-	0.0%	16,893	0.1%	0.1%
Other sources	-	0.0%	2,079	0.0%	0.0%
TOTAL REVENUES	6,037,037	43.7%	27,222,313	100.0%	100.0%
EXPENSES					
Compensation	-	0.0%	6,444,343	32.1%	31.7%
Scholarships & fellowships	5,390,897	45.7%	7,996,103	39.8%	40.7%
Utilities	-	0.0%	266,158	1.3%	1.4%
Debt service - interest & fees	-	0.0%	517,718	2.6%	3.7%
Professional & technical fees	42,376	84.8%	437,605	2.2%	1.7%
Maintenance & repair	-	0.0%	1,204,355	6.0%	6.0%
Supplies & materials	513,064	88.5%	1,910,298	9.5%	8.2%
Travel	-	0.0%	144,324	0.7%	0.7%
Library books & periodicals	-	0.0%	16,165	0.1%	0.3%
Communications	-	0.0%	61,371	0.3%	0.3%
Other uses	-	0.0%	2,814	0.0%	0.1%
TOTAL EXPENSES	5,946,337	22.4%	19,001,254	94.5%	94.7%
NET POSITION BEFORE DEPRECIATION	90,700		8,221,059		
Less: Depreciation	1,099,160	24.9%	1,099,160	5.5%	5.3%
CHANGE IN NET POSITION	\$ (1,008,460)		\$ 7,121,899		

QUARTERLY FINANCIAL ANALYSIS
For the twelve months ended September 30, 2017

EXECUTIVE SUMMARY

Highlights from the Statements of Net Position as of September 30, 2017 and Statements of Changes in Net Position for the twelve months then ended are presented below for information only.

STATEMENTS OF NET POSITION

- Total assets of \$108.4 million exceeded related liabilities of \$98.2 million by \$10.2 million.
- Education & General assets of \$18.8 million were less than related liabilities of \$35.9 million by \$17.1 million.
- Sponsored Program assets of \$1.0 million were less than related liabilities of \$1.7 million by \$0.7 million.
- Auxiliary Enterprise assets of \$11.5 million exceeded related liabilities of \$6.7 million by \$4.8 million.
- Other fund assets of \$77.2 million exceeded related liabilities of \$53.9 million by \$23.3 million. Other Funds consist of fixed assets, net of accumulated depreciation, and related bonds and master lease obligations, and other academic and administrative activities.

STATEMENTS OF CHANGES IN NET POSITION

- Total revenues of \$14.6 million were less than related expenses of \$15.5 million by \$0.9 million.
- Education & General revenues of \$6.9 million were less than related expenses of \$7.9 million by \$1.0 million.
- Sponsored Program revenues of \$0.2 million were less than related expenses of \$0.4 million by \$0.2 million.
- Auxiliary Enterprise revenues of \$6.8 million were less than related expenses of \$7.1 million by \$0.3 million.
- Other fund revenues of \$0.6 million exceeded related expenses of \$0.1 million, resulting in a net increase of \$0.5 million.

ROGERS STATE UNIVERSITY
STATEMENT OF NET POSITION
 September 30, 2017
UNAUDITED - MANAGEMENT USE ONLY

	Education & General		Sponsored Programs		Auxiliary Enterprises		Other Funds		Total	
	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017	9/30/2016
ASSETS										
CURRENT & NONCURRENT ASSETS										
Cash and cash equivalents	8,716,185	9,255,527	677,676	408,769	7,055,416	5,532,403	377,678	870,256	16,826,956	16,066,954
Accounts receivable - net	2,657,302	2,626,142	187,883	364,880	3,849,730	4,399,527	-	-	6,694,915	7,390,550
Due From (to) Other Funds	225,898	200,488	(225,898)	(229,632)	-	70,648	-	(41,504)	-	0
Prepaid Expenses & Other Assets	-	-	-	-	-	-	439,953	294,974	439,953	294,974
Net Pension Assets	7,154,127	3,312,538	388,706	132,049	618,181	183,441	-	-	-	3,628,027
Deferred Outflows	18,753,512	15,394,695	1,028,368	676,066	11,523,327	10,186,019	817,632	1,123,726	32,122,838	27,380,505
TOTAL CURRENT & Non-current Assets	28,507,022	28,577,397	2,100,529	1,487,770	19,006,654	17,171,497	1,245,263	1,918,950	50,080,273	45,268,981
CAPITAL ASSETS, NET										
TOTAL ASSETS	28,507,022	28,577,397	2,100,529	1,487,770	19,006,654	17,171,497	1,245,263	1,918,950	50,080,273	45,268,981
LIABILITIES & NET ASSETS										
CURRENT LIABILITIES										
Accounts payable	488,980	1,449,541	39,537	62,199	1,617,775	3,259,208	24,023	105,477	2,170,315	4,876,425
OPEB Obligation	-	-	-	-	-	-	-	-	-	-
Current Portion of L-T Debt	-	-	-	-	-	-	-	-	-	-
Accrued expenses	351,589	599,078	41,438	58,336	32,071	45,603	4,059,509	3,459,292	4,059,509	3,459,292
Deferred revenue	5,947,368	5,519,093	-	-	2,463,543	1,652,569	-	-	425,098	703,017
Deposits held in custody for others	141,151	(250)	-	-	163,538	48,960	-	-	8,410,912	7,171,662
Deferred Inflows	2,351,510	2,825,439	143,602	171,743	166,477	212,966	361,071	410,158	304,790	48,710
Net Pension Liability	25,381,196	19,609,629	1,512,212	1,184,550	2,133,964	1,592,662	-	-	3,022,660	3,620,306
Total Current Liabilities	34,661,795	30,002,530	1,736,789	1,476,828	6,577,468	6,811,968	4,444,603	3,974,928	47,420,655	42,266,254
NONCURRENT LIABILITIES										
OPEB Obligation	780,535	784,948	-	-	-	-	-	-	780,535	784,948
Other Non Current Liabilities	432,781	368,607	-	-	104,065	75,086	-	-	536,847	443,693
Bonds & Master Lease Obligations	-	-	-	-	-	-	49,464,405	54,266,928	49,464,405	54,266,928
Total noncurrent liabilities	1,213,316	1,153,555	-	-	104,065	75,086	49,464,405	54,266,928	50,781,786	55,495,569
TOTAL LIABILITIES	35,875,112	31,156,084	1,736,789	1,476,828	6,681,533	6,887,054	53,909,007	58,241,856	98,202,441	97,761,823
NET POSITION										
Unrestricted	3,456,979	3,361,141	-	-	6,524,054	4,921,152	-	-	9,981,033	8,282,292
Restricted for OTRS Pension Liability	(20,578,579)	(19,122,530)	(1,267,108)	(1,224,244)	(1,882,260)	(1,622,187)	(361,071)	-	(23,889,018)	(21,968,961)
Restricted	-	-	558,687	423,482	-	-	868,151	723,275	1,428,838	1,146,757
Capital assets, Net of Related Debt	-	-	(708,421)	(800,762)	4,841,793	3,298,965	23,244,629	21,428,325	22,737,548	20,705,049
Total Net Position	(17,121,600)	(15,761,389)	1,028,368	676,066	11,523,327	10,186,019	77,153,636	79,670,181	108,458,843	105,926,960
TOTAL NET POSITION	18,753,512	15,394,695	1,028,368	676,066	11,523,327	10,186,019	77,153,636	79,670,181	108,458,843	105,926,960

ROGERS STATE UNIVERSITY
STATEMENT OF CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2017
UNAUDITED - MANAGEMENT USE ONLY

	Other Funds		Total		% of Total
	9/30/2017	% of Budget	9/30/2017	% of Total	
REVENUES					
Student Tuition and fees, net	-	0.0%	5,010,427	23.0%	5,312,263
Grants & Contracts	-	0.0%	3,617,995	270.0%	2,038,370
Sales & Services	-	0.0%	2,321,853	21.0%	2,825,229
State Appropriations	83,461	24.0%	2,830,517	24.0%	3,549,540
Private Gifts	-	0.0%	2,500	2.0%	(2,034)
On Behalf Payments	484,506	0.0%	733,043	0.0%	773,673
Endowment and Investment Income	-	0.0%	21,075	10.0%	23,256
Other Sources	-	0.0%	46,775	1.0%	107,975
TOTAL REVENUES	567,967	24.0%	14,584,186	29.0%	14,628,273
EXPENSES					
Compensation	-	0.0%	5,315,717	22.0%	5,421,275
Depreciation	851,318	0.0%	851,318	0.0%	876,548
Scholarships	-	0.0%	6,288,093	107.0%	6,141,642
Utilities	-	0.0%	353,985	0.0%	593,999
Debt Service - Interest & Fees	419,971	0.0%	419,971	0.0%	251,887
Professional & Technical Fees	-	0.0%	253,316	30.0%	504,334
Maintenance & Repair	-	0.0%	359,877	0.0%	699,633
Supplies and Materials	53,821	7.0%	1,385,103	10.0%	3,002,535
Travel	-	0.0%	3,145	21.0%	82,103
Library Books and Periodicals	-	0.0%	154,879	46.0%	190,023
Communications	-	0.0%	74,909	11.0%	163,718
Other Uses	5,584	0.0%	6,977	0.0%	(7,061)
Transfers for Debt Service	(1,246,657)	0.0%	-	34.0%	-
Transfers for Capitalized Assets	(23,149)	0.0%	0	0.0%	-
Transfers out - Other	-	0.0%	-	0.0%	-
TOTAL EXPENSES	60,887	7.0%	15,467,288	30.0%	17,920,636
CHANGE IN NET POSITION	507,081		(883,103)		(3,292,363)

QUARTERLY FINANCIAL ANALYSIS
For the quarter ended September 30, 2017

EXECUTIVE SUMMARY

Highlights from the Statements of Net Position as of September 30, 2017 and Statements of Changes in Net Position for the three months then ended are presented below for information only.

STATEMENTS OF NET POSITION

- Total assets and deferred outflows of \$1.61 billion exceed related liabilities and deferred inflows of \$709.5 million by \$901.8 million.
- Assets showed an increase of \$36.8 million from the prior year.
 - Investments in total increased by \$28.2 million.
- Liabilities showed an increase of \$110.3 million from the prior year.
 - Net pension liability increased by \$98.2 million.
 - Accounts payable increased by \$10.5 million.
 - Postemployment benefits obligation increased by \$6.3 million.

STATEMENTS OF CHANGES IN NET POSITION

- Total revenues of \$285.1 million exceeded expenses of \$267.8 million by \$17.3 million.
- Operating revenues showed an increase of \$20.7 million from the prior year.
 - The main increase is from Patient Care by \$20.6 million.
- Operating expenses showed an increase of \$19.6 million from the prior year.
 - Supplies and materials expenses increased by \$10.6 million, due mainly to increases in Pharmaceutical expenses.
 - Compensation and benefits increased by \$7.5 million.
- Nonoperating revenues and expenses decreased \$3.5 million from the prior year.
 - State appropriations have decreased by \$2.8 million.
- Other revenue, expenses, gains, or losses decreased slightly from the prior year.
- Change in net position decreased by \$3.1 million from the prior year due mainly to issues highlighted above.

ROGERS STATE UNIVERSITY
STATEMENT OF NET POSITION
 September 30, 2017
UNAUDITED - MANAGEMENT USE ONLY

	Education & General		Sponsored Programs		Auxiliary Enterprises		Other Funds		Total
	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017
ASSETS									
CURRENT & NONCURRENT ASSETS									
Cash and cash equivalents	8,716,185	9,255,527	677,676	408,769	7,055,416	5,532,403	377,678	870,256	16,066,954
Accounts receivable - net	2,657,302	2,626,142	187,883	364,880	3,849,730	4,399,527	-	-	7,390,550
Due From (to) Other Funds	225,898	200,488	(225,898)	(229,632)	-	70,648	-	(41,504)	0
Prepaid Expenses & Other Assets	-	-	-	-	-	-	439,953	294,974	294,974
Net Pension Assets	7,154,127	3,312,538	388,706	132,049	618,181	183,441	-	-	3,628,027
Deferred Outflows	18,753,512	15,394,695	1,028,368	676,066	11,523,327	10,186,019	817,632	1,123,726	27,380,505
Total Current & Non-current Assets	18,753,512	15,394,695	1,028,368	676,066	11,523,327	10,186,019	77,153,636	79,670,181	108,458,843
CAPITAL ASSETS, NET									
TOTAL ASSETS									
	18,753,512	15,394,695	1,028,368	676,066	11,523,327	10,186,019	77,153,636	79,670,181	108,458,843
LIABILITIES & NET ASSETS									
CURRENT LIABILITIES									
Accounts payable	488,980	1,449,541	39,537	62,199	1,617,775	3,259,208	24,023	105,477	4,876,425
OPEB Obligation	-	-	-	-	-	-	-	-	-
Current Portion of L-T Debt	-	-	-	-	-	-	-	-	-
Accrued expenses	351,589	599,078	41,438	58,336	32,071	45,603	4,059,509	3,459,292	3,459,292
Deferred revenue	5,947,368	5,519,093	-	-	2,463,543	1,652,569	-	-	425,098
Deposits held in custody for others	141,151	(250)	-	-	163,638	48,960	-	-	7,171,662
Deferred Inflows	2,351,510	2,825,439	143,602	171,743	166,477	212,966	361,071	410,158	304,790
Net Pension Liability	25,381,196	19,609,629	1,512,212	1,184,550	2,133,964	1,592,662	-	-	3,022,660
Total Current Liabilities	34,661,795	30,002,530	1,736,789	1,476,828	6,577,468	6,811,968	4,444,603	3,974,928	29,027,372
NONCURRENT LIABILITIES									
OPEB Obligation	780,535	784,948	-	-	-	-	-	-	780,535
Other Non Current Liabilities	432,781	368,607	-	-	104,065	75,086	-	-	536,847
Bonds & Master Lease Obligations	-	-	-	-	-	-	49,464,405	54,266,928	49,464,405
Total noncurrent liabilities	1,213,316	1,153,555	-	-	104,065	75,086	49,464,405	54,266,928	50,781,786
TOTAL LIABILITIES									
	35,875,112	31,156,084	1,736,789	1,476,828	6,681,533	6,887,054	53,909,007	58,241,856	98,202,441
NET POSITION									
Unrestricted	3,456,979	3,361,141	-	-	6,524,054	4,921,152	-	-	9,981,033
Restricted for OTRS Pension Liability	(20,578,579)	(19,122,530)	(1,267,108)	(1,224,244)	(1,882,260)	(1,622,187)	(361,071)	-	(23,889,018)
Restricted	-	-	558,687	423,482	-	-	868,151	723,275	1,426,838
Capital assets, Net of Related Debt	-	-	(708,421)	(800,762)	4,841,793	3,298,965	22,737,548	20,705,049	20,705,049
Total Net Position	(17,121,600)	(15,761,389)	(708,421)	(800,762)	4,841,793	3,298,965	23,244,629	21,428,325	10,256,402
TOTAL NET POSITION									
	18,753,512	15,394,695	1,028,368	676,066	11,523,327	10,186,019	77,153,636	79,670,181	108,458,843

ROGERS STATE UNIVERSITY
STATEMENT OF CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2017
UNAUDITED - MANAGEMENT USE ONLY

	Other Funds		Total		% of Total
	9/30/2017	% of Budget	9/30/2017	% of Total	
REVENUES					
Student Tuition and fees, net	-	0.0%	5,010,427	23.0%	5,312,263
Grants & Contracts	-	0.0%	3,617,995	270.0%	2,038,370
Sales & Services	-	0.0%	2,321,853	21.0%	2,825,229
State Appropriations	83,461	24.0%	2,830,517	24.0%	3,549,540
Private Gifts	-	0.0%	2,500	2.0%	(2,034)
On Behalf Payments	484,506	0.0%	733,043	0.0%	773,673
Endowment and Investment Income	-	0.0%	21,075	10.0%	23,256
Other Sources	-	0.0%	46,775	1.0%	107,975
TOTAL REVENUES	567,967	24.0%	14,584,186	29.0%	14,628,273
EXPENSES					
Compensation	-	0.0%	5,315,717	22.0%	5,421,275
Depreciation	851,318	0.0%	851,318	0.0%	876,548
Scholarships	-	0.0%	6,288,093	107.0%	6,141,642
Utilities	-	0.0%	353,985	0.0%	593,999
Debt Service - Interest & Fees	419,971	0.0%	419,971	0.0%	251,887
Professional & Technical Fees	-	0.0%	253,316	30.0%	504,334
Maintenance & Repair	-	0.0%	359,877	0.0%	699,633
Supplies and Materials	53,821	7.0%	1,385,103	10.0%	3,002,535
Travel	-	0.0%	3,145	21.0%	82,103
Library Books and Periodicals	-	0.0%	154,879	46.0%	190,023
Communications	-	0.0%	74,909	11.0%	163,718
Other Uses	5,584	0.0%	6,977	0.0%	(7,061)
Transfers for Debt Service	(1,246,657)	0.0%	-	34.0%	-
Transfers for Capitalized Assets	(23,149)	0.0%	0	0.0%	-
Transfers out - Other	-	0.0%	-	0.0%	-
TOTAL EXPENSES	60,887	7.0%	15,467,288	30.0%	17,920,636
CHANGE IN NET POSITION	507,081		(883,103)		(3,292,363)

EXHIBIT G

Work completed during the fourth quarter of fiscal year 2017 by on-call architectural, engineering and construction management firms omitted from June agenda due to clerical error.

QUARTERLY REPORT OF PURCHASES – ALL
July 1, 2017 through September 30, 2017

<u>Item</u>	<u>Description</u>	<u>Department</u>	<u>Vendor</u>	<u>Award Amount</u>	<u>Explanation / Justification</u>
I. PURCHASE OBLIGATIONS FROM \$50,000 TO \$250,000					
1	Service	All Campuses	Benefit Resources, Inc.	100,000	Benefits Administration
2	Supplies	All Campuses	Staples	50,000	Office Supplies
3	Service	Library	Ebsco Industries	66,073	Library Services
4	Service	Claremore Campus	Blackboard, Inc.	72,635	Security Services
5	Service	Athletics	Specialty Insurance Solutions	69,503	Risk Mgmt. Services
6	Supply	Athletics	BSN Sports Inc.	67,000	Athletic Apparel
7	Service	Claremore Campus	SourceOne	300,000	Custodial Services
8	Service	Bartlesville Campus	SourceOne	83,272	Custodial Services
9	Service	Pryor Campus	SourceOne	56,000	Custodial Services
10	Service	Claremore Campus	Sodexo, Inc	75,000	Food Services
11	Service	Health Center	OU Physicians	62,000	Health Services
12	Service	All Campuses	University of Oklahoma	216,965	Board Services
13	Service	All Campuses	Cox Cable	60,000	Cable TV
14	Service	Athletics	Village Tours	96,121	Transportation

II. SOLE SOURCE PROCUREMENTS FROM \$50,000 TO \$250,000
Competition Not Applicable

None to Report

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

Supplier	Amount	Campus	Department	Explanation	Category	Method
Funding Sources: Educational & General (Appropriations, Tuition & Fees, Sponsored Project Indirect Cost Reimbursements)						
1.	3Share	\$ 127,650	NC	Web Communication	Software License / Maintenance	IT Product / Supply / Service Competed
2.	Adobe System Inc	\$ 90,593	NC	Web Communications	Software License / Maintenance	IT Product / Supply / Service Negotiated
3.	Agilent Technologies	\$ 302,482	NC	Geology and Geophysics	Lab Equipment - New Faculty	Lab / Medical / Research Equipment Negotiated
4.	Amazon Web Services	\$ 90,000	NC	Library	Cloud Storage	IT Product / Supply / Service Negotiated
5.	Amigos Library Svc	\$ 230,000	NC	Library	Database Access to Research Materials	Book / Publication / Subscription Negotiated
6.	ARL Publications Distribution Center	\$ 60,000	NC	Library	Membership - Association of Research Libraries	Memberships / Dues Negotiated
7.	Beijing Wisdom Person Education Consulting Co.	\$ 540,000	NC	College of Continuing Education	Estimated Costs - Student Recruitment - FY 2018	Professional Services Negotiated
8.	Bloomberg Financial LP	\$ 66,600	NC	Center for Economics and Management Research	Access to Bloomberg Terminals	IT Product / Supply / Service Negotiated
9.	Bureau of National Affairs	\$ 65,389	NC	College of Law	Legal News and Analysis Service	Book / Publication / Subscription Negotiated
10.	Cengage Learning	\$ 150,000	NC	Library	Serials Subscription	Book / Publication / Subscription Negotiated
11.	Center for Residential Libraries	\$ 75,000	NC	Library	Database Subscription - Books / Periodicals	Book / Publication / Subscription Negotiated
12.	Centerpoint Energy Services	\$ 243,000	HSC	Operations	Estimated FY 2018 Natural Gas Usage - Research Parkway	Communication / Utility Services Competed
13.	Charles E Smith Co-	\$ 73,734	NC	CCE Advanced Programs	Space Lease - 2189 Crystal Plaza Arcade Arlington VA Classrooms	Lease / Rent Property Negotiated
14.	Chem Abstracts Services	\$ 171,135	NC	Library	Database Subscription	Book / Publication / Subscription Negotiated

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
15.	Chisholm Creek Investments	\$ 206,323	NC	College of Continuing Education	Space Lease - 1331 W Memorial Rd OKC Suite 112	Lease / Rent Property	Negotiated
16.	Christiansen Avia Inc	\$ 57,000	NC	CCE Aviation	Renewal Lease - Piper Aircraft	Lease / Rent Equipment	Negotiated
17.	CMI Communications	\$ 145,000	NC	CCE Center for Human Relations Studies	Audio / Video Conference Support Services	Conferences / Special Events	Competed
18.	CollegeNET Inc	\$ 51,000	NC	Admissions and Recruitment	Software License / Maintenance	IT Product / Supply / Service	Competed
19.	Cruise Aviation Association	\$ 330,000	NC	CCE Aviation	Estimated Costs - Avgas - FY 2018	Maintenance / Industrial Supply	Negotiated
20.	D2L Ltd	\$ 106,059	HSC	Faculty Academic Support	Software License / Maintenance	IT Product / Supply / Service	Competed
21.	Dell Marketing LP	\$ 59,144	HSC	Information Technology	UPS Battery Maintenance	IT Product / Supply / Service	Competed
22.	Dell Marketing LP	\$ 189,790	HSC	Information Technology	Servers	IT Product / Supply / Service	Competed
23.	Dogtown Media LLC	\$ 84,100	NC	Office of Strategic Initiatives	Mobile App Development	Professional Services	Competed
24.	Ebsco Industries Inc	\$ 400,000	NC	Library	Foreign and Domestic Periodical Subscriptions	Book / Publication / Subscription	Negotiated
25.	Ebsco Information Services	\$ 490,624	HSC	Library	Electronic Texts / Journals Database	Book / Publication / Subscription	Negotiated
26.	Edmond Music	\$ 108,000	NC	Architectural & Engineering Services	Piano's Residential College	Furniture	Negotiated
27.	Edmond Music	\$ 66,440	NC	School of Music	Steinway Piano	Furniture	Negotiated
28.	Eide Bailly	\$ 88,800	NC	Financial Services	External Audit Services	Professional Services	Competed
29.	Elsevier BV	\$ 200,000	NC	Library	Database Access to Science and Technology Content	Book / Publication / Subscription	Negotiated
30.	Elsevier BV	\$ 75,000	NC	Library	eBook Subscriptions	Book / Publication / Subscription	Negotiated
31.	Elsmere Education Inc	\$ 864,000	NC	OKC Graduate Center Project	Design / Development Services	Professional Services	Competed

