

**MINUTES OF THE ANNUAL MEETING
THE UNIVERSITY OF OKLAHOMA
MARCH 9, 2016**

MINUTES	<u>Page</u>
Regular meeting held January 28, 2016.....	35023
ELECTION OF OFFICERS OF THE BOARD OF REGENTS	
Chairman, Vice Chairman and Executive Secretary.....	35036
Resolution Honoring Years of Service	35034
<u>ROGERS STATE UNIVERSITY</u>	
REPORT OF THE PRESIDENT OF THE UNIVERSITY	35023
Housing Rates	35024
Revisions to the Regents' Policy Manual – Cameron University/Rogers State University.....	35025
Academic Promotion & Tenure Actions.....	35025
Academic Personnel Actions	35026
Quarterly Report of Purchases	35026 35027
Quarterly Financial Analysis	35026 35027
<u>CAMERON UNIVERSITY</u>	
REPORT OF THE PRESIDENT OF THE UNIVERSITY	35028
Substantive Program Changes	35028
Refunding Master Equipment Lease Revenue Bonds	35029
Revisions to the Regents' Policy Manual – Cameron University/Rogers State University.....	35030
Academic and Administrative Personnel Actions	35030
Nonsubstantive Program Changes	35031
Curriculum Changes	35031
Quarterly Report of Purchase Obligations.....	35031 35032
Quarterly Financial Analysis	35031 35032

THE UNIVERSITY OF OKLAHOMA

REPORT OF THE CHAIRMAN OF THE BOARD OF REGENTS35036
REPORT OF THE PRESIDENT OF THE UNIVERSITY35032

HEALTH SCIENCES CENTER

Professional Service Agreements35036
Cancer Center Clinic and Patient Support Facility35037
Health Sciences Center Quarterly Financial Analysis35039
Engagement of Outside On-Call Consultant.....35039
On-Call Architects, Engineers and Construction Managers Quarterly Report.....35039
Quarterly Report of Purchases35039
35040
Nonsubstantive Program Changes35039
35040
Norman Campus Center Quarterly Financial Analysis35039
35044

NORMAN CAMPUS

Proposals, Contracts and Grants35044
Substantive Program Changes35045
Naming Renovated Area in the Donald E. Pray Law Library of Coats Hall.....35050
General, Limited and Special Obligation Debt.....35051
Physics and Astronomy Facilities.....35052
Master Developer.....35053
2016-2017 Student Activity Fee Budget.....35054
Regents’ Award for Outstanding Juniors.....35055
Regents’ Award for Superior Staff Awards.....35055
Staff Week Resolutions.....35056
Modification to Board of Regents’ Policy – University of Oklahoma35057
Acquisition and Sale of Property, McKinley Avenue35057
Acquisition and Sale of Property, Trout Avenue.....35058
Regents’ Faculty Awards.....35058
Distinguished Professorships35058

Academic Personnel Actions	35059
Administrative and Professional Personnel Actions.....	35074

**MINUTES OF THE ANNUAL MEETING
THE UNIVERSITY OF OKLAHOMA BOARD OF REGENTS
MARCH 9, 2016**

The Annual Meeting of the Board of Regents governing The University of Oklahoma, Cameron University, and Rogers State University was called to order in the Harold Hamm Diabetes Center on the Health Sciences Center Campus in Oklahoma City, Oklahoma, at 9:17 a.m. on March 9, 2016.

The following Regents were present: Jon Stuart, Chairman of the Board, presiding; Regents Max Weitzenhoffer, Clayton I. Bennett, Kirk Humphreys, Leslie J. Rainbolt-Forbes and Bill W. Burgess, Jr.

Others attending all or a part of the meeting included Mr. David L. Boren, President of The University of Oklahoma; Dr. J. Kyle Harper, Senior Vice President and Provost – Norman Campus; Jason Sanders, M.D., Senior Vice President and Provost – Health Sciences Center Campus; OU-Tulsa President John Schumann, M.D.; Vice Presidents Catherine Bishop, Tripp Hall, Joe Harroz, Nicholas Hathaway and Clarke Stroud; Chief Legal Counsel Anil Gollahalli; and Executive Secretary of the Board of Regents, Dr. Chris A. Purcell. Executive Associate Athletic Director Larry Naifeh was present for Vice President Joe Castiglione, who was serving as Chairman of the NCAA Division I Men's Basketball Committee.

Attending the meeting from Cameron University was Dr. John McArthur, President of the University.

Attending the meeting from Rogers State University was Chief Legal Counsel Anil Gollahalli, representing Dr. Larry Rice, President of the University.

Notice of the time, date and place of this meeting was submitted to the Secretary of State, and the agenda was posted in the Office of the Board of Regents on or before 8:30 a.m. on March 8, 2016, both as required by 25 O.S. 1981, Section 301-314.

MINUTES

Regent Weitzenhoffer moved approval of the minutes of the regular meeting held January 28, 2016 as printed and distributed prior to the meeting. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

ROGERS STATE UNIVERSITY

REPORT OF THE PRESIDENT OF THE UNIVERSITY

Vice President Gollahalli expressed President Rice's deep appreciation to the Board for allowing his absence to address a personal issue. Mr. Gollahalli referenced the printed President's report that had been placed before each Board member, saying that it included a variety of academic achievements, athletics achievements, as well as detailing a number of cost-saving measures in light of the current budgetary situation. He highlighted two things of note: the RSU nursing program achieved a 94% pass rate on the state certification program, which is well above the state average, and the description and depiction of the school's new logo, a try to modernize the branding of the University.

HOUSING RATES – RSU

The University continued to increase housing to the current level with the opening of University Village C in August 2015. Renovations and repairs to all of the housing complexes continue on an annual basis in order to offer our students the best and most appealing student housing in the area. Rogers State University’s residence hall rates are competitive to similar facilities at other higher education institutions and the University is the only regional public institution in the Tulsa Metropolitan area to offer residential housing on campus.

No change to the rates for University Village A, University Village B, or conference rates are recommended. A new rate for athletic camps is proposed. A rate increase is proposed for Family Housing, Guard Officer Leadership Development housing, and for University Village C. Holding the rates at current levels and increasing some rates will allow the University to continue to offer options and remain as affordable as possible.

Living on campus will remain an attractive option for our student population.

Current and proposed semester rates effective August 1, 2016 are:

	Current Rates 2015-2016	Proposed Increase	New Rates 2016-2017
Family Housing, Fall/Spring	\$2,250.00	\$11.11/mo.	\$2,300.00
Family Housing, Summer	\$1,500.00	\$10.00/mo.	\$1,530.00
Guard Officer Leadership Development			
Fall/Spring	\$ 562.50	\$ 3.00/mo.	\$ 576.00
Summer	\$ 375.00	\$ 3.00/mo.	\$ 384.00
University Village A, 4 Person Suite, Fall/Spring	\$2,421.00	No Change	\$2,421.00
University Village A, 4 Person Suite, Summer	\$1,614.00	No Change	\$1,614.00
University Village B, 4 Person Suite, Fall/Spring	\$2,421.00	No Change	\$2,421.00
University Village B, 4 Person Suite, Summer	\$1,614.00	No Change	\$1,614.00
University Village B, 2 Person Suite, Fall/Spring	\$2,583.00	No Change	\$2,583.00
University Village B, 2 Person Suite, Summer	\$1,722.00	No Change	\$1,722.00
University Village B, 1 Person Suite, Fall/Spring	\$2,745.00	No Change	\$2,745.00
University Village B, 1 Person Suite, Summer	\$1,830.00	No Change	\$1,830.00
University Village C, Semi-Private, Fall/Spring	\$2,227.50	\$16.11/mo.	\$2,300.00
University Village C, Semi-Private, Summer	\$1,485.00	\$16.00/mo.	\$1,533.00
University Village C, Private, Fall/Spring	\$2,475.00	\$50.00/mo.	\$2,700.00
University Village C, Private, Summer	\$1,650.00	\$50.00/mo.	\$1,800.00

Current and proposed summer conference and athletic camp rates effective May 1, 2016 are:

	Current Rates	Proposed Increase	New Rates
University Village A, Single	\$17.00	No Change	\$17.00
University Village A, Bunked	\$11.00	No Change	\$11.00
University Village B, Single	\$31.00	No Change	\$31.00
University Village B, Bunked	\$19.00	No Change	\$19.00
Athletic Camps	N/A	10.00/person/night	\$10.00

President Rice recommended the Board of Regents approve the proposed housing rates effective August 1, 2016, and summer conference rates and athletic camp rates effective May 1, 2016.

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

REVISIONS TO THE REGENTS' POLICY MANUAL – CAMERON UNIVERSITY/ROGERS STATE UNIVERSITY – RSU

Although the Board of Regents' Policy Manual – Cameron University/Rogers State University is periodically updated, it has not been substantially revised in several years. This has led to deviations between policy and practice, outdated legal standards and application, and greater inconsistencies between the Universities under the Board's governance. Accordingly, over the last six months, the Presidents and their respective executive staff, with assistance from the Office of Legal Counsel, have worked to review and provide recommended revisions to the Policy Manual. Presidents for both Universities agree and support the proposed revisions, attached hereto as Exhibit A. The bases for these recommended revisions fall into one of the following categories:

- Necessary updates based on changes in laws, regulations, and guidance.
- Inaccurate references to policies and procedures.
- Updates based on university changes.
- Formatting changes and moving language for ease of use and clarity.
- Consistency with the Board of Regent's Policy Manual – University of Oklahoma where appropriate in the interests of the universities.
- Incorporate more policies by reference such as gender-based misconduct, student code, and the equal opportunity policy allowing more flexibility to revise policies to ensure compliance with changing law. This is similar to how these policies are treated in Board of Regent's Policy Manual – University of Oklahoma.

President Rice recommended the Board of Regents adopt the changes and revisions to update the University of Oklahoma Board of Regents' Policy Manual – CU/RSU so the Regents Policy Manual is consistent with changes in Regents' policy and relevant laws.

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

ACADEMIC PROMOTION AND TENURE ACTIONS – RSU

Rogers State University's faculty evaluation process for promotion and tenure culminates annually during the spring semester. The following are the Rogers State University's Academic Promotion and Tenure recommendations:

Graham, Jamie, Ph. D., Promote from Associate Professor to Professor and Grant Tenure, Department of Mathematics and Physical Sciences in the School of Mathematics, Science, and Health Sciences, annualized salary changed from \$63,012 to \$67,776.

Hatley, Paul, Ph. D., Promote from Associate Professor to Professor, Department of History and Political Science in the School of Liberal Arts, annualized salary changed from \$58,793 to \$62,293.

Jackson, G. Todd, Ph. D., Promote from Associate Professor to Professor and Grant Tenure, Department of Business in the School of Business and Technology, annualized salary changed from \$121,000 to \$124,500.

Kyrylova, Tetyana Ph. D., Promote from Instructor to Assistant Professor, Department of Applied Technology in the School of Business and Technology, annualized salary changed from \$42,220 to \$43,720.

McNickle, Shirley, Promote from Instructor to Assistant Professor, Department of Health Sciences in the School of Mathematics, Science, and Health Sciences annualized salary changed from \$50,000 to \$51,500.

Wilson, Sigismond, Ph. D., Promote from Assistant Professor to Associate Professor and Grant Tenure, Department of History and Political Science in the School of Liberal Arts, annualized salary changed from \$43,400 to \$45,900.

President Rice recommended approval of the faculty promotion and tenure actions listed above.

Regent Humphreys moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

ACADEMIC PERSONNEL ACTION(S) – RSU

CHANGE(S):

Lowry, Leslie, M.S., title change from Instructor to Instructor/Interim Undergraduate Nursing Program Coordinator, Department of Health Sciences, five-month appointment with a stipend of \$3157.00 (\$631.40 per month), temporary non-tenure-track appointment, effective January 4, 2016 through May 31, 2016.

Munsell, Sonya, M.A., successful completion of doctorate, title change from Instructor, Department of Psychology, Sociology, and Criminal Justice, ten-month non-tenure track appointment to Assistant Professor, ten-month tenure-track appointment, annualized salary changed from \$35,000 (\$3,500 per month) to \$42,000 (\$4,200 per month), effective 01/11/2016.

RETIREMENT(S):

Morris, Francis, M.S., Assistant Professor, Department of English and Humanities, effective May 11, 2016.

President Rice recommended approval of the faculty personnel actions listed above.

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

QUARTERLY REPORT OF PURCHASES – RSU QUARTERLY FINANCIAL ANALYSIS – RSU

The listed items were identified, by the administration, as “For Information Only.” Although no action was required, the opportunity to discuss or consider the items individually was provided.

QUARTERLY REPORT OF PURCHASES – RSU

The Board of Regents policy governing the buying and selling of goods and services states that:

- I. Purchases and/or acquisition of goods and services over \$250,000 must be submitted to the Board for prior approval;
- II. Purchase obligations between \$50,000 and \$250,000 must be reported quarterly to the Board as an information item. Sole source procurements in this category must also be reported and identified as such.

QUARTERLY REPORT OF PURCHASES – ALL
October 1, 2015 through December 31, 2015

<u>Item</u>	<u>Description</u>	<u>Campus Department</u>	<u>Vendor</u>	<u>Award Amount</u>	<u>Explanation/Justification</u>
PURCHASE OBLIGATIONS FROM \$50,000 TO \$250,000					
1.	Risk Management	All Campuses	O.M.E.S.	\$151,717	Property Insurance Premiums
2.	Facility Rent	Athletics	City of Claremore	\$215,000	Annual Athletic/Fitness Facility Rental
3.	Custodial Services	Claremore Campus	SourceOne	\$175,000	Custodial Services
4.	Physical Plant	Claremore Campus	N.E. Irrigation & Landscape	\$75,909	Facilities Maintenance
5.	Equipment Repair	Bartlesville Campus	Advance Boiler Repair	\$95,410	Facilities Maintenance

SOLE SOURCE PROCUREMENTS FROM \$50,000 TO \$250,000
Competition Not Applicable

None to Report

This was reported for information only. No action was required.

QUARTERLY FINANCIAL ANALYSIS – RSU

By request of the Board of Regents, the Rogers State University Statements of Net Position as of December 31, 2015, Statement of Changes in Net Position for the six months then ended and related Executive Summary are attached hereto as Exhibit B.

This was reported for information only. No action was required.

CAMERON UNIVERSITY**REPORT OF THE PRESIDENT OF THE UNIVERSITY**

President McArthur reported that the University celebrated Homecoming over a very summery February weekend, with numerous campus events in support of current and former students including Athletic Hall of Fame inductions of six new members, Alumni Hall of Fame events, and a celebration of the 100th anniversary of ROTC with keynote remarks by Regent Burgess and the largest cake he had ever seen sliced and served by saber. There was also a chuck wagon cook-off that was a three-way competition between students, faculty and alumni. The peach cobbler competition was very close, with the judges holding a recount and re-tasting at least four times before declaring the alumni as the winners. The national championship speech and debate teams from 1979 and 1981 also held reunions. Eleven students from a marketing and advertising class participated in the Oklahoma City Ad Club Addy Awards, with two of the teams receiving recognition: a silver and the University's very first gold. They were also recognized in the Best of University class and will move on to regional completion. The University is very proud of the partnership between the advertising classes and Chevy dealers in Lawton. The President out that he had left copies of the spring *Cameron* magazine for each Regent, with more information about what is going on on campus. Dr. McArthur stated that the topic dominating campus conversation is the ongoing adjustments to current year state revenue shortfalls and preparations for even larger shortfalls for the next fiscal year. A lengthy suggestion, discussion and action process was finished on February 29 with a University-wide meeting with employees and a budget meeting with the Student Government Association to announce planned institutional actions for next year. The President is very proud of the University employees for their positive, productive, and professional approach to a very difficult financial forecast, and could not be more proud of Cameron students. While this is not the exercise he would have chosen for them to demonstrate their skills and critical thinking and creative problem solving and change management, at every opportunity they have stepped up. Finally, Dr. McArthur thanked outgoing Chairman Stuart, on behalf of Cameron students, faculty, staff, and alumni, for his service to the Board, for his support and for his leadership.

SUBSTANTIVE PROGRAM CHANGES – CU

The Oklahoma State Regents for Higher Education require that all substantive changes in degree programs be presented to the institution's governing board for approval before being forwarded to the State Regents for consideration. The changes in the academic programs presented below have been approved by the President, upon recommendations of the appropriate faculty, academic unit and dean, the Curriculum Committee or Graduate Council, and the Vice President for Academic Affairs. The changes are being submitted to the Board of Regents for approval prior to submission to the State Regents.

1. PROGRAM: B.S. in Organizational Leadership

PROPOSED CHANGE: Option Deletion – Communication

COMMENTS: One option in the program will be deleted. Lack of student interest, lack of available online courses, and low enrollment with no foreseeable increase in demand for the future necessitates deletion of the Communication option. No courses will be deleted. The final students in the option will finish in

Spring 2016. Funds from the option will be used in the remaining options in the program. Total hours required for the degree and major will not change. The requested change will not require additional funds.

2. PROGRAM: B.S. in Organizational Leadership

PROPOSED CHANGE: Option Addition – Sociology

COMMENTS: A new option will be added. The requested addition of a Sociology option is in response to growing student demand for an option in this discipline. All requirements for the option were developed using existing courses. No new courses will be added. Total hours required for the degree and major will not change. The requested change will not require additional funds.

President McArthur recommended the Board of Regents approve the proposed changes to the Cameron University academic programs.

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

REFUNDING MASTER EQUIPMENT LEASE REVENUE BONDS – CU

In 2006, the University entered into the 2006A Master Equipment Lease for 15 years in the amount of approximately \$6.1 million to fund equipment and other resources for the energy savings program. The University's administration is seeking approval to refund the bonds for economic savings for the remaining five years of the lease. At this time, the bonds are expected to be issued in an approximate amount of \$2.5 million. Gross and present value savings are estimated to be \$202,000 and \$190,000, respectively. Anticipated closing date is June 1, 2016.

The Oklahoma State Regents for Higher Education (OSRHE) implemented the Master Lease-Purchase program to facilitate for Oklahoma colleges and universities acquisitions of long-lived assets using the lease-purchase method. OSRHE submits funding requirements periodically through the State of Oklahoma Council of Bond Oversight and the Oklahoma Development Finance Authority, the conduit-financing agency, and assists in developing and executing an appropriate plan of financing. Institutions service the bond debt using current operating funds. This service provided by OSRHE reduces the time and effort that would otherwise be required for an institution to finance the acquisition of a major asset or refunding of existing debt.

President McArthur recommended the Board of Regents:

- I. Authorize the refunding of the 2006A Master Equipment Lease Energy Savings Program in one or more Series, in amounts sufficient to redeem balances outstanding as of May 31, 2016; and
- II. Authorize the President or his designee to execute any documents required by the Oklahoma State Regents for Higher Education, Oklahoma Council of Bond Oversight and the Oklahoma Development Finance Authority and to take any further action required to consummate the transactions contemplated herein.

Regent Humphreys moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

REVISIONS TO THE REGENTS' POLICY MANUAL – CAMERON UNIVERSITY/ROGERS STATE UNIVERSITY – CU

Although the Board of Regents' Policy Manual – Cameron University/Rogers State University is periodically updated, it has not been substantially revised in several years. This has led to deviations between policy and practice, outdated legal standards and application, and greater inconsistencies between the universities under the Board's governance. Accordingly, over the last six months, the Presidents and their respective executive staff, with assistance from the Office of Legal Counsel, have worked to review and provide recommended revisions to the Policy Manual. Presidents for both universities agree and support the proposed revisions, attached hereto as Exhibit A. The bases for these recommended revisions fall into one of the following categories:

- Necessary updates based on changes in laws, regulations, and guidance.
- Inaccurate references to policies and procedures.
- Updates based on university changes.
- Formatting changes and moving language for ease of use and clarity.
- Consistency with the Board of Regent's Policy Manual – University of Oklahoma where appropriate in the interests of the universities.
- Incorporate more policies by reference such as gender-based misconduct, student code, and the equal opportunity policy allowing more flexibility to revise policies to ensure compliance with changing law. This is similar to how these policies are treated in Board of Regent's Policy Manual – University of Oklahoma.

President McArthur recommended the Board of Regents adopt the changes and revisions to update the University of Oklahoma Board of Regents' Policy Manual – CU/RSU so the Regents Policy Manual is consistent with changes in Regents' policy and relevant laws.

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

ACADEMIC AND ADMINISTRATIVE PERSONNEL ACTIONS – CU

RESIGNATIONS(S)

Kowaluk, Gary, Assistant Professor, Department of Criminal Justice and Sociology, May 11, 2016.

RETIREMENT(S)

Crawford, Suzanne, Professor, Department of History and Government, named Professor Emeritus, June 1, 2016.

Jerez, Ricardo, Associate Professor, Department of Psychology, named Associate Professor Emeritus, June 1, 2016.

Thode, Wendy, Instructor, Respiratory Care, July 29, 2016.

President McArthur recommended the Board of Regents approve the personnel actions listed above.

Regent Humphreys moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

NONSUBSTANTIVE PROGRAM CHANGES – CU
CURRICULUM CHANGES –CU
QUARTERLY REPORT OF PURCHASE OBLIGATIONS – CU
QUARTERLY FINANCIAL ANALYSIS – CU

The listed items were identified, by the administration, as “For Information Only.” Although no action was required, the opportunity to discuss or consider the items individually was provided.

NONSUBSTANTIVE PROGRAM CHANGES – CU

The Oklahoma State Regents for Higher Education confer upon each institution the authority to approve modifications that are nonsubstantive, but require the changes to be communicated to them for information. The modifications listed below have been approved by the President, upon recommendations of the Vice President for Academic Affairs, respective deans and department chairs, and the Curriculum Committee or Graduate Council.

1. PROGRAM: Minor in Computer Aided Design Drafting

PROPOSED CHANGE: Deletion

COMMENTS: All courses required for the minor in Computer Aided Design Drafting have been deleted. No students remain in the minor. The requested change will not require additional funds.

2. PROGRAM: Minor in Electronic Engineering Technology

PROPOSED CHANGE: Deletion

COMMENTS: All courses required for the minor in Electronic Engineering Technology have been deleted. No students remain in the minor. The requested change will not require additional funds.

3. PROGRAM: Minor in Engineering Design Technology

PROPOSED CHANGE: Deletion

COMMENTS: All courses required for the minor in Engineering Design Technology have been deleted. No students remain in the minor. The requested change will not require additional funds.

This item was reported for information only. No action was required.

CURRICULUM CHANGES – CU

The Oklahoma State Regents for Higher Education confer upon each institution the authority to add, modify and delete courses, but require that the changes be communicated to them for information. The modifications attached hereto as Exhibit C have been approved by the President, upon recommendations of the Vice President for Academic Affairs, respective deans and department chairs, and the Curriculum Committee or Graduate Council.

This item was reported for information only. No action was required.

QUARTERLY REPORT OF PURCHASE OBLIGATIONS – CU

The Board of Regents’ policy governing the buying and selling of goods and services states that:

- I. Purchases and/or acquisition of goods and services over \$250,000 must be submitted to the Board for prior approval; and
- II. Purchase obligations between \$50,000 and \$250,000 must be reported quarterly to the Board as an information item. Sole source procurements in this category must also be reported and identified as such.

The required quarterly reports for purchase obligations between \$50,000 and \$250,000 are:

Quarterly Report of Purchases
October 1, 2015 through December 31, 2015

<u>Item</u>	<u>Description</u>	<u>Campus- Department</u>	<u>Vendor</u>	<u>Award Amount</u>	<u>Explanation/ Justification</u>
1.	Interim Technical Administrative Support	ITS	Strata Information Group	\$57,950	Technical Support

SOLE SOURCE PROCUREMENTS IN EXCESS OF \$50,000

There were no Sole Source Procurements for the period of October 1, 2015 through December 31, 2015.

This item was reported for information only. No action was required.

QUARTERLY FINANCIAL ANALYSIS – CU

By request of the Board of Regents, the Cameron University Statements of Net Position as of December 31, 2015, Statements of Revenues, Expenses and Changes in Net Position for the six months then ended, and related Executive Summary are attached hereto as Exhibit D. The statements are unaudited and are presented for management use only.

This item was reported for information only. No action was required.

THE UNIVERSITY OF OKLAHOMA

REPORT OF THE PRESIDENT OF THE UNIVERSITY

President Boren stated that his report would be one of celebration, beginning with the news that drama students swept their regional competition in the past week and will be going to the Kennedy Center, where they have won four years in a row in the national championship. For the first time in history, the admissions office reports that we have more than 14,000 applicants and closing in on 15,000, which means we are up three to four thousand applicants over last year. They also report that the quality of the applications is at an all-time high. Referencing President McArthur’s comments on the budgetary situation, President Boren said that the 300-400 high school students who

normally attend the University's Teach In (this year on World War I) were unable to participate this year because their schools couldn't afford to send them. This is happening across the state and in higher education as well. He reminded the Board of the plan to solicit early employee retirements, the personnel hiring freeze, and other steps in place to reduce the University's budget, saying that this makes it even more important to honor those who are helping the University privately. President Boren announced that the Chickasaw Nation has donated \$3 million to the Harold Hamm Diabetes Center and the College of Pharmacy to advance research on diabetes treatment, pharmaceutical treatment and enhanced patient care and education. Governor Anoatubby and the Chickasaw Nation were among the very first to step forward and provide major gifts to support endowed positions at the Center and have done so much for the University. Governor Anoatubby was present to be recognized. President Boren then was pleased to introduce Bill and Bob Ross of the Inasmuch Foundation and reveal the Foundation's \$1.5 million gift to renovate space in the College of Law Library. This renovation will make it comparable to the digital Collaborative Learning Center in Bizzell Library. This is the latest of many gifts the Inasmuch Foundation has made to the University. The President proceeded to introduce three new members of the Seed Sower Society, those who have give \$1 million or more to the University. First, Jack Counts, Jr. and the Counts Family. Counts started his business, Candid Color Systems, as a young entrepreneur. He and his family are long time donors to the Athletics Department annual fund, the Headington Hall housing project, and the Sooner Air program. Jack and his wife, Allison, are both graduates of the University and are still heavily involved, not the least because several of their children are current OU students. Jack, Allison, children Jack III, Sawyer, Carter, Chloe, and Kimberly Anderson, along with mother-in-law Debbie McCourt were all present to receive the Seed Sower statue. Next, President Boren spoke of the contributions of the Meinders Foundation, significant monetary gifts to the Diabetes Center along with membership on the Center's Board of Advisor, among other gifts. Herman Meinders, his wife Ladonna, Robert Meinders, sister Linda Drum and her husband John, and the Foundation's executive director Mo Grotjohn were present to be recognized. The third Seed Sower recipient honored was the Wisdom Family Foundation, represented by Dr. Peggy Wisdom, a 1972 graduate of the College of Medicine. The Foundation is a strong supporter of programs at the Health Sciences Center, including the humanities journal produced by medical students, *Blood and Thunder*. The Foundation has endowed scholarships for students from five counties in northwestern Oklahoma, the area of the Wisdom family roots. With Dr. Wisdom to be recognized were Dr. Douglas Voth and Dr. Nancy Hall, both retired OU faculty. President Boren also announced that private gifts in excess of \$25 million for the expansion of the physics building. The gifts come from the family of Homer L. Dodge, the Avenir Foundation and from Chun Lin, who served on the OU physics faculty from 1955-1968. These gifts will enable the University to construct a major expansion of the physics building very soon and virtually debt free. The President ended his report by asking the Board to turn to agenda item A, a resolution honoring outgoing Chairman Jon R. Stuart.

RESOLUTION HONORING YEARS OF SERVICE**RESOLUTION**

WHEREAS, Jon R. Stuart was appointed to the Board of Regents governing The University of Oklahoma, Cameron University and Rogers State University by Governor Frank Keating in 2002 and was reappointed by Governor Brad Henry in 2009, serving with distinction and dedication for 14 years, including two terms as Chairman;

WHEREAS, he is President and CEO of his family investment company, as well as managing partner of an energy-focused business and, underlining his success as a businessman, he has been called upon over time to serve on seven bank boards as well as the New York and American stock exchanges;

WHEREAS, Regent Stuart, who was born in Oslo, is the Royal Norwegian Consul for Oklahoma, Kansas, Missouri and Arkansas, as appointed by His Royal Highness King Harold VI of Norway;

WHEREAS, he has served the Gilcrease Museum Board of Directors in top leadership positions, lent his vision and expertise to the community as a trustee of the Tulsa Foundation and of Holland Hall and as a director of the Committee of 100-Tulsa, and provided service to the state as a longtime member and past chairman of the City of Tulsa, Rogers County Port Authority, and in 2014 he was recognized for his many contributions to the city and region with induction into the Tulsa Hall of Fame;

WHEREAS, during his time on the Board of Regents, Cameron University experienced three consecutive fall semesters of historical enrollment growth, reaching an all-time high headcount enrollment in fall 2011; CU's faculty endowment program continued to grow, securing its place as the largest such program among Oklahoma's regional universities; and CU earned national recognition for four years in *U.S. News and World Report's* Best Colleges for ranking among the nation's leaders in terms of students graduating with the lowest amount of debt;

WHEREAS, Regent Stuart has played a key role in many major academic and athletics capital projects at Cameron University, including Phase II expansion of the Center for Emerging Technology and Entrepreneurial Studies and the state-of-the-art School of Business Building and overseen the transformation of the former student union into an Academic Commons where print, broadcast and web-based media converges into one media center;

WHEREAS, also during his term on the Board, CU received the largest single gift to the University in its history and celebrated its centennial, a highlight of which was an unprecedented \$8.5 million campaign that concluded with \$12.5 million raised, almost 50 percent over its original goal;

WHEREAS, Cameron University planned and implemented five Academic Festivals, during which internationally noted guest speakers, campus-wide activities, seminars, special events and cross-curricular emphasis in the classroom are strategically interwoven;

WHEREAS, during his time on the Board, Cameron University earned an unconditional reaffirmation of accreditation – a historical and one-of-a-kind achievement in CU history;

WHEREAS, Regent Stuart oversaw the construction and/or renovation of numerous capital projects at Rogers State University, including Stratton Taylor Library, Markham Hall, Baird Hall, student apartment complexes, Bushyhead Fieldhouse, and soccer, baseball and softball facilities;

WHEREAS, RSU opened its new Pryor Campus at the Mid-America Industrial Park through a donation from the Oklahoma Ordnance Works Authority, and expanded to encompass over 30 academic programs, including its first graduate degree, a Master of Business Administration, and nine degrees that are available entirely online;

WHEREAS, RSU developed and expanded its academic enrichment activities, including the Honors Program, President's Leadership Class and the Studies-at-Large Program, and also established lectureships and endowed chairs and professorships;

WHEREAS, RSU celebrated its centennial with a myriad of events, culminating in the distribution of a book and DVD commemorating 100 Years on the Hill;

WHEREAS, RSU was accepted by the NCAA to join its Division II membership process;

WHEREAS, at the University of Oklahoma, he has assumed a leadership role in significant fine arts endeavors, including the University's successful acquisition of the Eugene B. Adkins Collection, and together with his wife, Dee Dee, served as catalysts for a Stuart Family Foundation \$3 million lead gift for the expansion of the art museum that today houses the acclaimed Adkins Collection of works by Taos artists and Native American Works of art;

WHEREAS, the Stuart family's appreciation for the arts also is exemplified by Regent Stuart's service on the Board of Visitors of the Charles M. Russell Center, Mrs. Stuart's service on the Fred Jones Jr. Museum of Art Board of Visitors and the family's ongoing support of the fine arts that includes gifts to dance, drama and orchestra;

WHEREAS, Regent Stuart helped drive the University's efforts to initiate a 24-credit Master of Laws program with the goal of providing students with an extra year of training after receiving their Juris Doctorate in the areas of oil and gas law, international energy law or energy law and indigenous peoples;

WHEREAS, the Stuarts also have helped create a sense of community on all three OU campuses, offering a major challenge grant in 2007 to the Reforestation Campaign to replace trees lost due to a severe ice storm, providing funds for the creation of a 6-foot-in-diameter bronze rendition of the OU seal on a landing in Oklahoma Memorial Union, as well as a 12-foot tall bronze sculpture of "The Seed Sower" that stands at the gates of the South Oval, and as a trustee of the Merkel Foundation, Regent Stuart was a driving force in the decision by the foundation to create a new community space, the Merkel Café, at OU-Tulsa;

WHEREAS, the Stuarts also have invested in OU students by providing support for scholarships and OU's signature Study Abroad program in Arezzo, Italy, as well as for a variety of OU Athletics projects;

WHEREAS, for their generosity to OU, the Stuarts have been honored as members of the Seed Sower Society, signifying those who have given generously to OU, not only through gifts but also through their time, effort and service.

NOW, THEREFORE, BE IT RESOLVED that the Regents governing The University of Oklahoma, Cameron University and Rogers State University hereby express profound appreciation to Jon Stuart for his steadfast leadership, vision and innumerable contributions to the respective Universities and the State of Oklahoma and look forward to his continuing interest in and support of the Universities.

Vice Chairman Weitzenhoffer recommended the Board of Regents approve the above resolution.

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

ELECTION OF OFFICERS

Regent Humphreys moved that Max Weitzenhoffer be elected Chairman of the Board of Regents, Clayton I. Bennett be elected as Vice Chairman of the Board of Regents and Chris Purcell be re-elected as Executive Secretary of the Board of Regents. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

REPORT OF THE CHAIRMAN OF THE BOARD

Chairman Stuart commented on the election of officers, saying he thinks it will be a terrific year. As it was his last meeting, he thanked all of the Regents again, saying that he had spoken to the individually but wanted to express his gratitude for their kindness and support for him. Finally he noted that the agenda items before the Board are not the beginning of the process but the end of one. The process has been long, but though it sounds like the Board is very short and clipped in what they do, the members have already gone through the minutiae and are just doing this as a formal motion. So people who think they are going to come to meetings and see a big debate will be disappointed, as the debate has already happened.

PROFESSIONAL SERVICE AGREEMENTS – HSC

The University of Oklahoma Health Sciences Center (OUHSC) receives revenue from a variety of sources. One such source is third-party vendors who pay the University in return for providing professional services. The following is a list of contracts with outside vendors for professional services performed by OUHSC Departments:

University of Central Oklahoma
University of Oklahoma Health Sciences Center OU Physicians will staff a medical clinical facility located in the University of Central Oklahoma Wellness Center. Agreement was received on December 12, 2015, and signed on January 7, 2016.

Oklahoma Department of Mental Health and Substance Abuse Services
University of Oklahoma Health Sciences Center - Tulsa Department of Psychiatry will provide certified Programs of Assertive Community Treatment (PACT). Agreement was received on September 3, 2015, and signed on December 14, 2015.

President Boren recommended that the Board of Regents approve the professional service agreements for the University of Oklahoma Health Sciences Center as listed.

University of Central Oklahoma	\$2,000,000
University of Oklahoma Health Sciences Center OU Physicians	
Term of Agreement 01/01/2016 to 12/31/2019	

Oklahoma Department of Mental Health and Substance Abuse Services \$595,000
University of Oklahoma Health Sciences Center – Tulsa
Department of Psychiatry
Term of Agreement 07/01/2015 to 06/30/2016

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

CANCER CENTER CLINIC AND PATIENT SUPPORT FACILITY – HSC

Initial steps have been undertaken to explore the feasibility of constructing a new freestanding building at the Health Sciences Center campus, for clinical and patient supportive care which will supplement the existing facilities and foster the Peggy and Charles Stephenson Cancer Center mission. At this time, an architectural consultant is needed to assist the University in programming and further definition of the project scope, and to estimate construction costs and assist in the development of a total project budget. When the project's scope and cost have been sufficiently developed, the Board will be requested to approve the project and its budget and the addition of the project to the Campus Master Plan of Capital Improvement Projects for the Health Sciences Center Campus. After the project is approved by the Board, the selected architectural firm will be requested to provide full professional services for the project. The firm may also be requested to provide services associated with adjacent parking facilities.

A request for qualifications was sent to the architectural firms that are currently registered with the Construction and Properties Department/Division of Capital Assets Management of the State Office of Management and Enterprise Service, and a committee was formed to evaluate the responses received from 13 firms. The committee was composed of the following:

Voting:

Brian Holderread, Director, Architectural and Engineering Services, Chair
Gerald Brinlee, Staff Architect, Architectural and Engineering Services
Allen Heilaman, Director of Construction Projects and Fire Marshal
Chris Shilling, Director, Marketing and Operations for Stephenson Cancer Center

Non-voting:

Robert Mannel, Director, Peggy and Charles Stephenson Cancer Center
Jason Sanders, Senior Vice President and Provost, Health Sciences Center

Based on these proposals and client references, four firms were selected for further evaluation, and the firms were ranked as shown below.

1. Rees Associates, Inc. with Wilmot Sanz, Oklahoma City, OK
2. Bockus Payne Associates Architects, LLC, Oklahoma City, OK
3. Miles Associates Inc. with HOK, Oklahoma City, OK
4. ADG, PC, with Boulder Associates, Inc., Oklahoma City, OK

CANCER CENTER CLINIC AND PATIENT SUPPORT FACILITY
ARCHITECTURAL FIRM EVALUATION SUMMARY

	Rees/Wilmot Sanz <u>Okla. City</u>	Bockus Payne Associates, LLC <u>Okla. City</u>	Miles/HOK <u>Okla. City</u>	ADG/Boulder <u>Okla. City</u>
Acceptability of Design Services	60	54	57	48
Quality of Engineering	45	48	45	45
Adherence to Cost Limits	32	32	30	28
Adherence to Time Limits	36	32	32	32
Volume of Changes	36	32	28	30
Resources of the Firm	17	16	16	15
Total Points	<u>226</u>	<u>214</u>	<u>208</u>	<u>198</u>

Funds to cover the costs associated with preliminary professional services have been identified, are available and budgeted from private sources.

President Boren recommended the Board of Regents:

- I. Rank in the order presented above architectural firms under consideration to provide professional services for construction of a new cancer center clinic and patient support facilities;
- II. Authorize the University administration to negotiate the terms of an agreement and a fee, starting with the highest-ranked firm; and
- III. Authorize the President or his designee to execute the consultant contract.

Regent Bennett moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

**HEALTH SCIENCES CENTER QUARTERLY FINANCIAL ANALYSIS – HSC
ENGAGEMENT OF OUTSIDE ON-CALL CONSULTANT – ALL
ON-CALL ARCHITECTS, ENGINEERS AND CONSTRUCTION MANAGERS
QUARTERLY REPORT – ALL
QUARTERLY REPORT OF PURCHASES – ALL
NONSUBSTANTIVE PROGRAM CHANGES – NC
NORMAN CAMPUS QUARTERLY FINANCIAL ANALYSIS – NC**

The listed items were identified, by the administration, as “For Information Only.” Although no action was required, the opportunity to discuss or consider the items individually was provided.

HEALTH SCIENCES CENTER QUARTERLY FINANCIAL ANALYSIS – HSC

By request of the Board of Regents, the Health Sciences Center *Statements of Net Position* as of December 31, 2015, and *Statements of Changes in Net Position* for the six months then ended and related Executive Summary are attached hereto as Exhibit E. The statements are unaudited and are presented for management use only.

This item was presented for information only. No action was required.

ENGAGEMENT OF OUTSIDE ON-CALL CONSULTANT – ALL

At its March 28, 2012 meeting, the Board of Regents authorized the President or his designee with the review of University Legal Counsel, to negotiate and execute agreement(s) engaging outside real estate consultant(s) to assist the University in (1) the evaluation and valuation of current and potential future properties relevant to the Norman, Health Sciences Center, and Tulsa Schusterman Center campuses and (2) planning for the most efficient use of those properties for the University’s benefit.

By this item, University Administration reports that it is entering into negotiations to engage the services of Kennedy Consulting Team to assist the University with its plans to develop selected parcels on the Norman Campus.

University Administration will ensure that associated fees are in line with the prevailing market. While funding will vary depending on the particular project, University Administration will ensure that it is properly identified, sufficient, and available. Associated expenditures will be reported to the Board in accordance with governing policy.

This item was presented for information only. No action was required.

**ON-CALL ARCHITECTS, ENGINEERS AND CONSTRUCTION MANAGERS
QUARTERLY REPORT – ALL**

In May 2014, the Board of Regents authorized a group of architectural and engineering firms to provide professional on-call services, and also authorized a group of construction management firms to provide on-call services for minor construction and renovation projects. In June 2015, additional construction management firms were authorized.

Work completed during the second quarter of fiscal year 2016 by on-call architectural and engineering firms are summarized on the attachment hereto labeled Exhibit F.

This item was presented for information only. No action was required.

QUARTERLY REPORT OF PURCHASES – ALL

The Board of Regents policy governing the buying and selling of goods and Services states that:

- I. Purchases and/or acquisition of goods and Services over \$1,000,000 must be submitted to the Board for prior approval; and
- II. Purchase obligations between \$50,000 and \$1,000,000 must be reported quarterly to the Board as an information item. Sole source procurements in this category must also be reported and identified as such.

The quarterly report for II above is attached hereto as Exhibit G. It is sorted by Supplier, then by Campus, then by Department. In the column labeled “Method” purchases are classified either as “Competed” or “Negotiated;” sole source procurements fall within the “Negotiated” classification and are identified by **bold face type**.

This item was presented for information only. No action was required.

NONSUBSTANTIVE PROGRAM CHANGES – NC

Administrative/Internal Program Change
Approved by Academic Programs Council, February 5, 2016

Addition of Area of Concentration/Track

COLLEGE OF ATMOSPHERIC AND GEOGRAPHIC SCIENCESGeography, Bachelor of Arts in Geography, (RPC 328, MC B460)

Addition of areas of concentration in Physical and Social Sciences and Geohumanities. Students will be able to choose the Physical and Social Sciences concentration which replicates the current Geography B.A. degree, or a concentration in Geohumanities which joins some new courses with some current courses.

Reason for request:

The Department wants to offer an optional concentration in a rapidly emerging field as part of the undergraduate B.A. program in Geography, and to do so while retaining the current degree requirements as another optional concentration. This new field, geohumanities, has emerged within the discipline of geography in the last seven years. Its arrival is signaled in part by the development of new funding opportunities by both the National Endowment for the Humanities (NEH) and the National Science Foundation (NSF), and two new influential multi-authored books and a new scholarly journal, Geohumanities, all developed under the auspices of geography's leading U.S.-based scholarly organization, the Association of American Geographers. The new concentration will give students interested in the humanities aspects of environmental issues an avenue to explore those interests, and also to develop the necessary skills to be well-positioned to succeed in this growing and dynamic workplace arena. There are no changes to the existing Geography requirements in the physical and social sciences concentration. This effort also compliments and enhances the new OU effort to develop a focus on the environmental enterprise.

Deletion of Area of Concentration/Track

PRICE COLLEGE OF BUSINESS

Business Administration, Master of Business Administration (RPC 025, MC M140 Q447)

Deletion of part-time area of concentration.

Reason for request:

The Part-Time area of concentration is no longer used due to the creation of a separate major code for students completing the Professional MBA program a few years ago.

Addition of Minor

COLLEGE OF ARTS & SCIENCES

Intelligence and National Security, Minor (TBD)

Addition of Minor in Intelligence and National Security. Students pursuing baccalaureate degrees have the option of completing a Minor in Intelligence and National Security (18 credit hours) to complement their major program of study. Students formally apply for admission to the minor. The INS minor consists of 3 core mandatory courses (9 credit hours) and 3 elective courses (9 credit hours) selected from the technical and/or substantive knowledge tracks. Students have to maintain a $GPA \geq 3.2$ for Intelligence and National Security courses to satisfy the requirements for completing the minor.

Reason for request:

Violence in the Middle East, Ebola outbreaks in West Africa, armed fighting in Ukraine, Iran's nuclear program, cyber hacking of computer systems, and drug violence in Mexico are just a few of the many national security threats America faces today that are transforming traditional intelligence collection and analysis practices. A compelling case can be made that the national security challenges facing the United States are more diffuse geographically, more embedded in socioeconomic and cultural conditions, likely to be far less predictable, more dynamic, and more difficult to manage adroitly. Moreover, many have a strong scientific and technical component or require expertise in critical languages. As an Intelligence Community Center of Academic Excellence, the University of Oklahoma's Center for Intelligence and National Security involves collaboration between the Health Sciences Center (OUHSC) and Norman campus (OU-Norman) to build understanding of complex national security problems. Leveraging synergies between OUHSC and OU-Norman provides the academic foundation necessary to develop next-generation skills and competencies critical to the missions of the Intelligence Community and US national security interests. To fulfill this mission, the multi-campus center utilizes senior faculty from OU's Health Sciences Center and Norman campuses to mentor undergraduate, graduate and professional students; provides opportunities for study abroad for cultural enrichment; and supports rigorous research on key national security challenges such as international terrorism; insurgencies and ungoverned spaces; illicit trafficking; border security; countering economic espionage and protecting intellectual property; cyber security; energy security; critical infrastructure protection; and biosecurity.

Change in Area of Concentration/Track Requirements

COLLEGE OF ARTS & SCIENCES

Anthropology, PhD. (RPC 010, MC D025 R044, D025 R306, D025 R618)

Course requirement change in areas of concentration. ANTH 5223 will fulfill the Sociocultural Anthropology core requirement instead of requiring ANTH 5003 OR (ANTH 5223 and ANTH 5123). Total credit hours for the degree will not change.

Reason for request:

Previously the ANTH 5223 and 5123 sequence was used as a two semester introduction to Sociocultural Anthropology appropriate for students specializing in Sociocultural Anthropology and ANTH 5003 was a one semester sequence used for all of the other Anthropology students. These tracks are being combined. ANTH 5003 is removed and all students will take ANTH 5223 which will be an overview of the history of thought in Sociocultural Anthropology.

COLLEGE OF CONTINUING EDUCATION

Aviation, Bachelor of Science, air Traffic Management Track (RPC 237, MC B090 P045)

Course requirement change in area of concentration. In the Aviation Requirements category, replace AVIA 4015 with AVIA 4013 and AVIA 4023. Reduce Free Electives category from 1-11 hours to 0-10 hours. Total credit hours for the area on concentration will not change.

Reason for request:

AVIA 4013 and AVIA 4023 will replace AVIA 4015, which teaches both En-Route and Terminal Radar in a lab environment; students will receive a richer level of exposure to both concepts. In previous course evaluations of AVIA 4015, students have suggested this curriculum change.

Change in Minor Requirements

COLLEGE OF ARCHITECTURE

Architectural Studies, Minor (N045)

Change in Minor requirements. We have condensed the Methods courses to better align with our design studios so students can apply what they learn in their design projects. In our post-accreditation review, we also determined that our design studios were not given the appropriate credit hour designation relative to the amount of work required for the courses. Increasing the design course credit to six hours better aligns with current peer institutions, and better represents to our students the level of commitment the course may require. In the process, some of the titles of the courses are renamed to better explain the content of the course. Total credit hours for the Minor will not change.

Reason for request:

Changes to Minor reflecting changes in degree program.

COLLEGE OF ARTS & SCIENCES

Nonprofit Organizational Studies, Minor (N738)

Change in Minor requirements. Move COMM 2613 from required course to guided elective; change CAS 2033 and 3033 to NPNG 2033 and 3033; in guided electives replace CAS 4033, CAS 4970, COMM 3243, MKT 3013 and P SC 3253 with H R 4170, P SC 2013, P SC 3263, P SC 4023, P SC 4153, and WGS 3123. Total credit hours for the Minor will not change.

Reason for request:

The Department has agreed to administer the Nonprofit Organizational Studies minor. Along with changing the designator name, the curriculum is being changed to incorporate new classes.

COLLEGE OF ATMOSPHERIC AND GEOGRAPHIC SCIENCES

Geography, Minor (N466)

Changes in Minor requirements. Add a required techniques course of GIS 2023. Total credit hours for the Minor will change from 15 hours to 18 hours.

Reason for request:

The ability to understand the basics of spatial analysis is integral to the Geography degree and to the minor. With that in mind, we are requiring that at least one Geospatial analysis class (GIS 2023) be successfully completed by each student. The rest of the minor requirements have not been changed. GIS2023 is also the pre-requisite for GIS 4013 for those students wishing to pursue geospatial analysis further.

COLLEGE OF CONTINUING EDUCATION

Air Traffic Control, Minor (N093)

Change in Minor requirements. Replace AVIA 4015 with AVIA 4013 and AVIA 4023. Total credit hours for the Minor will change from 24 hours to 25 hours.

Reason for request:

AVIA 4013 and AVIA 4023 will replace AVIA 4015, which teaches both En-Route and Terminal Radar in a lab environment; students will receive a richer level of exposure to both concepts. In previous course evaluations of AVIA 4015, students have suggested this curriculum change.

COLLEGE OF INTERNATIONAL STUDIES

African Studies, Minor (N016)

Change in Minor requirements. Students will be still required to complete a third semester approved language course, but will have more flexibility with the remaining hours since the hours do not have to be specifically approved for Social Science or Arts & Humanities credit. Students will be required to take IAS 2003 Understanding the Global Community and 9 upper-division elective hours from the updated list of approved courses on the minor check sheet (3 hours must be IAS designated). Total credit hours for the Minor will not change.

Reason for request:

Consistent with changes to the IAS majors, IAS has decided to streamline the African Studies minor requirements and made the minor more reliant on IAS-designated courses, since the IAS offerings have expanded greatly since the minors were first introduced.

Addition of Accelerated Dual Degree Program

COLLEGE OF ARTS & SCIENCES

Economics, Bachelor of Arts/Master of Arts (RPC 047/048, MC B300/M300 Q431)

Addition of Accelerated Dual Degree Program. A total of 140 credit hours are required for the degree. Twelve (12) credit hours of graduate-level electives (4000-level courses approved for graduate credit may be permitted) as approved by Graduate Director may be counted toward both degrees. The object of the dual degree is to provide students with the opportunity to earned advanced degree in timely fashion.

Reason for request:

Students will benefit from being able to complete advanced degree in a timely fashion. Students seek accelerated degree programs. Competing institutions/departments offer accelerated programs.

Additional changes are attached hereto as Exhibit H.

This item was presented for information only. No action was required.

NORMAN CAMPUS QUARTERLY FINANCIAL ANALYSIS – NC

By request of the Board of Regents, the Norman Campus *Statements of Net Position* as of December 31, 2015, *Statements of Revenues, Expenses and Changes in Net Position* for the six months then ended and related Executive Summary are attached hereto as Exhibit I.

This item was presented for information only. No action was required.

PROPOSALS, CONTRACTS AND GRANTS

In accord with Regents' policy, a list of awards and/or modifications in excess of \$1,000,000 or that establish or make policy for the University, or that otherwise involve a substantial or significant service to be performed by the University are shown on the following pages. Comparative data for fiscal years 2012 through 2016 and current month and year-to-date, are shown on the graphs and tables attached hereto as Exhibit J. Throughout the reports, the data stated for both campuses include the OU-Tulsa Schusterman Campus as well.

The Provisions of Goods and Services policy provides that new contracts and grants in excess of \$1,000,000 must be referred to the Board of Regents for ratification. In addition, in the event a contract, grant, document, or arrangement involved would establish or make policy for the University, or would otherwise involve substantial or significant service to be performed by the University, that contract, arrangement, or document shall be referred to the Board of Regents for approval.

	FY15 Total Expenditures	FY15 YTD Expenditures	FY16 YTD Expenditures
UNIVERSITY OF OKLAHOMA	\$278,653,615	\$162,967,767	\$165,672,450
NORMAN CAMPUS	\$151,914,660	\$88,805,181	\$89,996,124
HEALTH SCIENCES CENTER	\$126,738,955	\$74,162,586	\$75,676,326

Chart Key / Definitions for the pages that follow:

- RESEARCH/OSP = Research and Other Sponsored Programs
- INSTRUCTION = Instruction/Training (applies to HSC only)
- OUTREACH = Formerly College of Continuing Education (CCE)
- NON-GRANT/OTHER = Internal Administration / Operational Expenses; HSC’s data may include clinical trials
- EXPENDITURES = Expenditures Related to Externally-Sponsored Funding
- AWARDS = New Grants and Contacts Received, or Existing Award Modifications Processed

President Boren recommended that the Board of Regents ratify the awards and/or modifications for December 2015 and January 2016 submitted with this Agenda Item.

Regent Humphreys moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

SUBSTANTIVE PROGRAM CHANGES – NC

The Oklahoma State Regents for Higher Education require that all substantive changes in degree programs be presented to the institution’s governing board for approval before being forwarded to the State Regents for consideration. The changes in academic programs itemized in the attached list have been approved by the appropriate faculty, academic units and deans, the Academic Programs Council, and the Senior Vice President and Provost. They are being submitted to the Board of Regents for approval prior to submission to the State Regents.

Substantive Program Change
Approved by Academic Programs Council, February 5, 2016

Program Addition

COLLEGE OF ARTS & SCIENCES

Nonprofit Management, Graduate Certificate, (RPC TBD, MC TBD)

Addition of embedded certificate in Nonprofit Management, from existing Master of Public Administration: Non-Profit Management M805-Q471. Total credit hours required for the certificate will be 15 hours.

Reason for request:

The majority of respondents to student and employer demand surveys said a graduate certificate in nonprofit management would make someone more competitive for a job in the nonprofit sector, more competitive for a promotion in a nonprofit organization, and would help individuals make more meaningful contributions to the nonprofit sector. The respondents were also confident that having this program in the area would likely benefit their organization and the nonprofit community as a whole. The accelerated format and a focus on skill development will make this opportunity attractive to nonprofit professionals.

COLLEGE OF ATMOSPHERIC AND GEOGRAPHIC SCIENCES

Geography, Master of Science in Geography, (RPC TBD, MC TBD).

Addition of program. Level I formal degree Master of Science, Level II degree designation Master of Science in Geography, Level III title Geography, Level IV Option Geography. Total number of hours is 30 hours for Thesis and 33 hours for Non-Thesis, consisting of 9 credit hours in the core, 15-18 hours of guided electives, and 3-9 hours of general electives. Program contains Physical Geography concentration and Geospatial Technologies concentration. Applicants from a wide range of backgrounds in the sciences will be eligible for admission into the new M.S. Geography degree. This degree will follow the current departmental requirements for graduate admission of a GPA of 3.0 or better and completion of the GRE. Additionally, students must submit a letter of research interest that outlines the research they would like to conduct while in the program. Applicants also must identify a faculty member or faculty members with whom they would like to work. The academic standards for admission, retention, and graduation for this degree will be the same as those already in use by the Department of Geography and Environmental Sustainability, the Graduate College, the College of Atmospheric and Geographic Sciences, and the University of Oklahoma.

Reason for request:

The proposed M.S. program in Geography will build upon the University of Oklahoma's strong institutional commitment to Geography, Environmental Sustainability and environmental studies and will draw upon and enhance the University's well-established research expertise in sustainability, natural science, and geospatial science in order to educate science professionals in geography. The proposed degree will contribute to the University of Oklahoma's educational mission by training qualified graduates with advanced education in physical and environmental geography to meet the demands of the state in the public and private sectors. Graduates obtaining the Master of Science in Geography will be trained to become experts in spatial analysis and leaders in research, decision-making, and policies that guide the governance and management of our environmental resources.

Program Suspension and Option Deletion

GALLOGLY COLLEGE OF ENGINEERING

Engineering, Bachelor of Science in Engineering (RPC 065, MC I085, I082, I084, I083, B370). Program suspension and Option Deletion. Suspend program B370, and delete options I085, I082, I084, and I083.

Reason for request:

Program suspension: There have been no students enrolled in this degree program, or any of the options, in the past 10 years. Therefore, the Gallogly College of Engineering wishes to suspend the degree program, and delete all four options effective immediately. The College recognizes the value in suspension of the Individualized Plan for a period of three years at which

time the decision will be made to delete or retain the degree program. Option deletions: We have an Architectural Engineering program, and an Environmental Engineering program. These degrees are no longer granted under General Engineering.

Option Addition

COLLEGE OF ARTS & SCIENCES

Human Relations, Mater of Human Relations (RPC 329, MC TBD)

Addition of option in Clinical Mental Health Counseling. A total of 60 credit hours is required, consisting of 9 hours of core courses, 18 hours of required counseling courses, 6 hours of internship, and 27 hours of elective counseling courses.

Reason for request:

Many of our graduate students pursue additional coursework beyond the MHR in order to obtain the minimum 60 hours that are required by the state of Oklahoma to obtain licensure as a Licensed Professional Counselor (LPC). Our Master of Human Relations degree, along with additional coursework beyond the degree, have produced licensed mental health professionals for decades. Now, however, we want to give our LPC-track students a specific, separate option of a 60-hour program. This will add legitimacy to our degree and our program. It will allow us to track our students in order to give the University better data. It will make our department and this program more data driven and evidenced-based. This mental health counseling focus will allow us to track, monitor, and better assist our students in the licensing process. In addition, the addition of this concentration will streamline the process for our students, allowing us as a department to better advise our students and supervise them through their internship process. In sum, we want to help our students succeed in obtaining their licensure. This 60-hour option allows students a more structured, efficient, and helpful approach to the already difficult process of obtaining an LPC license. Our department works closely with the Oklahoma Board of Behavioral Health and have been in frequent contact with their executive director. We are all in agreement that this change is a needed one. An additional justification for the addition of the LPC 60-hour option is that it will also help our nontraditional students. Our department is able to cater to nontraditional students who take evening and/or weekend classes. We anticipate that adding a 60-hour option will attract more nontraditional students in the future. The 60-hour option removes roadblocks for these students who do not have a structured option beyond the 36-hour masters. This option will be an asset to our traditional and nontraditional students.

Program Requirement Change

COLLEGE OF ARCHITECTURE

Construction Science, Bachelor of Science in Construction Science, (RPC 255, MC B250).

Course requirement change. Adjust required courses and course hours to meet accreditation requirements. Total credit hours for the degree will change from 129 hours to 120 hours.

Reason for request:

Course changes in response to new American Council for Construction Education accreditation criterion. Total credit hours for degree changed as a result of revised accreditation criterion.

Regional and City Planning, Master of Regional and City Planning (RPC 199, MC M818)

Course requirement change. Remove 6 hours of electives from nonthesis track. Total credit hours for the degree will change from 50 hour to 44 hours for nonthesis students.

Reason for request:

Recommendation from site visit team to make program more competitive with other programs in the nation.

COLLEGE OF ARTS & SCIENCES

Anthropology, Master of Arts (RPC 009, MC M025)

Program and course requirement changes. For standard Anthropology, remove ANTH 5003 and increase electives from 12-15 to 18 hours; create new Socio-Cultural Anthropology concentration requirements. Total credit hours for the degree will not change.

Reason for request:

Previously the ANTH 5223 and 5123 sequence was used as a two semester introduction to Sociocultural Anthropology appropriate for students specializing in Sociocultural Anthropology and ANTH 5003 was a one semester sequence used for all of the other Anthropology students. ANTH 5003 is removed and all students will take ANTH 5223 which will be an overview of the history of thought in Sociocultural Anthropology. Increasing specialization has meant that fewer anthropology programs require training in all four fields (sociocultural, linguistic, archaeology, and biological) at the graduate level. We have found that the general, four-field anthropology MA program was not serving the sociocultural students well. The new concentration in Socio-Cultural Anthropology will assure that students in the sociocultural track will be able to dedicate themselves to studying sociocultural anthropology, without having to take courses that do not meet their needs, while freeing up six credit hours for coursework in topical courses that better prepare them to conduct thesis research and complete the written thesis with the necessary theoretical sophistication.

Applied Linguistic Anthropology, Master of Arts in Applied Linguistic Anthropology (RPC 260, MC M026)

Course requirement change. Remove ANTH 5003 as option in core requirements. Total credit hours for the degree will not change.

Reason for request:

Previously the ANTH 5223 and 5123 sequence was used as a two semester introduction to Sociocultural Anthropology appropriate for students specializing in Sociocultural Anthropology and ANTH 5003 was a one semester sequence used for all of the other Anthropology students. These tracks are being combined. All students will take ANTH 5223 which will be an overview of the history of thought in Sociocultural Anthropology.

English, Master of Arts (RPC 069, MC M375)

Course requirement change. For the Composition/Rhetoric/Literacy concentration, decrease elective coursework from 15-18 hours to 12 hours for thesis MA and 18 hours for non-thesis MA. Total credit hours required for Composition/Rhetoric/Literacy concentration changes from 33 hours to 30 hours for thesis and 33 hours to 32 hours for non-thesis. For the Literary Studies

concentration, reduce elective coursework from 21 hours to 18 hours for thesis MA. Total credit hours required for Literary Studies concentration changes from 33 hours to 30 hours for thesis and 33 hours to 32 hours for non-thesis.

Reason for request:

Reducing the total number of hours for the degree will allow students to make satisfactory progress toward the degree and finish in a more timely fashion before they run out of funding.

Math, PhD (RPC 155, MC D670)

Program requirement change. Simplify and unify 12 hours of sequence requirement, increase flexibility of tools of research, and treat RUME and classical areas of mathematics on an equal footing. Total credit hours required for the degree will not change.

Reason for request:

The proposed changes will simplify and unify the requirements for PhD students in mathematics, and they will increase flexibility. Students have the option of conducting their thesis work in RUME (research in undergraduate mathematics education). The new requirements will treat RUME students on an equal footing with students in any area of classical mathematics. Other changes give greater flexibility in practice without changing the spirit of the original version. These concern the tools of research and the advanced coursework that PhD students complete.

COLLEGE OF ATMOSPHERIC AND GEOGRAPHIC SCIENCES

Environmental Sustainability, Bachelor of Arts in Environmental Sustainability (RPC 381, MC B408 P161, B408 P516, B408 P591)

Course requirement changes. Replace GIS 4013 with GIS 2023. Total credit hours for the degree will not change.

Reason for request:

The overall intent of the GIS course requirement was and is to allow students to develop the appropriate technical mapping skills for the degree. GIS 2023 is more appropriately designed for this objective than the existing upper division class. Thus, it is better positioned to provide to the students the necessary understanding of introductory GIS knowledge and thus will better satisfy the core requirement. This switch in required classes also allows the department to focus the advanced class (GIS 4013) at a more specialized technical level, which will allow for increased understanding for those students wishing to take both classes.

