### EFFECTS OF RATER-RATEE SIMILARITIES

ON PERFORMANCE EVALUATIONS

Ву

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# EFFECTS OF RATER-RATEE SIMILARITIES ON PERFORMANCE EVALUATIONS

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### CHAPTER I

### INTRODUCTION AND REVIEW OF THE LITERATURE

Today, the degree to which an individual succeeds within his organization, as measured in both terms of retention and promotion, is becoming increasingly dependent upon one primary source of information; the periodic performance evaluation form. With this increasing importance, the requirements for the most objective and accurate instrument possible has become paramount and towards this end, much research has been accomplished. One phase of this research has been directed towards the identification and qualification of the errors and biases present in performance evaluations.

Guilford (1954) defines several common sources of error in ratings including halo effect, error of leniency, and error of central tendency. Of these, the halo effect is perhaps the best known, having been first noted by Wells (1907) and later named by Thorndike (1920).

Until recently the halo effect, traditionally considered as a spuriously high, average inter-trait correlation of composite ratings has been studied predominately in terms of correlational analysis. The degree to which halo was found to influence evaluations varied considerably dependent upon the study cited.

Mayo (1956) in a study on the effects of halo on peer ratings accomplished by United States Navy airmen interpreted his findings

as showing a marked effect of halo upon performance evaluations. These findings were in general agreement with those reported earlier by Grant (1952) who had additionally indicated that the effects of halo, while present in all ratings, show a marked variation in amount. Factor analytic studies (Gordon, 1955; Wherry, 1954) indicated that raters tended to respond favorably or unfavorably to all or almost all items in a questionnaire. However, Kellner (1961) considering this phenomenon as "response set" was unsuccessful in obtaining a general factor.

Although the existence of halo had never been seriously questioned, attempts to accurately quantify or predict its appearance had been so unsuccessful that Guilford, as late as 1954, could find no better explanatory discussion to cite than that published by Symonds in 1925.

However, in 1954 Guilford reformulated the problem of rating errors in terms of variance analysis and Johnson and Vidulich (1956) used this design in an experimental study of halo effect which they felt offered the first published verification of its existence. Using two groups, one working under conditions designed to maximize halo while the other worked under minimizing conditions, variance in trait ratings due to raters was found to be significant beyond the .01 level under both conditions. To maximize halo effect one group rated one individual each day on 5 traits. The other group, to minimize halo effect, rated all individuals each day on one particular trait. The largest variance was due to raters being rated but was greatly reduced under minimizing conditions. That portion of the total halo effect due to rater-ratee interaction was considerably smaller, being significant (p <.05) under maximizing conditions but

not so under minimizing conditions. Interaction between raters and traits, indicating a tendency on the part of some raters to give high ratings on some traits and low ratings on others was found to be operating in a manner exactly opposite the rater-ratee interaction, being significant (p < .05) under minimizing conditions but hardly identifiable under maximization conditions.

Wellingham and Jones (1958), after a thorough study of Guilford's design, presented a cogent argument to the effect that in such an analysis, the trait-ratee interaction must not be ignored as it was in the Johnson and Vidulich study, since this interaction is inversely related to the older measure of halo, the inter-trait correlation.

Recognizing the validity of this position Johnson (1963) reanalyzed his data more thoroughly and found both the interactions
between raters and ratees and between traits and raters to be significant
at the .01 and .05 levels respectively under maximum conditions and
both interactions to be significant at the .01 level under minimum
conditions. Additionally, the trait-ratee interaction, overlooked
in the previous analysis was found to be highly significant (p < .01)
under both conditions. Since rater variances and rater-ratee interactions were not found to be significantly different under differing
conditions, this reanalysis does not justify the previous conclusion
that judgment under conditions designed to minimize halo actually
reduced its effect. Hence, although manipulation of judgment had
some indeterminate effect, the evidence for halo due to judging
operations remained questionable.

Johnson (1963) points out that under these circumstances the raterratee variance appears to be better evidence for the existence of halo and that this particular interaction, otherwise designated as relative halo, has been specifically investigated using other experimental designs.

Kinder (1925) in an early study found a tendency to overrate members of the same sex as compared to the opposite sex. Using a similarly designed study, Maher (1956) was unable to find significant differences as a function of age.

Fillenbaum (1961) investigated the relation between judgment on an attribute and one's relationship to that attribute in terms of similarity between the object judged and the rater. In estimating height and weight for same and opposite sex students, a significant positive relation was found between same sex ratings and the judge's height and weight with no significant relation between opposite sex ratings and the judge's physical stature.

Kirchner and Rersberg (1962) found differences in ratings of subordinates dependent upon the supervisors ability with the poorer supervisors being more lenient and showing less variability in their ratings. Other studies (Pastore, 1960; Tupes and Kapalan, 1961; and Vanesek, 1962) indicate that some specific factors unrelated to job performance, such as poise, social polish, adjustment, and military grade, may effect performance evaluations while others, such as traits of surgency or extroversion, do not.

Cox and Krumboltz (1958), using peer ratings collected from basic airmen, investigated the extent to which racial bias was operative within Air Force training flights and found that generally, members of one race are rated higher by members of their own race than by members of a different race. This conclusion was not applicable to

some flights and applicable only to a lesser degree in others. DeJung and Kaplan (1962), in considering the same possible racial bias, obtained ratings by peers of military combat potential (sic) and found support for their hypothesis that ratees would receive higher ratings from members of their own race than from members of another race. These findings were significant for all ratee samples. The other hypothesis, that raters would give higher ratings to men of their own race, was supported for Negro raters but not for Caucasian raters.

Zoberi (1960) investigated the relationship between evaluative attitudes and rater traits of introversion and extroversion and found noticeable differences in evaluations. To the experimenter's (E) knowledge this finding has not been replicated. Hence, the effect of rater-ratee similarity in this area was included as one variable in the present study.

Present evidence is not conclusive when considering the area of rater-ratee interaction as a primary source of error in performance evaluations. The overall trend does, however, seem to support the position that this area may well be a significant contributor to bias in evaluation.

### Purpose of the Study

The purpose of the present study was to investigate the impact of rater-ratee similarities in pre-selected areas. Specifically, a review of the available literature generated the hypothesis that the degree of similarity between rater and ratee in certain separate areas may significantly influence the raters evaluation of an

individual's performance even though these similarities exist in areas unrelated to those being evaluated. Consequently, the effects of rater-ratee similarities were selected for study in the following areas: age, sex, physical stature, intelligence, political party affiliation, and extroverted-introverted personality.

This study was further designed to investigate the interrelationships between the scales of a performance evaluation form.

The present study also evaluates an experimental design in an attempt to determine its potential value to future experiments in this area.

#### CHAPTER II

### METHOD

Raters were asked to rate artificial profiles containing the characteristics under investigation and then filled out forms allowing their self-ratings in these areas to be evaluated.

In the analysis of each area, ratings of hypothetical students possessing similar and opposite characteristics to those of the rater were compared. To aid in the analysis, correlational procedures were used to determine the interrelationships between separate scales comprising the rating form and the rater's perception of these scales.

Subjects (S), totaling 214, were obtained from among those students in attendance at Oklahoma State University during the summer session, 1964, in the classes of psychology, education, and speech. These Ss formed a highly varied sample as shown by their responses to the questions on the personal questionnaire.

Each S was given 5 short profiles of 5 hypothetical college sophomores, each containing certain specific information relative to their performance as students and to their social behavior and attitudes. These profiles (Appendix A) were designed such that at least two of the ratees exhibited each of the relatively extreme characteristics in each area under investigation. In other words, at least two ratees were identifiable as Democrats as shown by their statements supporting Johnson as President in the forthcoming

election. Two other ratees were identifiable as Republicans because of their support of the highly conservative candidate, Goldwater.

John Jones (Ratee J) is a young male with a high I.Q., who supports Johnson as President and appears introverted. Betty Barnes (Ratee B) is a young, small, smart, and extroverted female. Paul Peters (Ratee P) is a young, small, extroverted male who supports Goldwater for President. Susan Smith (Ratee S) is older, introverted, and quite tall. She has a lower I.Q. and is identifiable as a Johnson supporter. Don Douglas (Ratee D) is also older and is a tall, Goldwater supporting male with a relatively low I.Q.

After studying each profile, <u>S</u>s were asked to evaluate the hypothetical students' performance on the traits of Cooperation, Judgment, Communication Facilities, Job Knowledge, Efficiency, Perseverance, and an Overall Performance rating, used as a separate scale as distinguished from a summed score scale. The ratee's performance was evaluated using a 9-point graphic rating form (Appendix B) allowing ratings from 1 to 9 on each trait.

The <u>S</u>s then filled out a personal questionnaire giving their selfratings on the variables under consideration (Appendix C). Answers
given to this questionnaire provided the basis for the selection of
those raters possessing relatively extreme characteristics on the variables
under study. Ratees possessing similar and opposite characteristics
from the rater could thus be identified for each comparison.