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
32.	Emergency Medical Physicians of Tulsa County PLLC	\$ 117,000	Tulsa	Department of Pediatrics	Estimated Costs - Teaching Services - FY 2018	Professional Services	Negotiated
33.	Envisions Inc	\$ 120,470	NC	Research Services	Software License / Maintenance	IT Product / Supply / Service	Negotiated
34.	Fifth Ring	\$ 174,000	NC	Energy Exutive MBA Program	Program Advancement / Publication	Professional Services	Negotiated
35.	Freestyle Production	\$ 51,978	NC	Price College of Business	NPR Advertising	Advertising	Negotiated
36.	Global Well Control	\$ 200,000	NC	College of Continuing Education	Accreditation Training	Professional Services	Negotiated
37.	Gobi Library Solutions	\$ 130,000	NC	Library	Serials Subscription	Book / Publication / Subscription	Competed
38.	Gobi Library Solutions	\$ 1,000,000	NC	Library	Access to Library Monographs	Book / Publication / Subscription	Competed
39.	Graystone Group Advertising	\$ 100,000	NC	Human Resources	Estimated Costs - Job Advertising - FY 2018	Advertising	Negotiated
40.	Greater Western Library Alliance	\$ 300,000	NC	Library	Access to 36 Research Library Databases	Book / Publication / Subscription	Negotiated
41.	Hilton Ft Worth Hotel	\$ 150,000	NC	CCE Business & Economic Development	Conference Support Services	Conferences / Special Events	Competed
42.	Hobsons Inc	\$ 70,350	NC	College of Continuing Education	Customer Relationship Management System Renewal	IT Product / Supply / Service	Negotiated
43.	Hobsons Inc	\$ 69,216	NC	College of Law	Professional Services	Professional Services	Negotiated
44.	Human Capital Research Corporation	\$ 100,000	NC	Prospective Student Services	Consulting	Professional Services	Negotiated
45.	Huntington Business Systems	\$ 72,000	HSC	Information Technology	Hosting Services for Time and Attendance System	IT Product / Supply / Service	Competed
46.	Huron Consulting Group LLC	\$ 170,000	HSC	Provost Office	Consulting	Professional Services	Competed
47.	Huron Consulting Group LLC	\$ 58,066	HSC	Provost Office	Consulting - Software Implementation	Professional Services	Competed
48.	Huron Consulting Group LLC	\$ 224,389	NC	Administration & Finance	Lab Space Assessment	Professional Services	Competed
49.	Huron Consulting Group LLC	\$ 280,000	NC	Administration & Finance	Service Center Assessment	Professional Services	Competed

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
50.	Ironwolf Building Inc	\$ 66,035	NC	Real Estate	Lease Space - 1600 N Main St Noble, OK	Lease / Rent Equipment	Negotiated
51.	John A Marshall	\$ 102,358	NC	Graduation Office	Furnishings - Student Financial Counseling Center	Furniture	Competed
52.	Johnson Controls	\$ 60,000	Tulsa	Operations	Installation / Repairs - HVAC System	Maintenance / Industrial Equipment	Negotiated
53.	JSTOR	\$ 175,000	NC	Library	Database Access to Scholarly Sources	Book / Publication / Subscription	Negotiated
54.	Kindercare Education at Work	\$ 87,076	NC	Real Estate	Lease Space - 1205 W Boyd St	Lease / Rent Equipment	Negotiated
55.	Language Lince Services	\$ 240,000	NC	College of Continuing Education	Estimated Costs - Translation Services - FY 2018	Professional Services	Competed
56.	Languageline Solutions	\$ 240,000	NC	CCE TC Contact Center	Translation Service - Call Center	Professional Services	Competed
57.	McAfee & Taft	\$ 200,000	NC	Administration & Finance	Estimated Costs - Legal Services - FY 2018	Professional Services	Negotiated
58.	McGovern Education Group	\$ 900,000	NC	College of Continuing Education	Estimated Costs - Student Recruiting Services - FY 2018	Professional Services	Negotiated
59.	McGraw-Hill Global Education Hold LLC	\$ 330,369	HSC	Library	Medical Book Online Library	Book / Publication / Subscription	Negotiated
60.	McGraw-Hill Global Education Hold LLC	\$ 168,750	NC	Provost Office	Program for Student Placements in Mathematics	Professional Services	Negotiated
61.	Medex Insurance Services Inc	\$ 100,000	NC	College of International Studies	Estimated Costs - Student Medical Insurance - FY 2018	Insurance	Competed
62.	New World Now	\$ 204,595	NC	Center for Early Childhood Development	Software License / Maintenance	IT Product / Supply / Service	Negotiated
63.	Nike USA Inc	\$ 71,710	NC	School of Music	Apparel - Pride of Oklahoma	Uniforms / Apparel / Linens	Negotiated

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
64.	Norman Ind Complex LLX	\$ 162,514	NC	College of Continuing Education	Space Lease - 1200 W Rock Creek Road Suite A	Lease / Rent Property	Negotiated
65.	Norman Ind Complex LLX	\$ 202,198	NC	College of Continuing Education	Space Lease - 1200 W Rock Creek Road Suites C & D	Lease / Rent Property	Negotiated
66.	Norman Ind Complex LLX	\$ 75,192	NC	College of Continuing Education	Space Lease - 128 W Rock Creek Road	Lease / Rent Property	Negotiated
67.	Norman Transcript	\$ 150,000	NC	Public Affairs	FY 18 Ad Costs - Special Events	Advertising	Negotiated
68.	OCLC Inc	\$ 120,000	NC	Library	Serials Subscription	Book / Publication / Subscription	Negotiated
69.	OCLC Inc	\$ 200,000	NC	Library	Access to Worldcat Database	Book / Publication / Subscription	Negotiated
70.	Oklahoman	\$ 200,000	NC	Public Affairs	FY 18 Ad Costs - Special Events	Advertising	Negotiated
71.	Old Pecan Street Ent	\$ 98,600	NC	College of Atmospheric and Geographic Sciences	Conference Support Services	Conferences / Special Events	Negotiated
72.	Performance Dashboard	\$ 58,800	NC	College of Continuing Education	Strategic Planning Services	Professional Services	Negotiated
73.	Perinatal Center of Oklahoma PLLC	\$ 120,000	Tulsa	OB/GYN	Professional and Educational Services	Professional Services	Negotiated
74.	Proquest, LLC	\$ 400,000	NC	Library	Access to Microform Publications and Databases	Book / Publication / Subscription	Negotiated
75.	PS Websolution Inc	\$ 108,700	HSC	Provost Office	Consulting - Software Implementation	Professional Services	Competed
76.	Rittenhouse Book Distributors Inc	\$ 63,873	HSC	Library	Electronic Books	Book / Publication / Subscription	Negotiated
77.	Robert Half Technology	\$ 142,800	NC	College of Law	Temporary IT Staffing	Professional Services	Competed
78.	Sandestin Golf and Beach Resort	\$ 75,000	NC	College of Continuing Education	Conference Support Services	Conferences / Special Events	Competed
79.	Scott Rice	\$ 57,249	NC	Library	Furnishings - Peggy V. Helmerich Learning Center	Furniture	Competed
80.	Scott Rice	\$ 72,404	NC	Mewbourne College of Earth and Energy	Furnishings - Student Areas	Furniture	Competed
81.	Sheraton Midwest City at the Reed Center	\$ 60,000	NC	College of Continuing Education	Conference Support Services	Conferences / Special Events	Competed

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
82.	SHI International Corp	\$ 244,430	HSC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
83.	Specialty Underwriters LLC	\$ 64,633	HSC	Cell Biology	Equipment Maintenance Agreement	Lab / Medical / Research Equipment	Competed
84.	Staplegun Design Inc	\$ 55,500	NC	CCE Marketing & Communication	Campaign Concept Development	Professional Services	Competed
85.	Staplegun Design Inc	\$ 75,000	NC	College of Continuing Education	Media Placement	Advertising	Negotiated
86.	Technolutions	\$ 100,000	NC	Admissions and Recruitment	Software License / Maintenance	IT Product / Supply / Service	Competed
87.	Teton Data Systems	\$ 257,696	HSC	Library	Online Books	Book / Publication / Subscription	Negotiated
88.	Thermo Electron North America LLC	\$ 66,202	NC	College of Engineering	Spectrometer	Lab / Medical / Research Equipment	Negotiated
89.	Thomson Reuters Sci Inc	\$ 178,000	NC	Law Center Library	Book / Subscription - Monographs	Book / Publication / Subscription	Negotiated
90.	Thomson Reuters Sci Inc	\$ 400,000	NC	Library	Database Access to Scholarly Sources	Book / Publication / Subscription	Negotiated
91.	Truescreen Inc.	\$ 75,000	NC	Human Resources	Estimated Costs - Background Checks - FY 2018	Professional Services	Competed
92.	Tulsa World	\$ 150,000	NC	Public Affairs	FY 18 Ad Costs - Special Events	Advertising	Negotiated
93.	Turnitin	\$ 61,965	NC	Provost	Software - Academic Integrity	IT Product / Supply / Service	Negotiated
94.	VTA OK City LLC	\$ 183,775	NC	College of Continuing Education	Space Lease - 2401 NW 23rd OKC Suite 19	Lease / Rent Property	Negotiated
95.	VTA OK City LLC	\$ 197,021	NC	College of Continuing Education	Space Lease - 2401 NW 23rd OKC Suite 2	Lease / Rent Property	Negotiated
96.	Waste Management	\$ 58,441	HSC	Custodial Services	Waste Disposal	Non-Professional Services	Competed
97.	Whelan Security Co	\$ 185,000	NC	Library	Security Services	Non-Professional Services	Competed
98.	William S Hein & Co Inc	\$ 62,000	NC	Law Center Library	Book / Subscription - Monographs	Book / Publication / Subscription	Negotiated

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

Supplier	Amount	Campus	Department	Explanation	Category	Method
Funding Sources: Non-Educational & General (Non-Appropriated, Service Departments, Auxiliary Enterprises, Clinic Operations)						

99.	329 Partners 11 Ltd	\$ 150,000	NC	Information Technology	Space Lease - 329 & 331 W Boyd Suite 100 & 200	Lease / Rent Property	Negotiated
100.	3M Company	\$ 96,260	HSC	Radiological Services	Billing Code Software Maintenance	IT Product / Supply / Service	Competed
101.	A Affordable Design	\$ 100,000	NC	Printing Services	T-Shirts / Novelties - As Needed / Ordered by Departments	Non-Professional Services	Negotiated
102.	Accuview	\$ 53,820	NC	Athletics	TV Board Repair	Maintenance / Industrial Equipment	Negotiated
103.	ACGME	\$ 263,000	HSC	College of Medicine Dean's Office	GME Annual Accreditation Fee	Professional Services	Negotiated
104.	Advent	\$ 618,836	NC	Price College of Business	Signage / Branding - MBA Program	Professional Services	Competed
105.	Agilent Technologies	\$ 52,488	NC	Stephenson Research & Technology Center	Research Equipment	Lab / Medical / Research Equipment	Negotiated
106.	AHI Facility Services	\$ 441,000	HSC	General Services	Cleaning Services - Various Buildings - Research Parkway	Non-Professional Services	Competed
107.	AHI Facility Services	\$ 96,882	HSC	General Services	Cleaning Services - Two Clinics	Non-Professional Services	Competed
108.	AHI Facility Services	\$ 97,949	HSC	General Services	Estimated Costs - Cleaning Services - FY 2018	Non-Professional Services	Competed
109.	Airport Express	\$ 93,600	NC	Student Affairs Administrative Office	Estimated Costs - Saferide Program - FY 2018	Non-Professional Services	Competed
110.	Airport Express	\$ 93,600	NC	Student Affairs Administrative Office	Saferide Program	Non-Professional Services	Competed
111.	Alert Service Inc.	\$ 300,000	NC	Athletics	Estimated Costs - Medical Supplies - FY 2018	Lab / Medical / Research Supplies	Competed

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
112.	Alko Enterprises Inc	\$ 201,180	Tulsa	Clinical Information Systems	Vital Signs Monitors	Lab / Medical / Research Equipment	Competed
113.	Allscripts Healthcare LLC	\$ 75,000	Tulsa	OU Physicians	Electronic Claims Processing	IT Product / Supply / Service	Negotiated
114.	Allscripts Healthcare LLC	\$ 120,000	Tulsa	OU Physicians	Billing Processing	IT Product / Supply / Service	Negotiated
115.	Anixter Inc	\$ 400,000	HSC	Information Technology	Estimated Cost - Telecom Repair Parts - FY 2018	Maintenance / Industrial Supply	Competed
116.	AOS, LLC	\$ 70,854	NC	Information Technology	Network Support Renewal	IT Product / Supply / Service	Competed
117.	Apple Inc.	\$ 100,000	HSC	Information Technology	Apple Products	IT Product / Supply / Service	Competed
118.	Arthur J Gallagher RMS Inc	\$ 53,865	NC	Athletics	Athletics Student Health Insurance	Insurance	Competed
119.	Asp Street Investments	\$ 119,691	NC	Real Estate	Space Lease - 765 Asp Avenue - Six Suites	Lease / Rent Property	Negotiated
120.	Asp Street Investments	\$ 56,543	NC	Real Estate	Space Lease - 765 Asp Avenue - Suite 130	Lease / Rent Property	Negotiated
121.	AT&T	\$ 100,000	HSC	Information Technology	Cell Phone Services	IT Product / Supply / Service	Competed
122.	AT&T Oklahoma	\$ 230,000	HSC	Information Technology	Estimated Costs - Emergency Services - FY 2018	IT Product / Supply / Service	Competed
123.	AT&T Oklahoma	\$ 67,500	Tulsa	Voice Services	Telecomm Smart Trunks	Communication / Utility Services	Competed
124.	Athletic Recovery Zone	\$ 100,000	NC	Athletics	Athletic Equipment Rental FY 2018	Lab / Medical / Research Equipment	Negotiated
125.	Automated Building Systems Inc	\$ 391,533	NC	Facilities Management	Temperature Control / Energy Management System Maintenanc	Maintenance / Industrial Equipment	Competed
126.	Automated Building Systems Inc	\$ 380,982	NC	Facilities Management	Pneumatic Equipment - Catlett Music Center	Maintenance / Industrial Equipment	Competed
127.	Bank of Springfield	\$ 124,702	NC	Printing Services	Equipment Lease	Lease / Rent Equipment	Negotiated

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
128.	BankFirst	\$ 66,000	HSC	OU Physicians	Lockbox Rental	Lease / Rent Property	Competed
129.	Best Western Plus Norman	\$ 100,000	NC	College of Continuing Education	Conference Support Services - Estimated Need FY18	Conferences / Special Events	Competed
130.	Bill Veazey's Party Store	\$ 110,000	NC	Athletics	Furnishings Rental	Lease / Rent Equipment	Competed
131.	Blackboard Inc	\$ 168,488	NC	Housing & Food	Software License / Maintenance	IT Product / Supply / Service	Negotiated
132.	Blackboard Inc	\$ 285,000	NC	Housing & Food	Sooner Card Door Access Software	IT Product / Supply / Service	Negotiated
133.	Caddell and Co LLC	\$ 169,588	NC	Facilities Management	Renovation Project - Student Housing	Building / Ground Improvements	Competed
134.	Caddell Investments	\$ 57,021	NC	Real Estate	Space Lease - 3517 Wellsite Drive Suite 153 and 163	Lease / Rent Property	Negotiated
135.	Camelot Facility Management Solutions	\$ 100,000	HSC	OU Physicians	Estimated Costs - Janitorial / Maintenance Services - FY 2018	Non-Professional Services	Competed
136.	Capitol Consultants	\$ 60,000	NC	Budget Office	Professional Services	Professional Services	Negotiated
137.	Carpet Store	\$ 142,973	NC	Facilities Management	Estimated Costs - Carpet Replacement for Residential Centers - FY 2018	Building / Ground Improvements	Competed
138.	Carter Chevrolet	\$ 52,420	HSC	General Services	Vehicles	Vehicles / Transportation	Competed
139.	Cascade Orthopedic Supply Inc.	\$ 150,000	HSC	OU Physicians	Estimated Costs - Othotics & Prosthetics - FY 2018	Lab / Medical / Research Supplies	Negotiated
140.	Cascade Water Service Inc	\$ 56,600	NC	Facilities Management	Water Treatment Services	Maintenance Agreement / Services	Competed
141.	Cayan LLC	\$ 55,000	NC	Information Technology	Credit Card Services - IT Store	IT Product / Supply / Service	Negotiated
142.	CDW Government Inc	\$ 263,456	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
143.	CDW Government Inc	\$ 50,982	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
144.	CDW Government Inc	\$ 94,020	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
145.	Centurion Medical Products	\$ 60,000	HSC	OU Physicians	Estimated Costs - Dressing Trays / IV Starts - FY 2018	Lab / Medical / Research Supplies	Competed
146.	Change Healthcare Communications LLC	\$ 288,000	HSC	OU Physicians	Statement Processing	Non-Professional Services	Competed
147.	Chickasaw Telecom Inc	\$ 53,614	Tulsa	Projects	Smartnet Maintenance	communication / Utility Services	Competed
148.	Chickering & Son Concrete, Inc.	\$ 100,000	HSC	Site Support	Concrete Repairs Multiple Campus Locations	Building / Ground Improvements	Competed
149.	Citrix Systems Inc	\$ 99,648	HSC	OU Physicians	Software License / Maintenance	IT Product / Supply / Service	Negotiated
150.	Citrix Systems Inc	\$ 55,638	Tulsa	Medical Informatics	Software License / Maintenance	IT Product / Supply / Service	Negotiated
151.	City of Oklahoma City	\$ 215,660	HSC	Research Parkway	Estimated Costs - Utilities - FY 2018	Non-Professional Services	Negotiated
152.	Clear Channel Airports	\$ 120,000	NC	Administration & Finance	Advertising	Advertising	Negotiated
153.	Colonnade Group	\$ 310,000	NC	Athletics	Estimated Costs - Concierge Services Premium Stadium Areas - FY 2018	Non-Professional Services	Negotiated
154.	Communications Supply Corp	\$ 400,000	HSC	Information Technology	Telecom Merchandise	IT Product / Supply / Service	Competed
155.	CompHealth	\$ 164,450	HSC	College of Medicine	Locum Tenens (Temporary Physician Services)	Professional Services	Negotiated
156.	Concur Technologies	\$ 200,000	NC	Administration & Finance	Software License / Maintenance	IT Product / Supply / Service	Competed

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
157.	Contemporary Services Corporation	\$ 1,000,000	NC	Athletics	Estimated Cost - Security / Ticket Taker Services - FY 2018	Non-Professional Services	Completed
158.	Continental Airlines	\$ 777,000	NC	Athletics	Football Air Charter 2017 Season	Travel / Registrations	Completed
159.	Continental Airlines	\$ 535,000	NC	Athletics	Air Charter Men's Basketball	Travel / Registrations	Completed
160.	Continental Airlines	\$ 302,200	NC	Band	Charter Flights	Travel / Registrations	Completed
161.	Convergeone Inc	\$ 300,000	HSC	Information Technology	Telecom Merchandise	IT Product / Supply / Service	Completed
162.	Convergeone Inc	\$ 94,418	HSC	Voice Services	Call System License / Maintenance	Communication / Utility Services	Completed
163.	Cox Communications	\$ 500,000	HSC	Information Technology	Estimated Costs - Fibernet Service - FY 2018	Communication / Utility Services	Completed
164.	CSC Service Works	\$ 115,000	NC	Housing & Food	Laundry Equipment	Maintenance / Industrial Equipment	Completed
165.	Cushing Malloy	\$ 100,000	NC	University of Oklahoma Press	Printing, Binding, Book Manufacturing	Professional Services	Completed
166.	CXTEC	\$ 200,000	HSC	Information Technology	Telecom Merchandise	IT Product / Supply / Service	Completed
167.	Cyber Optics	\$ 339,040	NC	Information Technology	Cabling Services	IT Product / Supply / Service	Completed
168.	Daktronics	\$ 118,803	NC	Athletics	Womens Basketball Gym Video Board	Maintenance / Industrial Equipment	Negotiated
169.	Daktronics	\$ 202,935	NC	Athletics	Service Agreement - Scoreboard	Maintenance / Industrial Equipment	Negotiated
170.	Daktronics Inc	\$ 385,051	NC	Athletics	Estimated Costs - Baseball LED Video Board - FY 2018	Maintenance / Industrial Equipment	Negotiated
171.	Delco Electric, Inc.	\$ 298,000	HSC	Site Support	Gear Replacement Steam & Chilled Water Plant	Maintenance / Industrial Equipment	Completed
172.	Delcom Group LP	\$ 366,000	NC	Information Technology	Estimated Cost - Leased Equipment - FY 2018	IT Product / Supply / Service	Completed

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
173.	Dell Marketing LP	\$ 65,466	Tulsa	Information Technology	Lease - IT Equipment	IT Product / Supply / Service	Competed
174.	Devicor Medical Products Inc	\$ 103,600	HSC	Breast Health Institute	Lease - Mammogram Equipment	Lease / Rent Equipment	Negotiated
175.	DLT Solutions	\$ 63,080	HSC	Information Technology	Linux and Satellite Server	IT Product / Supply / Service	Competed
176.	Dowell Properties Inc	\$ 89,048	NC	Real Estate	Space Lease - 1816 West Lindsey Suites 100 & 150	Lease / Rent Property	Negotiated
177.	Eastman Kodak Co	\$ 200,000	NC	Printing Services	Equipment Maintenance	Maintenance / Industrial Equipment	Negotiated
178.	Eastman Kodak Co	\$ 75,000	NC	Printing Services	Equipment Maintenance	Maintenance / Industrial Equipment	Negotiated
179.	ECG Management Consultants	\$ 940,800	HSC	OU Physicians	Group Practice Integration Consulting	Professional Services	Negotiated
180.	Educational Computer Systems, Inc	\$ 150,000	NC	Bursar	Loan Servicing	Professional Services	Negotiated
181.	Edwards Brothers Malloy	\$ 200,000	NC	University of Oklahoma Press	Printing, Binding, Book Manufacturing	Professional Services	Competed
182.	EM Sports Solutions	\$ 115,000	NC	Athletics	Estimated Costs - Medical Services FY 2018	Professional Services	Negotiated
183.	Empoloyers Direct Health	\$ 800,000	NC	Athletics	Claims Processing - Student Athletics	Professional Services	Competed
184.	Encore Professional Medical Services LLC	\$ 70,000	HSC	OU Physicians	Transcription Services	Non-Professional Services	Competed
185.	Encore Professional Medical Services LLC	\$ 70,000	HSC	OU Physicians	Transcription Services	Non-Professional Services	Competed
186.	ENT FM Trust	\$ 250,000	NC	Fleet Services	Vehicle Leases - FY 2018	Lease / Rent Equipment	Competed
187.	Erling and Associates	\$ 60,000	Tulsa	Administration & Finance	Legal Consulting Services	Professional Services	Negotiated
188.	Evertz	\$ 149,550	NC	Athletics	SoonerVision Expansion Equipment / Software	IT Product / Supply / Service	Negotiated
189.	EVS Broadcast Equipment Inc	\$ 137,914	NC	Athletics	HD/SD Server	IT Product / Supply / Service	Negotiated