Environmental Sustainability, Bachelor of Science in Environmental Sustainability (RPC 382, MC B410 P161, B410 P516, B410 P591)

Course requirement changes. Replace GIS 4013 with GIS 2023. Total credit hours for the degree will not change.

Reason for request:

The overall intent of the GIS course requirement was and is to allow students to develop the appropriate technical mapping skills for the degree. GIS 2023 is more appropriately designed for this objective than the existing upper division class. Thus, it is better positioned to provide to the students the necessary understanding of introductory GIS knowledge and thus will

better satisfy the core requirement. This switch in required classes also allows the department to focus the advanced class (GIS 4013) at a more specialized technical level, which will allow for increased understanding for those students wishing to take both classes.

PRICE COLLEGE OF BUSINESS

Business Administration, Master of Business Administration (RPC 025, MC M140 Q446)

Course change requirement. Replace B AD 5100 and B AD 5200 with B AD 5101 and B AD 5201. Total credit hours required for degree will change from 47 hours to 49 hours.

Reason for request:

The required Professional Development Courses have been changed from 0 credit hours to 1 credit hours. The change in credit hours reflects the restructuring of the course requirements.

JEANNINE RAINBOLT COLLEGE OF EDUCATION

Special Education, Master of Education (RPC 219, MC M855Q628, M855Q629, M855Q034, M855Q613)

Course and program requirement change. Change the degree program to a non-thesis masters by removing EDSP 5983 from the required curriculum. There will still be a thesis option for students who wish to pursue that option. Electives for all tracks were realigned to 18 hours and were made more flexible for students seeking teacher certification. Students in the Special Education concentration who complete the program under the non-thesis option will replace EDSP 5983 with an additional elective of their choice for a total of 33 hours. Some students who opt to complete a special set of coursework (ex. teaching certificate) OR need a prerequisite may take additional courses for a total of up to 39 hours. In all concentrations, students who complete a thesis will be required to enroll in 2-4 hours of thesis research in addition to the regular curriculum for a total of 35-43 credit hours.

Reason for request:

As of Fall 2016, our Special Education M.Ed. program will begin transitioning to a fully online format, so the current Directed Project structure may not be feasible from a logistical standpoint with students attending the program from remote locations. Further, because most of our Special Education M.Ed. students are teachers who do not intend to pursue doctoral study, an additional elective that will be practically applicable to their classrooms will be of greater benefit to them than completing a project as a culminating experience.

President Boren recommended the Board of Regents approve the proposed changes in the Norman Campus academic program:

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

NAMING RENOVATED AREA IN THE DONALD E. PRAY LAW LIBRARY OF COATS HALL – NC

The Inasmuch Foundation has pledged a major gift to create a new collaborative learning space within the College of Law's Donald E. Pray Law Library. By enabling the transformation of the 8,000 square-foot entrance to the library, this gift will dramatically enhance

the educational experience and interaction of the students enrolled in the OU College of Law. The new space will become the intellectual activity center with informal and creative learning areas designed to enhance interaction and increase active learning. It will feature four new multimedia study rooms; a “flipped” seminar room; 16 dual monitor workstations; whiteboard desks, stands and walls; a centralized reference desk; comfortable seating areas; and a self-service café.

With an earlier gift in 2008, the Inasmuch Foundation provided critical funding for four multimedia study rooms in the Donald E. Pray Law Library. Warmly received by law students and faculty, these rooms are utilized for a myriad of purposes, including small-group classes, moot court competitions, group study sessions, collaborative staff meetings, employer interviews and video-conferencing. The four rooms logged nearly 4,000 uses by the student body in one academic year.

The study rooms’ success and the well-received Digital Initiative launched in 2014, the first such initiative by a law school in the country, demonstrate the OU College of Law’s leadership in legal education in the digital age. The new collaborative learning center represents the next decisive step in ensuring students have the technology and facilities necessary to become technologically proficient in the 21st century legal profession.

Because of the Inasmuch Foundation’s unwavering support and commitment to The University of Oklahoma College of Law, it is more than appropriate to name the new collaborative learning space in its honor. Inasmuch Foundation leaders, William J. Ross (OU Law ’54), Chairman of the Foundation’s Board of Directors, and Robert J. Ross (OU Law ’99), President and CEO, are both faithful alumni of the College of Law. Their combined vision of and commitment to excellence in education extends fondly to their alma mater. The College of Law is a grateful beneficiary of their generosity and wishes to honor Inasmuch Foundation by naming the new space the Inasmuch Foundation Collaborative Learning Center.

President Boren recommended the Board of Regents approve the naming of the College of Law’s new 8,000 square-foot collaborative learning space in the Donald E. Pray Law Library the Inasmuch Foundation Collaborative Learning Center in recognition of the generosity of the Inasmuch Foundation, including their recent \$1.5 million gift, in accordance with Board of Regents Policy 7.3.2.

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

GENERAL, LIMITED AND SPECIAL OBLIGATION DEBT – NC

This action is the first step in the process of issuing general, limited and special obligation debt and does not obligate the University to the issuance of such. Obtaining Legislative approval simply allows the University to proceed with planning for this issue.

Section 3980.4.E. of Title 70 of the Oklahoma Statutes requires the University to communicate projects anticipated to be funded in whole or in part from general, limited and special obligation debt proceeds and the related terms of financing to the Governor, the Speaker of the House of Representatives, and the President Pro Tempore of the State Senate by April 1st. Upon receipt of said communication the Legislature shall have a period of forty-five calendar days from the date the information is communicated to the presiding officers of both chambers in order to pass a Concurrent Resolution disapproving the proposed issuance. If the Concurrent Resolution has not received a majority of votes of those elected to and constituting both the Oklahoma House of Representatives and the Oklahoma State Senate by the end of the forty-fifth

day following the date upon which the proposed issuance is communicated to the presiding officers of both chambers, the proposed issuance shall be deemed to have been approved by the Legislature.

At this time the University's Administration is preparing for the issuance of general, limited and special obligation debt in the next nine to twelve months in support of the projects listed below.

Real Property Acquisitions	\$ 4,500,000
Physics and Astronomy Facilities	3,000,000
Bizzell Memorial Library – Lower Level II Renovation	3,000,000
Technology Infrastructure	3,000,000
Utility System Capital Projects	1,500,000
	<u>\$ 15,000,000</u>

The debt contemplated herein will be secured by a pledge of all lawfully available sources of revenue other than revenues appropriated by the Legislature from tax receipts. Underlying the issuance of the bonds, the University's Administration will comply fully with the Board of Regents "Debt Policy", meaning that the bonds will be supported by an achievable financial plan that includes servicing the debt, meeting any new or increased operating costs, and maintaining an acceptable debt service coverage ratio.

President Boren recommended the Board of Regents authorize the University's Administration to submit a request to the Governor, the Speaker of the House of Representatives, and the President Pro Tempore of the State Senate seeking approval to issue general, limited and special obligation bonds, commercial paper, line of credit, drawdown bond, or other forms of interim and/or permanent debt sufficient to fund the academic, research, and infrastructure projects identified above and, in addition to the amounts needed for the proposed projects, to provide sufficient funds to fund any related costs of issuance, underwriters' discounts, capitalized interest, reserve funds, bond insurance, net premiums/original issue discounts, and any other necessary and related expenditures associated with the issuance.

Regent Humphreys moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

PHYSICS AND ASTRONOMY FACILITIES – NC

At the May 2015 meeting, the Board of Regents approved the Physics and Astronomy Facilities project as a part of the comprehensive Campus Master Plan of Capital Improvements Projects for the Norman Campus. At the June 2015 meeting, the Board ranked Flintco, LLC first among construction management firms considered to provide construction services for the project. The project will provide approximately 54,000 square feet of space for new research facilities to replace obsolete laboratories and laboratory support spaces in Nielsen Hall. The building will be located on the current site of Gittinger Hall just south of Nielsen Hall.

Design development documents have been prepared by the project architects, Miles Associates, in conjunction with the lab planning firm of HDR. The project will include 12 state-of-the-art research labs, associated support spaces, offices space for principal investigators and their staff, and research support. Part of the building roof will be used for astronomical research and teaching and will include a 14-inch telescope and a number of smaller telescopes for student use. In support of current and future research efforts, the building is being designed to National Institute of Standards and Technology research lab standards. The project also may include spaces for science display and classrooms. Exterior materials and designs have been selected to harmoniously blend with Nielsen Hall as well as other structures on Van Vleet Oval.

The estimated total project cost is \$33,000,000. Funding has been identified and is available from private and future general revenue bond proceeds. Design drawings are attached hereto as Exhibit K.

President Boren recommended the Board of Regents:

- I. Approve the design development phase plans for the Physics and Astronomy Facilities project; and
- II. Recognize and acknowledge that the University may incur certain costs relative to the above project prior to receipt of bond proceeds and, to the extent the University utilizes currently available funds for said costs, it is intended that bond proceeds will be utilized to reimburse those outlays.

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

MASTER DEVELOPER – NC

By previous briefings University Administration informed committees of the Board about site development opportunities on the Norman Campus, which offer meaningful mission-related, operational, and financial benefits to the University going forward. Accordingly, University Administration proceeded cautiously to identify potential candidates who could serve as master developer(s) to assist with optimum site development strategies and, ultimately, asset creation and operation.

The University issued a competitive solicitation (Request for Qualifications [RFQ]) and received the following responses:

Acquest Development	Williamsville, NY
A.J. Eliopoulos	Lancaster, CA
American Campus Communities	Austin, TX
Balfour Beatty Campus Solutions	Malvern, PA
Buckingham / Kite	Indianapolis, IN
Carter Realty	Atlanta, GA
EdR Collegiate Housing	Memphis, TN
Manhattan / Rooney	Tulsa
Martin/Lincoln/Stephenson Group	San Francisco, CA
Matthews Southwest	Lewisville, TX
Midway Companies	Houston, TX
Servitas	Irving, TX
The University Financing Foundation	Atlanta, GA
Trammell Crow Companies	Dallas, TX
University Housing Communities / Woodbury	Salt Lake City, UT

The evaluation team comprised the following individuals:

Stewart Berkinshaw, Associate Provost and Director of Academic Financial Operations
 Chad Cochell, Director, Real Estate Operations
 Adam Ducker, Consultant, RCLCO Managing Director
 Nick Hathaway, Executive Vice President, Administration & Finance
 Chris Kuwitzky, Associate Vice President and Chief Financial Officer
 Byron Burr Millsap, Associate Vice President and Director of Purchasing
 Daniel Pullin, Dean, Michael F. Price College of Business

The RFQ solicited evidence relative to the respondent's experience in similar projects, financial ability, organization history and make-up, references, and claims history.

The objective of the first round of evaluation was to select those respondents who would be invited to give on-site presentations. Invitations were communicated to: Balfour Beatty Campus Solutions, Buckingham / Kite, Martin/Lincoln/Stephenson Group, The University Financing Foundation, and University Housing Communities / Woodbury.

After the presentations were completed, the evaluation team determined that Buckingham/Kite and University Housing Communities / Woodbury need not be considered further, and that the respondents identified for imminent negotiations should be ranked as follows.

1. Balfour Beatty Campus Solutions
2. The University Financing Foundation

The evaluation team also determined that discussions regarding the projects identified in the RFQ on the research campus should continue with the Martin/Lincoln/Stephenson Group.

President Boren recommended the Board of Regents approve ranking in the order presented above the candidates for Master Developer, pursuant to the University's plan to improve selected areas of the Norman Campus, and to enter into negotiations with the highest ranked candidate(s), leading to one or more agreements to be reviewed by Legal Counsel and submitted to the Board for authorization.

Regent Humphreys moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

2016-2017 STUDENT ACTIVITY FEE BUDGET – NC

The Executive Budget Committee, composed of the President of the University of Oklahoma Student Government Association (SGA), Chair of the Undergraduate Student Congress, Chair of the Graduate Student Senate, Chair of the Campus Activities Council and the University Vice President for Student Affairs and Dean of Students, prepared the budget attached hereto as Exhibit L.

Funding proposals were received and considered from those student service areas originally identified by student leadership and traditionally funded from Student Activity Fee resources as provided by Regents' Policy. Those areas include service units providing student services that impact orientation, retention and development of students as well as monies to be allocated through the SGA to fund student government and individual registered student organizations.

Total budget projections are prepared by the Chief Financial Officer based upon historical enrollment and fee collection trends. Attached is a budget summary showing allocations over the last two years.

President Boren recommended that the Board of Regents approve the 2016-2017 Student Activity Fee budget and distribution of funds as proposed by the Student Activity Fee Executive Budget Committee.

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

REGENTS' AWARD FOR OUTSTANDING JUNIORS – NC

To honor and encourage excellence in leadership and service, the Board of Regents presents to approximately 12 OU juniors each year the Regents' Award for Outstanding Juniors. These awards are given to students on the basis of leadership, service to the University, involvement in campus activities, and academic progress. Recipients must have completed 72 credit hours and must submit two short essays in response to identified questions. The recipients receive a certificate and an official OU Ring. In addition, the names of each year's honorees are engraved on a permanent plaque located in the Oklahoma Memorial Union on the Norman Campus and in the Health Sciences Center Library in Oklahoma City. The winners are selected by a committee comprised of students, faculty and staff members. The juniors will be honored this year at the Campus Awards Program scheduled for April 1st in the Donald W. Reynolds Performing Arts Center.

The names of the students selected are shown below:

**2016 RECIPIENTS
REGENTS' AWARD FOR OUTSTANDING JUNIORS**

Benjamin Bevilacqua
Christa Cherian
David Doshier
Raine Fitzgerald – HSC
Taylor Freeman
Darius Katono
Lucy C. Mahaffey
Shawn R. Mayhew – HSC
Kelsey McKee
Daniel L. Pae
Abbey Taylor
Sean S. Templemore-Finlayson

President Boren recommended the Board of Regents approve the students selected to receive the 2016 Regents' Award for Outstanding Juniors.

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

REGENTS' AWARD FOR SUPERIOR STAFF – NC & HSC

The Regents' Award for Superior Staff was developed to recognize the outstanding contributions made by OU staff members whose job performance, service activities and dedication have enhanced the mission of The University of Oklahoma. Two \$2,000 awards are given annually during Spring staff recognition activities: one to a Norman Campus staff member and one to a Health Sciences staff member.

To qualify for a Regents' Award for Superior Staff, a staff member must have consistently demonstrated a superior job performance and/or outstanding service to the University or to outside community or professional activities on behalf of the University. The outstanding job performance and/or superior service should reflect perspective, initiative and efforts that transcend the boundaries of a staff member's designated work responsibilities. The recipients are selected by a committee appointed by the President, for each campus.

The recipients of the Regents Awards for Superior Staff are:

Health Sciences Center:

Anthony “Tony” Rhone, General Manager, Faculty House

Norman Campus:

Carmen Bao, Associate Director, Student Life

President Boren recommended the Board of Regents approve the staff members selected to receive the 2016 Regents’ Award for Superior Staff.

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

STAFF WEEK RESOLUTION – NC

NORMAN CAMPUS

WHEREAS, the staff of The University of Oklahoma Norman Campus are essential to the accomplishment of the institution’s mission in teaching, research and public service; and WHEREAS, their dedicated efforts and skills contribute to the quality and achievements of the entire University; and

WHEREAS, the many and varied contributions of the staff enhance the quality of life for those within the University community as well as those in the larger community;

NOW THEREFORE BE IT RESOLVED that the Board of Regents expresses its appreciation to all members of the staff and hereby proclaims April 25 through April 29, 2016 to be “OU Staff Week” on the Norman Campus in recognition of the jobs well done.

HEALTH SCIENCES CENTER CAMPUS

WHEREAS, the staff of The University of Oklahoma Health Sciences Center in Oklahoma City are essential to the fulfillment of the institution’s mission in teaching, research, and patient care; and

WHEREAS, their dedication, skills and talents strengthen and enhance the worth and productivity of the entire University; and

WHEREAS, the diverse contributions and achievements of the staff elevate the quality of life for those within the University family and ensure an unstinting effort toward fulfillment of the University mission

NOW THEREFORE BE IT RESOLVED that the Board of Regents expresses its appreciation to all members of the staff and hereby proclaims April 18 through April 22, 2016 to be “OUHSC Staff Week” on the Oklahoma City Campus in recognition of the jobs well done.

TULSA CAMPUS

WHEREAS, the staff of The University of Oklahoma Tulsa Campus are essential to the accomplishment of the institution’s mission in teaching, research and public service; and

WHEREAS, their dedicated efforts and skills contribute to the quality and achievements of the entire University; and

WHEREAS, the many and varied contributions of the staff enhance the quality of life for those within the University community as well as those in the larger community;

NOW THEREFORE BE IT RESOLVED that the Board of Regents expresses its appreciation to all members of the staff and hereby proclaims April 18 through April 22, 2016 to be "OU Staff Week" on the Tulsa Campus in recognition of the jobs well done.

President Boren recommended the Board of Regents approve the above resolutions in recognition of The University of Oklahoma Staff Week.

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

MODIFICATION TO BOARD OF REGENTS POLICY – UNIVERSITY OF OKLAHOMA

At its June 26, 2013 meeting, the Board of Regents approved the creation of a CLEET certified Police Department at the Tulsa campus. As a result of that successful transition, certain technical changes to Board policy for the University of Oklahoma are appropriate and necessary to accurately reflect OU-Tulsa Police Department campus safety operations. Changes to Section 3.1.12 – Firearms Policy, and Section 4.8.1 – University Police Officers are attached hereto as Exhibit M.

President Boren recommended the Board of Regents approve the attached modifications to Regents' Policy regarding campus police.

Regent Bennett moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

ACQUISITION AND SALE OF PROPERTY, MCKINLEY AVENUE – NC

The University administration recommends that it be authorized to pursue acquisition of the property listed above. The location of the property is in close proximity to other University property, which makes it a strategic and desirable acquisition. A map showing the location of the property is attached hereto as Exhibit N.

The University has a contract for purchase contingent upon approval by the Board of Regents. The purchase price is supported by an independent third-party appraisal, and the proposed acquisition complies with Regents' policy. Both the purchase contract and appraisal are on file in the Board of Regents' Office.

President Boren recommended the Board of Regents authorize the University administration to acquire property located at 1315 McKinley Avenue, Cleveland County, Norman.

Regent Burgess moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

ACQUISITION AND SALE OF PROPERTY, TROUT AVENUE – NC

The University administration recommends that it be authorized to pursue acquisition of the property listed above located in the mid-block of Trout Avenue. The location of the property is adjacent to and in close proximity to other OU property, which makes this a strategic and desirable acquisition for the University. A map showing the location of the property is attached hereto as Exhibit O.

The University has a contract for purchase contingent upon approval by the Board of Regents. The purchase price is supported by an independent third party appraisal, and the proposed acquisition complies with Regents' policy. Both the purchase contract and appraisal are on file in the Board of Regents Office.

President Boren recommended the Board of Regents authorize the University administration to acquire property located at 1033 Trout Avenue.

Regent Humphreys moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

REGENTS' FACULTY AWARDS – NC

In a letter to members of the Board of Regents, President Boren reported his recommendations for the 2016 Regents' Awards. The list of recipients is attached hereto as Exhibit P.

The regulations for these awards provide that each individual will receive a cash award of \$10,000. The University of Oklahoma Foundation will provide the funds for these cash awards.

President Boren recommended the Board of Regents:

- I. Approve the 2016 Regents' Awards for the individuals included in his letter to the Regents; and
- II. Authorize presentation of the Norman Campus Regents' Awards at the Norman Campus Faculty Tribute Ceremony and the Health Sciences Center Regents' Awards at the Health Sciences Center General Faculty meeting.

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

DISTINGUISHED PROFESSORSHIPS – DAVID L. BOREN, GEORGE LYNN CROSS, DAVID ROSS BOYD, REGENTS' AND PRESIDENTIAL PROFESSORSHIPS

In a letter to members of the Board of Regents, President Boren reported his expectation of presenting at the March meeting the recommendations for the distinguished professorships. The list of recipients is attached hereto as Exhibit P.

The policy for the David L. Boren Professorship provides that in the year of designation each individual will receive a one-time cash award of \$10,000 and a permanent salary increase of 10% or \$10,000 minimum starting in the subsequent fiscal year.

The policy for the George Lynn Cross, David Ross Boyd and Regents' Professorships provides that in the year of designation each individual will receive a one-time cash award of \$7,000 and a permanent salary increase of 7% or \$7,000 minimum starting in the subsequent fiscal year.

The policy for Presidential Professorships provides that each individual is awarded the professorship for a four-year term. Assistant and Associate Professors receive \$5,000 per year and Professors receive \$10,000 per year starting in the subsequent fiscal year.

The University of Oklahoma Foundation will provide funds for these cash awards.

President Boren recommended the Board of Regents:

- I. Approve the appointment of the distinguished professorships as indicated in his letter to the Board of Regents, effective with the 2015-2016 academic year; and
- II. Authorize the use of Foundation funds for the cash award to each faculty member.

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

ACADEMIC PERSONNEL ACTIONS – NC & HSC

Health Sciences Center:

LEAVE(S) OF ABSENCE:

Curran, Kelly A., Assistant Professor of Pediatrics, return from medical leave of absence with pay, January 11, 2016.

NEW APPOINTMENT(S):

Adhikari, Laura J., M.D., Assistant Professor of Pathology, annualized rate of \$60,000 for 12 months, May 23, 2016 through June 30, 2016. New consecutive term appointment. University base \$50,000; departmental salary \$10,000.

Homco, Juell Briggett, Instructor in Medical Informatics, Tulsa, and Director, Research and Community Analytics, Department of Medical Informatics, Tulsa; annualized rate of \$106,250 for 12 months, January 24, 2016 through June 30, 2016. Includes an administrative supplement of \$66,250 while serving as Director, Research and Community Analytics, Department of Medical Informatics, Tulsa. University base \$40,000. Changing from staff to faculty.

Kohl, Kristi Ann, Assistant Professor of Health Sciences Library and Information Management and Head, Serial Services, Robert M. Bird Health Sciences Center Library; annualized rate of \$55,000 for 12 months, February 10, 2016 through June 30, 2016. New consecutive term appointment.

McClatchie, Maria Danielle, Clinical Instructor in Surgery, annualized rate of \$92,074 for 12 months, February 7, 2016 through June 30, 2016.

Nuveen, Erik John, M.D., D.M.D., Clinical Associate Professor of Oral and Maxillofacial Surgery, annualized rate of \$12,000 for 12 months, 0.10 time, February 1, 2016 through June 30, 2016.

Phillips, Whitney Jeanne, Instructor in Family Medicine, Tulsa, annualized rate of \$100,000 for 12 months, January 25, 2016 through June 30, 2016. University base \$85,000.

Tryon Jr., Charles A., Instructor in Medical Informatics, Tulsa, annualized rate of \$85,000 for 12 months, January 24, 2016 through June 30, 2016. University base \$32,000; departmental salary \$53,000.
Changing from staff to faculty.

REAPPOINTMENT(S):

Stratton, Mark A., Pharm.D., Professor Emeritus of Pharmacy Clinical and Administrative Sciences, annualized rate of \$27,000 for 12 months, 0.20 time, January 13, 2016 through June 30, 2016.

CHANGE(S):

Armor, Becky Lynn, Clinical Associate Professor of Pharmacy Clinical and Administrative Sciences, salary changed from annualized rate of \$1,044 for 12 months, 0.10 time, to annualized rate of \$41,750 for 12 months, 0.40 time, January 10, 2016 through June 30, 2016. Change in FTE.

Baxter, Michael A., Clinical Assistant Professor of Pediatrics, Tulsa, given additional title Program Director, Child Abuse Fellowship, Department of Pediatrics, Tulsa; salary changed from annualized rate of \$70,000 for 12 months to annualized rate of \$100,000 for 12 months, January 10, 2016 through June 30, 2016. Includes an administrative supplement of \$30,000 while serving as Program Director, Child Abuse Fellowship, Department of Pediatrics, Tulsa. University base \$70,000.

Bosse, Robert O., Instructor in Family Medicine, Tulsa, salary changed from annualized rate of \$92,000 for 12 months to annualized rate of \$110,000 for 12 months, January 24, 2016 through June 30, 2016. Additional responsibilities. University base \$60,000; departmental salary \$50,000.

Braly, M. Edmund, Clinical Associate Professor of Oral and Maxillofacial Surgery, salary changed from annualized rate of \$24,000 for 12 months, 0.20 time, to annualized rate of \$72,000 for 12 months, 0.60 time, February 7, 2016 through June 30, 2016. Change in FTE.

Conway, Lauren Kimberly, title changed from Instructor to Fellow, Department of Pediatrics, Tulsa, January 10, 2016. Changing from faculty to staff.

Houck Jr., John R., Professor of Otorhinolaryngology, title The Rosalyn W. Gore Chair in Otorhinolaryngology deleted, July 1, 2015. Correction to records.

Jones, Elizabeth Susann, Associate Professor of Health Sciences Library and Information Management, title changed from Head of Serials to Head of Library Computing, Robert M. Bird Health Sciences Center Library, February 12, 2016.

Krempf, Greg A., Professor and Chair of Otorhinolaryngology and The Paul and Ruth Jonas Chair in Cancer; salary changed from annualized rate of \$191,543 for 12 months to annualized rate of \$191,695 for 12 months, February 7, 2016 through June 30, 2016. VA funding.

Morgan, Jean Scott, Clinical Associate Professor of Psychiatry and Behavioral Sciences, salary changed from annualized rate of \$8,400 for 12 months, 0.10 time, to agreed Professional Practice Plan earnings from OUP patient care activity, January 24, 2016 through June 30, 2016.

O'Donoghue, Daniel, David Ross Boyd Professor of Cell Biology, Professor of Family and Preventive Medicine, and Adjunct Professor of Otorhinolaryngology; given additional title Vice Chair of Cell Biology, March 6, 2016.

Rae, Alton W., Assistant Professor of Family Medicine, Tulsa, salary changed from annualized rate of \$90,000 for 12 months to annualized rate of \$78,000 for 12 months, January 10, 2016 through June 30, 2016. Removal of \$25,000 administrative supplement for serving as Medical Director. University base \$65,000; departmental salary \$13,000.

Rooms, Laura, title changed from Clinical Assistant Professor to Assistant Professor of Pediatrics, annualized rate of \$65,000 for 12 months, March 6, 2016 through June 30, 2016. New consecutive term appointment.

Severson, Sharon K., Clinical Associate Professor of Periodontics, salary changed from annualized rate of \$18,878 for 10 months, 0.30 time, to annualized rate of \$25,168 for 10 months, 0.40 time, January 10, 2016 through June 30, 2016. Change in FTE.

Shahid, Saba, Assistant Professor of Pediatrics, given additional title Adjunct Assistant Professor of Psychiatry and Behavioral Sciences, December 13, 2015.

Short, Kevin R., Associate Professor of Pediatrics, Adjunct Associate Professor of Physiology, Adjunct Associate Professor of Geriatrics, and The CMRI Choctaw Nation Endowed Chair in Pediatric Endocrinology; appointment changed from tenure track to consecutive term, February 1, 2016.

Tompkins, John Fulton, Associate Professor of Orthopedic Surgery and Rehabilitation, salary changed from annualized rate of \$118,761 for 12 months to annualized rate of \$119,949 for 12 months, February 7, 2016 through June 30, 2016. VA funding.

Vasan, Nilesh, Associate Professor of Otorhinolaryngology and The Jesus E. Medina M.D. Chair in Otorhinolaryngology; salary changed from annualized rate of \$105,000 for 12 months to annualized rate of \$143,734 for 12 months, February 7, 2016 through June 30, 2016. VA funding.

NEPOTISM WAIVER(S):

Edwards, Rodney Kirk, M.D., Professor of Obstetrics and Gynecology, Section Chief, Maternal Fetal Medicine, and The Chair in Perinatal Research; annualized rate of \$300,000 for 12 months, April 28, 2016 through June 30, 2016. New consecutive term appointment. Includes an administrative supplement of \$210,000 while serving as Section Chief. University base \$90,000. Dr. Edwards is the spouse of Sabrina N. Wyatt, M.D., Assistant Professor of Obstetrics and Gynecology. Dr. Sabrina Wyatt will be a part of the generalist section of the Department of Obstetrics and Gynecology and report directly to Dr. Daniel Schultz, Section Chief. Performance evaluations, recommendations for compensation, promotion, and awards for Dr. Rodney Edwards will be conducted by Dr. Karl Hansen, Associate Professor and Chair of the Department of Obstetrics and Gynecology. Dr. Sabrina Wyatt is removed from any and all financial and supervisory matters related to her husband, Dr. Rodney Edwards.

Wyatt, Sabrina N., M.D., Assistant Professor of Obstetrics and Gynecology, annualized rate of \$60,000 for 12 months, April 28, 2016 through June 30, 2016. New consecutive term appointment. Dr. Wyatt is the spouse of Rodney Kirk Edwards, M.D., Professor of Obstetrics and Gynecology. Dr. Wyatt will be in the general section of the Department of Obstetrics and Gynecology and will report directly to Dr. Daniel Schultz, Generalist Section Chief. Her husband, Dr. Rodney Edwards, will serve as Section Chief of Maternal Fetal Medicine. Performance evaluations, recommendations for compensation, promotion, and awards for Dr. Wyatt will be conducted by Dr. Karl Hansen, Associate Professor and Chair of the Department of Obstetrics and Gynecology. Dr. Rodney Edwards is removed from any and all financial and supervisory matters related to his wife, Dr. Sabrina Wyatt.

RESIGNATION(S) AND/OR TERMINATION(S):

Bales-Poirot, Deidre Leann, Clinical Instructor in Nursing, February 14, 2016.

Casanegra, Ana I., Assistant Professor of Medicine, March 13, 2016.

March 9, 2016

35062

Davis, Nicholas Alan, Assistant Professor of Research, Department of Medical Informatics, Tulsa, February 16, 2016.

Day, Ralph William, Clinical Assistant Professor of Obstetrics and Gynecology, Tulsa, February 5, 2016.

Evenson, Robin A., Clinical Assistant, Department of Obstetrics and Gynecology, February 28, 2016. Moving out of state.

Fairchild, Christinna R., Assistant Professor of Comprehensive Care, January 8, 2016.

Pant, Shubham, Associate Professor of Medicine and The Mai Eager Anderson Chair in Cancer Clinical Trials, March 4, 2016. Accepted a position with MD Anderson.

Patel, Geeta Krishnakant, Assistant Professor of Dermatology, February 12, 2016.

Sosnowska, Danuta, Assistant Professor of Research, Department of Geriatrics, December 31, 2015. End of temporary appointment.

Stewart, Doug W., Associate Professor of Pediatrics, Tulsa, February 7, 2016. Accepted another position.

Norman Campus:

LEAVE(S) OF ABSENCE:

Hyde, Anne F., Professor of History, leave of absence with pay, August 16, 2015 through May 15, 2016. SMU Clements Center Fellowship. Fellowship stipend repaid to the university.

Sabbatical Leaves of Absence – Fall Semester 2016 (with full pay)

Barman, Samir, Professor of Marketing and Supply Chain Management, Baldwin Chair of Business Administration, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Research on determining the relative advantages and disadvantages of deploying multi-skilled over specialized workforce using a stochastic optimization model. Work will take place in India and Norman, Oklahoma. Faculty appointment: 08/16/87. Previous leaves taken: Sabbatical leave of absence with full pay 08/16/95 to 12/31/1995; Sabbatical leave of absence with full pay 08/16/06 to 12/31/06. Teaching load will be covered by adjunct faculty.

Bemben, Debra A., Professor of Health and Exercise Science and President's Associates Presidential Professor, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will work to establish a new biochemical assay technique for bone health assessment and to generate pilot data for a National Institute of Health grant submission. Work will take place in Norman, Oklahoma. Faculty appointment: 08/16/96. Previous leaves taken: Sabbatical leave of absence with full pay 01/01/06 to 05/15/06. Teaching load will be covered by current faculty.

Brady, Noel P., Professor of Mathematics and President's Associates Presidential Professor, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will visit the Mathematical Sciences Research Institute, which is hosting a special program in Geometric Group Theory. The program will allow further progress in research areas and forge research partnerships to take research in new directions. Work will take place in Berkeley, California. Faculty appointment: 08/16/98. Previous leaves taken: Sabbatical leave of absence with full pay 08/16/04 to 12/31/04; Sabbatical leave of absence without pay 01/01/05 to 05/15/05; Leave of absence without pay 01/01/06 to 05/15/06; Leave of absence without pay 08/16/11 to 05/15/13. Teaching load will be covered by current faculty.

Brown, Cecelia M., Professor and Director of the School of Library and Information Studies, sabbatical leave of absence with full pay, July 1, 2016 through December 31, 2016. The proposed project will characterize the use and value of social networking tools in scholarly communication using surveys, interviews, usability studies, and online usage analytics at the University of Oklahoma, University of North Carolina in Chapel Hill, University of Long Island and Taiyuan University in China. Work will take place in Oklahoma, North Carolina, New York and China. Faculty appointment: 11/01/96. Previous leaves taken: Sabbatical leave of absence with full pay 08/16/09 to 12/31/09. Teaching load will be covered by current faculty.

Burge, Stephanie W., Associate Professor of Sociology and Adjunct Associate Professor of Women's and Gender Studies, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will devote time to the analysis and writing of papers that examine mid-life and later-life living arrangement and family transitions. Work will take place in Norman, Oklahoma. Faculty appointment: 08/16/08. No previous leave taken. Teaching load will be covered by other course offerings.

Drege, Lance M., Professor of Music and Undergraduate Student Advisor, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. In-depth research of technological advancements on percussion curriculum for improved student learning. Work will take place in Arizona, Florida and Kansas. Faculty appointment: 08/16/04. Previous leaves taken: Sabbatical leave of absence with full pay 08/16/09 to 12/31/09. Teaching load will be covered by adjunct faculty.

Fincke, Ola M., Professor of Biology, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Conduct research that focuses on geographic variation in morphology of the Neotropical Damsselfly with Dr. Eric van den Berghe, Zamorano University, Honduras. The DNA material collected will be analyzed by Dr. Jessica Ware, Rutgers University and will co-author a paper on the resulting research. Work will take place in Nicaragua. Faculty appointment: 08/16/89. Previous leaves taken: Sabbatical leave of absence with full pay 01/01/96 to 05/15/96; Sabbatical leave of absence with full pay 01/01/04 to 05/15/04; Sabbatical leave of absence with full pay 01/01/10 to 05/15/10. Teaching load will be covered by other course offerings.

Haltman, Kenneth, Professor of Art and Art History and H. Russell Pitman Professor of Art and Art History, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Work on a manuscript in progress, "Artists and Hunters: Figures of Predatory Looking in Antebellum America," and will guest lecture at the University of Western Australia. Work will take place in Norman, Oklahoma with travel to Perth, Australia. Faculty appointment: 01/15/07. No previous leave taken. Teaching load will be covered by current faculty and other course offerings.

Ham, Jeongwon, Professor of Music, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Research to prepare up to three new piano recitals and prepare presentation of piano study on three different continents. Work will take place in Norman, Oklahoma and Seoul, Korea. Faculty appointment: 08/16/02. No previous leaves taken. Teaching load will be covered by current faculty.

Hardre, Patricia L., Professor of Educational Psychology, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. The primary purpose will be to analyze existing data, and to write and submit manuscripts for publication. Secondary and tertiary activities include updating scholarly knowledge and engaging in place-based immersion in rural areas. Work will take place in Norman, Oklahoma. Faculty appointment: 08/16/02. No previous leave taken. Teaching load will be covered by current faculty.

Hicks, Daniel L., Assistant Professor of Economics, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will spend Fall semester 2016 as a visiting scholar at George Washington University. Work will include numerous research projects, presentation of developing work and forge new co-authorships. Work will take place in Washington, DC. Faculty appointment: 08/16/09. No previous leave taken. Teaching load will be covered by current faculty.

Holguin, Sandie E., Associate Professor of History, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will work on book entitled, "The Soul of Spain? Flamenco and the Construction of National Identity, 1800-1975." The book is a cultural history that analyzes how flamenco became inexorably tied to Spain's national identity in the nineteenth and twentieth centuries. Work will take place in Norman, Oklahoma. Faculty appointment: 08/16/95. Previous leaves taken: Sabbatical leave of absence with half pay 01/01/03 to 05/15/03 and 08/16/03 to 12/31/03; Sabbatical leave of absence with full pay 01/01/10 to 05/15/10. Teaching load will be covered by current faculty.

Johnson, Tyler C., Associate Professor of Political Science, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will examine the increasing prominence over the past half-decade to decade of businessmen as Republican candidates for prominent offices beyond the presidency. Work will take place in Oklahoma City, Oklahoma. Faculty appointment: 08/16/09. No previous leave taken. Teaching load will be covered by current faculty and other course offerings.

Kerr, Robert L., Professor of Journalism and Mass Communication, Gaylord Family Professor #2, and Edith Kenney Gaylord Presidential Professor, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Conduct research on the role of sports talk radio and coach-media talk in the place of football sociologically in American culture. Redesign media law and history classes for stronger online delivery. Work will take place in Norman, Oklahoma. Faculty appointment: 08/16/02. Previous leaves taken: Sabbatical leave of absence with half pay 08/16/09 to 05/15/10. Teaching load will be covered by current faculty

Knippenberg, F. Stephen, Professor of Law and Floyd and Martha Norris Chair in Law, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Research will be centered on recent developments in the field of debtor-creditor law in the federal courts and issues restricting law transformation in bankruptcy. Work will take place in Norman, Oklahoma. Faculty appointment: 08/16/91. Previous leaves taken: Leave of absence without pay 08/16/97 to 12/31/97; Sabbatical leave of absence with full pay 01/01/00 to 05/15/00; Sabbatical leave of absence with full pay 08/16/09 to 12/31/09. Teaching load will be covered by visiting faculty or adjunct faculty.

Krumholz, Lee R., Professor of Microbiology and Plant Biology, Professor of Earth and Energy, and Director, Center for Study of Microbial Interactions with the Environment, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will work on two projects entitled, "Isolation and characterization of bacteria from skin that degrade odoriferous compounds" and "Microbial interactions in cyanobacterial bloom aggregates in eutrophic lakes." Work will take place in Norman, Oklahoma and China. Faculty appointment: 08/16/98. Previous leaves taken: Sabbatical leave of absence with half pay 08/16/09 to 05/15/10. Teaching load will be covered by other course offerings.

Leitch, Vincent B., George Lynn Cross Research Professor of English and Paul and Carol Daube Sutton Chair in English, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. As the long-standing general editor of the "Norton Anthology of Theory and Criticism," will work to bring the large scale project of the third edition to a close by completing the work and submitting the manuscript to the publisher. Work will take place in Norman, Oklahoma. Faculty appointment: 08/16/97. Previous leaves taken: Sabbatical leave of absence with full pay 08/16/03 to 12/31/03; Sabbatical leave of absence with full pay 01/01/10 to 05/15/10. Teaching load will be covered by current faculty.

Lifset, Robert D., Associate Professor of Honors and Donald Keith Jones Professor of Honors, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Further research and writing of a history of the energy crisis of the 1970's. Research will include visits to the Nixon, Ford and Carter Presidential libraries. Work will take place in Norman, Oklahoma; Yorba Linda, California; College Park, MD; Ann Arbor, Michigan and Atlanta, Georgia. Faculty appointment: 08/16/08. No previous leave taken. Teaching load will be covered by current faculty.

Lindberg, Jeremy A., Associate Professor of Dance and Graduate Liaison, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will conduct research for journal article and book chapter on Choreography in the Opera: A Ballet Choreographer's Perspective. Field research will be completed by the visiting of opera companies Boston Lyric, Santa Fe, and Tulsa Opera. Work will take place in Boston, Massachusetts, Santa Fe, New Mexico, and Tulsa, Oklahoma. Faculty appointment: 08/16/99. No previous leave taken. Teaching load will be covered by current faculty and some courses will move to Spring 2017.

Pitale, Ameya, Associate Professor of Mathematics, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will concentrate on various already-established research projects involving modular forms. Work will take place in Norman, Oklahoma with some travel to universities in Bristol, United Kingdom, Darmstadt, Germany and Kumamoto, Japan. Faculty appointment: 08/16/10. No previous leave taken. Teaching load will be covered by current faculty.

Rodriguez, Clemencia, Professor of Communication and Adjunct Professor of Women's and Gender Studies, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will study the way citizens use media in a remote area of Columbia to express their unique heritage as a combination of three ethnic groups, Afro-Columbians and minorities of Indigenous and Mestizo using participant observation. Work will take place in Palenque de San Basilio and Barranquilla, Colombia. Faculty appointment: 08/16/01. Previous leaves taken: Leave of absence without pay 01/01/04 to 12/31/04; Sabbatical leave of absence with full pay 08/16/08 to 12/31/08. Teaching load will be covered by current faculty and other course offerings.

Rosenthal, James A., Professor of Social Work, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will evaluate the impact of prison dog training programs in Oklahoma on inmates to include well-being at prison, performance at prison, and/or recidivism with emphasis on Friends of Folks dog training program. Work will take place in Lexington, Oklahoma. Faculty appointment: 08/16/85. Previous leaves taken: Sabbatical leave of absence with full pay 08/16/09 to 12/31/09. Teaching load will be covered by current faculty.

Skubic, Patrick L., Professor of Physics and Astronomy, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will conduct research on particle physics as a member of the ATLAS collaboration. ATLAS is an experiment located at the Large Hadron Collider, LHC, at CERN, the European Organization for Nuclear Research. Work will take place in Geneva, Switzerland. Faculty appointment: 01/16/81. Previous leaves taken: Sabbatical leave of absence with half pay 01/01/87 to 05/15/87 and 08/16/87 to 12/31/87; Sabbatical leave of absence with half pay 01/01/91 to 05/15/91; Sabbatical leave of absence with full pay 08/16/97 to 12/31/97; Sabbatical leave of absence with full pay 01/01/04 to 05/15/04; Sabbatical leave of absence with half pay 08/16/10 to 05/15/11. Teaching load will be covered by current faculty.

Snell, Daniel C., Professor of History and L.J. Semrod Presidential Professor, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will revise the 2005 book, "A Companion to the Ancient Near East," as requested by the publisher, develop a book proposal on the interaction of Ancient Israel with the religions of the Ancient Near East and will present a paper on The Historical Novel at the Historical Writers of America conference. Work will take place in Norman, Oklahoma and will travel to Williamsburg, Virginia. Faculty appointment: 09/01/82. Previous leaves taken: Leave of absence without pay 09/01/82 to 05/31/83; Sabbatical leave of absence with half pay 08/16/89 to 05/15/90; Sabbatical leave of absence with full pay 08/16/96 to 12/31/96; Leave of absence without pay 08/16/00 to 12/31/00; Sabbatical leave of absence with full pay 08/16/03 to 12/31/03; Sabbatical leave of absence with full pay 01/01/10 to 05/15/10. Teaching load will be covered by other course offerings.

Weldon, Stephen P., Assistant Professor of History of Science and History of Science Society Bibliographer, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will develop a new area of research in the history of science and religion in Asia in order to write a second article, prepare materials for a book, and develop an undergraduate course. Work will take place in Norman, Oklahoma and if funded, Australia or Japan. Faculty appointment: 07/08/02. Previous leaves taken: Sabbatical leave of absence with full pay 08/16/08 to 12/31/08; Leave of absence with partial pay 01/01/09 to 05/15/09. Teaching load will be covered by current faculty.

Williams, T.H. Lee, Regents' Professor of Geography and Environmental Sustainability and Dean of the Graduate College, sabbatical leave of absence with full pay, July 1, 2016 to December 31, 2016. Will help develop and implement a pilot project for 'OU Engagement' in Peru, with possible extension to Colombia. Additionally, will develop study abroad courses in Peru for OU undergraduates entitled "Water Resources in Peru – the Changing Dynamic of People, Place, and Climate Change" and "Edge Work, the Changing Nature of Risk." Work will take place in Norman, Oklahoma and Peru. Faculty appointment: 05/16/86. No previous leave taken. Will be transitioning from Dean of the Graduate College to teaching faculty.

Zhang, Yan, Associate Professor of Electrical and Computer Engineering and President's Associates Presidential Professor, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Industrial Partner visit and business development at Syracuse Research Corporation and Garmin International. Activities include on-site visit, working with engineering and management team on specific projects. Work will take place in Syracuse, New York and Olathe, Kansas. Faculty appointment: 12/12/06. No previous leave taken. Teaching load will be covered by current faculty and some courses will be cancelled.

Zhu, Ping, Assistant Professor of Modern Languages, Literatures and Linguistics, sabbatical leave of absence with full pay, August 16, 2016 through December 31, 2016. Will co-edit a volume entitled, "Maoist Laughter" and complete the prospectus of a second monograph on woman and science in China. Work will take place in Dallas, Texas. Faculty appointment: 08/27/10. No previous leave taken. Teaching load will be covered by other course offerings.

Sabbatical Leaves of Absence – Fall 2016 and Spring 2017 Semesters (with half pay)

Ashby, Michael T., Professor of Chemistry and Biochemistry, sabbatical leave of absence with half pay, August 16, 2016 through May 15, 2017. Research will be aimed at improving graduate education and funding of graduate education. Fellowship applications to the American Association for the Advancement of Science and the American Chemical Society are pending and a rotating position during the sabbatical at the National Science Foundation in the Division of Graduate Education are in negotiations. Work will take place in Washington, DC. Faculty appointment: 08/16/90. Previous leaves taken: Sabbatical leave of absence with full pay 01/01/99 to 05/15/99; Sabbatical leave of absence with half pay 08/16/07 to 05/15/08. Teaching load will be covered by current faculty.

Hirschfeld, Tassie K., Associate Professor of Anthropology, sabbatical leave of absence with half pay, August 16, 2016 through May 15, 2017. Will write book on global health together with a series of research papers that develop new methods for modeling the evolution of failed states. Will conduct research at the National Archives Repository. Work will take place in Norman, Oklahoma and College Park, Maryland. Faculty appointment: 08/16/02. Previous leaves taken: Sabbatical leave of absence with full pay 08/16/09 to 12/31/09. Teaching load will be covered by current faculty.

Pei, Jin-Song, Associate Professor of Civil Engineering and Environmental Science, sabbatical leave of absence with half pay, August 16, 2016 through May 15, 2017. Work will be conducted on an interdisciplinary project at Ecole Normale Supérieure (ENS) de Paris. Applied for a Fulbright Scholarship and is recommended for an award after the first phase of the review. Work will take place in Paris, France. Faculty appointment: 08/16/02. Previous leaves taken: Sabbatical leave of absence with half pay 08/16/09 to 05/15/10. Teaching load will be covered by current faculty and some courses are offered every other year.

Thai, Joseph T., Professor of Law, Glenn R. Watson Centennial Chair in Law and President's Associates Presidential Professor, sabbatical leave of absence with half pay, August 16, 2016 through May 15, 2017. Will work on one or more digital multimedia textbooks and law review articles related to constitutional law and the Supreme Court. Work will take place in Norman, Oklahoma and Oklahoma City, Oklahoma with some out of state travel. Faculty appointment: 08/16/03. Previous leaves taken: Sabbatical leave of absence with half pay 08/16/09 to 05/15/10. Teaching load will be covered by visiting faculty and current faculty.

Vargas, Juanita G., Associate Professor of Educational Leadership and Policy Studies, sabbatical leave of absence with half pay, August 16, 2016 through May 15, 2017. Will work on two research projects with plans to publish. One project will be on power and influence of university presidential partners. The second project will be on community college leadership. Data collection will be at local sites and potentially overseas. Work will take place in Norman, Oklahoma. Faculty appointment: 08/16/08. No previous leave taken. Teaching load will be covered by current faculty.

Sabbatical Leaves of Absence – Spring Semester 2017 (with full pay)

Weaver, Christopher E., Associate Professor of Computer Science, sabbatical leave of absence with full pay, January 1, 2017 through May 15, 2017. Will work on redesign and implementation for the new version of *Improvise* and will conduct research on Graph Layout Algorithms with researchers at Monash University. Work will take place in Australia and Norman, Oklahoma. Faculty appointment: 08/16/08. No previous leave taken. Teaching load will be covered by current faculty.

NEW APPOINTMENT(S):

Adamson, Tiffany L., Clinical Assistant Professor of Social Work at Tulsa, annualized rate of \$65,000 for 12 months, February 1, 2016 through June 30, 2019. Three-year renewable term appointment.

Bauch, Nicholas, Ph.D., Assistant Professor of Geography and Environmental Sustainability, annualized rate of \$76,000 for 9 months, August 16, 2016 through May 15, 2017. New tenure-track faculty.

Beers, Courtney, Assistant Professor of Instructional Leadership and Academic Curriculum, annualized rate of \$59,000 for 9 months, August 16, 2016 through May 15, 2017. If Ph.D. not completed by August 16, 2016, title and salary to be changed to Acting Assistant Professor, annualized rate of \$57,000 for 9 months, August 16, 2016 through May 15, 2017. New tenure-track faculty.

Dilekli, Naci, Research Associate, Center for Spatial Analysis, annualized rate of \$61,000 for 12 months, February 1, 2016. Paid from grant funds; subject to availability of funds.

Dyer, Paul L., Ph.D., Assistant Professor of Liberal Studies, annualized rate of \$64,000 for 9 months, March 1, 2016 through May 15, 2019. Three-year renewable term appointment.

Goble, Geoffrey C., Ph.D., Assistant Professor of Religious Studies, annualized rate of \$65,000 for 9 months, August 16, 2016 through May 15, 2017. New tenure-track faculty.

Green, Debra K., Ph.D., Archeologist III (Assistant State Archeologist) of Oklahoma Archeological Survey, annualized rate of \$58,000 for 12 months, May 1, 2016. New 12-month faculty.

Gullberg, Steven R., Ph.D., Assistant Professor of Aviation and of Liberal Studies, annualized rate of \$65,000 for 9 months, January 1, 2016 through May 15, 2019. Three-year renewable term appointment.

Lumpkin, George Thomas, Ph.D., Director and Professor of the Division of Entrepreneurship and Economic Development and C.S. Trosper Chair in Entrepreneurship, annualized rate of \$336,111 for 12 months, July 1, 2016. New tenured academic administrator.

Marchand-Martella, Nancy E., Ph.D., Chair and Professor of the Department of Educational Psychology, annualized rate of \$110,000 for 12 months, July 1, 2016. New tenured 12-month academic administrator.

Marske, Katharine A., Ph.D., Assistant Professor of Biology, annualized rate of \$76,500 for 9 months, January 1, 2017 through May 15, 2017. New tenure-track faculty.

Martella, Ronald C., Ph.D., Professor of Educational Psychology, annualized rate of \$80,000 for 9 months, August 16, 2016. New tenured faculty.

McHale, Susan, Research Associate, K20 Center for Educational and Community Renewal, annualized rate of \$15,700 for 12 months, 0.22 time, February 11, 2016. Paid from grant funds; subject to availability of funds.

Mirkovic, Dorde, Ph.D., Postdoctoral Research Associate, Cooperative Institute for Mesoscale Meteorological Studies, annualized rate of \$75,000 for 12 months, January 25, 2016. Paid from grant funds; subject to availability of funds.

Moore, Abigail J., Ph.D., Assistant Professor of Microbiology and Plant Biology and of Oklahoma Biological Survey and Curator of the Bebb Herbarium, annualized rate of \$90,000 for 12 months, July 1, 2016 through June 30, 2017. New tenure-track faculty.

Moore, Jensen, Ph.D., Assistant Professor of Journalism and Mass Communication, annualized rate of \$68,000 for 9 months, August 16, 2016 through May 15, 2017. New tenure-track faculty.

Parashar, Prachi, Ph.D., Adjunct Lecturer of Physics and Astronomy, rate of \$11,000 for 4.5 months, 0.33 time, January 7, 2016 through May 15, 2016.

Rios, Gabriela Raquel, Ph.D., Assistant Professor of English, annualized rate of \$65,000 for 9 months, August 16, 2016 through May 15, 2017. New tenure-track faculty.

Ripberger, Joseph T., Ph.D., Assistant Professor of Political Science, annualized rate of \$80,000 for 9 months, August 16, 2016 through May 15, 2017. New tenure-track faculty.

Stackelbeck, Kary L., Ph.D., State Archeologist, Oklahoma Archeological Survey, annualized rate of \$63,000 for 12 months, March 1, 2016. New 12-month faculty.

Stetson, Tracy E., Ph.D., Associate Professor and Charles C. and Virginia Ann Weddle Professor of Accounting, annualized rate of \$110,000 for 9 months, August 16, 2016 through May 15, 2019. Three-year renewable term appointment.

Van de Biezenbos, Kristen, J.D., Associate Professor of Law, annualized rate of \$105,000 for 9 months, August 16, 2016 through May 15, 2017. New tenure-track faculty.

Wu, Sarah J., Adjunct Instructor of Computer Science, rate of \$7,500 for 4.5 months, 0.25 time, January 1, 2016 through May 15, 2016.

You, Jianlan, Ph.D., Research Assistant Professor of Chemistry and Biochemistry, annualized rate of \$45,000 for 12 months, January 1, 2016. New 12-month faculty.

REAPPOINTMENT(S):

Andrews, Candace M., reappointed as Instructor of Mathematics, rate of \$30,050 for 4.5 months, January 1, 2016 through May 15, 2016.

Crawford, Kelly D., reappointed as Adjunct Instructor of Computer Science, rate of \$7,500 for 4.5 months, 0.25 time, January 1, 2016 through May 15, 2016.

Kimball, Charles A., Professor of Religious Studies and President's Associates Presidential Professor, reappointed as Director of Religious Studies Program, annualized rate of \$184,500 for 12 months, July 1, 2016.

Lee, Jonathan P., reappointed as Instructor of Mathematics, rate of \$37,660 for 4.5 months, January 1, 2016 through May 15, 2016.

Locke, Elizabeth M., reappointed to a five-year renewable term as Lecturer of Expository Writing Program, annualized rate of \$45,136 for 9 months, August 16, 2016 through May 15, 2021.

Macdonald, Gregory G., reappointed as Lecturer of Electrical and Computer Engineering, rate of \$8,000 for 4.5 months, 0.20 time, January 1, 2016 through May 15, 2016.

Moore, Paul R., reappointed to a seven-year renewable term as Artist-in-Residence of Art and Art History, annualized rate of \$94,554 for 12 months, July 1, 2016 through June 30, 2023.

Ozias, Moira Leigh, reappointed to a one-year renewable term as Instructor and Associate Director of Writing Center, annualized rate of \$63,036 for 12 months, July 1, 2016 through June 30, 2017.

Richards, Deborah A., reappointed as Adjunct Lecturer of Architecture, rate of \$9,000 for 4.5 months, 0.25 time, January 1, 2016 through May 15, 2016.

Riggs, Wayne D., Associate Professor of Philosophy, reappointed as Chair of the Department of Philosophy, annualized rate of \$102,000 for 12 months, July 1, 2016.

Scafe, Robert B., reappointed to a five-year renewable term as Lecturer of Expository Writing Program, annualized rate of \$45,035 for 9 months, August 16, 2016 through May 15, 2021.

Tinsley, Christine J., reappointed as Instructor of Mathematics, rate of \$34,440 for 4.5 months, January 1, 2016 through May 15, 2016.

Wedel, Kenneth R., reappointed as Professor Emeritus of Social Work, annualized rate of \$7,500 for 9 months, 0.25 time, August 16, 2015 through May 15, 2016.

CHANGE(S):

Alavi, Roksana, Assistant Professor of Liberal Studies and Adjunct Assistant Professor of Women's and Gender Studies, annualized rate of \$66,100 for 9 months, additional stipend of \$400 for increased teaching duties in the College of Liberal Studies, March 24, 2015 through January 1, 2016.

Banz, Martha L., Associate Dean and Associate Professor of the College of Liberal Studies, annualized rate of \$120,000 for 12 months, additional stipend of \$5,175 for increased teaching duties in the College of Liberal Studies, January 1, 2016 through May 15, 2016.

Barakat, Hossam, Instructor of Modern Languages, Literatures and Linguistics, annualized rate of \$48,000 for 9 months, additional stipend of \$4,200 for increased teaching duties in the Department of Modern Languages, Literatures and Linguistics, January 1, 2016 through May 15, 2016.

Bartley, Laura E., Assistant Professor of Microbiology and Plant Biology, given additional title Faculty Fellow, College of Arts and Sciences, salary remains at annualized rate of \$67,626 for 9 months, January 1, 2016. Faculty Fellow duties compensated through additional stipend.

Basic, Rozmeri, Associate Dean, Weitzenhoffer Family College of Fine Arts and Professor of Art and Art History, delete title Interim Co-Director, School of Art and Art History, salary changed from annualized rate of \$100,000 for 12 months to annualized rate of \$88,000 for 12 months, January 1, 2016.

Beard, Fred K., Professor of Journalism and Mass Communication and Gaylord Family Professor #5, annualized rate of \$109,915 for 9 months, additional stipend of \$21,983 for serving as Vice-Chair of the Institutional Review Board #2, July 1, 2016 through June 30, 2017.

Beck, Travis W., Associate Professor of Health and Exercise Science, annualized rate of \$72,000 for 9 months, additional stipend of \$14,400 for serving as Vice-Chair of the Institutional Review Board #1, July 1, 2016 through June 30, 2017.

Bodurka, Jerzy A., Associate Professor of the College of Engineering at Tulsa and Chief Technology Officer of Laureate Institute for Brain Research, salary changed from annualized rate of \$252,143 for 12 months to annualized rate of \$258,840 for 12 months, January 1, 2016.

Bourne, Philip C., Research Scientist, Chemistry and Biochemistry, salary changed from annualized rate of \$42,750 for 12 months, 0.75 time, to annualized rate of \$49,164 for 12 months, 0.75 time, February 1, 2016. Paid from grant funds; subject to availability of funds.

Butzer, Hans E., Director and Professor of the Division of Architecture, Carlisle and Lurline Mabrey Presidential Professor and A. Blaine Imel, Jr. Professor of Architecture, given additional title Interim Dean of the College of Architecture, salary changed from annualized rate of \$157,978 for 12 months to annualized rate of \$265,000 for 12 months, February 14, 2016.

Carvalho, Mauricio R., Associate Professor of Psychology, annualized rate of \$72,807 for 9 months, additional stipend of \$5,000 for increased teaching duties in the Department of Psychology, January 1, 2016 through May 15, 2016.

Dulin, Joseph B., Instructor of Accounting, salary changed from annualized rate of \$61,200 for 9 months to annualized rate of \$75,000 for 9 months, January 1, 2016. Serving as Coordinator of the Masters of Accounting Program.

Duncan, John L., Assistant Professor of Liberal Studies, annualized rate of \$71,078 for 9 months, additional stipend of \$3,850 for increased teaching duties in the College of Liberal Studies, January 1, 2016 through May 15, 2016.

Franklin, Aimee L., Associate Professor of Political Science and Sam K. Viersen Family Presidential Professor, annualized rate of \$96,331 for 9 months, additional stipend of \$32,110 for serving as Chair of the Institutional Review Board #1, July 1, 2016 through June 30, 2017.

Gibson, John P., Associate Professor of Biology and of Microbiology and Plant Biology and Associate Director for Education at Kessler Atmospheric and Ecological Field Station, salary changed from annualized rate of \$92,875 for 9 months to annualized rate of \$92,578 for 9 months, August 16, 2015; additional stipend of \$4,800 for increased teaching duties in the Department of Microbiology and Plant Biology, August 16, 2015 through December 31, 2015. Correction to January 2016 Agenda.

Gruenwald, Gia-Loi L., Professor of Computer Science, Samuel Roberts Noble Presidential Professor and David W. Franke Professor of Computer Science, annualized rate of \$135,101 for 9 months, additional stipend of \$12,500 for increased teaching duties in the School of Computer Science, August 16, 2015 through December 31, 2015.

Gullberg, Steven R., Assistant Professor of Aviation, annualized rate of \$65,000 for 9 months, additional stipend of \$5,750 for increased teaching duties in the College of Liberal Studies, January 1, 2016 through May 15, 2016.

Guzman, Katheleen G., Professor of Law, Mapco/Williams Presidential Professor and Earl Sneed Centennial Professor of Law, annualized rate of \$181,047 for 9 months, additional stipend of \$30,000 for increased teaching duties in the College of Law, January 1, 2016 through May 15, 2016.

Hambright, Karl D., Professor of Biology, given additional title Director of the Environmental Studies Program, salary changed from annualized rate of \$83,342 for 9 months to annualized rate of \$108,613 for 12 months, January 1, 2016. Changing from 9-month faculty to 12-month academic administrator.

Helton, Taiawagi, Professor of Law, annualized rate of \$122,249 for 9 months, additional stipend of \$39,000 for increased teaching duties in the College of Law, January 1, 2016 through May 15, 2016.

Hewes, Randall S., Professor of Biology, title changed from Senior Associate Dean to Interim Dean of the Graduate College, salary changed from annualized rate of \$170,000 for 12 months to annualized rate of \$217,000 for 12 months, July 1, 2016.

Hom, Stephanie M., Associate Professor of Modern Languages, Literatures and Linguistics and President's Associates Presidential Professor, salary changed from annualized rate of \$67,285 for 9 months to annualized rate of \$62,285 for 9 months, August 16, 2016. Presidential Professor monetary award ceases after the fourth year with the title continuing.

Kelley, Ed, Professor of Journalism and Mass Communication, title changed from Interim Dean to Dean of the Gaylord College of Journalism and Mass Communication, salary changed from annualized rate of \$203,000 for 12 months to annualized rate of \$207,000 for 12 months, March 1, 2016.

Kyncl, Rhonda C., Associate Dean of Advising, College of Arts and Sciences and Assistant Professor of English, annualized rate of \$110,000 for 12 months, additional stipend of \$9,000 for increased teaching duties in the College of Arts and Sciences, August 16, 2015 through May 15, 2016.

Lake, Vickie E., Associate Professor of Instructional Leadership and Academic Curriculum, given additional title Associate Dean of the Jeannine Rainbolt College of Education at Tulsa, salary changed from annualized rate of \$71,042 for 9 months to annualized rate of \$94,723 for 12 months, January 1, 2016. Changing from 9-month faculty to 12-month academic administrator.