This design clarifies two problems. First: the majority of studies in this area have required the evaluation of well-known, real life persons. Consequently, a significant rater-ratee interaction term, when obtained, could be considered as partially due to variations

in the information available to different judges rather than to the judging operations. The method employed in this study insures that each  $\underline{S}$  has the same information available as does any other  $\underline{S}$ .

Second: this design evaluates the effects of rater-ratee similarities as perceived by the rater and reflected in his ratings. Other studies, using objective measures of the evaluator's standing in relative areas, have neglected the fact that the individual may perceive himself other than as he is. It is felt by the investigator that any differences in ratings due to similarities or differences between the rater and ratee would be more accurately manifested to the degree that the rater perceives these similarities.

### Procedure

Each S was given the 5 hypothetical student profiles, with the order of presentation being totally randomized, and informed only that this was an experiment within the perceptual field. Each S was also provided 5 rating forms.

The instructions were:

You will find attached five short descriptions of five different hypothetical students within this University. Each of these students are assumed to be majoring in the same academic area and to have just completed their sophomore year. These five students are further assumed to have had one class in common this past semester and their verbatium replies to a question asked in this class are contained within each of their descriptions. This question was: 'Who of the possible candidates do you feel would make the best President of the United States and why?'

Using the information provided, you are requested to critically evaluate the performance of each of these hypothetical students on the performance rating scales provided.

Please complete reading these instructions for Part I and then proceed in the manner described.

- 1. Review the rating form to determine the areas in which you are to evaluate the student's performance.
- 2. Read each description thoroughly and then visualize the individual described. Remember that these are fictious persons. Do not attempt to relate them to any real person you may know but visualize them only using the information provided.
- 3. After visualizing the subject, complete the rating form and then proceed to the next subject. You may review the applicable profile while completing each form, but once the rating is completed do not return or refer to that form or profile again. In other words, rate each student separately without reference to the ratings assigned any other.
- 4. After completing the five rating forms you will have finished Part I of this experiment. After completing these forms you may then proceed immediately to Part II.

After completion of all rating forms,  $\underline{S}$  completed the personal questionnaire and were then dismissed.

Analysis of ratings was done using two techniques. First, a factor analysis was completed to determine the internal relationships existing within the rating form's correlations.

Factor analysis is appropriate for this investigation because of the independence of tests. The reduction in size of the correlational matrix results in easier interpretation of the fewer factors. The procedure incorporates a Beaton Pearson r routine followed by a Thurstone centroid factor analysis (Thurstone, 1947). Finally, a varimax rotation (Kaiser, 1959) was completed to obtain the advantages of simple structure and positive manifold.

To determine the effects of rater-ratee similarities, t tests for uncorrelated means of ratings assigned selected rates by selected raters were made following techniques suggested by Peters and Van Voorhis (1940).

### CHAPTER III

#### RESULTS

Ratings provided by each of the 214 Ss on each separate scale for each hypothetical student were factor analyzed using a Beaton routine with a centroid factor analysis followed by a varimax rotation. Additionally, the Ss personal standing on the characteristics of Age, Height, Intelligence, Perseverance, and Introvertive-Extrovertive personality were assigned scale values from 1 to 5 and related to scale ratings. The resulting correlational and residual matrix is shown in Appendix E. With the exception of the rater variables of Age, Height, Intelligence, Perseverance and Introvertive-Extrovertive personality, the many highly significant correlations (p <.01, df = 213, significant r = .13) indicate both a high interdependence among scales comprising the rating form and failure by the raters to totally differentiate between the areas evaluated. Correlations exceeding .50 were found between ratings of Judgment and Communication Facilities for all ratees evidencing a high degree of conceptual equivalence between these areas by the raters.

Correlations between the same scales for different ratees are generally lower than between different scales for the same ratee.

This finding supports the hypothesis that halo is present in ratings of this type. Ratings of Cooperation showed the greatest independence of all scales used. This finding may indicate that evaluators can

more easily identify ratee characteristics in this area or that traits of Cooperation are particularly significant to the evaluator in forming an evaluative judgment.

The centroid factor analysis and varimax rotation revealed that the intercorrelations can be explained by 10 factors. The factor matrix and communalities are shown in Appendix F.

Factor 1, accounting for 28% of the total variance, may be identified as a Susan Smith factor with high loadings on Judgment, Communications Facilities, Job Knowledge, and Overall Performance.

Factor 2, accounting for 14% of the total variance, may be identified as a "not" Don Douglas or "phantom" factor with high loadings on all variables (scales) comprising his ratings. These loadings are all negative and present the only such negative factor within this matrix.

Factor 3, accounting for 13% of the total variance, is identified as a Paul Peters factor with high loadings on all scales comprising his ratings.

Factor 4, accounting for 11% of the total variance, is identifiable as a Betty Barnes factor with particularly high loadings on Judgment, Communications Facilities, and Job Knowledge ratings.

Factor 5, accounting for 11% of the total variance, is identifiable as a John Jones factor with high loadings on all scales comprising his ratings.

Factor 6, accounting for a smaller percentage (.06) of the total variance, appears to be partially a Susan Smith's Efficiency and Perseverance factor.

Factor 7, also accounting for 6% of the total variance, appears

to be partially a Betty Barnes' Efficiency and Perseverance factor.

These 7 factors, together accounting for 89% of the total variance in the correlational matrix, may be identified as ratee factors and further indicate that the evaluators, while successful in differentiating between ratees, were subject to a form of halo interrelating all evaluations of the same ratee.

The only split factors, i.e., two factors involving the same ratee, occurred with the two girls evaluated and both involved the separation of the Efficiency and Perseverance ratings from the others comprising the evaluation form.

The remaining factors 8, 9, and 10 together account for 11% of the total variance and are primarily uninterpretable residual factors. The low communalities show low reliability of scales.

The emergence of clearly identifiable ratee factors is considered to be particularly important, since emergence of "tests" such as introversion or perseverance scale ratings were equally predictable factors.

To investigate the specific effects of rater-ratee similarities, Ss were ranked on each of the specific variables under consideration. The Ss whose self-ratings were at the extremes of the group on each variable furnished the data for analysis. Each rater in the two extreme groups evaluated two ratees possessing similar characteristics and two ratees possessing opposite characteristics to the self-ratings of the evaluator. Since each rating form provided 7 scales consisting of 9 points, composite ratings of between 7 and 63 were possible for each ratee. Composite scores for each of the two similar ratees, such as small ratees, were combined and became the group scores

(Appendix G) used in the analysis. Differences in group scores as a function of rater-ratee similarities were then subjected to analysis using 4 t tests per characteristic.

A procedure suggested by Peters and Van Voorhis (1940) allowed analysis of each of the following possibilities: (1) Differences in ratings assigned low-ranked ratees by low and high self-ranked raters, (2) Differences in ratings assigned high-ranked ratees by low and high self-ranked raters, (3) Differences in overall ratings as assigned by low and high self-ranked raters, and (4) Interactive effects due to rater-ratee similarities. The first three analyses are clear and precise. The remaining interactive analysis, being somewhat more of an approximation, could be more easily analyzed in an analysis of variance if these data were amenable to such analysis. Since the absolute value of each ratee differs (Table I) analysis of variance appears inappropriate.

The results appear as a battery of 4 t tests in each area. It is recognized that such a battery of t tests devaluates the significance of each individual test. This situation can, however, be remedied by arbitrarily setting a higher confidence level.

Analysis of the variables under consideration revealed the following results (Tables IIa and IIb):

<u>Sex:</u> Ratings provided by 30 male and 30 female <u>Ss</u> were selected at random and analyzed relative to rating differences between male ratees (D & J) and female ratees (B & S). Results were not significant; however, the mean ratings of males when rated by males (46.25) versus females (44.82), female ratees when rated by males (47.75) versus females (45.92), and overall differences in ratings by males (47.00)

versus females (45.37) could be interpreted together as indicating a possible tendency for males to rate higher than females.

Age: All 18-year-old Ss plus a random selection of 8 from 10 available 17-year-old Ss comprised the young rating group (N = 30).

All 25, 26, 27, 28, 29 and 4 randomly selected Ss from 7 available 30-year-old Ss comprised the old rating group (N = 30). Differences in ratings assigned young ratees (J & B) versus old ratees (D & S) were not significant. Mean ratings of young ratees when rated by young raters (48.38) versus old raters (48.20), old ratees when rated by young raters (40.63) versus old raters (42.25), and overall differences in ratings of young raters (44.49) versus old raters (45.22) were found.