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
190.	FedEx Corporation	\$ 90,000	NC	Athletics	Estimated Shipping Costs - FY 2018	Non-Professional Services	Competed
191.	Ford Audio Video Systems LLC	\$ 155,953	NC	Athletics	Sooner Vision Equipment	IT Product / Supply / Service	Competed
192.	Ford Audio Video Systems LLC	\$ 292,130	NC	Athletics	Camera System	IT Product / Supply / Service	Competed
193.	Four Color Import Ltd	\$ 90,000	NC	University of Oklahoma Press	Printing, Binding, Book Manufacturing	Professional Services	Competed
194.	GE Healthcare	\$ 60,745	HSC	OU Physicians	Maintenance - Ultrasound Equipment	Lab / Medical / Research Equipment	Negotiated
195.	GE Healthcare IITS USC Corp	\$ 291,504	HSC	OU Physicians	Open Referrals Transaction Editing System License	IT Product / Supply / Service	Negotiated
196.	GE Healthcare ITS USA Corp	\$ 132,000	HSC	OU Physicians	Electronic Data Interchange Services	IT Product / Supply / Service	Negotiated
197.	General Electric	\$ 90,000	NC	Housing & Food	Appliance Replacement - Student Residences	Maintenance / Industrial Equipment	Competed
198.	Global Security	\$ 80,000	NC	Athletics	Parking Security	Non-Professional Services	Competed
199.	Graybar	\$ 83,978	NC	Information Technology	IT Products / Supplies	IT Product / Supply / Service	Competed
200.	Graybar Electric Company Inc.	\$ 150,000	HSC	Information Technology	Telecom Merchandise	IT Product / Supply / Service	Competed
201.	Halo Branded Solutions, Inc	\$ 200,000	HSC	OU Physicians	Estimated Costs - Marketing Items - FY 2018	Advertising	Competed
202.	Haskell Lemon Construction	\$ 237,766	NC	Facilities Management	Remove Asphalt	Maintenance Agreement / Services	Competed
203.	Healthcare IP LLC	\$ 102,000	HSC	OU Physicians	Claims Processing	Non-Professional Services	Competed
204.	Heartline Inc.	\$ 165,000	HSC	Stephenson Cancer Center	Screening Services	Non-Professional Services	Negotiated

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
205.	Heidelberg USA Inc	\$ 75,000	NC	Printing Services	Repair Parts - Printing Press	Maintenance / Industrial Supply	Negotiated
206.	Henry Schein Inc.	\$ 56,040	HSC	College of Dentistry	X-Ray Sensor	Lab / Medical / Research Equipment	Negotiated
207.	Hibbs Funeral Home	\$ 127,600	HSC	College of Medicine Dean's Office	Transportation / Related Services	Professional Services	Competed
208.	Hilton New Orleans Riverside Hotel	\$ 628,000	NC	Center for Public Management	Conference Support Services	Conferences / Special Events	Competed
209.	Hologic, Inc.	\$ 144,144	HSC	Breast Health Institute	Equipment Maintenance	Maintenance Agreement / Services	Negotiated
210.	Horiba Scientific Inc	\$ 52,998	NC	Stephenson Research & Technology Center	Lab / Research Equipment	Lab / Medical / Research Equipment	Negotiated
211.	Hudiburg Auto Group - Chevrolet	\$ 108,728	NC	Fleet Services	Four Chevy One-ton Cargo Vans	Vehicles / Transportation	Competed
212.	Hunzicker Brothers	\$ 144,190	HSC	Site Support	Estimated Costs - Light Bulbs / Fixture - FY 2018	Maintenance / Industrial Supply	Competed
213.	Huron Consulting Group LLC	\$ 151,460	HSC	Information Technology	Effort Reporting Software License	IT Product / Supply / Service	Negotiated
214.	ICWUSA Com Inc.	\$ 99,830	Tulsa	Family Medicine	Wall Mount Technology Stations	IT Product / Supply / Service	Competed
215.	Imagenation Promotional Group Inc	\$ 250,000	HSC	OU Physicians	Estimated Costs - Marketing Items - FY 2018	Advertising	Competed
216.	Imagenation Promotional Group Inc	\$ 100,000	NC	Printing Services	T-Shirts / Novelties - As Needed / Ordered by Departments	Non-Professional Services	Negotiated
217.	IMG Learfield Ticket Solutions LLC	\$ 850,000	NC	Athletics	Estimated Costs - Ticket Sales & Renewals - FY 2018	Professional Services	Competed
218.	Infusystem	\$ 171,600	HSC	Stephenson Cancer Center	Infusion Pump Rentals	Lab / Medical/ Research Supplies	Negotiated
219.	Innovative Mechanical, LLC	\$ 100,000	HSC	Research Parkway	Estimated Costs - Emergency Energy Management Repairs - FY 2018	Maintenance Agreement / Services	Negotiated

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
220.	Innovive LLC	\$ 100,956	HSC	Facilities Management & Capital Planning	Racks for Enclosures	Lab / Medical / Research Supplies	Competed
221.	International Business Machines Inc	\$ 255,000	NC	Administration & Finance	Consulting Engagement - IT Department Assessment	Professional Services	Competed
222.	Internet2	\$ 353,600	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
223.	IPGI - Imagination Promotional Group	\$ 100,000	NC	Information Technology	Estimated Advertising - IT Store - FY 2018	Advertising	Competed
224.	Ipromoteou.com	\$ 150,000	NC	Athletics	Estimated Costs - Marketing Items - FY 2018	Non-Professional Services	Competed
225.	ISS Facility Services Inc	\$ 350,000	NC	Athletics	Facilities Cleaning	Non-Professional Services	Competed
226.	Jackson Boiler & Tank Co	\$ 110,000	HSC	Research Parkway	Estimated Costs - Boiler Repairs - FY 2018	Maintenance / Industrial Equipment	Negotiated
227.	Jani King of OKC Inc	\$ 280,000	NC	Athletics	Estimated Costs - Cleaning Services - FY 2018	Non-Professional Services	Competed
228.	Johnson Controls	\$ 136,000	HSC	Site Support	Estimated Costs - Maintenance - Various Buildings Research Parkway - FY 2018	Maintenance / Industrial Supply	Competed
229.	Johnson Controls	\$ 94,342	NC	Facilities Management	HVAC Upgrade to Kaufman Hall	Building / Ground Improvements	Competed
230.	Jostens	\$ 115,000	NC	Athletics	Estimated Cost - Sports Awards - FY 2018	Non-Professional Services	Competed
231.	Kaltura Inc	\$ 104,326	NC	Information Technology	Audio/Video Hardware / Maintenance	IT Product / Supply / Service	Negotiated
232.	Lamar Central Outdoor Advertising	\$ 75,000	NC	Athletics	Billboard Advertising	Advertising	Negotiated
233.	Lamar Central Outdoor Advertising	\$ 75,000	NC	Athletics	Billboard Advertising	Advertising	Negotiated

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
234.	LandCare	\$ 95,665	Tulsa	Operations & Staff Support	Estimated Costs - Grounds Maintenance Services - FY 2018	Building / Ground Improvements	Competed
235.	Language Access Network LLC	\$ 162,000	HSC	OU Physicians	Estimated Costs - Translation / Interpretation Services - FY 18	Professional Services	Negotiated
236.	Languageline Solutions	\$ 51,600	HSC	Information Technology	Telephone Translation Services	IT Product / Supply / Service	Competed
237.	Lightening Source	\$ 92,000	NC	University of Oklahoma Press	Printing, Binding, Book Manufacturing	Professional Services	Negotiated
238.	Lightning Source Inc	\$ 100,000	NC	OU Press	Printing, Binding, Book Manufacturing	Professional Services	Negotiated
239.	M&M Wrecking	\$ 220,000	NC	Facilities Management	Demolition Services	Building / Ground Improvements	Competed
240.	Marketing Communication Resource	\$ 90,000	NC	Development	Estimated Cost - Call Center - FY 2018	Professional Services	Competed
241.	Marriott North Ft Lauderdale	\$ 120,000	NC	College of Continuing Education	Conference Support Services	Conferences / Special Events	Competed
242.	Martin Capital	\$ 158,820	NC	Real Estate	Space Lease - 1821 East Imhoff Road	Lease / Rent Property	Negotiated
243.	Mathworks Inc	\$ 83,141	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Negotiated
244.	Mckesson Medical Surgical Inc	\$ 75,000	Tulsa	Surgery Procedure Center	Medical Supplies	Lab / Medical / Research Supplies	Competed
245.	McKesson Plasma and Biologics	\$ 912,000	HSC	Stephenson Cancer Center	Infusion Pharmacy Supplies	Lab / Medical / Research Supplies	Negotiated
246.	Medline Industries, Inc.	\$ 55,000	HSC	OU Children's Pharmacy	Flu Vaccines	Lab / Medical / Research Supplies	Competed
247.	Mercy Hospital	\$ 72,275	HSC	College of Pharmacy	Program Coordinator and Adjunct Faculty	Professional Services	Negotiated
248.	Merlin One	\$ 69,800	NC	Athletics	Digital Asset Management System	IT Product / Supply / Service	Negotiated
249.	Microsoft Inc	\$ 167,820	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Negotiated

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
250.	Midcon Data Services LLC	\$ 102,500	HSC	College of Medicine	Medical Records Storage	IT Product / Supply / Service	Competed
251.	Mingle Analytics Inc.	\$ 70,200	HSC	OU Physicians	Analytical Services	Non-Professional Services	Negotiated
252.	Mister Robert Inc	\$ 75,000	NC	Architectural & Engineering Services	Furniture and Accessories for LL2	Furniture	Competed
253.	Mister Robert Inc	\$ 76,224	NC	Architectural & Engineering Services	Furniture and Accessories for Stadium South End Zone	Furniture	Competed
254.	Morton Comprehensive Health Services, Inc.	\$ 73,500	Tulsa	Medical Informatics	Case Management Services	Professional Services	Negotiated
255.	Musco Sports Lighting LLC	\$ 180,000	NC	Athletics	LED Sports Lighting	Building / Ground Improvements	Competed
256.	Napa Auto Parts	\$ 800,000	NC	Parking & Transportation	auto and truck parts	Furniture	Competed
257.	National Center for Employee Development	\$ 150,000	NC	Athletics	Travel Costs ICW Away Football Games	Travel / Registrations	Negotiated
258.	National Public Radio	\$ 280,000	NC	CCE	Satellite / Web Services	Communication / Utility Services	Negotiated
259.	Newgen North America Inc	\$ 55,000	NC	University of Oklahoma Press	Printing, Binding, Book Manufacturing	Professional Services	Competed
260.	Norman Embassy Suites	\$ 50,041	HSC	Pediatrics	Conference Support Services	Conferences / Special Events	Competed
261.	Norman Embassy Suites	\$ 68,218	HSC	Pediatrics	Conference Support Services	Conferences / Special Events	Competed
262.	Nyhart	\$ 95,000	HSC	Human Resources	Actuarial and Employee Benefits	Professional Services	Negotiated
263.	Oklahoma City All Sports Association	\$ 102,844	HSC	OU Physicians	Sponsorship - FY 2018	Advertising	Negotiated
264.	Oklahoma Independent Elevator	\$ 119,420	HSC	Site Support	Estimated Elevator Maintenance - FY 2018	Maintenance / Industrial Equipment	Competed
265.	Oklahoma Roofing	\$ 79,227	HSC	Site Support	Roof Coating 865 Research Parkway	Building / Ground Improvements	Competed
266.	Oklahoma Roofing	\$ 721,950	NC	Facilities Management	Roof Repair - Sarkeys Plaza	Building / Ground Improvements	Competed

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
267.	Oklahoma Roofing	\$ 159,030	NC	Facilities Management	Roof Repair - Cate Center 3	Building / Ground Improvements	Competed
268.	Omni Mandalay Hotel at Las Colinas	\$ 53,293	NC	Admissions and Recruitment	Conference Support Services	Conferences / Special Events	Competed
269.	Optiv Security	\$ 86,000	HSC	Information Technology	Enterprise Security Platform	IT Product / Supply / Service	Competed
270.	Optiv Security	\$ 149,116	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
271.	Paciolan System	\$ 425,000	NC	Athletics	Software License / Maintenance - Ticketing System	IT Product / Supply / Service	Competed
272.	Payflex Systems USA, Inc.	\$ 60,000	HSC	Human Resources	Retiree/COBRA Billing Services	Non-Professional Services	Negotiated
273.	Performance Consulting LLC	\$ 54,000	NC	Price College of Business	Executive career coach for EMBA Classes	Professional Services	Negotiated
274.	Petroleum Traders Corp	\$ 145,000	HSC	General Services	Estimated Costs - Unleaded Fuel - FY 2018	Chemicals	Competed
275.	Phi Kappa Sigma Bldg Assn	\$ 210,000	NC	Real Estate	Space Lease - 736 Elm Avenue	Lease / Rent Property	Negotiated
276.	Philips Healthcare	\$ 75,240	HSC	Perinatal Diagnostic Center	Maintenance - Ultrasound Equipment	Lab / Medical / Research Equipment	Negotiated
277.	Pie System International	\$ 192,000	NC	Facilities Management	Engineering Services	Professional Services	Negotiated
278.	Ping Identity	\$ 245,043	NC	Information Technology	Workforce Identity Software	IT Product / Supply / Service	Competed
279.	Power Washing Extreme	\$ 75,000	NC	Athletics	Estimated Costs - Power Washing - Athletics Venues FY 2018	Non-Professional Services	Competed
280.	Premier Parking	\$ 70,000	HSC	College of Medicine	Parking Validation Books	Office Supplies / Materials	Negotiated
281.	Premier Parking	\$ 120,000	HSC	Parking & Transportation	Premier Employee Parking Locations	Non-Professional Services	Negotiated
282.	Premier Parking	\$ 93,951	HSC	Stephenson Cancer Center	Valet Parking Services	Non-Professional Services	Negotiated
283.	Presort First Class	\$ 120,000	NC	University Printing Services	FY 18 Presort Costs	Non-Professional Services	Competed

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
284.	Press Ganey Associates Inc.	\$ 244,800	HSC	OU Physicians	Estimated Costs - Patient Surveys - FY 2018	Professional Services	Competed
285.	Press Ganey Associates Inc.	\$ 113,145	Tulsa	OU Physicians	Patient Satisfaction Surverys	Professional Services	Competed
286.	Presto X	\$ 186,705	NC	Housing & Food	Pest Control Services	Non-Professional Services	Competed
287.	Pro Presenters LLC	\$ 77,359	NC	Housing & Food	TVs for Residential Colleges	IT Product / Supply / Service	Competed
288.	Pro Presenters LLC	\$ 80,000	NC	Housing & Food	TV and DVD Players for Residential Spaces	IT Product / Supply / Service	Competed
289.	Professional Turf Products LP	\$ 77,296	NC	OU Jimmie Austin Golf Club	Turf Maintenance Equipment	Maintenance / Industrial Equipment	Negotiated
290.	Promega Corporation	\$ 55,579	HSC	College of Medicine Genetics Lab	DNA Isolation Stations	Lab / Medical / Research Equipment	Negotiated
291.	Promega Corporation	\$ 55,579	HSC	College of Medicine Genetics Lab	DNA Isolation Stations	Lab / Medical / Research Equipment	Negotiated
292.	Promos Advertising Production Inc	\$ 200,000	HSC	OU Physicians	Estimated Costs - Marketing Items - FY 2018	Non-Professional Services	Competed
293.	Promos Advertising Production Inc	\$ 200,000	HSC	OU Physicians	Marketing Items	Advertising	Competed
294.	Promos Advertising Production Inc	\$ 150,000	NC	Athletics	Estimated Costs - Marketing Items - FY 2018	Non-Professional Services	Competed
295.	Pulse Supply	\$ 125,000	HSC	Information Technology	Estimated Costs - Parts - FY 2018	IT Product / Supply / Service	Competed
296.	Regional Medical Lab	\$ 60,000	NC	Goddard Health Center	Lab Services	Professional Services	Competed
297.	Restek Inc	\$ 429,500	NC	Facilities Management	Parking Garage Repairs - OMU	Building / Ground Improvements	Competed
298.	RFIP Inc	\$ 286,940	NC	Information Technology	Wireless Equipment for Cross Village	IT Product / Supply / Service	Competed
299.	Riddell All American Sports Corporation	\$ 150,000	NC	Athletics	Football Equipment	Uniforms / Apparel / Linens	Negotiated

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
300.	Rimini Street-	\$ 222,644	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Negotiated
301.	Rising Star Education Inc	\$ 100,000	HSC	College of Medicine	Patient Education Program	Professional Services	Negotiated
302.	RLI Insurance Co	\$ 225,339	NC	Facilities Management	Plans/Install new roof Felgar Hall	Professional Services	Competed
303.	Ronald Gibbs	\$ 60,000	HSC	OB-GYN	Consulting	Professional Services	Negotiated
304.	Ruffalo Noel Levitz	\$ 263,000	NC	Development	Call Center Support	Professional Services	Negotiated
305.	Santa Fe Owner Group	\$ 103,992	NC	College of Continuing Education	Lease Space-ESC 3814 N. Santa Fe OKC	Lease / Rent Equipment	Negotiated
306.	SBS	\$ 151,500	HSC	Parking & Transportation	Estimated Costs - Power Washing - Parking Lots - FY 2018	Non-Professional Services	Competed
307.	Scudder Service & Supply, Inc.	\$ 66,450	HSC	Parking & Transportation	Parking Permit Tags	Maintenance / Industrial Supply	Negotiated
308.	Sequoyah Communications Inc.	\$ 500,000	HSC	Information Technology	Cabling Services	IT Product / Supply / Service	Competed
309.	ServiceNow Inc.	\$ 127,428	HSC	Information Technology	Helpdesk Software Licenses	IT Product / Supply / Service	Negotiated
310.	Sheridan Books	\$ 75,000	NC	University of Oklahoma Press	Printing, Binding, Book Manufacturing	Professional Services	Competed
311.	Sheridan Press Inc	\$ 75,000	NC	Carl Albert Center	Estimated Printing / Shipping Costs World Literature Today - FY 2018	Freight / Shipping / Postage	Negotiated
312.	SHI International Corp	\$ 81,516	HSC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
313.	SHI International Corp	\$ 182,315	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
314.	SHI International Corp	\$ 57,586	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
315.	Sigma Solutions	\$ 1,000,000	HSC	Information Technology	Campus Network Switch Refresh	IT Product / Supply / Service	Competed

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
316.	Sigma Solutions	\$ 55,530	NC	Information Technology	Estimated Costs - Network Repair Parts - FY 2018	IT Product / Supply / Service	Competed
317.	Sigma Solutions	\$ 208,340	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
318.	Sigma Solutions	\$ 315,340	NC	Information Technology	Maintenance - Cisco Network	IT Product / Supply / Service	Competed
319.	Sigma Solutions	\$ 520,927	NC	Information Technology	Network for Cross Village	IT Product / Supply / Service	Competed
320.	Simplex Grinnell, LP	\$ 153,422	HSC	Facility Management & Capital Planning	Alarm / Sprinkler / Hood Inspections	Maintenance / Industrial Equipment	Competed
321.	Simplex Grinnell, LP	\$ 100,000	HSC	Site Support	Estimated Costs - Fire / Sprinkler System Alarm Maintenance - FY 2018	Maintenance / Industrial Equipment	Competed
322.	Simplex Grinnell, LP	\$ 562,611	NC	Housing & Food	Housing- Fire Alarm / Sprinkler Service Maintenance	Maintenance Agreement / Services	Competed
323.	Simplex Grinnell, LP	\$ 577,326	NC	Housing & Food	Facilities- Fire Alarm / Sprinkler Service Maintenance	Maintenance Agreement / Services	Competed
324.	SKC Communication Products, LLC	\$ 196,758	HSC	College of Dentistry	Audio / Video Conference Support Services	IT Product / Supply / Service	Competed
325.	SKC Communication Products, LLC	\$ 75,000	HSC	Information Technology	Telecom Merchandise	IT Product / Supply / Service	Competed
326.	SKM Dev LLC	\$ 561,304	NC	Real Estate	Space Lease - 480 24th Avenue NW Suite 250	Lease / Rent Property	Negotiated
327.	Smartt 730 ASP	\$ 217,268	NC	Athletics	Space Lease - 730 Asp	Lease / Rent Property	Negotiated
328.	Solar Turbines	\$ 600,000	NC	Facilities Management	Service Agreement- for UP4	Maintenance Agreement / Services	Negotiated
329.	Sooner Legends Restaurant and Catering	\$ 100,000	NC	Center for Public Management	Catering - New Worker Training FY18	Conferences / Special Events	Competed
330.	Sooner Sports Properties	\$ 179,132	NC	Athletics	Football / Basketball Advertising	Advertising	Negotiated
331.	Sooner Sports Properties	\$ 101,435	NC	College of Continuing Education	Cornerstone Advertising	Advertising	Negotiated

EXHIBIT H

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
332.	Spectra Ticketing & Fan Engagement	\$ 425,000	NC	Athletics	FY 18 eVenue Fees for Ticketing	IT Product / Supply / Service	Competed
333.	Spectranetics Corporation	\$ 93,000	Tulsa	Surgery Procedure Center	Laser Rental and Fibers for Patient Procedures	Lab / Medical / Research Equipment	Negotiated
334.	Spok Inc	\$ 55,177	HSC	Voice Services	Operator Console License / Maintenance	Communication / Utility Services	Negotiated
335.	SSB Consulting Group	\$ 63,000	NC	Athletics	Database Access to Security Related Materials	IT Product / Supply / Service	Competed
336.	Standard Insurance Co.	\$ 85,800	HSC	College of Medicine Dean's Office	Resident Disability Coverage	Insurance	Negotiated
337.	Statsports North America	\$ 68,000	NC	Athletics	Student Athlete GPS Units	IT Product / Supply / Service	Competed
338.	Step toe & Johnson LLP	\$ 126,000	NC	Administration & Finance	Consulting	Professional Services	Negotiated
339.	Steris Corporation	\$ 61,024	HSC	Research Parkway	Estimated Costs - Planned Equipment Maintenance - FY 2018	Maintenance / Industrial Equipment	Negotiated
340.	Steves Bindery	\$ 150,000	NC	Printing Services	Printing, Binding, Book Manufacturing	Professional Services	Negotiated
341.	STM Charters	\$ 57,200	NC	Athletics	Soccer Team Charters	Travel / Registrations	Competed
342.	Sumtotal System Inc	\$ 196,000	NC	Information Technology	Software License	IT Product / Supply / Service	Competed
343.	Superior Linen Service	\$ 51,300	HSC	Stephenson Cancer Center	Linen Services	Non-Professional Services	Competed
344.	Superior Linen Service	\$ 125,000	NC	Housing & Food	Estimated Costs - Linen Service - FY 2018	Non-Professional Services	Competed
345.	Synergy Telcom Inc.	\$ 75,000	HSC	Information Technology	Telecom Merchandise	IT Product / Supply / Service	Competed
346.	T2 Systems Inc	\$ 65,750	NC	Parking & Transportation	Software License - Flex Enterprise	IT Product / Supply / Service	Negotiated
347.	Teamworks	\$ 84,235	NC	Athletics	Estimated Costs - Student Athlete Assistance Tools - FY 2018	Professional Services	Negotiated