Lakshmivarahan, S., George Lynn Cross Research Professor of Computer Science, annualized rate of \$138,807 for 9 months, additional stipend of \$12,500 for increased teaching duties in the School of Computer Science, August 16, 2015 through December 31, 2015.

Laubach, Timothy A., Associate Professor of Instructional Leadership and Academic Curriculum, annualized rate of \$67,176 for 9 months, additional stipend of \$4,000 for increased teaching duties in the Department of Instructional Leadership and Academic Curriculum, January 1, 2016 through May 15, 2016.

Markham, Michael R., Associate Professor of Biology and Robert G. and Betty Gale Case-Hooper Professor in Biology, given additional title Assistant Chair, Department of Biology, salary changed from annualized rate of \$86,925 for 9 months to annualized rate of \$98,925 for 9 months, January 1, 2016. Changing from 9-month faculty to 9-month academic administrator.

Mayeux, Lara, Associate Professor of Psychology, annualized rate of \$71,364 for 9 months, additional stipend of \$23,788 for serving as Chair of the Institutional Review Board #2, July 1, 2016 through June 30, 2017.

Means, Erin L., Assistant Professor of Law, annualized rate of \$65,000 for 9 months, additional stipend of \$6,000 for increased teaching duties in the College of Law, January 1, 2016 through May 15, 2016.

Meitin, Jose G., Research Fellow, Cooperative Institute for Mesoscale Meteorological Studies, salary changed from annualized rate of \$50,000 for 12 months, 0.50 time, to annualized rate of \$75,000 for 12 months, 0.75 time, March 1, 2016. Paid from grant funds; subject to availability of funds.

Nicholson, Charles D., Assistant Professor of Industrial and Systems Engineering, annualized rate of \$85,680 for 9 months, additional stipend of \$7,500 for increased teaching duties in the School of Industrial and Systems Engineering, January 1, 2016 through May 16, 2016.

Peck, Bob M., Associate Professor of Sociology, annualized rate of \$69,158 for 9 months, additional stipend of \$4,800 for increased teaching duties in the Department of Sociology, August 16, 2015 through December 31, 2015.

Pederson, Sanna F., Professor of Music and Mavis C. Pitman Professor of Music History or Theory, annualized rate of \$70,246 for 9 months, additional stipend of \$4,000 for serving as Assistant Director of Graduate Studies in the School of Music, January 1, 2016 through May 16, 2016.

Refai, Hazem H., Professor of Electrical and Computer Engineering, Director of EMC Test Lab and Williams Professor of Telecommunications Networking, salary changed from annualized rate of \$117,300 for 9 months to annualized rate of \$153,000 for 9 months, January 1, 2016.

Regnier, Amanda L., Archeologist III, Oklahoma Archeological Survey, given additional title Director, Oklahoma Archeological Survey, salary changed from annualized rate of \$51,437 for 12 months to annualized rate of \$72,000 for 12 months, January 1, 2016. Changing from 12-month faculty to 12-month academic administrator.

Robertson, Lindsay G., Professor of Law, Sam K. Viersen Family Foundation Presidential Professor and Chickasaw Nation Endowed Chair in Native American Law, annualized rate of \$156,745 for 9 months, additional stipend of \$18,000 for increased teaching duties in the College of Law, January 1, 2016 through May 15, 2016.

Smith, Mitchell P., Chair and Professor of the Department of International and Area Studies, Associate Dean of the College of International Studies, Max and Heidi Berry Chair in International and Area Studies and Director of Masters of International Relations Program, annualized rate of \$164,940 for 12 months, additional stipend of \$6,500 for increased teaching duties in the Department of International and Area Studies, January 1, 2016 through May 15, 2016.

Smith, Travis M., Senior Research Associate, Cooperative Institute for Mesoscale Meteorological Studies, salary changed from annualized rate of \$115,188 for 12 months to annualized rate of \$110,188 for 12 months, March 1, 2016. Paid from grant funds; subject to availability of funds.

Souza, Lara A., Assistant Professor of Microbiology and Plant Biology and of Oklahoma Biological Survey, given additional title Distinguished Faculty Fellow, Office of the Vice President for Research, salary remains at annualized rate of \$82,600 for 12 months, January 1, 2016. Faculty Fellow duties compensated through additional stipend.

Stewart, Todd A., Associate Professor of Art and Art History, delete titles Associate Director and Interim Co-Director of the School of Art and Art History, salary changed from annualized rate of \$83,442 for 9 months to annualized rate of \$71,000 for 9 months, January 1, 2016. Changing from 9-month academic administrator to 9-month faculty.

Vorakitolan, Ekasit, Research Scientist, Electrical and Computer Engineering, salary changed from annualized rate of \$68,000 for 12 months to annualized rate of \$69,700 for 12 months, March 1, 2016. Paid from grant funds; subject to availability of funds.

Wert, Justin J., Associate Professor of Political Science and Associates Second Century Presidential Professor, salary changed from annualized rate of \$90,755 for 9 months to annualized rate of \$112,000 for 9 months, August 16, 2016. Retention increase.

Williams, T.H. Lee, Regents' Professor of Geography and Environmental Sustainability, delete title Dean of the Graduate College, given additional title Dean Emeritus of the Graduate College, salary remains at annualized rate of \$234,604 for 12 months, July 1, 2016.

NEPOTISM WAIVER(S):

Knapp, Rosemary, Professor of Biology and Adjunct Professor of Women's and Gender Studies, annualized rate of \$88,872 for 9 months, January 1, 2016. Dr. Knapp is the spouse of Dr. Richard E. Broughton, Chair of the Department of Biology, which would place Dr. Knapp under his supervision. All decisions regarding employment, compensation, evaluations, promotions and awards will be executed by Dr. Jeffrey Kelly, Director of the Oklahoma Biological Survey. Dr. Kelly will supervise, sign off on time sheets, approve leave and administer all relevant personnel actions. A Nepotism Waiver Management Plan has been reviewed and approved.

RESIGNATION(S)/TERMINATION(S):

Lumsden, Rachel L., Assistant Professor of Music and Adjunct Assistant Professor of Women's and Gender Studies, August 1, 2016.

Scamehorn, John F., Professor Emeritus of Chemical, Biological and Materials Engineering, February 12, 2016.

Segele, Zewdu T., Research Scientist, Cooperative Institute for Mesoscale Meteorological Studies, February 1, 2016.

Snead, John T., Assistant Professor of Library and Information Studies, May 16, 2016.

RETIREMENT(S):

Grasse, Kevin A., Professor of Mathematics, July 1, 2016. Named Professor Emeritus of Mathematics.

Landes, Ruediger H., Professor of Mathematics, July 1, 2016. Named Professor Emeritus of Mathematics.

Mallett, Lawrence R., Director and Professor of the School of Music, July 1, 2016. Named Professor Emeritus of Music.

Rapf, Joanna E., Professor of English and of Women's and Gender Studies, June 1, 2016. Named Professor Emeritus of English.

Rugeley, Terry L., Professor of History and President's Associates Presidential Professor, July 1, 2016.

Walschap, Gerard, Professor of Mathematics, August 16, 2016. Named Professor Emeritus of Mathematics.

President Boren recommended the Board of Regents approve the academic personnel actions shown above.

Regent Weitzenhoffer moved approval of the recommendation. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

DEATH(S):

President Boren regretted to report the following deaths:

Graham, Charles W., Dean, College of Architecture, Professor of Construction Science and W. Edwin Bryan, Jr. Professor of Architecture, February 13, 2016.

Holder Jr., Lee, Dean Emeritus, College of Allied Health, and Professor Emeritus of Allied Health Education, January 30, 2016.

Regent Burgess moved that the Board of Regents enter into executive session on the Administrative and Professional Personnel Actions with respect to Calvin Thibodeaux. The following voted yes on the motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

The executive session was held in the same location beginning at 11:19 a.m. The Board returned to open session at 11:33 a.m.

ADMINISTRATIVE AND PROFESSIONAL PERSONNEL ACTIONS – NC & HSC

Health Sciences Center:

APPOINTMENT(S):

Berg, Jessica D., Research Management Coordinator III, Stephenson Cancer Center, College of Medicine, annualized rate of \$62,000 for 12 months (\$5,166.67 per month), February 8, 2016. Professional Nonfaculty.

Bergman, Vanessa, Program Director, Stephenson Cancer Center, College of Medicine, annualized rate of \$83,334 for 12 months (\$6,944.50 per month), February 29, 2016. Administrative Staff.

Cooley, Eva M., Senior Clinic Manager, Cancer Center Clinical Services, College of Medicine, annualized rate of \$60,000 for 12 months (\$5,000.00 per month), January 25, 2016. Managerial Staff.

Ellsworth, Whitney M., Clinical Physical Therapist, OU PT Tulsa Clinic, College of Allied Health - Tulsa, annualized rate of \$61,776 for 12 months (\$5,148.00 per month), February 7, 2016. Professional Nonfaculty.

Fleming, Ashley K., Senior Clinic Manager, OU Physicians Faculty Clinics, College of Medicine, annualized rate of \$61,000 for 12 months (\$5,083.33 per month), January 19, 2016. Managerial Staff.

Fratzke, Kaytie L., Physician Assistant I, OUP Clinical Operations, College of Medicine - Tulsa, annualized rate of \$69,023 for 12 months (\$5,751.92 per month), March 21, 2016. Professional Nonfaculty.

Hieger, Mackenzie N., Physician Assistant I, OUP Clinical Operations, College of Medicine - Tulsa, annualized rate of \$81,120 for 12 months (\$6,760.00 per month), February 15, 2016. Professional Nonfaculty.

Hughes, April L., Senior Clinic Manager, OUP Clinical Operations, College of Medicine - Tulsa, annualized rate of \$61,000 for 12 months (\$5,083.33 per month), February 29, 2016. Managerial Staff.

Irby, Amanda, Physician Assistant I, Stephenson Cancer Center, College of Medicine, annualized rate of \$85,000 for 12 months (\$7,083.33 per month), March 6, 2016. Professional Nonfaculty.

LaPorte, Mikel D., OU Physicians Training & Development Manager, OU Physicians, College of Medicine, annualized rate of \$80,000 for 12 months (\$6,666.67 per month), February 15, 2016. Managerial Staff.

Pachucki, Melissa F., Contracts Administrator, Medicine Office of the Dean, College of Medicine, annualized rate of \$68,000 for 12 months (\$5,666.67 per month), February 29, 2016. Professional Nonfaculty.

Rothrock, Erin M., Phase I Chemotherapy Nurse, Stephenson Cancer Center, College of Medicine, annualized rate of \$61,000 for 12 months (\$5,083.33 per month), February 1, 2016. Professional Nonfaculty.

Vasquez, Jennifer D., Nurse Practitioner, Obstetrics & Gynecology, College of Medicine, annualized rate of \$80,000 for 12 months (\$6,666.67 per month), April 25, 2016. Professional Nonfaculty.

Waresback, Richard L., Clinical Department Business Manager, CMT Medical Informatics, College of Medicine - Tulsa, annualized rate of \$70,000 for 12 months (\$5,833.33 per month), February 14, 2016. Managerial Staff.

Weisberg, Cindy L., Departmental Business Manager, CMT Surgery, College of Medicine - Tulsa, annualized rate of \$60,000 for 12 months (\$5,000.00 per month), February 15, 2016. Managerial Staff.

REAPPOINTMENT(S):

McElhaney, Robin D., Oncology Nurse II, Cancer Center Clinical Services, College of Medicine, annualized rate of \$60,000 for 12 months (\$5,000.00 per month), February 22, 2016. Professional Nonfaculty.

CHANGE(S):

Bennett, Bronwyn S., title changed from Clinical Research Nurse II, Stephenson Cancer Center, College of Medicine, to Oncology Research Nurse I, Stephenson Cancer Center, College of Medicine, March 6, 2016. Professional Nonfaculty. Reclassification.

Bozarth, Dustin, title changed from Associate Director of Operations, Site Support, Administration & Finance, to Director of Operations, Site Support, Administration & Finance, salary changed from an annualized rate of \$117,000 for 12 months (\$9,750.00 per month) to an annualized rate of \$130,000 for 12 months (\$10,833.33 per month), July 1, 2016. Administrative Staff. Promotion.

Clegg, Cynthia B., title changed from Associate Director of Human Resources, Human Resources, Administration & Finance, to Interim Associate Vice President & Chief Human Resources Officer, Human Resources, Administration & Finance, salary changed from an annualized rate of \$102,825 for 12 months (\$8,568.75 per month), to an annualized rate of \$144,425 for 12 months (\$12,035.42 per month), February 10, 2016. Administrative Officer. Additional duties.

Dunn, Michael, title changed from Assistant Director of Operations Work Control, Site Support, Administration & Finance, to Associate Director of Operations, Site Support, Administration & Finance, salary changed from an annualized rate of \$98,000 for 12 months (\$8,166.67 per month), to an annualized rate of \$112,000 for 12 months (\$9,333.33 per month), July 1, 2016. Administrative Staff. Promotion.

Harris, Marisa R., title changed from Physician Assistant I, Department of Pediatrics, College of Medicine, to Physician Assistant II, Department of Pediatrics, College of Medicine, salary changed from an annualized rate of \$92,000 for 12 months (\$7,666.67 per month), to an annualized rate of \$101,200 for 12 months (\$8,433.33 per month), February 21, 2016. Professional Nonfaculty. Promotion.

Ikard, Ben J., title changed from Business Analyst, Stephenson Cancer Center, College of Medicine, to Program Director, Stephenson Cancer Center, College of Medicine, salary changed from an annualized rate of \$71,107 for 12 months (\$5,925.88 per month) to an annualized rate of \$78,218 for 12 months (\$6,518.17 per month), March 6, 2016. Administrative Staff. Promotion.

Keast, Megan J., title changed from Clinical Research Nurse I, Department of Pediatrics, College of Medicine, to Chemotherapy Nurse, OU Physicians CHP Clinics, College of Medicine, March 20, 2016. Professional Nonfaculty. Department transfer.

Loflin, Jennifer J., title changed from OU Physicians Patient Accounts Liaison, OU Physicians, College of Medicine, to Assistant Director of Patient Accounts, Internal Medicine Patient Accounts, College of Medicine, salary changed from an annualized rate of \$58,992 for 12 months (\$4,916.00 per month), to an annualized rate of \$72,000 for 12 months (\$6,000.00 per month), March 6, 2016. Managerial Staff. Promotion.

McIntosh, Heather C., title changed from Research Project Coordinator, Research Center Tulsa, College of Medicine - Tulsa, to Research Biostatistician, Research Center Tulsa, College of Medicine - Tulsa, salary changed from an annualized rate of \$45,542 for 12 months (\$3,795.17 per month), to an annualized rate of \$63,750 for 12 months (\$5,312.50 per month), March 6, 2016. Professional Nonfaculty. Promotion.

Miller, Amanda F., title changed from Director of Enterprise Risk & Real Estate Management, Office of Enterprise Risk Management, Administration and Finance, to Assistant Vice President for Administration and Finance and Director of Enterprise Risk Management, Office of Enterprise Risk Management, Administration and Finance, salary changed from an annualized rate of \$130,177 for 12 months (\$10,848.08 per month), to an annualized rate of \$140,591 for 12 months (\$11,715.92 per month), March 1, 2016. Administrative Officer. Promotion.

Seefeldt, Andrea N., title changed from Finance Director, Stephenson Cancer Center, College of Medicine, to Assistant Dean for Administration and Finance – COM, Office of the Dean, College of Medicine, February 21, 2016. Managerial Staff. Department transfer with title change.

Sharp, Stacey D., Clinic Nurse Manager, OU Physicians CHP Clinics, College of Medicine, salary changed from an annualized rate of \$61,785 for 12 months (\$5,148.75 per month), to an annualized rate of \$67,655 for 12 months (\$5,637.92 per month), February 7, 2016. Managerial Staff. Additional duties.

Sullivan, Kathryn A., Phase I Chemotherapy Nurse, Stephenson Cancer Center, College of Medicine, salary changed from an annualized rate of \$63,648 for 12 months (\$5,304.00 per month), to an annualized rate of \$65,557 for 12 months (\$5,463.08 per month), March 6, 2016. Professional Nonfaculty. Equity adjustment.

Suthers, Dena M., title changed from Clinical Research Nurse I, Stephenson Cancer Center, College of Medicine, to Oncology Research Nurse II, Stephenson Cancer Center, College of Medicine, salary changed from an annualized rate of \$59,500 for 12 months (\$4,958.33 per month), to an annualized rate of \$65,450 for 12 months (\$5,454.17 per month), March 6, 2016. Professional Nonfaculty. Promotion.

Taylor, Terrence W., Planning Director, University Relations, Office of the Provost, salary changed from an annualized rate of \$86,700 for 12 months (\$7,225.00 per month) to an annualized rate of \$91,035 for 12 months (\$7,586.25 per month), February 1, 2016. Administrative Staff. Equity Adjustment.

Utz, Kathryn R., title changed from Physician Assistant I, Department of Pediatrics, College of Medicine, to Physician Assistant II, Department of Pediatrics, College of Medicine, salary changed from an annualized rate of \$92,000 for 12 months (\$7,666.67 per month), to an annualized rate of \$101,200 for 12 months (\$8,433.33 per month), February 21, 2016. Professional Nonfaculty. Promotion.

Wanzer, Donald, title changed from Systems Administrator, Pharmacy Business Office, College of Pharmacy, to Systems Administrator, Facilities Management & Capital Planning, Administration and Finance, February 15, 2016. Professional Nonfaculty. Department transfer.

Wright, Deborah L., title changed from Clinical Research Nurse III, Stephenson Cancer Center, College of Medicine, to Oncology Research Nurse II, Stephenson Cancer Center, College of Medicine, March 6, 2016. Professional Nonfaculty. Reclassification.

Yarbrough, Melissa D., title changed from Clinical Research Nurse III, Stephenson Cancer Center, College of Medicine, to Oncology Research Nurse II, Stephenson Cancer Center, College of Medicine, salary changed from an annualized rate of \$60,000 for 12 months (\$5,000.00 per month), to an annualized rate of \$63,000 for 12 months (\$5,250.00 per month), March 6, 2016. Professional Nonfaculty. Reclassification.

RETIREMENT(S):

Marcham, Cheryl L., University Environmental Health & Safety Officer, Environmental Health & Safety, Office of the Provost, January 9, 2016. Normal retirement.

Updegraff, Susan, OU Physicians Training & Development Manager, OU Physicians, College of Medicine, January 30, 2016. Normal retirement.

Zukosky, Phyllis J., Patient Account Manager, OU Physicians, College of Medicine, April 1, 2016. Normal Retirement.

RESIGNATION(S)/TERMINATION(S):

Boyd, Diane M., Chemotherapy Nurse, Cancer Center Clinical Services, College of Medicine, February 13, 2016. Resignation.

Brooks, Julie K., Clinic Nurse Manager, OU Physicians Faculty Clinics, College of Medicine, March 5, 2016. Resignation.

Cornish, Nicole D., Nurse Practitioner, OU Physicians Faculty Clinics, College of Medicine, March 22, 2016. Resignation.

Eckhardt, Lisa D., Physician Assistant II, OU Physicians Faculty Clinics, College of Medicine, February 9, 2016. Resignation.

Ellingson, Jared S., Director of Finance for College of Medicine, Medicine Office of the Dean, College of Medicine, January 30, 2016. Resignation.

Griffin, Marva, Staff Registered Nurse, OU Physicians Faculty Clinics, College of Medicine, February 19, 2016. Resignation.

Hackmaster, Melissa S., Registered Nurse Clinician, Cancer Center Clinical Services, College of Medicine, February 19, 2016. Resignation.

Johnston, Jeffrey N., Physician Assistant II, Department of Surgery, College of Medicine, March 12, 2016. Resignation – other position.

Oelke, Sarah, Nurse Practitioner, Department of Surgery, College of Medicine, March 12, 2016. Resignation – other position.

Rodgers, Angela J., Senior Clinic Manager, OU Physicians Faculty Clinics, College of Medicine, February 20, 2016. Resignation.

Walker, Jessica T., Registered Nurse Clinician, Department of Pediatrics, College of Medicine, February 27, 2016. Resignation – other position.

Norman Campus:

NEW APPOINTMENT(S):

Chain, Earl R., Architecture Engineering Professional III, Architectural and Engineering, annualized rate of \$82,500 for 12 months, March 21, 2016. Professional Staff.

Martinez-Brooks, Jessica M., Diversity Enrichment Program Director [Administrator II], Diversity Enrichment Program, annualized rate of \$80,000 for 12 months, March 28, 2016. Administrative Staff.

Pryor, Marian E., South Central Comprehensive Center Technical Assistant Associate [Program Administrator II], PCS Comprehensive Centers, annualized rate of \$72,342 for 12 months, March 7, 2016. Managerial Staff.

*Thibodeaux, Calvin, Assistant Football Coach, Athletics Department, review and consideration of appointment, compensation, and contract of employment and to take any necessary related action.

CHANGES(S):

Alford, William, Title changed from Development Associate II to Senior Associate Athletics Director [Development Associate III], Athletics Department, salary remains at annualized rate of \$187,200 for 12 months, February 1, 2016. Managerial Staff. Job Re-Classification.

Correia, Peter R., Director, National Resource Center for Youth Services [Program Administrator III], salary changed from annualized rate of \$93,825 for 12 months to annualized rate of \$99,455 for 12 months, January 1, 2016. Managerial Staff. Increase.

Goodwin, Michael R., Occupational Safety Officer [Scientist/Research I, Compliance], salary changed from annualized rate of \$58,189 for 12 months to annualized rate of \$65,689 for 12 months, March 1, 2016. Professional Staff. Additional Responsibilities.

* See motion on page 35080.

Kaemmerling, Teresa L., Assistant Program Director, National Resource Center for Youth Services [Program Administrator II], salary changed from annualized rate of \$67,868 for 12 months to annualized rate of \$70,583 for 12 months, January 1, 2016. Managerial Staff. Increase.

LeBlanc, Falen L., Title changed from Occasional Worker to Assistant Program Director [Program Administrator II], National Resource Center for Youth, salary changed from annualized rate of \$12,480 for 12 months, FTE 0.15 to annualized rate of \$60,000 for 12 months, FTE 1.0, March 7, 2016. Service and Maintenance Workers. Temporary position to permanent, voluntary change in FTE, internal promotion.

Roden, Kathyryne, title changed from Interim Director of Academic Programs [Program Specialist II] to Director of Academic Programs [Administrator II], College of Liberal Studies, salary changed from annualized rate of \$60,000 for 12 months to annualized rate of \$80,000 for 12 months, February 1, 2016. Administrative Staff. Currently serving as Interim Director of Academic Programs with duties compensated through additional stipend.

Tipton, Gregory R., Title changed from Managerial Associate II to Senior Associate Athletics Director [Technology Project Management Specialist III], Athletics Department, salary remains at annualized rate of \$130,000 for 12 months, February 1, 2016. Managerial Staff. Job reclassification.

Uysal, Faruk, Technology Project Management Specialist III, Advanced Radar Research Center, salary changed from annualized rate of \$85,490 for 12 months to annualized rate of \$90,490 for 12 months, January 1, 2016. Managerial Staff. Increase. Paid from external grant or contract funds, subject to their availability.

RESIGNATION(S)/TERMINATION(S):

Hoven, Leslie J., Associate Vice President, Chief Human Resources Officer, Human Resources Administration, March 4, 2016.

Reynolds, Diron D., Coach/Sports Professional III, Athletic Department, February 13, 2016.

RETIREMENT(S):

Klein, Roger L., Architecture Engineering Professional III, Architecture & Engineering Services, February 5, 2016.

President Boren recommended the Board of Regents approve the administrative and professional personnel actions shown above.

Regent Rainbolt-Forbes moved approval of the recommendation with the amendment shown below that was presented to members of the Board and made available to the public. The following voted yes on the amended motion: Regents Weitzenhoffer, Bennett, Humphreys, Rainbolt-Forbes and Burgess. The Chair declared the motion unanimously approved.

Thibodeaux, Calvin, Assistant Football Coach, Athletics Department, that the appointment be approved effective February 25, 2016, with material terms of the employment agreement to include:

1. An initial term through May 31, 2017.
2. Base Salary of \$220,000 annually payable monthly plus University benefits provided to University employees based on this Base Salary.
3. Additional and outside income from unrestricted private funds for personal services, fund raising, and all other athletics-related contracts and activities for the University in the amount of \$30,000 annually, payable monthly.

4. Performance Bonuses including a bonus for winning the CFP National Championship equal to two months of the total of the Base Salary amount.
Additionally, authorize the President and Athletics Director, with the assistance of the General Counsel, to negotiate and execute the final terms of the agreement to include additional or modified terms and conditions customary and reasonable for agreements of this type.

There being no further business, the meeting adjourned at 11:34 a.m.

Chris A. Purcell, Ph.D.
Executive Secretary of the Board of Regents

University of Oklahoma

Board of Regents

Policy Manual

CU / RSU

Contents

SECTION 1 – ADMINISTRATION	7
1.1 - FUNCTIONS OF THE UNIVERSITY.....	8
1.2 – BOARD OF REGENTS.....	9
1.2.1 – ADMINISTRATION	9
1.2.2 – AGENDA FOR MEETINGS.....	9
1.2.3 – REGENTS’ EDUCATION PROGRAM	9
1.2.4 - ESTABLISHMENT	10
1.2.5 - OFFICIAL COMMUNICATIONS.....	10
1.2.6 - POWERS AND DUTIES	11
1.2.7 - TRAVEL EXPENSES	13
1.3 - ACTING PRESIDENT	13
1.4 - ADMINISTRATIVE SEARCH COMMITTEES	14
1.4.1 - PRESIDENT OF THE UNIVERSITY	14
1.4.2 - VICE PRESIDENTS	14
1.4.3 - DEANS	15
1.5 - COMPLIANCE CERTIFICATION	15
1.6 - INTERIM OFFICERS.....	15
1.7 - PRESIDENTIAL AUTHORITY	15
SECTION 2 - ACADEMIC POLICIES.....	16
2.1 - FACULTY PERSONNEL POLICIES.....	16
2.1.1-THE REGULAR FACULTY	16
2.1.2 - ACADEMIC FREEDOM AND RESPONSIBILITY	16
2.1.3 - FACULTY ACCOUNTABILITY	21
2.1.4 - PROFESSIONAL ACTIVITIES OF THE FACULTY.....	21
2.2 - FACULTY APPOINTMENTS	22
2.2.1 - RESERVE OFFICERS’ TRAINING CORPS PERSONNEL	22
2.2.2 - REAPPOINTMENT AND NON-REAPPOINTMENT	22
2.3 - FACULTY EVALUATION	23
2.3.1 - FACULTY EVALUATION, ADVANCEMENT IN SALARY, AND	23
2.3.2 - FACULTY TENURE	23
2.3.3 - POST-TENURE REVIEW.....	24
2.3.4 - SEVERE SANCTIONS	25

2.4 - FACULTY APPEALS & GRIEVANCES 27

2.5 - FACULTY HONORS..... 27

 2.5.1 - ENDOWED CHAIRS AND PROFESSORSHIPS 27

 2.5.2 - SABBATICAL LEAVE POLICY 28

2.6 - GENERAL ACADEMIC ISSUES..... 29

 2.6.1 - DENIAL OF ADMISSION 29

 2.6.2 - ACADEMIC APPEALS PROCESS..... 29

 2.6.3 - ACADEMIC MISCONDUCT..... 30

 2.6.4 - DEGREES GRANTED BY OKLAHOMA STATE REGENTS FOR HIGHER EDUCATION 30

SECTION 3 – GENERAL POLICIES 33

3.1 - GENERAL PERSONNEL POLICIES 33

 3.1.1 - PERSONNEL ACTIONS..... 33

 3.1.2 - CONTRIBUTIONS TO FRINGE BENEFITS PROGRAMS 34

 3.1.3 - PAYROLL DEDUCTIONS..... 34

 3.1.4 - EMPLOYMENT BENEFITS FOR PART-TIME EMPLOYEES 34

 3.1.5 - RESIGNATIONS 34

 3.1.6 - LEAVES OF ABSENCE 34

 3.1.7 - PAID LEAVE AND EXTENDED SICK LEAVE 35

 3.1.8 - CONFLICTS OF INTEREST 35

 3.1.9 - INTELLECTUAL PROPERTY POLICY 37

 3.1.10 - OUTSIDE EMPLOYMENT AND EXTRA COMPENSATION POLICY 38

 3.1.11 - NEPOTISM 40

 3.1.12 - PREVENTION OF ALCOHOL ABUSE AND DRUG USE ON CAMPUS AND..... 42

 3.1.13 - FIREARMS POLICY 43

 3.1.14 - CANDIDATES FOR POLITICAL OFFICE 45

 3.1.15 - POLITICAL CONTRIBUTIONS BY THE PRESIDENT..... 45

3.2 - EQUAL OPPORTUNITY POLICIES 45

 3.2.1 - EQUAL OPPORTUNITY POLICY 45

 3.2.2 - AFFIRMATIVE ACTION PLAN 46

 3.2.3 - NONDISCRIMINATION POLICY..... 47

 3.2.4 - GENDER-BASED MISCONDUCT POLICY..... 50

 3.2.5 - CONSENSUAL SEXUAL RELATIONSHIPS POLICY..... 52

 3.2.6 - EQUAL OPPORTUNITY GRIEVANCE PROCEDURE..... 55

3.2.7 - REVISIONS TO EQUAL OPPROTUNITY POLICIES 56

3.3 - RETIREMENT POLICY..... 56

3.4 - INTERNAL AUDIT CHARTER..... 56

 3.4.1 - PURPOSE 56

 3.4.2 - AUTHORITY 57

 3.4.3 - RESPONSIBILITIES..... 57

3.5 - CONFIDENTIALITY OF LIBRARY RECORDS 60

SECTION 4 - FINANCE & ADMINISTRATION..... 61

4.1 - SHORT-TERM INVESTMENT POLICY 61

4.2 - DEBT POLICY..... 62

4.3 - PUBLIC CONSTRUCTION AND IMPROVEMENT PROJECTS..... 62

4.4 - OBLIGATION AND COLLECTION OF STUDENT FEES 62

4.5 - TUITION AND FEE REFUND POLICY..... 68

4.6 - SCHOLARSHIP AND FINANCIAL AID INFORMATION 68

4.7 - AUXILIARY ENTERPRISES/ SERVICE UNITS..... 68

 4.7.1 - UNIVERSITY POLICE OFFICERS..... 69

4.8 - PARKING AND TRAFFIC REGULATIONS..... 70

4.9 - AUTHORITY TO SIGN CONTRACTUAL DOCUMENTS 71

4.10 - BUYING AND SELLING GOODS AND SERVICES..... 72

 4.10.1 - POLICY..... 72

 4.10.2 - BUYING GOODS AND SERVICES 72

 4.10.3 - PURCHASES THAT REQUIRE FORMAL COMPETITION OR BIDS 73

 4.10.4 - PURCHASES THAT DO NOT REQUIRE FORMAL COMPETITION OR BIDS 73

 4.10.5 - PURCHASES THAT DO NOT REQUIRE COMPETITION OR BIDS 75

 4.10.6 - PURCHASES THAT REQUIRE THE ASSISTANCE AND REVIEW OF THE PURCHASING 75

 4.10.7 - PURCHASES FROM AUXILIARY ENTERPRISES AND SERVICE UNITS 76

 4.10.8 - SUBMITTING PURCHASES TO THE BOARD OF REGENTS FOR APPROVAL AND..... 76

 4.10.9 - SOLE SOURCE PURCHASES..... 77

 4.10.10 - INVENTORY CONTROL AND CAPITALIZATION OF CERTAIN PURCHASES, GIFTS, OR 79

 4.10.11 - ACQUISITION OF SURPLUS PROPERTY..... 79

 4.10.12 - SIGNIFICANT EMERGENCIES 80

 4.10.13 - SELLING GOODS AND SERVICES 80

4.11 - USE OF STUDENT ACTIVITIES FEES..... 81

 4.11.1 - STUDENT GROUP TRAVEL 81

4.12 - CRITERIA FOR TRANSFERRING EQUIPMENT AND/OR FUNDS 82

4.13 - ACQUISITION AND DISPOSITION OF REAL PROPERTY 83

 4.13.1 - ACQUISITION OF PROPERTY 83

 4.13.2 - DISPOSITION OF PROPERTY 83

4.14 - SELECTION OF DESIGN CONSULTANTS AND CONSTRUCTION MANAGERS..... 84

 4.14.1 - SELECTION PROCESS..... 84

 4.14.2 - EMERGENCIES..... 86

4.15 - PROJECT RELATED EXPENSES..... 87

4.16 - FINANCIAL EMERGENCY POLICY..... 87

4.17 - INITIATIVES TO IMPROVE EFFECTIVE USE OF FUNDS 88

4.18 - OTHER UNIVERSITY POLICIES AND PROCEDURES..... 88

SECTION 5 - UNIVERSITY COMMUNITY..... 89

 5.1 - FRATERNITIES AND SORORITIES 89

 5.1.1 - MEMBERSHIP RECRUITMENT 89

 5.2 - PRESERVATION OF PEACEFUL ENVIRONMENT..... 89

 5.3 - STUDENT GOVERNMENT ASSOCIATION CONSTITUTION..... 91

 5.4 - STUDENT CODE..... 91

SECTION 6 - ATHLETICS 92

 6.1 - ATHLETICS COMMITTEE 92

 6.1.1 - BUSINESS MATTERS 92

 6.1.2 - PERSONNEL MATTERS..... 93

 6.1.3 - NCAA AND CONFERENCE 93

 6.1.4 - ATHLETICS COMPETITION 93

 6.1.5 - STUDENT SERVICES..... 93

 6.2 - ATHLETICS DEPARTMENT..... 93

 6.2.1 - CONCESSIONS 94

 6.2.2 - USE OF ATHLETICS FACILITIES..... 94

 6.2.3 - TERMS OF APPOINTMENTS OF ASSISTANT COACHES 94

 6.2.4 - SOLICITATIONS IN ATHLETICS VENUES 94

 6.2.5 - ATHLETICS SCHEDULES 94

 6.2.6 - POST-SEASON ATHLETICS CONTESTS 94

 6.3 - ATHLETICS POLICIES 95

 6.3.1 - PURPOSE OF INTERCOLLEGIATE ATHLETICS 95

 6.3.2- DIRECTOR OF ATHLETICS..... 96

6.3.3 - GENERAL STUDENT ATHLETE REGULATIONS..... 96

6.3.4 - ACADEMIC STANDARDS..... 97

6.3.5 - COMPLIANCE..... 97

6.3.6 - COACHES AND ATHLETICS DEPARTMENT PERSONNEL..... 98

6.3.7 - PRESIDENTIAL AUTHORITY TO MODIFY CERTAIN ATHLETICS POLICIES 98

SECTION 7 - UNIVERSITY DEVELOPMENT 99

7.1 - GIFTS RECOGNITION PROGRAM 99

 7.1.1 - GIFT RESTRICTIONS 99

 7.1.2 - ANNUAL FUND YEAR 99

 7.1.3 - DONOR GIFT CREDIT 99

 7.1.4 - GIFT CREDIT 99

7.2 - GIFTS TO THE UNIVERSITY..... 100

7.3 - NAMES OF BUILDINGS AND OTHER GIFTS SELECTED BY DONORS 101

 7.3.1 - ACADEMIC PROGRAMS 102

 7.3.2 - BUILDINGS..... 102

 7.3.3 - NAMED GARDEN OR LANDMARKS..... 102

 7.3.4 - ENDOWED FACULTY POSITIONS 103

 7.3.5 - ENDOWED SCHOLARSHIPS..... 104

7.4 - NAMES OF CAMPUS FACILITIES 104

7.5 - PRIVATE FUND-RAISING 104

7.6 - ALUMNI/DONOR RECORDS 105

 7.6.1 - MAINTENANCE OF RECORDS 105

 7.6.2 - REQUESTS FOR INFORMATION 105

7.7 - APPROVAL OF ALL FUNDRAISING ACTIVITY 105

7.8 - ORGANIZATION OF UNIVERSITY DEVELOPMENT 105

 7.8.1 - SCOPE OF DEVELOPMENT ACTIVITY 106

PREAMBLE

The Board of Regents of The University of Oklahoma, (hereafter “The Board of Regents” “the Board,” or “Regents” as the context permits) is vested with the governance of the University. Within its authority is the supervision, management, and control of the University, including the power to do all things necessary and convenient to carry out the powers expressly granted to it by the Constitution and the laws of the state, or to make the University effective for the purposes for which it is maintained and operated. Specific provisions pertaining to the management of the University and related matters may be set forth in the faculty and staff/employee handbooks, student handbooks, and/or other official policy documents of the University.

Any modification to a Board of Regents policy in the policy documents referred to above or elsewhere must be made through action of the Board of Regents unless otherwise authorized herein.

SECTION 1 – ADMINISTRATION

The Board of Regents of The University of Oklahoma, (hereafter “The Board of Regents” “the Board,” or “Regents” as the context permits) is vested with the governance of the University. Within its authority is the supervision, management, and control of the University, including the power to do all things necessary and convenient to carry out the powers expressly granted to it by the Constitution and the laws of the state, or to make the University effective for the purposes for which it is maintained and operated. Specific provisions pertaining to the management of the University and related matters may be set forth in the faculty and staff/employee handbooks, student handbooks, and/or other official policy documents of the University.

Any modification to a Board of Regents policy in the policy documents referred to above or elsewhere must be made through action of the Board of Regents unless otherwise authorized herein.

1.1 - FUNCTIONS OF THE UNIVERSITY

The Board functions of the University as assigned by the Oklahoma State Regents for Higher Education include:

- A. Both lower and upper-division undergraduate study in several fields leading to the associate degree and the bachelor’s degree;
- B. A limited number of programs leading toward the first-professional degree when appropriate to an institution’s strengths and the needs of the state;
- C. Graduate study below the doctoral level, primarily in teacher education but moving toward limited comprehensiveness in fields related to Oklahoma’s manpower needs;
- D. Extension and public service responsibilities in the geographic regions in which they are located;
- E. Responsibility for institutional and applied research in those areas related closely to their program assignments; and
- F. Responsibility for regional programs of economic development.

1.2 – BOARD OF REGENTS

1.2.1 – ADMINISTRATION

As provided by law, the Board shall adopt such rules and regulations, as it deems necessary, to govern its proceedings and the conduct of its business. It shall annually elect a Chair, a Vice Chair, and an Executive Secretary, each of whom shall serve a term of one year and until a successor is elected and qualified, and who shall have such powers and duties as may be prescribed by the Board of Regents. The Executive Secretary of the Board of Regents, who need not be a member of the Board of Regents, shall keep a record of all transactions of the Board of Regents. The Board of Regents shall adopt an official seal.

1.2.2 – AGENDA FOR MEETINGS

The Chair of the Board of Regents will prepare and approve all items to be placed on the agenda for the Board of Regents' meetings, which authority may be delegated to the Presidents of the Universities and the Executive Secretary of the Board of Regents for preliminary preparation. Other items requested by any three Regents will be placed on the agenda.

1.2.3 – REGENTS' EDUCATION PROGRAM

Unless otherwise prohibited by law, each person appointed as a member of the Board of Regents shall be required to attend a minimum of fifteen clock hours of continuing education during the first two years of the term of office of the member. At least two of the fifteen hours must be in ethics. The continuing education requirement shall be selected by the Oklahoma State Regents for Higher Education. The State Regents' continuing education requirement is mandated by state law. The failure by a member of the Board of Regents to satisfy the continuing education requirements may disqualify such member from being reappointed to the Board of Regents or from being appointed to the membership of any other board of regents of any institution or group of institutions within the Oklahoma State System of Higher Education.

1.2.4 - ESTABLISHMENT

- A. The Board of Regents provided for by Article XIII, Section 8 of the Oklahoma Constitution shall constitute a body corporate by the name of Regents of the University of Oklahoma and shall be the government of the University of Oklahoma, Cameron University, and Rogers State University. The Board of Regents shall consist of seven members to be appointed by the Governor by and with the advice and consent of the Senate.
- B. Appointments shall be to numbered positions on the Board of Regents.
- C. No member of the Board of Regents shall be employed upon any work to be performed in connection with the University of Oklahoma, Cameron University, or Rogers State University, nor shall any member of said Board of Regents enter into any contract or business transaction involving a financial consideration with the University of Oklahoma, Cameron University, or Rogers State University.
- D. Members of the Board of Regents shall be subject to removal from office as provided by law for the removal of elective officers not liable to impeachment.
- E. Vacancies on the Board of Regents shall be filled by the Governor, for the unexpired term, by and with the advice and consent of the Senate.
- F. Each member of the Board of Regents shall take and subscribe to the oaths required of State officials, as provided by law.

1.2.5 - OFFICIAL COMMUNICATIONS

- A. The proper channel through which recommendations concerning the policies and/or administration of its governed entities, as a whole or in any of its parts, should be communicated to the Legislature or other State officials are the Presidents of the Universities and the Board of Regents. Further, any official statement made on behalf of the Board of Regents to the public through the press or otherwise, shall be made only by the Chair of the Board of Regents; provided, the President of the University or his or her designee may publicly explain prior Board of Regents' action as deemed necessary and proper.

- B. Nothing in the preceding subsection is intended to or should be construed to abridge the rights and privileges of individual Regents to publicly express their personal opinions on any matter or to abridge constitutional rights of employees to comment on matters of public concern or to prohibit any other rights of communication established by law.

1.2.6 - POWERS AND DUTIES

The Board of Regents shall have the supervision, management, and control of the University and shall have the following additional powers and duties:

- A. Adopt such rules and regulations, as it deems necessary, to govern the University.
- B. Employ and fix the compensation and duties of such personnel, as it deems necessary, including architects, attorneys, engineers, and other professional and technical persons, for its operation and for the operation of the University. Any of such personnel having custody of public funds or other public property may be required to furnish corporate surety bonds in such amounts as may be deemed necessary by the Board of Regents, payable to the Board of Regents and conditioned upon a faithful accounting of all such funds and property.
- C. Enter into contracts; purchase supplies, materials, and equipment; and incur such other expenses as may be necessary to make any of its powers effective.
- D. Authorize officials of the University to act in its behalf in the making of contracts or in carrying out the powers conferred upon it.
- E. Receive and make disposition of moneys, grants, and property from federal agencies, and administer the same in accordance with federal requirements.
- F. Accept gifts of real and personal property, money, and other things, and use or dispose of the same in accordance with the directions of the donors or grantors thereof.
- G. Direct the disposition of all monies appropriated by the Legislature or by the Congress or derived from the sale of bonds or received from any other source by the University.

- H. Acquire and take title to real and personal property in its name, on behalf of the University and convey, exchange or dispose of, or otherwise manage or control, such property in the interest of the University including the granting of leases, permits, easements and licenses over or upon any such real property. The Board of Regents shall have the power to institute legal action in the name of the Board of Regents before any court having jurisdiction of such actions. The Board of Regents shall have the custody and control of abstracts of title and instruments affecting the ownership of or title to real property belonging to the Board of Regents, and being held by the Board on behalf of the University.
- I. Have supervision and charge of the construction of all buildings at the University.
- J. Determine the need for and cause to be constructed, residence halls, and other buildings, on a self-liquidating basis, at the University.
- K. Establish and maintain plans for tenure and retirement of employees of the Board of Regents and the University and for payment of deferred compensation of such employees; and make available health, vision, dental, life, and accidental death and disability benefits for such employees and their dependents. The Board of Regents may pay for all or a part of the cost thereof for employees, with funds available for the operation of the institution. Amounts payable by an employee for such insurance or annuity contracts may, with the consent of the employee, be deducted from payroll.
- L. Audit all accounts against the funds appropriated for the use and maintenance of the University. The State Treasurer shall issue warrants for the amount of all accounts, including salaries and expenses of said Board of Regents, which shall have been audited and allowed by the Board of Regents and attested by the Executive Secretary of the Board and the Presidents of Cameron University and Rogers State University.
- M. Provide penalties and forfeitures by way of damages and otherwise for the violation of rules and regulations of the Board of Regents, which may be sued for and collected in the name of the Board of Regents before any court having jurisdiction over such actions.
- N. Issue, on behalf of the Cameron University or Rogers State University, special and limited obligations for purposes of such capital projects as the Regents may deem to be proper.

- O. Do all things necessary and convenient to carry out the powers expressly granted to it by the Constitution and the laws of the state, or to make the Universities effective for the purpose for which it is maintained and operated. The enumeration herein of certain powers and immunities of the Board of Regents shall not be construed as in derogation or as a limitation of the powers and immunities properly belonging to the Board of Regents in the government of the University of Oklahoma, Cameron University, and Rogers State University by virtue of Article XIII, Section 8 of the Oklahoma Constitution or the laws of the state.

1.2.7 - TRAVEL EXPENSES

Members of the Board of Regents shall be allowed necessary travel expenses as may be approved by the Board of Regents pursuant to the State Travel Reimbursement Act, 74 O.S. § 500.1, *et seq.*

1.3 - ACTING PRESIDENT

The President, on account of official business, vacation, illness, or other unavoidable cause, may be absent from his or her respective campus from time to time. During such absences, it is essential that provision be made so that official business may proceed. Therefore, in the temporary absence of the President, the order of succession for the University will be as follows:

CAMERON UNIVERSITY

Vice President for Academic Affairs

Vice President for Business and Finance

ROGERS STATE UNIVERSITY

Vice President for Academic Affairs

Vice President for Administration & Finance

In the event any of the above named positions are filled by persons in an acting or interim capacity, the line of succession shall automatically be directed to the next position.

In the event the President resigns, becomes incapacitated, takes an extended leave of absence, or otherwise becomes unable to perform the duties of President for a period in excess of 30 days, an Interim President shall be designated by the Board of Regents. The Interim President shall have all powers, duties, and responsibilities normally held by the President.

1.4 - ADMINISTRATIVE SEARCH COMMITTEES

The selection of the President and other administrators is the responsibility of the Board of Regents, and any process leading to that selection is the prerogative of the particular Board of Regents in office at the time the selection process is to be initiated. It is suggested that administrative search committees make nominations and recommendations concerning candidates and that the President, if applicable, and the Board of Regents be guided by them in most instances, but it is understood that the President and the Board of Regents shall not be bound by nor limited to nominations and recommendations of administrative search committees.

1.4.1 - PRESIDENT OF THE UNIVERSITY

It is recommended that presidential search committees have representation by faculty, students, and staff. The Board of Regents appoints these members from nominees selected by the official faculty and student governance organizations on each campus. Staff nominees will be selected by the senior academic officer of the University. Faculty members shall constitute a majority of search committee members chosen from the faculty, staff, and students.

There shall be twice as many nominees as there are positions. The Board of Regents may designate other members as deemed appropriate.

1.4.2 - VICE PRESIDENTS

The vice presidents search committee shall have faculty, student, and staff representation. The President shall appoint these members from nominees selected by the official faculty and student governance organizations on each campus. Staff nominees will be selected by the President in consultation with the Vice Presidents and University staff advisory councils, if requested by the President. There shall be twice as many nominees as there are positions. The President may designate other members as deemed appropriate.

1.4.3 - DEANS

The deans search committee shall have faculty, student, and staff representation. The President shall appoint these members from nominees selected by the official faculty and student governance organizations on each campus. Staff nominees will be selected by the President in consultation with the Vice President for Academic Affairs and University staff advisory councils, if requested by the President. Upon requesting nominations by faculty members, the President shall designate the number of positions to be filled from (1) the general faculty of the particular school or unit involved and (2) the University faculty at large. There shall be at least one position filled from the University faculty at large from nominations made by the official faculty governance organization. In all cases, there shall be twice as many nominees as there are positions. The President shall make all appointments.

1.5 - COMPLIANCE CERTIFICATION

The President or the President's authorized designees are authorized to sign assurances of compliance with regulations of such federal agencies as may be required.

1.6 - INTERIM OFFICERS

At the time of appointment to the position of Interim President, Interim Vice President, or Interim Academic Dean, the Board of Regents will state whether the appointee is eligible to be a candidate for the permanent position.

1.7 - PRESIDENTIAL AUTHORITY

The President and his or her administrators have broad authority to develop policies and procedures for the conduct of internal affairs of the University not specifically addressed by and consistent with Board of Regents' policy.

SECTION 2 - ACADEMIC POLICIES

2.1 - FACULTY PERSONNEL POLICIES

It is the policy of the University to recognize and implement the functions assigned to it by the Oklahoma State Regents for Higher Education. These functions include teaching; research and creative/scholarly activity; and professional and University service, and public outreach/community engagement. The responsibility for carrying out this policy is shared by the Board of Regents, administrative officers, and the regular faculty.

2.1.1-THE REGULAR FACULTY

The Regular Faculty of the University is composed of all faculty members with regular appointments including non-tenure track, tenure track, and tenured appointments at the ranks of instructor, assistant professor, associate professor, and professor.

Additional policies related to the Regular Faculty and the Faculty Senate are contained in the faculty handbook of the University.

2.1.2 - ACADEMIC FREEDOM AND RESPONSIBILITY

2.1.2.1 - INSTITUTIONAL ACADEMIC FREEDOM

The accumulation and exchange of knowledge is among the preeminent purposes of the University, and the acknowledgement of individual academic freedom is one of the cornerstones to ensure such purposes are achieved. Equally important in meeting these goals is the recognition of the right inherent in the responsibility of the Board of Regents to govern the University. “[I]t is the business of a university to provide that atmosphere which is most conducive to speculation, experiment[ation] and creation. It is an atmosphere in which there prevail ‘the four essential freedoms’ of a university - to determine for itself on academic grounds who may teach, what may be taught, how it shall be taught, and who may be admitted to study.” *Sweezy v. New Hampshire*, 354 U.S. 234, 263 (1957).

2.1.2.2 - ACADEMIC FREEDOM

The *1940 Statement of Principles on Academic Freedom and Tenure, with 1970 Interpretive Comments* by the American Association of University Professors (hereinafter “AAUP”) has long been recognized as providing valuable and authoritative guidelines for policy and practice in American colleges and universities. The section on academic freedom below is essentially a restatement of these principles, with some modification. In the formulation that follows, these principles have been adopted as policy by the Board of Regents.

- A. Faculty members are entitled to full freedom in research and publication, subject to any restrictions set by law or by applicable codes of professional ethics, and subject to adequate performance of their other academic duties and to stated University policy on outside employment; but, except under conditions of national emergency, a faculty member should not undertake to do research on University time or using University facilities or funds under any agreement which would (except for a definitely and reasonably limited time) prohibit open communication of the results.
- B. Faculty members are entitled to freedom in the classroom in discussing their subject, but it is inappropriate for a teacher persistently to intrude material, which has no relation to the subject of instruction.
- C. As members of the community, university teachers have the rights and obligations of any citizen. They measure the urgency of these obligations in the light of their responsibilities to their subject, to their students, to their profession, and to their institution. In speaking or acting as private persons, faculty members avoid creating the impression of speaking or acting for their college or university. As a citizen engaged in a profession that depends upon freedom for its health and integrity, the university teacher has a particular obligation to promote conditions of free inquiry and to further public understanding of academic freedom.
- D. As citizens, university teachers should be free to engage in political activities consistent with their obligations as teachers and scholars and with University policy.

- E. Freedom of access to recorded knowledge being essential to learning and research in a democracy, the right and the obligation of the university to provide a full range of materials on any subject, even though some views might be currently unpopular or controversial, or appear incorrect, shall not be infringed. The principles of academic freedom shall apply to the presence of materials and also to those who provide and those who use them.
- F. The University adopts, as stated herein, the 1957 declaration of the AAUP which "...asserts the right of college and university students to listen to anyone whom they wish to hear...affirms its own belief that it is educationally desirable that students be confronted with diverse opinions of all kinds, (and) therefore, holds that any person who is presented by a recognized student or faculty organization should be allowed to speak on a college or university campus." Duly constituted organizations at the Universities may invite speakers without fear of sanctions. However, in the exercise of these rights, it is clearly recognized that:

Membership in the academic community imposes on students, faculty members, administrators, and trustees an obligation to respect the dignity of others, to acknowledge their right to express differing opinions, and to foster and defend intellectual honesty, freedom of inquiry and instruction, and free expression on and off the campus. The expression of dissent and the attempt to produce change, therefore, may not be carried out in ways which injure individuals or damage institutional facilities or disrupt the classes of one's teachers or colleagues. Speakers on campus must not only be protected from violence but must be given an opportunity to be heard. Those who seek to call attention to grievances must not do so in ways that significantly impede the functions of the institution. (1970 declaration of the AAUP Council).

2.1.2.3 - ACADEMIC RESPONSIBILITY

The concept of academic freedom shall be accompanied by an equally demanding concept of academic responsibility. The Board of Regents endorses the AAUP's *1987 Statement on Professional Ethics*.

2.1.2.3.1 - INTRODUCTION

From its inception, the AAUP has recognized that membership in the academic profession carries with it special responsibilities. The AAUP has consistently affirmed these responsibilities in major policy statements, providing guidance to professors in such matters as their utterances as citizens, the exercise of their responsibilities to students and colleagues, and their conduct when resigning from an institution or when undertaking sponsored research. The *1987 Statement on Professional Ethics* that follows sets forth those general standards that serve as a reminder of the variety of responsibilities assumed by all members of the profession.

In the enforcement of ethical standards, the academic profession differs from those of law and medicine, whose associations act to ensure the integrity of members engaged in private practice. In the academic profession the individual institution of higher learning provides this assurance and so should normally handle questions concerning propriety of conduct within its own framework by reference to a faculty group. The Association supports such local action and stands ready, through the general secretary and the Committee on Professional Ethics, to counsel with members of the academic community concerning questions of professional ethics and to inquire into complaints when local consideration is impossible or inappropriate. If the alleged offense is deemed sufficiently serious to raise the possibility of adverse action, the procedures should be in accordance with the *1940 Statement of Principles on Academic Freedom and Tenure*, the *1958 Statement on Procedural Standards in Faculty Dismissal Proceedings*, or the applicable provisions of the AAUP's *Recommended Institutional Regulations on Academic Freedom and Tenure*.

2.1.2.3.2 - THE STATEMENT

- A. Professors, guided by a deep conviction of the worth and dignity of the advancement of knowledge, recognize the special responsibilities placed upon them. Their primary responsibility to their subject is to seek and to state the truth as they see it. To this end professors devote their energies to developing and improving their scholarly competence. They accept the obligation to exercise critical self-discipline and judgment in using, extending, and transmitting knowledge. They practice intellectual honesty. Although professors may follow subsidiary interests, these interests must never seriously hamper or compromise their freedom of inquiry.

- B. As teachers, professors encourage the free pursuit of learning in their students. They hold before them the best scholarly and ethical standards of their discipline. Professors demonstrate respect for students as individuals and adhere to their proper roles as intellectual guides and counselors. Professors make every reasonable effort to foster honest academic conduct and to ensure that their evaluations of students reflect each student's true merit. They respect the confidential nature of the relationship between professor and student. They avoid any exploitation, harassment, or discriminatory treatment of students. They acknowledge significant academic or scholarly assistance from them. They protect their academic freedom.

- C. As colleagues, professors have obligations that derive from common membership in the community of scholars. Professors do not discriminate against or harass colleagues. They respect and defend the free inquiry of associates. In the exchange of criticism and ideas professors show due respect for the opinions of others. Professors acknowledge academic debate and strive to be objective in their professional judgment of colleagues. Professors accept their share of faculty responsibilities for the governance of their institution.

- D. As members of an academic institution, professors seek above all to be effective teachers and scholars. Although professors observe the stated regulations of the institution, provided the regulations do not contravene academic freedom, they maintain their right to criticize and seek revision. Professors give due regard to their paramount responsibilities within their institution in determining the amount and character of work done outside it. When considering the interruption or termination of their service, professors recognize the effect of their decision upon the program of the institution and give due notice of their intentions.

- E. As members of their community, professors have the rights and obligations of other citizens. Professors measure the urgency of these obligations in the light of their responsibilities to their subject, to their students, to their profession, and to their institution. When they speak or act as private persons, they avoid creating the impression of speaking or acting for their college or university. As citizens engaged in a profession that depends upon freedom for its health and integrity, professors have a particular obligation to promote conditions of free inquiry and to further public understanding of academic freedom.

2.1.8 - FACULTY ACCOUNTABILITY

A faculty member is held accountable for his or her performance in fulfilling faculty duties and in meeting the requirements of academic responsibility and University policies. Persons who accept full-time employment at the University owe their first duty and first loyalty to the University. Any other employment or enterprise in which they engage for income must be secondary to their University duties. The most immediate agents of faculty accountability are the department heads/chairs, who should maintain close and regular communication with the faculty member. Among the various mechanisms for ensuring faculty accountability, the most important include annual performance evaluations, and such periodic evaluations as those for advancement in rank. These processes have the primary function of identifying meritorious performance to be rewarded, but they also provide a means by which the University may strengthen itself, by identifying needs for improved performance.

Meritorious and responsible faculty performance is first and foremost an individual professional obligation. But it is also the product of a cooperative effort by faculty members and administrative officers assisting one another, informing one another, and jointly seeking to assure that each faculty member's capabilities are developed fully and creatively. Performance that is lacking in merit or responsibility, when identified, is a challenge both to the individual and to the academic unit and its leaders, in their exercise of the unit's career development responsibilities. Just as faculty members are held accountable for their performance, administrators of academic units are held accountable for their leadership in the career development of the faculty in their units.

For those cases where they are needed, the University has at its disposal the more drastic measures of severe sanctions.

2.1.4 - PROFESSIONAL ACTIVITIES OF THE FACULTY

Above all else, the University exists for learning and scholarship of a breadth and depth that result in excellence in teaching; research and creative/scholarly activity; and professional and University service, and public outreach/community engagement. Each academic unit has an obligation to contribute to each of these. Faculty members play a central role in the realization of the obligations of the academic unit by contributing their unique expertise and competence. Decisions regarding tenure, promotions, and salary increases are based upon an assessment of the faculty member's performance and contributions to the total mission of the University.

2.2 - FACULTY APPOINTMENTS

2.2.1 - RESERVE OFFICERS' TRAINING CORPS PERSONNEL

The senior line officer of each branch of the Department of Defense (Army, Navy, and Air Force) shall be given the rank of Professor; the executive officer (next senior officer) shall be given the rank of Associate Professor; the other officers shall be given the rank of Assistant Professor or Instructor. Officers who arrive for duty and who are interested in undertaking graduate work may be designated as Special Instructors instead of Assistant Professors so they will not be precluded from receiving a graduate degree which, under regulations of the Graduate College and the University, cannot be conferred on faculty members with an academic rank higher than Instructor; non-commissioned officers and petty officers shall be given the title of Instructor.

An academic rank, once assigned to an officer, shall be retained by him or her during the entire tenure with the University unless he or she is promoted to a higher faculty position, even though a more senior line officer becomes either the commanding officer or executive officer, except when regulations of a branch of the Department of Defense provide that only one officer may be designated as Professor and Associate Professor on a campus.

2.2.2 - REAPPOINTMENT AND NON-REAPPOINTMENT

Action on the reappointment of non-tenure track and tenure-track regular faculty may be initiated by the academic department chair/head through the respective dean to the Vice President for Academic Affairs, President, and Board of Regents. Any final decision not to reappoint a faculty member shall be determined by the Board of Regents.

2.2.2.1 - NOTIFICATIONS OF NON-REAPPOINTMENT OF NON-TENURE TRACK OR TENURE-TRACK REGULAR FACULTY

- A. A non-tenured faculty member with a regular appointment who is not to be reappointed must be so notified no later than March 1.
- B. All notifications of non-reappointment shall be given in writing by the Vice President for Academic Affairs.
- C. Failure to reappoint may be without specific or stated cause.

2.3 - FACULTY EVALUATION

2.3.1 - FACULTY EVALUATION, ADVANCEMENT IN SALARY, AND PROMOTION IN RANK

Faculty evaluation is a continuous process, both prior to and following the granting of tenure. An annual review of each faculty member's performance is the responsibility of the academic deans and the specific academic department chair/head. A systematic procedure for accomplishing such evaluations shall be developed in each academic unit, with the participation and approval of the dean and the Vice President for Academic Affairs. The criteria for evaluation shall be carefully and clearly stated. Specific faculty assignments within an academic unit and the specific mission of a particular academic unit may have different percentages of effort distributed across the areas of professional activity (teaching; research and creative/scholarly activity; and professional and University service and public outreach/community engagement) if, in consultation with the dean and Vice President for Academic Affairs, this is determined to assist the entire University in best meeting its mission.

Specific provisions prescribing faculty evaluation, advancement in salary, tenure, and promotion in rank are set forth in the faculty handbook of the respective University.

2.3.2 - FACULTY TENURE

Tenure implies a mutual responsibility on the part of the University and the tenured faculty member. In granting tenure to a faculty member, the University makes a commitment to the faculty member's continued employment, subject to certain qualifications. The University expects that tenured faculty members will maintain the level of performance by which they initially earned tenure. In those exceptional cases when it is recommended that a faculty member be permitted to reduce his or her employment to less than full-time and maintain a tenured status, specific approval must be granted by the Regents.

Faculty members accorded tenure will normally commence their tenure appointments in the academic year immediately following the Board of Regents' action.

In each case where tenure is awarded, there must be assurance that continuing financial support can reasonably be anticipated. The President shall determine whether funds are sufficiently secure to support the awarding of tenure.

Specific tenure policies and procedures are set forth in the faculty handbook of the University.

2.3.3 - POST-TENURE REVIEW

Post-tenure review at the University is a periodic peer-based evaluation of tenured faculty for the purpose of guiding career development and, when judged necessary, improving faculty performance. The post-tenure review process is based on and extends the annual evaluation of faculty through two processes:

- A. A retrospective review of faculty performance in teaching; research and creative/scholarly activity; and professional and University service and public outreach/community engagement over a period of time, not to exceed five years but no less than three, years preceding the review, and
- B. A formative evaluation for future professional growth.

For all faculty, post-tenure review provides a formal opportunity for self-assessment and discussion with peers about professional development. For those faculty whose performance is judged to be below expectations, the evaluation leads to the formulation of a professional development plan, the purpose of which is to assist the faculty member to raise his or her level of performance to meet or exceed the expectations for tenured faculty. Post-tenure review is mandatory for all tenured faculty.