Physical Stature: Fifteen female Ss 5' 7½" or over plus 15 males 6' 2½" or taller comprised the large rating group (N = 30). Fourteen female Ss 5' 2½" or less plus 16 males 5' 8" or under comprised the small group (N = 30). Comparison of ratings assigned small ratees (P & B) with large ratees (D & S) showed no significant differences. Again, the mean ratings of large ratees when rated by large raters (40.67) versus small raters (42.05), small ratees when rated by large raters (42.22) versus small raters (44.47), and overall differences in ratings by large raters (41.44) versus small raters (43.26) could be interpreted together as showing a possible tendency for shorter raters to rate higher than taller raters.

Intelligence: Twenty-six Ss, considering themselves as possessing I.Q.'s in the lower 50% of all college students, comprised the low rater group. Sixteen Ss, considering their I.Q.'s to be in the highest 10% of both the general population and of college students and a random selection, using random numbers, of 10 from the 29 Ss

considering their I.Q. as being in the highest 10% of the general population and the upper 25% of all college students comprised the high rater group. Differences in ratings assigned high I.Q. ratees (J & B) and low I.Q. ratees (D & S) were not significant. Mean ratings of high I.Q. ratees when rated by high I.Q. raters (48.12) versus low I.Q. raters (49.60), low I.Q. ratees when rated by high I.Q. raters (43.27) versus low I.Q. raters (41.83), and overall differences in ratings of high I.Q. raters (45.69) versus low I.Q. raters (45.71) were found.

Political: Sixteen Ss indicating a preference for Goldwater and minimum desire for Johnson as President comprised the Republican group. Sixteen Ss, randomly selected from the 95 who indicated a preference for Johnson and minimum desire for Goldwater as President, comprised the Democratic group. Differences in ratings of Republican-oriented ratees (D & P) and Democratic-oriented ratees (J & S) were insignificant. Mean ratings of Republican ratees when rated by Republican raters (39.34) versus Democratic raters (35.88), Democratic ratees when rated by Republican raters (44.25), and overall differences in ratings of Republican raters (41.72) versus Democratic raters (40.06) were obtained.

Introversive-Extroversive: Twenty Ss identifying themselves as extroverted comprised the extroverted rating group. Three Ss classifying themselves as introverted plus a random selection of 17 of the 56 Ss identifying themselves as slightly introverted comprised the introverted rater group. Differences in ratings assigned extroverted ratees (P & B) were not significant when compared to introverted ratees (J & S). In this area mean ratings of extroverted

rates when rated by extroverted raters (41.92) versus introverted raters (40.40), introverted rates when rated by extroverted raters (42.50) versus introverted raters (44.60), and overall differences in ratings of extroverted raters (42.21) versus introverted raters (42.05) were found.

TABLE I

# ABSOLUTE VALUE OF RATES AS DETERMINED BY MEAN RATINGS OF 214 EVALUATORS

Ratee	M
John Jones (J)	45.38
Betty Barnes (B)	53.12
Paul Peters (P)	29.32
Susan Smith (S)	41.34
Don Douglas (D)	45.43

TABLE IIa
SUMMARY OF ANALYSIS OF RATER-RATEE SIMILARITY
EFFECTS ON RATINGS (RELATIONS)

Differences in ratings of male and female students by male and female raters (N = 30)

	Male Raters	Female Raters D	ifference	<b>t</b>
Male Ratees	46.25	44.82	1.43	1.23 ns
Female Ratees	47.75	45.92	1.83	1.80 ns
All Ratees	47.00	45.37	1.63	1.73 ns

Differences in ratings of young and old students by old and young raters (N = 30)

	Young Raters	Old Raters Difference t	
Young Ratees	48.35	48.20 .15 .10 ns	;
Old Ratees	40.63	42.25 -1.62 1.04 ns	\$
All Ratees	44.49	45.2273 .56 ns	;

Differences in ratings of large and small students by large and small raters (N = 30)

Larg Rate		Difference	ŧ
Large Ratees 40.6	7 42.05	-1.38	.95 ns
Small Ratees 42,2	2 44.47	-2.25	1.57 ns
All Ratees 41.4	4 43.26	-1.82	1.56 ns

TABLE IIa (Continued)

Differences in ratings of high and low I.Q. students by high and low I.Q. raters (N = 26)

	High Raters	Low Raters	Difference	t
High Ratees	48,12	49.60	-1,48	1.10 ns
Low Ratees	43.27	41.83	1.44	.85 ns
All Ratees	45.69	45.71	02	.02 ns

Differences in ratings of Republican and Democratic students by Republican and Democratic raters (N = 16)

	Rep. Raters	Dem. Raters	Difference	t
Rep. Ratees	39.34	35.88	3.46	1.61 ns
Dem. Ratess	44.09	44.25	16	.06 ns
All Ratees	41.72	40.06	1.66	1.27 ns

Differences in ratings of extrovertive and introvertive students by extrovertive and introvertive raters (N = 20)

	Extro. Raters	Intro, Raters	Difference	t
Extro. Ratees	41.92	40.40	1,52	1.12 ns
Intro. Ratees	42.50	44.60	<b>~2</b> 。10	l.ll ns
All Ratees	42.21	42.05	.16	.18 ns

### TABLE IIb

# SUMMARY OF ANALYSIS OF RATER-RATEE SIMILARITY EFFECTS ON RATINGS (INTERACTIONS)

Difference due to rater-ratee interaction Cal. t = .36on Sex variable Required t value for significance at .05 level = 2.04 Difference due to rater-ratee interaction on Age variable Cal. t = 1.12Required t value for significance at .05 level = 2.04 Difference due to rater-ratee interaction on Height variable Cal. t = .53Required t value for significance at .05 level = 2.04 Difference due to rater-ratee interaction Cal. t = 1.50on Intelligence variable Required t value for significance at .05 level = 2.06 Difference due to rater-ratee interaction on Political variable Cal. t = 1.52Required t value for significance at .05 level = 2.13 Difference due to rater-ratee interaction on Extrovertive-Introvertive Personality variable Cal. t = 1.68

Required t value for significance at .05 level = 2.09

### CHAPTER IV

### DISCUSSION

The results of the present study have not provided complete enlightenment on the possible results and effects of rater-ratee similarities on performance evaluations but do present definite evidence that halo effect is influencing evaluations.

Of the 10 factors emerging from centroid factor analysis and varimax rotation, 7 may be identified as ratee factors representing the ratees evaluated. This finding supports the general hypothesis relative to the presence of halo in the ratings. It would seem necessary to confirm the appearance of this type of factor when using a different rating format.

The high negative loadings of the Don Douglas variables in Factor 2 remains largely unexplainable. This "not" Don Douglas factor can only be assumed to be related to the lack of relatively unique characteristics specified in his profile. It is particularly noted that this hypothetical student was the only one showing neither pronounced introvertive nor extrovertive behavior patterns.

The many significantly high correlations among the rating scale variables indicate that, to a large extent, raters are unable to separate among the areas to be evaluated on each individual scale.

This would appear to be particularly true in the case of the Judgment and Communications Facilities scales and can probably be accounted

for by the use of the "verbatium" response to a reasoning question in the informational profile.

The other area of apparent high correlations shown between the Job Knowledge, Efficiency, and Perseverance ratings is more easily accounted for by the similarities existing among these areas.

These findings indicate that separate scale reliabilities are individually low and the solution to this problem remains of continuing concern in the development of an objective rating instrument.

The low communalities of many of the variables indicate the presence of a large amount of general factor in the matrix and supports the findings of Gordon (1955) and Wherry (1954).

Failure of the possible effects of rater-ratee similarities to emerge as reflected by statistically insignificant differences between ratings by divergent groups might be accounted for in many ways:

First, Ss were asked to rate hypothetical students on their performance as college sophomores after receiving only a minimum of information relating to their performance. Consequently, individual biases were used which may possibly be much more subjective than those biases applied in evaluation when more information is available. Visual bias, for example, was eliminated in this study. The general lack of personal contact and reduced, more subjective biases available to the rater, undoubtedly combined to cause the high variances in ratings encountered in this study. This condition served to effectively mask any significant effects of rater-ratee similarities which might otherwise have been found.

Second, Ss were not told the purpose of the study or intended use of the ratings. Several Ss later indicated that they interpreted the purpose of the study as an evaluation of ratings as a concealed devise for use in political polling surveys. Although this misinterpretation of the study's purpose could be due to the period of time, an election year, the effects of such a misinterpretation cannot be evaluated. This condition is, obviously, in marked contrast to that prevalent during actual completion of a performance rating since raters normally know the purpose of the evaluation.

Third, this study considered similarly effects relative to the Ss perceived self-standing on the variables investigated. That a rater's self-perception may differ from his actual possession of various attributes is common knowledge and the presence of any rater-ratee interactive effects may be interrelated to the degree of difference between the raters perceived and actual similarities to the ratee.

The basic experimental design and analytic procedures applied in this study proved basically workable and suggests a method which can be used to overcome the differences in rater familiarity encountered in many of the experimental designs previously used in the investigations of biases in this area.