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
348.	Tien Wah Press America Incorporated	\$ 75,000	NC	University of Oklahoma Press	Printing, Binding, Book Manufacturing	Professional Services	Competed
349.	Toucan Production	\$ 72,850	NC	Athletics	Production Lighting Rental	Lease / Rent Equipment	Negotiated
350.	Touchnet Info System Inc	\$ 66,873	HSC	Information Technology	Bill Payment Software Licenses	IT Product / Supply / Service	Negotiated
351.	Touchnet Info System Inc	\$ 243,327	NC	Bursar	Software License / Maintenance	IT Product / Supply / Service	Negotiated
352.	Trails Office Park LLC	\$ 51,120	NC	Real Estate	Space Lease - 3000 South Berry Road Suite 100	Lease / Rent Property	Negotiated
353.	Trails Office Park LLC	\$ 58,422	NC	Real Estate	Space Lease - 3000 South Berry Road Suite 110	Lease / Rent Property	Negotiated
354.	Truescreen Inc.	\$ 100,000	HSC	Human Resources	Estimated Costs - Background Checks - FY 2018	Professional Services	Competed
355.	Truven Health Analytics LLC	\$ 62,021	HSC	College of Pharmacy	Micromedex Database	IT Product / Supply / Service	Negotiated
356.	Tulsa Cash Register Co Inc	\$ 89,400	NC	Housing & Food	Point of Sale Terminals	IT Product / Supply / Service	Negotiated
357.	Unified Merchant Services	\$ 709,000	HSC	Financial Services	Credit Card Services	IT Product / Supply / Service	Competed
358.	United Data Technologies	\$ 94,515	HSC	Information Technology	Commvault Expansion License	IT Product / Supply / Service	Competed
359.	United Data Technologies	\$ 94,515	NC	Information Technology	Software License / Maintenance	IT Product / Supply / Service	Competed
360.	University & Student Services	\$ 355,000	NC	Housing & Food	Residents Move-in Services	Non-Professional Services	Competed
361.	UPPI LLC	\$ 150,000	HSC	Nuclear Pharmacy	Membership - Group Purchasing Organization	Memberships / Dues	Negotiated
362.	USA Shade and Fabric Structures	\$ 86,600	NC	Facilities Management	Covered Parking OUPD	Building / Ground Improvements	Negotiated

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
363.	Versa Press Inc	\$ 70,000	NC	University of Oklahoma Press	Printing, Binding, Book Manufacturing	Professional Services	Competed
364.	VizRT	\$ 61,094	NC	Athletics	Graphics Platform for Broadcasts	IT Product / Supply / Service	Negotiated
365.	Weldon Williams & Lick Inc	\$ 500,000	NC	Athletics	Ticket Printing	Non-Professional Services	Competed
366.	West Interactive Services Corporation	\$ 225,000	HSC	OU Physicians	Appointment Reminder Software	IT Product / Supply / Service	Negotiated
367.	Western Enterprise Inc	\$ 125,000	NC	Athletics	Sporting Event Dynamic Displays	Non-Professional Services	Competed
368.	Whip Mix Corporation	\$ 52,800	HSC	College of Dentistry	Dental Supplies	Lab / Medical / Research Supplies	Negotiated
369.	Willis of Oklahoma Inc.	\$ 130,000	HSC	Human Resources	Employee Benefits Consulting	Professional Services	Competed
370.	Windstream Communications Inc	\$ 68,498	Tulsa	Information Technology	Telephone Maintenance	Maintenance / Industrial Equipment	Competed
371.	XOS Digital Inc	\$ 72,000	NC	Athletics	Video Editing and Distribution Software	IT Product / Supply / Service	Negotiated
372.	Zimmer BioMet Dental	\$ 66,514	HSC	College of Dentistry	Dental Supplies	Lab / Medical / Research Supplies	Negotiated
Funding Sources: Sponsored Projects (Federal, State, Other Grants and Contracts) and Private Funds							
373.	78 Robinson LLC	\$ 164,832	NC	Center for Early Childhood Development	Space Lease - 1801 North Moore Avenue, Moore OK	Lease / Rent Property	Negotiated
374.	Assessment Technologies Institute	\$ 74,510	Tulsa	College of Nursing	Exams for Students	Lab / Medical / Research Supplies	Negotiated
375.	Clinical Drug Information LLC	\$ 109,098	HSC	College of Pharmacy	Drug Database License	IT Product / Supply / Service	Negotiated
376.	Fairfield Inn & Suites Tulsa Central	\$ 53,000	NC	National Resource Center for Youth	Lodging for Participants in CORE Training	Conferences / Special Events	Competed
377.	Frames Animal Transportation	\$ 75,000	HSC	Comparative Medicine	Animal Transport	Non-Professional Services	Competed

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
378.	Gov Connection, Inc.	\$ 82,220	HSC	Information Technology	McAfee Software Licenses	IT Product / Supply / Service	Competed
379.	Huron Consulting Group LLC	\$ 56,700	HSC	Information Technology	Grants Hosting Software Licences	IT Product / Supply / Service	Competed
380.	Lexmark Enterprise Software LLC	\$ 94,827	HSC	Office of the Provost	Transcript Scanning Software	IT Product / Supply / Service	Competed
381.	National Instruments Corporation	\$ 67,664	NC	Advanced Radar Research Center	Mobile Data Collection System	Lab / Medical / Research Equipment	Negotiated
382.	Nikon Instruments Inc.	\$ 168,674	HSC	Cell Biology	Microscope	Lab / Medical / Research Equipment	Competed
383.	Ovid Technologies Inc.	\$ 254,386	HSC	Robert M Bird Library	Medical Database Subscription	IT Product / Supply / Service	Negotiated
384.	PCM	\$ 146,917	NC	School of Meteorology	Data Archive	IT Product / Supply / Service	Competed
385.	Promet Source	\$ 90,130	Tulsa	Center for Clinical Research	Web Development Services	IT Product / Supply / Service	Competed
386.	Qualisys North America Inc.	\$ 52,280	HSC	Physical Therapy	Electromyogram	Lab / Medical / Research Equipment	Negotiated
387.	Rimini Street	\$ 265,801	HSC	Information Technology	PeopleSoft Maintenance and Support	IT Product / Supply / Service	Competed
388.	Staplegun	\$ 225,000	HSC	Department of Health Promotion Science	ONIE Meal Social Marketing Campaign	Professional Services	Competed
389.	Wildcat Technologies, LLC	\$ 191,856	NC	Mewbourne College of Earth and Energy	Rock Evaluation Equipment	Lab / Medical / Research Equipment	Negotiated
390.	Wolters Kluwer Clinical Drug Info Inc	\$ 109,098	HSC	College of Pharmacy	Consulting - Pharmacy Management	Professional Services	Negotiated
391.	Wyatt Technology	\$ 94,367	HSC	Biochemistry & Molecular Biology	Laboratory Equipment	Lab / Medical / Research Equipment	Negotiated
Funding Sources: Construction Projects (Bonds, Gifts)							
392.	Copelins Office Center Inc	\$ 94,219	NC	Architectural & Engineering Services	Furnishings - Residential Colleges	Furniture	Competed
393.	FEI Company	\$ 69,360	NC	Petroleum & Geological Engineering	Maintenance Agreement for Lab Equipment	Maintenance Agreement / Services	Negotiated

EXHIBIT H**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED SEPTEMBER 30, 2017**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
394.	Professional Turf Products LP	\$ 109,173	NC	OU Jimmie Austin Golf Club	Grounds Maintenance Equipment	Maintenance / Industrial Equipment	Negotiated
395.	Professional Turf Products LP	\$ 213,867	NC	OU Jimmie Austin Golf Club	Grounds Maintenance Equipment	Maintenance / Industrial Equipment	Competed
396.	Stolz Telecom LLC	\$ 115,395	NC	Architectural & Engineering Services	First Responder Communication System - Residential Colleges	IT Product / Supply / Service	Negotiated
397.	Thermo Electron North America LLC	\$ 551,391	NC	Chemistry & Biochemistry	Lab/Medical/Research Equipment	E&G	Competed

University of Oklahoma – Norman Campus
Approved Course Changes – November 3, 2017

Prefix /Number	Title	Comments
COURSE CHANGES		
<u>College of Arts and Sciences</u>		
ANTH 3063	Language and Culture	Change Prerequisites
ANTH 3113	Principles of Archaeology	Change Course Description
ANTH 3203	Introduction to Biological Anthropology	Change Course Description
ANTH 4683	Quantitative Data Analysis in the Social Sciences and Anthropology	Change Prerequisites
COMM 4323	Political Communication	Change Prerequisites
ECON 3113	Intermediate Microeconomic Theory	Change Prerequisites
ECON 3133	Intermediate Macroeconomic Theory	Change Prerequisites
ENGL 3103	Topics in Advanced Composition	Change Course Description
ENGL 3113	Nature/Environment/Science Writing	Change Course Description
ENGL 3143	Studies in Literacy and Rhetoric	Change Course Description
ENGL 3183	Authoring in the Information Age	Change Title Change Short Title Change Course Description
ENST 2703	Ecology, Environmental Science, and Environmentalism	Change Title Change Short Title
ENST 3723	Philosophical Issues in Ecological Restoration	Change Title
HIST 3043	Egypt and Mesopotamia	Change Prerequisites
HIST 3093	The United States: Revolution and New Nation to 1815	Change Title Change Short Title
HIST 3103	Slavery in World History	Change Prerequisites
HIST 4013	Modern Italy (old)	Change Course Number
HIST 3183	Modern Italy (new)	Change Title Change Short Title Change Course Description

EXHIBIT I

HIST	3253	Hitler and Nazi Germany	Change Title Change Short Title Change Course Description
HIST	3313	Israeli Culture Through Film	Change Prerequisites
HIST	3433	The United States in the Cold War Era	Change Title
HIST	3483	Twentieth Century Urban West	Change Title Change Short Title Change Course Description
HIST	3503	World War II	Change Title Change Short Title
HIST	3523	American Southwest	Change Title Change Short Title Change Course Description
HIST	3633	American Indian Ethnohistory to 1870	Change Short Title
HIST	3673	African-American Thought in the 20th Century	Change Title Change Short Title Change Course Description
HIST	3703	Native Peoples of Latin America	Change Prerequisites
HIST	3723	Africa Since 1945	Change Course Description
HIST	2043	African-American History Since 1877	Change Course Number
HIST	3753	(old) African-American History Since 1877 (new)	Change Short Title Change Course Description
HIST	4603	Genesis Through Jewish Eyes (old)	Change Course Number
HIST	3763	Genesis Through Jewish Eyes (new)	Change Short Title Change Course Description
HIST	3783	Africa Since 1800	Change Prerequisites
HIST	3853	Japan to 1850	Change Course Description
HIST	3863	Japan Since 1850	Change Prerequisites
HIST	3873	Early Imperial China	Change Prerequisites
HIST	3883	Late Imperial China	Change Prerequisites
HIST	3903	Contemporary Japan	Change Prerequisites
HIST	3923	China Since 1911	Change Course Description

EXHIBIT I

HIST	3943	Muslim Societies in Africa	Change Prerequisites
HIST	3953	The Modern Middle East	Change Prerequisites
HIST	3973	Judaism - A Religious History	Change Prerequisites
HIST	3983	Medieval Jewish History	Change Prerequisites
HIST	4203	Classical China	Change Prerequisites
HIST	4213	China's Art of War	Change Course Description
HIST	4303	Violence in the American West	Change Title Change Short Title Change Course Description
HIST	4493	Africa and the Atlantic Slave Trade	Change Prerequisites
HIST	4553	Environmental History of Latin America	Change Course Description
P SC	4323	Political Communication	Change Prerequisites

Jeannine Rainbolt College of Education

EDS	5913	Cultural Pluralism and Education	Change Title Change Short Title Change Course Description
EDS	5943	Topics in History of Education	Change Title Change Short Title Change Course Description
EDS	6973	Documentary and Narrative Research	Change Title Change Short Title Change Course Description

Gallogly College of Engineering

C S	4613	Computer Architecture	Change Prerequisites
ECE	4613	Computer Architecture	Change Prerequisites

Weitzenhoffer Family College of Fine Arts

DRAM	2053	Computer Aided Drafting I for the Theatre	Change Title Change Short Title Change Course Description
DRAM	3053	Computer Aided Drafting II for the Theatre	Change Title Change Course Description

COURSE DELETIONS

College of Arts and Sciences

HIST 4353 Origins of American Foreign Policy

NEW COURSES

College of Arts and Sciences

MLLL 3553 Contemporary Russian Literature

NPNG 3791 Social Media Strategies for Public and
Nonprofit Organizations

P SC 3791 Social Media Strategies for Public and
Nonprofit Organizations

Gallogly College of Engineering

DSA 5403 Bayesian Statistics

QUARTERLY FINANCIAL ANALYSIS
For the quarter ended September 30, 2017

EXECUTIVE SUMMARY

Highlights from the Statements of Net Position as of September 30, 2017 and Statements of Revenues, Expenses and Changes in Net Position for the three months then ended are presented below.

STATEMENTS OF NET POSITION

- Total assets of \$2.3 billion exceeded related liabilities of \$1.7 billion by \$575.6 million.
- Education & General assets of \$76.5 million exceeded related liabilities of \$25.1 million by \$51.4 million.
- Sponsored Program assets of \$26.5 million exceeded related liabilities of \$12.9 million by \$13.6 million.
- Auxiliary Enterprise assets of \$836.9 million exceeded related liabilities of \$582.3 million by \$254.6 million.
- Service Unit assets of \$179.5 million exceeded related liabilities of \$119.8 million by \$59.7 million.
- Regents' Fund assets of \$131.4 million exceeded related liabilities of \$44.8 million by \$86.6 million.
- Other Fund assets of \$1.1 billion exceeded related liabilities of \$958.6 million by \$109.6 million. Other Funds consist of fixed assets, net of accumulated depreciation and related bonds and master lease obligations, short-term pooled investment fund, student fee and fringe benefit clearing departments and other academic and administrative activities. Liabilities of \$958.6 million include the University's proportional allocation of the Oklahoma Teachers Retirement System unfunded pension obligation of \$281.1 million and accrued Other Post Employment Benefits (OPEB) of \$118.7 million, both of which are funded on a pay as you go basis.

STATEMENTS OF REVENUES, EXPENSES, AND CHANGES IN NET POSITION

- Total revenues of \$465.8 million exceeded expenses of \$409.7 million by \$56.1 million.
- Education & General revenues of \$216.2 million exceeded expenses of \$178.5 million, resulting in a net increase of \$37.8 million.
- Sponsored Program revenues of \$41.9 million offset expenses of \$41.9 million.
- Auxiliary Enterprise revenues of \$55.6 million trailed expenses of \$73.7 million, resulting in a net decrease of \$18.1 million.
- Regents' Fund revenues of \$4.2 million exceeded expenses of \$1.6 million, resulting in a net increase of \$2.6 million.
- Other Fund revenues of \$147.8 million exceeded expenses of \$114.1 million, resulting in a net increase of \$33.7 million.

Regents' Fund financial highlights as of September 30, 2017, and for the three months then ended are presented below.

- As of September 30, 2017, the Regents' Fund consisted of 233 individual funds under the governance of the Board of Regents of the University of Oklahoma. Total net assets including funds held in custody for the Health Sciences Center, totaled \$131.4 million increased \$2.9 million (2.26%) from June 30, 2017.
- As of September 30, 2017, the market value of assets held by the University of Oklahoma Foundation on behalf of the Regents' Fund totaled \$128.4 million.
- Regents' Fund assets held in the Foundation's Consolidated Investment Fund (CIF) had a net market value of \$94.9 million, a \$0.1 million (0.15%) increase from June 30, 2017.
- Regents' Fund assets held in the Foundation's Expendable Investment Pool (EIP) had a net market value of \$33.5 million, a \$2.5 million (7.96%) increase from June 30, 2017.

UNIVERSITY OF OKLAHOMA - NORMAN CAMPUS
STATEMENT OF NET POSITION
SEPTEMBER 30, 2017 AND 2016
UNAUDITED - MANAGERMENTS USE ONLY

	Education & General		Sponsored Programs		Auxiliary Enterprises		Service Units	
	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017	9/30/2016
ASSETS								
CURRENT AND NONCURRENT ASSETS								
Cash - Operating	\$ 22,620,000	\$ -	\$ 37,338,000	\$ 88,241,000	\$ 42,468,000	\$ 40,667,000	\$ 28,619,000	\$ 32,224,000
Cash - Construction	-	-	-	-	39,230,000	107,309,000	11,125,000	23,357,000
Cash - Debt Service Funds	-	-	-	-	356,000	9,867,000	53,000	406,000
Investments	279,000	199,000	17,000	28,000	1,211,000	1,179,000	-	-
Student Loans Receivable, Net	-	-	-	-	8,104,000	9,548,000	9,247,000	9,907,000
Accounts Receivable, Net	15,476,000	16,498,000	30,497,000	27,019,000	3,044,000	3,520,000	1,031,000	1,371,000
Due From (To) Other Funds	37,024,000	83,810,000	(41,354,000)	(88,170,000)	2,882,000	2,935,000	799,000	689,000
Deposits and Prepaid Expenses	1,144,000	-	-	-	97,295,000	175,025,000	50,874,000	67,954,000
Inventory	-	-	-	-	-	-	-	-
Total Current and Noncurrent Assets	76,543,000	100,507,000	26,498,000	27,118,000	739,563,000	656,543,000	128,597,000	127,479,000
FIXED ASSETS, NET								
Total Assets	\$ 76,543,000	\$ 100,507,000	\$ 26,498,000	\$ 27,118,000	\$ 836,858,000	\$ 831,568,000	\$ 179,471,000	\$ 195,433,000
LIABILITIES & NET ASSETS								
CURRENT AND NONCURRENT LIABILITIES								
Accounts Payable	\$ -	\$ 30,000	\$ -	\$ -	\$ 4,366,000	\$ 3,588,000	\$ 3,706,000	\$ 3,851,000
Utilities Management Agreement	-	-	-	-	-	-	-	-
OPEB Obligation	-	-	-	-	-	-	-	-
Current Portion of L-T Debt	-	-	-	-	22,153,000	16,450,000	2,741,000	2,559,000
Accrued Expenses	24,995,000	24,679,000	-	-	13,033,000	12,448,000	3,905,000	3,930,000
Deferred Income	109,000	42,000	12,854,000	14,061,000	52,396,000	51,425,000	8,116,000	11,462,000
Deposits Held in Custody for Others	-	-	-	-	-	-	-	-
Total Current and Noncurrent Liabilities	25,104,000	24,751,000	12,854,000	14,061,000	91,948,000	83,911,000	18,468,000	21,802,000
LONG-TERM LIABILITIES								
Utilities Management Agreement	-	-	-	-	-	-	-	-
OPEB Obligation	-	-	-	-	-	-	-	-
Net Pension Obligation	-	-	-	-	-	-	-	-
Federal Loan Program Refundable	-	-	-	-	-	-	-	-
Contribution	-	-	-	-	-	-	-	-
Bonds and Master Lease Obligations	-	-	-	-	-	-	-	-
Total Long-Term Liabilities	-	-	-	-	-	-	-	-
Total Liabilities	25,104,000	24,751,000	12,854,000	14,061,000	490,390,000	508,558,000	101,282,000	109,993,000
					490,390,000	508,558,000	101,282,000	109,993,000
					582,338,000	592,469,000	119,750,000	131,795,000
NET POSITION								
Unrestricted	51,439,000	75,756,000	-	-	27,500,000	107,564,000	35,147,000	48,711,000
Restricted	-	-	13,644,000	13,057,000	-	-	-	-
Endowment	-	-	-	-	-	-	-	-
Capital Assets, Net of Related Debt	-	-	-	-	227,020,000	131,535,000	24,574,000	14,927,000
Total Net Position	51,439,000	75,756,000	13,644,000	13,057,000	254,520,000	239,099,000	59,721,000	63,638,000
Total Liabilities & Net Position	\$ 76,543,000	\$ 100,507,000	\$ 26,498,000	\$ 27,118,000	\$ 836,858,000	\$ 831,568,000	\$ 179,471,000	\$ 195,433,000

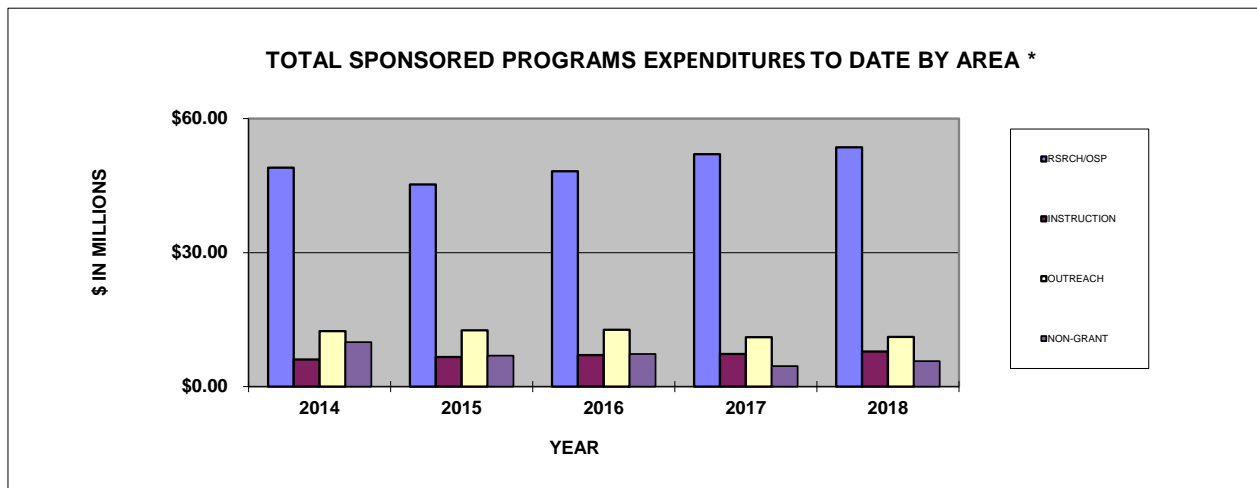
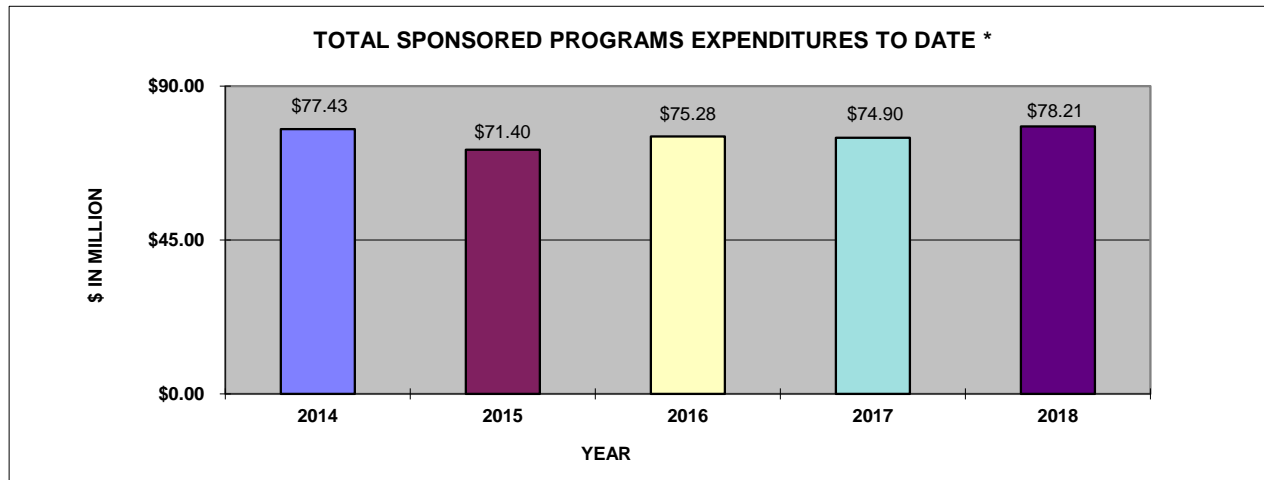
UNIVERSITY OF OKLAHOMA - NORMAN CAMPUS
 STATEMENT OF NET POSITION
 SEPTEMBER 30, 2017 AND 2016
 UNAUDITED - MANAGERMENTS USE ONLY