Bearing in mind the value and importance of academic freedom and procedural due process to the well-being and success of the academic community, the University acknowledges and supports in principle the policies and procedures set forth in the AAUP's *Standards for Good Practice in Post-Tenure Review*. Post-tenure review is not a re-evaluation of a faculty member's tenure status, nor is it intended as means to effect programmatic change. The post-tenure review process will be carried out in a manner that is consistent with the University's policies on academic freedom and responsibility and on faculty evaluation. Post-tenure review will be based on the criteria for annual review established by the faculty of the unit and approved by the administration.

Specific provisions prescribing post tenure reviews are set forth in the faculty handbook of the University and include, but are not limited to, sections detailing procedures for normal and early review and the development of a professional development plan for faculty needing assistance.

2.3.4 - SEVERE SANCTIONS

The University strives to exercise great care in selecting faculty appointees and to confer tenure upon only those faculty members who have demonstrated their merit for tenured appointment. For that reason, severe sanctions such as dismissal of a tenured faculty member (abrogation of tenure) or of a regular faculty member during a non-tenure track or tenure-track appointment should be an exceptional event. It is also recognized, however, that a few faculty members may, from time to time, engage in improper conduct which requires severe sanctions short of dismissal. Such sanctions may include but are not limited to loss of prospective privileges for a stated period; restitution; a fine; a reduction in salary; or suspension from service for a stated period, without other prejudice. As in the case of dismissal, the imposition of severe sanctions short of dismissal should be viewed as a serious and infrequent step usually undertaken only after administrative remedies and minor sanctions have failed.

While extreme action will be required infrequently, the University must be prepared for such an eventuality, so that both the integrity of the University and the rights of the faculty member may be preserved. Toward this end, the faculty must be willing to recommend severe sanctions upon or dismissal of a colleague when necessary. By the same token, the President and the Board of Regents shall give all reasonable consideration to faculty recommendations.

Only the Board of Regents has the power to impose severe sanctions. The Board of Regents shall exercise this power only in cases where it determines that there exists sufficient cause for such action.

2.3.4.1 - GROUNDS FOR ABROGATION OF TENURE, DISMISSAL, AND OTHER SEVERE SANCTIONS

A faculty member against whom the imposition of a severe sanction is to be brought or whose dismissal is to be requested must have given such cause for the action as relates directly and substantially to his or her professional capabilities or performance. It is not possible to specify all proper grounds for these drastic measures. Proper reasons for dismissal of a regular faculty member who has tenure or whose tenure-track appointment has not expired include, but are not necessarily limited to, the following:

- A. Professional incompetence or dishonesty, including but not limited to, academic dishonesty, or misuse of University property or resources;
- B. Substantial, manifest, or repeated failure to fulfill professional duties or responsibilities;

- C. Personal behavior preventing the faculty member from satisfactory fulfillment of professional duties or responsibilities, including but not limited to, deliberate and grave violations of the rights and freedoms of fellow faculty members, administrators, or students.
- D. Serious violations of law which are admitted or proved before a court of competent jurisdiction or the administrative body established to hear such matters, preventing the faculty member from satisfactory fulfillment of professional duties or responsibilities, or violations of a court order, when such order relates to the faculty member's proper performance of professional responsibilities;
- E. Changes in the University's educational function through action of the Board of Regents and/or the Oklahoma State Regents for Higher Education, which result in the elimination of an academic unit. In such instances the University will make every reasonable effort to reassign affected faculty members to positions for which they are properly qualified before dismissal results from such elimination;*
- F. Financial Emergency;*
- G. Action(s) involving moral turpitude.

*Although not considered severe sanctions, (e) and (f) are proper reasons for dismissal of a faculty member who has tenure or whose tenure-track or non-tenure track term has not expired.

2.3.4.2 - GROUNDS FOR SUMMARY SUSPENSION

Suspension of a faculty member or assignment to other duties in lieu of suspension is justified only if immediate harm to the faculty member or to others is threatened by that person's continued performance of regular duties. The faculty member may, on request and at the convenience of the department, be relieved of some professional duties if this is necessary to provide time for the preparation of a defense. Summary suspension does not remove from the University the obligation to provide due process within a reasonable period of time following action.

2.4 - FACULTY APPEALS & GRIEVANCES

Grievance procedures shall be available to any aggrieved party who is a member of the Regular Faculty of the University. Violations covered by this procedure include but are not limited to academic freedom and academic due process violations. Such violations may occur in the course of performing professional duties or in the process of being considered or evaluated for salary increase, promotion, tenure, or other personnel decisions in which the faculty member believes there has been unjust discrimination, harassment, violation of due process or academic freedom, or other grievance.

Specific provisions prescribing faculty appeals and grievances are set forth in the faculty handbook of the University.

2.5 - FACULTY HONORS

2.5.1 - ENDOWED CHAIRS AND PROFESSORSHIPS

Faculty may be appointed to endowed chairs and professorships.

2.5.1.1 - CRITERIA FOR SELECTION

Specific criteria for the selection of occupants may be established for particular chairs as appropriate. To qualify for an endowed chair or professorship, a faculty member must be distinguished within a particular academic field or an interdisciplinary program.

2.5.1.2 - SEARCH COMMITTEE

The President, with advice and counsel of the Vice President for Academic Affairs, the dean, and the department head, will appoint the Search Committee. Search Committees for occupants of endowed chairs and professorships shall be composed of:

- A. Two faculty members from the academic unit concerned,
- B. Two outside individuals distinguished within the relevant area and appointed by the President, and
- C. The dean of the relevant school.

At least one member will be from outside the University and at least one member from another school. The President and the Vice President for Academic Affairs will meet with the Search Committee (and the dean and department head if appropriate) to hear their recommendations. The Vice President for Academic Affairs, after consultation, may make a recommendation to the President. The President may approve and forward the appointment to the Board of Regents or may reject it and request further searching. See also section 7.3.4.

2.5.2 - SABBATICAL LEAVE POLICY

Sabbatical leaves of absence are among the most important means by which an institution's academic program is strengthened, a faculty member's teaching effectiveness enhanced, and scholarly usefulness enlarged. The major purpose is to provide opportunity for continued professional growth and new or renewed intellectual achievement through study, research, writing, and training.

However, a faculty member does not automatically earn a sabbatical leave. Instead, it is an investment by the University in the expectation that the sabbatical leave will significantly enhance the faculty member's ability to contribute to the objectives of the University. There should be a clear indication that the improvements sought during a sabbatical will benefit the work of the faculty member, department, school, and the University. Only sabbatical leave proposals that meet this criterion will be accepted and approved by the University. Sabbatical leaves are supported as an investment in the future of the faculty member and the future of the faculty member's students at the University.

The purposes for which a sabbatical leave may be granted may include:

- A. Research on significant problems and issues.
- B. Important creative or descriptive work in any means of expression; for example, writing or painting.
- C. Postdoctoral study at another institution to update teaching skills.
- D. Other projects satisfactory to the University.

It should be demonstrated that such work cannot occur as effectively during the regular work schedule of the faculty member.

Normally, the University will not grant a sabbatical for the purpose of pursuing work on the terminal degree in the faculty member's academic field.

Adherence to the plan submitted by the faculty member is expected. Within two months of returning from leave, the faculty member shall submit to the Vice President for Academic Affairs, through the department head/chair and/or school dean, a report of activities undertaken, which will be used in evaluating future applications for sabbatical leaves.

A faculty member who is on sabbatical leave shall not be penalized on matters of salary consideration. The report on the sabbatical will be used in consideration for merit raises in subsequent years.

Specific provisions, if any, prescribing the policy and procedures related to sabbatical leaves are set forth in the faculty handbook of the University.

2.6 - GENERAL ACADEMIC ISSUES

2.6.1 - DENIAL OF ADMISSION

Any person who is otherwise academically qualified, meets all University admission requirements, and is denied admission for any reason may appeal that denial by requesting a hearing before the University committee charged with hearing appeals of admission denial within five (5) days of receipt of denial. The committee shall proceed as quickly as possible to hear the matter so that one who has been wrongfully denied admission will not be prejudiced in his or her ability to perform the academic work required of him or her in that semester.

2.6.2 - ACADEMIC APPEALS PROCESS

In each University, there shall be established an Academic Appeals committee consisting of both students and faculty. Faculty members of the committee will be chosen by the faculty for a term determined by the faculty. Student members of the committee will be appointed for a term of one year by the chief student affairs officer upon recommendations from the Student Government Association president.

The responsibility for academic evaluations of students rests with the faculty. If a student feels wrongfully and unfairly treated by an instructor and if he or she is unable to resolve the matter in conference with the instructor or the departmental chair/head of the academic unit, an appeal may be made.

Specific provisions prescribing academic appeals are set forth in the University's student handbook.

2.6.3 - ACADEMIC MISCONDUCT

2.6.3.1 - BASIC PRINCIPLE OF HONESTY

Honesty is a fundamental precept in all academic activities, and those privileged to be members of a university community have a special obligation to observe the highest standards of honesty and a right to expect the same standards of all others. Academic misconduct in any form is inimical to the purposes and functions of the University and therefore is unacceptable and rigorously proscribed.

2.6.3.2 - DEFINITION OF ACADEMIC MISCONDUCT

Academic misconduct includes:

- A. Cheating (using unauthorized materials, information, or study aids in any academic exercise or on national board examination), plagiarism, falsification of records, unauthorized possession of examinations, intimidation, and any and all other actions that may improperly affect the evaluation of a student's academic performance or achievement;
- B. Assisting others in any such act; or
- C. Attempting to engage in such acts.

Specific provisions of the academic misconduct code are set forth in University publications, such as the University's student handbook and faculty handbook.

2.6.4 - DEGREES GRANTED BY OKLAHOMA STATE REGENTS FOR HIGHER EDUCATION

Pursuant to the Oklahoma State Constitution, the Oklahoma State Regents for Higher Education shall grant degrees and other forms of academic recognition for completion of the prescribed courses in all state educational institutions.

2.6.4.1 - DIPLOMAS

All diplomas awarded on the achievement of a degree shall bear the seal of the University and of the Oklahoma State Regents for Higher Education. In issuing diplomas for whatever purpose, the University and all of its divisions shall conform to the specifications stipulated by the Oklahoma State Regents for Higher Education and endorsed by the Board of Regents.

The diplomas of the University, for any and all degrees, may be changed only on recommendation of the President and with the approval of the Board of Regents and the Oklahoma State Regents for Higher Education.

Specific provisions prescribing the issuing of diplomas are set forth in a general catalogue or bulletin published by the University.

2.6.4.2 - CERTIFICATES

Certificates bearing the name and the Seal or Coat of Arms of the University may be issued only by the University, pursuant to the applicable legislation in each instance as created by the President and Board of Regents and/or the State as represented by the Oklahoma State Regents for Higher Education or the Governor or legislature of the State of Oklahoma. Academic colleges, schools, departments, and other subdivisions of the University do not have such authority.

2.6.4.2.1 - CREDIT CERTIFICATES

The appropriate University office is charged with the responsibility of administering the regulations governing the issuance of certificates. No certificates intended as an extension or elaboration of the curricular requirements for a degree shall be issued by the University or any subdivision thereof, nor shall certificates be issued for courses, programs of study, or stages required by the University in the fulfillment of the prescriptions for a degree.

Curricular programs based upon University policy and/or State legislation for which University credit is allowed but for which no degrees are granted (as, for example, the program in Office Administration), may be recognized by certificates. Certificates for other forms of professional proficiency than those herein before described may be issued only if programs for the achievement of such proficiency have been approved by the Board of Regents and the Oklahoma State Regents for Higher Education and are incorporated in the official publications of the University describing its curricular requirements.

Nothing in this section shall be construed to contravene the requirements of the Oklahoma State Regents for Higher Education.

2.6.4.2.2 - NONCREDIT CERTIFICATES

Noncredit certificates (e.g., certificates of participation, certificates of completion, certificates of achievement) may be issued recognizing achievement of proficiency in some designated area through successful completion of either single or multiple course, credit-free, University-sponsored continuing education activities (e.g., short courses, conferences, workshops, professional development, and training). Such activities may be conducted in association with recognized professional associations, business groups, government agencies, and/or other academic units of the University, and the certificate may so state.

2.6.4.3 - AWARDING HONORARY DEGREES

The University is authorized to confer honorary degrees. The University will award honorary degrees only in recognition of extraordinary achievement or in recognition of outstanding contributions to the welfare and/or enrichment of the University, State, nation, or world. A recipient may be any individual who has made outstanding contributions to a field or profession represented at the University.

SECTION 3 – GENERAL POLICIES

3.1 - GENERAL PERSONNEL POLICIES

3.1.1 - PERSONNEL ACTIONS

The President or the President's authorized designees are authorized to approve the appointment of and fix the salary and terms of office of the following categories of personnel within funds available in the budgets approved by the Board of Regents (including reserve for contingencies) or within grant funds received by the University.

- Appointments, salaries, and other changes for members of the faculty who are non-tenure track, not tenured, or not eligible for tenure, whether part-time or full-time, unless full-time equivalent salary for the academic year will be \$60,000 or more.
- Part-time graduate assistants, teaching assistants, research assistants, and consultants.
- Appointments, salaries, and other changes, including leaves of absence, for all members of the faculty and staff for terms of three months or less.
- Changes in title for tenured or tenure-eligible members of the faculty not involving a change in rank or salary.
- Appointments of part-time instructors for terms of no more than one semester during the regular academic year.
- Personnel in the administrative staff, managerial staff, professional staff, administrative officer, and executive officer classifications unless the full-time equivalent annual salary will be \$60,000 or more. Mid-year salary increases, other than for a promotion or completion of a probationary period, for monthly salaried staff at any level are excluded from this authorization.
- Service and operations staff and supervisory staff.

3.1.2 - CONTRIBUTIONS TO FRINGE BENEFITS PROGRAMS

Specific provisions pertaining to insurance and retirement benefits are set forth in the University's faculty and/or staff/employee handbook(s).

The University's contribution to the Oklahoma Teachers' Retirement System and/or other charges for any other fringe benefit program for those individuals paid from other than Educational and General sources shall be charged to the source or sources of pay on a pro rata basis except where expressly forbidden by the source.

3.1.3 - PAYROLL DEDUCTIONS

The Board of Regents authorizes (1) current withholding from the compensation for an employee's services, with the consent of the employee, amounts necessary for participation in various programs and (2) the President to approve future deductions which appear to be in the best interests of the University and its employees.

3.1.4 - EMPLOYMENT BENEFITS FOR PART-TIME EMPLOYEES

See the University's staff/employee handbook or other policies for a description of benefits for part-time employees.

3.1.5 - RESIGNATIONS

Resignations shall be effective on the last day of service of the employee, and an employee shall not be paid for a holiday if the last day of service was prior to the holiday period.

3.1.6 - LEAVES OF ABSENCE

3.1.6.1 - ADMINISTRATIVE

An administrative leave with pay may be given when it is determined to be in the University's best interest that an employee not return to work for a specified period of time or for designated emergency closings of the University. Recommendations for administrative leave must be submitted to the President or the President's designee for approval.

3.1.6.2 - MILITARY LEAVE

The University's policy on military leave of absence is administered in accordance with federal and state law.

3.1.6.3 - LEAVE OF ABSENCE WITHOUT PAY

For specific policies relating to leave of absence without pay, see the University's faculty and/or staff/employee handbook(s) or other applicable policies.

3.1.7 - PAID LEAVE AND EXTENDED SICK LEAVE

See the University's faculty and/or staff/employee handbook(s) for specific policies on paid leave and extended sick leave.

3.1.8 - CONFLICTS OF INTEREST

3.1.8.1 - PURPOSE OF POLICY

This policy addresses situations where there might be a potential financial conflict between a particular outside interest of a faculty or staff employee and the obligation that the employee owes to the University, such that an employee's profit or advantage may come, or reasonably appear to come, at the expense of the well-being of the University.

The purpose of this policy is to aid in identifying apparent, actual, and potential conflicts of interest and assuring that such conflicts do not improperly affect the activities or professional conduct of the University or its employees. It is not the intent of this policy to restrict legitimate work related to the employee's profession or discipline, but only to provide the University with authority to take action that is appropriate, proportionate, and focused on substantial conflicts of interest that compromise an employee's professional judgment.

3.1.8.2 - STATEMENT OF GENERAL POLICY

The University is a public institution committed to the mission of teaching; research and creative/scholarly activity, and professional and University service and public outreach/community engagement. To these ends, the University balances an assortment of principles: maintaining an atmosphere that promotes free and open scholarly inquiry; facilitating the transfer of information and technology for the benefit of the public; and serving as a prudent steward of public and private resources entrusted to it. Faculty and staff have a primary commitment to their basic University duties of teaching; research and creative/scholarly activity, and professional and University service and public outreach/community engagement. These basic duties often limit outside activities. As a result, professional and personal activities may present conflict of interest situations, which should be evaluated under the auspices of this and other applicable policies.

Employee participation in outside professional, commercial, and *pro bono publico* activities can make important direct and indirect contributions to the strength and vitality of the University. Through participation in such activities, employees may add to knowledge and understanding that is relevant and useful to teaching and research within the University, develop sources of funding and support for activities carried out by the University, and establish relationships valuable to the University. Because of the value to the University, the rewards for an individual employee, and the contributions to the larger society of which the University is a part, the University recognizes that employee participation in outside professional, commercial, or *pro bono publico* activities is often appropriate.

Sound professional discretion is an integral part of the University's conflict of interest system. Any review of a potential conflict of interest will be undertaken in light of four general propositions.

First, conflicts of interest *per se* are inevitable and do not necessarily represent any impropriety by employees if disclosed in advance. Second, the failure to disclose a conflict of interest for administrative review and response would be a serious mistake for any employee and may be a breach of this policy. Third, there is a presumption in favor of allowing employees to act in dual roles once the conflict of interest has been disclosed (prior written approval is required in cases of potential conflicts involving federal grants or contracts). Fourth, conflicts of interest may be so profound or substantial that it would be best for all concerned if the employee did not participate in a particular transaction.

It is not possible to completely eliminate the potential for conflicts of interest because there are certain rewards and incentives that are inherent or appropriate in the structure of a University enterprise. Such conflicts become detrimental when the potential temptations, financial or otherwise, undermine reasonable objectivity in the design, interpretation, and publication of research; in setting University policies; in managing contracts; in selecting equipment and supplies; in involving students in sponsored projects; or in performing other roles in University governance in which objectivity and integrity are paramount. Furthermore, since allegations of conflicts of interest based on appearances can undermine public trust in ways that may not be adequately restored even when mitigating facts are brought to light, apparent conflicts should be avoided, when feasible and appropriate.

Other sections of this policy notwithstanding, it is the ongoing responsibility of the employee to abide by the provisions of all applicable federal and state laws and the University's policies relating to conflicts of interest; to identify potential conflicts of interest; and to disclose and seek guidance on such matters from the appropriate vice president.

3.1.9 - INTELLECTUAL PROPERTY POLICY

The people of the State of Oklahoma may reasonably expect that their investments in the University will create new industry and enhance existing industry within the State and Nation. Such new industry creates greater employment opportunities for citizens of the State and the Nation and improves their quality of life.

The creation and development of intellectual property at the University encourages new business and is key to creating strong University and industry partnerships. It is the responsibility of University employees to disclose intellectual property and to foster an entrepreneurial attitude within the work force by involving students in the creation of intellectual property. Intellectual property development shall be pursued in concert with, but subject to, the University's principal responsibilities of education and knowledge creation.

Therefore, it is in the best interest of the University to adopt a policy that encourages disclosure of discoveries and inventions and rewards such creative activity. To do so, the University's policy must ensure that creators of copyrightable works or trademarks and inventors share in any financial success enjoyed by the University through the creation and commercialization of intellectual property. The basic objectives of the University's policy concerning creative works, trademarks, discoveries, and inventions (*i.e.*, intellectual property) include the following:

- A. To maintain the University's academic policy of encouraging research, publication, and scholarship independent of potential gain from royalties or other income.
- B. To make patented materials created pursuant to University objectives available in the public interest under conditions that will promote their effective utilization and commercialization.
- C. To provide adequate incentive and recognition to faculty and staff through proceeds derived from their creative works, trademarks, discoveries, and inventions.

Specific provisions prescribing matters related to the intellectual property policy are set forth in the University's faculty and/or staff/employee handbook(s).

3.1.10 - OUTSIDE EMPLOYMENT AND EXTRA COMPENSATION POLICY

3.1.10.1 - FACULTY

The missions of the University are teaching; research and creative/scholarly activity; and professional and University service and public outreach/community engagement. As professionals, University faculty are individually and primarily responsible for arranging their time among such academic functions as teaching assignments, research, service, continuing education, and consultation. Such arrangements will be subject to evaluation and approval by appropriate authorities as part of a faculty member's total professional activity during the year with reference to department, school, and University criteria for merit salary increases, tenure, and promotion.

The professional expertise of the faculty is normally available to the state and its citizens for incidental and minor services without remuneration. When, however, the services desired from outside the University exceed a reasonable and mutually agreed limit, direct extra remuneration may be accepted, provided the extent of the involvement does not infringe on the faculty member's regular University duties.

A person who accepts full-time faculty employment at the University assumes a primary professional obligation to the University. Any other employment or enterprise in which he or she engages for income shall be secondary to his or her University work. In addition, the academic department head should be informed and approve of arrangements which are made to dismiss classes or to provide substitute teachers for them, when a faculty member will be absent from these duties. All professional activities, whether within the University or without, whether for extra remuneration or for no remuneration of any kind, should contribute to the faculty member's professional growth or efficiency and to his or her teaching or scholarly competence.

After prior written arrangement, faculty members may engage in professional activities for extra remuneration (from within the University, from outside sources, or in any combination of the two) for a maximum of 25% of their full-time professional effort. Faculty on twelve-month appointments may not receive extra compensation for teaching in the summer term or for performing sponsored research, except for teaching approved overload courses.

During any portion of the summer in which faculty members are not under appointment with the University, they may engage in outside employment without restriction.

Within the University, the time required for all extra compensation assignments during the entire year, and for all professional assignments during the summer, will be determined by those responsible for the various programs as an appropriate fraction of the faculty member's full-time professional effort and the University will pay the faculty member the corresponding fraction of his or her base salary rate or at an adjunct rate, which shall be determined in the University's sole discretion. The time required for all professional activities for extra remuneration outside the University will be determined by the faculty member as an appropriate fraction of his or her full-time professional effort when approval for such activity is requested.

Approval of outside employment shall be requested on a form entitled "Application for Permission to Engage in Outside Employment" or other appropriately titled document, which is available in the office of human resources. Such applications and arrangements must be submitted at the beginning of each contract year and are the responsibility of the faculty member. All activities performed within the University for extra compensation must be arranged, as all in-load assignments are, with the agreement of the department head, dean, and Vice President for Academic Affairs.

Faculty should avoid possible conflicts of interest with the University in all outside employment. Questions regarding potential conflicts of interest should be addressed to the Vice President for Academic Affairs who may wish to consult the Office of Legal Counsel.

No faculty member may hold a split (joint) appointment which reflects more than a total of 1.0 full-time equivalent.

3.1.10.2 - STAFF

The policy for faculty shall apply to administrative and professional staff who are on the monthly payroll. Each Vice President may stipulate a more restrictive policy pertaining to administrative and professional staff within his or her administrative area.

With prior written approval, such individuals may engage in professional activities for extra remuneration (within the University, from outside sources, or in any combination of the two) for a maximum of 25% of their twelve-month, full-time professional effort, but they may not receive extra compensation for teaching in the summer term or for performing sponsored research.

Administrative and professional staff who are on the monthly payroll shall request approval for outside employment on a form entitled "Request for Permission to Engage in Outside Employment" or other appropriately titled document, which is available in the office of human resources. All activities performed within the University for extra compensation must be arranged, as regular assignments are, with the agreement of the Vice President, immediate supervisor, and, if applicable, the appropriate department chair/head, dean.

3.1.10.3 - HARDSHIP PROVISION

If this policy creates a demonstrable hardship for a University program, exceptions may be granted by the President in response to a written request.

3.1.11 - NEPOTISM

Except as prohibited by the laws of the State of Oklahoma, relationship by consanguinity (blood) or by affinity (marriage) shall not, in itself, be a bar to appointment, employment, or advancement by the University or, in the case of faculty members, eligibility for tenure. The University recognizes, however, that there is an inherent conflict of interest when an employee makes hiring, promotion, or salary decisions about a family member, although there may be

extremely rare circumstances when the potential benefit to the University in having an employee supervise a family member outweighs the potential harm.

Therefore, no two persons who are related by affinity or consanguinity within the third degree shall be given positions in which either one is directly responsible for making recommendations regarding employment, promotions, salary, or tenure for the other; nor shall either of two persons so related who hold positions in the same budgetary unit be appointed to an executive or administrative position in that unit, or to a position involving administrative responsibility over it, as long as the other person remains in the unit, without first receiving a waiver that has been recommended by the appropriate Vice President and approved by the President and Board of Regents. In recommending the waiver, the appropriate Vice President must make a written statement of the facts that have led him or her to conclude that the benefit to the University in granting the waiver outweighs the potential harm. In addition, the appropriate Vice President must propose in writing a means by which a qualified, objective person, unrelated to the employee at issue, shall make performance evaluations and recommendations for compensation, promotion, and awards for that employee and state in writing how that means will avoid the conflict of interest. The statement and proposal for supervision shall be made part of the Board of Regents' agenda item. Further, a salary increase above the average increase granted to all University employees in similar positions will not be granted to an employee who has been granted a waiver under this policy unless it has been approved by the appropriate Vice President and the President.

It is the responsibility of the head of the budget unit to seek a waiver before offering employment to any person whose employment would violate this policy, and the willful failure to follow this policy may result in disciplinary action against the head of the budget unit.

Notwithstanding any other provision of this policy, a conditional hire, prior to the approval of the Board of Regents, may be made pursuant to this policy if deemed necessary for legitimate academic or business reasons if justified in writing by the appropriate Vice President and approved by the President. At the next regular meeting of the Board of Regents, the written justification and the conditional hire will be considered by the Board of Regents.

Relatives that are within the third degree of relationship to an employee by consanguinity or affinity include the following: spouse, parent, parent of spouse, grandparent, grandparent of spouse, great-grandparent, great-grandparent of spouse, uncle or aunt, uncle or aunt of spouse, brother or sister, brother or sister of spouse, son or daughter, son-in-law or daughter-in-law, grandson or granddaughter, grandson's or granddaughter's spouse, great grandson or great granddaughter, and great grandson's or great granddaughter's spouse. For the purposes of this policy, step and half relatives are considered to be related by affinity.

3.1.12 - PREVENTION OF ALCOHOL ABUSE AND DRUG USE ON CAMPUS AND IN THE WORKPLACE

The federal Drug-Free Workplace Act of 1988 requires that any entity seeking to be considered to receive a grant from any federal agency, and any entity seeking to be awarded a contract for the procurement of any property or services of a value of \$25,000 or more from any federal agency, certify to the federal granting or contracting agency that it will provide a drug-free workplace.

The University recognizes its responsibility as an educational and public service institution to promote a healthy and productive work environment. This responsibility demands implementation of programs and services which facilitate that effort. The University is committed to a program to prevent the abuse of alcohol and the illegal use of drugs and alcohol by its students and employees. The University's program includes this policy, which prohibits illegal use of drugs and alcohol in the workplace or as part of any University-sponsored activities. It shall be Board of Regents' policy that:

Health risks generally associated with alcohol and drug abuse include, but are not limited to the following: a lowered immune system, damage to critical nerve cells, physical dependency, lung damage, heart problems, liver disease, physical and mental depression, increased infection, irreversible memory loss, personality changes, and thought disorders.

The chief fiscal officer is responsible for notifying Federal funding agencies within ten calendar days whenever an employee is convicted of a drug-related crime which occurred in the workplace.

Decisions under this policy are subject to the grievance procedures stated elsewhere in the Board of Regents' policy.

3.1.13 - FIREARMS POLICY

Firearms and munitions of all types are prohibited on all property owned, leased, or occupied by the Board of Regents at all times except as specifically authorized below or otherwise authorized by law.

- A) For purposes of this policy, firearms include, but are not limited to, the following: conventional weapons, from which a projectile is discharged by an explosive propellant charge; antique, replica, and inert firearms; compressed gas weapons; and spring-propelled weapons. For purposes of this policy, munitions include, but are not limited to, any projectile which incorporates a propellant charge and/or explosive contents.
- B) Firearms are permitted on property owned, leased, or occupied by the Board of Regents only under the following circumstances:
 - 1) In the possession or control of sworn law enforcement officers or properly licensed armed security guards employed by the University who are performing their assigned duties.
 - 2) In the possession of personnel of active or reserve armed forces of the United States or the Oklahoma National Guard when in the performance of duties assigned by an authorized commander.
 - 3) In the possession of ROTC participants when under the supervision of authorized members of the regular armed services.
 - 4) As “starter pistols,” incapable of chambering or firing live projectile ammunition, for athletic events. Starter pistols and blank ammunition shall be secured at all times when not in use, and shall be under the supervision and control of appropriate staff or athletic officials when in use.
 - 5) As construction equipment employing blank ammunition as a propellant for setting fasteners when used by and under the supervision of authorized and trained personnel.

- 6) For use as a teaching aid, subject to the following conditions:
 - a) Permission for such use must be granted by the academic dean and prior written notice of the presence of the firearm on property owned, leased, or occupied by the Board of Regents must be given to the appropriate office of public safety.
 - b) Such firearms must be approved and secured by means acceptable to the chief public safety officer.
 - c) Except for recognized University shooting ranges and while under authorized supervision, munitions shall not be present with firearms when used in class.

- 7) Any group desiring to use a firearm and/or munitions for ceremonial purposes on property owned, leased, or occupied by the Board of Regents must tender a request to the appropriate campus police. The chief public safety officer will review the request and forward it to the chief fiscal officer, who will in turn forward the request to the Office of Legal Counsel with a recommendation for approval or disapproval based upon safety considerations. The Office of Legal Counsel will notify the chief fiscal officer and any other appropriate officials of any approvals that are granted for the use of ceremonial firearms.

- 8) For use during a public performance, subject to the following conditions:
 - a) Firearms for use in public performances shall be rendered inoperable whenever discharge is not required as an integral part of the production.
 - b) When discharge is necessary as part of a performance, blank ammunition shall be used. Blank ammunition is not permitted in weapons pointed at performers at any time during the production.
 - c) Weapons present for a performance shall be kept secure or in the possession of a designated staff member at all times when not actively in use.

9) For display in support of the educational mission of the University. Such firearms must be inoperable and approved and secured by means acceptable by the Director of Campus Police.

10) The University President has the authority to make exceptions to the above provisions when appropriate to further the University's mission.

For purposes of this policy, "inoperable" means physically rendered incapable of firing either by removal of critical parts, installation of a device to prevent operation, or both. Where a firearm is required to be inoperable, the Director of Campus Police shall inspect and certify that the firearm is inoperable.

3.1.14 - CANDIDATES FOR POLITICAL OFFICE

Any employee of the University who becomes a candidate in any primary or general election for any county, state, or federal office, shall, prior to announcing his or her candidacy for any said office, first offer his or her resignation to the Board of Regents, without reservation. Provided, however, that the Board of Regents does not intend to discourage employees from becoming candidates for public office by enacting this policy. Employees may regard it as their duty to become candidates.

3.1.15 - POLITICAL CONTRIBUTIONS BY THE PRESIDENT

While the President has significant political rights under applicable state and federal statutory and constitutional law, his or her political activities must not be represented, or implied to represent, that the President is speaking on behalf of the Board of Regents or the University.

3.2 - EQUAL OPPORTUNITY POLICIES

3.2.1 - EQUAL OPPORTUNITY POLICY

The University, in compliance with all applicable federal and state laws and regulations, does not discriminate on the basis of race, color, national origin, sex, sexual orientation, gender identity, gender expression, age, religion, political beliefs, disability, or status as a veteran in any of its policies, practices, or procedures. This includes, but is not limited to, admissions, employment, financial aid, and education services.

Each University, recognizing its obligation to guarantee equal opportunity to all persons in all segments of University life, reaffirms its commitment to the continuation and expansion of positive programs which reinforce and strengthen their affirmative action policies. This commitment stems not only from compliance with federal and state equal opportunity laws but from a desire to ensure social justice and promote campus diversity. Each University will continue its policy of fair and equal employment practices for all employees and job applicants without insidious discrimination on the basis of race, color, national origin, sex, sexual orientation, gender identity, gender expression, genetic information, age, religion, political beliefs, disability or status as a veteran. Each University will maintain a critical and continuing evaluation of its employment policies, programs and practices. Each budget unit bears a responsibility for constructive implementation of this Plan, and whenever possible, to the overall progress toward employment opportunity and participation in all University programs and activities. Our commitment to the concept of affirmative action requires sincere and cooperative efforts throughout all levels of our employment structure. We will continue to strive to reach the goals of fair and equal employment opportunities for all.

3.2.2 - AFFIRMATIVE ACTION PLAN

The Affirmative Action Plan serves to supplement the Board of Regents' policy on equal opportunity, as it pertains to employment and is an integral part of the employment policies of the University. The Plan is revised once each year to address the current requirements for affirmative action in employment. The principal objectives are:

- A. To assure all persons equal opportunity for employment and advancement in employment regardless of race, color, national origin, sex, sexual orientation, gender identity, gender expression, religion, age, disability, political beliefs, or status as a veteran.
- B. To meet institutional responsibilities under the Civil Rights Act of 1964 and commitments as a federal contractor under Executive Order 11246 and Executive Order 11375.
- C. To take positive actions in the recruitment, placement, development and advancement of diversity inclusion in University employment.

Each person having administrative or supervisory responsibilities is expected to provide leadership in applying the Affirmative Action Plan.

Coordination of the application of the Affirmative Action Plan is the responsibility of the office of human resources.

3.2.3 - NONDISCRIMINATION POLICY

3.2.3.1 - INTRODUCTION

Diversity is one of the strengths of our society as well as one of the hallmarks of a great university. The University supports diversity and therefore is committed to maintaining employment and educational settings which are multicultural, multiethnic, and multiracial. Respecting cultural differences and promoting dignity among all members of the University community are responsibilities each of us must share.

Racial and ethnic harassment is a growing concern across American college campuses. It has taken various forms, from criminal acts (assault and battery, vandalism, destruction of property) to anonymous, malicious intimidation. The University does not discriminate or permit discrimination by any member of its community against any individual based on race, color, sex, sexual orientation, gender identity, gender expression, religion, political beliefs, national origin, age (40 or older), genetic information, disability, or veteran status in matters of admissions, employment, financial aid, housing or services in educational programs or activities the University operates.

The University policy also prohibits retaliation against a person for filing a complaint of discrimination or harassment under this policy or other applicable federal, state, or local laws. This policy also prohibits retaliation against any person who assists someone with a complaint of discrimination or harassment or who participates in any manner in an investigation or resolution of a complaint of discrimination or harassment.

3.2.3.2 - POLICY STATEMENT

Principles of academic freedom and freedom of expression require tolerance of the expression of ideas and opinions, which may be offensive to some, and the University respects and upholds these principles. The University also adheres to the laws prohibiting discrimination in employment and education. The University recognizes that conduct which constitutes discriminatory harassment in employment or educational programs and activities shall be prohibited and is subject to remedial or corrective action as set forth in this policy. This policy is premised on the University's obligation to provide an environment free from unlawful discrimination. The University will vigorously exercise its authority to protect employees and students from harassment by agents and employees of the University, students, visitors, and guests.

Agents and employees of the University, acting within the scope of their official duties, shall not treat an individual differently on the basis of race, color, sex, sexual orientation, gender identity, gender expression, religion, political beliefs, national origin, age (40 or older), genetic information, disability, or veteran status in the context of an employment or educational program or activity without a legitimate, nondiscriminatory reason.

The University shall not subject an individual to different treatment on the basis of race, color, sex, sexual orientation, gender identity, gender expression, religion, political beliefs, national origin, age (40 or older), genetic information, disability, or veteran status by effectively causing, encouraging, accepting, tolerating, or failing to correct a racially or ethnically hostile environment of which it has notice.

The full text of the University Nondiscrimination Policy shall be made publicly available on the websites of each University. Hard copies may be requested through the University's equal opportunity and/or student affairs office.

3.2.3.3 - CORRECTIVE ACTIONS

Violations of this policy shall result in corrective action(s) designed to reestablish an employment or educational environment which is conducive to work or learning. Corrective actions will include disciplinary action directed by the executive officer having responsibility for the offender, where appropriate. Corrective actions will be tailored to redress the specific problem and may include apologies, mandatory attendance at specific training programs, reprimands, suspension, demotion, expulsion, or termination. Corrective actions shall be based upon the facts and circumstances of each case and shall be in accordance with the terms and

guidelines of the applicable campus grievance procedures.

Violations of this policy by students will be considered as violations of the student code and will subject student offenders to the corrective action(s) provided by the code.

3.2.3.4 - ADMINISTRATIVE ACTION

The University recognizes its obligation to address incidents of discriminatory harassment on campus when it becomes aware of their existence, even if no complaints are filed. Therefore, in such circumstances, the University reserves the right to take appropriate action unilaterally under this policy.

With respect to students, the University President, senior student affairs officer, or other appropriate person with authority may take immediate administrative or disciplinary action which is deemed necessary for the welfare or safety of the University community.

With respect to employees, upon a determination at any stage in the investigation or grievance procedure that the continued performance of either party's regular duties or University responsibilities would be inappropriate, the proper executive officer may suspend or reassign said duties or responsibilities or place the individual on leave of absence, with or without pay, as may be approved by the President, pending the completion of the investigation or grievance procedure.

3.2.3.5 - RESPONSIBLE OFFICIAL

Each University shall appoint an employee(s) to serve as the Equal Opportunity Officer, who is charged with the responsibility of administering this policy. The Equal Opportunity Officer shall ensure that all records of complaints, investigative reports, and remedies provided or corrective actions taken in connection with this policy are stored and maintained. The Equal Opportunity Officer is the overall coordinator of all University activities dealing with discrimination in employment or education.

The below are the designated Equal Opportunity Officer(s) at each University:

Cameron University

Title IX/Equal Opportunity Officer

Rogers State University

Vice President, Student Affairs
(Student)

Director of Human Resources
(Faculty/Staff)

3.2.4 - GENDER-BASED MISCONDUCT POLICY

3.2.4.1 - STATEMENT

The Board of Regents explicitly condemns gender-based misconduct, including, but not limited to, discrimination based on sex, sexual assault, sexual or sexual harassment against students, staff, and faculty.

Accordingly, the University is committed to providing an environment of study and work free from all forms of gender-based harassment, exploitation, or intimidation and to ensuring the accessibility of appropriate grievance procedures for addressing all complaints regarding gender-based discrimination. Any such activity committed by a member of the University community may subject the individual to University sanctions as well as civil and criminal penalties. This policy covers unwelcome conduct of a sexual nature. Consensual romantic relationships between members of the University community are subject to other University policies.

The full text of the University Gender-Based Misconduct Policy shall be made publicly available on the websites of each University. Hard copies may be requested through the University's equal opportunity and/or student affairs office.

3.2.4.2 - GENDER-BASED MISCONDUCT DEFINED

Gender-based misconduct comprises a broad range of behaviors focused on sex and/or gender that may or may not be sexual in nature. Any intercourse or other intentional sexual touching or activity without the other person's consent is sexual assault, which is a form of gender-based misconduct under this Policy. Sexual harassment, sexual exploitation, gender-based harassment, stalking, domestic violence, and dating violence are also forms of gender-

based misconduct. Intimidation for one of these purposes is gender-based misconduct, as is retaliation following an incident of alleged gender-based misconduct or attempted gender-based misconduct. Misconduct can occur between strangers or acquaintances, or people who know each other well, including between people involved in an intimate or sexual relationship, can be committed by anyone regardless of gender identity, and can occur between people of the same or different sex or gender.

This Policy prohibits all forms of gender-based misconduct.

3.2.4.3 - EXAMPLES OF PROHIBITED GENDER-BASED MISCONDUCT

Conduct prohibited by this policy may include, but is not limited to:

- Coercion for a date or a romantic or intimate relationship
- Unwelcome touching, kissing, hugging, or massaging
- Use of unwanted force in connection with sexual activity or attempted sexual activity
- Sexual contact with a person who has not clearly consented
- Unwelcome remarks about the private parts of a person's body
- Belittling remarks about a person's gender or sexual orientation based on gender-stereotyping
- Videotaping or photographing of activity of a sexual or private nature without the consent of the person(s) being videotaped or photographed
- Obscene gestures of a sexual or gender-based nature
- Derogatory posters, graffiti, cartoons, calendars, drawings, pictures, or text, whether disseminated through hard copy or electronically through e-mail, the Internet, or other digital mediums to facilitate any of the behaviors listed above.

3.2.4.4 - RETALIATION

University policy also prohibits retaliation against a person for filing a complaint of discrimination or harassment under the Nondiscrimination Policy and/or the Gender-Based Misconduct Policy or other applicable federal, state or local laws. This Retaliation Policy also prohibits retaliation against any person who assists someone with a complaint under these laws or policies or who participates in any manner in an investigation or resolution of such a complaint.

3.2.4.5 - SANCTIONS

Appropriate disciplinary action may include a range of actions up to and including dismissal and/or expulsion.

3.2.4.6 - DUTY TO REPORT GENDER-BASED MISCONDUCT

Any member of the University community may report conduct that could constitute gender-based misconduct, including sexual assault, discrimination and harassment. Additionally, supervisors, managers, and other designated employees are responsible for taking all appropriate action to prevent gender-based misconduct sexual assault, discrimination and harassment, to correct it when it occurs, and to promptly report it to the Equal Opportunity Officer, Title IX Officer, or other appropriate University official. Failure to do so may result in disciplinary action up to and including termination.

3.2.4.7--COMPLAINT PROCEDURE

Any individual who at the time of the actions complained of was employed by the University or was an applicant for University employment or was enrolled as a student or an applicant for admission at the University may file a complaint concerning violations of the Nondiscrimination Policy with the Equal Opportunity Officer, and with respect to violations of the Gender-Based Misconduct Policy with the Title IX Officer and/or the Equal Opportunity Officer for review and investigation regarding complaints against University students, faculty, staff or those third parties utilizing University services or third parties on University premises. The full text of the policies are publicly available on the websites of each University. Hard copies may be requester through the University's equal opportunity and/or student affairs office.

3.2.5 - CONSENSUAL SEXUAL RELATIONSHIPS POLICY

3.2.5.1 - RATIONALE

The University's educational mission is promoted by professionalism in faculty, staff, and student relationships. Professionalism is fostered by an atmosphere of mutual trust and respect. Actions of faculty, staff, and students that harm this atmosphere undermine professionalism and hinder fulfillment of the University's mission. Trust and respect are diminished when those in positions of authority abuse, or appear to abuse, their power. Those who abuse, or appear to abuse, their power in such a context violate their duty to the University.

University employees exercise power over subordinate employees and students, whether in giving them praise or criticism; evaluating them; making recommendations for promotion, further studies, or their future employment; or conferring any other benefits on them.

Consensual amorous, dating, or sexual relationships have inherent risks when they occur between a faculty member, supervisor, or other member of the University community and any person over whom he or she has a professional responsibility. The risks include a student or subordinate's feeling coerced into an unwanted relationship to ensure they receive a proper educational or employment experience; potential conflicts of interest in which the person is in a position to evaluate the work or make personnel or academic decisions with respect to the individual with whom he or she is romantically involved; a perception by students or employees that a fellow student or coworker who is involved in a romantic relationship with his or her supervisor or professor will receive an unfair advantage; either or both of the parties engaging in behavior destructive to the other or their academic or working environments if the relationship ends; and the potential that University/state resources are used inappropriately to further the romantic relationship. Therefore, the University will view it as unethical if staff or faculty members engage in amorous relations with subordinate employees or students enrolled in their classes or subject to their supervision, even when both parties appear to have consented to the relationship.

Those with professional responsibility over others and with whom they have a romantic relationship should be aware that their involvement may subject them and the University to legal liability; consequently, such relationships are strongly discouraged. "Professional responsibility" is defined as performing functions including but not limited to teaching, counseling, grading, advising, evaluating, hiring, supervising, and making decisions or recommendations that confer benefits such as promotions, financial aid awards, or other remuneration, or that may impact upon other academic or employment opportunities.

3.2.5.2 - DEFINITIONS

As used in this policy, the term "employee" includes all employees, including but not limited to, academic and non-academic administrators, supervisory personnel, staff, faculty, graduate students with or without teaching responsibilities, student employees, and other instructional personnel. The term "consensual sexual relationship" includes amorous or romantic relationships and is intended to target conduct that go beyond what a person of ordinary sensibilities would believe to be a collegial or professional relationship.

The term "subordinate" means an employee or student in a position of lesser power

or authority than the other party to the amorous relationship. For example, any employee or student who is beneath another in the employment chain of command or who relies upon the other for day-to-day directions would be “subordinate,” as would a project team member or housing resident with respect to the project director or resident advisor, respectively.

3.2.5.3 - POLICY

Consensual sexual relationships between employees and subordinate employees or subordinate students are prohibited. Violations of this policy may lead to disciplinary action up to and including termination and/or expulsion.

3.2.5.3.1 - FACULTY-STUDENT RELATIONSHIPS

Within the Instructional Context – It is considered a serious breach of professional ethics for an employee to initiate or acquiesce in a sexual relationship with a student who is enrolled in a course being taught by the employee or whose academic work (including work as a teaching assistant) is being supervised by the employee.

Outside the Instructional Context -- Sexual relationships between faculty members and students occurring outside the instructional context may lead to difficulties. Particularly when the faculty member and student are in the same academic unit or in units that are academically allied, relationships that the parties view as consensual may appear to others to be exploitative. Further, in such situations, the faculty member may face serious conflicts of interest and should be careful to distance himself or herself from any decisions that may reward or penalize the student involved. A faculty member who fails to withdraw from participation in activities or decisions that may reward or penalize a student with whom the faculty member has or has had an amorous relationship will be deemed to have violated his or her ethical obligation to the student, to other students, to colleagues, and to the University.

3.2.5.3.2 - STAFF-SUBORDINATE RELATIONSHIPS

Sexual relationships between employees and subordinate employees or subordinate students occurring outside the instructional context may also lead to difficulties, particularly when the employee and subordinate employee or subordinate student are in the same academic unit or in units that are closely allied. Relationships that the parties view as consensual may appear to others to be exploitative. Further, in such situations the employee may face serious conflicts of interest and must be careful to distance himself or herself from any decisions that may reward or penalize the subordinate employee or subordinate student involved.

Supervisors, or those with professional responsibility, over someone with whom they have or have had an amorous, consensual, romantic, or sexual relationship must notify their direct supervisor that a management-control plan needs to be implemented, or that the supervisor wishes a transfer so that he or she is no longer in a position of professional responsibility over the affected individual. To avoid the severe risks noted, supervisors in such relationships may not manage, supervise, evaluate, or make other employment decisions concerning the individual with whom they are engaged in a romantic relationship. If the relationship ends, the management-control plan must remain in effect. Failure to notify a supervisor to ensure a plan is in place may result in disciplinary action, including termination, for that supervisor. An employee who fails to enter a management control plan or withdraw from participation in activities or decisions that may reward or penalize such subordinates with whom the employee has or has had an amorous relationship will be deemed to have violated his or her ethical and professional obligations to the University.

3.2.5.4 - COMPLAINT PROCEDURE

Complaints alleging a violation of the Consensual Sexual Relationships Policy shall be handled in accordance with the Equal Opportunity Grievance Procedure. Please contact the following:

Cameron University

Title IX/Equal Opportunity Officer

Rogers State University

Vice President, Student Affairs (Student)

Director of Human Resources (Faculty/Staff)

3.2.6 - EQUAL OPPORTUNITY GRIEVANCE PROCEDURE

The investigative process, findings and recommendations, and appeals process for claims brought under the Nondiscrimination Policy, Gender-Based Misconduct Policy, or other applicable equal opportunity policy are handled by the University Equal Opportunity Officer or Title IX Officer. The full text of the University Nondiscrimination Policy, Gender-Based Misconduct Policy, or other applicable equal opportunity policy are publicly available on the websites of each University. Hard copies may be requested through the University's equal opportunity and/or student affairs office.

Cameron University

Title IX/Equal Opportunity Officer

Rogers State University

Vice President, Student Affairs (Student)

Director of Human Resources (Faculty/Staff)

3.2.7 - REVISIONS TO EQUAL OPPROTUNITY POLICIES

Revisions to the Nondiscrimination Policy, Gender-Based Misconduct Policy, or other applicable equal opportunity policy may be made automatically where necessary to comply with federal, state and local laws, or applicable regulations or guidance.

3.3 - RETIREMENT POLICY

For specific University retirement policies, see the University's staff/employee handbook.

3.4 - INTERNAL AUDIT CHARTER

3.4.1 - PURPOSE

Internal auditing is an independent appraisal activity established within the University to examine and evaluate its activities. The objectives of internal auditing are to assist members of the organization in the effective discharge of their responsibilities by furnishing them with analysis, appraisals, recommendations, and pertinent comments concerning the activities reviewed. However, the internal audit review and appraisal of an area shall not in any way relieve management of its assigned responsibilities.

3.4.2 - AUTHORITY

Oklahoma law provides that the Board of Regents shall establish an internal audit function that employs a sufficient number of internal auditors to meet the Board of Regents' fiduciary responsibilities. The internal audit function shall be responsible to the Board of Regents and the President and shall be conducted in accordance with *Standards for the Professional Practice of Internal Auditing*" published by The Institute of Internal Auditors. The President and all members of the Board of Regents shall receive copies of the audit reports, as will the State Auditor and Inspector. The Board of Regents shall, at least annually, review and prescribe the plan of work to be performed by the internal auditors.

3.4.3 - RESPONSIBILITIES

The Internal Audit Director of the University is authorized by the Board of Regents to direct a broad, comprehensive program of internal auditing throughout the University. The University Internal Audit Department will evaluate the adequacy of the internal control structure. In order to accomplish these objectives, the Internal Audit Director and the Internal Audit staff are authorized by the President and the Board of Regents to have full, free, and unrestricted access to all University functions, records, property, and personnel. In the event any officer, agent, or employee of the University fails to cooperate fully with the Internal Audit Director or otherwise hinders, prevents, or attempts to hinder or prevent any audit, the Internal Audit Director shall immediately and simultaneously report the same to the President and to the Board of Regents Finance and Audit Committee.

The position of Internal Audit Director is a staff position without authority or direct control over those units being reviewed. In this connection, the University Internal Audit staff will not install procedures, originate or approve entries, or otherwise engage in any activity that they will subsequently be expected to review or appraise.

The Internal Audit Director is responsible to the Board of Regents and the Presidents for all of the internal auditing efforts throughout the University. This includes those efforts at Cameron University, Rogers State University, and any other location for which the Board of Regents is responsible. With the advice of the Presidents, the Internal Audit Director shall be appointed and terminated by the Board of Regents.

The Internal Audit Department shall institute a comprehensive program to ensure all activities of the organization are reviewed at appropriate intervals, as determined by the Internal Audit Director and the Board of Regents Finance and Audit Committee. An annual audit plan shall be prepared and submitted to the Board of Regents each year for review and approval.

The Internal Audit Department shall review and evaluate systems of control and the quality of ongoing operations, recommend action to correct any deficiencies, and follow-up on management's response to assure corrective action is taken on a timely basis. Annually, the Internal Audit Director shall report on the adequacy of the internal control structure for each University.

The Internal Audit Department shall appraise the quality of management performance in terms of compliance with policies, plans, procedures, laws, and regulations.

The Internal Audit Department shall identify operational opportunities for improvement in performance by appraising the functional effectiveness of the subject organization and comparing this effectiveness to industry standards and sound business practices.

University employees have a duty to report suspected violations of law or regulation to their supervisor, or that person's supervisor if the immediate supervisor is involved, who will work with the Director of Compliance or the Internal Audit Department to investigate and rectify any issues. The Internal Audit Department will coordinate internal investigations of suspected fraud with the appropriate University officials (e.g., Office of Legal Counsel, Campus Police, University officers, the Compliance Office, and/or departmental personnel).

The Internal Audit Department shall conduct special reviews and consulting services as directed by the Presidents and Board of Regents. Special reviews and consulting services requested by departmental management may be performed at the discretion of the Internal Audit Director. Care should be taken as to retain independence and avoid conflicts of interest when performing consulting services. Consulting services include, but are not limited to, assistance in the implementation of new computer systems and compliance with new laws and regulations. As part of an implementation team, members of the Internal Audit staff may serve as non-voting members on related steering committees.

The Internal Audit Director shall ensure that written reports are prepared for each internal audit and that such reports are furnished to appropriate management personnel and the senior officer responsible for the audit activity. All completed internal audits shall be submitted to the applicable President, filed with the Vice President for University Governance, and provided to all Regents. The Board of Regents shall have the opportunity to discuss any report with the Internal Audit Director.

The Internal Audit Department shall evaluate the adequacy of management's corrective action and perform necessary follow-up procedures to ensure that the corrective action has been implemented.

The Internal Audit Director shall report at each regular meeting of the Board of Regents on any condition that, in the judgment of the Director, could adversely affect the organization. Suspected theft, fraud, or misuse of funds will be reported to the Board of Regents Finance and Audit Committee.

The Internal Audit Department shall submit quarterly reports to the Board of Regents Finance and Audit Committee that summarize audit findings and trends.

The Internal Audit Department shall serve as facilitator and coordinator for all federal, state, and other external audit agencies. All external audit agencies shall contact the Internal Audit Director for all entrance and exit audit conferences.

The Internal Audit Director will have the responsibility for the direction, personnel, budget, and day-to-day operation of the Internal Audit Department.

3.5 - CONFIDENTIALITY OF LIBRARY RECORDS

The University adheres to Oklahoma and federal law with respect to confidentiality of library records. The records of library materials borrowed or used cannot be disclosed to anyone except:

- A. Persons acting within the scope of their duties in the administration of the library;
- B. Persons authorized to inspect such records, in writing, by the individual or group whose records are sought; or
- C. As otherwise required by law.

SECTION 4 - FINANCE & ADMINISTRATION

4.1 - SHORT-TERM INVESTMENT POLICY

The investment procedures described below are intended to govern the process of investing funds of the University under the statutory authority of the Board of Regents.

In order to maximize the return on investments, the appropriate Vice President or his or her respective designees shall invest all allowable funds of the University that can be invested for, and on behalf of, the Board of Regents. Such funds include all monies on deposit in the Agency Special Accounts (including, but not limited to, payroll trust funds, funds transferred to construction accounts, and travel trust funds), Treasury Funds, and Agency Relationship accounts (including, but not limited to, funds which are advanced by granting agencies as directed by conditions required by the terms of the contract or grant, or as required or permitted by terms of a bond resolution or donor requirement) that are not required to be invested by the State Treasurer.

The appropriate Vice President or his or her respective designee shall establish procedures to sufficiently analyze the cash flow requirements of the University and determine the amount of funds to be invested and the time period of the investment(s). Allowable instruments shall include those permitted for investment of state monies, as provided by Oklahoma law. Allowable monies may be invested through the Office of the State Treasurer or, provided that a higher return on the investment can be earned, with other financial institutions.

Interest income from investments made by the State Treasurer shall accrue to the University's Agency Special Fund or the fund from which the investment was made, in accordance with the provisions of the Oklahoma Statutes. The appropriate Vice President shall deposit all interest income into the original accounts generating the principal invested as required by the terms of the specific grants or contracts or as required by terms of bond resolutions, donor requirements, federal regulations or other Board of Regents' policy statements. Interest accrued by the investment of pooled funds shall be distributed in accordance with the needs determined by the President and reported to the Board of Regents annually. The University officials designated above shall ensure that required procedures and records are maintained and available for audit by internal, external, and State auditors.

4.2 - DEBT POLICY

The issuance of debts shall be in accordance with the Board of Regents' authority to issue said debt, as provided by state law. All debt shall be secured in accordance with Oklahoma law and the administrative rules of the Council of Bond Oversight. Debt is defined to include all current short-term and long-term obligations, guarantees, and instruments that have the effect of committing the University to future payments. Generally, debt obligations encompassed by this policy will take the form of bonds, notes, loans, or capital leases (including use of the State of Oklahoma's Master Lease-Purchase Program).

4.3 - PUBLIC CONSTRUCTION AND IMPROVEMENT PROJECTS

It is the intent of the Board of Regents to give every qualified bidder an equal opportunity to compete for construction contracts required at the University and that such competition be fair and impartial. At the same time, it is the policy of the Board of Regents to assure that the University's standards for quality in workmanship and materials are satisfied at the best possible price for the University, and that all transactions with respect to public construction and improvement projects are in compliance with Oklahoma law.

Before approval may be granted for any construction project, there must be sufficient existing funds to cover the entire costs of the project, or there must be sufficient pledges or other financial arrangements in existence to cover such costs. In approving such construction costs, the Board of Regents must identify the costs of the project, the estimated operating costs for the project, and the source of funds for all such costs. If any significant or material changes arise in such costs previously identified by the Board of Regents, the President shall notify the Board of Regents of such changes.

4.4 - OBLIGATION AND COLLECTION OF STUDENT FEES

Tuition and fees, including fees for special educational services, are due prior to the first day of class. The University's administration shall establish and publish a deadline when tuition and fees are payable, after which the payment may be subject to a service or late payment charge.

4.4.1 - ADD/DROP PERIOD

Classes may be dropped during the first ten regular instructional days of a regular semester and the first five regular instructional days of a summer session, or, in general, the first one-eighth of the academic term. The University may also allow students to add courses during this period or a designated shorter period. In either case, appropriate add/drop charges apply,

4.4.2 - LATE PAYMENT

Late payment will require payment in full and will be subject to a service/late payment charge in an amount determined and published by the University.

4.4.3 - FINANCIAL OBLIGATIONS INCURRED BY STUDENTS

The following procedures modify and clarify existing practices of the University governing financial obligations incurred by students, including both initial payments and delinquent debts. These procedures may be categorized as:

- Obligation and collection of tuition and fees
- Obligation and payment of other charges and fees
- Insufficient funds checks
- Delinquent housing payments

4.4.3.1 - OBLIGATION AND COLLECTION OF TUITION AND FEES

- 1) A financial obligation is incurred at the time a student elects to register. Registration may be canceled without financial obligation at any time before the scheduled first day of classes or during the drop period defined in 4.4.1.
- 2) Students may pay tuition and fees prior to the first day of classes. Monthly statements will be prepared and communicated before the payment deadline. Failure to receive a statement will not exempt a student from late penalties. It is the student's responsibility to determine his or her financial obligation and how it is to be met.

- 3) To avoid penalties and/or service charges, students are required to pay all tuition and fees in total prior to the date designated by the Business Office or Bursar as the last day to pay tuition and fees without penalty. At the time of enrollment, students will receive detailed information regarding tuition and fee payment.
- 4) Students may pay their tuition and fees in full at any time or may establish a payment plan which allows for up to four payments during each fall and spring semester. These payments are subject to the service charge as defined in paragraph 6.
- 5) Students who do not pay their tuition and fees during the period noted above will be considered to have delinquent accounts. The Business Office or Bursar will send "Overdue Notices/Statements" directly to the students when their tuition and fees are not paid on time.
- 6) Students will be charged a payment plan fee and/or a monthly service charge on any unpaid balance on their student accounts receivable that is one billing cycle past due. The payment plan fee and/or a monthly service charge rate is published by the Business Office/Bursar each semester.

Service/late payment charges may be waived if the University, through its action or inaction, has caused the payment for tuition and fees to be made beyond published deadlines, or if there are extraordinary circumstances (*e.g.*, death in family, serious illness, accident, *etc.*) which result in the student's tuition and fees not being paid by the published deadlines. The extraordinary circumstances must be an event which the student could not reasonably control or foresee. Extraordinary circumstances do not include reasons such as failure to receive a bill, not having the funds to pay, having a car payment or other payments due, or having other reasons which could be reasonably controlled or foreseen by the student. Students requesting a waiver of the service/late payment charges due to an extraordinary circumstance should submit a written request to the Business Office/Bursar fully describing the circumstances and include copies of supporting information. Requests for waivers should be submitted prior to the published deadline for tuition and fee payment whenever possible. The Business Office/Bursar shall review the request and supporting documentation and determine whether a waiver is to be made. In addition, students requesting service/late payment charges waiver should contact the Office of Financial Aid to determine if their extraordinary circumstance makes them eligible for aid from any of the University's financial aid programs. Students paying tuition and fees with financial aid must obtain a service/late payment charges waiver from the Office of Financial Aid, in addition

to the waiver from the Business Office/Bursar. Eligibility for this additional waiver will be determined by the Office of Financial Aid.

- 7) Students with outstanding tuition and fees at the beginning of any enrollment period who are not in conformance with paragraphs 3 or 4, above, will not be permitted to enroll. The Business Office/Bursar will notify the Registrar of the names of those students with outstanding tuition and fees. Except for HOLDS on students' accounts that have been referred to Collections, the Business Office/Bursar, or their designee may remove enrollment HOLDS as an exception to this policy when, in the judgment of the Business Office/Bursar or his/her designee, there are extraordinary circumstances which prevented a student from paying outstanding tuition and fees.
- 8) Until and including the last day of final examinations, students may pay their delinquent accounts by paying their account balance in full, including all tuition and fees for their original enrollment plus the service charge noted in paragraph 6, above. Students settling their delinquent accounts after the last day of final examinations will be charged an additional penalty over and above the charges for delinquent accounts noted above. The amount of the additional late payment charge is published by the Business Office /Bursar each semester.
- 9) The Business Office or Bursar will notify the Registrar of the names of those students whose tuition and fees are not paid by the last day of classes. The Registrar may withhold the reporting of final grades for such a student and will not release the student's transcript until his or her account balance is paid in full.
- 10) Withdrawal from classes after the applicable drop period does not relieve students of their financial obligation to the University, and these students will be charged 100% of the tuition and fees due the University.
- 11) Those students who wish to pay tuition and fees to be reinstated for a semester or summer term after the last day of finals for that session must be referred to the Vice President for Academic Affairs. The basic posture is that retroactive reinstatement would be allowed only in cases where it can be established that the University somehow contributed to the student's late payment by some error on its part. Students requesting to be retroactively enrolled or added for prior semesters will be charged the tuition and fee rates in effect for the current semester plus applicable late fees and service charges. Regardless of when a student pays tuition and fees, payment for the entire enrollment is required. Selective course payment is not permitted.