The importance of considering possible rater-ratee interactions as biasing influences on evaluations remains of concern. The design used in this study is uniquely applicable in the analysis of differences in ratings assigned to ratees because of rater characteristics, differences in ratings due to ratee differences, and the interactive effects when comparing stimuli of differing absolute values.

### CHAPTER V

### SUMMARY AND IMPLICATIONS FOR FURTHER RESEARCH

The present study was an attempt to determine the effects of different degrees of identifiable rater-ratee similarities in areas not of direct concern on performance evaluations. Additionally, the interrelations between the separate scales comprising a graphic performance evaluation form were considered. Finally, the study allowed the evaluation of an experimental method designed to investigate and quantify specific rater-ratee interactive effects which have been previously found to be present to a highly significant degree when using analysis of variance techniques (Johnson, 1963).

Significant interactive effects due to rater-ratee similarities in age, sex, height, political preferences, intelligence, and introvertive versus extrovertive personalities were not found, and possible reasons for this failure were discussed.

Interrelations between separate scales were found to be high indicating the raters failure to differentiate among the areas encompassed by each separate scale.

Centroid factor analysis and varimax rotation indicated 10 factors involved in the ratings. The 7 major factors were identifiable as individual ratee factors and this finding, coupled with the high correlations between scales, was interpreted as indicating the presence of halo in the performance ratings.

Further research using this experimental method would appear to necessitate using a more reliable rating form with greater separate scale independence and more precise methods for reducing rater variance. Additional research to accomplish this is currently being planned.

Implications for further research are numerous.

The potential value of this experimental design is dependent upon the development of techniques which will more adequately control rater variances. Further research using this method is needed to develop these variance controlling procedures. Assuming that such procedures can be developed, this design holds much promise in the analysis of rater-ratee interactive effects.

This design could also be used to investigate the effects of rater-ratee similarities on specific trait ratings. It is highly possible that the gross evaluations used in this study, i.e., sum total of the 7 individual scale ratings, obscures these effects and analysis of more limited ratings would show more significant results. Such research is currently planned.

Since the experimental method employed would seem to control
the problems of insuring equivalent knowledge and familiarity on
the part of many raters, it provides additional analysis which
insures that differences, if obtained, reflect true differences
in the perception of the ratee by the evaluator and identifies
these rater characteristics. Previous research (Madden and Bourdon,
1963; Taylor and Wherry, 1951) has indicated that ratings differ
dependent upon the type of rating scale employed. It is conceivable
that rater-ratee interactive effects, if operating, could vary

in degree dependent upon the type of scale employed.

Other studies (Madden, 1960; Marsh and Schmid, 1956) have indicated that accuracy of ratings is related to the degree of familiarity of job requirements possessed by the rater. Rater-ratee interactive effects could also compound these differences if operating in varying degrees relative to the rater's job familiarity.

Further study of the comparative interactive effects between real rater-ratee similarities and perceived similarities is needed. The experimental method employed in this study would seem applicable in further studies in those areas cited above and the possibility of interactive effects must be considered in any analysis accomplished.

In essence, although the results of the present study are not totally significant, it would seem that considerably more research is needed concerning the possible practical effects of rater-ratee interactions as a source of bias in performance evaluations. The emergence of identifiable factors as ratees is considered to be a particularly important and relevant finding requiring further investigation.

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APPENDIXES

## APPENDIX A

### DISCRIPTIVE INFORMATION - RATEE J

### Profile - John Jones

John Jones is a white male American, 19 years of age. He stands 5 ft. 11 in. tall and weighs 176 lbs. A neat but casual dresser, he consistently presents an excellent appearance both on and off campus.

John graduated from High School in the spring of 1962 and enrolled in this University the following fall. Entrance examinations indicate his I.Q. as approximately 128. His High School records indicated that he graduated in the upper 10% of his class. During his four semesters here he has completed 63 credit hours with an overall grade average of 3.4. During the last semester he completed 5 courses (15 credit hours) and received 3 "B's" and 2 "A's" as final grades.

He is a quiet individual who rarely volunteers information.

However, if called on, he can be relied upon to present a considered and thoughtful response to the question or request. In his social contacts outside of class, he is very reserved and appears reluctant to take any initiative in the development of his group's activities. He is, however, well liked by those who know him. He spends much of his free time in the library and devotes approximately 38 hours per week in outside class studying directly related to his course work.

In response to the Presidential question he wrote:

"I do not feel well qualified to take a strong stand for any of the candidates as I do not know that much about them. However, if a choice is to be made, I would pick Johnson. Johnson is holding the

## APPENDIX $A_{\gamma}$ (Continued)

office of the Presidency at present, therefore, he has the opportunity to know just what tasks and responsibilities are involved. He seems to be doing the job adequately. He is supporting the ideas he believes in and is making an honest effort to sincerely fulfill his duties.

In conclusion, I would choose Johnson because he sincerely seems to be an honest and good man and I feel he has enough courage to stick up for what he feels needs to be done."

### APPENDIX A

#### DISCRIPTIVE INFORMATION - RATEE B

Profile - Betty Barnes

Betty Barnes is an 18-year-old white American female. She is 5 ft. 2 in. tall and weighs 94 lbs. Dressing casually but always in good taste, she makes an excellent appearance both on and off campus.

Betty graduated from High School in the spring of 1962 and enrolled in this University the following fall. Entrance examinations indicate her I.Q. as approximately 135. She graduated from High School in the upper 10% of her class and since attending this University has accumulated 66 credit hours. During these four semesters she has maintained an overall grade average of 3.6. During the last semester she completed 6 courses (18 credit hours) and received 3 "A's" and 3 "B's" as final grades.

She is a vivacious person who makes friends easily and is one of the more popular persons on the campus. She willingly assists others in her group whenever a need arises and additionally leads an active social life. When in class, she often takes the lead in discussions and is noted for her witty but intelligent questions and observations, and she spends approximately 18 hours per week in outside class studying directly related to her course work.

In response to the Presidential question she wrote:

"The most capable candidate for the forthcoming presidential election is Richard M. Nixon. Mr. Nixon has proved to be very capable in the past because of his efforts made under President Eisenhower.

Not only was he interested and vigorous then, he continued to travel

## APPENDIX A2 (Continued)

and to gain insight on the world situation. Probably the most realistic thinkers of the candidates, he offers himself to the American public only at their desire and continually shows interest not in his being elected (which would be the greatest of honor for any American) but an interest in gaining unity for his party which would carry out what he stands for. Through experience Nixon has gained insight, through defeat he has gained perspective, and these are the only things that prepare a man for such a job."

### APPENDIX A3

### DISCRIPTIVE INFORMATION - RATEE P

### Profile - Paul Peters

Paul Peters is a white male American, 19 years of age. He is 5 ft. 7 in. tall and weighs 146 lbs. His dress is always immaculate and he shows a definite preference for well-tailored clothing.

Paul graduated from High School in the spring of 1962 and enrolled in this University that fall. Entrance examinations indicate his I.Q. as approximately 115. He graduated from High School with an academic standing somewhat below the middle of his class. During the four semesters on this campus he has accumulated 58 credit hours with an overall grade average of 2.1. During the last semester he completed 5 courses (14 credit hours) and received 1 "B," 3 "C's" and 1 "D" as final grades.

He is a very active and outgoing person with many friends throughout the campus. In classroom discussions he is occasionally somewhat disruptive due to a tendency to volunteer information in areas other than those in which he is well versed. If called upon, his responses may reflect a somewhat chronic failure to adequately study the required material. Outside of class he is a leader in the organization of social activities and is always the "life of any party" he attends.

Rarely visiting the library, he spends approximately 10 hours per week in outside class studies directly related to his course work.

In response to the Presidential question he wrote:

"I feel Sen. Barry Goldwater would make a good President. He is conservative but I don't think he is as conservative as his opponents

## APPENDIX A3 (Continued)

make him sound. He is not an isolationist. I feel he he believes in preserving the individual liberties of the citizen. Pres. Johnsons "idea" of taking from the haves and giving to the have-nots is, to me, nothing but a rewording of a maxim of Karl Marx--from each according to his ability, to each according to his need. The Federal government has encroached enough and I feel Goldwater would do his best to put an end to this."

### APPENDIX ALL

### DISCRIPTIVE INFORMATION - RATEE S

#### Profile - Susan Smith

Susan Smith is a 24-year-old white American female. She stands 5 ft. 7 in. tall and weighs 134 lbs. Her dress is characteristically conservative and in good taste.

Susan graduated from High School in the spring of 1957 and until the fall of 1962 worked as a secretary in a large insurance office.

At that time she enrolled in this University on a full-time basis to further her education. Her entrance examinations show an I.Q. of approximately 104. She graduated from High School in the middle of her class and during the four semesters on this campus has accumulated 60 credit hours with an overall grade average of 2.3. During the last semester she completed 5 courses (15 credit hours) and received 3 "C's," 1 "B" and 1 "A" as final grades.