	Regents' Fund		Other Funds		Total	
	9/30/2017	9/30/2016	9/30/2017	9/30/2016	9/30/2017	9/30/2016
ASSETS						
CURRENT AND NONCURRENT ASSETS						
Cash - Operating	\$ 279,000	\$ 463,000	\$ 33,405,000	\$ 16,793,000	\$ 164,729,000	\$ 178,388,000
Cash - Construction	-	-	(4,316,000)	27,929,000	46,039,000	158,595,000
Cash - Debt Service Funds	-	-	801,000	(8,900,000)	1,210,000	1,373,000
Investments	128,781,000	117,004,000	10,429,000	9,737,000	140,717,000	128,147,000
Student Loans Receivable, Net	2,318,000	2,258,000	17,736,000	17,456,000	20,054,000	19,714,000
Accounts Receivable, Net	-	1,000	38,680,000	31,362,000	102,004,000	94,335,000
Due From (To) Other Funds	-	-	4,330,000	4,360,000	-	-
Deposits and Prepaid Expenses	-	-	6,919,000	11,141,000	12,138,000	16,032,000
Inventory	-	-	505,000	513,000	4,186,000	4,137,000
Total Current and Noncurrent Assets	131,378,000	119,726,000	108,489,000	110,391,000	491,077,000	600,721,000
FIXED ASSETS, NET						
	-	-	959,709,000	927,887,000	1,827,869,000	1,711,909,000
Total Assets	\$ 131,378,000	\$ 119,726,000	\$ 1,068,198,000	\$ 1,038,278,000	\$ 2,318,946,000	\$ 2,312,630,000
LIABILITIES & NET ASSETS						
CURRENT AND NONCURRENT LIABILITIES						
Accounts Payable	\$ 218,000	\$ 415,000	\$ 65,469,000	\$ 52,996,000	\$ 73,759,000	\$ 60,880,000
Utilities Management Agreement	-	-	4,720,000	4,720,000	4,720,000	4,720,000
OPEB Obligation	-	-	6,035,000	5,872,000	6,035,000	5,872,000
Current Portion of L-T Debt	-	-	7,529,000	15,579,000	32,423,000	34,588,000
Accrued Expenses	-	-	3,224,000	8,682,000	45,157,000	49,739,000
Deferred Income	-	-	22,760,000	-	96,235,000	76,990,000
Deposits Held in Custody for Others	44,543,000	40,195,000	11,095,000	14,620,000	55,638,000	54,815,000
Total Current and Noncurrent Liabilities	44,761,000	40,610,000	120,832,000	102,469,000	313,967,000	287,604,000
LONG-TERM LIABILITIES						
Utilities Management Agreement	-	-	80,680,000	85,400,000	80,680,000	85,400,000
OPEB Obligation	-	-	112,704,000	105,159,000	112,704,000	105,159,000
Net Pension Obligation	-	-	281,067,000	265,781,000	281,067,000	265,781,000
Federal Loan Program Refundable	-	-	14,243,000	14,351,000	14,243,000	14,351,000
Contribution	-	-	349,049,000	333,330,000	940,721,000	951,881,000
Bonds and Master Lease Obligations	-	-	837,743,000	804,021,000	1,429,415,000	1,422,572,000
Total Long-Term Liabilities	44,761,000	40,610,000	958,575,000	906,490,000	1,743,382,000	1,710,176,000
NET POSITION						
Unrestricted	93,000	33,000	(490,035,000)	(555,287,000)	(375,856,000)	(323,223,000)
Restricted	25,793,000	23,729,000	(11,872,000)	(12,790,000)	27,565,000	24,006,000
Endowment	60,731,000	55,354,000	377,000	(1,000)	61,108,000	55,353,000
Capital Assets, Net of Related Debt	-	-	611,153,000	699,856,000	862,747,000	846,318,000
Total Net Position	86,617,000	79,116,000	109,623,000	131,788,000	575,564,000	602,454,000
Total Liabilities & Net Position	\$ 131,378,000	\$ 119,726,000	\$ 1,068,198,000	\$ 1,038,278,000	\$ 2,318,946,000	\$ 2,312,630,000

UNIVERSITY OF OKLAHOMA - NORMAN CAMPUS
STATEMENTS OF REVENUES, EXPENSES, AND CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2017 AND 2016
UNAUDITED - MANAGERMENTS USE ONLY

	Education & General		Sponsored Programs		Auxiliary Enterprises	
	9/30/2017	% of Budget	9/30/2017	% of Budget	9/30/2017	% of Budget
REVENUES:						
Tuition and Fees	167,001,000	47.3%	-	0.0%	2,324,000	39.8%
Sponsored Programs	5,307,000	64.9%	41,894,000	27.6%	161,000	0.0%
Sales and Services	4,830,000	11.4%	-	0.0%	52,517,000	19.4%
State Appropriations	28,354,000	25.3%	-	0.0%	-	0.0%
Private Gifts	1,461,000	10.8%	-	0.0%	59,000	0.0%
On Behalf Payments	-	0.0%	-	0.0%	-	0.0%
State School Land Funds	-	0.0%	-	0.0%	-	0.0%
Endowment and Investment Income	1,554,000	21.9%	5,000	n/a	3,000	27.3%
Other Sources	7,728,000	28.0%	(4,000)	n/a	578,000	31.7%
Gross Margin	216,235,000	38.3%	41,895,000	27.6%	55,642,000	19.8%
EXPENSES:						
Compensation	82,943,000	27.7%	19,294,000	27.4%	19,182,000	24.6%
Depreciation	-	0.0%	-	0.0%	8,934,000	24.8%
Scholarships and Fellowships	44,786,000	50.0%	1,022,000	27.4%	5,329,000	38.0%
Utilities	7,772,000	24.7%	-	0.0%	4,582,000	26.7%
Cost of Goods Sold	7,000	0.0%	-	0.0%	4,948,000	26.3%
Debt Service - Interest and Fees	-	0.0%	-	0.0%	2,456,000	13.1%
Professional and Technical Fees	974,000	87.0%	937,000	27.4%	563,000	22.9%
Maintenance and Repair	3,998,000	27.3%	-	0.0%	3,945,000	29.5%
Supplies and Materials	5,372,000	16.9%	1,248,000	27.4%	6,829,000	51.4%
Travel	2,234,000	66.3%	899,000	27.4%	1,803,000	16.0%
Library Books and Periodicals	7,908,000	66.2%	-	0.0%	-	0.0%
Communications	1,024,000	35.9%	92,000	27.3%	414,000	19.4%
Other Uses	21,454,000	30.4%	18,403,000	27.9%	14,770,000	29.5%
Total Expenses	178,472,000	31.9%	41,895,000	27.6%	73,755,000	26.8%
Net Increase (Decrease) In						
Net Position	\$ 37,763,000		\$ -		\$ (18,113,000)	
						\$ (17,796,000)

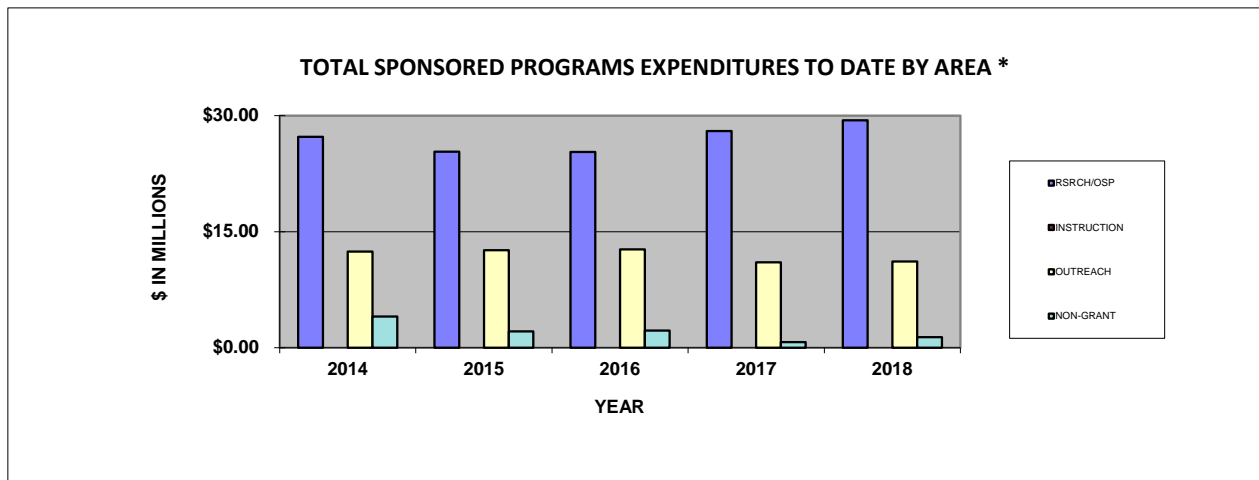
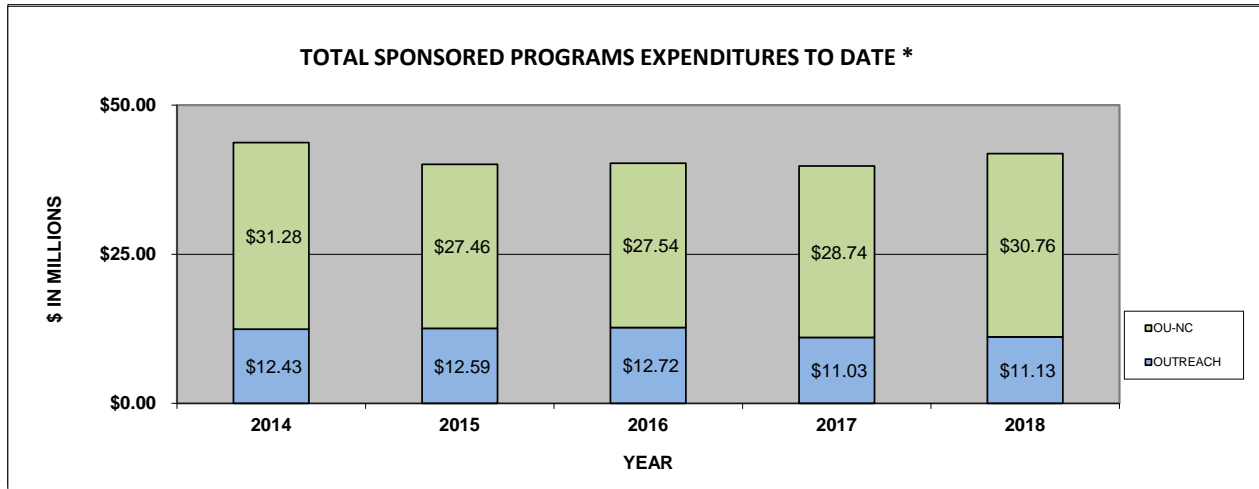
HEALTH SCIENCES CENTER AND NORMAN CAMPUS



	FY 2018 YEAR	YEAR %CHANGE	FY 2017 YEAR	FY 2018 SEP	MONTH %CHANGE	FY 2017 SEP
RESEARCH/OSP *	\$ 53,553,404	2.96%	\$ 52,015,454	\$ 19,192,501	17.21%	\$ 16,374,646
INSTRUCTION	\$ 7,838,366	7.61%	\$ 7,283,872	\$ 3,638,207	21.50%	\$ 2,994,333
OUTREACH	\$ 11,129,732	0.86%	\$ 11,034,956	\$ 3,933,941	11.01%	\$ 3,543,800
NON-GRANT/OTHER	\$ 5,691,882	24.66%	\$ 4,566,090	\$ 2,527,863	36.78%	\$ 1,848,179
TOTAL	\$ 78,213,384	4.42%	\$ 74,900,372	\$ 29,292,511	18.30%	\$ 24,760,957
* Includes GeoCarb data	\$ 2,619,143		\$ -	\$ 2,572,549		\$ -

HEALTH SCIENCES CENTER AND NORMAN CAMPUS

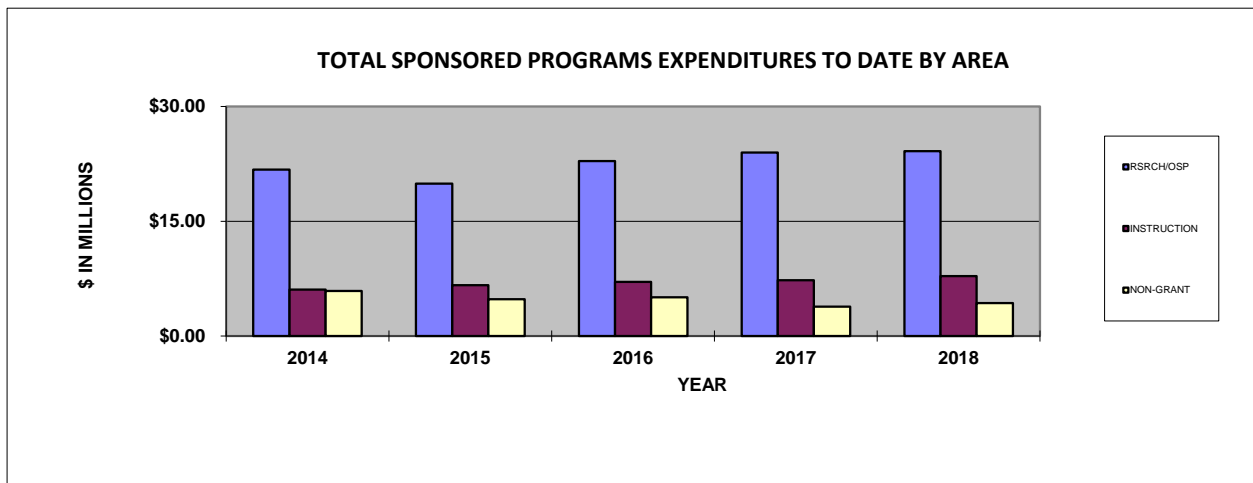
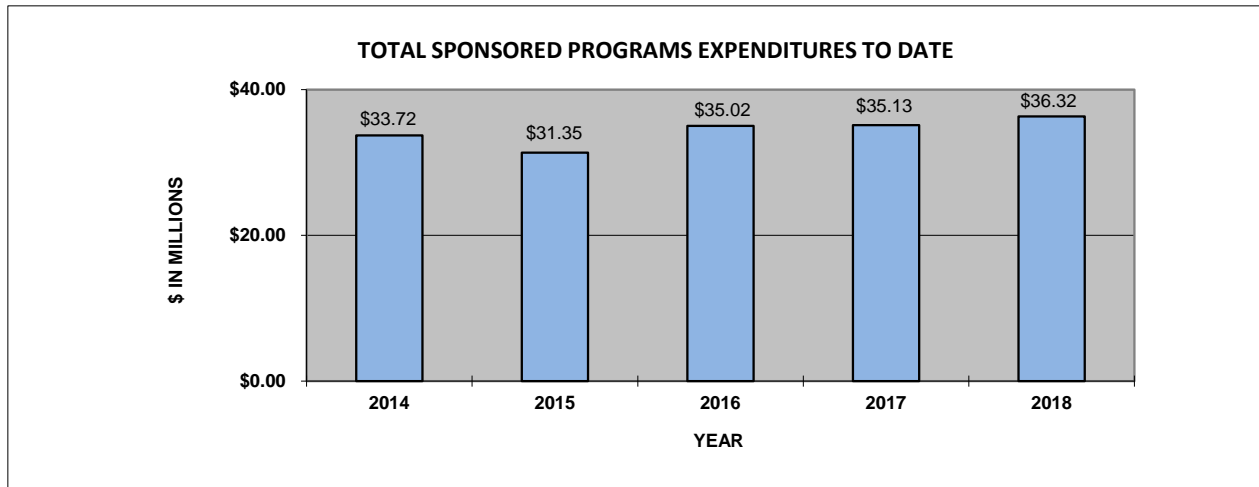
NORMAN CAMPUS



	FY 2018 YEAR	YEAR %CHANGE	FY 2017 YEAR	FY 2018 SEP	MONTH %CHANGE	FY 2017 SEP
RESEARCH/OSP *	\$ 29,384,543	4.87%	\$ 28,019,429	\$ 10,750,281	34.17%	\$ 8,012,210
INSTRUCTION	\$ -		\$ -	\$ -		\$ -
OUTREACH	\$ 11,129,732	0.86%	\$ 11,034,956	\$ 3,933,941	11.01%	\$ 3,543,800
NON-GRANT/OTHER	\$ 1,379,188	91.36%	\$ 720,718	\$ 885,561	273.82%	\$ 236,894
TOTAL	\$ 41,893,463	5.33%	\$ 39,775,103	\$ 15,569,782	32.03%	\$ 11,792,904
* Includes GeoCarb data	\$ 2,619,143		\$ -	\$ 2,572,549		\$ -

NORMAN CAMPUS

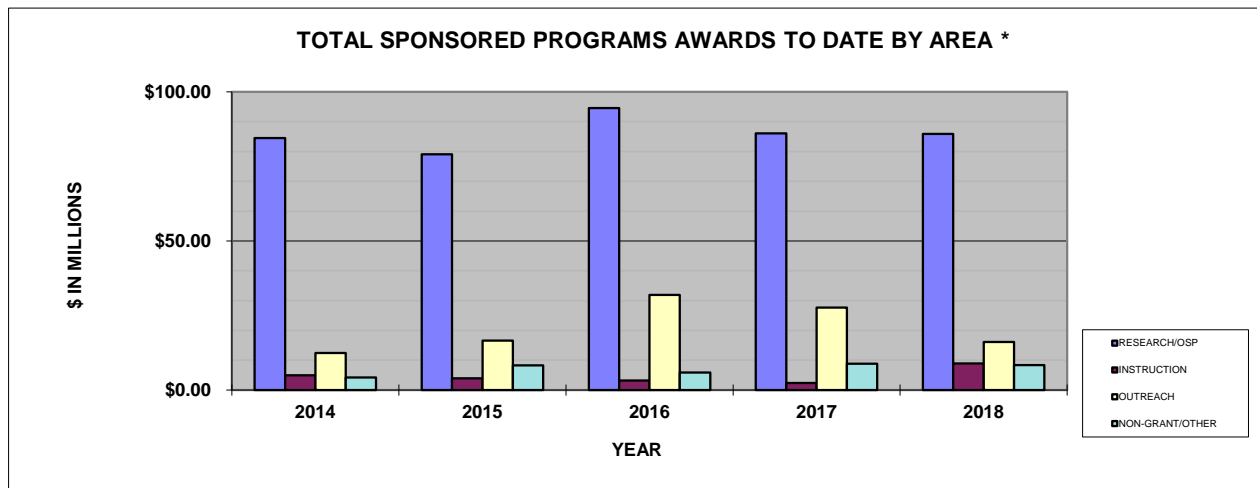
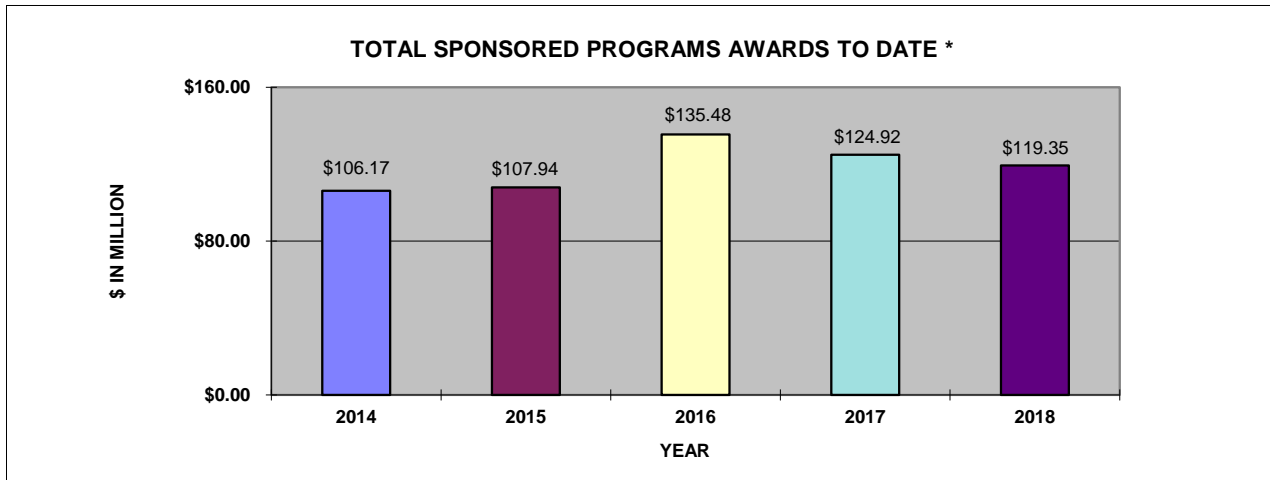
HEALTH SCIENCES CENTER



	FY 2018 YEAR	YEAR %CHANGE	FY 2017 YEAR	FY 2018 SEP	MONTH %CHANGE	FY 2017 SEP
RESEARCH/OSP	\$ 24,168,861	0.72%	\$ 23,996,025	\$ 8,442,220	0.95%	\$ 8,362,436
INSTRUCTION	\$ 7,838,366	7.61%	\$ 7,283,872	\$ 3,638,207	21.50%	\$ 2,994,333
NON-GRANT/OTHER	\$ 4,312,694	12.15%	\$ 3,845,372	\$ 1,642,302	1.92%	\$ 1,611,285
TOTAL	\$ 36,319,921	3.40%	\$ 35,125,269	\$ 13,722,729	5.82%	\$ 12,968,054