- 12) All payments for delinquent accounts must be paid in a manner acceptable to the University.

4.4.3.2 - OTHER CHARGES AND FEES

- 1) Fines, charges, or other miscellaneous fees become a financial obligation of the student at the time they are assessed.
- 2) These charges will be periodically added to the student's bursar account, which is maintained by the Business Office or Bursar.
- 3) Payment or satisfactory adjudication of all existing charges may be made at any time. If not paid prior to payment of tuition and fees, all other charges and fees are immediately due, and payment must be made when tuition and fees are paid.
- 4) Miscellaneous charges incurred during the semester will be billed to the student on a monthly basis.
- 5) Delinquent payment of charges will not constitute grounds for withdrawal. The charges will be carried forward to the next semester, at which time all fees and charges must be cleared prior to any new enrollment. Except for HOLDS on students' accounts that have been referred to Collection Division, the Business Office/Bursar, or their designee may remove enrollment HOLDS as an exception to this policy when, in the judgment of the Business Office/Bursar, or their designee, there are extraordinary circumstances which prevented a student from paying outstanding fees and charges. Students leaving the University will find their official records and transcripts tagged, and these records will not be released to other persons, institutions, or agencies until all debts are paid.

4.4.4 - DELINQUENT HOUSING PAYMENTS

A financial and legal obligation is incurred when a student or the student's parent signs a housing contract. The contract specifies the payment procedures.

The University shall establish, publish, and maintain policies and procedures to ensure the collection of University housing and food services obligations in accordance with housing and food service contracts. Said policies and procedures shall include the use of both internal and external collection offices and the University's Legal Counsel when appropriate.

The appropriate Vice President or his or her designee will be responsible for the supervision and enforcement of the procedures set out herein.

All questions about financial obligations should be directed to the Business Office/Bursar. If the question concerns the student's enrollment, verification of registration records will be performed and, if appropriate, financial obligations adjusted. If the question concerns miscellaneous charges, fines, or fees, satisfactory adjudication must be obtained from the charging department. If it is evident that a problem developed as a result of an error in the University's administrative processes, payment and reinstatement will be permitted without additional late charges or penalties.

4.4.5 - LEGAL ACTION TO COLLECT ACCOUNTS

The Board of Regents authorizes the Office of Legal Counsel to file suit in the name of the Board of Regents to collect accounts due and owing to the University, and to compromise or settle said suits upon such terms and conditions as the General Counsel believes to be in the best interest of the University.

The Collection Division, under the authority of the Office of Legal Counsel, is responsible for the recovery of delinquent student accounts receivable and student loans that require litigation.

4.5 - TUITION AND FEE REFUND POLICY

The refund policy for tuition and fees (except for Title IV recipients) collected from students at the University shall be included in the published class schedule, program brochures, and relevant web sites.

4.6 - SCHOLARSHIP AND FINANCIAL AID INFORMATION

To facilitate the responsibilities charged to the University financial aid office, all scholarship and financial aid information and resources awarded from any school, department, office, or other University-related entity are to be reported to the University financial aid office in a complete and timely fashion.

4.7 - AUXILIARY ENTERPRISES/ SERVICE UNITS

Auxiliary enterprises and service units primarily exist to furnish goods and services to students, faculty, or staff and charge a fee for the cost of the goods or services. To ensure that data regarding individual auxiliary enterprises are complete and adequate for management decisions, cost data should be prepared. Excess funds generated by auxiliary enterprises after paying all costs may be utilized for any lawful purpose to enhance the quality of University life for the students, faculty, or staff, including, but not limited to, student scholarships or other student awards, improvements or additions to the University, other capital needs, University development, and University promotion.

Each auxiliary enterprise and service unit is to develop, maintain, and implement prudent business practices including, but not limited to:

- A comprehensive procedure manual documenting applicable policies, procedures, standards, and other administrative and operating criteria;
- Formal procedures for outlining operating standards and pricing criteria. The underlying procedures must include the processes for evaluating costs and determining prices, fees, charges, etc.;
- The development of budgets and operating plans, which includes determining prices, fees, and charges;

- Transfers of excess funds from unrestricted auxiliary accounts to other auxiliary or general University accounts within the University to accomplish general management goals and meeting needs and priorities; and
- Periodic reporting of financial activities to the Vice President for Business Affairs, the President, and the Board of Regents.

Creation of auxiliary enterprises and service units shall be approved by the President or his or her designee. Termination of any auxiliary enterprises and service units may be approved by the President or his or her designee. In those circumstances where creation or closure will result in significant financial or other institutional impact, the Board of Regents must be notified of such creation or closure.

The primary responsibility for managing each unit shall be with the operating manager of each auxiliary enterprise and service unit, their respective Dean or Director, and their respective Executive Officer.

4.7.1 - UNIVERSITY POLICE OFFICERS

In 1963, the Oklahoma Legislature passed a law allowing universities and colleges to commission their campus police officers. Under this law, the campus police officers have all the power vested by law in peace officers, except the service of civil process, in protecting and guarding the grounds, buildings, persons, and equipment of the University, as well as the power to prevent improper conduct and trespassing upon the grounds of the institution. The law also allows the commissioned campus police officers to make arrests and take into custody persons guilty of illegal conduct or trespassing.

All campus police officers of the University shall be commissioned by the Board of Regents.

The statute provides that the Board of Regents shall prescribe the duties, designate the uniform, fix the compensation, and provide a written commission for the campus police officers.

The following duties shall be given to the commissioned campus police officers:

- To enforce all University rules and regulations referred to them for enforcement.

- To enforce all state and federal criminal laws on the property of the University.
- To protect and safeguard all students, employees, and visitors of the University.
- To protect and guard all of the grounds, buildings, and equipment of the University.
- To strive to prevent any improper conduct at the University.
- To prevent trespassing upon any property belonging to the University.
- When called upon, to aid any other law enforcement agency.
- To enforce traffic regulations.
- To make investigations and inquiries believed to be necessary to carry out all of their prescribed duties.
- To make arrests and take into custody any person when such action is necessary to carry out the duties of the office.

The President or his or her designee shall approve the uniforms for campus police officers and other enforcement personnel to wear while on duty, or shall prescribe dress appropriate to the individual's assignment. Police uniforms shall clearly identify the wearer as a campus law enforcement officer, shall be distinguished from uniforms worn by other law enforcement agencies operating in the same geographic area, and shall represent the University in a positive and professional manner.

4.8 - PARKING AND TRAFFIC REGULATIONS

The Board of Regents has determined that it is in the best interests of the University that rules and regulations be promulgated and adopted governing the keeping and use of automobiles by University employees and students, providing parking areas for the employees and students of the University, and providing a method of effecting and enforcing such rules and regulations. The applicable portion of these regulations shall apply to every employee of the University, and the portions applicable to students shall be deemed a part of the established regulations of the University that govern every student.

The President may revise, alter, or amend these regulations when conditions warrant. Such amendments, revisions, or alterations shall, unless otherwise ruled by the Board of Regents, be effective and of the same dignity as if enacted or ordered by said Board of Regents.

Specific provisions of the University's parking and traffic regulations are prescribed in the University's parking and traffic regulations handbook.

4.9 - AUTHORITY TO SIGN CONTRACTUAL DOCUMENTS

The authority for any individual to sign contracts on behalf of the University originates with the Board of Regents. The Board of Regents grants to the President the power to delegate such signature authority to appropriate University executives, officers, and directors. Unless the President specifically delegates this authority to an individual by formal written communication, that individual may not sign any document whatsoever that binds, or has the appearance of binding, the Board of Regents and/or any element thereof.

Such documents include, but are not limited to, purchase orders, grants, contracts, sub-contracts, licenses, leases, funding applications, extensions and renewals, letters and memoranda of understanding, sales orders, and work orders. The common feature of such documents is the obligation it imposes on the University, the breach of which may impose legal liability on the University. Such documents may involve products and services that the University provides to other parties for compensation and products and services that the University acquires from other parties in exchange for payment. They may also involve mere agreements by which duties and responsibilities of the parties involved are formally delineated, even though monetary or other valuable consideration may not be involved.

The delegated authority to sign contractual documents does not carry with it any exemption from other policies and procedures that otherwise govern. The President shall designate the positions and names of the individuals who are authorized to sign contractual documents. Each delegation shall include the nature of the authority delegated, the areas of activity to which it is limited, and the upper limit of the authority in terms of dollars.

- The original letter of authorization shall be forwarded to the individual to whom the authority is delegated; and
- One copy shall be retained in each of the offices of the Executive Secretary of the Board of Regents, the chief business officer, and Legal Counsel,

All such authorizations, regardless of commencement date, shall expire upon termination from the position or revocation of authorization.

Except as authorized in writing by the University's Office of Legal Counsel, all contractual documents shall be processed through the University's Office of Legal Counsel to ensure that certain legal rights are not waived, ignored, or otherwise abridged. The President may revoke the signature authority of any individual at any time and shall timely notify the appropriate University officers of such revocation.

4.10 - BUYING AND SELLING GOODS AND SERVICES

4.10.1 - POLICY

The University's legal capacity to acquire goods and services from others in exchange for payment, and to provide goods and services to others in exchange for revenue, originates with the Board of Regents. University funds are budgeted on an annual basis, and these budgets are approved by the Board of Regents. The Board of Regents recognizes that substantial authority must be delegated.

4.10.2 - BUYING GOODS AND SERVICES

The University purchases a substantial volume of goods and services. Except in certain situations, University employees shall acquire these goods and services through an open and competitive process aimed at fairness to interested suppliers and the best value for the University. Competition allows for the use of new technology in communications, telephone quotes, and prior competitive history. Existing contracts established by cooperative associations, educational purchasing consortium contracts, existing contracts awarded by a state college or university, and contracts with state and federal entities, which meet the criteria for the formal competitive process, may be considered competitively bid. University departments may request and receive assistance from the Purchasing Department for purchases, regardless of dollar amount.

4.10.3 - PURCHASES THAT REQUIRE FORMAL COMPETITION OR BIDS

Generally, the dollar amount of a purchase determines whether it must be competitively bid. The dollar limits are on a per-transaction basis and are not cumulative. Unless otherwise exempt, purchases exceeding \$50,000 must be formally bid. Splitting orders to avoid this dollar limit is prohibited.

Unless otherwise exempted, purchases above \$5,000 and not exceeding \$50,000 must be competitively bid. Splitting orders to avoid this dollar limitation is prohibited. The competition may be conducted by the ordering department and the results submitted to the Purchasing Department, which may rely on such results, or the Purchasing Department may conduct further competition.

4.10.4 - PURCHASES THAT DO NOT REQUIRE FORMAL COMPETITION OR BIDS

Because of their unique or peculiar nature, the following types of purchases do not require formal competition or bids. The dollar limits are on a per-transaction basis and are not cumulative.

- Purchases up to \$50,000 by University departments from University auxiliary enterprises, service units, or other University units engaged in the resale of products or services in accordance with their mission.
- Purchases of products, services, or utilities for resale, including minor operating supplies consumed in the resale process, by University auxiliary enterprises, service units, or other University units engaged in the resale of products or services in accordance with their mission.
- Purchases up to \$50,000 of legal, medical, accounting, consulting, architectural, engineering, interior design, appraisal, landscape design, real estate agency, or similar professional services, only when such services are ordered by the President or his or her designee pursuant to University-wide matters of importance, and only when such services represent discrete short-term engagements with specific terminal objectives. Purchases of legal services shall be coordinated through the Office of Legal Counsel.

- Purchases up to \$50,000 under University-wide contracts with suppliers that have been arrived at competitively and for which exclusive usage by University departments has been mandated by the Board of Regents or the President.
- Purchases of professional dues, memberships, and similar products or services that are otherwise authorized, but which cannot reasonably be competitively bid.
- Purchases of property designated as surplus by federal agencies, state agencies, county governments, municipalities, school districts, other institutions of higher education, and similar bodies; provided, however, that evidence of reasonableness of price must be obtained and maintained.
- Payments to federal agencies, state agencies, county governments, municipalities, school districts, other institutions of higher education, and similar bodies for products and services in situations that cannot reasonably be subjected to the competitive process.

Because they are covered by separate University policies and procedures, the following types of purchases do not typically require processing by the Purchasing Department. The dollar limitations are on a per-transaction basis and are not cumulative. However, the requirement for competition may still apply, and the requirement for processing by another University department may apply.

- Individual travel costs (air fare, hotel, ground transportation, conference registrations, etc.) incurred by employees attending conferences, seminars, and the like pursuant to official University business (governed by policies on travel reimbursement).
- Architectural, engineering, construction, and construction management services related to major real property construction and renovation of University capital assets.
- Such other purchases as may be identified by the chief fiscal officer and approved by the President.

4.10.5 - PURCHASES THAT DO NOT REQUIRE COMPETITION OR BIDS

Unless they are otherwise governed, restricted, or addressed by University policy, purchases of \$5,000 or less do not require competition or bidding by the Purchasing Department, although competition is recommended. Splitting orders to take advantage of this dollar limit is prohibited. The ordering department shall ensure that such purchases meet all other requirements including, but not limited to, legality, availability of funding, and whether the purchase is allowable, as in the case of a sponsored grant or contract.

4.10.6 - PURCHASES THAT REQUIRE THE ASSISTANCE AND REVIEW OF THE PURCHASING DEPARTMENT

To ensure effective and efficient mission accomplishment, the Purchasing Department, in meeting the requirement for a professional buyer to place an order, may establish for University departments orders that specify time frames, dollar limits, and product and service categories. Such orders may be established in response only to mission-critical needs that cannot be met timely or that cannot possibly otherwise benefit from the direct involvement of the Purchasing Department. Such orders permit University departments to place orders with vendors, up to the specified dollar limits. Departmental requests for such orders shall be communicated in writing and approved by an administrative officer of the department/school/ administrative office before being forwarded to the Purchasing Department. The Purchasing Department shall maintain a list of such orders and provide such list to the appropriate Vice Presidents for business affairs no less frequently than quarterly. The Purchasing Department shall continually review such orders to determine their necessity and shall audit their usage for compliance with the principles of competition, fairness, and best value. The Purchasing Department may recommend to the appropriate Vice Presidents termination of any such order when the need for it no longer exists, if there is evidence of noncompliance, or for similar reasons.

4.10.7 - PURCHASES FROM AUXILIARY ENTERPRISES AND SERVICE UNITS

University auxiliary enterprises and service units offer various products and services for sale, primarily to University departments, faculty, staff, and students. Examples are food services, printing services, and maintenance and repair of working spaces. Any excess of revenues over expenses generated by these entities is used to ensure future operations, renew and replace buildings and equipment, and contribute back to the University's education and research missions through Presidential priorities. When a University department purchases such products or services, the University department must allow the related auxiliary enterprise or service unit first opportunity at the transaction. Such purchases may be awarded to outside vendors only upon sufficient justification or upon refusal by the auxiliary enterprise or service unit.

4.10.8 - SUBMITTING PURCHASES TO THE BOARD OF REGENTS FOR APPROVAL AND REPORTING OTHER PURCHASES

Separate and apart from the policies and procedures governing competition and processing by the Purchasing Department, the following conditions govern those purchases that must be submitted to the Board of Regents for prior approval, those purchases that must be reported to the Board of Regents at least quarterly, and those purchases that are exempt from either the approval or reporting requirements. The dollar limitations are on a per-transaction basis and are not cumulative.

- Purchases over \$250,000 must be submitted to the Board of Regents for prior approval; sole source purchases in this category must be identified as such; changes that would increase the financial obligation of the University by more than 15% must be submitted to the Board for prior approval.
- Purchases above \$50,000 but not exceeding \$250,000 must be reported to the Board of Regents at least quarterly; sole source purchases in this category must be reported separately and identified as such.
- Purchases of air charter services must be reported to the Board of Regents at the earliest opportunity at the following meeting of the Board.
- Purchases by University departments from University auxiliary enterprises or service units are exempt from the approval and reporting requirements.

- Purchases of products, services, and utilities for resale, including minor operating supplies consumed in the resale process, by University auxiliary enterprises, service units, or other University units engaged in the resale of products or services in accordance with their mission, are exempt from the approval and reporting requirements.
- Acquisition contracts, such as for office supplies University-wide or for materials for physical plant maintenance, arrived at through the competitive process, but which are indefinite as to quantity and delivery, are exempt from the approval and reporting requirements.
- Purchases of property designated as surplus by federal agencies, state agencies, county governments, municipalities, school districts, other institutions of higher education, and similar bodies are exempt from the approval and reporting requirements.
- Payments to federal agencies, state agencies, county governments, municipalities, school districts, other institutions of higher education, and similar bodies for products and services in situations that cannot reasonably be subjected to the competitive process are exempt from the approval and reporting requirements.

4.10.9 - SOLE SOURCE PURCHASES

The following policy regarding sole source purchases explains why a particular action may not be subject to competition. However, it does not affect the criteria governing when a purchase must be processed through the Purchasing Department. Other than the requirement for competition, sole source purchases are subject to the same policies and procedures set forth above.

A sole source purchase is any which, by the specifications needed by the requestor or by the specific restrictions imposed by a funds provider, restricts the action to one supplier or to one brand name. The sole source determination and justification shall not be based upon personal or professional preference of the requestor. Justification shall be objective rather than subjective.

A major component of a sole source purchase is the determination of fairness of price. Even though a material, service or supplier may truly fit the conditions of sole source, the requestor shall demonstrate that the related price is fair and reasonable.

A sole source purchase is preferable to a formal competitive process in which specifications are written so narrowly that the outcome has no chance but to be the same as if a sole source was executed.

Any University employee involved in a potential sole source purchase shall weigh two risks. The first risk is conducting a sole source purchase when in fact a competitive situation exists. The second risk is conducting a competitive purchase when in fact a sole source situation exists. The first is the greater risk, but both shall be addressed.

Where a sole source purchase satisfies the criteria that it must be approved by or reported to the Board of Regents, the requestor shall defend the action, if necessary, before the Board of Regents. For every sole source purchase that has been executed, the requestor shall, if necessary, justify the action in cases of audit, protest, public inquiry, litigation, or similar circumstance.

Any requestor or buyer who knowingly executes a sole source purchase when, in fact, it is not one, may be subject to administrative action as otherwise provided for by Board of Regents policy.

The Purchasing Department is responsible for developing and maintaining the specific guidelines and forms necessary for requestors to document and justify proposed sole source purchases. The appropriate Vice Presidents have the authority to challenge and deny requested actions that lack sufficient justification. The completed and executed forms shall be an integral part of the procurement file, which shall be available for inspection and audit by appropriate authorities.

4.10.10 - INVENTORY CONTROL AND CAPITALIZATION OF CERTAIN PURCHASES, GIFTS, OR OTHER ACQUISITIONS

In addition to the policies and procedures governing the acquisition of products and services, the following requirements apply to certain purchases, gifts, or other acquisitions.

Capital assets coming under the control of a University department, whether through purchase, gift, or otherwise, shall be recorded in the University's inventory system. Additionally, capital assets that are movable shall be tagged. If a movable capital asset is transferred from one location to another, the transferring department shall report such movement to the appropriate inventory control office, and the new location shall be recorded in the system.

For purposes of this policy, a capital asset is one that meets the federal useful life and cost criteria specified in the Office of Management and Budget Circular A-21, as may be amended or superseded.

The President or President's designee may impose inventory controls above and beyond those required in OMB Circular A-21, as may be amended or superseded.

4.10.11 - ACQUISITION OF SURPLUS PROPERTY

The following University officers are authorized to sign instruments and enter into negotiations as necessary in the acquisition of surplus property from federal agencies, state agencies, county governments, municipalities, school districts, other institutions of higher education, and similar bodies:

- President
- Chief fiscal officer

4.10.12 - SIGNIFICANT EMERGENCIES

The President shall have authority to direct University Administration to enter into such transactions as may be necessary for the University to timely respond to significant emergencies. For purposes of this policy, a significant emergency is an event of substantially harmful or catastrophic impact, whether resulting from natural or human causes. Examples of such events are addressed in the National Incident Management System (NIMS) literature and guidance. However, the President shall have the authority contemporaneously to declare any event as “significant” based upon his/her judgment and assessment of the developing situation. In such instances, the President shall keep the Chair of the Board of Regents timely informed of the attendant facts and circumstances. All material transactions shall be reported back to the Board at the earliest opportunity.

4.10.13 - SELLING GOODS AND SERVICES

In accordance with its mission, the University may contract to provide services for compensation. Before signing, to protect and preserve the University’s interests and ensure compliance with all applicable laws and regulations, related contractual documents must be processed through the University’s Office of Legal Counsel and any other appropriate office. Only an individual to whom the President has delegated the appropriate authority (to sign contractual documents in the nature of the document in question) may sign the contractual document. Each such contractual document involving annual revenues of \$125,000 or more shall be reported to the Board of Regents. Additionally, if the agreement would establish or make policy for the University, or would otherwise involve a substantial service to be performed by the University, it must be submitted to the Board of Regents for approval.

Separately, the selling or disposing of excess or obsolete University property shall be carried out in all respects in compliance with governing laws and regulations. Examples of such property are, without limitation, desks, chairs, equipment, furniture, and vehicles. Only appropriate departmental authority (such as vice president, dean, or department head) may declare property as excess or obsolete. The appropriate Vice Presidents of Administration & Finance of each campus shall establish the detailed procedures necessary to ensure that University property is sold or disposed of properly. The detailed procedures shall specifically address and require the complete and irreversible destruction of data on any device (*e.g.*, computers, copiers, printers, PDAs, pads, *etc.*) that may contain such data.

4.11 - USE OF STUDENT ACTIVITIES FEES

Student activity fees and other funds generated by student activities may be utilized for any lawful purpose to enhance the quality of University life for the students, including, but not limited to, student scholarships or other student awards, operating costs for student activities, travel, entertainment, athletics, guest speakers, and student organizations.

The following guidelines, in addition to those adopted by the University with Board of Regents Approval, shall pertain to those funds budgeted by the SGA:

- 1) No student activity fee funds money shall be used to pay the legal fees of any student or students in a criminal or civil court action. No Student Activity Fee funds money may be used in any court action against the University.

- 2) The highest priority in disbursing the program portion of the student activity fee funds should be those student activities (educational, social, and recreational) which have substantial campus-wide effects. The funding of student organizations shall be discontinued except in those instances in which projects sponsored by a certain organization are deemed to have great significance for a substantial segment of the student community.

4.11.1 - STUDENT GROUP TRAVEL

The University utilizes revenues generated by student activities in funding student-related trips. The University is authorized to provide purchasing cards or advance these funds to a faculty sponsor or sponsoring coach. The funds must be used only for the students' travel, transportation, and/or other trip-related expenses in the exact amount of the actual and reasonable expenditures that were incurred. Separately itemized receipts and/or supporting documentation must be submitted by the sponsor for each of the trip's expenditures. The unused portion of the funds advanced for a trip must be returned to the University upon the trip's completion. A report of expenses incurred during the trip must be prepared by the sponsor within a reasonable time after the completion of the trip. The University's business office should develop the necessary forms and accountability and control procedures for processing the requests for advanced trip funds, as well as the reports of trip expenses that are prepared upon completion of the trips.

4.12 - CRITERIA FOR TRANSFERRING EQUIPMENT AND/OR FUNDS

The criteria for determining transferability of equipment and/or funds to another institution at the request of faculty members terminating their faculty appointment at the University and transferring to another institution are as follows:

- 1) At no time will title to assets vested in the University be transferred directly to the departing faculty member.
- 2) Transfer of equipment may be considered when:
 - it is or was the specific intent of the donor or granting agency that the equipment is or was to support the work of the departing faculty member rather than a program of the University, and that the equipment ownership should be transferred to the institution to which the departing faculty member is transferring.
 - The equipment was purchased from funds supporting an ongoing program which the donor or granting agency will continue at the new employing institution.
 - The equipment was purchased from funds supporting an ongoing program which the donor or granting agency will continue at the new employing institution.
- 3) Transfers of equipment or funds must be pre-authorized by the appropriate contracting official and approved by the appropriate dean or department head/chair and the appropriate Vice President.
- 4) Funds shall not be transferred to another institution when residual funds remain following completion of the program or project for which the funds were provided.

4.13 - ACQUISITION AND DISPOSITION OF REAL PROPERTY

The Board of Regents will approve the acquisition or disposition of all real property as required to satisfy the mission of the University. The University administration will use the following guidelines in determining acquisition or disposition actions to be proposed to the Board of Regents.

4.13.1 - ACQUISITION OF PROPERTY

- 1) Acquire property when it is needed for the future expansion of the University.
- 2) Acquire property needed to protect or secure the perimeter of the campus or other University facilities or land holdings.
- 3) Select property for acquisition that is located in primary expansion zones where the area is contiguous to the campus or in outlying areas when needed for specific purposes.
- 4) Purchase property in primary areas as it becomes available from willing sellers or in special cases when it is needed immediately to develop a new facility.
- 5) Have independent appraisals made before purchasing land and buildings.
- 6) Accept gifts of real estate away from campus when they are needed for University programs or if the property will be of financial benefit.

If acquired property is not required for immediate development, it will be maintained at a standard level of repair and appearance.

4.13.2 - DISPOSITION OF PROPERTY

The Board of Regents may dispose of property:

- 1) that is not required for University expansion or to protect other University lands and facilities;
- 2) that is not economical to operate and maintain and does not provide other benefits;
- 3) that is not in a primary expansion zone contiguous to the campus or other University land holdings and is not required for future development;

- 4) that is received from donors but not needed for University purposes; disposition will comply with all lawful terms and conditions of the gift; or
- 5) that can be converted to more liquid assets for other immediate needs or long-term requirements.

4.14 - SELECTION OF DESIGN CONSULTANTS AND CONSTRUCTION MANAGERS

An objective of the University is to develop the best possible campus environment, within the limits of available resources and to enhance teaching; research and creative/scholarly activity; and professional and University service and public outreach/community engagement. To this end, the Board of Regents hereby establishes this procedure to be followed in the selection of professional consultants, which shall supersede all previous policies in this area. The policy covers three areas of concern:

- 1) Soliciting names and screening qualifications of interested design consultant or construction management firms or individuals.
- 2) Interviewing, screening, and selecting firms or individuals identified during the solicitation process.
- 3) Selecting design consultants and construction managers in an emergency.

4.14.1 - SELECTION PROCESS

When the President decides that the University requires the services of a licensed architectural, registered engineering, registered land surveying firm or construction manager, the provisions of this policy will be followed. The basic sequence of actions will be as follows:

- 1) The President or his or her designee will forward a letter to the State Department of Central Services requesting the names of all firms who have established a consultant file with the State of Oklahoma. The letter will contain information which shall define the scope of the proposed project and identify or describe the various project components, phases, and timetables and sources of funds.

- 2) Upon receipt of the list of appropriate firms, the University will send letters of notification to all firms on the list. The letters will solicit a timely expression of interest in performing consultant services for the project and shall contain the following information: (a) description and scope of the project; (b) estimated construction cost; (c) time schedule for the project; (d) funds available, including, federal, state, or other sources; (e) specification of the last date for submitting a notice of interest in performing the proposed services to the University; and (f) other pertinent data.
- 3) The University will advise the State Department of Central Services of the firms which responded within 20 days (or longer if allowed by the University) of the postmark date of the letter of notification, and request the State Department of Central Services to forward copies of the information that each consultant has on file there.
- 4) An interview committee will be established by the President to interview the responding firms. This committee will normally consist of the following persons:
 - a) Representative of the Vice President for Academic Affairs (if the project is for an academic use);
 - b) Representative of Physical Plant;
 - c) Other appointees as directed by the President.

Normally, the chair of the committee will be designated by the President, who may also augment the committee to permit comprehensive representation.

- 5) The interview committee shall review the consultant files forwarded by the State Department of Central Services and select at least three, but no more than five, firms for more detailed consideration and an interview. Where possible, the maximum should be selected. In making these selections, the committee shall consider, among other things: (a) factors on the Consultant Interview Evaluation form; (b) specialized experience in type of work proposed; (c) capacity of the consultant to perform the services on time; (d) past performance by the consultant; and (e) the consultant's principal place of business.

- 6) The interview committee will conduct interviews of the firms selected for more detailed consideration and develop a numerical rating of the qualifications of each firm. If out-of-state firms are under consideration, all in-state firms will be given a five percent preference by multiplying their final numerical rating by a factor of 1.05. For the purpose of determining whether a firm or a team consisting of both in-state and out-of-state firms can be qualified as an in-state firm, the following criteria are to be used: (a) the firm with which the contract will be executed must have a principal place of business and a substantial continuing presence in Oklahoma, and (b) a majority of the work effort on the project must be accomplished by the in-state firm(s). A report of the results will be forwarded to the President for action by the Board of Regents to rank and select consultants.

- 7) Normally, the President or his or her designee will negotiate a contract with the first choice consultant. However, if the University cannot reach agreement with the first choice consultant, negotiations shall be terminated, and the University shall negotiate in a similar pattern with the remaining consultants in descending rank order until an agreement is reached. The President or his or her authorized designee may execute the consultant contract.

4.14.2 - EMERGENCIES

Where a sudden unexpected happening or unforeseen occurrence arises whereby it is impossible for the University to observe this policy because of the time factor and public health or safety is endangered, or where a condition or situation arises which, if allowed to continue, would lead to economic loss to the State or further damage to State property, the President may declare an emergency, giving reasons therefore, and upon notifying the Board of Regents, enter into a consultant contract up to \$50,000.00.

4.15 - PROJECT RELATED EXPENSES

The President or his or her designee is authorized to approve required utility easements for construction projects in those instances when the Board of Regents has authorized the entire project. It is the understanding of the Board of Regents that in order to facilitate the approval of these routine project-related utility easements, the following procedure will be followed:

- Each easement will be reviewed by the Office of Legal Counsel and other appropriate members of the University staff.
- The President or his or her authorized designee may approve the easement on behalf of the Board of Regents.

Recorded copies of the easements shall be kept on file in the office of the Vice President for Governance and in the Office of Legal Counsel.

4.16 - FINANCIAL EMERGENCY POLICY

While it is assumed that the administration of the University has a continuing responsibility for maintaining a sound budget, and that through responsible financial management and appropriate retrenchment policies all approaches for averting a financial crisis will be utilized, it is possible that a financial emergency may become inevitable. The following statement outlines the administrative policies and the procedures for such an eventuality.

The Board of Regents has ultimate responsibility for the financial integrity of the University. Decisions resulting from these policies and procedures are subject to the approval of the Board of Regents, which may take into consideration such factors as it deems appropriate.

4.16.1 - DEFINITION

A state of financial emergency will be declared whenever the Educational and General Part I budget allocation to the University necessitates reductions in faculty or staff or reductions in operational budgets that would seriously erode program quality.

The President will decide and declare when the University is in a state of financial emergency. Based upon input received, the President will submit a plan of action to the Board of Regents for approval.

4.17 - INITIATIVES TO IMPROVE EFFECTIVE USE OF FUNDS

The University places a high priority on reducing administrative costs and directing money into teaching, libraries, and other academic programs. The University has realized significant success in these areas but is committed to continual progress. In some cases, the University can provide services at lower costs by adopting a single program for the University.

When a proposal for a contract, policy, or action that might apply to multiple campuses is brought to the Board of Regents, the proposal will include a report of the applicability to all campuses. When the report indicates benefits for including two or more campuses, the Board of Regents' action item will include a plan for extending the action to multiple campuses in a thoughtful and timely manner.

4.18 - OTHER UNIVERSITY POLICIES AND PROCEDURES

In addition to the policies approved by the Board of Regents as expressed in the *Regents' Policy Manual*, the President or his or her designee and the appropriate Vice Presidents or their designees are authorized to develop, disseminate, and implement other prudent financial and management procedures and policies that, in their judgment, facilitate the effective administration of the University.

SECTION 5 - UNIVERSITY COMMUNITY

5.1 - FRATERNITIES AND SORORITIES

5.1.1 - MEMBERSHIP RECRUITMENT

Panhellenic Association - Formal membership recruitment for the organizations of the Panhellenic Association shall take place during the fall and/or spring semesters at the discretion of the Panhellenic Association. The Panhellenic Association shall follow the recruitment guidelines and “Unanimous Agreements” of the National Panhellenic Conference (NPC). Chapter size shall be based on the “Quota-Total” system recommended by NPC and used in combination with the “Preferential Bidding System” and “Continuous Open Bidding.”

Interfraternity Council - Formal membership recruitment for the organizations of the Interfraternity Council shall take place during the fall and/or spring semesters at the discretion of the Interfraternity Council. Open membership recruitment may take place throughout the fall and spring semesters.

5.2 - PRESERVATION OF PEACEFUL ENVIRONMENT

The President and other appropriate University officials are authorized to take whatever actions are reasonably necessary to preserve a peaceful and orderly environment on the campus and to protect the safety and welfare of members of the University community.

Campus police are hereby authorized to remove from campus persons who interfere with, or enter the campus to interfere with, the conduct of campus activities; provided, this authority does not apply to University employees or students, all of whom are covered under other University policies. Persons so removed may appeal in accordance with the appropriate Notice to Vacate, as provided below.

Circumstance of contact:

Recipient

Name:

Mailing Address: _____

City: _____ State: _____ Zip: _____

Telephone: () _____ Parent,

if Juvenile: _____ Race: _____ Sex: _____

DOB: _____ Age: _____

Height: _____ Weight: _____ Hair: _____ Eyes: _____

Other: _____

5.3 - STUDENT GOVERNMENT ASSOCIATION CONSTITUTION

The Constitution of the University Student Government Association is available on the University's website. Hard copies are also available in the appropriate student services offices on each campus.

5.4 - STUDENT CODE

The current version of the Student Code shall be maintained on the University's website.

SECTION 6 - ATHLETICS

6.1 - ATHLETICS COMMITTEE

The Athletics Committee, or as so named by the University, serves in an advisory capacity to the Director of Athletics and the President. On request, the Committee reviews, interprets, and recommends policies and regulations regarding the conduct of the intercollegiate athletics program. Membership:

Three Faculty – One elected by and from the Faculty Senate; two elected at large by the faculty.

Two Students – Two recommended by the Student Government Association President and approved by the Vice President for Student Affairs.

Two Administrators – Faculty Athletics Representative; Director of Athletics (ex-officio, non-voting)

6.1.1 - BUSINESS MATTERS

Annual budgets of the Athletics Department shall be prepared with active participation of the appropriate Vice President. This process shall include an annual review of major capital improvement needs, as recommended by the Athletics Department, and recommendations shall be made to the President for assigning priorities to such needs and, as appropriate, for assigning resources. There shall be made available to the Athletics Committee full information on annual income and expenses of the Athletics Department.

The Vice President for Development shall review the fund-raising policies of the Athletics Department for adequacy and to ensure that all such activities are consistent with University policy. Any fund-raising activities must be approved by the President.

The appropriate Vice President will annually review Athletics Department financial operations. Findings, suggestions, and recommendations will be made to the President and the Director of Athletics, as appropriate.

6.1.2 - PERSONNEL MATTERS

The Director of Athletics shall advise on personnel policy relating to key administrators and head coaches, including policies for salaries, bonuses, termination, hiring, and definition of duties. One or more members of the Athletics Committee will be included on any search or screening committee for a head coach.

6.1.3 - NCAA AND CONFERENCE

The Faculty Athletics Representative and Director of Athletics shall review proposed and passed NCAA and Conference legislation and decisions that may affect athletic matters at the University. The President shall be informed by the Faculty Athletics Representative of any pending legislation or decision that may affect athletics at the University. For items of concern, the Faculty Athletics Representative and Director of Athletics will advise the President of their position.

6.1.4 - ATHLETICS COMPETITION

The Faculty Athletics Representative shall annually review intercollegiate sports competition and make suggestions or recommendations as appropriate on facilities, scheduling, recruiting, rule changes, or other matters.

6.1.5 - STUDENT SERVICES

The Faculty Athletics Representative and Director of Athletics will annually review how the athletics program fits as part of the overall student activities program of the University and make suggestions and recommendations on how to better serve the needs and interests of the student body, faculty, staff, alumni, and the community.

6.2 - ATHLETICS DEPARTMENT

All financial activities of the Athletics Department, including ticket sales, accounting, budget preparations, purchasing, and payroll shall be under the management of the Director of Athletics. In addition, all financial areas of the business office and ticket office shall follow University policies and procedures.

The Director of Athletics shall be responsible to the President for all phases of intercollegiate athletics, including business management.

6.2.1 - CONCESSIONS

The Director of Athletics shall be responsible for operation of concessions and merchandise sales at all athletics events and surrounding areas. The Athletics Department, in consultation with the appropriate Vice President, may contract for such services.

6.2.2 - USE OF ATHLETICS FACILITIES

The Director of Athletics may establish fees for use of University Athletics Department facilities. The President and Director of Athletics are authorized to establish modest annual fees for use of athletic facilities by University employees.

6.2.3 - TERMS OF APPOINTMENTS OF ASSISTANT COACHES

Any terms of appointment of an assistant coach shall automatically terminate upon the termination of the appointment of the head coach as the head coach of the particular sport.

6.2.4 - SOLICITATIONS IN ATHLETICS VENUES

No solicitations of cash donations are permitted in athletics event venues.

6.2.5 - ATHLETICS SCHEDULES

The Director of Athletics shall have authority to approve schedules for all athletics events.

6.2.6 - POST-SEASON ATHLETICS CONTESTS

The primary reason for participation in post-season competition shall be as a reward for the student-athlete and Athletics Department personnel at the conclusion of a successful regular season. Post-season participation is a means to further recognize and promote, through national exposure, the University and its athletics program. Post-season participation should involve as many alumni, fans, friends, and supporters as possible. The planning, execution, and follow-up of post-season activities shall be accomplished in a manner consistent with state law, Board of Regents' policy, Conference and NCAA rules, and prudent management.

6.3 - ATHLETICS POLICIES

6.3.1 - PURPOSE OF INTERCOLLEGIATE ATHLETICS

One of the University's goals is to provide the opportunity for all students to develop all desirable abilities and skills to the fullest possible degree. Thus, it shall be the purpose of intercollegiate athletics to provide the opportunity for each student to attain proficiency in athletics endeavors. The program shall be conducted in accordance with the realization that athletics is not an end in itself, but merely one of the contributing factors in the total education of the student.

The responsibility for the program of intercollegiate athletics shall rest upon the President with the same faculty participation in the formulation of athletics policies that exists in the formulation of policies in other fields.

The amateur status of intercollegiate sports shall be carefully maintained. To this end, every student-athlete should be required, as a condition of eligibility, to proceed normally in academic work toward the attainment of a University degree. A policy of providing financial aid to students who participate in athletics, when carefully regulated and limited in extent, is hereby approved.

The University shall promote and insist upon sportsmanlike conduct on the part of those who participate in athletics, as well as those of the student body. Any Athletics Department staff member who knowingly commits a major violation of an NCAA or Conference rule, or who conceals or attempts to conceal the violation of an NCAA or Conference rule will be terminated immediately and all contract rights will be terminated. Athletics Department staff members who commit lesser violations of any NCAA or Conference rules shall be subject to disciplinary or corrective action set forth in NCAA and Conference enforcement procedures. This provision shall be included in all Athletics Department letters of employment.

Any student-athlete who is knowingly involved in a major violation of an NCAA or Conference rule will be subject to disciplinary, eligibility, or corrective action, as set forth in the provisions of the NCAA and Conference enforcement procedures.

The President may meet yearly with the Athletics Department staff to emphasize the importance of compliance with NCAA and Conference rules.

The University shall notify its alumni and other representatives of its athletics interests of the absolute necessity of complying with NCAA and Conference rules. University guidelines are distributed to active representatives of its athletics interests to aid in compliance with NCAA and Conference rules.

6.3.2- DIRECTOR OF ATHLETICS

Since the Director of Athletics reports directly to the President, he shall meet with the President's staff on a regular basis, so the Director can become familiar with the overall goals and priorities of the University and work with the rest of the executive officers in meeting those goals and priorities.

The Director of Athletics shall be responsible for the conduct of the athletics programs at the University.

The Director of Athletics shall be directly responsible for the implementation of all Athletics Department regulations and policies.

All head coaches shall report directly to the Director of Athletics. All communications from head coaches within the Athletics Department to the President or to the Board of Regents concerning the operations of the Athletics Department for a particular sport or department shall be made through the Director of Athletics.

6.3.3 - GENERAL STUDENT ATHLETE REGULATIONS

A *Student-Athlete Handbook* shall set forth written procedures for the discipline of student-athletes for conduct both on and off the athletics field. The procedures shall carry the clear intent and expectation of a structured and consistent disciplinary plan for student-athletes, which will be demanded and enforced by the coaches or the Director of Athletics where appropriate.

Any student-athlete charged with a crime (except traffic offenses or offenses not involving moral turpitude) will not participate on an athletics team while the charges are pending. If there is any question as to whether a student should continue to participate in a sport that decision shall be made by the President, upon the recommendation of the Director of Athletics. The student-athlete's scholarship will be continued unless it is determined that the student-athlete should be suspended from the University to protect the immediate health and safety of the members of the University community, or the athlete's scholarship is otherwise revoked in

accordance with University policy or NCAA and Conference rules and regulations. If an immediate suspension from the University is ordered, the student-athlete is entitled to a hearing within 15 days to determine if the suspension was appropriate.

6.3.4 - ACADEMIC STANDARDS

The academic plan for the Athletics Department is established to ensure the academic standards of the University are maintained by all Athletics Department personnel and all student-athletes to ensure the graduation rate for student-athletes shall continue to be equal to or better than the graduation rate for all other students at the University.

An individual academic plan for each student-athlete entering the University shall be developed. Each student-athlete's progress in meeting that plan shall be monitored. Each academic plan should be devised so the student-athlete who enters as a freshman can anticipate graduation within a period of five years. Plans for transfer student-athletes should be devised so a student-athlete should graduate within an appropriate period of time after enrollment.

The grade performance of all student-athletes shall be monitored. A reporting system for class attendance shall be maintained. A report of the academic progress of all student-athletes, including graduation rates, shall be prepared each semester and delivered to the Director of Athletics and the Faculty Athletics Representative.

In advance of the signing of any letter of intent or the awarding of any scholarship in any sport, the Faculty Athletics Representative shall make a determination that the prospective student-athlete can meet the academic standards of the University. High school officials and counselors shall be consulted regarding the prospective student-athlete's potential for personal and academic success.

6.3.5 - COMPLIANCE

Written policies shall assign specific responsibilities in areas of rules compliance. The President, through the Director of Athletics, has direct responsibility for rules compliance and the overall responsibility for the athletics program.

An instructional program for all coaches and other Athletics Department personnel shall be established and maintained so they are aware of NCAA and Conference rules.

An instructional program for all student-athletes and prospective student-athletes shall be established and maintained so they are aware of their responsibility to comply with NCAA and Conference rules.

An instructional program for all alumni and other supporters who participate with the Athletics Department in the recruiting of student-athletes shall be established and maintained. Such alumni and other supporters shall be provided a copy of the Conference and NCAA rules, where appropriate, and with the University guidelines.

Critical areas of University compliance include the regular participation of persons outside the Athletics Department including, without limitation, the Director of Financial Aid, the Director of Admissions, the Registrar, the Faculty Athletics Representative, the Office of Legal Counsel, and others as appropriate.

The rules compliance program is subject to annual evaluation by the Intercollegiate Athletics Committee.

6.3.6 - COACHES AND ATHLETICS DEPARTMENT PERSONNEL

The annual evaluation of each coach to determine merit salary increases shall include not only the successes of the athletics team he or she coaches, but the academic performance of the student-athletes participating in the sport coached. The same rule shall apply to the Director of Athletics.

6.3.7 - PRESIDENTIAL AUTHORITY TO MODIFY CERTAIN ATHLETICS POLICIES

The President may approve changes in athletics policies and shall report any such changes to the Board of Regents.

SECTION 7 – UNIVERSITY DEVELOPMENT

7.1 - GIFTS RECOGNITION PROGRAM

Annual gifts by alumni and friends of the University provide important enrichment funds to all programs of the University and assist in strengthening ties and communication between donors and the University. To acknowledge and show appreciation for this important source of continuing support, the University will recognize donors through events, activities, and publications. Provided, however, that all requests for anonymity will be honored.

7.1.1 - GIFT RESTRICTIONS

Gifts may be unrestricted or restricted to the University, school, department, or program of the donor's choice.

7.1.2 - ANNUAL FUND YEAR

Credit toward gift recognition levels is awarded for gifts made during the annual fund year. The annual fund year begins July 1 and ends June 30.

7.1.3 - DONOR GIFT CREDIT

If requested, donor and spouse may be jointly listed with one gift. If a joint or preferred listing has not been indicated on the gift remittance form, only the individual receipted for the gift (check signer) will receive gift credit. If special public recognition is planned through a publication, signage, or event, University Development will contact the donor to determine the donor's intention for gift recognition.

Individual gifts paid with company or business checks will be credited to the individual(s) who received the original solicitation or the individual assigned to receive donor credit on the gift remittance form if requested.

7.1.4 - GIFT CREDIT

Donors will receive credit for cumulative gifts made during the annual fund year. Individuals also will receive credit for the total value of gifts matched through corporate matching gift programs. Spouses making separate gifts will receive separate recognition unless they request to be jointly listed.

Pledges are not credited toward a specific gift recognition level until the paid portion or entire paid balance exceeds the minimum gift level requirement. If the annual fund year concludes before a pledge is fulfilled, credit will be given for the amount of the paid portion.

7.2 - GIFTS TO THE UNIVERSITY

The University actively encourages and appreciates gifts to the University that benefit students and the mission of the University. The purpose and conditions for gifts are expected to conform to the Board of Regents' policy, Oklahoma State Regents for Higher Education policy, and all applicable laws.

The President of the University will announce major gifts (over \$100,000) at meetings of the Board of Regents. Special action by the Board of Regents is required when gifts involve the naming of buildings and major facilities and the naming of University schools and major programs.

The Cameron University Foundation, Inc. and Rogers State University Foundation, Inc. (the "Foundations") are independent organizations with their own governing boards and their own policies on accepting gifts. In certain situations requiring action by the University - for example, establishing and filling a chair - the Foundations ask the University to join with it in accepting a gift, and the acceptance of such gifts is covered by this policy.

Normally, the Board of Regents will accept gifts to the University unless there are clear and compelling reasons to decline, such as: (a) the funds were obtained from questionable sources; or (b) the purpose for, or conditions on, the gift are illegal or not in accord with the mission and goals of the University or the policies of the Board of Regents. The Board of Regents reserves the right to decline gifts if, in its sole judgment, not accepting the gift is in the best interests of the University.

7.3 - NAMES OF BUILDINGS AND OTHER GIFTS SELECTED BY DONORS

The following policies and guidelines for endowed funds facilitate the processing of major gifts, which are to be used primarily for endowment purposes.

- 1) Donors, the University, and, if applicable, the Foundations, must agree on terms before a gift is accepted, either as an endowment or an expendable account.
- 2) The Development Office should be notified immediately when a prospective donor inquiries into establishing an endowment. The Development Office or the designated development officer will work with the academic dean, the department chair or head, and a representative of the respective Foundation, if applicable, in establishing the endowed fund and developing the terms of the agreement.
- 3) Each endowment will have specific guidelines that detail the purpose(s) of the endowment and provide a method for obtaining approval of changes in the endowment guidelines and procedures.
- 4) The University or the respective Foundation may seek changes through probate to any bequeathed endowment that has guidelines that are in violation of University policy or law.
- 5) Investment of endowment funds will be administered by the appropriate governing board. Investment of endowment funds in the Foundations will be administered by the Trustees of the respective Foundation in compliance with the Foundation's investment policy.
A donor may express his or her preference for investment of assets, but final determination will be made by the appropriate governing board.
- 6) The principal may be deposited as the initial gift or accumulated over a period of time, as determined at the time the gift is accepted.
- 7) Unless otherwise stipulated by the terms of the gift, earnings on the endowment fund shall be made available for expenditure in accordance with the spending policies of the appropriate board. Endowments in the Foundations will be administered according to the guidelines adopted by the Trustees of the Foundations. It is the intent of the spending policies to maintain the purchasing power of endowment income and to protect the real value of the endowment for future generations.

7.3.1 - ACADEMIC PROGRAMS

To name a school or department will require a significant endowment that will generate resources to strengthen and build excellence in academic and research programs. All naming requests require approval by the President and the Board of Regents.

7.3.2 - BUILDINGS

Buildings included in the University's list of priority needs may be named in recognition of a major gift for construction. Generally, a building may be named for one-half of the private funds required for the project or for one-half of the estimated cost of construction, unless otherwise stipulated as part of a University-approved fund-raising campaign with naming guidelines. New buildings to be constructed with a combination of private, state, and federal monies may suggest different naming requirements that will be established with approval by the President and Board of Regents. Other new buildings may be named by a donor for the cost of construction.

Existing unnamed buildings on campus (*i.e.*, buildings not named for an individual) may be named for a significant endowment that will generate sufficient resources to strengthen and enrich programs housed in the facility, or that will provide for continued maintenance and operations of the building.

Laboratories, auditoriums, classrooms, and other special rooms or facilities within existing or future buildings may be named for one-half of the estimated value of the facility or the full cost of renovation.

7.3.3 - NAMED GARDEN OR LANDMARKS

Existing gardens or landscaped areas of campus may be named with the establishment of an endowment to support annual plantings and upkeep.

Creation of new gardens or campus landmarks may be named for the donor for the cost of construction and an arrangement for annual upkeep.

7.3.4 - ENDOWED FACULTY POSITIONS

The establishment of endowed faculty positions is encouraged for the opportunities they provide to enrich and strengthen the teaching and research programs of the University in perpetuity.

An endowed chair or professorship enables the University to strengthen its academic programs by recruiting, recognizing, and retaining nationally renowned educators, scholars, and researchers, and provides the University with enrichment funds that support and strengthen academic activities. It is proposed, therefore, that each endowed faculty position will be supported in part by a state-funded faculty line.

Earnings from the endowed positions also may be used to support travel, equipment, supplies and staffing needs of the position. When the position is not filled, all or a part of the annual earnings will be returned to the principal. Earnings not returned to the principal may be encumbered to provide monies for recruiting, start-up funding, equipment, or supplies for the appointee.

Endowed faculty positions will be effective when the full donor-funding component is received.

Named Endowed Chair - Requires a minimum endowment of \$500,000, which can be provided entirely from private sources or through \$250,000 in private funding, matched by \$250,000 from the Oklahoma State Regents' Endowment Program.

Named Endowed Professorship - Requires a minimum endowment of \$250,000, which can be provided entirely from private sources or through \$125,000 in private funding matched by \$125,000 from the Oklahoma State Regents' Endowment Program.

Named Endowed Lectureship - Recommended endowment of \$50,000, which can be provided entirely from private sources or through \$25,000 in private funding matched by \$25,000 from the Oklahoma State Regents Endowment Program.

7.3.5 - ENDOWED SCHOLARSHIPS

Named Endowed Scholarship Funds - May be established with a recommended endowment of \$25,000. This generally will support one scholarship on an annual basis. The amount of the scholarship will be limited by the annual earnings.

A constant effort will be made to keep scholarship guidelines flexible to alleviate any possible difficulties that would make it impossible to administer the scholarship in any one year.

Scholarship guidelines cannot exclude students on the basis of race, color, national origin, sex, sexual orientation, gender identity, gender expression, age, religion, disability, political beliefs, or status as a veteran.

In compliance with the Internal Revenue Code, donors will not be permitted to select the recipients of the scholarships which they sponsor.

All criteria for endowed scholarships should be predetermined and outlined prior to approval and acceptance by the University and the University's Foundation.

Scholarship recipients should communicate annually, during the term of the scholarship, with the donors of the endowed scholarships.

7.4 - NAMES OF CAMPUS FACILITIES

The naming of major campus buildings or additions requires the approval of the Board of Regents. The Board of Regents also reserves the right to deny the naming of any campus facility, other than that which designates the type of work done therein, as a way to ensure that no name is given to a campus facility that does not reflect the ideals of the University, as well as the right to rename campus buildings or additions. No facility shall ordinarily be named after individuals who are members of the active faculty or staff of the University.

7.5 - PRIVATE FUND-RAISING

The President is responsible to the Board of Regents for all private fund-raising for the University. The President may delegate responsibility for this function, but such delegation does not diminish his or her responsibility. This policy applies to all elements of the University and is designed to assist the President in coordinating and directing this University function.

7.6 - ALUMNI/DONOR RECORDS

7.6.1 - MAINTENANCE OF RECORDS

The University Development Office is committed to maintaining an updated, current listing of alumni and friends. Therefore, any information received by any campus division regarding the name, address, family history, degree, or other information of any alumnus should be forwarded to the University Development Office. Although alternative or parallel databases may be created in other campus locations, these are to be considered ancillary, and any information regarding alumni records should be transferred to the University Development Office.

7.6.2 - REQUESTS FOR INFORMATION

All requests for alumni information from any University division should be directed to the appropriate Vice President responsible for development and/or alumni relations.

7.7 - APPROVAL OF ALL FUNDRAISING ACTIVITY

Any fundraising activity, whether on behalf of students, faculty, staff, schools, or programs, must be approved by the appropriate Vice President responsible for development and/or alumni relations. Any communication developed for potential donors, whether individuals, corporations, or foundations, must be approved by said Vice President. This includes proposals, letters, brochures, and pledge or gift cards. The use of the name of the University in any form in fundraising appeals shall be restricted to, or with the approval of, the University Development.

This policy is designed to protect the University's friends and alumni from multiple solicitations by schools and programs. If multiple University divisions seek to solicit the same prospective donor, the President may be asked to make the ultimate decision on which entity will move forward with a solicitation.

7.8 - ORGANIZATION OF UNIVERSITY DEVELOPMENT

The appropriate Vice President responsible for development and/or alumni relations shall also oversee all aspects of development and alumni affairs. Said Vice President will oversee management of the alumni association and assume responsibility for annual, major, corporate, and foundation giving. In addition, he or she will regulate fundraising efforts within all divisions of the University.

7.8.1 - SCOPE OF DEVELOPMENT ACTIVITY

University Development, at the direction of the appropriate Vice President, will coordinate solicitation efforts. Constituencies will be solicited for support via any means deemed appropriate, including personal contact, telephone, or direct mail. Campaigns directed by University Development might include annual, capital, special needs, college, unrestricted, endowment, federal or state grants, or planned gifts. All grant writing activities for the benefit of the University shall be coordinated or approved by the University Development. Gifts to support the University may be restricted to a college, department, or program, or may be designated as unrestricted and allocated by the President wherever the need is greatest.

QUARTERLY FINANCIAL ANALYSIS
For the quarter ended December 31, 2015

EXECUTIVE SUMMARY

Highlights from the Statements of Net Position as of December 31, 2015 and Statements of Changes in Net Position for the six months then ended are presented below for information only.

STATEMENTS OF NET POSITION

- Total assets of \$101.1 million exceeded related liabilities of \$88.7 million by \$12.4 million.
- Education & General assets of \$10.0 million were less than related liabilities of \$24.4 million by \$14.4 million.
- Sponsored Program assets of \$1.0 million were less than related liabilities of \$1.5 million by \$0.5 million.
- Auxiliary Enterprise assets of \$8.4 million exceeded related liabilities of \$2.5 million by \$5.9 million.
- Other fund assets of \$81.6 million exceeded related liabilities of \$60.3 million by \$21.3 million. Other Funds consist of fixed assets, net of accumulated depreciation, and related bonds and master lease obligations, and other academic and administrative activities.

STATEMENTS OF CHANGES IN NET POSITION

- Total revenues of \$29.6 million exceeded expenses of \$29.1 million by \$0.5 million.
- Education & General revenues of \$16.7 million were approximately equal to related expenses of \$16.7 million.
- Sponsored Program revenues of \$1.4 million were approximately equal to related expenses of \$1.4 million.
- Auxiliary Enterprise revenues of \$10.1 million exceeded related expenses of \$10.0 million by \$0.1 million.
- Other fund revenues of \$1.4 million exceeded related expenses of \$1.0 million by \$0.4 million.

ROGERS STATE UNIVERSITY
STATEMENT OF NET POSITION
DECEMBER 31, 2015
UNAUDITED - MANAGEMENT USE ONLY

	Education & General		Sponsored Programs	
	12/31/2015	12/31/2014	12/31/2015	12/31/2014
ASSETS				
CURRENT & NONCURRENT ASSETS				
Cash and cash equivalents	6,702,383	8,306,170	1,120,954	1,596,760
Accounts receivable - net	424,685	400,336	67,320	204,751
Due From (to) Other Funds	292,883	305,466	(221,241)	(219,780)
Prepaid Expenses & Other Assets	-	-	-	-
Net Pension Assets	-	425,213	-	-
Deferred Outflows	2,582,513	-	81,410	-
Total Current & Non-current Assets	10,002,463	9,437,185	1,048,443	1,581,731
CAPITAL ASSETS, NET				
TOTAL ASSETS	10,002,463	9,437,185	1,048,443	1,581,731
LIABILITIES & NET ASSETS				
CURRENT LIABILITIES				
Accounts payable	278,759	365,301	113,837	16,684
OPEB Obligation	67,039	100,725	-	-
Current Portion of L-T Debt	-	-	-	-
Accrued compensated absences	433,325	431,463	46,996	47,352
Deferred revenue	127,523	116,194	-	-
Deposits held in custody for others	-	-	-	-
Deferred Inflows	4,661,082	-	283,732	-
Net Pension Liability	18,152,714	-	1,097,609	-
Total Current Liabilities	23,720,441	1,013,683	1,542,174	64,036
NONCURRENT LIABILITIES				
OPEB Obligation	351,953	599,290	-	-
Other Non Current Liabilities	336,508	325,787	10,342	17,857
Bonds & Master Lease Obligations	-	-	-	-
Total noncurrent liabilities	688,461	925,077	10,342	17,857
TOTAL LIABILITIES	24,408,902	1,938,760	1,552,516	81,893
NET POSITION				
Unrestricted	5,824,845	7,498,424	-	-
Restricted for OTRS Pension Liability	(20,231,284)	-	(1,299,932)	-
Restricted	-	-	795,859	1,499,838
Capital assets, Net of Related Debt	-	-	-	-
Total Net Position	(14,406,439)	7,498,424	(504,073)	1,499,838
TOTAL NET POSITION	10,002,463	9,437,184	1,048,443	1,581,731

ROGERS STATE UNIVERSITY
STATEMENT OF NET POSITION
DECEMBER 31, 2015
UNAUDITED - MANAGEMENT USE ONLY

	Auxiliary Enterprises		Other Funds	
	12/31/2015	12/31/2014	12/31/2015	12/31/2014
ASSETS				
CURRENT & NONCURRENT ASSETS				
Cash and cash equivalents	8,029,905	7,325,554	861,073	871,139
Accounts receivable - net	318,796	431,597	-	-
Due From (to) Other Funds	(71,642)	(85,686)	-	-
Prepaid Expenses & Other Assets	77,051	-	370,707	8,518,894
Net Pension Assets	-	-	-	-
Deferred Outflows	108,597	-	-	-
Total Current & Non-current Assets	8,462,707	7,671,465	1,231,779	9,390,033
CAPITAL ASSETS, NET				
TOTAL ASSETS	8,462,707	7,671,465	80,378,534	74,758,823
			81,610,314	84,148,856
LIABILITIES & NET ASSETS				
CURRENT LIABILITIES				
Accounts payable	292,264	132,807	90,543	206,499
OPEB Obligation	-	-	-	-
Current Portion of L-T Debt	-	-	5,613,908	3,786,177
Accrued compensated absences	32,843	35,195	-	-
Deferred revenue	89,286	125,000	-	-
Deposits held in custody for others	199,063	206,673	-	-
Deferred Inflows	378,487	-	-	-
Net Pension Liability	1,464,165	-	-	-
Total Current Liabilities	2,456,108	499,675	5,704,451	3,992,676
NONCURRENT LIABILITIES				
OPEB Obligation	-	-	-	-
Other Non Current Liabilities	60,752	40,116	-	-
Bonds & Master Lease Obligations	-	-	54,562,170	58,849,697
Total noncurrent liabilities	60,752	40,116	54,562,170	58,849,697
TOTAL LIABILITIES	2,516,860	539,791	60,266,621	62,842,373
NET POSITION				
Unrestricted	7,679,902	7,131,674	-	-
Restricted for OTRS Pension Liability	(1,734,055)	-	-	-
Restricted	-	-	310,321	887,723
Capital assets, Net of Related Debt	-	-	21,033,372	20,418,759
Total Net Position	5,945,847	7,131,674	21,343,692	21,306,482
TOTAL NET POSITION	8,462,707	7,671,465	81,610,314	84,148,855

ROGERS STATE UNIVERSITY
 STATEMENT OF NET POSITION
 DECEMBER 31, 2015
 UNAUDITED - MANAGEMENT USE ONLY

	Total	
	12/31/2015	12/31/2014
ASSETS		
CURRENT & NONCURRENT ASSETS		
Cash and cash equivalents	16,714,316	18,099,623
Accounts receivable - net	810,801	1,036,684
Due From (to) Other Funds	(0.00)	-
Prepaid Expenses & Other Assets	447,758	8,518,894
Net Pension Assets	-	425,213
Deferred Outflows	2,772,519	-
Total Current & Non-current Assets	<u>20,745,393</u>	<u>28,080,414</u>
CAPITAL ASSETS, NET		
TOTAL ASSETS	<u>80,378,534</u>	<u>74,758,823</u>
	<u>101,123,927</u>	<u>102,839,237</u>
LIABILITIES & NET ASSETS		
CURRENT LIABILITIES		
Accounts payable	775,402	721,291
OPEB Obligation	67,039	100,725
Current Portion of L-T Debt	5,613,908	3,786,177
Accrued compensated absences	513,164	514,010
Deferred revenue	216,808	241,194
Deposits held in custody for others	199,063	206,673
Deferred Inflows	5,323,301	-
Net Pension Liability	20,714,488	-
Total Current Liabilities	<u>33,423,173</u>	<u>5,570,070</u>
NONCURRENT LIABILITIES		
OPEB Obligation	351,953	599,290
Other Non Current Liabilities	407,602	383,760
Bonds & Master Lease Obligations	54,562,170	58,849,697
Total noncurrent liabilities	<u>55,321,726</u>	<u>59,832,747</u>
TOTAL LIABILITIES	<u>88,744,899</u>	<u>65,402,817</u>
NET POSITION		
Unrestricted	13,504,747	14,630,098
Restricted for OTRS Pension Liability	(23,265,270)	-
Restricted	1,106,179	2,387,561
Capital assets, Net of Related Debt	21,033,372	20,418,759
Total Net Position	<u>12,379,028</u>	<u>37,436,418</u>
TOTAL NET POSITION	<u>101,123,927</u>	<u>102,839,235</u>

ROGERS STATE UNIVERSITY
STATEMENT OF CHANGES IN NET POSITION
FOR THE SIX MONTHS ENDED DECEMBER 31, 2015
UNAUDITED - MANAGEMENT USE ONLY

	Other Funds		Total		% of Total	
	12/31/2015	% of Budget	12/31/2015	% of Total		
REVENUES						
Student Tuition and fees, net	-	0.0%	11,374,689	38.4%	10,430,874	34.4%
Grants & Contracts	-	0.0%	5,724,800	19.3%	6,008,823	19.8%
Sales & Services	-	0.0%	2,981,325	10.1%	2,302,486	7.6%
State Appropriations	421,648	100.0%	7,422,166	25.0%	8,633,207	28.5%
Private Gifts	-	0.0%	510,699	1.7%	943,204	3.1%
On Behalf Payments	961,050	0.0%	1,527,190	5.2%	1,682,914	5.6%
Endowment and Investment Income	41	20.5%	57,264	0.2%	66,595	0.2%
Other Sources	-	0.0%	36,071	0.1%	243,558	0.8%
TOTAL REVENUES	1,382,739	120.5%	29,634,204	100.0%	30,311,661	100.0%
EXPENSES						
Compensation	-	0.0%	11,875,176	40.8%	11,988,323	41.5%
Depreciation	1,600,200	0.0%	1,600,200	5.5%	1,607,912	5.6%
Scholarships	-	0.0%	7,786,450	26.7%	7,618,344	26.4%
Utilities	-	0.0%	619,285	2.1%	835,642	2.9%
Debt Service - Interest & Fees	1,217,609	0.0%	1,217,609	4.2%	1,355,681	4.7%
Professional & Technical Fees	-	0.0%	851,382	2.9%	627,953	2.2%
Maintenance & Repair	-	0.0%	1,013,074	3.5%	930,928	3.2%
Supplies and Materials	573,301	63.7%	3,161,359	10.9%	3,105,992	10.8%
Travel	-	0.0%	304,163	1.0%	277,583	1.0%
Library Books and Periodicals	-	0.0%	243,749	0.8%	208,796	0.7%
Communications	-	0.0%	153,042	0.5%	198,135	0.7%
Other Uses	-	0.0%	294,728	1.0%	122,084	0.4%
Transfers for Debt Service	(2,185,481)	0.0%	-	0.0%	-	0.0%
Transfers for Capitalized Assets	(223,751)	0.0%	-	0.0%	-	0.0%
Transfers out - Other	-	0.0%	-	0.0%	-	0.0%
TOTAL EXPENSES	981,878	63.7%	29,120,218	100.0%	28,877,373	100.0%
CHANGE IN NET POSITION	400,861		513,986		1,434,288	

COURSE MODIFICATIONS

<u>Prefix /Number</u>	<u>Title</u>	<u>Comments</u>
ACCT 4213	Auditing	Change in description
CIS 3183	Structured Query Language	Change in prerequisites
JOUR 4213	Photojournalism II	Change in prefix and number
MUSC 1413	Music Literature I	Change in title, description, and content
MUSC 1423	Music Literature II	Change in title, description, number, and content
MUSC 3513	Music History I	Change in title, description, and prerequisites
MUSC 3523	Music History II	Change in title, description, and prerequisites
MUSC 3612	Fundamentals of Conducting	Change in prerequisites
MUSC 3642	Woodwind Methods	Change in prerequisites
MUSC 3652	Brass Methods	Change in prerequisites
MUSC 3662	String Methods	Change in prerequisites
MUSC 3672	Percussion Methods	Change in prerequisites
MUSC 4900	Senior Music Capstone	Change in prerequisites
RTV 3323	TV Field Production and Editing	Change in title, prefix, and number
RTV 3323L	TV Field Production and Editing Lab	Change in title, prefix, and number
SOCI 4403	Domestic Violence	Change in title, description, and prerequisites
SOCI 4533	Human Services Counseling Strategies	Change in prerequisites
SOCI 4593	Selected Topics in Sociology	Change in prerequisites and number

QUARTERLY FINANCIAL ANALYSIS
For the quarter ended December 31, 2015

EXECUTIVE SUMMARY

Highlights from the Statements of Net Position as of December 31, 2015 and Statements of Revenue, Expenses and Changes in Net Position for the six months then ended are presented below. The statements are unaudited and are presented for management use only.