Susan is a quiet person who gives the appearance of being totally dedicated toward the completion of school requirements to the best of her ability. Having made only a limited number of friends her social contacts outside of class are few and in these contacts she is very reserved if not shy. She volunteers information very rarely in classroom discussions although when called upon, she always evidences the thorough preparation which has been accomplished.

She spends much of her free time in the library and works approximately 48 hours per week on outside class studies directly related to her course work.

In response to the Presidential question she wrote:

## APPENDIX A<sub>lt</sub> (Continued)

"In my opinion, Lyndon Johnson would make the best President of the United States. One of the biggest qualifications he has is his experience as Vice President and as President since John F. Kennedy's death. He has done an excellent job so far since he took over in November, and has already impressed the general public with his ability. He has seen several difficult bills passed or at least brought forth for consideration. I think he deserves the confidence of the American people and all the other countries and their leaders, and he should have a Presidential term to show what he can really do as a leader.

Also, Mr. Johnson has a fine family and a lovely wife which too is an asset to a President of the United States."

### APPENDIX A5

#### DISCRIPTIVE INFORMATION - RATEE D

#### Profile - Don Douglas

Don Douglas is a 25-year-old white male American. He stands 6 ft. 3 in. tall and weighs 209 lbs. He is always well groomed and dresses conservatively both on and off campus.

Don graduated from High School in the spring of 1956 and enlisted shortly thereafter in the Marine Corps. His enlistment expired during the summer of 1962 and he enrolled in this University the following fall. Entrance examinations indicate his I.Q. as approximately 105. High School records show that he graduated in the upper 50% of his class and in the four semesters of attendance at this University he has accumulated 60 credit hours with an overall grade average of 2.8. During the last semester he completed 5 courses (15 credit hours) and received 3 "B's" and 2 "C's" as final grades.

He is a practical person seriously interested in improving his education while maintaining a reasonable degree of social activity. In class discussions he makes valuable contributions, particularly in those areas with which he is familiar. In social contacts outside of class, he is neither reserved nor forward having several close friends and many acquaintances on the campus. He spends approximately 24 hours per week in outside class studies directly related to his course work.

In response to the Presidential question he wrote:

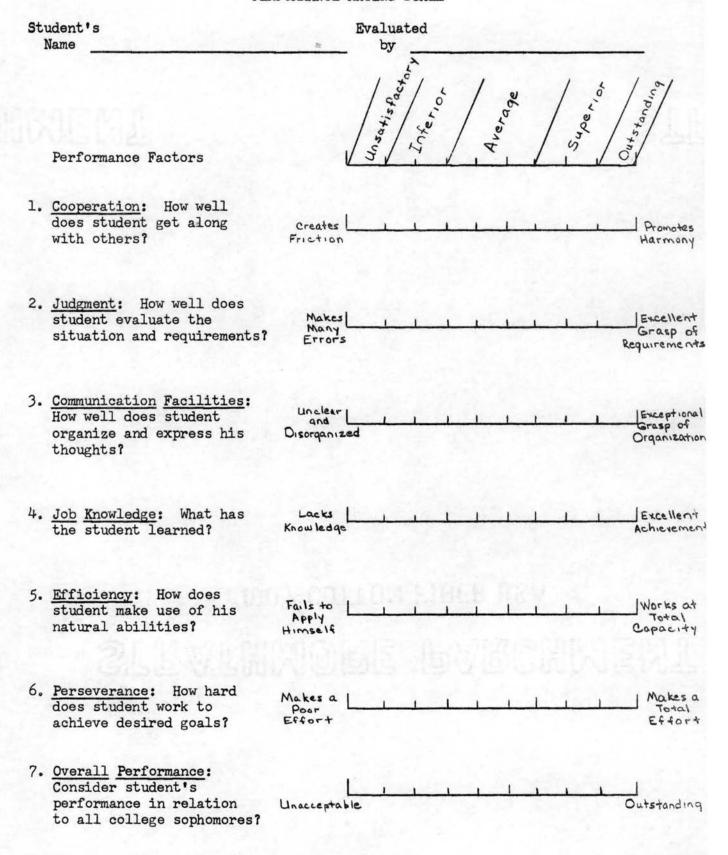
"I am in favor of Goldwater who has said to be one of the 'most misquoted men of this campaign.' I feel that we need a conservative

## APPENDIX A5 (Continued)

in office for the next term. In spite of many mistakes and what to my mind must be misrepresentations, I feel that Goldwater is well informed on the issues of the day. Many of his ideas seem feasible and I would like to see them—in part at least—put to action. Although an outspoken man, he is sincerely interested in the welfare, economy, and public interests of this nation and his attitude toward areas of national interest—Cuba, Viet Nam, foreign aid etc. closely parallel my own."

### APPENDIX B

### PERFORMANCE RATING SCALE



## APPENDIX C

## QUESTIONNAIRE

	Name
1.	Age: 3. Height:
2.	Sex: 4. Weight:
5.	Date of Graduation from High School (Month & Year):
6.	Present class standing: Freshman Sophomore Junior Senior Graduate Special
7.	Your overall grade point average for all college courses completed:
8.	Your grade point average obtained during the last completed semester and number of credit hours taken: for credit hours.
9.	Do you consider yourself a: Republican Democrat Neither
10.	Of the persons listed, whom do you feel would make the best President of the United States: Goldwater Johnson Nixon
11.	Of the persons listed, whom do you feel would make the poorest President: Johnson Goldwater Nixon
12.	When carrying a normal academic load during a fall or spring semester, how many hours per week do you spend studying outside
	class: less than 10 11-20 21-30 31-40 over 41
13.	Do you consider your Intelligence Quotient in reference to the
	general population to be in the: upper 10% upper 25% upper 50% lowest 25%
14.	In reference to college students, do you consider your Intelligence Quotient to be in the: upper 10% upper 25% upper 50% lower 50% lowest 25%

### APPENDIX C (Continued)

15. Remembering that the extrovert is outgoing, makes friends easily, is talkative, initiates conversations, and can be counted upon to be the life of a party while the introvert is withdrawn, quiet, does not initiate much conversation, seems to give the impression of being studious and might be a wallflower at a party, do you consider yourself to be: Extroverted Slightly Extroverted Neither Extroverted nor Introverted Slightly Introverted Introverted

### APPENDIX D

## VARIABLE CODE FOR FACTOR ANALYSIS

Variable	1	Rater's Age	
Variable	2	Rater's Height	
Variable	2 3 4	Rater's IQ	
Variable		Rater's Persen	verance
Variable	5	Rater's Intro	version-Extroversion
Variable	6	John Jones	Cooperation
Variable	7		Judgment
Variable	8		Communication Facilities
Variable	9		Job Knowledge
Variable	10		Efficiency
Variable	11		Perseverance
Variable	12		Overall Performance
Variable	13	Betty Barnes	Cooperation
Variable	14		Judgment
Variable	15		Communication Facilities
Variable	16		Job Knowledge
Variable	17		Efficiency
Variable	18		Perseverance
Variable	19		Overall Performance
Variable	20	Paul Peters	Cooperation
Variable	21		Judgment
Variable	22		Communication Facilities
Variable	23		Job Knowledge
Variable	24		Efficiency
Variable	25		Perseverance
Variable	26		Overall Performance
Variable	27	Susan Smith	Cooperation
Variable	28		Judgment
Variable	29		Communication Facilities
Variable	30		Job Knowledge
Variable	31		Efficiency
Variable	32		Perseverance
Variable	33		Overall Performance
Variable	34	Don Douglas	Cooperation
Variable	35		Judgment
Variable	36		Communication Facilities
Variable	37		Job Knowledge
Variable	38		Efficiency
Variable	39		Perseverance
Variable	40		Overall Performance