HEALTH SCIENCES CENTER

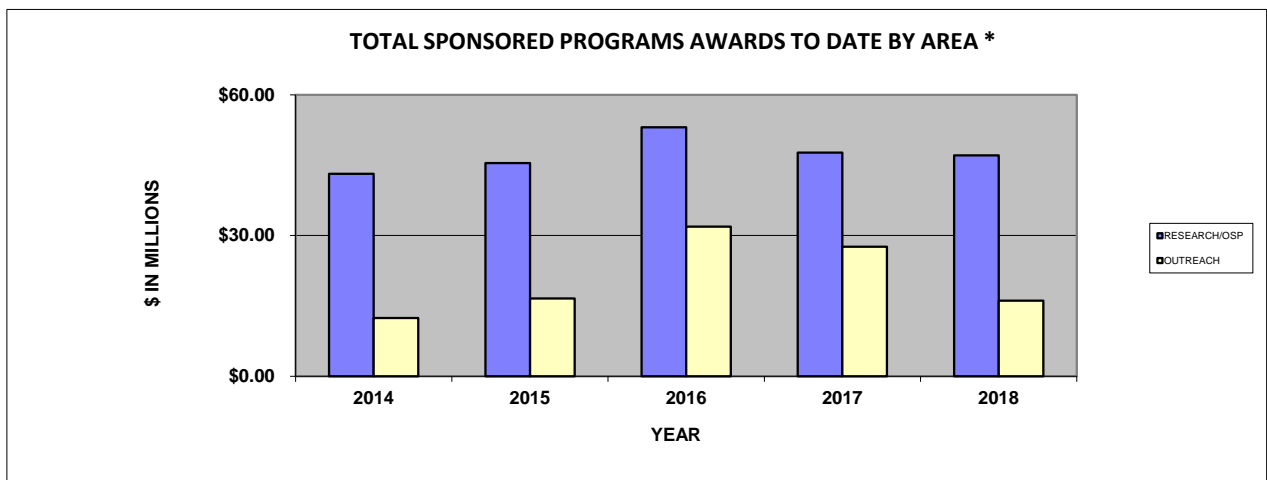
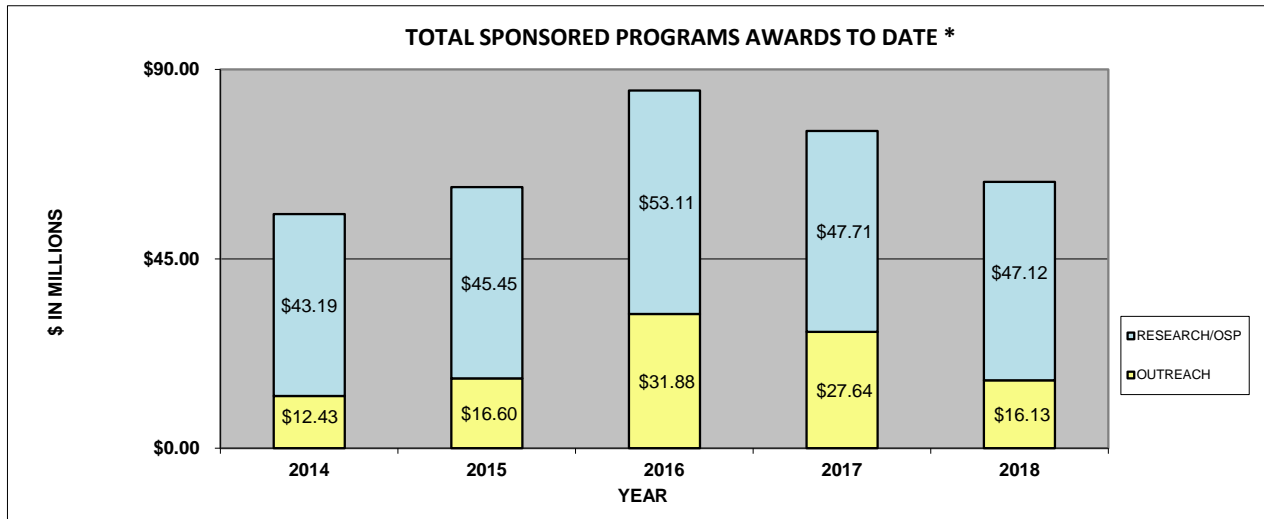
NORMAN CAMPUS AND HEALTH SCIENCES CENTER



	FY 2018 YEAR	YEAR %CHANGE	FY 2017 YEAR	FY 2018 SEP	MONTH %CHANGE	FY 2017 SEP
RESEARCH/OSP *	\$ 85,872,751	-0.25%	\$ 86,084,104	\$ 22,789,685	-6.55%	\$ 24,388,230
INSTRUCTION	\$ 8,950,920	278.55%	\$ 2,364,498	\$ (316,584)	-124.86%	\$ 1,273,492
OUTREACH	\$ 16,131,831	-41.63%	\$ 27,635,857	\$ 6,316,156	93.54%	\$ 3,263,522
NON-GRANT/OTHER	\$ 8,397,446	-4.95%	\$ 8,834,807	\$ 336,320	-77.66%	\$ 1,505,133
TOTAL	\$ 119,352,947	-4.46%	\$ 124,919,265	\$ 29,125,577	-4.29%	\$ 30,430,377
* Includes GeoCarb data	\$ 637,000		\$ -	\$ 637,000		\$ -

NORMAN CAMPUS AND HEALTH SCIENCES CENTER

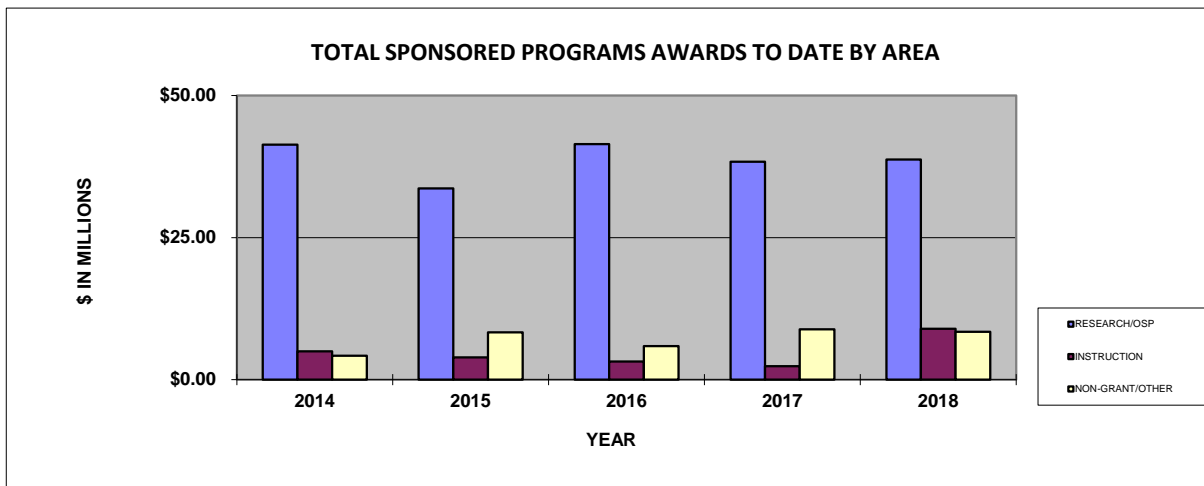
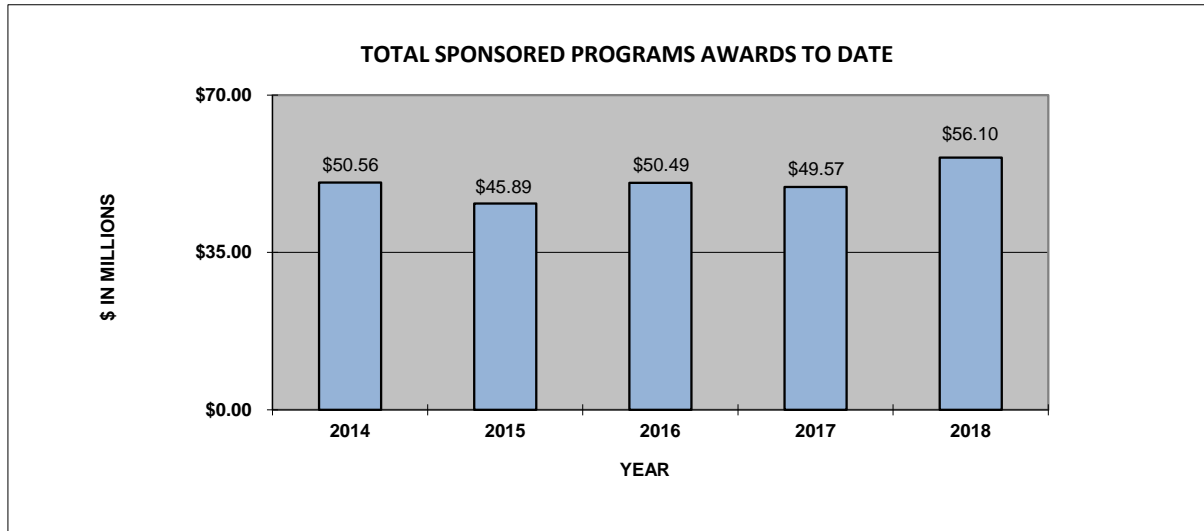
NORMAN CAMPUS



	FY 2018 YEAR	YEAR %CHANGE	FY 2017 YEAR	FY 2018 SEP	MONTH %CHANGE	FY 2017 SEP
RESEARCH/OSP *	\$ 47,117,551	-1.25%	\$ 47,713,083	\$ 17,107,474	24.12%	\$ 13,783,419
INSTRUCTION	\$ -		\$ -	\$ -		\$ -
OUTREACH	\$ 16,131,831	-41.63%	\$ 27,635,857	\$ 6,316,156	93.54%	\$ 3,263,522
NON-GRANT/OTHER	\$ -		\$ -	\$ -		\$ -
TOTAL	\$ 63,249,382	-16.06%	\$ 75,348,940	\$ 23,423,630	37.41%	\$ 17,046,941
* Includes GeoCarb data	\$ 637,000		\$ -	\$ 637,000		\$ -

NORMAN CAMPUS

HEALTH SCIENCES CENTER



	FY 2018 YEAR	YEAR %CHANGE	FY 2017 YEAR	FY 2018 SEP	MONTH %CHANGE	FY 2017 SEP
RESEARCH/OSP	\$ 38,755,199	1.00%	\$ 38,371,020	\$ 5,682,211	-46.42%	\$ 10,604,812
INSTRUCTION	\$ 8,950,920	278.55%	\$ 2,364,498	\$ (316,584)	-124.86%	\$ 1,273,492
NON-GRANT/OTHER	\$ 8,397,446	-4.95%	\$ 8,834,807	\$ 336,320	-77.66%	\$ 1,505,133
TOTAL	\$ 56,103,565	13.18%	\$ 49,570,325	\$ 5,701,947	-57.40%	\$ 13,383,437

HEALTH SCIENCES CENTER

NORMAN CAMPUS & HEALTH SCIENCES CENTER
REPORT OF CONTRACTS AWARDED (OVER \$1M)
September 2017

AWD #	AGENCY	TITLE	VALUE	PERIOD	PI(s)
20170279	Department of the Army	Pharmacotherapy of Traumatic Brain Injury Accompanied by	\$1,471,461	37 mo.	Vibhudutta Awasthi (Pharmaceutical Sci Sponsored)
115220600	OK-DHS	OSIS FY18	\$1,132,296	12 mo.	Vince Deberry (CSCPM)
115220900	OK-DHS	CARE FY18	\$4,818,251	12 mo.	Vince Deberry (CSCPM)
405453500	NSF	II-New: TurboRAN: Testbed for Ultra-Dense- Multi-Band Control	\$1,000,000	24 mo.	Ali Imran (Electrical & Computer Engineering)
4 Total			\$8,422,008		

3.10 Data Governance Committees

Responsibility for the adoption and implementation of policies, standards and procedures governing institutional data for the Norman campus shall be vested in a Data Governance Committee (“DGC”) and at the Health Sciences Center vested in the Information Security Review Board (“ISRB”).

- (A) Data Governance Committees: The Committee at the Health Sciences Center shall include representatives appointed by the Senior Vice President and Provost, and at the Norman campus by the Senior Vice President and Provost, the Vice President for Administration and Finance, the General Counsel, the Chief Information Officer, and the Vice President for Enrollment and Registrar. Appointments should include representatives with responsibility for categories of data (e.g. Student, Business/Financial, Human Resources, Clinical Operations, Compliance, and Institutional Research and Reporting) and legal counsel. In addition, to ensure consistency of policy between campuses to the extent practicable, each Committee shall, once comprised, designate a representative to serve in an ex officio capacity on the opposite campus’s committee.
- (B) Data Governance Policies and Procedures: The Committee for each campus is charged with responsibility for the adoption and implementation of policies, standards, and procedures that include appropriate controls for the ethical use of institutional data, are consistent with state and federal privacy laws, ensure accurate maintenance of institutional data, and provide for timely and efficient access. Each Committee may, consistent with this charge, appoint sub-committees vested with authority over institutional data management and infrastructure. The Committee for each campus is vested with authority to offer technical assistance to University constituents to ensure consistent implementation of its policies and procedures.

3.5.4—ETHICS IN RESEARCH

INTRODUCTION

Research and other scholarly activity at the University must be above reproach. Each member of the University community has the responsibility to ensure the integrity and ethical standards in any activity with which he or she is associated directly, or any activity of which there is sufficient knowledge to determine its appropriateness. Misconduct in the conduct of research undermines the scholarly enterprise and erodes the public trust in the University community to conduct research and communicate results using the highest standards and ethical practices. The University is responsible both for promoting scholarly practices that prevent misconduct and for developing policies and procedures for dealing with allegations or other evidence of scholarly or research misconduct.

The University's Ethics in Research policies establish policies and procedures for investigating and reporting instances of alleged or apparent misconduct involving research, including but not limited to, research or research training, applications for support of research or research training, applications for research or research training, or related research activities that are supported with funds made available under the Public Health Service Act. The policies and procedures apply, according to their terms, to faculty, staff, and students. They are not intended to address all scholarly issues of an ethical nature. For example, discrimination and affirmative action issues are covered by other University policies.

The full text of the Norman Campus and Health Sciences Center Ethics in Research policies is included in the Norman Campus and Health Sciences Center Faculty and Staff handbooks.

(RM, 5-9-85, p.18378; 12-20-89, p. 21508; 6-25-97, p. 25458; 3-29-00, p. 26909; 1-27-2004, p. 28924; 6-23-04, p. 29151, 12-__-2017, p. __)

3.26 ETHICS IN RESEARCH – Norman Campus Faculty Handbook

~~Research and other scholarly activity at the University must be above reproach. Each member of the University community has the responsibility to ensure the integrity and ethical standards in any activity with which he or she is associated directly, or any activity of which there is sufficient knowledge to determine its appropriateness. Misconduct in the conduct of research undermines the scholarly enterprise and erodes the public trust in the University community to conduct research and communicate results using the highest standards and ethical practices. The University is responsible both for promoting scholarly practices that prevent misconduct and for developing policies and procedures for dealing with allegations or other evidence of scholarly or research misconduct.~~

~~This policy establishes uniform policies and procedures for investigating and reporting instances of alleged or apparent misconduct involving research, including but not limited to, research or research training, applications for support of research or research training, applications for research or research training, or related research activities that are supported with funds made available under the Public Health Service Act. The policies and procedures outlined below apply to faculty, staff, and students. They are not intended to address all scholarly issues of an ethical nature. For example, discrimination and affirmative action issues are covered by other University policies.~~

~~The text below is approved Regents Policy for the Norman Campus but is printed in its entirety only in the Norman Campus Faculty Handbook.~~

Research and other scholarly activity at the University must be above reproach. Each member of the University community has the responsibility to ensure the integrity of and ethical standards in any activity with which he or she is directly associated or any activity of which he or she has sufficient knowledge to determine the appropriateness of the activity. Research and other scholarly misconduct undermine the scholarly enterprise and erode public trust in the University community to conduct unbiased and reliable research.

The University is responsible for promoting ethical scholarly practices, including the development of policies and procedures addressing allegations of scholarly misconduct. This policy establishes procedures for identifying, investigating and reporting instances of alleged or apparent scholarly misconduct. Although the policies and procedures outlined below apply to all employees and volunteers, they are not intended to address all scholarly issues of an ethical nature.

The text below is approved Regents' Policy for the University of Oklahoma, Norman Campus and is printed in its entirety in the Norman Campus, Faculty, Staff and Graduate Student Handbooks. Any modification to this policy can be made only by action of the Board of Regents.

3.26.1 DEFINITION OF SCHOLARLY MISCONDUCT

~~Scholarly misconduct involves any form of behavior which entails an act of deception whereby one's work or the work of others is misrepresented. Other terms, such as research fraud, are here subsumed within the term "scholarly misconduct" as defined below. The term scholarly misconduct will be used to encompass scientific as well as other types of scholarly misconduct. Scholarly misconduct is distinguished from honest errors and ambiguities of interpretation that~~

are inherent in the scholarly process. Further, scholarly misconduct involves significant and intentional breaches of integrity which may take numerous forms such as, but not limited to, those outlined below:

(A) Falsification of data ranging from fabrication to deceptive selected reporting of findings and omission of conflicting data.

(B) Plagiarism and other improper assignment of credit, such as excluding others or claiming the work of others as one's own; presentation of the same material as original in more than one publication; inclusion of individuals as authors who have not made a definite contribution to the work published; and submission of multi-authored publications without the concurrence of all authors.

(C) Improper use of information gained by privileged access, such as through service on peer review panels, editorial boards, or policy boards of research funding organizations.

(D) Serious deviation from the scientific method accepted in proposing or carrying out research, deliberate manipulation or improper reporting of results.

(E) Material failure to comply with federal, state, or institutional rules governing research including, but not limited to, serious or substantial violations involving the use of funds; care of animals; protection of human subjects; or use of investigational drugs, recombinant products, new devices, or radioactive, biological, and/or chemical materials.

(F) Inappropriate behavior in relation to misconduct including, but not limited to, inappropriate accusations of misconduct; failure to report known or suspected misconduct; withholding or destruction of information relevant to a claim of misconduct; and retaliation against persons involved in the allegation or investigation of misconduct.

The Board of Regents shall have governance and oversight of the Ethics in Research Policy. The specific regulations concerning the Ethics in Research Policy, which must conform to federal regulations, shall be set forth in the Faculty Handbooks and the Staff Handbook of the respective campuses of the University as approved by the Board of Regents. Any modification to a Board of Regents' policy in these Handbooks must be made through action of the Board of Regents.

3.26.1 DEFINITIONS

A. Scholarly Misconduct.

Broadly defined, "scholarly misconduct" involves intentional, knowing, or reckless breaches of integrity that amount to more than insignificant departures from accepted practices of the relevant academic or research community such as behavior whereby one's scholarly or scientific work or such work of another is misrepresented. Scholarly misconduct is distinguishable from honest errors and recognized differences of opinion that are inherent in scholarly processes. Scholarly misconduct involves, but is not limited to:

1. Fabrication – making up data or results and recording or reporting them.
2. Falsification – manipulating research materials, equipment, or processes, or changing or omitting data or results, or deceptive or selective reporting of findings and/or omission of conflicting data such that the research is not accurately represented in the research record.

3. Plagiarism – appropriation of another person’s ideas, processes, results, or words without giving appropriate credit and other improper assignment of credit, such as excluding others or claiming the work of others as one's own; presenting the same material as original in more than one publication; including individuals as authors who have not made a consequential contribution to the work published; or submitting multi-authored publications without the concurrence of all authors.
4. Improper use of information gained by privileged access, such as through service on peer review panels, editorial boards, or policy boards of research funding organizations.
5. Serious deviation from the accepted scientific method in proposing or carrying out research; e.g., deliberate manipulation or improper reporting of results.
6. Material failure to comply with federal, state, or University rules governing research including, but not limited to, serious or substantial violations involving the use of funds; care of animals; protection of human subjects; or use of investigational drugs, recombinant products, new devices, or radioactive, biological, and/or chemical materials.
7. Inappropriate behavior associated with scholarly misconduct including, but not limited to, making inappropriate accusations of scholarly misconduct; failing to report conduct known or reasonably believed to be in violation of this Ethics in Research Policy; withholding or destroying records, evidence, or other information relevant to allegations of scholarly misconduct; allowing one’s participation on a committee to be influenced by personal, professional or financial conflicts of interest with those involved in the proceedings; and retaliating against persons involved in the allegation or investigation of scholarly misconduct.

B. Good Faith

“Good Faith” as applied to a party or witness, means having a belief in the truth of one’s allegation, statement, or testimony that a reasonable person in their position could have based on the information known to them at the time. As applied to a committee member, Good Faith means carrying out the assigned duties of a Scholarly Misconduct Proceeding impartially.

C. Materials.

“Materials” refers to all relevant information, records (e.g., a research record -- data or results that embody the facts resulting from scientific inquiry, research proposals, lab records, progress reports, abstracts, theses, internal reports and the like), documents, expert opinions, testimony and tangible items related to allegations of scholarly misconduct offered or obtained during a Scholarly Misconduct Proceeding.

D. Provost

“Provost” for purposes of managing a claim alleging scholarly misconduct, means either the Senior Vice President and Provost or his or her designee, as the context reasonably permits.

E. Scholarly Misconduct Proceeding

“Scholarly Misconduct Proceeding” or “SMP” means any actions related to alleged scholarly misconduct, including but not limited to internal allegation assessments, inquiries, investigations,

and administrative appeals, in addition to which are oversight reviews, hearings, and appeals associated with external funding.

3.26.2 PROCESS FOR HANDLING ALLEGATIONS OF SCHOLARLY MISCONDUCT

(A) INITIATION

~~Initiation of an Allegation of Misconduct. Initial allegations or evidence may be reported to any faculty member or administrator, who must then report the allegations to the Senior Vice President and Provost or his or her designee (hereinafter referred to as "Provost") on the campus where the misconduct allegedly occurred. The person appropriate in most situations would be the Vice President for Research. If the person to whom the report would normally be given is involved in some way in the misconduct, the next higher academic officer should be informed. The Senior Vice President and Provost shall take interim administrative actions, as appropriate, to protect federal funds and insure that the purposes of the federal financial assistance are carried out during the inquiry and investigation stages.~~

~~The Senior Vice President and Provost shall informally review any allegations of scholarly misconduct, confer with the dean of the college in which the allegation is alleged to have occurred as well as Legal Counsel, and determine whether the allegation warrants initiation of the inquiry process according to the policies and procedures for scholarly misconduct or whether other University policies or procedures should take precedence. The Senior Vice President and Provost will counsel the individual(s) making the allegation as to the policies and procedures to be used. If the reporting individual chooses not to make a formal allegation but the Senior Vice President and Provost believes an inquiry is warranted, an inquiry shall be initiated. The University will pursue an allegation of misconduct to its conclusion, even if the person against whom the allegation is made (hereinafter referred to as the "Respondent") leaves or has left the University before the case is resolved.~~

(B) STEPS

~~(1) The first step of the review process is an inquiry which has as its purpose fact finding in an expeditious manner to help determine if an allegation is deserving of further formal investigation, and if formal investigation is not warranted, to make recommendations concerning the disposition of the case.~~

~~(2) An Inquiry Committee composed of no fewer than three tenured faculty with no real or apparent conflict of interest, with no appointment in the department of either the individual(s) making the allegation or the Respondent, with appropriate expertise for evaluating information relevant to the case shall be appointed by the Senior Vice President and Provost within fifteen days after receipt of an allegation.~~

~~(3) The Senior Vice President and Provost shall notify the Respondent, in writing, of the allegations and the procedures which will be used to examine the allegations. The Respondent will be informed of the proposed membership of the Inquiry Committee to identify in advance any bias or conflict of interest.~~

- (4) Where the individual(s) making the allegation seeks anonymity, the Inquiry Committee shall operate in such a way as to maintain that anonymity to the degree compatible with accomplishing the fact-finding purpose of the inquiry. Such anonymity cannot, however, be assured. Further, this anonymity may be neither desirable nor appropriate where individual(s) testimony is important to the substantiation of the allegations.
- (5) Information, expert opinions, records, and other pertinent data may be requested by the Inquiry Committee. All involved individuals are obligated to cooperate with the Inquiry Committee by supplying such requested documents and information. Uncooperative behavior may result in immediate implementation of a formal investigation or University sanctions.
- (6) All material will be considered confidential and shared only with those with a need to know. The Senior Vice President and Provost and the members of the Inquiry Committee are responsible for the security of relevant documents.
- (7) In order to gather evidence, whenever possible, the Inquiry Committee will conduct interviews of all individuals possessing relevant information but in particular the Respondent and the individual(s) making the allegation.
- (8) All individuals may have the assistance of personal legal counsel at their expense at both the inquiry and investigative stages; however, principals are expected to speak for themselves at the interviews.
- (9) The review by the Inquiry Committee should be completed and a written report filed within sixty days of written notification to the Respondent that an Inquiry Committee is being named. The written report shall contain what evidence was reviewed, summarize relevant interviews, and include the conclusions of the Committee. If this deadline cannot be met, a request for extension and a report of reasons and progress to date, together with the anticipated time frame, should be filed with the Senior Vice President and Provost, and all individuals with a need to know should be informed.
- (10) The written report of the Inquiry Committee will be conveyed to the Senior Vice President and Provost, who shall give a copy of the written report to the Respondent. The Respondent shall have ten working days to comment in writing upon the findings and recommendations of the Inquiry Committee. These comments may be made part of the record.
- (11) If, after reviewing the outcome of the inquiry, the Senior Vice President and Provost determines the need for a formal investigation, the Senior Vice President and Provost will initiate that action within fifteen days by appointment of an Investigating Committee, and by immediately notifying, among others with a need to know, the Director of the Office of Research Integrity, if appropriate, and the funding source, if any, that an investigation is being undertaken. This notification is expected to occur within twenty-four hours of the determination of the need for a formal investigation. Under certain circumstances, the University may be expected to notify the sponsoring agency or funding source at a point prior to the initiation of an investigation. Factors used in determining the timing of such notification include the seriousness of the possible misconduct; the presence of an immediate health hazard; and consideration of the interests of the funding agency, the scientific community, the public, and the individual who is the subject of the inquiry or investigation and his/her associates.