STATEMENTS OF NET POSITION

- Total assets and deferred outflows of resources of \$100.7 million exceeded related liabilities and deferred inflows of resources of \$82.5 million by \$18.2 million.
- Education & General assets and deferred outflows of \$23.3 million trailed related liabilities and deferred inflows of \$53.6 million by \$30.2 million. The Education & General net position is influenced by the University's proportionate share of the unfunded net pension obligation of the Oklahoma Teachers Retirement System. This amount is approximately \$37.1 million.
- Sponsored Program assets of \$617 thousand exceeded related liabilities of \$28 thousand by \$589 thousand.
- Auxiliary Enterprise assets of \$5.8 million exceeded related liabilities of \$2.8 million by \$3.0 million.
- Other Fund assets and deferred outflows of resources of \$70.9 million exceeded related liabilities and deferred inflows of resources of \$26.0 million by \$44.9 million. Other Funds consist of fixed assets, net of accumulated depreciation and related bonds and master lease obligations, and other academic and administrative activities.

STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION

- Total revenues of \$40.5 million trailed expenses of \$41.0 million by \$.5 million.
- Education & General revenues of \$24.4 million exceeded expenses of \$22.3 million, resulting in a net increase of \$2.1 million.
- Sponsored Program revenues of \$684 thousand trailed expenses of \$702 thousand by \$18 thousand.
- Auxiliary Enterprise revenues of \$4.0 million trailed expenses of \$4.3 million by \$.3 million.
- Other Fund revenues of \$11.5 million trailed expenses of \$13.8 million, resulting in a net decrease of \$2.3 million. This decrease is due primarily to unfunded depreciation of \$2.3 million.

CAMERON UNIVERSITY
STATEMENTS OF NET POSITION
DECEMBER 31, 2015
UNAUDITED-MANAGEMENT USE ONLY

	Education & General		Sponsored Programs		Auxiliary Enterprises	
	12/31/2015	12/31/2014	12/31/2015	12/31/2014	12/31/2015	12/31/2014
ASSETS						
CURRENT & NONCURRENT ASSETS						
Cash and cash equivalents	\$ 8,888,519	\$ 10,012,320	\$ 565,250	\$ 600,873	\$ 4,073,150	\$ 3,502,434
Investments	-	-	-	-	-	-
Accounts receivable, net	8,451,629	7,898,330	51,861	58,181	1,733,459	1,725,089
Prepaid expenses and other assets	1,608,460	1,570,974	-	-	-	-
Capital assets, net	-	-	-	-	-	-
TOTAL ASSETS	\$ 18,948,608	\$ 19,481,624	\$ 617,111	\$ 659,054	\$ 5,806,609	\$ 5,227,523
DEFERRED OUTFLOWS OF RESOURCES						
Deferred outflows for pensions	\$ 4,395,429	\$ -	\$ -	\$ -	\$ -	\$ -
Deferred charge on OCIA lease restructure	-	-	-	-	-	-
TOTAL DEFERRED OUTFLOWS OF RESOURCES	\$ 4,395,429	\$ -	\$ -	\$ -	\$ -	\$ -
LIABILITIES						
CURRENT LIABILITIES						
Accounts payable	\$ 49,739	\$ 59,163	\$ 4,666	\$ 887	\$ 21,616	\$ 22,009
OPEB obligation	137,286	137,286	-	-	-	-
Current portion of long-term debt	-	-	-	-	-	-
Accrued expenses	502,008	463,215	23,155	17,875	22,225	28,911
Unearned revenue	10,223,190	10,021,033	-	-	2,665,161	2,686,589
Deposits held in custody for others	-	-	-	-	92,175	94,761
Total current liabilities	10,912,223	10,680,697	27,821	18,762	2,801,177	2,832,270
NONCURRENT LIABILITIES						
OPEB obligation	901,636	965,730	-	-	-	-
OTRS pension liability	32,936,521	-	-	-	-	-
Other noncurrent liabilities	319,501	331,138	596	2,110	14,681	19,490
Bonds & master lease obligations	-	-	-	-	-	-
Total noncurrent liabilities	34,157,658	1,296,868	596	2,110	14,681	19,490
TOTAL LIABILITIES	\$ 45,069,881	\$ 11,977,565	\$ 28,417	\$ 20,872	\$ 2,815,858	\$ 2,851,760
DEFERRED INFLOWS OF RESOURCES						
Deferred inflows for pensions	\$ 8,514,092	\$ -	\$ -	\$ -	\$ -	\$ -
Deferred credit on OCIA lease restructure	-	-	-	-	-	-
TOTAL DEFERRED INFLOWS OF RESOURCES	\$ 8,514,092	\$ -	\$ -	\$ -	\$ -	\$ -
NET POSITION						
Unrestricted	6,815,248	7,504,059	-	-	2,990,751	2,375,763
Restricted for OTRS pension liability	(37,055,184)	-	588,694	638,182	-	-
Restricted	-	-	-	-	-	-
Endowment	-	-	-	-	-	-
Capital assets, net of related debt	-	-	-	-	-	-
TOTAL NET POSITION	\$ (30,239,936)	\$ 7,504,059	\$ 588,694	\$ 638,182	\$ 2,990,751	\$ 2,375,763

CAMERON UNIVERSITY
STATEMENTS OF NET POSITION
DECEMBER 31, 2015
UNAUDITED-MANAGEMENT USE ONLY

	Other Funds			Total	
	12/31/2015	12/31/2014		12/31/2015	12/31/2014
ASSETS					
CURRENT & NONCURRENT ASSETS					
Cash and cash equivalents	\$ (2,205,186)	\$ 1,132,596	\$ 11,321,733	\$ 15,248,223	
Investments	1,052,957	832,251	1,052,957	832,251	
Accounts receivable, net	4,340,168	844,713	14,577,117	10,526,313	
Prepaid expenses and other assets	108,910	116,043	1,717,370	1,687,017	
Capital assets, net	67,462,500	70,359,543	67,462,500	70,359,543	
TOTAL ASSETS	\$ 70,759,349	\$ 73,285,146	\$ 96,131,677	\$ 98,653,347	
DEFERRED OUTFLOWS OF RESOURCES					
Deferred outflows for pensions	-	-	4,395,429	-	
Deferred charge on OCIA lease restructure	167,910	335,820	167,910	335,820	
TOTAL DEFERRED OUTFLOWS OF RESOURCES	\$ 167,910	\$ 335,820	\$ 4,563,339	\$ 335,820	
LIABILITIES					
CURRENT LIABILITIES					
Accounts payable	-	-	76,021	82,059	
OPEB obligation	-	-	137,286	137,286	
Current portion of long-term debt	2,203,664	1,770,762	2,203,664	1,770,762	
Accrued expenses	-	-	547,388	510,001	
Unearned revenue	-	-	12,888,351	12,707,622	
Deposits held in custody for others	160,425	142,646	252,600	237,407	
Total current liabilities	2,364,089	1,913,408	16,105,310	15,445,137	
NONCURRENT LIABILITIES					
OPEB obligation	-	-	901,636	965,730	
OTRS pension liability	-	-	32,936,521	-	
Other noncurrent liabilities	-	-	334,778	352,738	
Bonds & master lease obligations	23,343,805	25,415,838	23,343,805	25,415,838	
Total noncurrent liabilities	23,343,805	25,415,838	57,516,740	26,734,306	
TOTAL LIABILITIES	\$ 25,707,894	\$ 27,329,246	\$ 73,622,050	\$ 42,179,443	
DEFERRED INFLOWS OF RESOURCES					
Deferred inflows for pensions	-	-	8,514,092	-	
Deferred gain on OCIA lease restructure	342,666	338,327	342,666	338,327	
TOTAL DEFERRED INFLOWS OF RESOURCES	\$ 342,666	\$ 338,327	\$ 8,856,758	\$ 338,327	
NET POSITION					
Unrestricted	-	-	9,805,999	9,879,822	
Restricted for OTRS pension liability	-	-	(37,055,184)	-	
Restricted	(132,070)	(142,968)	456,624	495,214	
Endowment	66,000	66,000	66,000	66,000	
Capital assets, net of related debt	44,942,769	46,030,361	44,942,769	46,030,361	
TOTAL NET POSITION	\$ 44,876,699	\$ 45,953,393	\$ 18,216,208	\$ 56,471,397	

CAMERON UNIVERSITY
STATEMENTS OF REVENUE, EXPENSES AND CHANGES IN NET POSITION
FOR THE SIX MONTHS ENDED DECEMBER 31, 2015
UNAUDITED-MANAGEMENT USE ONLY

	Other Funds		Total		% of Total
	12/31/2015	% of Budget	12/31/2015	12/31/2014	
REVENUES					
Student tuition and fees, net	\$ -	0.0%	\$ -	\$ 14,975,960	37.3%
Grants and contracts	10,446,148	77.4%	11,532,678	12,371,312	27.6%
Sales and services	-	0.0%	-	2,406,338	5.6%
State appropriations	615,247	51.3%	535,884	12,427,770	27.8%
Private gifts	423,741	84.7%	323,083	634,362	1.5%
Endowment & investment income	3,805	38.1%	713	20,500	0.1%
Other sources	-	0.0%	-	140,622	0.3%
TOTAL REVENUES	11,488,941	75.5%	12,392,358	42,976,864	100.0%
EXPENSES					
Compensation	-	0.0%	-	17,011,791	39.5%
Scholarships & fellowships	10,953,029	79.7%	11,998,796	15,290,853	35.1%
Utilities	-	0.0%	-	720,041	1.7%
Debt service - interest & fees	-	0.0%	-	786,253	1.8%
Professional & technical fees	-	0.0%	-	523,695	1.2%
Maintenance & repair	30,803	41.1%	30,769	1,682,323	4.0%
Supplies & materials	539,399	71.9%	377,851	3,587,585	9.2%
Travel	-	0.0%	-	426,173	1.0%
Library books & periodicals	-	0.0%	-	314,445	0.7%
Communications	-	0.0%	-	145,850	0.3%
Other uses	4,235	1.1%	5,650	16,608	0.0%
TOTAL EXPENSES	11,527,466	76.7%	12,413,066	40,505,617	94.5%
NET POSITION BEFORE DEPRECIATION	(38,525)		(20,708)	2,471,247	
Less: Depreciation	2,265,784	50.0%	2,274,188	2,274,188	5.5%
CHANGE IN NET POSITION	\$ (2,304,309)		\$ (2,294,896)	\$ 197,059	5.3%

QUARTERLY FINANCIAL ANALYSIS
For the quarter ended December 31, 2015

EXECUTIVE SUMMARY

Highlights from the *Statements of Net Position* as of December 31, 2015 and *Statements of Changes in Net Position* for the six months then ended are presented below for information only.

STATEMENTS OF NET POSITION

- Total assets and deferred outflows of \$1.47 billion exceed related liabilities and deferred inflows of \$633.9 million by \$834 million.
- Education & General assets of \$95.1 million exceeded related liabilities of \$30 million by \$65.1 million.
- Sponsored Program assets of \$11.5 million partially offset related liabilities of \$13 million.
- Clinical Operations assets of \$346.6 million exceeded liabilities of \$54.3 million by \$292.3 million.
- Auxiliary Enterprise assets of \$92.6 million exceeded liabilities of \$51.3 million by \$41.3 million.
- Regents' Fund assets were \$31.5 million. There were no related liabilities.
- Other Funds assets and deferred outflows of \$890.5 million exceeded related liabilities and deferred inflows of \$485.3 million by \$405.2 million. Other Funds consist of fixed assets, net of accumulated depreciation and related bond and master lease obligations, net pension liability, and other academic and administrative activities.

STATEMENTS OF CHANGES IN NET POSITION

- Total revenues of \$551.9 million exceeded expenses of \$527.7 million by \$24.2 million.
- Education & General revenues of \$94.7 million trailed expenses of \$97.9 million by \$3.2 million.
- Sponsored Program revenues of \$57.9 million exceeded expenses of \$53.5 million.
- Clinical Operations revenues of \$287.2 million exceeded expenses of \$282 million, resulting in a net increase of \$5.2 million.
- Auxiliary Enterprise revenues of \$18.4 million exceeded expenses of \$10.5 million, which resulted in a net increase of \$7.9 million.
- Regents' Fund revenues of \$881 thousand trailed expenses of \$1 million, resulting in a net decrease of \$122 thousand.
- Other Funds revenues of \$92.7 million exceeded expenses of \$82.7 million, resulting in an increase of \$10 million to net position.

**OU HEALTH SCIENCES CENTER
STATEMENTS OF NET POSITION
AS OF DECEMBER 31, 2015 AND 2014
UNAUDITED - MANAGEMENT'S USE ONLY**

	Education & General		Sponsored Programs		Clinical Operations		Auxiliary Enterprises	
	12/31/15	12/31/14	12/31/15	12/31/14	12/31/15	12/31/14	12/31/15	12/31/14
ASSETS								
CURRENT AND NONCURRENT ASSETS								
Cash and Cash Equivalents	\$ 42,905,870	\$ 74,416,332	\$ (4,415,120)	\$ (5,671,630)	\$ 210,824,217	\$ 191,087,766	\$ 40,709,265	\$ 35,482,517
Endowment Investments (Funds held by OU Foundation)	-	-	-	-	-	-	-	-
Student Loans Receivable, Net	-	-	-	-	-	-	-	-
Accounts Receivable, Net	9,468,377	6,953,513	15,936,248	13,322,890	134,985,399	109,168,489	2,986,811	2,916,656
Due From (To) Other Funds	42,640,231	24,230,657	-	-	-	-	(24,260,563)	(24,258,475)
Investments	-	-	-	-	-	-	-	-
Prepaid Expenses	124,078	148,893	-	-	-	-	218,266	220,871
Inventory	-	-	-	-	804,490	781,479	980,606	941,769
Total Current and Noncurrent Assets	95,138,556	105,749,395	11,521,128	7,651,260	346,614,106	301,037,734	20,634,386	15,303,338
FIXED ASSETS, NET								
Total Assets	\$ 95,138,556	\$ 105,749,395	\$ 11,521,128	\$ 7,651,260	\$ 346,614,106	\$ 301,037,734	\$ 92,584,390	\$ 113,843,679
	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DEFERRED OUTFLOWS								
LIABILITIES & NET ASSETS								
CURRENT LIABILITIES								
Accounts Payable	\$ 16,083,827	\$ 728,080	\$ 3,844,095	\$ 1,743,736	\$ 38,838,645	\$ 28,060,679	\$ 2,194,814	\$ 1,663,513
OPEB Obligation	-	-	-	-	-	-	-	-
Net Pension Liability	-	-	-	-	-	-	-	-
Current Portion of L-T Debt	89,333	86,250	-	-	493,508	488,478	1,581,671	1,850,000
Accrued Interest Payable	-	-	-	-	-	117	1,148,751	1,623,228
Accrued Expenses	8,202,010	8,103,587	2,730,085	2,835,051	9,322,420	6,719,611	1,171,976	865,014
Deferred Revenue	2,746,992	516,633	5,633,130	6,986,400	-	-	248,999	392,980
Deposits Held in Custody for Others	-	-	-	-	-	-	309,697	-
Total Current Liabilities	27,122,162	9,434,560	12,207,310	11,565,187	48,654,574	35,268,865	6,655,909	6,594,735
LONG-TERM LIABILITIES								
OPEB Obligation	-	-	-	-	-	-	-	-
Federal Loan Program Refundable	-	-	-	-	-	-	-	-
Accrued Expenses	2,313,387	2,056,910	770,024	945,017	2,629,401	2,810,534	330,557	351,033
Bonds, Notes, Master Lease Obligations	607,250	696,583	-	-	3,036,980	3,530,672	44,297,364	63,415,109
Total Long-Term Liabilities	2,920,637	2,753,493	770,024	945,017	5,666,381	6,341,206	44,627,922	63,766,142
Total Current and Long-Term Liabilities	30,042,800	12,188,043	12,977,334	12,510,204	54,320,955	41,610,091	51,283,831	70,160,877
DEFERRED INFLOWS								
NET ASSETS								
Unrestricted	65,095,756	93,561,352	-	-	292,293,151	259,427,643	39,490,153	34,666,045
Restricted	-	-	(1,456,205)	(4,858,944)	-	-	-	-
Endowment	-	-	-	-	-	-	1,810,406	9,016,757
Capital Assets, Net of Related Debt	-	-	-	-	-	-	-	-
Total Net Assets	65,095,756	93,561,352	(1,456,205)	(4,858,944)	292,293,151	259,427,643	41,300,559	43,682,802
Total Liabilities & Net Assets	\$ 95,138,556	\$ 105,749,395	\$ 11,521,128	\$ 7,651,260	\$ 346,614,106	\$ 301,037,734	\$ 92,584,390	\$ 113,843,679

OU HEALTH SCIENCES CENTER
STATEMENTS OF NET POSITION
AS OF DECEMBER 31, 2015 AND 2014
UNAUDITED - MANAGEMENT'S USE ONLY

	Regents' Fund		Other Funds		Total
	12/31/15	12/31/14	12/31/15	12/31/14	
ASSETS					
CURRENT AND NONCURRENT ASSETS					
Cash and Cash Equivalents	\$ -	\$ -	\$ 266,487,286	\$ 294,112,941	\$ 556,511,519
Endowment Investments (Funds held by OU Foundation)	39,404,537	40,465,511	-	-	39,404,537
Student Loans Receivable, Net	-	-	6,394,846	6,605,286	6,394,846
Accounts Receivable, Net	-	-	21,281,351	19,247,763	184,658,185
Due From (To) Other Funds	(7,891,057)	(7,420,622)	(10,488,612)	7,448,440	-
Investments	-	-	71,967,916	724,001	71,967,916
Prepaid Expenses	-	-	4,380,904	4,352,795	4,723,248
Inventory	-	-	-	-	1,785,096
Total Current and Noncurrent Assets	31,513,479	33,044,889	360,023,692	332,491,226	865,445,347
FIXED ASSETS, NET					
Total Assets	\$ 31,513,479	\$ 33,044,889	\$ 873,039,931	\$ 818,036,807	\$ 1,450,411,591
DEFERRED OUTFLOWS	\$ -	\$ -	\$ 17,426,915	\$ 557,589	\$ 17,426,915
LIABILITIES & NET ASSETS					
CURRENT LIABILITIES					
Accounts Payable	\$ -	\$ -	\$ 6,657,957	\$ 5,517,641	\$ 67,619,339
OPEB Obligation	-	-	3,959,000	3,598,000	3,959,000
Net Pension Liability	-	-	197,022,909	-	197,022,909
Current Portion of L-T Debt	-	-	6,489,017	6,065,811	8,653,529
Accrued Interest Payable	-	-	2,550,275	2,162,817	3,699,026
Accrued Expenses	-	-	2,706,683	6,375,154	24,133,174
Deferred Revenue	-	-	588,081	326,899	9,217,203
Deposits Held in Custody for Others	-	-	5,466,278	15,916,813	5,775,976
Total Current Liabilities	-	-	225,440,200	39,963,135	320,080,155
LONG-TERM LIABILITIES					
OPEB Obligation	-	-	87,178,834	79,949,834	87,178,834
Federal Loan Program Refundable	-	-	7,147,600	7,060,155	7,060,155
Accrued Expenses	-	-	763,423	2,135,978	6,806,793
Bonds, Notes, Master Lease Obligations	-	-	114,850,832	103,764,358	162,792,426
Total Long-Term Liabilities	-	-	209,940,689	192,910,325	263,925,653
Total Current and Long-Term Liabilities	-	-	435,380,889	232,873,460	584,005,808
DEFERRED INFLOWS	\$ -	\$ -	\$ 49,878,229	\$ 770,921	\$ 49,878,229
NET ASSETS					
Unrestricted	-	-	6,160,661	200,106,577	403,039,723
Restricted	3,302,993	3,434,280	7,370,675	9,128,025	9,217,463
Endowment	28,210,486	29,610,610	-	-	28,210,486
Capital Assets, Net of Related Debt	-	-	391,676,391	375,715,412	393,486,797
Total Net Assets	31,513,479	33,044,890	405,207,727	584,950,014	833,954,469
Total Liabilities & Net Assets	\$ 31,513,479	\$ 33,044,890	\$ 840,588,617	\$ 817,823,474	\$ 1,417,960,277
					\$ 557,589
					\$ 37,713,649
					3,598,000
					-
					8,490,539
					3,786,162
					24,898,417
					8,222,912
					15,916,813
					102,626,492
					79,949,834
					7,060,155
					8,299,472
					171,406,722
					266,716,183
					369,342,675
					770,921
					587,761,617
					7,703,361
					29,610,610
					384,732,169
					1,009,807,758
					\$ 1,379,150,432

OU HEALTH SCIENCES CENTER
 STATEMENT OF CHANGES IN NET POSITION
 FOR THE SIX MONTHS ENDING DECEMBER 31, 2015
 UNAUDITED - MANAGEMENT'S USE ONLY

	Education & General		Sponsored Programs		Clinical Operations	
	12/31/15	% of Budget	12/31/15	% of Budget	12/31/15	% of Budget
REVENUES:						
Tuition & Fees	\$ 25,823,386	40.4%	\$ 27,147,861	0.0%	\$ -	0.0%
Grants & Contracts	-	0.0%	-	46.8%	28,930,461	31.1%
Sales and Services	-	0.0%	51,554,763	0.0%	199,816,752	53.6%
State Appropriations	45,708,682	48.4%	-	0.0%	-	0.0%
Private Gifts	-	0.0%	-	0.0%	4,024,436	54.4%
On Behalf Payments	5,278,008	48.0%	-	0.0%	-	0.0%
State School Land Funds	8,489,392	56.6%	-	0.0%	-	0.0%
Endowment Income	-	0.0%	7,148,572	0.0%	-	0.0%
Investment Income	9,410,474	49.5%	9,056,928	0.0%	763,808	54.6%
Other Revenue	94,709,952	46.6%	102,214,258	37.3%	33,909,940	52.2%
Total Revenue						
	\$ 270,457,628		\$ 270,457,628		\$ 270,457,628	
EXPENSES:						
Compensation & Benefits	70,457,628	46.9%	68,322,900	48.0%	183,206,944	47.6%
Depreciation	-	0.0%	-	0.0%	-	0.0%
Scholarships and Fellowships	89,499	25.6%	55,839	38.2%	76,376	95.5%
Utilities	4,917,111	47.7%	4,625,513	45.2%	95,048	9.5%
Debt Service - Interest and Fees	16,054	48.6%	17,707	0.0%	76,311	47.7%
Professional and Technical Fees	2,409,964	53.6%	2,584,842	54.1%	8,859,426	55.4%
Maintenance and Repair	3,292,456	47.7%	3,830,436	47.6%	2,224,555	45.4%
Supplies and Materials	949,243	52.2%	1,099,635	52.8%	56,318,177	49.8%
Travel	460,856	54.2%	500,096	53.5%	2,053,634	58.7%
Communications	2,231,584	92.2%	973,727	54.1%	2,349,511	48.2%
Other Expenses	13,124,774	50.5%	7,784,552	34.9%	26,778,778	46.7%
Total Expenses						
	\$ 97,949,169	48.2%	\$ 89,795,247	43.1%	\$ 282,038,762	48.1%
Net Incr (Decr) in Net Assets						
	\$ (3,239,218)		\$ 12,419,011		\$ 5,154,907	
						\$ 145,436

OU HEALTH SCIENCES CENTER
STATEMENT OF CHANGES IN NET POSITION
FOR THE SIX MONTHS ENDING DECEMBER 31, 2015
UNAUDITED - MANAGEMENT'S USE ONLY

	Auxiliary Enterprises		Regents' Fund		Other Funds		Total	
	12/31/15	% of Budget	12/31/15	12/31/14	12/31/15	12/31/14	12/31/15	% of Total
REVENUES:								
Tuition & Fees	\$ 682,675	28.4%	\$ -	\$ -	\$ 1,077,059	\$ 669,708	\$ 27,583,120	5.0%
Grants & Contracts	-	0.0%	-	-	12,144,442	12,257,020	105,880,518	19.2%
Sales and Services	17,306,970	46.0%	-	-	33,229,629	30,251,786	263,021,102	47.7%
State Appropriations	-	0.0%	-	-	2,927,950	3,830,344	48,636,642	8.8%
Private Gifts	-	0.0%	-	50,000	10,992,463	1,601,352	15,022,898	2.7%
On Behalf Payments	-	0.0%	-	-	2,162,467	2,263,918	7,440,475	1.3%
State School Land Funds	-	0.0%	-	-	1,858,172	1,336,509	1,858,172	0.3%
Endowment Income	-	0.0%	-	-	-	-	8,489,392	1.5%
Investment Income	251,873	50.4%	809,359	307,351	3,179,567	3,307,935	5,004,607	0.9%
Other Revenue	204,063	40.8%	65,509	334,463	25,182,669	25,632,214	68,988,872	12.5%
Total Revenue	18,445,580	45.0%	880,868	691,814	92,754,417	81,150,786	551,925,797	100.0%
EXPENSES:								
Compensation & Benefits	2,925,392	47.2%	-	-	49,001,485	50,116,068	333,072,842	63.1%
Depreciation	2,418,177	44.2%	-	-	11,314,615	11,498,063	13,732,792	2.6%
Scholarships and Fellowships	-	0.0%	373,000	418,500	202,036	128,343	1,199,445	0.2%
Utilities	577,178	24.6%	-	-	771,235	1,164,131	6,361,477	1.2%
Debt Service - Interest and Fees	1,198,828	24.0%	-	-	2,698,721	2,520,192	3,989,914	0.8%
Professional and Technical Fees	61,787	12.4%	-	-	2,933,532	1,769,005	17,158,576	3.3%
Maintenance and Repair	327,723	8.2%	-	-	1,827,086	1,395,590	8,243,190	1.6%
Supplies and Materials	1,284,904	25.7%	-	-	2,731,246	3,819,500	64,686,368	12.3%
Travel	192,342	48.1%	-	-	678,904	695,106	4,215,035	0.8%
Communications	114,199	45.7%	-	-	595,028	477,755	5,412,007	1.0%
Other Expenses	1,378,705	11.7%	629,966	570,762	9,994,257	11,471,418	69,621,174	13.2%
Total Expenses	10,479,236	25.6%	1,002,966	989,262	82,748,143	85,055,161	527,682,819	100.0%
Net Incr (Decr) in Net Assets	\$ 7,966,345		\$ (122,099)	\$ (297,448)	\$ 10,006,274	\$ (3,904,375)	\$ 24,232,977	
							\$ 28,536,143	5.5%
							92,742,244	17.8%
							248,135,786	47.6%
							57,030,587	10.9%
							6,167,589	1.2%
							7,924,572	1.5%
							1,336,509	0.3%
							7,148,572	1.4%
							4,553,439	0.9%
							67,645,987	13.0%
							521,221,428	100.0%
							\$ 321,006,636	63.9%
							13,570,518	2.7%
							1,336,453	0.3%
							7,069,652	1.4%
							4,298,975	0.9%
							13,114,478	2.6%
							7,993,956	1.6%
							58,043,293	11.6%
							4,009,934	0.8%
							3,690,552	0.7%
							67,977,477	13.5%
							502,111,924	100.0%

EXHIBIT F

<u>Firm Name</u>	<u>Date Initiated</u>	<u>Work Performed</u>	<u>Fee</u>
<u>For the Norman Campus:</u>			
Cabbiness Engineering, LLC Norman	October 15, 2015	Assess and provide data to complete FEMA documentation (Bishop Creek)	\$15,856
Cardinal Engineering, Inc. Norman	October 16, 2015	Topographic/Design Survey (Engineering Academic Building)	12,500
Kirkpatrick Forest Curtis PC Oklahoma City	January 29, 2015	Structural Engineering Design and Construction Documents (Intramural Fields Restroom)	3,900
<u>For the Health Sciences Center, Oklahoma City:</u>			
None			
<u>For OU-Tulsa:</u>			
Cyntergy AES Tulsa	July 8, 2014	Site Survey, Engineering Design, Documentation and Construction Administration (Schusterman Center, Automatic Transfer Switches)	11,234

Fees on work completed during the second quarter of fiscal year 2016 by on-call construction management firms are summarized below.

<u>Firm Name</u>	<u>Date Initiated</u>	<u>Work Performed</u>	<u>Fee</u>
<u>For the Norman Campus:</u>			
Lippert Bros., Inc. Oklahoma City	August 13, 2014	Campus Infrastructure, Reclaimed Water	\$72,360
Manhattan Construction Company Oklahoma City	August 19, 2015	2601 Technology Place, Bizzell Library Service Center	\$10,453

CUMULATIVE TOTAL PROFESSIONAL
ARCHITECTURAL AND ENGINEERING FEES FOR WORK
COMPLETED BY ON-CALLS THROUGH THE SECOND QUARTER
OF FISCAL YEAR 2015-2016

For the Norman Campus:

<u>Firm Name</u>	<u>Total Fees</u>
Cabbiness Engineering, LLC	\$15,856
Cardinal Engineering, Inc.	15,800
Kirkpatrick Forest Curtis PC	8,998
MA+ Architecture	10,145
Total, Norman Campus	<hr/> \$50,799

For Health Sciences Center, Oklahoma City:

None

For OU-Tulsa:

<u>Firm Name</u>	<u>Total Fees</u>
Cyntergy AES	\$20,899
McFarland	3,565
Total, OU-Tulsa	<hr/> \$24,464
Total for Architects and Engineers, All Campuses	<hr/> \$75,263

CUMULATIVE TOTAL CONSTRUCTION MANAGEMENT FEES FOR WORK
COMPLETED BY ON-CALLS THROUGH THE SECOND QUARTER
OF FISCAL YEAR 2014-2015

For the Norman Campus:

<u>Firm Name</u>	<u>Total Fees</u>
Lippert Brothers, Inc.	\$72,360
Manhattan Construction Company	10,453
Total, Norman Campus	<hr/> \$82,813

For Health Sciences Center, Oklahoma City:

None

For OU-Tulsa:

None

Total for Construction Managers, All Campuses	<hr/> \$82,813
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EXHIBIT G

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED DECEMBER 31, 2015**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
1.	3Share	\$ 83,250	Norman	Web Communications	Website Maintenance	Professional Services	Competed
2.	Academic Initiatives Abroad	\$ 109,556	Norman	College of Architecture	Study Abroad Program	Travel / Registrations	Negotiated
3.	Advanced Design Consulting USA, Inc.	\$ 118,711	HSC	Department of Biochemistry & Molecular Biology	High Pressure Cryo Cooler	Lab / Medical / Research Equipment	Negotiated
4.	AIP Enterprises, LLC	\$ 99,758	Norman	Facilities Management	Space Renovation	Building / Ground Improvements	Competed
5.	Alexander Wollman and Stark	\$ 80,000	Tulsa	College of Medicine	Candidate Search	Non-Professional Services	Competed
6.	Allied Elevator Services, Inc.	\$ 137,047	Norman	Facilities Management	Elevator Renovation	Building / Ground Improvements	Competed
7.	Analogic Corporation	\$ 80,383	HSC	OU Physicians	Ultrasound	Lab / Medical / Research Equipment	Negotiated
8.	Baker Hughes, Inc.	\$ 277,500	Norman	CCE Training & Research Center	Lease	Lease / Rent-Property	Negotiated
9.	Basketball Travelers, Inc.	\$ 132,375	Norman	Athletics	Women's Basketball Foreign Tournament	Travel / Registrations	Negotiated
10.	Bill Veazey's Party Store	\$ 100,000	Norman	Athletics	Event Related Rentals	Lease / Rent-Equipment	Competed
11.	Biomedical Solutions, Inc.	\$ 111,133	Norman	Sam Noble Oklahoma Museum of Natural History	Lab Equipment	Lab / Medical / Research Equipment	Negotiated
12.	Biomost, Inc.	\$ 774,597	Norman	Civil Engineering & Environmental Science	Passive Water Treatment System	Subcontracts	Competed
13.	Brain Vision, LLC	\$ 177,257	Norman	School of Biomedical Engineering	Near-Infrared Spectroscopy	Lab / Medical / Research Equipment	Competed
14.	Brain Vision, LLC	\$ 65,817	HSC	Oklahoma Tobacco Research Center	Brain Vision Recorder	Lab / Medical / Research Equipment	Negotiated
15.	Business Interiors by Staples	\$ 64,482	HSC	College of Medicine	Furniture - Construction - New Building	Furniture	Competed
16.	Caddell & Company, LLC	\$ 57,035	Norman	Facilities Management	Restroom Renovation	Building / Ground Improvements	Competed
17.	Caradigm USA LLC	\$ 138,600	HSC	College of Medicine	Access Proximity Control	IT Product / Supply / Service	Negotiated
18.	Catamaran Resort Hotel	\$ 60,000	Norman	College of Continuing Education	Native American Wellness Conference	Conferences / Special Events	Competed

EXHIBIT G

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED DECEMBER 31, 2015**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
19.	CDW Government, Inc.	\$ 484,147	Norman	Athletics	Monitors	IT Product / Supply / Service	Competed
20.	Chickasaw Telecom, Inc.	\$ 53,655	Norman	Information Technology	Cabling	IT Product / Supply / Service	Competed
21.	Chickasaw Telecom, Inc.	\$ 459,387	Tulsa	Information Technology	Network Equipment	IT Product / Supply / Service	Competed
22.	Chisholm Creek Investments	\$ 113,412	Norman	CCE Child Abuse Hotline	Lease - Facility	Lease / Rent-Property	Negotiated
23.	Community Training & Assistance Center	\$ 53,593	Norman	College of Continuing Education	Training Courses	Professional Services	Negotiated
24.	Continental Airlines	\$ 855,000	Norman	Athletics	Football Bowl Game Air Charter	Travel / Registrations	Competed
25.	Cook Medical, Inc.	\$ 71,175	HSC	OU Physicians	Medical Supplies	Lab / Medical / Research Supplies	Negotiated
26.	Copelin's Office Center, LLC	\$ 170,313	HSC	College of Medicine	Furniture - Construction - New Building	Furniture	Competed
27.	Coretest Systems, Inc.	\$ 70,590	Norman	Mewbourne School of Petroleum & Geological Engineering	Permeation Measurement Equipment	Lab / Medical / Research Equipment	Negotiated
28.	Cornet Carpet, Inc.	\$ 91,214	HSC	Site Support	Renovation - Carpet	Building / Ground Improvements	Competed
29.	Cornet Carpet, Inc.	\$ 105,941	HSC	Site Support	Renovation - Flooring	Building / Ground Improvements	Competed
30.	Course Crafters, LLC	\$ 449,762	Norman	Jimmie Austin OU Golf Club	Renovation - Grounds	Building / Ground Improvements	Competed
31.	Dean's Sweeper Scrubber Service	\$ 69,473	HSC	Site Support	Parking Lot Repair	Building / Ground Improvements	Competed
32.	Design Build Group, LLC	\$ 118,400	Norman	Facilities Management	Business Center Renovation	Building / Ground Improvements	Competed
33.	Diagnostic Imaging Associates, Inc.	\$ 56,500	Tulsa	OU Physicians	Radiology Interpretation	Professional Services	Competed
34.	E Rate Central	\$ 75,000	Norman	College of Continuing Education	Training Courses	Professional Services	Negotiated
35.	Effective Teaching and Learning Institute, Inc.	\$ 71,100	Norman	University Outreach College of Continuing Education	Training Courses	Professional Services	Negotiated
36.	Engineered Systems & Energy Solutions, Inc.	\$ 264,520	Norman	A&E Services	Application - LEED Certification	Construction	Competed

EXHIBIT G

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED DECEMBER 31, 2015**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
37.	Enterprise Fleet Management, Inc.	\$ 100,000	Norman	Fleet Services	Lease - Vehicles	Lease / Rent-Equipment	Competed
38.	Escribe Solutions, Inc.	\$ 60,000	HSC	OU Physicians	Transcription	Non-Professional Services	Competed
39.	Ethority	\$ 58,252	Norman	Human Resources	Affordable Healthcare Act Management Software	IT Product / Supply / Service	Negotiated
40.	Experian Consumer Direct	\$ 75,886	HSC	Department of Urology	Credit Monitoring	Professional Services	Negotiated
41.	Fifth Ring	\$ 65,954	Norman	Price College of Business	Consulting	Professional Services	Negotiated
42.	Fisher Scientific Company, LLC	\$ 85,653	HSC	Stephenson Cancer Center	Equipment	Lab / Medical / Research Equipment	Competed
43.	Furniture Marketing Group, Inc.	\$ 154,357	HSC	College of Medicine	Furniture - Construction - New Building	Furniture	Competed
44.	Gardner Denver Nash LLC	\$ 74,412	HSC	Site Support	Compressor	Maintenance / Industrial Equipment	Negotiated
45.	Global Knowledge Training, LLC	\$ 51,947	HSC	Information Technology	Avaya System Manager Training	Professional Services	Competed
46.	Great Plains, Inc.	\$ 71,918	Norman	Facilities Management	Utility Vehicles	Vehicles / Transportation	Competed
47.	Henry Schein, Inc.	\$ 60,633	HSC	Department of Urology	Exam Tables	Lab / Medical / Research Equipment	Competed
48.	Huron Consulting Services, Inc.	\$ 614,898	HSC	Provost Office	Consulting	Professional Services	Competed
49.	Illumina Inc.	\$ 382,400	HSC	Department of Pediatrics	Genomics Analysis System	Lab / Medical / Research Equipment	Negotiated
50.	Infusystem	\$ 101,740	HSC	Stephenson Cancer Center	Infusion Pumps	Lab / Medical / Research Equipment	Negotiated
51.	John Vance Motors	\$ 115,359	Norman	Parking & Transportation Services	Vans	Vehicles / Transportation	Competed
52.	Johnson Controls, Inc.	\$ 63,947	Tulsa	Enrollment and Student Financial Services	Security Access Readers	Building / Ground Improvements	Negotiated
53.	JW Marriott	\$ 120,000	Norman	College of Continuing Education	Economic Development Institute Conference	Conferences / Special Events	Competed
54.	K&M Dirt Services, LLC	\$ 147,179	Norman	Facilities Management	Bridge Repair	Building / Ground Improvements	Competed

EXHIBIT G

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED DECEMBER 31, 2015**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
55.	Karl Storz Endoscopy America, Inc.	\$ 69,954	HSC	Department of Urology	Cystoscope	Lab / Medical / Research Equipment	Negotiated
56.	Keyence Corporation of America	\$ 197,261	Norman	Mewbourne School of Petroleum & Geological Engineering	Laser Scanning Microscope	Lab / Medical / Research Equipment	Negotiated
57.	Laborie Medical Technologies Corporation	\$ 75,010	HSC	OU Children's Physicians	Diagnostics System	Lab / Medical / Research Equipment	Negotiated
58.	Lamar Company	\$ 75,000	Norman	Athletics	Billboard Advertising	Advertising	Negotiated
59.	Landers Window & Exterior Cleaning, Inc.	\$ 84,000	Norman	Athletics	Window Cleaning	Non-Professional Services	Competed
60.	LiquidFish	\$ 53,000	Norman	OU Press	Website Re-Design	Non-Professional Services	Negotiated
61.	Manhattan Construction Company	\$ 309,106	Norman	Architectural and Engineering Services	2601 Technology Place - Tenant Space Improvements	Building / Ground Improvements	Negotiated
62.	Manhattan Construction Company	\$ 112,114	Tulsa	Architectural and Engineering Services	Tisdale Specialty Health Clinic Improvements	Building / Ground Improvements	Negotiated
63.	Manhattan Construction Company	\$ 206,435	Tulsa	Architectural and Engineering Services	Tisdale Specialty Health Clinic Improvements	Building / Ground Improvements	Negotiated
64.	Manhattan Construction Company	\$ 75,472	Tulsa	Architectural and Engineering Services	Renovations - Schusterman Library	Building / Ground Improvements	Negotiated
65.	Mansfield Media Solutions, LLC	\$ 68,880	Norman	Information Technology	Video Wall Displays	IT Product / Supply / Service	Competed
66.	McGraw Hill, Inc.	\$ 168,750	Norman	Provost Office	Student Placement	Professional Services	Negotiated
67.	Mechdyne	\$ 105,592	Norman	College of Architecture	Mechdyne BIM Visualization System	Subcontracts	Negotiated
68.	Morton Comprehensive Health Services, Inc.	\$ 73,500	Tulsa	Medical Informatics	Case Management Services	Professional Services	Negotiated
69.	Nabholz Construction Services	\$ 607,563	Norman	Architectural and Engineering Services	Sidewalks / Crosswalks - Research Campus	Building / Ground Improvements	Negotiated
70.	NACR	\$ 130,000	HSC	Information Technology	Telecom Equipment	IT Product / Supply / Service	Competed
71.	National Bus Sales	\$ 167,759	Norman	Parking & Transportation Services	Transit Bus - 26 Foot - CNG	Vehicles / Transportation	Competed

EXHIBIT G

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED DECEMBER 31, 2015**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
72.	Newborn Specialists of Tulsa, PC	\$ 58,750	Tulsa	Department of Pediatrics	Teaching Services	Professional Services	Negotiated
73.	Nextgen Parking, LLC	\$ 53,450	HSC	Parking & Transportation Services	Parking Monitoring Software	IT Product / Supply / Service	Negotiated
74.	Nextthought, LLC	\$ 69,413	Norman	South Central Climate Sciences Center	Video Production	Professional Services	Negotiated
75.	Northrop Grumman	\$ 269,579	Norman	College of Continuing Education	Website Maintenance	Non-Professional Services	Negotiated
76.	Nyhart	\$ 95,000	HSC	Human Resources	Actuarial / Employee Benefit Consulting	Professional Services	Negotiated
77.	Oklahoma Roofing & Sheet Metals, Inc.	\$ 205,068	Norman	Facilities Management	Roof Replacement	Building / Ground Improvements	Competed
78.	Oklahoma Roofing & Sheet Metals, Inc.	\$ 145,666	Norman	Facilities Management	Roof Replacement	Building / Ground Improvements	Competed
79.	Olympus America, Inc.	\$ 132,608	HSC	College of Pharmacy	Confocal Imaging System	Lab / Medical / Research Equipment	Negotiated
80.	Oracle America, Inc.	\$ 161,040	HSC	College of Medicine	Software	IT Product / Supply / Service	Competed
81.	Ovid Technologies, Inc.	\$ 61,731	HSC	Robert M. Bird Library	E-Journals	Book / Publication / Subscription	Negotiated
82.	Payflex Systems USA, Inc.	\$ 60,000	HSC	Human Resources	Retiree / COBRA Billing Services	Professional Services	Competed
83.	Pinnacle Design Goup, LLC	\$ 240,397	HSC	Office of Research Administration	Furniture - New Space - OU Research Park	Furniture	Competed
84.	Pinnacle Design Goup, LLC	\$ 117,637	HSC	Grants and Contracts	Furniture - New Space - OU Research Park	Furniture	Competed
85.	Planmeca	\$ 55,524	HSC	College of Dentistry	X-Ray Machine	Lab / Medical / Research Equipment	Negotiated
86.	Play Productions, Inc.	\$ 55,000	Norman	Athletics	Public Event Services	Non-Professional Services	Negotiated
87.	Policy Studies Association	\$ 100,000	Norman	College of Continuing Education	Case Studies	Professional Services	Negotiated
88.	Precision X-Ray, Inc.	\$ 160,544	HSC	Microbiology & Immunology	X-Rad	Lab / Medical / Research Equipment	Negotiated

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED DECEMBER 31, 2015**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
89.	Presidio Networked Solutions, Inc.	\$ 90,892	Norman	Information Technology	Software License Renewal	IT Product / Supply / Service	Competed
90.	Proofpoint, Inc.	\$ 64,750	HSC	Information Technology	Anti-Spam Software	IT Product / Supply / Service	Negotiated
91.	Qualisys North America, Inc.	\$ 193,478	HSC	College of Allied Health	Human Motion Analysis System	Lab / Medical / Research Equipment	Competed
92.	Registry, Inc.	\$ 175,000	Norman	College of Continuing Education	Software Technical Support	Maintenance Agreement / Services	Negotiated
93.	SBS Window Cleaning	\$ 75,500	HSC	Parking & Transportation Services	Power Washing	Non-Professional Services	Competed
94.	Scott Rice	\$ 92,271	Norman	College of Law	Furniture - Renovation - Student Learning Space	Furniture	Competed
95.	Segal Company	\$ 80,000	HSC	Human Resources	Benefits Consulting	Professional Services	Competed
96.	SHI International Corporation	\$ 50,235	Norman	Information Technology	Software	IT Product / Supply / Service	Competed
97.	Sigma Solutions	\$ 150,838	Norman	Information Technology	Data Storage Devices	IT Product / Supply / Service	Competed
98.	SKC Communication Products, LLC	\$ 59,087	Norman	Information Technology	AV Equipment	IT Product / Supply / Service	Competed
99.	SKC Communication Products, LLC	\$ 56,243	Norman	Information Technology	Audio / Video Equipment	IT Product / Supply / Service	Competed
100.	SKC Communication Products, LLC	\$ 50,008	Norman	Information Technology	Audio / Video Equipment	IT Product / Supply / Service	Competed
101.	SKC Communication Products, LLC	\$ 59,624	Tulsa	Information Technology	Tele / Video Conferencing Equipment	IT Product / Supply / Service	Competed
102.	Sooner Answer Service, Inc.	\$ 72,142	HSC	Stephenson Cancer Center	Telephone Answering Services	Non-Professional Services	Competed
103.	Stand by Services, LLC	\$ 59,410	HSC	Landscape	Temporary General Labor	Non-Professional Services	Competed
104.	Techsico Enterprise Solutions, Inc.	\$ 206,977	Tulsa	Information Technology	Cell Booster Reception	IT Product / Supply / Service	Competed
105.	Telco Supply Company	\$ 208,149	Norman	Information Technology	Fiber Upgrade	IT Product / Supply / Service	Competed

EXHIBIT G

**UNIVERSITY OF OKLAHOMA REPORT OF PURCHASES
FOR THE QUARTER ENDED DECEMBER 31, 2015**

	Supplier	Amount	Campus	Department	Explanation	Category	Method
106.	Texoma Power, LLC	\$ 126,299	Norman	Facilities Management	Generator - Replacement	Building / Ground Improvements	Competed
107.	TMHC Services	\$ 100,000	Norman	Computer Science	Drug Testing	Subcontracts	Negotiated
108.	Toptica Photonics, Inc.	\$ 101,272	Norman	Department of Physics and Astronomy	Laser System	Lab / Medical / Research Equipment	Negotiated
109.	Traffic & Lighting Systems, LLC	\$ 64,037	Norman	Electrical & Computer Engineering	Traffic Sensor Installation / Commissioning	Construction	Competed
110.	Trane US Inc.	\$ 51,932	HSC	Site Support	Terminal Units	Building / Ground Improvements	Negotiated
111.	Tulsa Cash Register Company	\$ 51,290	Norman	Housing & Food Services	Software License	IT Product / Supply / Service	Negotiated
112.	Tusco, Inc.	\$ 161,706	Norman	Facilities Management	Hydraulic Powered Bollard	Building / Ground Improvements	Negotiated
113.	University Health System Consortium	\$ 98,300	HSC	OU Physicians	Institutional Membership to National Professional and Procurement Consortium of Health Care Institutions	Memberships / Dues	Negotiated
114.	Varidesk, LLC	\$ 73,825	HSC	Human Resources	Healthy Sooners Program - Ergonomic Desks	Furniture	Competed
115.	Variety Care, Inc.	\$ 260,743	Tulsa	Medical Informatics	Temporary Employment Services	Non-Professional Services	Negotiated
116.	Vater Office Furniture	\$ 136,198	HSC	Financial Services	Service Center - Refurbished Furniture	Furniture	Negotiated

Academic Programs Council
Approved Course Changes - February 5, 2016

Prefix /Number	Title	Comments
COURSE CHANGES		
<u>College of Architecture</u>		
ARCH 1153	Studio I	Change Title Change Title (Short) Change Description Change Course Level
ARCH 1254	Design II - Craft and Making (old)	Change Course Number Change Description
ARCH 1255	Design II - Craft and Making (new)	
ARCH 2243	History of Architecture I	Change Title Change Title (Short) Change Description
ARCH 2343	History of Architecture II	Change Title Change Title (Short) Change Description
ARCH 2354	Design III - Crafting Place (old)	Change Course Number Change Description
ARCH 2356	Design III - Crafting Place (new)	
ARCH 2423	Methods IV - Materials and Form (old)	Change Course Number Change Title Change Title (Short) Change Description
ARCH 2363	Methods IV - Materials and Form (new)	
ARCH 2454	Design IV - Materials and Making (old)	Change Course Number Change Description
ARCH 2456	Design IV - Materials and Making (new)	
ARCH 3555	Design V - Architectural Making I (old)	Change Course Number Change Description
ARCH 3556	Design V - Architectural Making I (new)	
ARCH 3655	Design VI - Architectural Making II (old)	Change Course Number Change Description
ARCH 3656	Design VI - Architectural Making II (new)	
ARCH 4000	Foreign Study	Change Description
ARCH 4755	Design VII - Systems and Context (old)	Change Course Number Change Description
ARCH 4756	Design VII - Systems and Context (new)	

CNS	5023	Research Methods in Planning, Design and Construction	Change Description
CNS	5143	Legal Issues in Construction	Change Description
ID	3723	Lighting Design (old)	Change Course
ID	4723	Lighting Design (new)	Number Change Description
ID	3733	Commercial Design (old)	Change Course
ID	4713	Commercial Design (new)	Number Change Description
ID	3753	History of Interior Design (old)	Change Course
ID	4753	History of Interior Design (new)	Number Change Description
ID	3793	Interior Materials and Specifications (old)	Change Course
ID	4793	Interior Materials and Specifications (new)	Number Change Description

College of Arts and Sciences

BIOL	6012	Professional Aspects-Biology (old)	Change Course
BIOL	6011	Professional Aspects-Biology (new)	Number Change Title Change Description Change Course Level
COM M	6373	Seminar in Mass Communication	Change Description Change Course Level
PSY	5403	Theories and Methods in Developmental Psychology	Change Title Change Title (Short) Change Description Change Course Level
RELS	3153	Historical Jesus	Change Title Change Title (Short) Change Description Change Course Level

Price College of Business

ACCT	3123	Intermediate Accounting II	Change Description Change Course Level
ACCT	3353	Accounting Information Systems/Databases	Change Description Change Course Level
ENT	3603	New Venture Development II	Change Description
ENT	4603	New Venture Development III	Change Description

EXHIBIT H

ENT 4823 Venture Capitalization
Change Title
Change Title (Short)
Change Description

MIS 3353 Accounting Information Systems/Databases
Change Description
Change Course Level

Mewbourne College of Earth & Energy

P E 4563 Well Test Analysis
Change Description

Jeannine Rainbolt College of Education

EDPC 5253 Personality and Intelligence Assessment
Change Title
Change Title (Short)

EDPC 5920 Internship in Education--Master's (old)
EDPC 5923 Internship in Education--Master's (new)
Change Course
Number
Change Title
Change Title (Short)
Change Description

College of Engineering

ISE 4553 Data-Driven Decision Making I
Change Title
Change Description
Change Course Level

ISE 5323 Advanced Productn Systems/Ops
Change Title
Change Description
Change Course Level

ISE 5553 Data-Driven Decision Making I
Change Title
Change Description
Change Course Level

ISE 5713 Engineering Project Management
Change Description

Weitzenhoffer College of Fine Arts

DRAM 3223 Costume Construction Techniques
Change Description
Change Course Level

University College

AERO 1011 Introduction to Aerospace Studies
Change Title
Change Title (Short)
Change Description
Change Course Level

COURSE DELETIONSCollege of Arts and Sciences

LING 5533 Philosophy of Language
LING 5543 Philosophy of Mind

NEW COURSESCollege of Architecture

ID 5543 Graduate Studio III
ARCH 4056 Design X- Options Studio II
ARCH 4956 Design IX- Options Studio I
ID 5773 Graduate Interior Construction
ARCH 1163 Methods I - Materiality of Place
ARCH 2463 Methods IV- Sustainable and Resilient Systems I
CNS 2833 Materials and Methods 2
ID 5526 Graduate Studio I
ID 5533 Graduate Studio II
ID 5763 Graduate Interior Design Computer Application
ID 5713 Commercial Design
ID 5723 Lighting Design
ID 5753 History of Interior Design
ID 5793 Interior Materials and Specifications
ARCH 1263 Methods II - Pattern of Architecture

College of Arts and Sciences

LIS 5203 Leadership in Information Organizations
ANTH 4343 The Archaeology of Belief: Religion and
Cosmology in the Past
LIS 6033 Intellectual Traditions in Information Studies
LIS 6553 Critical Information Studies

LIS	6713	Research Methods and Design in Information Studies
P SC	4153	Strategic Planning and Performance Assessment
LIS	6543	Social and Community Informatics
LIS	6920	Directed Research
LIS	5233	Oklahoma Information Environment
ANTH	5773	Landscape, Space, and Place
LIS	6503	Information Behavior
LIS	6962	Doctoral Research Colloquium
LIS	6970	Special Topics in the Theory of Information Studies
NPNG	4203	Fundraising and Philanthropy
P SC	3583	Masters & Commanders: Wartime Strategy and Statecraft
P SC	3573	Great Power Politics

College of Atmospheric and Geographic Sciences

GEOG	4613	Place and The Geographical Imagination
GEOG	5613	Place and The Geographical Imagination
GEOG	4313	Qualitative Research Methods for Social and Environmental Inquiry
GEOG	5313	Qualitative Research Methods for Social and Environmental Inquiry

Price College of Business

ENT	3513	Venture Capitalization I
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Continuing Education

AVIA	4013	En-Route Radar Lab
AVIA	4023	Tracon Radar Lab

Jeannine Rainbolt College of Education

EDPC	5443	Family Systems Theory
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EDPC	5453	Social & Cultural Diversity
EDPC	5633	Clinical Supervision
EDPC	5653	Crisis Counseling
EDPC	5623	Advanced Counseling Techniques

College of Engineering

C S	5073	Artificial Neural Networks Evolution
C S	4473	Introduction to Parallel Programming
C S	5473	Introduction to Parallel Programming

Graduate College

GRAD	5203	EOS3 Data Analytics
GRAD	5103	Interdisciplinary EOS3
GRAD	5303	EOS3 Interface
GRAD	5403	EOS3 Practicum
GRAD	5133	General Linguistics for ESL
GRAD	5233	ESL Methods and Techniques
GRAD	5333	Understanding Cultures for ESL
GRAD	5433	ESL Curriculum and Technology
GRAD	5443	ESL Testing and Assessment
GRAD	5945	Internship in TESOL
GRAD	5113	Phonetics for ESL
GRAD	5553	Teaching ESL Reading/ Writing/ Listening/ Speaking

College of International Studies

IAS	2101	What is Asia?
IAS	3742	Model UN
IAS	3693	Military, State & Society
IAS	3753	Youth Culture in Contemporary Iran

QUARTERLY FINANCIAL ANALYSIS
For the quarter ended December 31, 2015

EXECUTIVE SUMMARY

Highlights from the *Statements of Net Position* as of December 31, 2015 and *Statements of Revenues, Expenses and Changes in Net Position* for the six months then ended are presented below.

STATEMENTS OF NET POSITION

- Total assets of \$2.3 billion exceeded related liabilities of \$1.7 billion by \$593.8 million.
- Education & General assets of \$93.5 million exceeded related liabilities of \$23.5 million by \$69.9 million.
- Sponsored Program assets of \$15.3 million offset related liabilities of \$15.3 million.
- Auxiliary Enterprise assets of \$834.0 million exceeded related liabilities of \$575.7 million by \$258.3 million.
- Service Unit assets of \$203.1 million exceeded related liabilities of \$137.2 million by \$65.9 million.
- Regents' Fund assets of \$125.3 million exceeded related liabilities of \$40.7 million by \$84.6 million.
- Other Fund assets of \$1.0 billion exceeded related liabilities of \$902.7 million by \$115.0 million. Other Funds consist of fixed assets, net of accumulated depreciation and related bonds and master lease obligations, short-term pooled investment fund, student fee and fringe benefit clearing departments and other academic and administrative activities. Liabilities of \$902.7 million include the University's proportional allocation of the Oklahoma Teachers Retirement System unfunded pension obligation of \$282.8 million.

STATEMENTS OF REVENUES, EXPENSES, AND CHANGES IN NET POSITION

- Total revenues of \$599.9 million exceeded expenses of \$564.5 million by \$35.4 million.
- Education & General revenues of \$283.4 million trailed expenses of \$285.9 million, resulting in a net decrease of \$2.5 million.
- Sponsored Program revenues of \$78.7 million offset expenses of \$78.7 million.
- Auxiliary Enterprise revenues of \$139.8 million exceeded expenses of \$131.2 million, resulting in a net increase of \$8.6 million.
- Regents' Fund revenues of \$(0.4) million trailed expenses of \$2.3 million, resulting in a net decrease of \$2.6 million.
- Other Fund revenues of \$98.4 million exceeded expenses of \$66.4 million, resulting in a net increase of \$32.0 million.

Regents' Fund financial highlights as of December 31, 2015, and for the six months then ended are presented below.