### CORRELATIONAL-RESIDUAL MATRIX

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
1	(.05)	)03	21	.09	02	05	12	.02	03	09	01	.01	16	01	06	.00	01	06	07	19
2	01	(.08	)02	12	.09	06	07	07	.00	.04	.05	.01	01	.06	.07	.03	.18	.13	.12	.02
3	09	03	(.08)	.13	.14	05	.04	03	.07	.01	05	01	05	.03	01	.13	.04	.01	.03	.04
4	.05	06	.07	(.07)	.07	03	.07	.04	.09	.04	05	.00	01	.01	05	.04	09	10	12	06
5	.02	01	.05	.01	(.05	)02	02	.02	.00	.01	04	.07	.13	06	07	04	02	02	.09	.05
6	.04	.05	04	.02	02	(.07	.37	.25	.24	.24	.25	.25	.00	.07	.07	01	.06	.11	.06	02
7	.07	02	.01	.02	03	.08	(.10)	.54	.47	.35	.23	.38	.04	.12	.15	.09	.04	.09	.06	.00
8	.00	.00	04	06	.04	.05	06	(.08)	.39	.42	.32	.37	.07	.12	.11	.19	.06	.17	.09	04
9	.01	.03	.01	.03	02	03	.01	08	(.08)	.47	.37	.49	.09	.16	.18	•35	.09	.09	.28	.09
10	07	.01	.01	.05	08	.03	.04	.00	02	(.06	.51	. 50	.06	.00	.03	.25	.07	.17	.20	.14
11	05	.01	01	04	.04	04	08	01	02	09	(.07)	.35	.17	.07	.06	.18	.26	.21	.20	.08
12	.08	01	05	01	03	01	01	01	.04	01	.03	(.10)	.11	01	.05	.14	.07	.04	.32	.20
13	.02	.07	.06	.00	08	05	01	02	.02	.02	.03	02	(.07)	.23	.34	.24	.21	.21	.22	.17
14	02	03	02	.03	02	.03	.02	.01	01	.04	.00	03	03	(.05)	.56	.51	.28	.27	.28	03
15	.01	02	02	.06	.00	.03	.06	.01	01	.04	07	02	.00	.02	(.07)	.42	.23	.25	.34	.02
16	.02	06	.03	.01	07	01	.08	01	.04	.03	02	.01	03	03	.03	(.06)	•39	.36	.34	03
17	.04	.01	.00	.06	.00	.03	02	.02	02	03	05	.00	04	.03	.06	.02	(.06)	.55	.42	04
18	03	02	06	.00	.01	01	03	.07	06	.03	01	07	08	.02	.01	01	.03	(.05)	.34	02
19	.01	02	.01	03	.04	.00	.02	02	.05	06	.06	.09	.03	.00	02	03	.04	02	(.10)	.09
20	02	.00	.02	.00	.00	.03	03	.01	.00	02	.00	04	.01	.01	01	.00	01	03	.04	(.07)

	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40
1	13	00	06	02	.13	03	.13	09	.07	14	.03	.00	.05	.00	03	.01	01	.06	.02	.07
2	02	.05	.03	12	.02	03	.04	.11	.08	.11	.06	.07	.09	02	.04	.03	.00	01	٥٥6	.04
3	.03	05	04	.02	06	04	10	.03	07	.05	11	21	02	10	08	03	08	12	07	10
4	02	10	04	11	09	11	02	.03	.09	.03	.03	.06	.05	.06	.10	01	.06	.00	04	10
5	08	04	07	17	17	24	.16	.06	.10	.09	.12	.17	.12	01	08	.00	09	04	02	.05
6	.12	.16	.13	.08	.08	02	.38	.10	.09	.21	.05	.02	.17	.37	.16	.01	.18	٥٥.	.13	.09
7	05	.06	.09	.07	.07	01	.17	.15	.05	.11	.07	.01	.05	.11	.04	.01	.01	02	.06	.01
8	.02	.13	.09	.05	.02	.01	.24	.04	.18	.01	04	.00	03	.20	.07	.06	.11	.03	.07	.06
9	.01	.12	.12	.06	.11	06	.13	.09	.05	.05	07	.03	07	.14	.06	03	.12	.09	.11	.04
10	.15	.17	.19	,01	.05	.00	.17	.03	.00	.00	.12	.03	.05	.10	02	07	٥٥,	.15	.12	.09
11	.01	.10	.07	.01	.04	.02	.10	.06	.10	.13	.03	.18	.06	.22	.07	05	.21	.16	.17	.18
12	.00	.16	.14	.03	.06	01	.15	.00	.07	.04	.01	.00	.12	.10	.09	01	.15	.20	.15	.09
13	.05	01	.08	.02	10	.01	.04	.16	.12	.18	.17	.27	.12	.27	.29	.24	.20	.21	。20	.12
14	.22	.03	.12	.02	02	.01	06	.14	.10	.16	.05	.13	.10	.16	.26	.21	.09	.07	.06	.01
15	.21	.07	.08	.01	04	05	.02	.13	.11	.14	.12	.20	.05	.27	.31	.24	.26	. 24	.24	.13
16	.07	.05	.14	02	07	08	02	.08	.10	.10	.03	.07	.03	04	.03	.02	.07	.04	.15	06
17	.07	01	.06	.08	.11	.06	.04	.10	.13	.10	01	.06	.09	.18	.08	.10	.17	.17	.17	.11
18	.16	.00	.19	.07	.12	.04	.01	.05	.14	.02	12	13	.09	.14	.07	.08	.11	.15	.26	.15
19	.10	.11	- DAMESTO	1000							.07					.10			15 3007	.18
20	.25	.19	.29	.11	.14	.27	19	.03	.13	.14	.04	.18	.00	.04	.01	.05	.08	.19	.04	.12

# APPENDIX E (Continued)

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	
21	05	04	.04	.02	02	01	.03	01	02	.06	.01	04	.05	02	03	03	01	.04	05	03	
22	.00	.02	.01	06	.04	01	03	.02	.02	06	.00	02	.03	.02	04	.01	01	06	02	.01	
23	02	.00	04	.02	02	02	03	02	.00	.00	.01	02	02	.01	.02	.04	04	.02	.03	02	
24	.00	.07	03	.03	04	01	02	.02	01	.01	.02	.00	.05	01	.00	02	02	.04	07	04	
25	.08	.05	01	.01	.02	.00	.00	04	.08	.02	01	.02	.01	.01	02	02	.04	.00	02	02	
26	01	.01	03	01	.04	06	.01	04	.03	01	.03	.00	.01	01	07	.01	03	.01	04	.03	
27	.01	.00	.00	06	.08	07	01	.03	.02	01	.05	01	06	.04	06	.01	01	05	.05	.07	
28	06	.03	.01	02	06	.02	01	04	.04	.04	01	04	.00	.01	.00	02	04	04	02	.03	
29	.05	.02	09	.02	.00	.03	.10	.08	02	01	05	.01	.01	.02	01	.02	.02	.06	.01	09	
30	.04	02	05	02	.04	.06	01	.04	02	.01	.03	.02	07	.02	02	04	.04	.04	.04	.00	
31	01	.02	03	02	.04	01	03	.01	.02	09	03	.00	01	02	.00	02	02	.01	.00	02	
32	.00	01	.04	07	08	03	.01	.00	02	.03	.07	.05	.01	01	04	02	06	.04	04	.02	
33	.02	02	.05	.05	02	02	01	06	05	.02	.02	.08	01	05	.00	.01	02	.02	02	.01	
34								03													
35	02	05	.03	02	.05	05	01	.03	02	.00	.01	05	.02	.03	01	.00	.02	.02	01	05	
36	.02	.02	01	11	.00	.06	04	.03	02	.01	.02	01	.02	01	.05	01	03	01	02	.02	
37	01	.00	.01	04	.03	03	08	.02	03	02	.05	02	04	04	.00	06	02	.04	02	.02	
38	03	06	.02	.06	.01	02	.01	04	02	.01	.02	.01	01	01	02	.01	.03	.01	01	05	
39	08	03	.05	.02	.00	07	05	01	02	03	.04	03	02	.03	.01	.03	06	.03	02	.03	
40	.00	01	.04	01	.02	04	03	.04	02	.01	01	06	.02	04	01	02	04	.00	01	01	

# APPENDIX E (Continued)

	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40
21	(.06)	.50	.56	.27	.28	.45	.05	.07	.04	.10	.00	.06	.08	.07	.15	.09	.10	.10	.02	.05
22	.03	(.05)	.55	.25	.33	.32	.20	01	.00	.07	.03	.10	.05	.07	.03	.04	03	.08	.05	.05
23	01	.01	(.04)	.39	.47	.46	.08	.11	.10	.05	11	.05	.09	.12	.10	.08	.08	.13	.13	.08
24	.04	01	.01	(.12)	.48	.39	.13	01	.04	07	21	04	06	.06	.13	.08	.14	.12	.07	.13
25	04	.02	.02	06	(.08)	.45	.07	.09	.07	.01	12	04	.09	02	.04	03	.07	.07	.07	.11
26	04	.03	.02	02	.00	(.07)	)04	.01	.04	.00	01	.02	.04	.08	.05	.05	.05	.17	.06	.11
27	01	.04	03	13	01	.02	(.10)	.26	.24	.16	.13	.16	.29	.22	.13	.05	,10	.07	.09	.01
28	.02	02	.04	.03	.00	.01	.01	(.06)	. 58	. 58	.31	.29	.46	.12	.18	.22	.11	.05	.08	٥0،
29	02	03	.00	.00	05	.00	02	.03	(.10)	.53	.24	.32	.33	.14	.16	.26	.18	.06	.08	.01
30	03	05	.01	06	.01	02	.06	03	03	(.07)	.41	.37	.51	.17	.24	.18	.23	.06	.12	.13
31	.00	.01	.06	03	.00	.07	.05	.01	.05	.03	(.08)	.46	.44	.10	.14	.12	.07	.11	.09	.06
32	.01	01	02	.06	01	01	03	.01	04	02	.00	(.08)	.30	.27	.16	.20	.18	.23	.22	.17
33	.01	04	.01	.03	.02	03	02	.00	11	05	08	.03	(.10)	.10	.16	.09	.07	.08	.17	.11
34	.04	.00	05	.00	.01	.06	02	.02	01	04	03	.07	.04	(.07)	.41	.39	.45	.40	.29	.23
35	.01	.01	.01	.04	05	.00	01	.01	.04	01	.03	04	02	05	(.06)	.60	.54	.34	.31	.27
36	04	.02	03	.01	02	.00	01	.03	.06	.03	02	01	02	02	04	(.08)	.52	.41	.33	.39
37	02	.05	.00	.01	03	01	.01	.03	02	.06	.02	.02	.03	.00	.01	.00	(.07)	.51	.43	-144
38	.04	01	01	.01	03	04	٥02	.01	02	.01	02	.02	.02	07	.05	04	.00	(.07)	.70	.63
39	03	.01	.04	.02	01	。00	.00	02	06	01	.01	.00	.06	.00	.02	06	.02	.06	(.05)	.62
40	.05	.02	01	.01	02	.02	03	04	05	06	01	.00	.05	.05	.03	.03	.00	.02	.05	(.06)