~~(12) If, after reviewing the outcome of an inquiry, the Senior Vice President and Provost determines that a formal investigation is unwarranted, or if the inquiry is terminated for any reason, the Senior Vice President and Provost shall notify all involved individuals and make diligent efforts to restore the reputations of persons alleged to have engaged in misconduct when allegations are not confirmed, shall also undertake diligent efforts to protect the positions and reputations of those persons who, in good faith, have made allegations of scientific misconduct and, if appropriate, shall send a report to the Office of Research Integrity stating the intent to terminate the procedure without an investigation and including a description of the reasons for such termination. If the Investigating Committee finds the allegations not to have been brought in good faith, sanctions may be recommended against the individual(s) making the allegation.~~

~~(13) Records of the inquiry are confidential to the maximum extent possible and are to be passed on to an Investigating Committee only if formal review is initiated. The records of inquiry shall contain sufficiently detailed documentation of the inquiry to permit a latter assessment of the reasons for determining that an investigation was not warranted, if necessary. If a formal review is not initiated, the records shall be kept by the Senior Vice President and Provost for at least three years after completion of an inquiry and, if appropriate, shall, upon request, be provided to authorized Department of Health and Human Services personnel.~~

~~(C) Investigation~~

~~(1) The Senior Vice President and Provost shall appoint an Investigating Committee of no fewer than three senior faculty who are without conflict of interest, hold no appointment in the departments of either the individual(s) making the allegation or the Respondent, and have appropriate expertise for evaluating the information relevant to the case. Preferably, at least one member should not be associated with the University.~~

~~(2) The Senior Vice President and Provost shall notify the Respondent, in writing, that there will be an investigation and of the procedures to be used during the investigation. The Respondent will be informed of the proposed membership of the Investigating Committee to identify any bias or conflict of interest.~~

~~(3) The purpose of the Investigating Committee is to explore further the allegation and to determine whether serious scholarly misconduct has been committed and, if so, the extent of the misconduct. The investigation normally will include examination of all documentation, including but not necessarily limited to relevant research data and proposals, publications, correspondence, and memoranda of telephone calls. Interviews should be conducted of all individuals involved, including the Respondent and the individual(s) making the allegation, as well as other individuals who might have information regarding key aspects of the allegations. Respondent shall be given the opportunity to address the allegations and evidence at the interviews. Complete summaries of these interviews should be prepared, provided to the interviewed party for comment or revision, and included in the investigatory file. The Investigating Committee may request the involvement of outside experts. The investigation must be sufficiently thorough to permit the Investigating Committee to reach a firm conclusion about the validity of the allegation(s) and the scope of the wrongdoing or to be sure that further investigation will be unlikely to alter an inconclusive result. In the course of an investigation, additional information may emerge that may justify broadening the scope of the investigation beyond the initial~~

allegation. Should this occur, the Respondent is to be informed, in writing, of any significant new directions in the investigation. In addition to making a judgment on the veracity of the charges, the Investigating Committee may recommend to the Senior Vice President and Provost appropriate sanctions.

(4) As the University is responsible for protecting the health and safety of research subjects, patients, students, staff, and federal funds as well as the public interest, interim administrative action prior to conclusion of either the inquiry or the investigation may be indicated. Such action may range from restriction on some activities to full suspension of the Respondent. Notification of external sponsors and the Office of Research Integrity, if appropriate, may be initiated by the Provost.

(5) All individuals involved in the investigation are obligated to cooperate in a timely fashion by producing any additional data requested for the investigation. Copies of all materials obtained by the Investigating Committee shall be provided to the Respondent and to other concerned individuals as judged appropriate by the Investigating Committee.

(6) The proceedings of the Investigating Committee are confidential and will be closed. A tape recording of proceedings may be made.

(7) After the investigation has been completed, the Investigating Committee shall deliberate and prepare its findings and recommendations.

(8) All significant developments during the formal investigation as well as the interim and final findings and recommendations of the Investigating Committee will be reported by the Senior Vice President and Provost among others, to the research sponsor and the Office of Research Integrity, if appropriate.

(9) Every effort should be made to complete the investigation within 120 days; however, it is acknowledged that some cases may render this deadline difficult to meet. In such cases, the Investigating Committee shall compile a progress report, identify reasons for the delay, and request an extension from the Senior Vice President and Provost. The Senior Vice President and Provost shall convey to the funding or other relevant agency such information as may be required by it, at intervals as required by the agency.

(10) Upon completion of the investigation, the Investigating Committee shall submit to the Senior Vice President and Provost a full report that details the Investigating Committee's findings, recommendations, and the documentation to substantiate the investigation's findings. This report shall be sent to the Respondent by the Senior Vice President and Provost. The Respondent shall be given twenty working days to comment in writing on the allegations, evidence, findings, and recommendations of the Investigating Committee. Based on all the information received, the Senior Vice President and Provost shall then make the decision regarding application of sanctions and shall so inform the Respondent in writing of the sanctions and the appeal process. If the sanctions involve the recommendation for termination of employment, the academic termination procedures of the University will be invoked.

(11) When appropriate, the Senior Vice President and Provost will submit the final report of the investigation to the Director of the Office of Research Integrity and the funding agency. In cases

involving a recommendation for severe sanctions, the notification will state, “these sanctions are being recommended and, following University policy, appropriate procedures to appeal the case will be initiated.” On occasion the sanction may require a request for an extension. At the conclusion of the University process, the final report will be submitted. The final report must describe the policies and procedures under which the investigation was conducted, how and from whom information was obtained relevant to the investigation, the findings and the basis for the findings and the actual text or accurate summary of the views of any individual(s) found to have engaged in misconduct, as well as a description of any sanctions taken by the University.

(12) If the formal investigation is terminated for any reasons without completing all of the requirements for an investigation, the Provost shall, if appropriate, send a report to the Office of Research Integrity stating the intent to terminate the investigation, including a description of the reasons for such termination.

(D) Resolution

Finding of absence of scholarly misconduct. All research sponsors and others initially informed of the investigation shall be informed in writing that allegations of misconduct were not supported. If the allegations are deemed to have not been made in good faith, appropriate disciplinary action should be taken against the individual(s) making the allegation. If the allegations, however incorrect, are deemed to have been made in good faith, no disciplinary measures are indicated and an effort should be made to prevent retaliatory actions. In publicizing the findings of no misconduct, the University should be guided by whether public announcements will be harmful or beneficial in restoring any reputation(s) that may have been lost or damaged. Usually, such decisions should rest with the person who was wrongfully accused. Reasonably appropriate efforts, should be undertaken to restore the reputations of persons alleged to have engaged in misconduct when allegations are not confirmed and to protect the positions and reputations of those persons who, in good faith, make allegations.

(E) Findings of scholarly misconduct

The Senior Vice President and Provost is responsible for notification of all federal agencies, sponsors, or other entities initially informed of the investigation of the finding of scholarly misconduct. The University should take action appropriate for the seriousness of the misconduct, including but not limited to the following:

(1) Notification

Consideration should be given to formal notification of the following, among other appropriate entities:

- (a) Sponsoring agencies, funding sources
- (b) Co-authors, co-investigators, collaborators
- (c) Department, school, or University
- (d) Editors of journals in which fraudulent research was published
- (e) Editors of other journals or publications, other institutions, other sponsoring agencies, and funding sources with which the individual has been affiliated
- (f) State professional licensing boards

~~(g) Professional societies.~~

~~(2) Institutional Disciplinary Action—including, but not limited to, the following:~~

- ~~(a) Removal from particular project~~
- ~~(b) Special monitoring of future work~~
- ~~(c) Letter of reprimand~~
- ~~(d) Probation for a specified period with conditions specified~~
- ~~(e) Suspension of rights and responsibilities for a specified period, with or without salary~~
- ~~(f) Termination of employment.~~

~~(F) Appeal~~

~~Individuals may appeal the judgment of the Investigating Committee and/or the sanction. A written statement of the grounds for the appeal must be submitted to the President within thirty days of written notification of the results of the investigation. Grounds for appeal include, but are not limited to, new/ previously unconsidered evidence that was not available earlier, sanctions not in keeping with the findings, conflict of interest not previously known among those involved in the investigation, or other lapses in due processes. Upon receipt of a written appeal, the President will evaluate the evidence and make a determination. The President may, at his/her discretion, reopen the investigation. The President's decision will be binding on all parties and will be conveyed to all involved in a timely fashion. In case of termination of employment, the decision may be appealed according to University policy and procedure.
(Regents, 5-9-85, 12-20-89, 6-25-97, 3-29-00, 1-27-04)~~

A. INITIATION

Initial allegations or evidence of scholarly misconduct may be reported to any faculty member or staff administrator, who must then report the allegations to the Senior Vice President and Provost or his or her designee of the campus to which the person against whom the allegation is made (“Respondent”) is appointed or is otherwise primarily associated. The appropriate designee in most situations will be the Vice President for Research. If the person to whom the report would normally be given is involved in some way in the alleged misconduct, the next higher academic officer shall be informed of the allegations/evidence. The Senior Vice President and Provost and his or her designee shall collaborate in scholarly misconduct investigations and proceedings as deemed necessary.

Upon receipt of an allegation of scholarly misconduct, the Provost shall informally review the allegations, confer with University Legal Counsel and the appropriate senior officer(s) in the area in which the scholarly misconduct is alleged to have occurred, and determine whether the allegations warrant further review through the Inquiry Committee process outlined herein or whether other University policies or procedures should take precedence. If more than one University procedure applies, the Provost, in consultation with the appropriate vice president and University Legal Counsel, will determine which procedure to use.

Because the University is responsible for acting in the public interest to protect the health and safety of research subjects, patients, students, and employees; protection of the research community; and for lawful and appropriate use of private and public funds, the Provost may take interim administrative action as he or she deems appropriate or as required by law. Such action may include but is not limited to restriction of some activities or full suspension of the Respondent, notifying external sponsors, and implementing procedures to ensure the purposes of any federal or other funds are carried out during the internal examination of the alleged scholarly misconduct.

Upon determining that the allegation of scholarly misconduct falls within the scope of this policy and is sufficiently significant to warrant referral to a Committee of Inquiry, the Provost shall: (i) inform the Respondent of the allegation, his or her determination to refer the matter to the Committee of Inquiry, and the policies and procedures to be used; and (ii) inform the individual(s) making the allegations (“Reporting Individual”) of the policies and procedures to be used. The Provost also shall initiate reasonable and practical steps to obtain custody of Materials (defined above), inventory, and securely sequester the Materials in a safe manner, as appropriate. Where Materials are shared by a number of users, custody may be limited to copies of the data or evidence on scientific instruments, so long as the copies are duplicates of the original Materials and substantially equivalent to the evidentiary value of the instruments.

If the Reporting Individual cannot or chooses not to make a formal complaint but the Provost believes the allegations fall within the definition of scholarly misconduct and are sufficiently credible and specific as could lead to the identification of potential evidence of scholarly misconduct, the Provost shall refer the allegations to the administrative head of Respondent’s academic or administrative unit (Chair, Director, etc.) to review, in consultation with the appropriate vice president and University Legal Counsel, and determine whether the allegations warrant further review. If so, the unit head shall so inform the Provost and will be deemed the Reporting Individual. The Provost will then initiate an inquiry into the allegations. The University will pursue an allegation of scholarly misconduct to its conclusion, even if the Respondent leaves or has left the University before the matter is resolved.

If allegations of scholarly misconduct appear to have merit, the Respondent admits to the allegations of scholarly misconduct, accepts the Provost’s sanctions, and waives the right to appeal, the Provost may document appropriate terms and conditions of the agreed resolution in a writing signed by both parties and terminate further Scholarly Misconduct Proceedings. Prior to its conclusion, the Provost will notify external funding sources, when appropriate.

All Materials are confidential and proceedings of the Inquiry and Investigation Phases of the Scholarly Misconduct Proceedings will be closed. Disclosure of information related to an allegation of scholarly misconduct is limited, to the extent reasonably possible, to those having a need to know. The Provost shall allow all parties reasonable access to Materials in accordance with University policy or as may be required by law. The Provost and the members of the Inquiry Committee and Investigating Committee are responsible for the security of Materials and records of proceedings in their possession to avoid to the extent possible, among other things, disclosure of the identities of research subjects, Respondents and Reporting Individuals except to those having a need to know to carry out a Scholarly Misconduct Proceeding or as otherwise allowed by law.

B. INQUIRY PHASE

1. Within ten (10) calendar days of receipt of an allegation of scholarly misconduct, the Provost shall appoint an Inquiry Committee composed of no fewer than three tenured faculty who have no real or apparent conflict of interest in the matter, have no appointment in the department of either the Reporting Individual or the Respondent, and have appropriate expertise for evaluating information relevant to the case. The purpose of the Inquiry Committee is to engage in preliminary information-gathering and preliminary fact-finding to determine if an allegation is deserving of formal investigation and, if formal investigation is not warranted, to make recommendations concerning disposition of the case; e.g., dismissal of the claim of scholarly misconduct and restoration of the Respondent's reputation. As a preliminary review, an Inquiry does not require and normally should not include a full review of all evidence related to an allegation of scholarly misconduct.
2. Upon appointment of an Inquiry Committee, the Provost shall promptly notify the Respondent, in writing, of the membership of the Inquiry Committee. The Respondent may challenge Committee members for bias or conflict of interest by submitting a written statement to the Provost, describing the bias or conflict of interest. The Provost shall promptly determine whether a Committee member is to be disqualified and replaced.
3. While anonymity cannot be assured, where the Reporting Individual wishes to remain anonymous, the Provost shall inform the Reporting Individual that his or her identity may need to be disclosed in order to complete the Inquiry when his or her testimony is important to substantiate the allegations or if federal funds are involved. The Inquiry Committee shall endeavor to maintain that anonymity to the degree compatible with accomplishing the fact-finding purpose of the Inquiry and consistent with applicable law.
4. The Provost will provide the Inquiry Committee with Materials in his or her custody, or copies thereof. The Inquiry Committee may request any additional Materials and interview any individuals possessing relevant information it deems reasonably necessary to determine whether an allegation of scholarly misconduct warrants formal investigation. A formal investigation is warranted if the allegation falls within the definition of scholarly misconduct and is sufficiently credible and specific so that potential evidence of scholarly misconduct may be identified.
5. University employees shall cooperate with the Inquiry Committee by testifying if asked and supplying Materials promptly upon request. If any University employee fails to cooperate with the Inquiry Committee, disciplinary action may be taken in accordance with University policy.
6. The Reporting Individual, Respondent, and all other material witnesses may have the assistance and advice of personal legal counsel, at their own expense; however, all parties and witnesses are expected to speak for themselves in the interviews. Personal legal counsel may not actively participate in the Inquiry and Investigation phases, except to advise their clients. The Inquiry or Investigation Committee may receive the assistance of the Office of Legal Counsel at any point in the Scholarly Misconduct Proceeding.

7. The Inquiry Committee is expected to complete its review and submit a written report (the "Inquiry Report") to the Provost within fifty (50) calendar days of written notice to the Respondent that an Inquiry Committee has been named. Provided, if the deadline for any action required in this policy falls on a weekend or University holiday, the deadline shall be automatically extended to the next University business day.
8. The Inquiry Report shall describe the evidence reviewed, summarize relevant interviews, and include findings and recommendations of the Committee with sufficiently detailed documentation of the Inquiry findings, process, and procedures as to clearly apprise the Provost of the basis of and reasons for determining that an Investigation is either warranted or not warranted. If this deadline cannot be met, the Committee shall submit a written request to the Provost for an extension of time, describing the reasons for the delay, progress made, and the anticipated time frame for completion. The Provost shall determine whether an extension should be granted. All necessary individuals shall be informed of the Provost's decision.
9. The Inquiry Committee shall send the Inquiry Report to the Provost, who shall promptly provide a copy to the Respondent. The Respondent shall have ten (10) calendar days to submit written comments on the Committee's findings and recommendations, which comments shall be provided to the Provost and attached to the Inquiry Report.
10. Investigation Warranted. If, after reviewing the Inquiry Report, Respondent's comments, and any recommendations, the Senior Vice President and Provost determines there is a need for a formal investigation, then within thirty (30) calendar days of receipt of the Inquiry Report the Provost will: (i) appoint an Investigating Committee; (ii) notify the Respondent in writing of the determination; and (iii) notify the sponsoring agency or funding source of the research at issue. Factors used in determining the timing of such notice may include the seriousness of the alleged misconduct; the presence of an immediate health hazard; and the interests of the funding agency, the scientific community, the public, and the individual(s) who is the subject of the Inquiry or Investigation and his or her associates.
11. Investigation Not Warranted. If, after reviewing the Inquiry Report and Respondent's comments, the Senior Vice President and Provost determines a formal Investigation is not warranted, or if the Inquiry is terminated for any reason, the Provost shall notify the Reporting Individual, Respondent, and other necessary individuals of the results of the Inquiry or the reasons for its termination. Notice shall include a copy of or reference to the federal regulations, if applicable, and the University's relevant policies and procedures. Furthermore, the Provost shall take steps to effect restoration of reputations and prevent retaliation as provided in Section D(1)(a), below.
12. After completion of the Inquiry Phase, all Materials and Inquiry proceeding records shall be returned to the Senior Vice President and Provost and if a formal Investigation is not initiated, maintained in a secure manner in the Office of the Senior Vice President and Provost for a period of time required by applicable law.

A copy of the Materials will be provided, upon specific request, as required by applicable law.

C. INVESTIGATION PHASE

1. The Provost shall appoint an Investigating Committee of no fewer than three members, two of whom must be tenured University of Oklahoma faculty and the third member shall be a senior faculty member elsewhere if practical under the circumstances. In all cases, Investigating Committee members can have no real or apparent conflict of interest in the matter, hold no appointment in the departments of either the Reporting Individual or the Respondent, and have appropriate expertise for evaluating the information relevant to the case.
2. The Provost shall promptly notify the Respondent in writing of the procedures to be used during the investigation and the membership of the Investigating Committee. The Respondent may challenge Committee members for bias or conflict of interest by submitting a written statement to the Provost, describing the bias or conflict of interest. The Provost shall determine whether a member is to be disqualified and replaced.
3. The purpose of the Investigating Committee is to further explore the allegations; to determine whether the Respondent engaged in scholarly misconduct and to make recommendations.
4. A finding of scholarly misconduct requires proof, by a preponderance of the evidence, that scholarly misconduct was committed intentionally, knowingly or recklessly and was more than an insignificant departure from accepted practices of the relevant academic or research community. The University has the burden of proving scholarly misconduct; i.e., it is more likely true than not that the Respondent committed scholarly misconduct as described in this paragraph 4.
5. The Investigation shall generally follow the process outlined below:
 - a. The Provost shall provide the Investigating Committee with the Inquiry Committee record and Materials assembled to this point. The Investigating Committee will examine such information together with any additional Materials the Investigating Committee considers relevant.
 - b. The Investigating Committee shall interview the Reporting Individual, Respondent, and all other individuals who have been identified as possessing relevant and material information about the alleged scholarly misconduct.
 - c. The Respondent shall be given the opportunity to address the allegations and evidence, assert any defenses, and raise relevant mitigating factors at Respondent's interview. The Respondent has the burden of going forward with and proving, by a preponderance of the evidence, any affirmative defenses or, as relevant to the imposition of sanctions, mitigating factors. The Investigating Committee shall give due consideration to admissible and credible evidence of honest error or recognized difference of opinion presented by Respondent.

- d. The Investigating Committee shall record all interviews and provide a copy of each recorded interview or a transcript of the interview to the interviewed individual for correction. The corrections shall be included in the Investigation record. The Investigating Committee will inventory the Inquiry Committee record and other Materials and keep them in a secure manner pending delivery to the Provost for archiving.
- e. Expenses related to use of any outside experts requested by the Investigating Committee that exceed *de minimis* costs shall require authorization by the Provost.
- f. The Investigating Committee shall thoroughly examine the matter to determine: (i) whether the allegations of scholarly misconduct are more likely true than not; (ii) the scope of wrongdoing, if any; or, (iii) if its findings are inconclusive, whether further investigation will be unlikely to alter the findings.
- g. In the course of the Investigation, additional information may emerge that justifies broadening the scope of the Investigation, in which case the Investigating Committee shall inform the Provost, who shall inform the Respondent and others having a need to know, in writing, of any significant new directions in the Investigation.
6. University employees shall cooperate with the Investigating Committee by testifying if asked and supplying Materials promptly upon request. If any University employee fails to cooperate with the Investigation Committee, disciplinary action may be taken in accordance with University policy.
7. The Provost shall report all significant developments during the Investigation to the research sponsor when appropriate.
8. The Investigating Committee shall make every effort to complete the Investigation and submit the investigation report within eighty (80) calendar days. However, this deadline may be impossible to meet; e.g., due to the complexity of the case or absence of crucial witnesses, in which event the Investigating Committee shall submit a progress report to the Provost, identifying reasons for the delay and requesting an extension of time. The Provost shall determine whether an extension should be granted.
9. Upon completion of the Investigation, the Investigating Committee shall deliberate and then draft a full report to the Provost that details its findings and the substantiating documentation together with its recommendations (the “Interim Investigation Report”), who shall forward the report to the Respondent. The Provost shall provide the Respondent with copies of or reasonable, supervised access to the evidence upon which the Interim Investigation Report is based. The Respondent shall have up to thirty (30) calendar days to provide his or her written comments on the Interim Investigation Report and shall forward any such comments to the Provost and Investigating Committee. The Investigating Committee will have ten (10) days to consider Respondent’s comments and submit a final report of the Investigation (the “Final Report”) to the Provost, which shall include Respondent’s comments.

10. For each separate allegation of scholarly misconduct, the Final Report will provide: (i) a finding as to whether scholarly misconduct occurred and, if so (ii) specify the nature of the scholarly misconduct and whether it was an intentional, knowing, or reckless breach of integrity that amounted to more than an insignificant departure from the accepted practices of the relevant academic or research community; (iii) a summary of the facts supporting the conclusion including reasonable explanations by the Respondent; (iv) a description of the evidence and other Materials that support the finding; (iv) whether any publications need correction or retraction; and, (v) any recommendations of the Investigating Committee.
11. If there is a finding of scholarly misconduct, the Senior Vice President and Provost shall fully consider the Final Investigation Report, Respondent's comments, and any recommendations. If necessary, the Senior Vice President and Provost may consult the Investigating Committee for clarification. The Senior Vice President and Provost shall then inform the Respondent in writing of the findings and recommendations of the Investigating Committee; sanctions for the misconduct; and the appeal process. A copy of the Final Report shall be included in the Investigation record. Upon completion of the Investigation and any appeal under this policy, the Scholarly Misconduct Proceedings records and all Materials shall be maintained in a secure manner in the Office of the Senior Vice President and Provost or other secure location, as appropriate. A copy of relevant Materials will be provided, only upon specific request and as required by applicable law.
12. At the conclusion of the University's scholarly misconduct process, the Senior Vice President and Provost shall submit required reports to the funding agency, as appropriate. Such reports shall describe, as may be required, the nature of the allegations in general and the specific allegations of scholarly misconduct considered in the Investigation; current, pending, or proposed source of support; the institutional policies and procedures employed in the investigation; the research records and evidence reviewed along with that gathered but not reviewed. For each separate allegation of research misconduct, the report must provide a finding whether misconduct occurred and if so who was responsible; whether the type of scholarly misconduct was intentional, knowing, etc.; whether any publications need correction or retraction; identify the specific sources of support and any pending proposals with federal agencies; list and summarize the facts and analysis which support the conclusions and the reasonable explanations by Respondent; and, describe sanctions recommended and/or imposed by the University. In such cases involving a recommendation for severe sanctions against a faculty Respondent, the Senior Vice President and Provost will include a statement to the effect that severe sanctions have been recommended and the matter will be determined in accordance with University Policy.