- As of December 31, 2015, the Regents' Fund consisted of 233 individual funds under the governance of the Board of Regents of the University of Oklahoma. Net assets totaled \$125.2 million, a \$2.6 million (2.0%) decrease from June 30, 2015.
- As of December 31, 2015, the market value of assets held by the University of Oklahoma Foundation on behalf of the Regents' Fund totaled \$122.5 million.
- Regents' Fund assets held in the Foundation's Consolidated Investment Fund (CIF) had a net market value of \$85.9 million, a \$3.2 million (3.6%) decrease from June 30, 2015. For the six months ended December 31, 2015, the CIF reported earnings of -4.0% which trailed its benchmark of -3.2% by 80 basis points.
- Regents' Fund assets held in the Foundation's Expendable Investment Pool (EIP) had a net market value of \$36.7 million, a \$5.6 million (13.3%) decrease from June 30, 2015. For the six months ended December 31, 2015, the EIP reported earnings of -2.5%, which matched its benchmark rate.

UNIVERSITY OF OKLAHOMA - NORMAN CAMPUS
STATEMENT OF NET POSITION
AS OF DECEMBER 31, 2015 AND 2014
UNAUDITED - MANagements USE ONLY

	Education & General		Sponsored Programs		Auxiliary Enterprises		Service Units	
	12/31/2015	12/31/2014	12/31/2015	12/31/2014	12/31/2015	12/31/2014	12/31/2015	12/31/2014
ASSETS								
CURRENT AND NONCURRENT ASSETS								
Cash and Cash Equivalents	\$ -	\$ 24,319,000	\$ 70,594,000	\$ 76,660,000	\$ 265,446,000	\$ 49,713,000	\$ 64,657,000	\$ 52,689,000
Investments	-	-	32,000	31,000	-	1,154,000	-	-
Student Loans Receivable, Net	-	-	-	-	-	-	-	-
Accounts Receivable, Net	16,784,000	17,511,000	31,146,000	32,090,000	6,287,000	4,799,000	8,306,000	8,732,000
Due From (To) Other Funds	76,691,000	82,749,000	(86,491,000)	(93,681,000)	1,167,000	211,000	-	1,000
Deposits and Prepaid Expenses	-	-	-	-	7,517,000	7,114,000	1,142,000	1,042,000
Inventory	-	-	-	-	3,145,000	2,536,000	734,000	678,000
Total Current and Noncurrent Assets	93,475,000	124,579,000	15,281,000	15,100,000	283,562,000	65,527,000	74,839,000	63,142,000
FIXED ASSETS, NET	-	-	-	-	550,470,000	531,160,000	128,295,000	126,937,000
Total Assets	\$ 93,475,000	\$ 124,579,000	\$ 15,281,000	\$ 15,100,000	\$ 834,032,000	\$ 596,687,000	\$ 203,134,000	\$ 190,079,000
LIABILITIES & NET ASSETS								
CURRENT AND NONCURRENT LIABILITIES								
Accounts Payable	\$ -	\$ -	\$ -	\$ 73,000	\$ 6,642,000	\$ 5,743,000	\$ 3,238,000	\$ 3,426,000
Utilities Management Agreement	-	-	-	-	-	-	-	-
OPEB Obligation	-	-	-	-	-	-	-	-
Current Portion of L-T Debt	-	-	-	-	20,403,000	15,340,000	2,508,000	2,318,000
Accrued Expenses	23,467,000	22,061,000	-	-	15,557,000	13,902,000	5,459,000	5,193,000
Deferred Income	60,000	113,000	15,281,000	15,027,000	10,354,000	10,390,000	13,459,000	12,142,000
Deposits Held in Custody for Others	-	-	-	-	-	-	-	-
Total Current and Noncurrent Liabilities	23,527,000	22,174,000	15,281,000	15,100,000	52,956,000	45,375,000	24,664,000	23,079,000
LONG-TERM LIABILITIES								
Utilities Management Agreement	-	-	-	-	-	-	-	-
OPEB Obligation	-	-	-	-	-	-	-	-
Net Pension Obligation	-	-	-	-	-	-	-	-
Federal Loan Program Refundable Contribution	-	-	-	-	-	-	-	-
Bonds and Master Lease Obligations	-	-	-	-	522,761,000	298,312,000	112,532,000	98,268,000
Total Long-Term Liabilities	-	-	-	-	522,761,000	298,312,000	112,532,000	98,268,000
Total Liabilities	23,527,000	22,174,000	15,281,000	15,100,000	575,717,000	343,687,000	137,196,000	121,347,000
NET POSITION								
Unrestricted	69,948,000	102,405,000	-	-	251,009,000	35,492,000	52,663,000	42,381,000
Restricted	-	-	-	-	-	-	-	-
Endowment	-	-	-	-	-	-	-	-
Capital Assets, Net of Related Debt	-	-	-	-	7,306,000	217,508,000	13,255,000	26,351,000
Total Net Position	69,948,000	102,405,000	-	-	258,315,000	253,000,000	65,938,000	68,732,000
Total Liabilities & Net Position	\$ 93,475,000	\$ 124,579,000	\$ 15,281,000	\$ 15,100,000	\$ 834,032,000	\$ 596,687,000	\$ 203,134,000	\$ 190,079,000

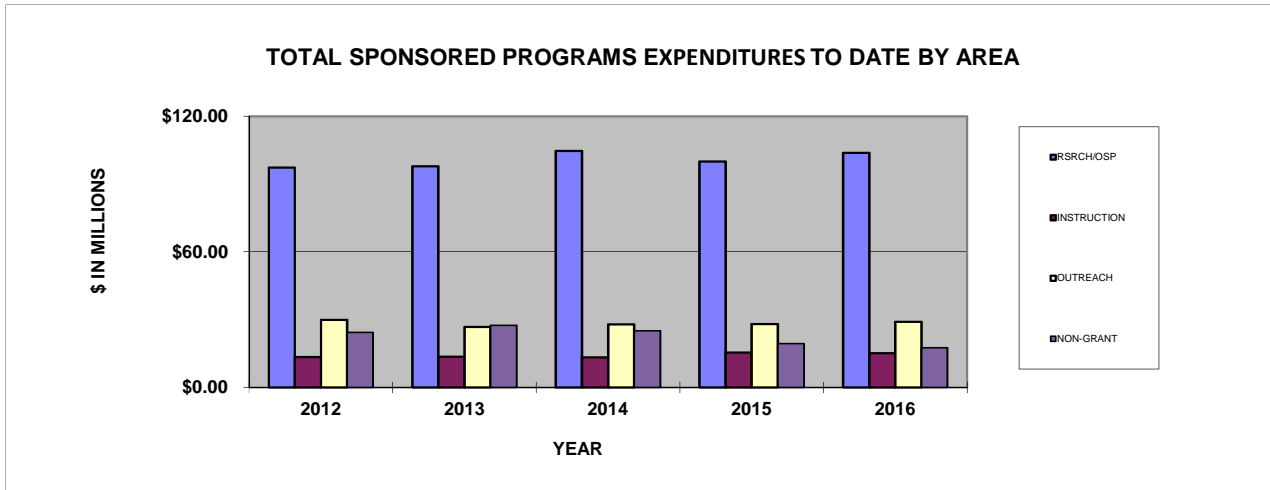
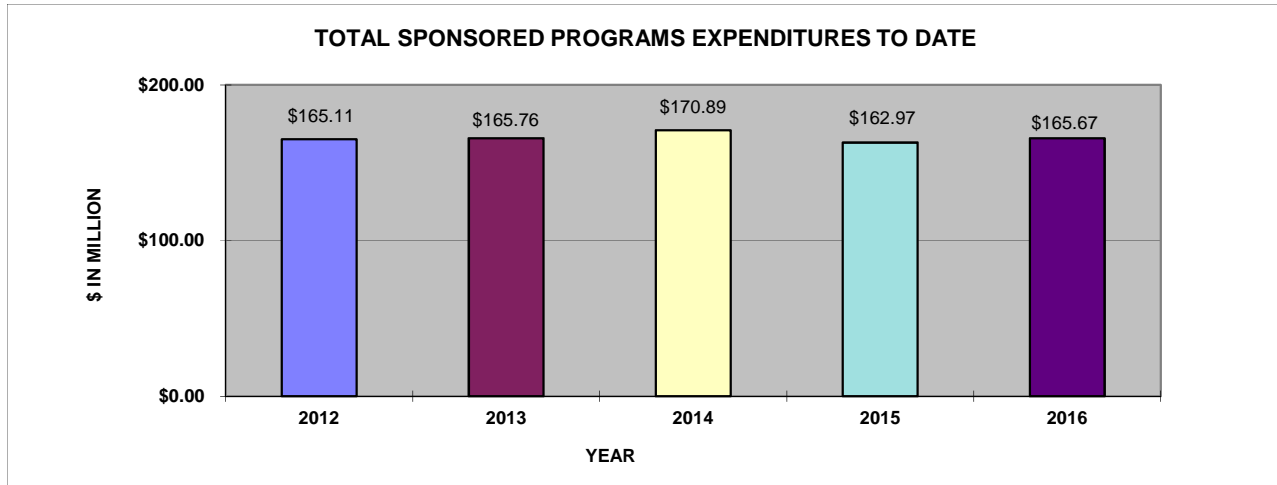
UNIVERSITY OF OKLAHOMA - NORMAN CAMPUS
STATEMENT OF NET POSITION
AS OF DECEMBER 31, 2015 AND 2014
UNAUDITED - MANAGERMENTS USE ONLY

	Regents' Fund		Other Funds		Total	
	12/31/2015	12/31/2014	12/31/2015	12/31/2014	12/31/2015	12/31/2014
ASSETS						
CURRENT AND NONCURRENT ASSETS						
Cash and Cash Equivalents	\$ (1,884,000)	\$ (2,031,000)	\$ 86,967,000	\$ 74,560,000	\$ 485,780,000	\$ 275,910,000
Investments	122,940,000	132,420,000	11,617,000	8,746,000	134,589,000	142,351,000
Student Loans Receivable, Net	2,138,000	2,077,000	16,955,000	16,974,000	19,093,000	19,051,000
Accounts Receivable, Net	2,109,000	2,170,000	6,126,000	5,129,000	70,758,000	70,431,000
Due From (To) Other Funds	3,000	-	8,630,000	10,720,000	-	-
Deposits and Prepaid Expenses	-	-	7,034,000	8,769,000	15,693,000	16,925,000
Inventory	-	-	536,000	508,000	4,415,000	3,722,000
Total Current and Noncurrent Assets	125,306,000	134,636,000	137,865,000	125,406,000	730,328,000	528,390,000
FIXED ASSETS, NET						
	-	-	879,776,000	848,787,000	1,558,541,000	1,506,884,000
Total Assets	\$ 125,306,000	\$ 134,636,000	\$ 1,017,641,000	\$ 974,193,000	\$ 2,288,869,000	\$ 2,035,274,000
LIABILITIES & NET ASSETS						
CURRENT AND NONCURRENT LIABILITIES						
Accounts Payable	\$ 127,000	\$ 2,094,000	\$ 34,439,000	\$ 46,163,000	\$ 44,446,000	\$ 57,499,000
Utilities Management Agreement	-	-	4,720,000	4,720,000	4,720,000	4,720,000
OPEB Obligation	-	-	5,250,000	4,852,000	5,250,000	4,852,000
Current Portion of L-T Debt	-	-	9,548,000	14,161,000	32,459,000	31,819,000
Accrued Expenses	-	-	11,369,000	8,663,000	55,852,000	49,819,000
Deferred Income	-	-	-	-	39,154,000	37,672,000
Deposits Held in Custody for Others	40,589,000	40,627,000	599,000	876,000	41,188,000	41,503,000
Total Current and Noncurrent Liabilities	40,716,000	42,721,000	65,925,000	79,435,000	223,069,000	227,884,000
LONG-TERM LIABILITIES						
Utilities Management Agreement	-	-	90,120,000	90,120,000	90,120,000	90,120,000
OPEB Obligation	-	-	96,967,000	81,677,000	96,967,000	81,677,000
Net Pension Obligation	-	-	282,841,000	-	282,841,000	-
Federal Loan Program Refundable Contribution	-	-	14,440,000	14,404,000	14,440,000	14,404,000
Bonds and Master Lease Obligations	-	-	352,389,000	331,126,000	987,682,000	727,706,000
Total Long-Term Liabilities	-	-	836,757,000	517,327,000	1,472,050,000	913,907,000
Total Liabilities	40,716,000	42,721,000	902,682,000	596,762,000	1,695,119,000	1,141,791,000
NET POSITION						
Unrestricted	127,000	2,094,000	(402,880,000)	(128,669,000)	(29,113,000)	53,703,000
Restricted	30,021,000	37,846,000	-	-	30,021,000	37,846,000
Endowment	54,442,000	51,975,000	-	-	54,442,000	51,975,000
Capital Assets, Net of Related Debt	-	-	517,839,000	506,100,000	538,400,000	749,959,000
Total Net Position	84,590,000	91,915,000	114,959,000	377,431,000	593,750,000	893,483,000
Total Liabilities & Net Position	\$ 125,306,000	\$ 134,636,000	\$ 1,017,641,000	\$ 974,193,000	\$ 2,288,869,000	\$ 2,035,274,000

UNIVERSITY OF OKLAHOMA - NORMAN CAMPUS
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
AS OF DECEMBER 31, 2015 AND 2014
UNAUDITED - MANAGERMENTS USE ONLY

	Education & General		Sponsored Programs		Auxiliary Enterprises	
	12/31/2015	% of Budget	12/31/2015	% of Budget	12/31/2015	% of Budget
REVENUES:						
Tuition and Fees	\$ 165,983,000	55.1%	\$ 154,078,000	-	\$ 4,946,000	90.1%
Sponsored Programs	5,004,000	59.8%	4,622,000	\$ 78,674,000	1,276,000	0.0%
Sales and Services	9,332,000	21.2%	10,936,000	-	132,009,000	128.1%
State Appropriations	68,837,000	49.9%	77,441,000	-	-	0.0%
Private Gifts	8,090,000	68.8%	6,530,000	-	9,000	0.0%
On Behalf Payments	-	0.0%	-	-	-	0.0%
State School Land Funds	-	0.0%	-	-	-	0.0%
Endowment and Investment Income	3,059,000	50.8%	3,121,000	18,000	67,000	478.6%
Other Sources	23,057,000	66.6%	23,594,000	31,000	1,450,000	1.1%
Gross Margin	283,362,000	52.1%	280,322,000	78,723,000	139,757,000	57.2%
EXPENSES:						
Compensation	169,044,000	55.2%	163,025,000	37,426,000	36,863,000	49.9%
Depreciation	-	0.0%	-	-	14,688,000	51.7%
Scholarships and Fellowships	34,956,000	54.4%	28,822,000	1,405,000	5,975,000	51.0%
Utilities	16,702,000	51.8%	17,477,000	-	8,395,000	53.4%
Cost of Goods Sold	34,000	1133.3%	43,000	-	10,833,000	55.5%
Debt Service - Interest and Fees	77,000	0.0%	79,000	262,000	7,220,000	46.8%
Professional and Technical Fees	1,340,000	70.7%	1,304,000	1,376,000	1,115,000	44.7%
Maintenance and Repair	7,873,000	53.6%	7,521,000	-	7,623,000	51.6%
Supplies and Materials	5,840,000	20.3%	4,327,000	1,722,000	6,689,000	74.5%
Travel	4,411,000	108.3%	4,252,000	1,793,000	5,004,000	45.0%
Library Books and Periodicals	2,374,000	20.3%	3,900,000	-	-	0.0%
Communications	1,973,000	63.5%	1,868,000	227,000	1,021,000	43.8%
Other Uses	41,241,000	58.6%	42,074,000	34,512,000	25,768,000	60.3%
Total Expenses	285,865,000	53.2%	274,692,000	78,723,000	131,194,000	53.1%
Net Increase (Decrease) In						
Net Position	\$ (2,503,000)		\$ 5,630,000	\$ -	\$ 8,563,000	
						\$ 8,964,000

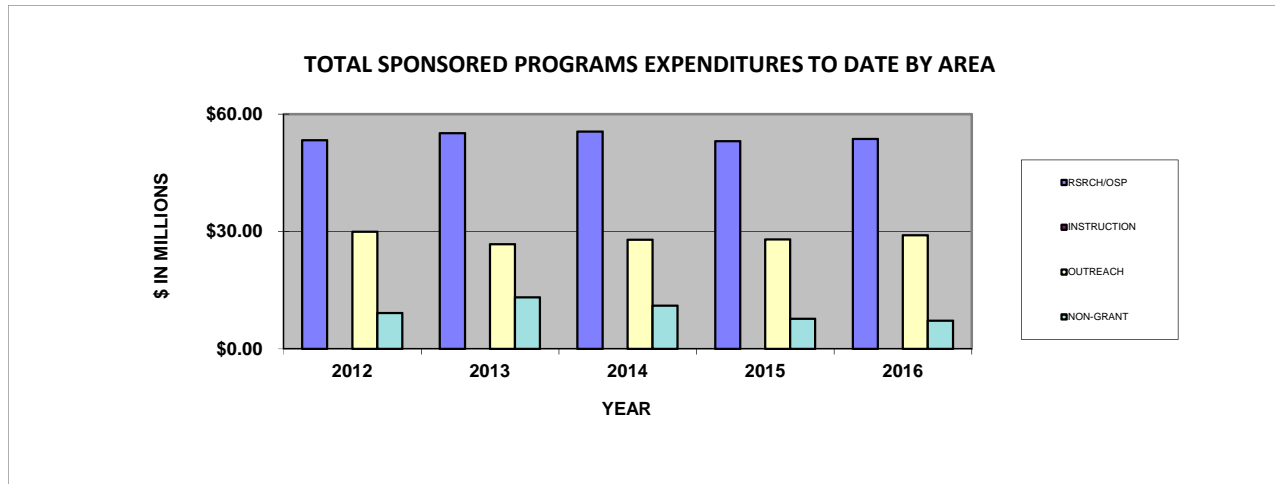
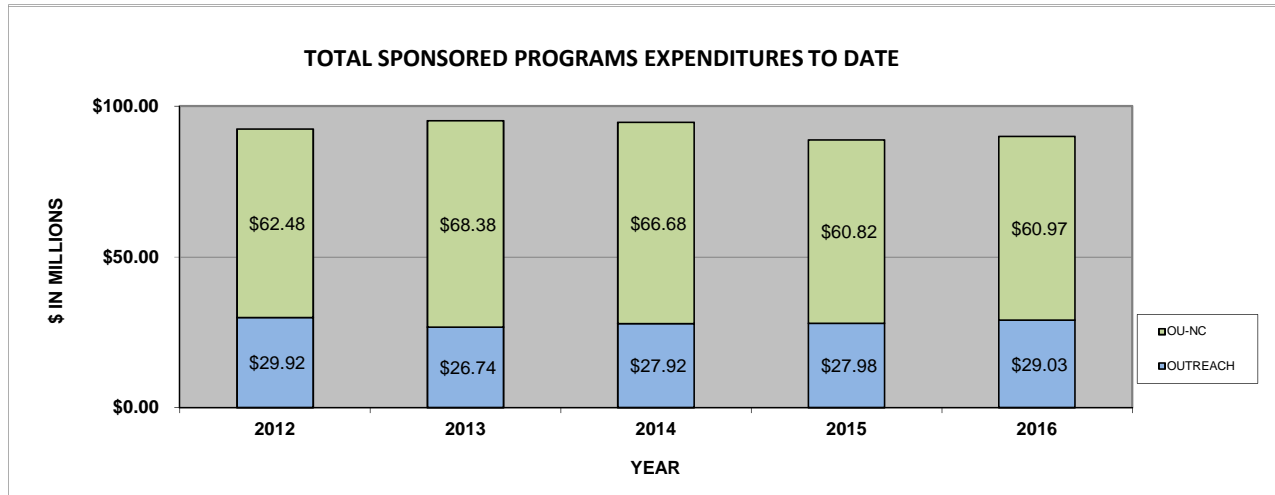
HEALTH SCIENCES CENTER AND NORMAN CAMPUS



	FY 2016 YEAR	YEAR %CHANGE	FY 2015 YEAR	FY 2016 JAN	MONTH %CHANGE	FY 2015 JAN
RESEARCH/OSP	\$ 103,870,560	3.81%	\$ 100,058,374	\$ 13,857,429	-4.18%	\$ 14,462,556
INSTRUCTION	\$ 15,178,177	-1.78%	\$ 15,453,637	\$ 1,933,044	-16.79%	\$ 2,323,000
OUTREACH	\$ 29,026,695	3.72%	\$ 27,984,542	\$ 3,919,319	6.91%	\$ 3,665,930
NON-GRANT/OTHER	\$ 17,597,017	-9.63%	\$ 19,471,214	\$ 1,797,827	-8.32%	\$ 1,960,876
TOTAL	\$ 165,672,450	1.66%	\$ 162,967,767	\$ 21,507,619	-4.04%	\$ 22,412,362

HEALTH SCIENCES CENTER AND NORMAN CAMPUS

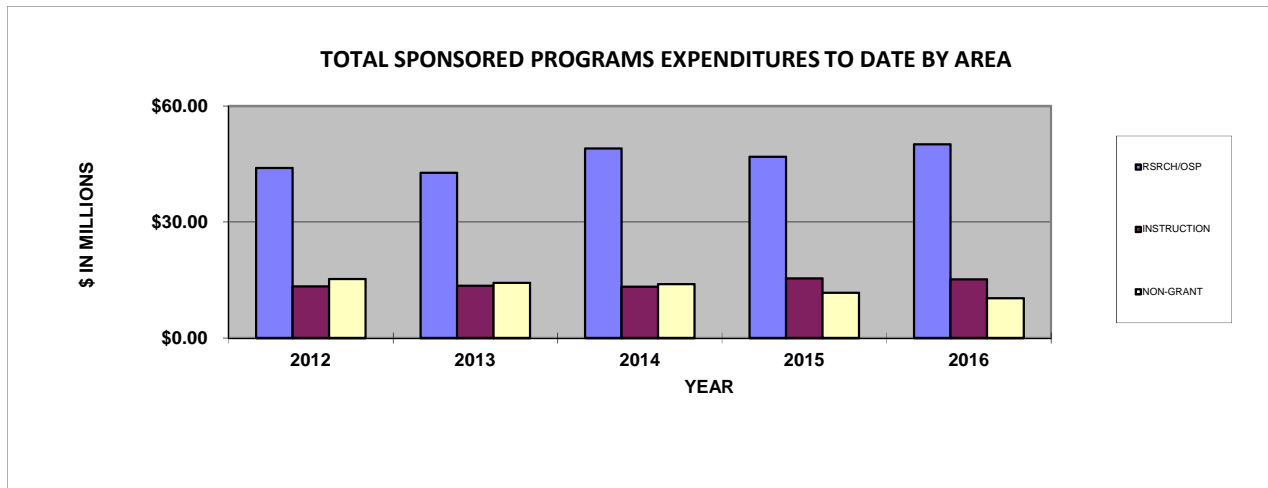
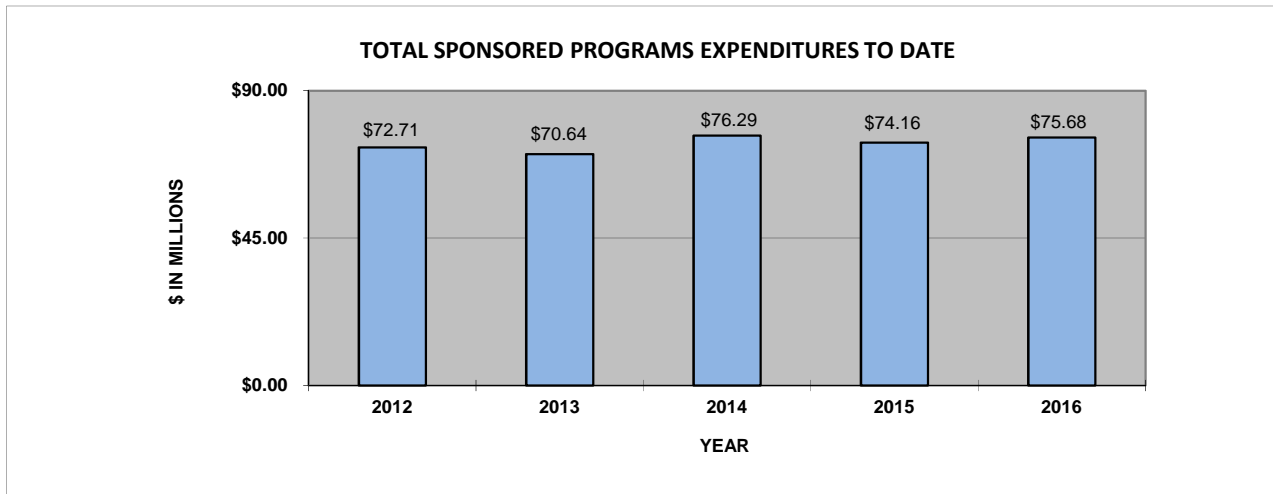
NORMAN CAMPUS



	FY 2016 YEAR	YEAR %CHANGE	FY 2015 YEAR	FY 2016 JAN	MONTH %CHANGE	FY 2015 JAN
RESEARCH/OSP	\$ 53,715,333	1.12%	\$ 53,120,960	\$ 6,891,971	-5.17%	\$ 7,267,682
INSTRUCTION	\$ -		\$ -	\$ -		\$ -
OUTREACH	\$ 29,026,695	3.72%	\$ 27,984,542	\$ 3,919,319	6.91%	\$ 3,665,930
NON-GRANT/OTHER	\$ 7,254,096	-5.79%	\$ 7,699,679	\$ 465,425	119.66%	\$ 211,888
TOTAL	\$ 89,996,124	1.34%	\$ 88,805,181	\$ 11,276,715	1.18%	\$ 11,145,500

NORMAN CAMPUS

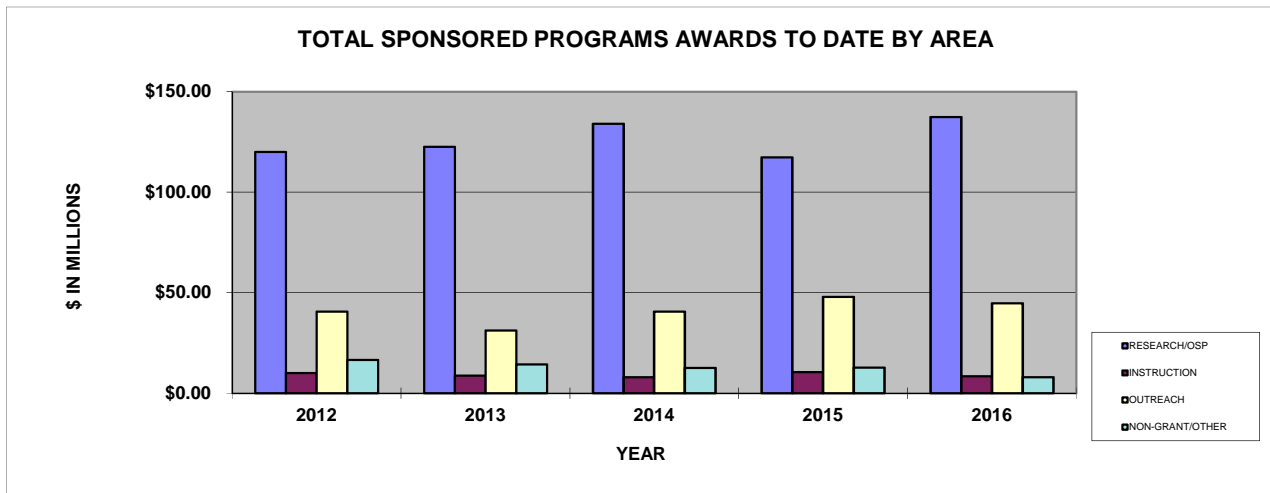
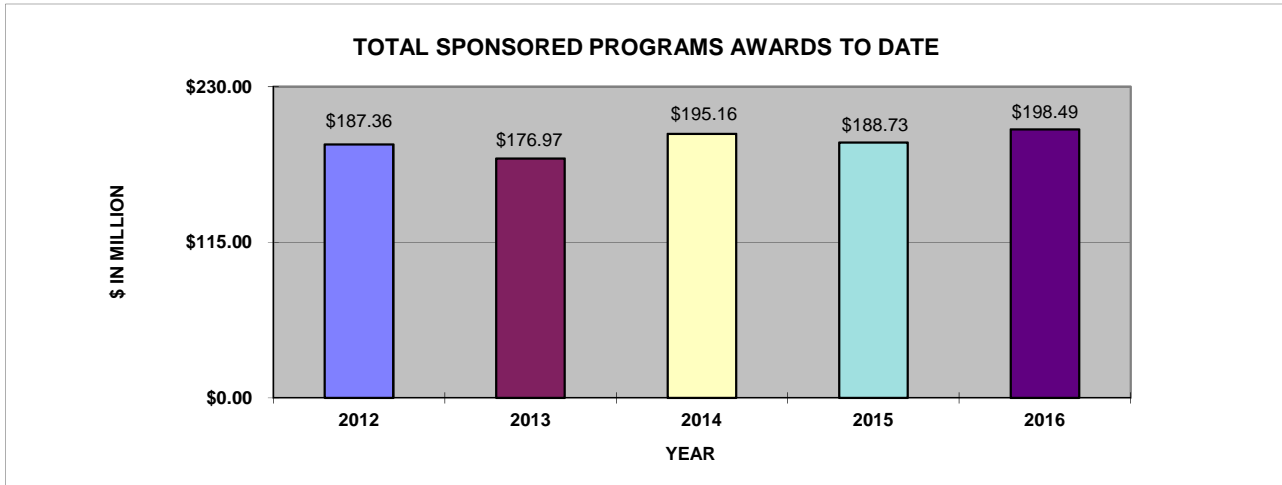
HEALTH SCIENCES CENTER



	FY 2016 YEAR	YEAR %CHANGE	FY 2015 YEAR	FY 2016 JAN	MONTH %CHANGE	FY 2015 JAN
RESEARCH/OSP	\$ 50,155,227	6.86%	\$ 46,937,414	\$ 6,965,458	-3.19%	\$ 7,194,874
INSTRUCTION	\$ 15,178,177	-1.78%	\$ 15,453,637	\$ 1,933,044	-16.79%	\$ 2,323,000
NON-GRANT/OTHER	\$ 10,342,921	-12.14%	\$ 11,771,535	\$ 1,332,402	-23.82%	\$ 1,748,988
TOTAL	\$ 75,676,326	2.04%	\$ 74,162,586	\$ 10,230,904	-9.19%	\$ 11,266,862

HEALTH SCIENCES CENTER

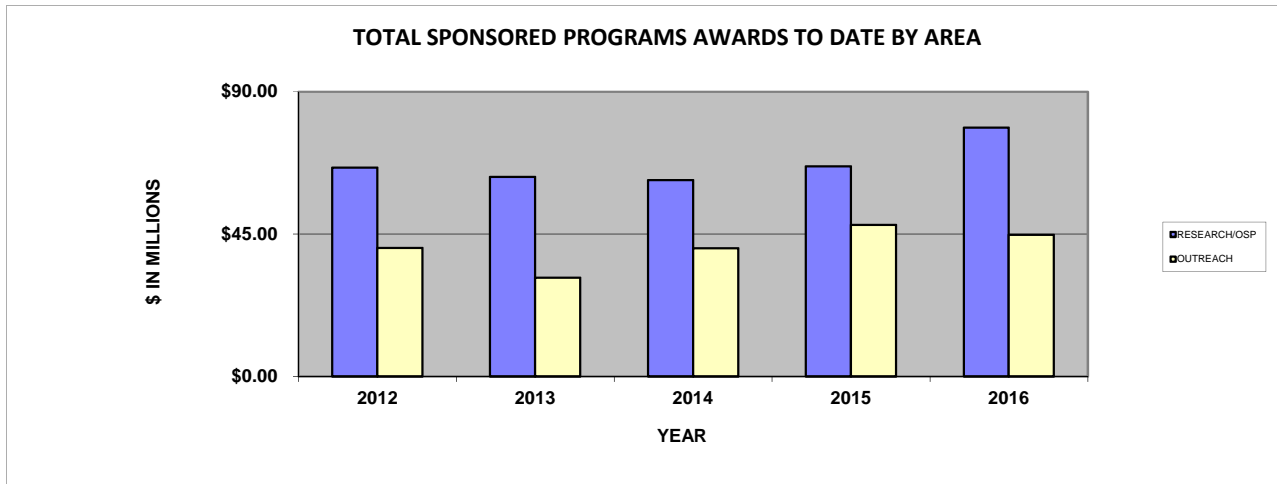
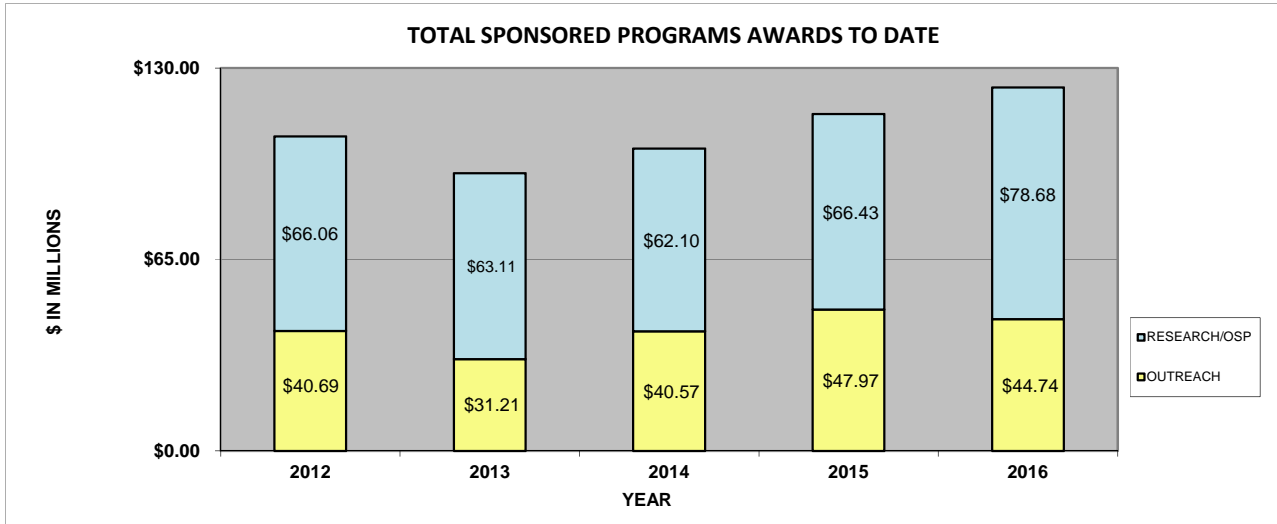
NORMAN CAMPUS AND HEALTH SCIENCES CENTER



	FY 2016 YEAR	YEAR %CHANGE	FY 2015 YEAR	FY 2016 JAN	MONTH %CHANGE	FY 2015 JAN
RESEARCH/OSP	\$ 137,413,443	17.05%	\$ 117,398,517	\$ 9,032,578	51.09%	\$ 5,978,427
INSTRUCTION	\$ 8,400,292	-20.09%	\$ 10,511,942	\$ 161,051	617.34%	\$ 22,451
OUTREACH	\$ 44,744,528	-6.73%	\$ 47,972,968	\$ 520,521	-94.02%	\$ 8,707,478
NON-GRANT/OTHER	\$ 7,932,736	-38.27%	\$ 12,851,097	\$ 544,864	-29.89%	\$ 777,107
TOTAL	\$ 198,490,998	5.17%	\$ 188,734,524	\$ 10,259,014	-33.75%	\$ 15,485,463

NORMAN CAMPUS AND HEALTH SCIENCES CENTER

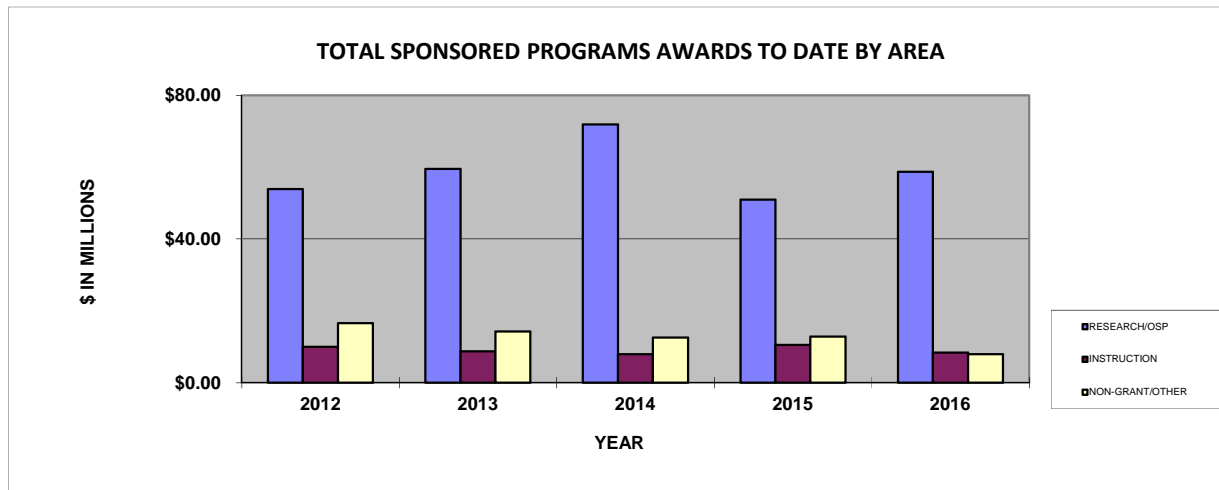
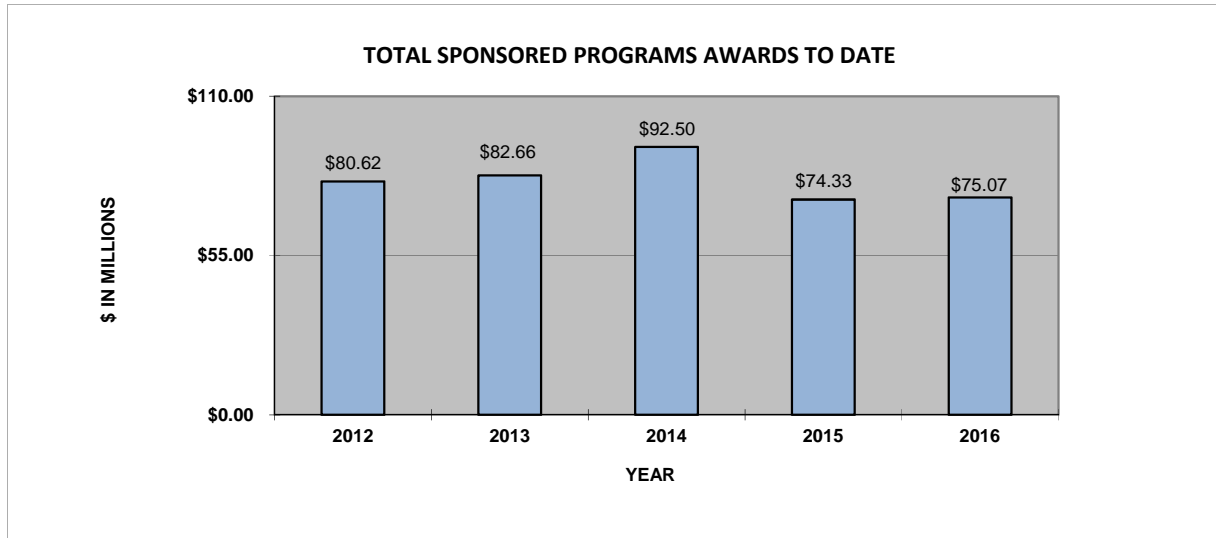
NORMAN CAMPUS



	FY 2016 YEAR	YEAR %CHANGE	FY 2015 YEAR	FY 2016 JAN	MONTH %CHANGE	FY 2015 JAN
RESEARCH/OSP	\$ 78,680,261	18.45%	\$ 66,427,147	\$ 5,095,298	7.70%	\$ 4,731,004
INSTRUCTION	\$ -		\$ -	\$ -		\$ -
OUTREACH	\$ 44,744,528	-6.73%	\$ 47,972,968	\$ 520,521	-94.02%	\$ 8,707,478
NON-GRANT/OTHER	\$ -		\$ -	\$ -		\$ -
TOTAL	\$ 123,424,788	7.89%	\$ 114,400,115	\$ 5,615,819	-58.21%	\$ 13,438,482

NORMAN CAMPUS

HEALTH SCIENCES CENTER



	FY 2016 YEAR	YEAR %CHANGE	FY 2015 YEAR	FY 2016 JAN	MONTH %CHANGE	FY 2015 JAN
RESEARCH/OSP	\$ 58,733,182	15.23%	\$ 50,971,370	\$ 3,937,280	215.63%	\$ 1,247,423
INSTRUCTION	\$ 8,400,292	-20.09%	\$ 10,511,942	\$ 161,051	617.34%	\$ 22,451
NON-GRANT/OTHER	\$ 7,932,736	-38.27%	\$ 12,851,097	\$ 544,864	-29.89%	\$ 777,107
TOTAL	\$ 75,066,210	0.98%	\$ 74,334,409	\$ 4,643,195	126.83%	\$ 2,046,981

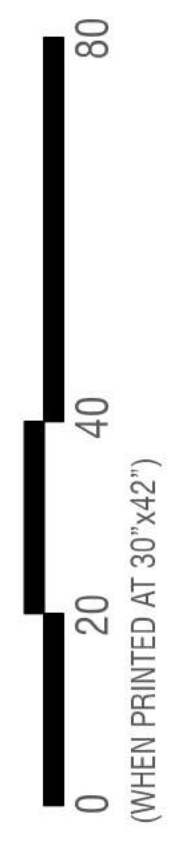
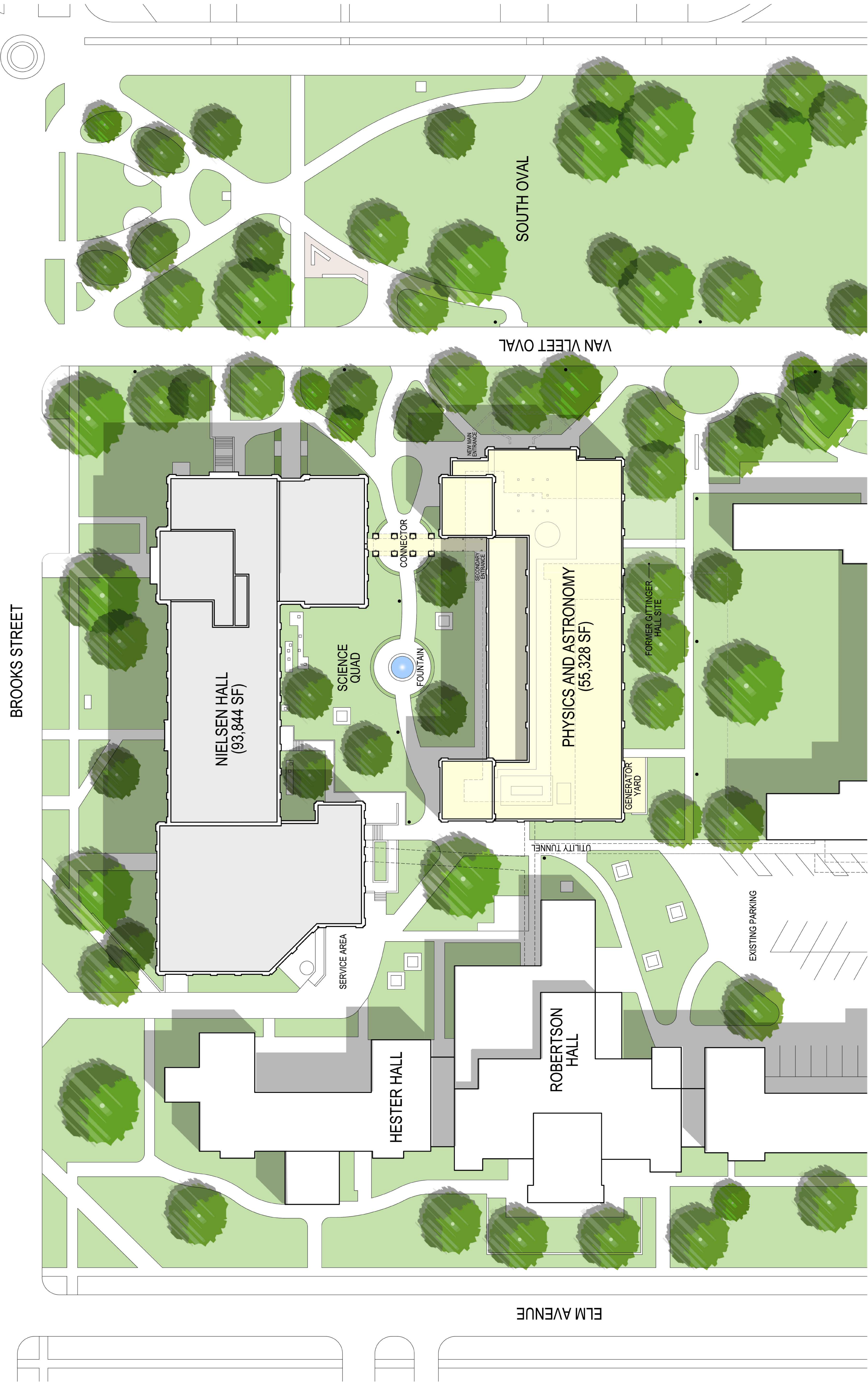
HEALTH SCIENCES CENTER

NORMAN CAMPUS & HEALTH SCIENCES CENTER
REPORT OF CONTRACTS AWARDED (OVER \$1M)
December 2015 & January 2016

AWD #	AGENCY	TITLE	VALUE	PERIOD	PI(s)
115378900	ST-NMSEDU	NEW MEXICO STSP YR04	\$1,184,922	12 mo.	Belinda Biscoe (CSEDUTAS)
115217100	OK-DHS	CWS NEW WORKER TRAINING FY16	\$1,683,809	8 mo.	Vince Deberry (CSCPM)
105386800	DOC-NOA	PHASED ARRAY RADAR PROGRAM	\$2,510,000	12 mo.	Robert Palmer (VPR)
3 Total			\$5,378,731		

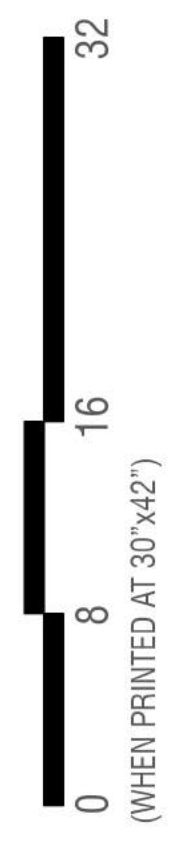
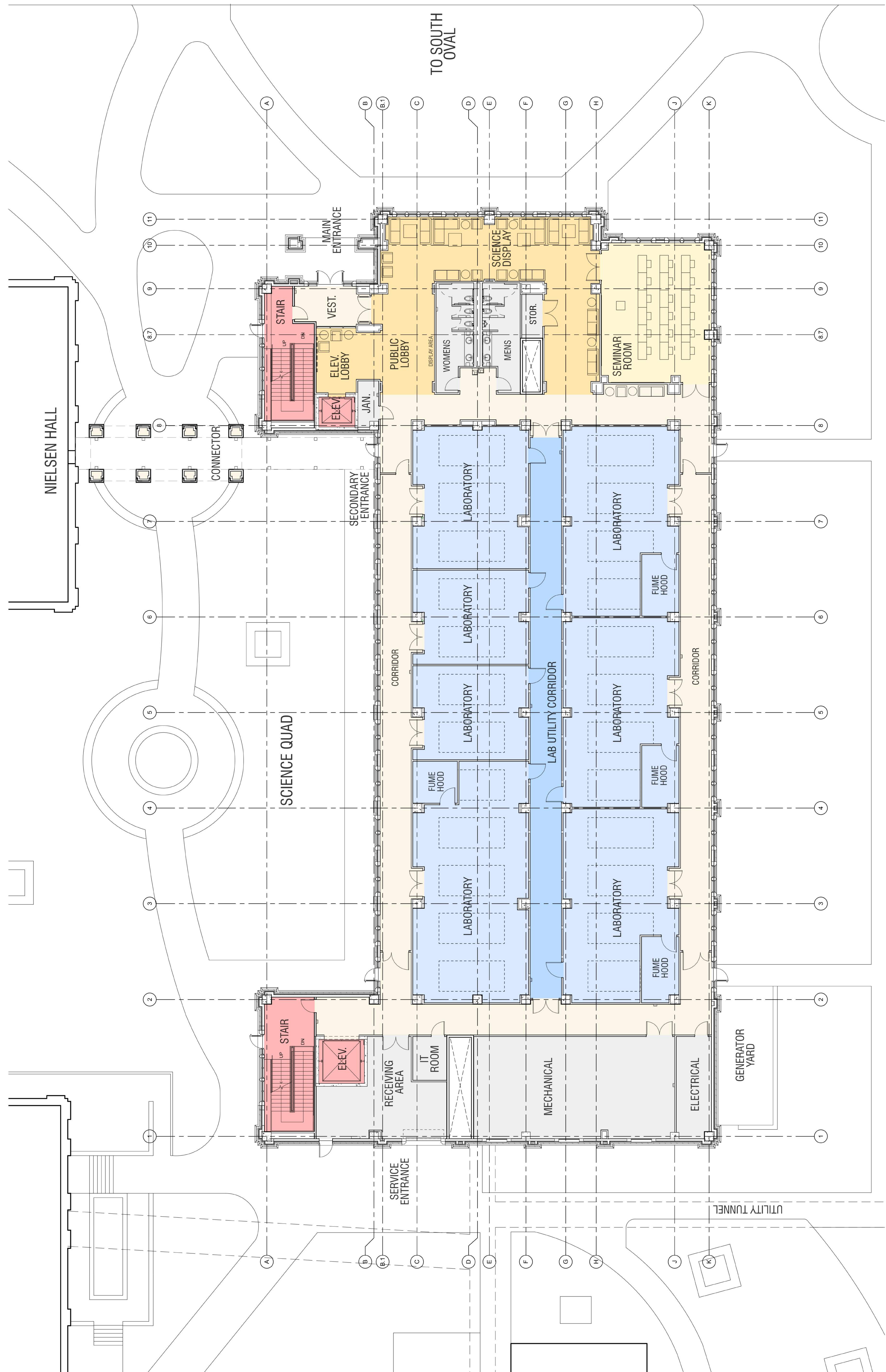


UNIVERSITY OF OKLAHOMA PHYSICS AND ASTRONOMY
SITE PLAN
SPRING 2016





UNIVERSITY OF OKLAHOMA PHYSICS AND ASTRONOMY
FIRST FLOOR PLAN
SPRING 2016



MILES ASSOCIATES
ARCHITECTURE + PLANNING + INTERIORS



UNIVERSITY OF OKLAHOMA PHYSICS AND ASTRONOMY
BIRDSEYE VIEW FROM NORTHEAST (WITH NIELSEN HALL)
SPRING 2016





MILES ASSOCIATES
ARCHITECTURE + PLANNING + INTERIORS

FDR

UNIVERSITY OF OKLAHOMA PHYSICS AND ASTRONOMY
VIEW OF NEW MAIN ENTRANCE
SPRING 2016



EXHIBIT K





MILES ASSOCIATES
ARCHITECTURE + PLANNING + INTERIORS

FDR

UNIVERSITY OF OKLAHOMA PHYSICS AND ASTRONOMY
VIEW OF SCIENCE DISPLAY AREA
SPRING 2016



EXHIBIT K



DISTRIBUTION SAF 2016-2017 ANNUALIZED FUNDS

	2014-2015	2015-2016	2016-2017
Capital Projects ⁽¹⁾	\$500,000.00	\$500,000.00	\$460,000.00
Dean of Students	\$448,676.00	\$523,919.00	\$548,919.00
Facility Bond	\$150,000.00	\$150,000.00	\$150,000.00
Fitness & Recreation	\$368,661.00	\$385,661.00	\$388,161.00
Gender and Equality Center ⁽²⁾	\$90,951.28	\$107,951.28	\$111,451.28
Reserve ⁽³⁾	\$69,485.32	\$74,801.90	\$74,801.90
Student Government Association	\$628,729.82	\$656,729.82	\$656,729.82
Student Life	\$605,104.00	\$639,104.00	\$649,104.00
Student Media	\$177,061.00	\$183,061.00	\$191,061.00
University Counseling Center	<u>\$420,256.00</u>	<u>\$472,272.00</u>	<u>\$490,954.00</u>
GRAND TOTAL	\$3,474,167.42	\$3,693,500.00	\$3,721,182.00 ⁽⁴⁾

⁽¹⁾ For future capital projects.

⁽²⁾ Women's Outreach Center became the Gender and Equality Center during FY16.

⁽³⁾ Two percent of Student Activity Fees and any unallocated monies are allocated to the Reserve.

⁽⁴⁾ FY17 projection provided by Chief Financial Officer.

THE UNIVERSITY OF OKLAHOMA

3.1.12—FIREARMS POLICY

Firearms and munitions of all types are prohibited on all property owned, leased, or occupied by the Board of Regents at all times except as specifically authorized. The full text of the Firearms Policy is included in the Faculty, Staff, and Student Handbooks of the Norman and Health Sciences Center campuses.

The text below will appear in Faculty, Staff, and Student Handbooks as noted above.

- A) For purposes of this policy, firearms include but are not limited to, the following: conventional weapons, from which a projectile is discharged by an explosive propellant charge; antique, replica, and inert firearms; compressed gas weapons; and spring-propelled weapons. For purposes of this policy, munitions include but are not limited to any projectile, which incorporates a propellant charge and/or explosive contents.
- B) Firearms are permitted on campus only under the following circumstances:
- 1) In the possession or control of sworn law enforcement officers or properly licensed armed security guards employed by the University who are performing their assigned duties. The Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus must approve in advance the use or employment of armed private security providers.
 - 2) In the possession of personnel of active or reserve armed forces of the United States or the Oklahoma National Guard when in the performance of duties assigned by an authorized commander.
 - 3) In the possession of Reserve Officer's Training Corps ("ROTC") participants when under the supervision of authorized members of the regular armed services.
 - 4) In the possession of members of the RUF/NEKS organization certified by the University Police Department as having satisfactorily completed a handling safety class, subject to the following additional conditions:
 - a) The University Police Department shall inspect and approve the firearms.
 - b) Blank ammunition only will be used in these weapons; live ammunition will not be present with these weapons at any time.
 - c) Violation of the safe handling procedures established by the University Police Department will result in withdrawal of the offending individual's certification.
 - d) Possession of these firearms will be limited to use during official RUF/NEKS functions.
 - 5) For use during public performances, subject to the following conditions:
 - a) Firearms for use in public performances shall be rendered inoperable whenever discharge is not required as an integral part of the production.

THE UNIVERSITY OF OKLAHOMA

- b) When discharge is necessary as part of a performance, blank ammunition shall be used. Blank ammunition is not permitted in weapons pointed at performers at any time during the production.
 - c) Weapons present for a performance shall be kept secure or in the possession and control of a designated staff member at all times when not actively in use.
- 6) For display in support of the educational mission of the University. Such firearms must be inoperable, approved, and secured by means acceptable to the Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the Director of Operations at the Tulsa Campus.
- 7) For use as a teaching aid, subject to the following conditions:
- a) Permission for such use must be granted by the academic department head and prior written notice of the presence of the firearm on campus must be given to the Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus.
 - b) Such firearms must be approved and secured by means acceptable to the Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus.
 - c) Munitions shall not be present with firearms when used in class.
- 8) As “starter pistols”, incapable of chambering or firing live projectile ammunition, for athletic events. Starter pistols and blank ammunition shall be secured at all times when not in use and shall be under the control of appropriate staff or athletic officials when in use.
- 9) As construction equipment employing blank ammunition as a propellant for setting fasteners when used by or under the supervision of authorized and trained personnel.
- 10) The cannon known as “Old Trusty” used by members of the group “Loyal Knights of Old Trusty” or “LKOT”, and the 75mm howitzer used by the Army ROTC detachment may continue in their respective traditional uses, subject to the following conditions:
- a) The safe use of these pieces is the responsibility of the designated faculty sponsor(s).
 - b) The LKOT and the Army ROTC will notify the University Police Department of intended use of their respective pieces sufficiently in advance of a use to enable notification of other public safety agencies.
- 11) For ceremonial purposes, other than those specifically identified in Section B.10 above, any group desiring to use a firearm and/or munitions on the campus will tender a request to the Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus.

THE UNIVERSITY OF OKLAHOMA

- a) The Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus will review the request and forward it to the Office of Legal Counsel with a recommendation for approval or disapproval based upon public safety considerations.
 - b) The Office of Legal Counsel will notify the Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus and other appropriate officers of any approvals that are granted for the use of ceremonial firearms.
- 12) Upon approval and for a specific purpose and limited time by the Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus.
- C) For purposes of this policy, “inoperable” means physically rendered incapable of firing either by removal of critical parts or installation of a device to prevent operation, or both. Where firearms are required to be rendered inoperable, the Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus shall inspect and certify that it is inoperable.
 - D) Where permitted on campus for other than law enforcement or armed forces use, all firearms shall be secured by means approved by the Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus.

The Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus will respond to reports of alleged violations, investigate alleged violations, and submit reports of findings of alleged unauthorized presence, possession, or use of firearms on campus.

- A) The Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus will initiate criminal prosecution if they believe an individual has violated a local, state, or federal law.
- B) Copies of police reports regarding alleged unauthorized firearms incidents will be submitted to the Office of Legal Counsel.
 - 1) Upon determination made by the Chief of Police at the Norman Campus, the Chief of Campus Police at the Oklahoma City Campus, or the ~~Director of Operations~~ Chief of Police at the Tulsa Campus that a currently enrolled student, faculty, or staff member; dependent of student, faculty, or staff; or a visitor of a student, faculty, or staff member has violated this policy, the Office of Legal Counsel may initiate action including but not limited to the following:
 - a) Immediately suspend or expel the student, and/or
 - b) Immediately suspend from employment the student, staff or faculty member, or
 - c) Bar the dependent or visitor from campus.

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- 2) Student disciplinary action may be imposed in addition to criminal prosecution arising from unauthorized possession or use of firearms and/or munitions. Disciplinary action may be initiated prior to the completion of criminal prosecution.

(RM, 4-25-96, p. 24902; 3-29-00, p. 26909; 1-27-2004, p. 28924; 6-23-04, p. 29151)

4.8.1—UNIVERSITY POLICE OFFICERS

~~4.8.1.A NORMAN AND HEALTH SCIENCES CENTER CAMPUSES~~

In 1963, the Oklahoma Legislature passed a law that allows universities and colleges to commission their campus police officers. Under this law, the police officers have all the power vested by law in peace officers, except the service of civil process, in the protection and guarding of grounds, buildings, persons, and equipment of the university, and the prevention of improper conduct and trespassing upon the grounds of the university. The law also allows commissioned campus police officers to make arrests and take into custody persons guilty of illegal conduct or trespassing.

All campus police officers of the University shall be commissioned by the Board of Regents.

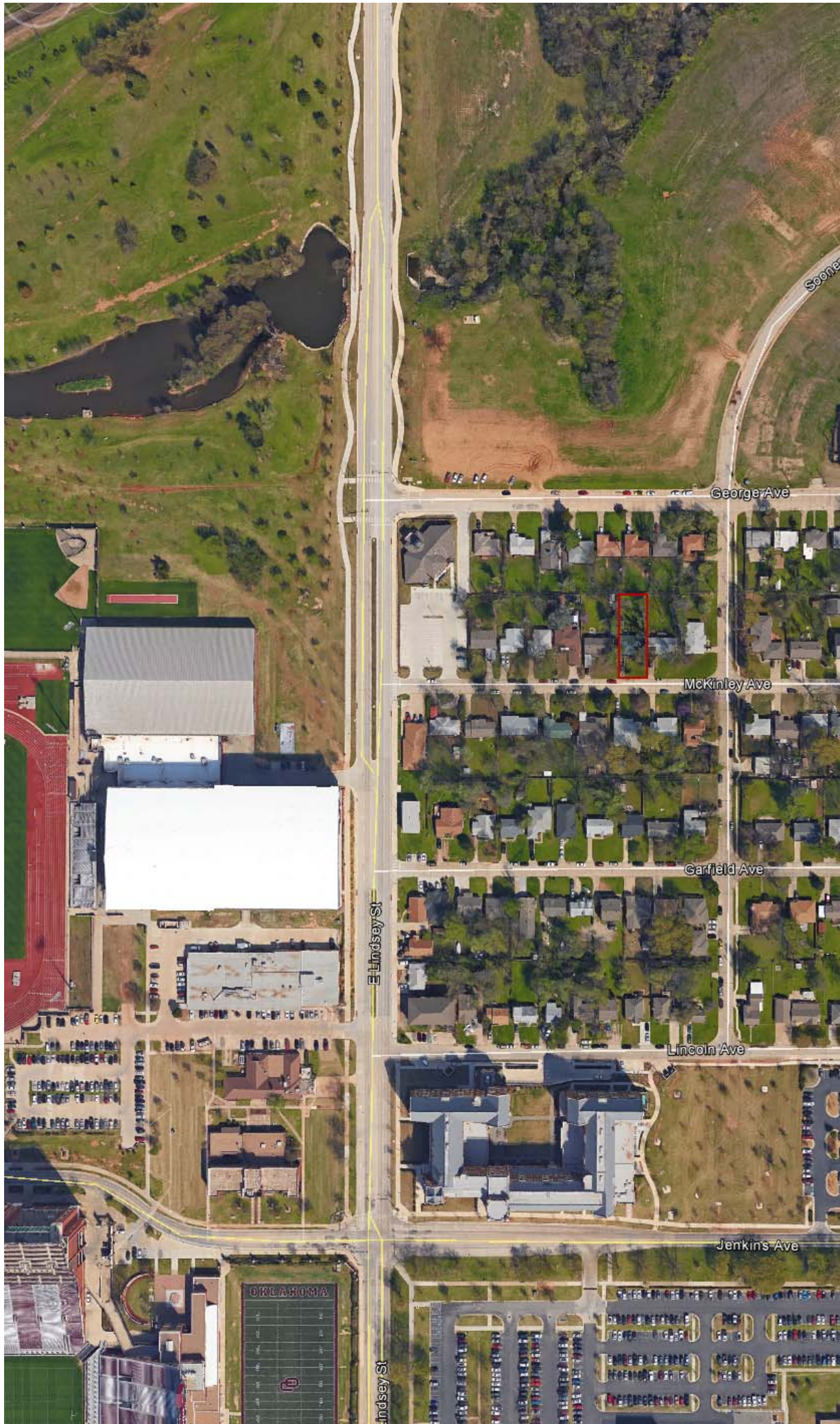
The Board of Regents shall prescribe the duties, fix the compensation, and provide a written commission for the police officers.