APPENDIX F
FACTOR MATRIX FOLLOWING VARIMAX ROTATION

Var. No.	Factor I	Factor II	Factor III	Factor IV	Factor V	Factor VI	Factor VII	Factor VIII	Factor IX	Factor X	H <sup>2</sup> Old
1	01	06	02	04	03	.09	05	47	.02	.07	.246
2	.10	02	.00	.03	04	.03	.27	.01	17	.03	.116
3	.01	.10	04	.05	.04	38	01	.17	11	.11	.215
4	.06	.00	08	.09	.05	14	31	09	12	01	.163
5	.17	.05	12	06	.04	04	.01	.06	46	.05	.271
6	.14	06	.08	05	.36	.07	.05	04	.08	51	.435
7	.15	.05	03	.08	.66	12	14	.03	.23	08	.554
8	.06	03	.04	.13	.63	08	12	12	.07	14	.483
9	.00	05	.02	.19	.69	08	02	.03	.01	.06	.531
10	05	02	.13	.03	.71	.09	.11	.01	19	03	.577
11	.02	11	01	.03	.53	.16	.27	.08	.03	13	.421
12	.01	13	.08	08	.65	01	.08	.11	16	01	.500
13	.15	24	02	.32	.06	.11	.07	.31	08	05	.312
14	.09	04	.06	.73	.02	01	.07	.00	.08	08	.571
15	.06	23	.02	.69	.06	.12	.07	.09	.04	05	.574
16	.03	.04	01	.65	.25	11	.24	07	09	.16	.600
17	.10	11	.01	.32	.06	13	.62	01	.05	07	.543
18	.03	12	.10	.30	.11	25	.57	08	.02	07	.531
19	.02	19	.10	•33	.23	.04	.40	.12	13	.06	.406
20	.06	11	.30	06	.10	.08	03	.48	06	.21	.400
21.	.02	01	.68	.20	03	.02	.00	.12	06	14	.549
22.	01	.02	.66	.02	.15	.14	.01	03	19	09	.519
23.	.05	06	.79	.09	.12	05	.07	.03	05	.00	.661
24.	01	13	.50	05	.03	15	.04	.03	.34	01	.408
25.	.11	04	.58	12	.05	07	.10	13	.33	.08	.508
26.	.01	07	.64	05	07	06	.05	.10	.27	.06	.510
27.	. 34	03	.09	10	.22	.07	.03	30	12	32	.394
28.	.75	06	.02	.10	.04	04	.02	.02	.01	01	.582
29.	.71	10	.06	.06	.06	07	01	05	.00	.00	.530
30.	.71	01	.00	.09	.03	.09	.05	.22	03	09	.587
31.	.44	05	08	.07	03	.44	04	.04	21	02	.447
32.	.40	20	.01	.16	.01	.53	07	.12	11	.06	.550
33.	.62	04	.06	02	.01	.15	.12	09	12	11	.462
34.	.12	43	.01	.13	.14	.12	.02	.07	.04	45	.464
35.	.17	57	.06	.24	04	05	14	.12	.01	36	.586
36.	.17	66	.06	.22	13	12	17	.06	07	16	.603
37.	.10	68	.02	.09	.08	04	.00	.07	.07	27	.571
38.	01	79	.10	.02	.12	.17	.11	05	.00	.11	.702
39.	.07	70	.02	.06	.14	.11	.23	14	.00	.13	.618
40.	.00	69	.06	10	.07	.14	.19	03	.09	.10	.572

DIFFERENCES IN RATINGS AS A FUNCTION OF SEX

	Male 1	Raters			Female 1	Raters	3.5		Diffe	rences	
Male Ratees	Female Ratees	Comp. Score	Diff. M-F	Male Ratees	Female Ratees	Comp. Score	Diff. M-F	Male Male	Female Female	Comp.	Diff
95	99	194	-04	84	81	165	+03	+11	+18	+29	-07
95	100	195	-05	92	97	189	-05	+03	+03	+06	00
97	99	196	-02	100	85	185	+15	-03	+14	+11	-17
116	88	204	+28	90	89	179	+01	+26	-01	+25	+27
113	102	215	+11	99	101	200	-02	+14	+01	+15	+13
85	95	180	-10	102	106	208	-04	-17	-11	-28	-06
88	93	181	-05	91	91	182	00	-03	+02	-01	-05
93	88	181	+05	85	81	166	+04	+08	+07	+15	+01
95	101	196	-06	102	104	206	-02	-07	-03	-10	-04
92	92	184	00	98	103	201	-05	-06	-11	-17	+05
91	87	178	+04	94	87	181	+07	-03	00	-03	-03
97	89	186	+08	104	95	199	+09	-07	-06	-13	-01
92	101	193	-09	68	87	155	-19	+24	+14	+38	+10
99	97	196	+02	73	94	167	-21	+26	+03	+29	+23
81	87	168	-06	94	87	181	+07	-13	00	-13	-13
80	84	164	-04	94	90	184	+04	-14	-06	-20	-08
106	111	217	-05	82	82	164	00	+24	+29	+53	-05
84	95	179	-11	84	88	172	-04	00	+07	+07	-07
99	96	195	+03	85	96	181	-11	+14	00	+14	+14
80	84	164	-04	70	73	143	-03	+10	+11	+21	-01
99	106	205	-07	103	88	191	+15	-04	+18	+14	-22
93	93	186	00	83	95	178	-12	+10	-02	+08	+12
83	92	175	-09	82	102	184	-20	+01	-10	-09	+11
82	100	182	-18	85	88	173	-03	-03	+12	+09	-15
107	111	218	-04	93	107	200	-14	+14	+04	+18	+10
		186	00	104	110	214	-06	-11	-17	-28	+06
93	93				90	181	+01	-18	-04	-22	-14
73	86	159 188	-13 -04	91			+05	+13	+22		-09
92	96			79	74	153		+02	+22	+35 +24	-20
85	112	197	-27	83	90	173	-07				+01
90	88	178	+02	95	94	189	+01	-05	-06	-11	+0

DIFFERENCES IN RATINGS AS A FUNCTION OF AGE

	Young 1	Raters			Old R	aters			Differ	rences	
Young Ratees	Old Ratees	Comp. Score	Diff. Y-0	Young Ratees	Old Ratees	Comp. Score	Diff. Y-0	Young Young	Old Old	Comp.	Diff
87	78	165	+09	111	86	197	+25	-24	-08	-32	-16
110	76	186	+34	88	60	148	+28	+22	+16	+38	+06
96	77	173	+19	80	75	155	+05	+16	+02	+18	+14
125	120	245	+05	94	84	178	+10	+31	+36	+67	-05
102	83	185	+19	98	88	186	+10	+04	-05	-01	+09
92	87	179	+05	101	87	188	+14	-09	00	-09	-09
106	79	185	+27	82	92	174	-10	+24	-13	+11	+37
96	92	188	+04	90	88	178	+02	+06	+04	+10	+02
107	80	187	+27	101	88	189	+13	+06	-08	-02	+14
91	75	166	+16	107	101	208	+06	-16	-26	-42	+10
104	87	191	+17	79	98	177	-19	+25	-11	+14	+36
84	71	155	+13	92	84	176	+08	-08	-13	-21	+05
109	87	196	+22	89	52	141	+37	+20	+35	+55	-15
102	83	185	+19	103	97	200	+06	-01	-14	-15	+13
87	77	164	+10	100	86	186	+14	-13	-09	-22	-04
95	89	184	+06	115	88	203	+27	-20	+01	-19	-21
88	76	164	+12	94	95	189	-01	-06	-19	-25	+13
101	71	172	+30	91	80	171	+11	+10	-09	+01	+19
103	78	181	+25	103	104	207	-01	00	-26	-26	+26
110	85	195	+25	109	103	212	+06	+01	-18	-17	+19
106	86	192	+20	100	77	177	+23	+06	+09	+15	-03
97	77	174	+20	97	71	168	+26	00	+06	+06	-06
72	71	143	+01	102	82	184	+20	-30	-11	-41	-19
87	48	135	+39	106	86	192	+20	-19	-38	-57	+19
87	97	184	-10	89	75	164	+14	-02	+22	+20	-24
80	89	169	-09	105	80	185	+25	-25	+09	-16	-34
97	75	172	+22	92	89	181	+03	+05	-14	-09	+19
98	75	173	+23	88	65	153	+23	+10	+10	+20	00
83	72	155	+11	100	88	188	+12	-17	-16	-33	-01
99	97	196	+02	86	86	172	00	+13	+11	+24	+02