D. RESOLUTION

1. Allegations of Scholarly Misconduct Not Supported

If the allegations of scholarly misconduct are not supported by the evidence, the Senior Vice President and Provost shall inform, in writing, all applicable research sponsors, others initially informed of the Investigation and others, as required by law, that allegations of scholarly misconduct were not supported. If, at the conclusion of the Investigation, the Investigating Committee and/or the Senior Vice President and Provost conclude the allegations or testimony of a witness(s) were not made in Good Faith, the Provost shall initiate appropriate disciplinary action against the Reporting Individual or witness(s). If the allegations or testimony, however incorrect, are deemed to have been made in Good Faith, the Senior Vice President and Provost shall take no disciplinary measures against the Reporting Individual or witness (s) and shall endeavor to prevent retaliatory actions against them. In disseminating the findings, the University should be guided by whether public announcements would be harmful or beneficial in restoring any reputation(s) that may have been harmed. Usually, such decisions rest with the Respondent.

a. Restoration of Damaged Reputation

At the conclusion of the process, whether at the Inquiry or Investigation phase, the Senior Vice President and Provost shall undertake all reasonable and practical efforts, if requested and as appropriate, to protect or restore the reputations of persons alleged to have engaged in scholarly misconduct but against whom no finding of scholarly misconduct is made. The Senior Vice President and Provost also shall endeavor to protect the Reporting Individual, Committee members, and witnesses from retaliation who, in Good Faith, made the allegation or cooperated in the Scholarly Misconduct Proceedings.

2. Allegations of Scholarly Misconduct Supported

If the Investigating Committee determines that the allegations of scholarly misconduct are supported by the evidence, the Senior Vice President and Provost shall inform all federal agencies, sponsors, and others, as appropriate, who were initially informed of the Investigation of the finding of scholarly misconduct. The Senior Vice President and Provost, in consultation with the Office of Legal Counsel, shall take action appropriate for the seriousness of the misconduct, including but not limited to the following:

a. Notification of Findings

Following conclusion of the Investigation and internal appeals therefrom, the Senior Vice President and Provost shall consider giving formal notice of the results of the Investigation to some or all of the following, among other required or appropriate entities:

- i. Sponsoring agencies, funding sources
- ii. Co-authors, co-investigators, collaborators
- iii. Department, school, college, or other institution

- iv. Editors of journals in which fraudulent or plagiarized research was published
- v. Editors of other journals or publications, other institutions, other sponsoring agencies, and funding sources with which the individual has been affiliated
- vi. State professional licensing boards
- vii. Professional societies

b. Sanctions

Potential sanctions include, but are not limited to, the following:

- i. Removal from particular project
- ii. Special monitoring of future work
- iii. Letter of reprimand
- iv. Probation for a specified period with conditions specified
- v. Suspension of identified rights and responsibilities for a specified period, with or without salary
- vi. Salary reduction
- vii. Demotion in rank
- viii. Termination of employment/abrogation of tenure

E. APPEAL

The Respondent may appeal the findings of the Investigating Committee and the Senior Vice President and Provost's sanctions by submitting a written statement of the grounds for the appeal to the President within thirty (30) calendar days of written notification of the results of the Investigation and sanctions of the Provost. Grounds for appeal include new or previously unconsidered evidence that was not available earlier, sanctions not in keeping with the findings, a conflict of interest not previously known among those involved in the Scholarly Misconduct Proceedings, and other lapses in due process; provided that any technical departures from prescribed procedures or processes will not invalidate findings, recommendations or proceedings unless they are such as to have prevented a fair determination of the issue(s).

If the Respondent appeals the findings of the Investigating Committee and/or sanctions, the President shall so notify the Senior Vice President and Provost, who will have fifteen (15) calendar days to submit a written response to the President. Upon receipt of a timely written appeal and response from the Senior Vice President and Provost, the President will review the matter, evaluate any response and the evidence and accept, modify, or reject the Investigating Committee's findings and/or the Senior Vce President and Provost's sanction or remand the matter for further Investigation or consideration. Except as provided below, the President's decision will be binding on all parties and will be conveyed in a timely fashion to those previously notified per Section 3.26.2(D) above.

For cases in which the President either accepts the Senior Vice President and Provost's decision to impose severe sanctions on a faculty Respondent as provided in Section 3.8 *et seq.* of the Faculty Handbook or imposes such a sanction, the Respondent may appeal the decision by giving written notice to the President, Senior Vice President and Provost and Chair of the Faculty Appeals Board (FAB) within twenty (20) calendar days of the written notice of the President's decision. If Respondent appeals, the President or the President's designee, as appropriate, shall file a formal written complaint with the Faculty Appeals Board and Respondent as soon as practical within sixty (60) calendar days of receipt of the Respondent's notice of appeal. Respondent shall have twenty (20) calendar days to provide a written response to the complaint. Sections 3.8.8(A), (B), and (C) of the Norman Campus Faculty Handbook are deemed satisfied by the findings of the Investigating Committee and the matter shall proceed under the severe sanctions process with selection of the Hearing Committee pursuant to Section 3.9.1(B)(7) of the Norman Campus Faculty Handbook.

For cases in which the President either accepts the Senior Vice President and Provost's decision to dismiss a staff Respondent or imposes such sanction, the Respondent may appeal the termination according to University policy and procedure.

3.26.3 OFFICE OF RESEARCH INTEGRITY

In accordance with federal regulations, if the University or a University employee applies for or receives Public Health Service ("PHS") support for intramural or extramural biomedical or behavioral research, biomedical or behavioral research training or activities related thereto and if allegations of research misconduct involving fabrication, falsification, or plagiarism in proposing, performing, or reviewing research, or in reporting research results are made, then the Provost shall inform the Office of Research Integrity ("ORI"), the office that oversees and directs PHS research integrity activities on behalf of the Secretary of Health and Human Services. The Provost shall notify ORI of the events of the scholarly misconduct proceedings as appropriate, including but not limited to the following circumstances:

A. INQUIRY

1. If the Inquiry Committee requires an extension to complete its Inquiry, the Provost must notify and seek approval from ORI.
2. ORI will receive a copy of the Inquiry Report, Respondent's comments, the Provost's recommendations (if applicable), a description of the PHS support, the basis for recommending an Investigation, and such further information as the Director may request.
3. If the University decides an Investigation is not warranted, the Provost shall send a report informing the Director of ORI of the University's intent to terminate the process and include a description of the reasons for such termination.

B. INVESTIGATION

1. The Provost shall report all significant developments during the Investigation to the Director of ORI.

2. If the Investigating Committee requires an extension to complete its investigation, the Provost must notify and seek approval from ORI.

C. APPEAL

1. If the University requires more than 120 days to conduct and conclude an Appeal from the notification of the findings of the Investigation, the Provost must notify and seek approval from ORI.
2. At the conclusion of the University's scholarly misconduct process, the Provost shall submit all required reports as outlined in 3.26.2 (c) (12), including a record of any appeals, to ORI along with a description of any pending or completed administrative actions against the Respondent.
3. The University must notify ORI in advance if it plans to close a case at the Inquiry, Investigation, or Appeal phase on the basis that the Respondent has admitted guilt, a settlement with the Respondent has been reached, or for any other reason.

(Regents, 5-9-85, 12-20-89, 6-25 -97, 3-29-00, 1-27-04, __ - __ -17)

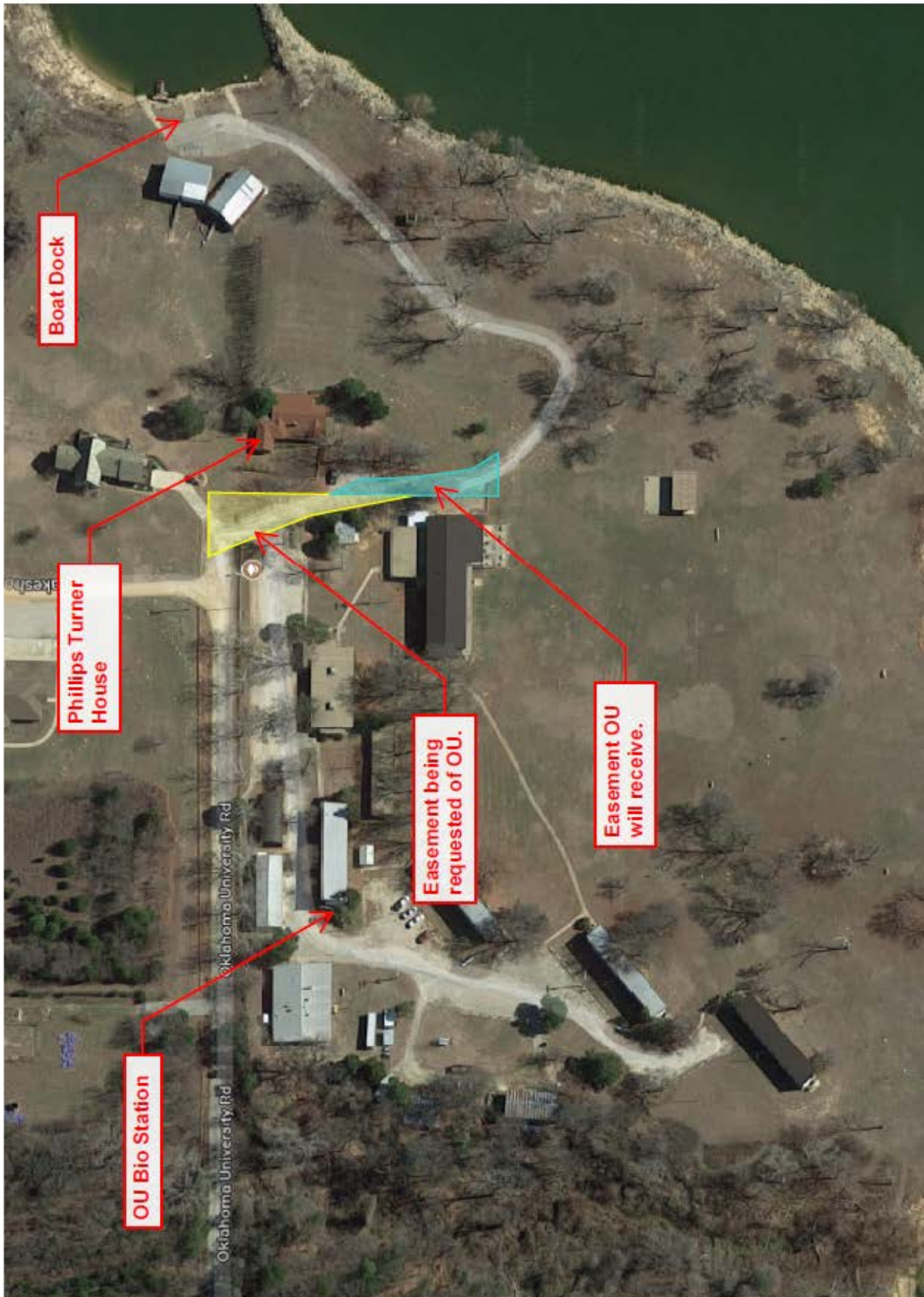
Housing Complex	% Inc.	Current Rates 2017-2018	Proposed Increase	New Rate 2018-2019
Freshmen Housing				
Residence Halls / Semester				
Towers (Walker, Adams, Couch)		Rate per Semester		
Double Room	3%	\$3,096	\$93	\$3,189
Single Room	7%	\$4,702	\$329	\$5,031
Super Suite Triple	5%	\$4,003	\$200	\$4,203
Super Suite Double	3%	\$5,874	\$176	\$6,050
Quads (Cate 3, DLB Hall)				
Double	3%	\$2,516	\$75	\$2,591
Single	3%	\$3,711	\$112	\$3,823
Headington Hall				
2bed / 2 bath single	2%	\$5,403	\$108	\$5,511
2 bed / 2 bath double	4%	\$3,671	\$146	\$3,817
2bed / 1 bath single	4%	\$4,482	\$179	\$4,661
4bed / 2 bath single	4%	\$3,941	\$157	\$4,098
Meal Plan	5%	\$2,198	\$110	\$2,308
Combined Room and Board				
Double Room with Meal Plan	4%	\$5,294	\$203	\$5,497
Upper-Class Housing				
Residential Colleges				
Double Pod	0%	\$3,999	\$0	\$3,999
Single Pod	0%	\$5,099	\$0	\$5,099
Single Pod / Living	0%	\$5,299	\$0	\$5,299
Single Suite	3%	\$5,999	\$180	\$6,179
Triple Suite	0%	\$5,499	\$0	\$5,499
Traditions Square East				
2 bed / 2 bath Single	12%	\$2,813	\$338	\$3,151
2 bed / 1 bath Single	10%	\$2,480	\$248	\$2,728
4 bed / 2 bath Single	8%	\$2,403	\$192	\$2,595
Traditions Square West				
2 bed / 2 bath Single	6%	\$2,813	\$169	\$2,982
2 bed / 1 bath Single	5%	\$2,480	\$124	\$2,604
4 bed / 2 bath Single	4%	\$2,403	\$96	\$2,499

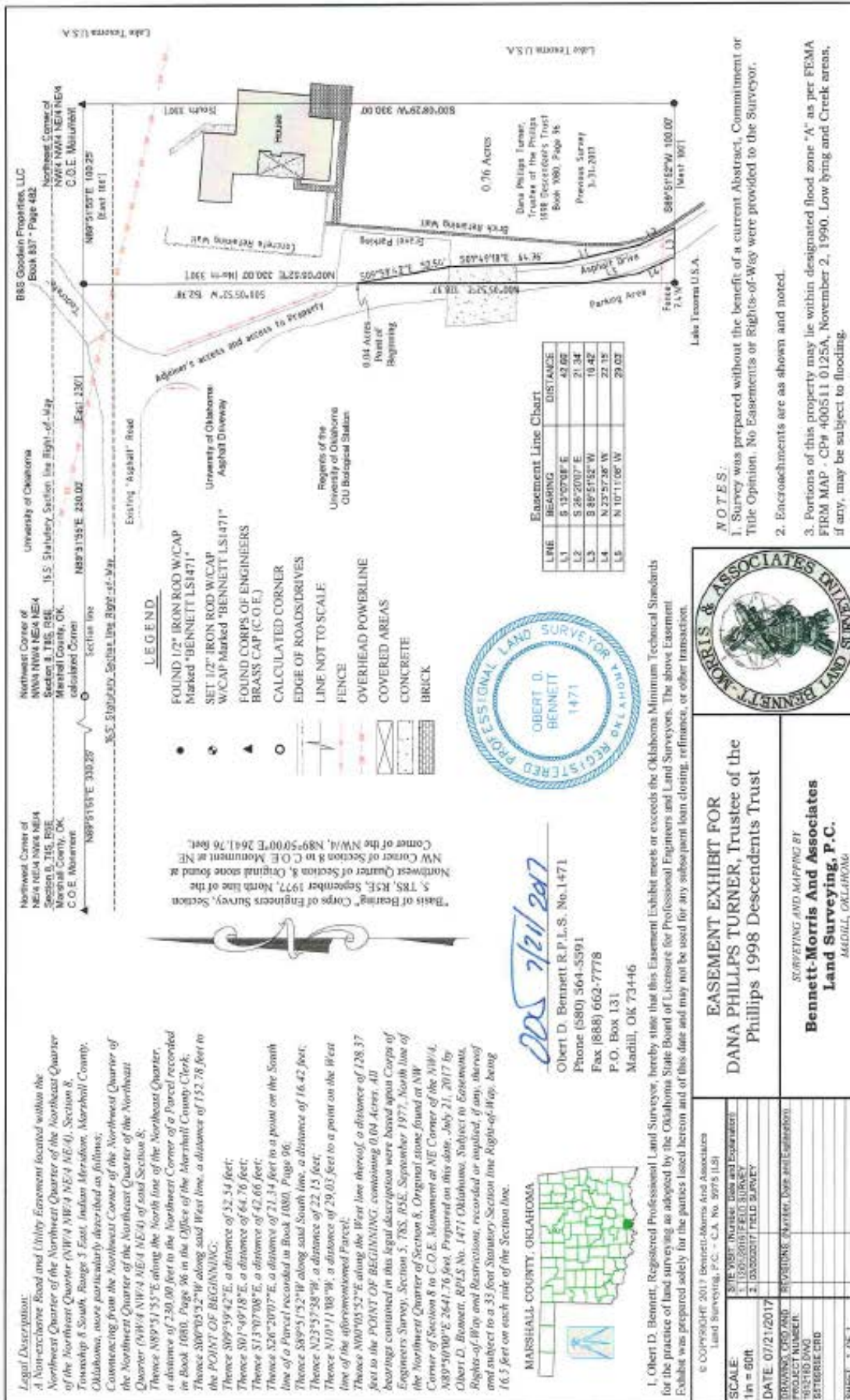
HSC University Village		Rate per Month		
Flat	3%	\$623	\$19	\$642
Townhouse	3%	\$966	\$29	\$995
Kraettli Apartments				
2 bed Furnished	3%	\$772	\$23	\$795
2 bed unfurnished	3%	\$685	\$21	\$706

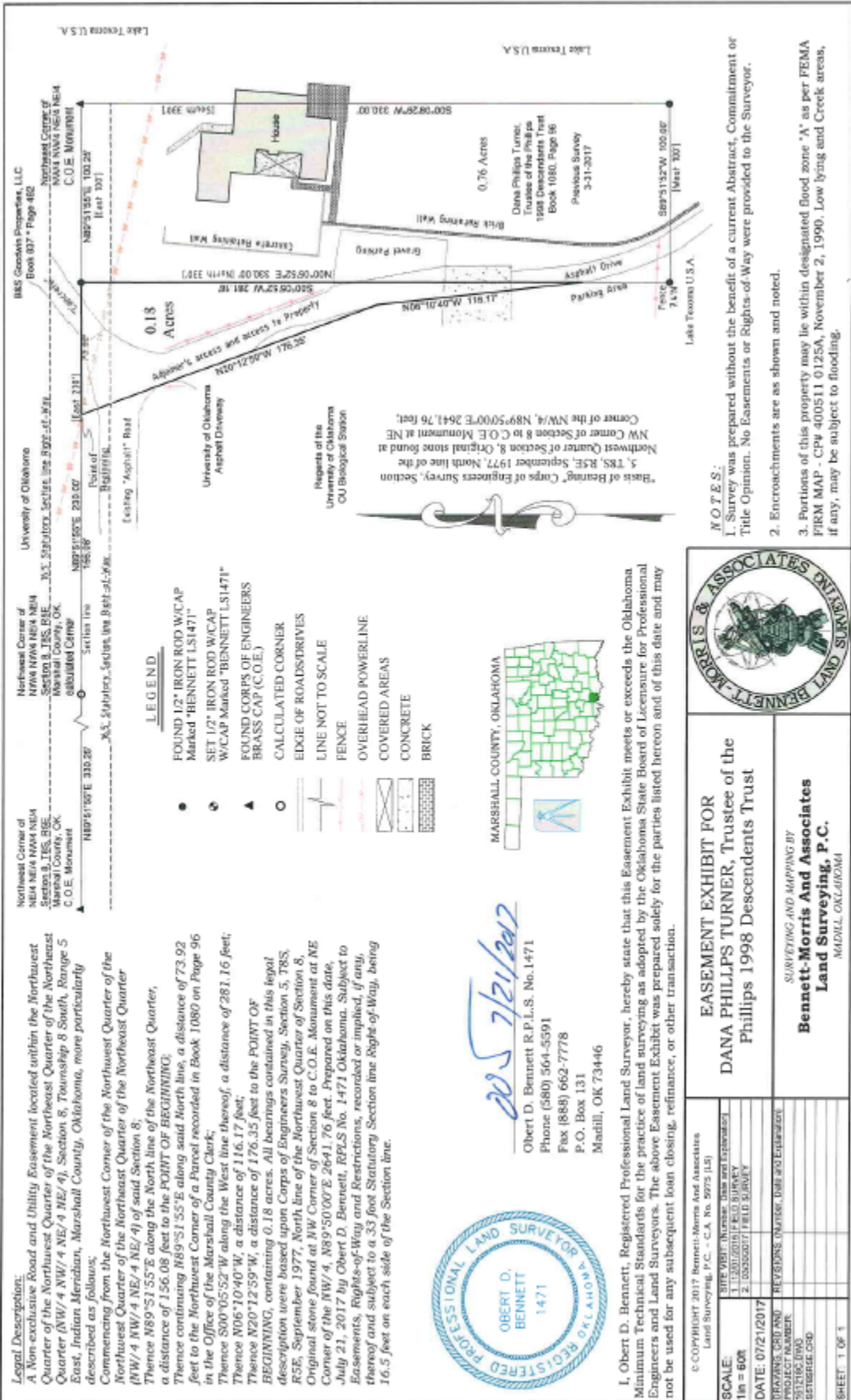
Comparison of Room and Board Rates of the Big 12 Schools

Double occupancy room with standard meal plan 2018-2019 academic year.

Rank	Institution	FY18	FY19 (Assumes a 3% Rate Increase for other institutions)
1	TCU	\$12,300	\$12,669
2	Baylor	\$11,756	\$12,108
3	Texas	\$11,521	\$11,866
4	West Virginia	\$10,710	\$11,031
5	Oklahoma	\$10,588	\$10,994
6	Kansas State	\$10,390	\$10,701
7	Kansas	\$10,369	\$10,680
8	Oklahoma State	\$10,330	\$10,640
9	Iowa State	\$9,979	\$10,278
10	Texas Tech	\$9,388	\$9,669







Obert D. Bennett
 Obert D. Bennett R.P.L.S. No. 1471
 Phone (580) 564-5591
 Fax (888) 662-7778
 P.O. Box 131
 Madill, OK 73446

I, Obert D. Bennett, Registered Professional Land Surveyor, hereby state that this Easement Exhibit meets or exceeds the Oklahoma Minimum Technical Standards for the practice of land surveying as adopted by the Oklahoma State Board of Professional Engineers and Land Surveyors. The above Easement Exhibit was prepared solely for the parties listed hereon and of this date and may not be used for any subsequent loan closing, refinancing, or other transaction.

EASEMENT EXHIBIT FOR DANA PHILLIPS TURNER, Trustee of the Phillips 1998 Descendants Trust	
SURVEYING AND MAPPING BY Bennett-Morris and Associates Land Surveying, P.C. MADILL, OKLAHOMA	
SCALE: 1 in = 60 ft	SHEET NUMBER: 1 OF 1
DATE: 07/21/2017 DRAWING NO: 17-001 PROJECT NUMBER: 17-001	SURVEYOR'S NAME: BENTNETT, MORRIS & ASSOCIATES SURVEYOR'S NO: 1471
© COPYRIGHT 2017 Bennett-Morris and Associates Land Surveying, P.C. - C.A. No. 9275 (LS)	