The following duties shall be given to the campus police officers:

- To enforce all University rules and regulations referred to them for enforcement.
- To enforce all state and federal criminal laws upon the property of the University.
- To protect and safeguard all students, employees, and visitors of the University.
- To protect and guard all of the grounds, buildings, and equipment of the University.
- To strive to prevent any improper conduct at the University.
- To prevent trespassing upon any property belonging to the University.
- When called upon, to aid any other law enforcement agency.
- To enforce traffic regulations.
- To make investigations and inquiries believed necessary to carry out all of the other duties.
- To make arrests and take into custody any person when such action is necessary to carry out the duties of the office.

~~4.8.1.B TULSA CAMPUS~~

~~At the Tulsa Campus, the University shall employ security officers. These officers are not empowered as law enforcement officers. These officers report to the Director of Operations on the Tulsa Campus.~~



1315 McKinley Avenue

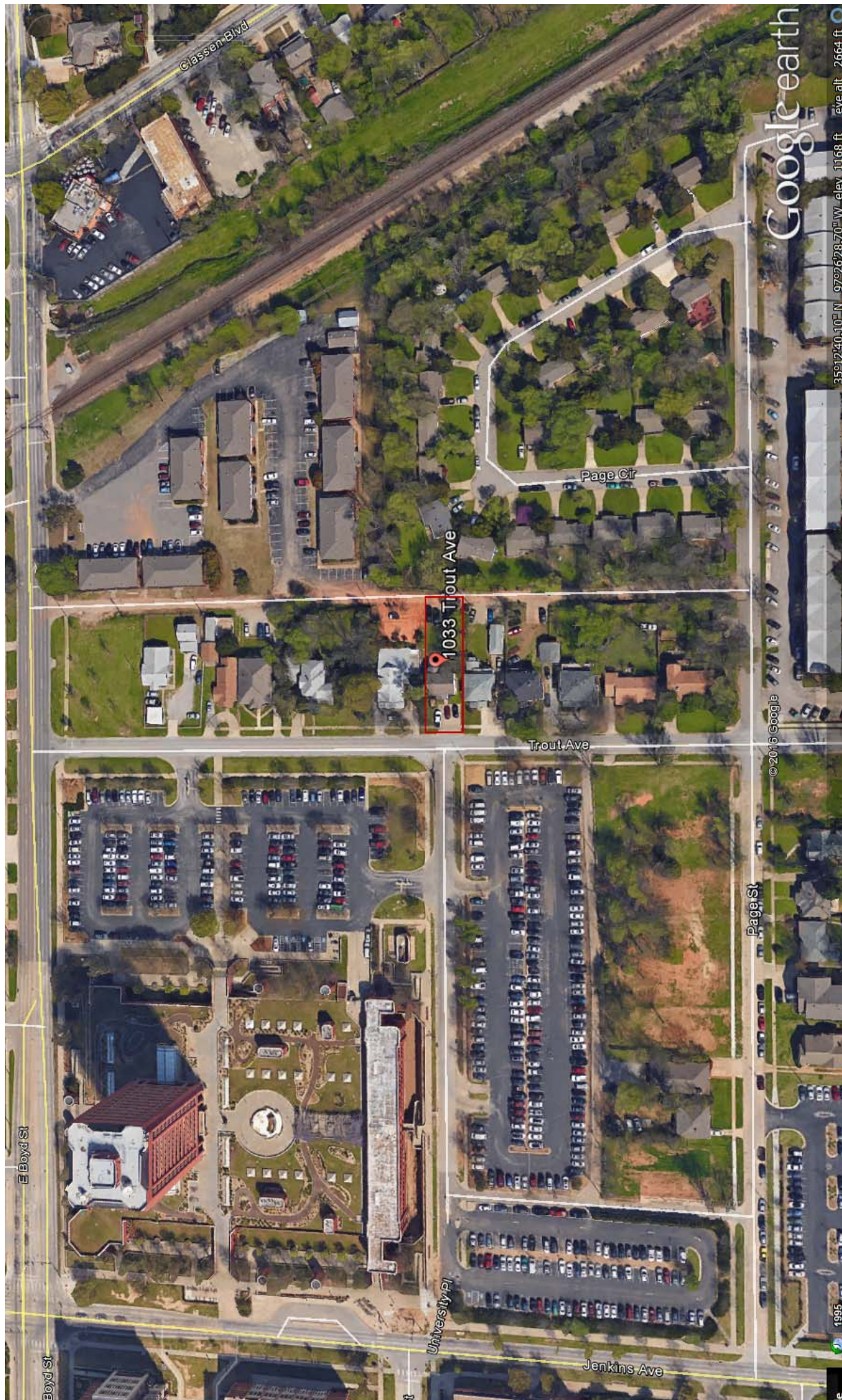


EXHIBIT O

1033 Trout Avenue

REGENTS' FACULTY AWARDS**Regents' Award For Superior Teaching****Julia Ehrhardt, Honors College**

Julia Ehrhardt earned her Ph.D. in American studies from Yale University in 1998 and was one of the first faculty hired for The University of Oklahoma's new Honors College later that year. According to her nominating materials, she "has emerged as a truly great teacher, who gives her time and energy tirelessly to her students, and who cares deeply about them while nonetheless demanding they learn to read carefully and to write well." The dean of the Honors College writes, "It is my firm judgment that Julia Ehrhardt is indeed a truly great teacher, one of the three or four very best teachers I have ever known, including from my years as a student, colleague, and administrator. ... She takes every student seriously as an individual. She cares. And she demonstrates this by giving them her time and attention and gentle guidance, and also by listening." She has taught several upper level colloquia in the Honors College as well as many sophomore and first-year introductory courses. She has participated as a faculty member in several study abroad programs, including three in Italy and one in Ireland. Since 1973 she has supervised 73 undergraduate honors theses and independent direct reading projects and has served as an outside committee member for doctoral students in anthropology, English, geography, history, and meteorology. She single-handedly created the Honors College Outdoor Adventure program. Now in its tenth year, this program is considered one of the college's strongest, with high student loyalty and group morale. Dr. Ehrhardt is a scholar of American literature, with a focus on women's literature from the turn into the twentieth century to the present. She is particularly interested in the ways fiction and nonfiction writing by popular women writers express issues of national social and political significance, and the ways in which popular women writers engage issues of embodiment. She is the author of *Writers of Conviction: The Personal Politics of Zona Gale, Dorothy Canfield Fisher, Rose Wilder Lane, and Josephine Herbst* (2004). She is currently working on a book about dieting in American literature.

Sandie Holguin, Department of History, College of Arts and Sciences

Sandie Holguin received her Ph.D. from the University of California, Los Angeles. She is an Associate Professor in the department of history and an Associate Adjunct Professor in the women's and gender studies program. She is the author of *Creating Spaniards: Culture and National Identity in Republican Spain* and is currently at work on a history of flamenco. Dr. Holguin has established a sterling reputation as a teacher at OU for the last two decades by offering a host of innovative and imaginative courses in European history. Dr. Holguin's undergraduate and graduate courses cover the period from the Enlightenment to the present day. She teaches an intensive writing colloquium on the Spanish Civil War, and she is the sole creator of The History Sleuth (HIST 2573), an innovative methods course described as "teaching students to track down evidence and critically assess a wide range of primary sources (documents, photographs, even maps), focusing on two key questions: 'What can we know?' and 'How can we know it?' ... So many faculty [members] now want to teach it that there is a waiting list." In addition to her influence on and strengthening of the undergraduate history curriculum, Dr. Holguin also teaches upper division courses on topics such as capitalism and socialism, modern Spain, the intellectual and cultural history of twentieth century Europe, modern European women and gender relations, and a graduate seminar on European cultural and intellectual history. Her *History of Feminist Thought* has been a core capstone for both the women's and gender studies program and the history department. Her letter of nomination states that Dr. Holguin has "mastered teaching's delicate balancing act. Her students praise her warm classroom manner, ... her aim in class discussions is to foster the expression of diverse points of view, and to help students learn that complex topics can be viewed from different perspectives, rather than just a narrow partisan lens."

Aparna Mitra, Department of Economics, College of Arts and Sciences

Aparna Mitra is an Associate Professor of Economics and Director of the Advanced Program in Economics. She received her Ph.D. in political economy from the University of Texas at Dallas. Since joining the economics department in 2000, Dr. Mitra has taught eleven different honors and non-honors courses, including courses on poverty, income inequality and public policy, as well as Current Issues in the Labor Market for the Honors College. Dr. Mitra teaches both honors and non-honors sections of Intermediate Microeconomic Theory, Labor Problems, and Economics of Discrimination. She also co-taught a presidential dream course on economics of discrimination in 2009. She was awarded the Patten Award for Outstanding Teaching in the Humanities in the College of Arts and Sciences; the Most Inspiring Faculty Award by OU Athletics; and Outstanding Undergraduate Faculty Award in the Department of Economics. She was most recently awarded a Presidential Teaching Fellowship in the Honors College for 2016-18. Her nomination letter summarizes the impact of her student engagement: "Aparna takes active interest in encouraging her students to engage in research despite the energy and time this commitment demands from her. She has supervised over 60 honors theses and her students have presented their papers at regional economics conferences. She has collaborated with four of her honors students to publish their theses in peer reviewed economics journals. This is highly commendable in light of the fact that it is not easy to publish an undergraduate thesis in technical economics journals." Dr. Mitra's research interests are in the fields of development, labor economics, and social policies in the U.S. and the developing world. She has published more than 20 articles in peer reviewed economics journals. In addition, she has published one book chapter and will publish another in 2016. She is on the editorial board of the Forum for Social Economics and served as President of the Southwestern Economics Association. She is currently serving as the Southwest Regional Director for the Association for Social Economics.

Jeremy Short, Division of Management & International Business, Michael F. Price College of Business

Jeremy Short, Professor and Rath Chair in Strategic Management, has taught in the Price College of Business since 2011. He is one of the world's leading authorities on family business and "crowdfunding." He is also the author of highly innovative teaching materials including graphic novels, which approach business topics in a unique manner. A doctoral graduate of strategic management in 2000 from Louisiana State University, Dr. Short was previously an Assistant Professor at Portland State University and Utah State University and an Associate Professor at Texas Tech University before joining the faculty at The University of Oklahoma in 2011. He has taught courses at all levels, from introductory business classes to doctoral research methods. He has also been a pioneer for online education within the Michael F. Price College of Business, producing the course Introduction to Management as an open course on the Janux platform that enabled his innovative teaching to reach a global audience. Dr. Short's research focuses on the determinants of firm performance, strategic decision processes, entrepreneurship, research methods, franchising, and family business. It has appeared in several notable academic journals, including the *Strategic Entrepreneurship Journal*, *Strategic Management Journal*, and *Journal of Management* as well as in *Scientific American Mind* and *The Wall Street Journal*.

Deirdra Renae Terrell, Department of Biostatistics and Epidemiology, College of Public Health

Dr. Terrell received a B.S. in biology from Oklahoma Baptist University in 1998. She continued her education at The University of Oklahoma Health Sciences Center and received both her master of public health (2000) and doctoral degree (2008) in epidemiology. Dr. Terrell joined the faculty as an Assistant Professor of Research in the department of biostatistics and epidemiology in 2008 and accepted a tenure-track Assistant Professor position in 2012. Her teaching experience began during her doctoral program when she was the instructor for the Computer Applications in Public Health course; however, her love for teaching began while teaching the Principles of Epidemiology course. Although introductory courses are challenging due to the fact that they are required for students of all majors, Dr. Terrell enjoys this challenge and works hard

to bring enthusiasm to each lecture. In upper-level courses she encourages discussion-based sessions combined with student facilitated classes to encourage comprehension and retention of the material. Most importantly, Dr. Terrell always incorporates experiences from her own research in benign hematology and clinical trials in her courses which provide a current and relevant educational experience for her students.

Dr. Terrell has served as the instructor for three courses (Principles of Epidemiology, Scientific Integrity in Research, and Clinical Trials), and she has supported five courses (Epidemiology and Prevention of Chronic Diseases, Epidemiology of Infectious Disease, Clinical Trials, Principles of Epidemiology, and Problems in Biostatistics and Epidemiology) at OUHSC by serving as a guest lecturer. In 2008, Dr. Terrell was tasked with creating a course to fulfill the responsible conduct of research (RCR) requirement for graduate students in the department of biostatistics and epidemiology. The Scientific Integrity in Research course was first taught in Fall 2010. This course has a discussion based format and covers topics from the History of Human Subjects' Protection to Public Health ethics. Dr. Terrell has also created two training programs as part of the Oklahoma Shared Clinical and Translational Resources (OSCTR) grant. She is the Director of the Summer Research Training Program (SRTP), which is an eight-week program designed to provide training in clinical and translational research to professional and graduate students. Students are required to participate in both a didactic session designed to give an overview of clinical and translational principles and a hands-on mentoring experience. She is also the Associate Director of the Translating Practice into Research Program (TPIR) which is designed to assist practicing healthcare professionals translate a problem they see in practice into a feasible research project. Dr. Terrell's role as a co-investigator in the Oklahoma Thrombotic Thrombocytopenic Purpura Registry has also allowed her to not only mentor but also be a joint-author with nineteen students. Dr. Terrell also believes that as a minority and first generation college student it is important to mentor and encourage students who come from underrepresented and low income backgrounds. Dr. Terrell's service on the American Society of Hematology Committee on Promoting Diversity, has allowed her to be a career mentor to two medical students who were part of the Minority Medical Student Award Program. She has also been the keynote/motivational speaker at Seminole State College for low-income, first generation college students and high school students in the Upward Bound program. Dr. Terrell's teaching efforts have been recognized in her department (BSE Outstanding Faculty Award for Teaching/Mentoring, 2011) and in the college (Faculty Award for Outstanding Teaching, College of Public Health, 2015).

Regents' Award For Superior Research And Creative Activity

Courtney Houchen, Department of Medicine, College of Medicine

Courtney W. Houchen, M.D. is Chief, Digestive Diseases & Nutrition, Professor of Medicine, and Frances & Malcolm Robinson Chair at The University of Oklahoma Health Sciences Center. He is also Medical Director of Endoscopy at OU Medical Center. He received his B.S. in zoology from Howard University, M.S. in Biology from Atlanta University, and M.D. from Temple University School of Medicine. He completed his internship and residency within the department of internal medicine at the University of Maryland Hospital in Baltimore, MD and performed his fellowship in gastroenterology at the department of internal medicine at Washington University School of Medicine in St. Louis, MO.

As a physician scientist, Houchen's primary interests are in basic, clinical, and translational cancer research. Specifically, he has a broad background in gastrointestinal and stem cell biology. His current research is centered on identification, isolation, and characterization of intestinal, pancreatic, esophageal, and colon stem cells. His research spans from basic understanding of gene regulation to chemoprevention and novel therapeutics against inflammation of the gastrointestinal tract. He serves as a reviewer in several NIH study sections and is currently involved in many institutional, regional, and national activities pertaining to cancer research.

Dr. Houchen's early research career led him to become one of the first investigators to demonstrate the importance of RNA binding proteins in cancer biology. His recent investigations have explored the development of novel diagnostic markers for cancer and the molecular mechanisms that stem cell specific proteins play in the pathogenesis of cancer. Using DCLK1 as the novel marker for stem cells, he has been able to demonstrate its functional importance in signal transduction, epithelial-mesenchymal transition, and cancer stem cell growth. He has developed a national and international reputation for his work in studying stem cells and in the pathogenesis of gastrointestinal and pancreatic malignancies. Because of his recognition as a leader in the field of gastrointestinal stem cell, he has been asked to give keynote addresses at multiple national and international symposia, including Digestive Disease Week (DOW), the Federation of American Societies for Experimental Biology (FASEB), Keystone Conference on Stem Cells, the American Gastroenterology Association's Freston Conference on Stem Cell Biology, and the National Medical Association in Canada. He has been invited, just about every year, to Beijing China to present his research on micro-RNAs and the role of DCLK1 as a marker for stem cell identification and targeted cancer therapies at the Chinese Conference on Oncology. Collectively, Dr. Houchen's research has led to over 160 manuscripts, book chapters, and abstracts in high impact journals, such as *Gastroenterology*, *Cancer Research*, *Stem Cells*, and *Journal of Virology*. Dr. Houchen has been successful in obtaining both NIH and non-NIH funding (OCAST, VA Merit, and Oklahoma Center for Adult Stem Cell Research) and currently is a major contributor on the Center of Biomedical Research Excellence (COBRE), awarded to the Stephenson Cancer Center. His research efforts have led to four patents through the OU Office of Intellectual Property.

James Shaffer, Homer L. Dodge Department of Physics and Astronomy, College of Arts and Sciences

James Shaffer is a Homer L. Dodge Professor of Atomic, Molecular, and Optical Physics in the Homer L. Dodge Department of Physics and Astronomy. A graduate of the University of Illinois and the University of Rochester, Dr. Shaffer is described in his nomination letter as one who “consistently and tirelessly excels at research, and his extraordinary proven track record of research over the years goes far beyond the normal expectation of faculty performance.” He is considered “a world leader in the fields of Rydberg atoms and atom-based microwave sensing” and is credited with a groundbreaking discovery of a new type of molecule. “For over a century, scientists thought that there were only three types of bonds ... now there is a fourth this was discovered by a small experimental team, on which was Professor James Shaffer.” Beyond his groundbreaking work, Dr. Shaffer’s success in his publication and presentation record. He is co-author of 57 refereed articles and has an additional six papers in preparation. His articles have been cited more than 1000 times in the last five years. He is also active at attending and presenting at conferences with his graduate students. His work has resulted in 140 conference presentations, and he has given more than 25 invited conference talks to date, as well as 35 invited colloquium talks. In addition to his prolific publishing, Dr. Shaffer has received many prestigious awards. In 2008, he was named a Fellow of the Alexander von Humboldt Society, one of the most prestigious scientific awards in Germany, and he became a senior member of the Optical Society of America in 2012. He is frequently asked to review articles for the leading physics journals, such as *Nature* and *Physical Review Letters*. In addition, he is regularly invited by the leading funding agencies, such as NSF and DOE, to act as a reviewer for research proposals. He is currently principal investigator on multiple projects funded by the NSF, DARPA, AFOSR, and NRO. His research group at OU currently encompasses five graduate students, two postdocs, and multiple undergraduate students.

**Regents' Award For
Superior Professional And University Service And Public Outreach**

Christopher S. Candler, Department of Medicine, College of Medicine

Dr. Chris Candler received his undergraduate degree in biochemistry from Oklahoma State University and a M.D. from The University of Oklahoma College of Medicine. He subsequently obtained a doctorate in education from the University of Arkansas. Dr. Candler currently serves as the Senior Associate Dean for Academic Affairs for The University of Oklahoma College of Medicine and the Editor-in-Chief of the Association of American Medical College's *MedEdPORTAL* publication, a free journal of peer-reviewed educational resources for educators across the medical education continuum.

During his nineteen years in medical education he has served in a variety of administrative and leadership roles and has been an active member of the medical education community. Dr. Candler has authored more than forty medical education publications in books and refereed journals, including numerous *Academic Medicine* manuscripts. These articles address a variety of timely medical education issues such as attitudes towards educational scholarship, curriculum development, and the role of instructional technology in medical education. Dr. Candler has received over one million dollars of competitive grant funding to enhance medical education. His medical education projects have been featured in *Harvard Medical International* and the international journal *Science*. During 2013–2015 Dr. Candler served as a Field Secretary for the Liaison Committee on Medical Education, the national accrediting authority for United States medical schools. He has served as a team member or team secretary on twelve separate survey visits. Dr. Candler is frequently invited to speak at medical schools and medical education conferences. He has delivered more than one hundred national and international presentations and has served as a consultant for numerous medical schools and other national organizations, such as the National Library of Medicine and the Federal Aviation Administration. In addition, he has served as an associate editor or reviewer for twelve refereed medical education journals including *Academic Medicine*, *Medical Education*, *Medical Teacher*, and *Teaching and Learning In Medicine*. He currently serves on the editorial board for *Academic Medicine*.

Craig St. John, School of Sociology, College of Arts and Sciences

Craig St. John, who earned his Ph.D. from the University of North Carolina, Chapel Hill in 1980, is a Professor in the department of sociology. He has served as Chair of the department since 2003, having served in the same role previously from 1988 to 1992. Since joining The University of Oklahoma in 1980 he has taught a wide range of courses, most recently an undergraduate course called Population and Society, a graduate course in Social Demography, and statistics courses at both the undergraduate and graduate levels. He has created three presidential dream courses in the last 10 years, bringing to Norman prominent figures in the fields of sociology and demography to enrich the intellectual life of the OU community. Describing himself as a social demographer, Dr. St. John conducts research in the areas of residential segregation by race and social class, focusing on factors that cause segregation to change over time. His research has appeared in the top five journals in sociology and in the top two journals in demography. He has served on the Editorial Board of *Social Science Quarterly*, which is a top interdisciplinary sociology journal, and he has reviewed grant applications for the National Science Foundation and the National Institutes of Health. He was also twice elected to serve as an officer at the national level for the American Sociological Association, the flagship professional association in his field. In addition, he has provided extensive university service, from student sponsorship of the Sociology/Criminology Club to Faculty Senate. To quote his letter of nomination: "Through his years of superior service to the OU community, the constituencies that it serves, and to the disciplines of Sociology and Demography where his research on residential segregation is nationally distinguished, Professor St. John exemplifies the qualities celebrated in this award."

OTHER TEACHING AWARDS**Good Teaching Award****Thomas Burns, Department of Sociology, College of Arts and Sciences**

Thomas J. Burns is a Professor in the department of sociology. He received his Ph.D. in sociology from the University of Maryland in 1990 and served as an Assistant Professor and later an Associate Professor at the University of Utah before joining the faculty at The University of Oklahoma in 2001. Dr. Burns has taught numerous courses at both undergraduate and graduate levels. He regularly teaches an online section of Introduction to Sociology (SOC 1113), and since 2001 he has had sole responsibility for teaching the two sociological theory courses required of the department's graduate students, thus affecting every graduate student who has come through the graduate program in the last fourteen years. Most noteworthy among his teaching credentials is his work in Religion and Society (SOC 3873), which considers the major religions of the world in their social and historical contexts. In his letter of nomination, the department chair described the far-reaching effects of this course: "Tom's course does more than teach students about religion; it strives to prepare students to understand their place in the post-modern world." One letter of support that he received further reinforces the effects that Dr. Burns has on his students. "You know that a teacher is incredible when he can ... talk about different religious practices and tear up with absolute respect and intrigue. ... Dr. Burns not only introduces his students to new worlds and cultures, but he relates everything to their own lives in such a way that they can actually understand and empathize with it." Dr. Burns's scholarly research focuses on environmental sociology and the ways in which culture and religion influence the way people view their relationship with the environment. In addition to the numerous articles he has published in refereed journals and the conference presentations he has made all over the country, Dr. Burns is the author of three books and one edited collection, including the recently published introductory textbook, *Foundations of Social Understanding: A Theory and Institutions Based Introduction to Sociology*. He currently has two more books in development. Knowledgeable about and passionate toward his subject matter, Tom Burns is an inspiration to the students in his courses, opening their minds to ideas they might otherwise never consider and preparing them to become informed citizens in the global society.

Jayna Golubeva, Division of Finance, Michael F. Price College of Business

Evgenia (Janya) Golubeva is an Assistant Professor of finance and Michael F. Price Student Investment Fund Professor in the Michael F. Price College of Business. She received her undergraduate degree in exploration geophysics from Gubkin State Academy of Oil and Gas in Russia. After working in the oil and gas industry, she earned an M.B.A. and a Ph.D. in finance from the University of Utah. Her research has been published in the *Review of Financial Studies* and the *Journal of Financial Markets*. She is also the author of a book chapter on environmental issues in energy. She is a two-time winner of Professor of the Year by the Finance Association, and she received the Harold E. Hackler Outstanding M.B.A. Professor Award in 2012. Dr. Golubeva's main teaching areas are investments, trading, energy, and risk management. She teaches about 100 students per year primarily at the undergraduate level and serves as the coordinator for the Michael F. Price College of Business undergraduate programs in finance and risk management. According to the dean of the college, "student leaders comment that she works hard to bring industry connections to the organizations to ensure that the students are able to learn from and interact with industry leaders." Furthermore, according to her nomination letter, "she is relentless in improving the undergraduate finance program to meet the high standards demanded by the profession today, having championed numerous new features to elevate the level of analytical rigor and professional relevance of the student experience."

Merrick Teaching Award (Norman Campus)**Tor-Erik Bakke, Division of Finance, Michael F. Price College of Business**

The Merrick Foundation rewards a faculty member who best brings to students a “better understanding and appreciation of the economic and political basis of American society.” Tor-Erik Bakke is an Assistant Professor of finance in the Michael F. Price College of Business, where he embodies the sentiment of this award in both letter and spirit as the lead instructor in the core course Investments (FIN 3503/5103) that is required of all finance, accounting, and energy management majors. Capital markets are integral to understanding the free enterprise system. Dr. Bakke excels in teaching these principals to large groups of students by way of hands-on projects that include investing and managing hypothetical stock portfolios. One student stated that, “this project greatly enhanced my understanding of the material but, more importantly, taught me the value of living in a market environment, such as the United States.” In addition to his active teaching methodology, Dr. Bakke has also served as coordinator of the Center for Financial Studies (CFS) Seminar series, which brings speakers to present their research on contemporary finance topics. Dr. Bakke received his Ph.D. in finance from the University of Wisconsin-Madison (2009). His research articles have been published in *the Review for Financial Studies*, *Journal of Financial Economics* and *Journal of Finance*. His research interests include empirical corporate finance, corporate investment, and financial econometrics.

DISTINGUISHED PROFESSORSHIPS**David L. Boren Professorships****Sanjay Bidichandani, Department of Pediatrics, College of Medicine**

Sanjay Bidichandani received his medical degree from the University of Pune (India) and a Ph.D. in medical genetics from the University of Glasgow (Scotland, UK). Following postdoctoral fellowship training at Baylor College of Medicine (Houston, TX), where he was part of the group that discovered the gene for Friedreich ataxia, he established his research lab at The University of Oklahoma Health Sciences Center in 2000. He is currently the CMRI Claire Gordon Duncan Chair of Pediatric Medical Genetics, head of the section of pediatric genetics, Professor of Pediatrics, and Adjunct Professor of biochemistry and molecular biology and neuroscience at The University of Oklahoma Health Sciences Center. His research over the past fifteen years, funded by several grants from the National Institutes of Health and the Muscular Dystrophy Association, has been focused on characterizing the genetic and epigenetic defect in Friedreich ataxia. For two years (2011-2012) he served as the Vice President for Research at the Muscular Dystrophy Association. He has served as the Assistant Dean for Preclinical Curriculum in the College of Medicine. He currently serves on the Board of Directors and the Scientific Review Committee of the Friedreich Ataxia Research Alliance, and on the Research Advisory Committee of the Muscular Dystrophy Association. He has mentored several Ph.D. students and postdoctoral fellows. He has received the Aesculapian Award for Outstanding Teaching in the College of Medicine three times and is also a recipient of the Regents' Award for Superior Teaching.

David Wrobel, Department of History, College of Arts and Sciences

David Wrobel (Ph.D., Ohio University) is the Ward Merrick Chair in Western History. Before coming to The University of Oklahoma in 2011, he was Chair of the history department at the University of Nevada, Las Vegas. He is the author of three monographs. His latest, *Global West, American Frontier: Travel, Empire, and Exceptionalism, from Manifest Destiny to the Great Depression* (2013), won the Western Heritage Award (Wrangler Award) for non-fiction. He has published numerous articles and essays and co-edited *Seeing and Being Seen: Tourism in the American West* (2001); and *Many Wests: Place, Culture, and Regional Identity* (1997). He is currently at work on *The West and America, 1900-2000: A Regional History*, a new textbook on the American West to be published by Cambridge University Press; an intellectual history textbook called "*We Hold These Truths*" *American Ideas and Ideals, from the Pre-Colonial Era to the present* (due in 2018); as well as a book tentatively titled *John Steinbeck's America, 1930-1968*. Furthermore, he is working with OU colleagues on two literary anthologies, one focused on *Oklahoma in Literature* and the other more broadly on *The Literary Landscapes of Modern America*.

Professor Wrobel is a participant in the Organization of American Historians Distinguished Lecturer Program, and held the position of Senior Research Fellow in Western American History at the Beinecke Library and Lamar Center, Yale University (2005-2006). He has served as President (2007-2008) of the American Historical Association's Pacific Coast Branch, and President of Phi Alpha Theta, the National History Honor Society (2004-2006), and as a member of the Western History Association Council.

In addition to regular undergraduate and graduate teaching activities, he is also a dedicated promoter of partnerships between the academy and K-12 schools, having participated in and directed numerous K-12 teacher institutes and workshops. He has served on the history department graduate committee, where he developed proposals for restructuring of the graduate programs, as well as numerous other university committees, such as the University Libraries Committee and The University of Oklahoma Press Advisory Board. More recently, Dr. Wrobel's significant contribution to service is highlighted by his "single-minded pursuit of the *Western History Quarterly*, one of the country's oldest and most distinguished scholarly journals ... to

bring the journal to OU.” Professor David Wrobel richly has immersed himself in every aspect of the academic mission and has set the highest possible standards in teaching, research and publication, and commitment and service to OU and its broader community.

George Lynn Cross Research Professorships

Hugh Benson, Department of Philosophy, College of Arts and Sciences

Dr. Benson received his Ph.D. in 1984 from the University of Michigan with a dissertation on Platonic epistemology. Dr. Benson wrote his two major books, *Socratic Wisdom: The Model of Knowledge in Plato’s Early Dialogues* (Oxford University Press, 2000), and *Clitophon’s Challenge: Dialectic in Plato’s Meno, Phaedo, and Republic* (Oxford University Press, 2015), during the sixteen years he chaired the philosophy department. At OU his honors include a Presidential Professorship, a mentoring award, and an advising award. He has been the recipient of fellowships from the American Council of Learned Societies and the Howard Foundation, and a Leverhulme Visiting Research Professorship at the University of London.

Between the writing of his books, and while he was Department Chair, Dr. Benson produced a very large online commentary on the *Charmides* as part of the Archelogos project. Archelogos aims at the development of methods of electronic representation of arguments, and methods of electronic reasoning, using advanced artificial intelligence techniques. Dr. Benson’s commentary on the *Charmides* for this project is comparable to a monograph, but with a visual presentation that is not possible in the traditional text.

Dr. Benson has edited the Blackwell *Companion to Plato*, and *Essays on the Philosophy of Socrates*, in addition to more than two dozen papers. He is also co-author of *History of Philosophy* in the Harper College Outline series, and has given more than one hundred professional presentations. His publications have appeared in three prestigious journals of Ancient Greek philosophy—*Phronesis*, *Ancient Philosophy*, and *Oxford Studies in Ancient Philosophy*, as well as the two top journals in the history of philosophy—*Journal of the History of Philosophy*, and *History of Philosophy Quarterly*. In his current book project, *Plato’s Maieutic Method: Inquiry in Plato’s Theaetetus*, Dr. Benson moves beyond the theoretical defense of Plato’s philosophical method in the earlier dialogues to showing how the method is exemplified in the core text for all epistemologists, Plato’s *Theaetetus*. Dr. Benson cultivates high standards in his students in both undergraduate and graduate courses, where he is known as a rigorous thinker with high expectations for his students.

Daniel Carr, Department of Ophthalmology and Microbiology and Immunology, College of Medicine

Dr. Daniel Carr has established a national and international reputation as an outstanding scientific investigator, educator, mentor, and scholar with a prolific publication record having over 125 peer reviewed publications and 52 book chapters and reviews. He has been recognized locally, nationally, and internationally with awards such as prestigious Jules and Doris Stein Research to Prevent Blindness Professorship in 2000, the Vielberth-Stiftung Visiting Scholar Award at the University of Regensburg (Germany) in 2005, a Presbyterian Health Foundation Presidential Professorship in 2010, and in 2014 the Stanton L. Young Endowed Chair in Ophthalmology. He has served or currently serves on numerous editorial boards and National Institutes of Health study sections and special emphasis panels. He has participated in invited seminars in such places as Monash Institute of Medical Research in Melbourne, Australia, Johns Hopkins University, and Tufts University.

Dr. Carr’s research program has been continuously well funded. He currently holds two R01 grants, one from the National Eye Institute and the other continuously since 2003 from the National Institute of Allergy and Infectious Diseases. His laboratory was the first to clone and functionally characterize an “orphan” opioid receptor on immune cells, and he developed a unique mouse model for these studies. His recent work has focused on the study of herpes

simplex virus, the immune response to infection, and reactivation of the virus relative to the immune response. He has made critical contributions to understanding the role of neovascularization and lymphangiogenesis in ocular HSV-1 pathology.

Beyond his outstanding research program, Dr. Carr has been very active in university, national, and international service, demonstrating his collegial nature and desire to work for the common good of OUHSC. He is currently Principal Investigator for the NIH T32 Vision Training Grant “Cellular and Molecular Cascades in Vision Research”. He served as the Assistant Dean for the Office of Postdoctoral Affairs. Dr. Carr has served or currently serves on 29 graduate student PhD committees. He is currently mentoring three Ph.D. students.

Caryn Vaughn, Department of Biology and Oklahoma Biological Survey, College of Arts and Sciences

Dr. Vaughn has been a research leader in the biological sciences at The University of Oklahoma for decades. She received her Ph.D. from The University of Oklahoma in 1984. She joined the OU faculty in 1994 and served as Director of the Oklahoma Biological Survey from 1999 to 2013. She has been the principal investigator on more than \$5 million in external grant funding, and her total funding has exceeded \$10 million. She has published more than 75 peer-reviewed papers that have been cited in excess of 1800 times. Dr. Vaughn has recruited and mentored outstanding undergraduate students, graduate students, and post-docs who have gone on to make important contributions at top-tier academic and federal institutions.

Dr. Vaughn’s research involving freshwater mussel biodiversity and how they can be used as indicators of aquatic ecosystem health has been influential with conservation organizations, such as the U.S. Fish and Wildlife Service and NatureServe.org. Her findings have informed how the U.S. Geological Survey approaches water management and policy issues. Her contributions in scholarship, teaching, and service have been recognized with high honors by diverse science and civic organizations. In 2007, she received the David W. Tinkle Research Award from the Southwestern Association of Naturalists. In 2010, she was honored with the Byliner Award from the Association of Women in Communications in the area of education for making a significant impact on her community. In 2013, Dr. Vaughn received the Lifetime Achievement Award from the Freshwater Mollusk Conservation Society for her distinguished career dedicated to the protection of the biodiversity of freshwater mussels.

David Ross Boyd Professorships

Michael Ashby, Department of Chemistry and Biochemistry, College of Arts and Sciences

Michael Ashby (Ph.D., University of Arizona) is a Professor of chemistry and biochemistry for the Norman campus and an Adjunct Professor for the College of Dentistry at The University of Oklahoma Health Sciences Center. He is currently serving as a visiting Professor of chemistry at the University of KwaZulu-Natal (South Africa). His teaching interests include kinetics and mechanisms of inorganic, organic and biochemical Reactions (graduate); general chemistry (undergraduate); advanced inorganic chemistry (undergraduate); and advancing graduate education in STEM. Among his research accomplishments, Dr. Ashby has seventy-eight peer-reviewed publications and five monographs to his credit. He has presented almost 100 papers at academic events and meetings and served on numerous doctoral and master degree committees. He has reviewed grants for several notable agencies, including the National Science Foundation, National Institutes of Health, and the Department of Energy. He is also the recipient of several prestigious awards, including the Alexander von Humboldt Fellowship, the Regents’ Award for Superior Research and Creative Activity, and a Fulbright Scholarship. Particularly notable is his significant and recent work to redesign the graduate chemistry/biochemistry curriculum into a more modern, modularized framework, intended to prepare students more quickly for success in the research lab. As summarized by the chair of the department, “The insight I garnered during our work together is that Michael is still pushing David Ross Boyd’s vision for how we can help our students succeed – but by meeting today’s demands.”

Michael Bamben, Department of Health and Exercise Science, College of Arts and Sciences

Michael Bamben received his doctoral degree from the University of Illinois at Urbana-Champaign in exercise physiology and is currently a Professor and Chair in the department of health and exercise science at The University of Oklahoma. In addition, he has also been adjunct instructor at the OU Health Sciences Center both in geriatric medicine and in physiology and biophysics. Dr. Bamben was elected Chair of the Faculty Senate in 2012. His general research interests encompass a broad area of health and aging, including the effects of blood flow restriction during exercise, age-related changes in hormonal responses to resistance training, and different training interventions to improve balance and postural stability in older women and in patients with Multiple Sclerosis. He has almost 190 peer-reviewed publications to his credit and has received more than \$1 million in external funding. He has taught more than 15 courses at the undergraduate and graduate levels and been actively engaged with students at all levels, mentoring four McNair Scholars and overseeing numerous research projects, independent studies, and field experiences. Furthermore, he established the Ph.D. program in health and exercise science, allowing him to mentor and influence students beyond the undergraduate level, and this program consistently places doctoral graduates in academic departments all over the country.

Rhett Jackson, Department of Medicine, College of Medicine

Dr. Jackson assumed the role of Director of the Section of General Internal Medicine in July of 2015. Prior to this, he served as Director of the Internal Medicine Residency Program from 2009 through 2015, after serving as Associate Director from 2000 to 2009. He became Vice Chair of the Department of Medicine for Education in 2007 and held this position until 2015. Dr. Jackson serves on a number of committees for the department of medicine and the College of Medicine. For the department of medicine, he is a member of Section Chiefs, Faculty Awards Committee, and the Executive Committee. He formerly served as Chair of the Clinical Competence Committee for fourteen years. He is a charter member of the Academy of Teaching Scholars in the College of Medicine, and he serves as a member of Academy of Teaching Scholars Faculty Development Sub-Committee. He was a long-time member of the Graduate Medical Education Committee for the College of Medicine and currently serves on the Faculty Senate for the OUHSC campus. He presents workshops and lectures to medical students and residents. He facilitates a weekly Journal Club for medicine residents and serves as an occasional moderator for Internal Medicine Morning Report. He is currently assisting in the presentation of a workshop titled "Leadership for Program Directors", an eight-month workshop for educational leaders in the College of Medicine.

Dr. Jackson has volunteered at the Manos Juntas Clinic and has led medical students on a medical mission trip to Quito, Ecuador and surrounding environs, with the group seeing approximately 500 patients each day. He is a Fellow in the American College of Physicians and sat on the Oklahoma State Advisory Council for the ACP for the last 14 years. He has won a number of teaching awards, and most recently, the Outstanding Medicine Faculty Award, presented by the medicine residents (two-time winner). He is a past winner of the Aesculapian Award in Clinical Sciences, the Regents' Award for Superior Teaching, and the Charlotte S. Leebron Memorial Trust Fund Award presented by the Oklahoma State Medical Association as "Most Worthy" manuscript published in the Journal for 2004. The Internal Medicine Residency Training Program, this year, announced the new Rhett L. Jackson, MD Award for Humanism in Medicine to be given annually to the medicine resident most displaying concern for patients and colleagues.

Dr. Jackson designed and wrote the curriculum for the Evidence-Based Medicine Course and served as Course Director for Evidence Based Medicine. More recently, he assisted with folding evidence-based medicine into the capstone course for second-year students and has provided multiple lectures each year. He authored a new curriculum in global health for internal medicine residents and provides the majority of lectures and oversees the Journal Club. He has arranged a partnership with Gulu University in Gulu, Uganda, that allows Internal Medicine residents to

participate in clinical work in Uganda as part of the global health rotation. The first residents traveled to Gulu in March 2015. He remains clinically active, seeing patients at the OU Physicians Clinic and overseeing patient care at the OU Medical Center Presbyterian Tower Building in the Medicine Resident's Longitudinal Clinic.

Regents' Professorship

M. Dewayne Andrews, College of Medicine

Dr. Dewayne Andrews is Vice President for Health Affairs and Executive Dean of the College of Medicine at The University of Oklahoma Health Sciences Center. He is a David Ross Boyd Professor of Medicine and holds the Lawrence N. Upjohn Chair in Medicine. Dr. Andrews has overall responsibility for the medical education, biomedical research and clinical practice enterprises of the College of Medicine, including OU Physicians. He has oversight of both the Oklahoma City and Tulsa campuses of the College of Medicine. Dr. Andrews received a Bachelor of Science degree from Baylor University and earned his M.D. from The University of Oklahoma College of Medicine. His residency and fellowship training in Internal Medicine and Nephrology were at the Johns Hopkins Hospital in Baltimore, Maryland and The University of Oklahoma Health Sciences Center. He served for two years as an Epidemic Intelligence Service Officer with the Centers for Diseases Control of the U.S. Public Health Service. Dr. Andrews's research interests include hypertension, kidney disease, and hepatorenal syndrome. He has received several awards for excellence in teaching medicine. He has been a member of the Board of Governors of the American College of Physicians; he is past chairman of the National Commission on the Certification of Physician Assistants and past chairman of the Section on Medical Schools of the American Medical Association. He served as a member of the Liaison Committee on Medical Education. During his continuing tenure as Executive Dean, Dr. Andrews also served four years (2011-2015) as Senior Vice President and Provost of the OU Health Sciences Center.

Presidential Professorships

Kash Barker, School of Industrial and Systems Engineering, Gallogly College of Engineering

Kash Barker is an Associate Professor in the School of Industrial and Systems Engineering. After graduating from The University of Oklahoma with Bachelor of Science and Master of Science degrees in industrial engineering, he earned a Ph.D. in systems engineering from University of Virginia. He began his career at OU as an adjunct instructor and lecturer in 2008 and became an Assistant Professor in 2011 and was awarded tenure in 2015. During Dr. Barker's seven years at OU, he has played a role in research grants totaling nearly \$5.7 million, and he has received three grants from the National Science Foundation. In addition to funding, Dr. Barker has built an outstanding publication portfolio that includes 35 published (or accepted) journal papers and 21 conference proceedings papers. His papers have been published in top journals in the fields of engineering, operations research, and interdisciplinary mathematics, representing the interdisciplinary nature of his work. Dr. Barker teaches more than 175 undergraduate students per year in three courses and serves as a mentor for undergraduate research projects and honors theses. Dr. Barker has an equally impressive record of graduate student mentorship. To date, he has graduated fifteen graduate students (3 were Ph.D.) and is currently supervising nine more. His broad professional service includes membership on editorial boards and numerous journal article reviews, both in service to top journals in the field. As his letter of nomination put it, "in only seven years as a faculty member, he has established himself as an outstanding professor, a research leader, and a dedicated steward of the profession."

Ronald Barnes, School of Electrical and Computer Engineering, Gallogly College of Engineering

Ronald Barnes, an Associate Professor in the School of Electrical and Computer Engineering, began his collegiate life as a Sooner, graduating *summa cum laude* with a Bachelor of Science degree in electrical engineering from OU in 1998. He went on to earn a Ph.D. from the University of Illinois at Urbana-Champaign in 2005 before joining the faculty at OU in 2007. Dr. Barnes is known as a highly productive faculty member with an outstanding research record. He is recognized as a leader and expert in intelligent transportation systems, working to establish the Southern Plains Transportation Center, an eight-university consortium successfully awarded to OU that collaborates on climate-related transportation research. As principal investigator or co-principal investigator, he has secured 43 externally funded research grants, and his ability to obtain external research funding places him consistently among the top performers in the Gallogly College of Engineering. In addition to his research accomplishments, Dr. Barnes is also active in modernizing undergraduate curriculum and employing real world projects in the classroom, using industry-standard Electronic Design Automation Tools so students actively learn while gaining experience in multidisciplinary teams. Dr. Barnes is an effective mentor to his research advisees, including his current group of one Ph.D. and three M.S. students. In short, Dr. Barnes demonstrates outstanding commitment to all aspects of the University's mission.

Dale W. Bratzler, Department of Health Administration and Policy, College of Public Health; Department of Medicine, College of Medicine

Dale W. Bratzler, D.O., MPH, Professor of Health Administration and Policy and Professor of Medicine, joined the faculty at the Health Sciences Center in 2011. Dr. Bratzler is an outstanding nationally recognized scholar who has excelled in all of his professional activities. In particular, Dr. Bratzler has excelled at translating his scholarship into the classroom and the mentoring of students. He currently serves as the Associate Dean in the College of Public Health and the Chief Quality Officer for OU Physicians. He is the consummate professional in all of his endeavors, an individual of complete integrity and dedication to do the right thing for patients, students, quality health care delivery and public health. He is a role model "physician scientist", and an academically rigorous clinical scientist who is the nationally recognized authority in the United States on preventing hospital acquired infections, and specifically, surgical site infections such as MRSA and other infections which are major contributions to morbidity, mortality, and healthcare costs in the United States and globally. Dr. Bratzler's stature as a true national expert is shown by his selection to work as a Senior Policy Advisor in the Office of the Director, Centers for Disease Control and Prevention, through an inter-governmental Personnel Agreement (IPA) with The University of Oklahoma Health Sciences Center. Dr. Bratzler also served on the Federal DHHS Secretary's Advisory Committee on hospital infections, and on major advisory committees for the Agency for Healthcare Quality Research and the Centers for Medicare Services (CMS). Dr. Bratzler is an outstanding teacher and mentor, who uses his scholarship to inspire his students. He routinely uses his research and scholarly effort to create important educational opportunities for students in medicine and public health. He embraces technology to enhance the educational experiences. In addition, he has been a leader on the Health Sciences Center campus in the Inter-Professional Education Collaborative (IPEC), a key initiative to bring students from all of the health science disciplines together in educational experiences which are the future manner of operation of our healthcare delivery system. In addition to his other teaching duties, Dr. Bratzler was recently appointed as the Medical Director of the College of Medicine's Clinical Skills Evaluation and Testing Center.

Ryan Brown, Department of Psychology, College of Arts and Sciences

Ryan Brown, Professor in the department of psychology, earned his Ph.D. in social and personality psychology at the University of Texas at Austin in 1999 and joined the faculty at The University of Oklahoma in 2000. He is the author of *Honor Bound: How a cultural ideal has shaped the American psyche*, to be published in 2016 by Oxford University Press. A Fellow of the Society of Experimental and Social Psychology, he has published 45 peer-reviewed articles

and nine book chapters. He has presented at numerous conferences nationwide and received roughly \$4 million in external funding as a co-PI in numerous grants. His current research interests include the interpersonal and intrapersonal dynamics of honor cultures and honor ideology, including how people respond to social threats and conflicts. Since joining OU, Dr. Ryan has consistently sponsored undergraduates and graduate students in research, consistently offering research experience to 5-10 students in his lab each year. In a testament to his passion and mentorship, many of his research assistants have gone on to graduate programs in psychology and then pursued successful careers in the field, both in and outside of the academy.

Elyssa Faison, Department of History, College of Arts and Sciences

Elyssa Faison, Associate Professor in the department of history, earned her Ph.D. in history from the University of California, Los Angeles. Specializing in Japanese history, she has published one book (*Managing Women: Disciplining Labor in Modern Japan*) and has two more in development as of this writing. She is also the author of several book reviews, and her essays have been published in numerous edited collections. She is in high demand as an invited lecturer at national and international conferences and has delivered more than two dozen papers at academic meetings across the country. In addition to her scholarship, Dr. Faison is also a highly regarded teacher. She created and taught a successful presidential dream course in 2005, and she has developed courses and graduate seminars on race, culture, gender, and sexuality in Japanese and transpacific history. Her professional service is interdisciplinary as she is deeply involved with the women's and gender studies department, serving on numerous committees in this area. She is also a trustee of the Berkshire Conference of Women Historians, the oldest and most important national conference on women's history, and serves as an editorial board member for the *Journal of Women's History*. As one commenter wrote in support of her, "she performs all of her duties with extraordinary competence and good cheer." She is a former recipient of the Oklahoma Regents' Award for Superior Teaching.

Bradley K. Farris, Department of Ophthalmology, College of Medicine

Bradley K. Farris, M.D., Professor of Ophthalmology, joined the faculty at the Health Sciences Center in 1986. Dr. Farris is highly regarded as a superb clinician educator and true advocate of medical students and residents. He epitomizes the concept of the clinician-educator. He is a recognized leader in his field with exceptional contributions to education, scholarship, clinical service, and community collaboration to improve global eye care as proposed by the World Health Organization through Vision 2020. Dr. Farris is the director of the Dean McGee Eye Institute International Ophthalmology Coordination of Residency Development Exchange Program for Sichuan China and Kingdom of Swaziland and supervisor of the Olivet Free Eye Clinic. The China Eye Project consists of an annual trip to the Sichuan Province of China. This project has elevated education and resident training in this region of China as well as addressed a public health need related to blinding cataracts. In a similar project in the Kingdom of Swaziland, he is working to develop and improve a system of care for ophthalmic diseases and HIV related complications. Dr. Farris is recognized nationally and internationally as one of the premier neuro-ophthalmologists of his generation. He directs one of only a few clinical fellowship programs in neuro-ophthalmology in the United States. He has received national recognition such as the Patient's Choice Award, America's Top Ophthalmologist, and Best Doctors in America citations. In addition, he has received the Edward and Thelma Gaylord Teaching Award and the Leonard Tow Humanism in Medicine Award. Dr. Farris consistently ranks among the top three out of 26 ophthalmology attending physicians in resident evaluation scores. His activities include coordination of the senior medical student elective in neuro-ophthalmology, director of the neuro-ophthalmology fellowship, and a monthly neuro-ophthalmology conference. He also served as chair of the Continuing Medical Education in the Department of Ophthalmology and member of the Residency and Fellowship Education Committee.

William Frick, Department of Educational Leadership and Policy Studies, Jeannine Rainbolt College of Education

William Frick serves as an Associate Professor in the Jeannine Rainbolt College of Education and holds the title of Program Area Coordinator of the Educational Administration, Curriculum, and Supervision Program (EACS) in the educational leadership and policy studies department of the college. As a scholar and researcher, Dr. Frick is viewed as one of the best researchers among his colleagues. Over his nine years at The University of Oklahoma, he has been a prolific author and researcher, having published one monograph, two edited collections, six book chapters, and numerous articles in peer-reviewed journals. The impact of his publications on readers, users, and practitioners has been well recognized and documented. The recipient of the College of Education's prestigious mentoring award in 2013, he is also known as an extraordinarily productive and inspiring teacher who is committed to the learning and professional needs of his students. He is active in public outreach, connecting with K-12 education leaders in the local community and across the state. His letter of nomination sums up his impact: "Professor Frick an inspiring teacher, prolific researcher, significant, and savvy service provider and field steward at all levels. ... He brings substantial intellectual vitality and ethical insight to collegial life in the department."

Valarie Blue Bird Jernigan, Department of Health Promotion Sciences, College of Public Health

Valarie Blue Bird Jernigan, Dr.PH, Associate Professor of Health Promotion Sciences, joined the faculty at The University of Oklahoma Health Sciences Center in 2011. Dr. Jernigan has distinguished herself as an outstanding faculty in each of the areas of research and scholarship, teaching, service, and the translation of her scholarly research to the classroom and to individual student mentoring. She has sixteen peer-reviewed articles published or in press at this early stage of her career as well as a book chapter, and seven documentary film and multi-media productions. Dr. Jernigan routinely includes students in her publications and she infuses her creative scholarship into her didactic teaching which greatly enriches the educational experience for students. Dr. Jernigan is the principal investigator on current projects which total more than \$3 million of peer-reviewed, competitively awarded funding from the National Institutes of Health. Her project is undertaking a multi-level intervention to improve food and physical activity environments in tribal communities in Oklahoma, and her work will likely have a profound impact on the health and quality of life for Native Americans in Oklahoma and the nation. Dr. Jernigan has contributed extensively to teaching and continues to make major contributions to teaching of graduate students in public health and health promotion sciences, especially in the critical area of Native American health and health disparities, and community based participatory research and engagement. She has been a mentor to thirteen masters of public health students and to ten doctoral students. She has had a profound positive influence on the career development and personal growth of the young professionals she mentors.

Tohren Kibbey, School of Civil Engineering and Environmental Science, Gallogly College of Engineering

Tohren Kibbey has been a faculty member in the School of Civil Engineering and Environmental Science (CEES) since Fall 1999. In that time, he has built a vibrant, highly-respected research program, counting nineteen externally funded projects, corresponding to \$5 million in total funding. His peer-reviewed journal papers have been published in some of the most highly respected research journals in the field, and his work is widely cited by other researchers. Professor Kibbey's research has been both influential and novel, producing valuable knowledge across a wide range of important topical areas, from studying the environmental transport of emerging contaminants, like nanoparticles and pharmaceuticals, to designing ultra-low cost methods for detecting water contaminants. Furthermore, Professor Kibbey has been an outstanding mentor to both graduate and undergraduate students in research, providing them with opportunities to gain valuable hands-on experience. He is consistently ranked as one of the top teachers in the college and department, excelling in classes from lower division to advanced

graduate level. To quote one of his letters of support: “It was a great honor to be one of his graduate students.” Professor Tohren Kibbey epitomizes in every way – research, mentoring, teaching, service – what it means to be a Presidential Professor at The University of Oklahoma.

Amy Olberding, Department of Philosophy, College of Arts and Sciences

Amy Olberding, Associate Professor of philosophy, is an outstanding scholar with an international reputation; has performed extensive and vital service to the department of philosophy, The University of Oklahoma, and the profession; and has made invaluable contributions to undergraduate and graduate student instruction. Her areas of specialization include Chinese philosophy, ethics, feminist philosophy, and philosophical approaches to mortality. Her letter of nomination states that Dr. Olberding’s “... scholarship enhances students’ academic experience by exposing them to ideas and ethical approaches that students in many U.S. universities never encounter.” Among her contributions to undergraduate education, Dr. Olberding has developed six new courses in Asian and feminist philosophy, and her letter of nomination notes that “many new courses she has developed make it possible to consider requiring that every philosophy major have significant exposure to non-Western philosophy.” Her publishing credits, which include a monograph, two edited collections, and numerous articles and book chapters, have contributed to establishing OU’s philosophy department as one of the top English-speaking departments in the world for Chinese philosophy.

Michelle R. Salvaggio, Department of Medicine, College of Medicine

Michelle R. Salvaggio, M.D., Associate Professor of medicine, joined the faculty at The University of Oklahoma Health Sciences Center in 2004. Dr. Salvaggio has been very successful in the areas of education, clinical care, scholarship, and administration. She currently maintains clinical privileges at OU Medical Center as well as OU Physicians. In the area of research and scholarship, Dr. Salvaggio serves as the principal investigator on several portions of the Ryan White grants totaling in excess of \$1.3 million annually for the medical care of patients infected with the HIV virus. This grant also provides coordinated HIV services and access to research for women, infants, and children with HIV. Dr. Salvaggio has been a leading author on a number of enduring teaching materials developed during the last several years including the curriculum for the infectious diseases fellowship program. She is a contributing author on several research manuscripts, invited articles, chapters and books, and other non-authored publications. She has been an ad hoc reviewer for a number of journals and currently serves as a content editor for the HIV Series in *eMedicine*. Highly regarded as an outstanding member of the teaching faculty, Dr. Salvaggio has been active as both a faculty mentor as well as teaching physician for medical students, residents, and fellows. She is active in graduate medical education and serves as an attending physician for the Internal Medicine Residency. In addition, she is actively involved in the didactic program of the residency and is an accomplished lecturer for continuing professional development. Dr. Salvaggio has served as the Director of the Infectious Diseases Fellowship since 2009 and under her leadership has maintained the accreditation of the training program and assuring high standards in the training of fellows. Dr. Salvaggio is also a highly accomplished clinician. She is recognized for her outstanding fund of knowledge, both in general internal medicine and in the care of patients with infectious diseases. She provides superlative consultative services to the medical center as well as providing high quality care to her patients. She works closely as an HIV provider with the Oklahoma State Department of Corrections providing telemedicine help to patients within the Department of Corrections for their HIV care. She is an active medical director of the Infectious Disease Clinic and is both the founder and attending physician for the Viral Hepatitis Clinic. Furthermore, she has been a thoughtful and active attending physician for the Sexually Transmitted Disease Clinic at the Oklahoma City/County Health Department.

Choon Yik Tang, School of Electrical and Computer Engineering, Gallogly College of Engineering

Choon Yik Tang, who earned his Ph.D. from University of Michigan in 2003, joined the School of Electrical and Computer Engineering at OU in 2006 after completing a postdoctoral research fellowship at the University of Michigan and working as a research scientist for Honeywell Laboratories in Minneapolis. During his tenure at OU, Dr. Tang has established a small but highly respected research program on distributed computation and optimization and its applications to wind turbine and wind farm control. As principal investigator or co-principal investigator, he has secured three National Science Foundation research grants totaling over \$875,000. Furthermore, he is an established national leader in his field and plays an ongoing important role in the strengthening of our power and energy systems program, especially in the area of control and operation of wind farms. In addition to being a dedicated teacher responsible for the School's controls and systems courses, he is also active in providing a research experience for undergraduate students, especially through the NSF REU program. He has served as a mentor for the nationwide FIRST Robotics Competition and the statewide OK BEST Robotics Competition. He is also frequently involved in student field trips to wind farms throughout Oklahoma and is described as "a faculty member who is truly dedicated to the personal growth of his students."

Sarah Tracy, Honors College

A graduate of Harvard University and the University of Pennsylvania, Sarah Tracy is an Associate Professor of history of medicine in the Honors College. She is described as "a faculty member who genuinely excels in all three areas of teaching, research, and service to the university, her discipline, and the larger community." Upon joining the faculty in 1999, she immediately went to work to build the Medical Humanities Scholars Program, a program that bridges the gap between medicine and humanities courses of study, and allows a small number of students to be granted provisional acceptance to the College of Medicine.

In addition to her academic interest in the medical humanities, Dr. Tracy pursues research in the areas of food and drink habits in America as they pertain to health and chronic disease from 1800 to the present. She has published one book, *Alcoholism in America* (Johns Hopkins University Press, 2005), and is currently at work on a second book, a biography of physiologist and epidemiologist Ancel Keys. She has published numerous articles in several well-known and prestigious journals, including the *New England Journal of Medicine*, *Academic Medicine*, *Contemporary Drug Problems*, and *Harvard Review of Psychiatry*.

Dr. Tracy's work has received strong support from an equally wide range of funding agencies, including the National Endowment for the Humanities, the National Library of Medicine, and the American Heart Association. She has given invited talks and conference presentations throughout the United States, the United Kingdom, and Europe. She also serves as a reviewer of articles for numerous journals, and she reviews book manuscripts for such well-known presses as Yale University Press, Johns Hopkins University Press, and the University of Michigan Press. The dean of the Honors College calls her "by far the most productive member of the senior faculty in our Honors College."

Kendra Williams-Diehm, Department of Educational Psychology, Jeannine Rainbolt College of Education

Kendra Williams-Diehm is an Associate Professor in the special education program in Jeannine Rainbolt College of Education at The University of Oklahoma. Her primary research interests include comprehensive transition services with a focus on self-determination and post-secondary outcomes for students with disabilities, specifically intellectual and developmental disabilities, including autism. She received her Ph.D. in Educational Psychology from Texas A&M University in 2006 and joined The University of Oklahoma as an Assistant Professor in 2008. She is known among her colleagues as a passionate researcher and teacher educator. She has

made a significant impact on the field through her numerous publications, presentations, and grant work, influencing practitioners and policymakers to underscore the value she places on bridging the research-to-practice gap. In her role as Program Area Coordinator for the Special Education program, she has led efforts to reform the program into one that is now strong and competitive, focusing on recruitment and retention of talent. Her leadership efforts have resulted in creating two new graduate certificates; receiving two federal grants from the U.S. Department of Education to fund 80 master's students in special education, and an additional grant to fund 30 doctoral students to respond to a critical need for special education faculty across the country. According to a letter of nomination from the dean, "she is a highly capable professor who has demonstrated the ability to conduct research, to write, to teach, to mentor, to lead." In short, "her ability to combine teaching research, and authentic practice make her an excellent [recipient] of a Presidential Professorship."

John Wisniewski, Homer L. Dodge Department of Physics & Astronomy, College of Arts and Sciences

John Wisniewski, Assistant Professor in the Homer L. Dodge Department of Physics & Astronomy, came to The University of Oklahoma after earning a Ph.D. from the University of Toledo and prize postdoctoral fellowships at NASA Goddard (NRC Fellowship) and The University of Washington (NSF Postdoctoral Fellowship). Dr. Wisniewski is an observer who uses multiple observational techniques to study systems with disks, primarily exoplanet disk systems and disk systems around Be stars. Since joining OU in 2012, he has supervised the research of three postdoctoral fellows (including one who has begun a tenure-track position in Japan), three graduate students, and numerous undergraduates. John also has received more than a million dollars in funding and has coauthored 51 refereed publications. In addition to his research accomplishments, he has devoted himself to teaching and public outreach. He has spearheaded efforts to have OU join the Apache Point Observatory and actively works with Norman-area educators to develop astronomy exercises for middle- and high-school classrooms. Among his letters of support, he is described as, "a passionate advocate for using astronomy to increase scientific literacy" and "one who often goes above and beyond what is required to ensure students are well taken care of, that any concerns they have are heard and dealt with, and that they are in an environment that will ensure their success." This level of student support, research output, and community involvement distinguishes Dr. Wisniewski among his fellow faculty.