## DIFFERENCES IN RATINGS AS A FUNCTION OF SIZE

	Small 1	Raters			Large 1	Raters			Differ	rences	
Small Ratees	Large Ratees	Comp. Score	Diff. L-S	Small Ratees	Large Ratees	Comp. Score	Diff. L-S	Small Small	Large Large	Comp.	Diff Diff
75	78	153	-03	78	83	161	-05	-03	-05	-08	+02
71	60	131	+11	95	95	190	00	-24	-35	-59	+11
97	82	179	+15	65	92	157	-27	+32	-10	+22	+42
73	80	153	-07	101	102	203	-01	-28	-22	-50	-06
96	87	183	+09	71	81	152	-10	+25	+06	+31	+19
67	87	154	-20	91	94	185	-03	-24	-07	-31	-17
80	82	162	-02	77	105	182	-28	+03	-23	-20	+26
77	80	157	-03	94	101	195	-07	-17	-21	-38	+04
71	91	162	-20	72	85	157	-13	-01	+06	+05	-07
70	98	168	-28	83	88	171	-05	-13	+10	-03	-23
85	93	178	-08	87	83	170	+04	-02	+10	+08	-12
94	93 84	178	+10	77	95	172	-18	+17	-11	+06	+28
88	79	167	+09	91	92	183	-01	-03	-13	-16	+10
107	89	196	+18	97	95	192	+02	+10	-06	+04	+16
84	97	181	-13	84	71	155	+13	00	+26	+26	-26
99	88	187	+11	100	80	180	+20	-01	+08	+07	-09
79	100	179	-21	75	104	179	-29	+04	-04	00	+08
62	65	127	-03	78	78	156	00	-16	-13	-29	-03
76	82	158	-06	77	101	178	-24	-01	-19	-20	+18
63	89	152	-26	81	100	181	-19	-18	-11	-29	-07
75	89	164	-14	84	97	181	-13	-09	-08	-17	-01
83	81	164	+02	85	78	163	+07	-02	+03	+01	-05
80	76	156	+04	93	114	207	-21	-13	-38	-51	+25
97	83	180	+14	72	85	157	-13	+25	-02	+23	+27
74	85	159	-11	100	79	179	+21	-26	+06	-20	-32
80	91	171	-11	65	72	137	-07	+15	+19	+34	-04
72	70	142	+02	86	88	174	-02	-14	-18	-32	+04
84	84	168	00	90	82	172	+08	-06	+02	-04	-08
82	88	170	-06	71.	73	144	-02	+11	+15	+26	-04
99	95	194	+04	103	75	178	+28	-04	+20	+16	-24

 $\label{eq:appendix} \textbf{APPENDIX} \ \textbf{G}_{4}$   $\textbf{DIFFERENCES} \ \textbf{IN} \ \textbf{RATINGS} \ \textbf{AS} \ \textbf{A} \ \textbf{FUNCTION} \ \textbf{OF} \ \textbf{INTELLIGENCE}$ 

	High !	Raters			Low R	aters			Differ	rences	
High Ratees	Low Ratees	Comp. Score	Diff. H-L	High Ratees	Low Ratees	Comp. Score	Diff. H-L	High High	Low	Comp.	Diff.
87	78	165	+09	109	91	200	+18	-22	-13	-35	-09
86	103	189	-17	110	104	214	+06	-24	-01	-25	-23
81	77	158	+04	96	75	171	+21	-15	+02	-13	-17
97	85	182	+12	99	85	184	+14	-02	00	-02	-02
111	108	219	+03	105	68	173	+37	+06	+40	+46	-34
96	90	186	+06	105	69	174	+36	-09	+21	+12	-30
110	76	186	+34	97	84	181	+13	+13	-08	+05	+21
111	86	197	+25	104	88	192	+16	+07	-02	+05	+09
88	60	148	+28	114	107	221	+07	-26	-47	-73	+21
106	105	211	+01	86	78	164	+08	+20	+27	+47	-07
104	89	193	+15	101	97	198	+04	+03	-08	-05	+11
80	75	155	+05	88	65	153	+23	-08	+10	+02	-18
97	80	177	+17	98	75	173	+23	-01	+05	+04	-06
91	76	167	+15	98 83	72	155	+11	+08	+04	+12	+04
99	85	184	+14	100	88	188	+12	-01	-03	-04	+02
94	84	178	+10	107	82	189	+25	-13	+02	-11	-15
83	97	180	-14	118	73	191	+45	-35	+24	-11	-59
98	88	186	+10	90	70	160	+20	+08	+18	+26	-10
96	77	173	+19	102	95	197	+07	-06	-18	-24	+12
108	81	189	+27	87	91	178	-04	+21	-10	+11	+31
109	82	191	+27	100	95	195	+05	+09	-13	-04	+22
88	92	180	-04	88	76	164	+12	00	+16	+16	-16
106	97	203	+09	106	89	195	+17	00	+08	+08	-08
89	102	191	-13	99	97	196	+02	-10	+05	-05	-15
85	81	186	+04	86	86	172	00	-01	-05	-06	+04
102	96	198	+06	101	75	176	+26	+01	+21	+22	-20

 $\label{eq:appendix} \text{APPENDIX G}_5$  DIFFERENCES IN RATINGS AS A FUNCTION OF POLITICAL AFFILIATIONS

	Rep. I	Raters			Dem.	Raters			Differ	ences	
Rep. Ratees	Dem. Ratees	Comp. Score	Diff. R-D	Rep. Ratees	Dem. Ratees	Comp. Score	Diff. R-D	Rep.	Dem.	Comp.	Diff.
72	100	172	-28	72	78	150	-06	00	+22	+22	-22
60	82	142	-22	72	100	172	-28	-12	-18	-30	+06
84	75	159	+09	72	97	169	-25	+12	-22	-10	+34
66	79	145	-13	95	101	196	-06	-29	-22	-51	-07
66	75	141	09	86	91	177	-05	-20	-16	-36	-04
73	82	155	-09	67	87	154	-20	+06	-05	+01	+11
100	99	199	+01	74	83	157	-09	+26	+16	+42	+10
83	83	166	00	71	83	154	-12	+12	00	+12	+12
77	103	180	-26	74	90	164	-16	+03	+13	+16	-10
91	94	185	-03	69	92	161	-23	+22	+02	+24	+20
86	57	143	+29	62	80	142	-18	+24	-23	+01	+47
89	102	191	-13	69	96	165	-27	+20	+06	+26	+14
84	73	157	+11	82	98	180	-16	+02	-25	-23	+27
62	96	158	-34	68	90	158	-22	-06	+06	00	-12
83	104	187	-21	62	64	126	-02	+21	+40	+61	-19
83	107	190	-24	53	86	139	-33	+30	+21	+51	+09

APPENDIX G<sub>6</sub>

DIFFERENCES IN RATINGS AS A FUNCTION OF INTROVERSION-EXTROVERSION PERSONALITIES

Extroverted Raters				Introverted Raters				Differences			
Extro. Ratees	Intro. Ratees	Comp. Score	Diff. E-I	Extro. Ratees	Intro. Score	Comp. Score	Diff. I-E	Extro. Extro.	Intro. Intro.	Comp.	Diff Diff
68	100	168	-32	80	105	185	-25	-12	-05	-17	-07
98	75	173	+23	71	85	156	-14	+27	-10	+17	+37
77	90	167	-13	77	80	157	-03	00	+10	+10	-10
73	82	155	-09	77	83	160	-06	-04	-01	-05	-03
96	82	178	+14	81	92	173	-11	+15	-10	+05	+25
88	87	175	+01	84	80	164	+04	+04	+07	+1.1	-03
73	103	176	-30	82	93	175	-11	-09	+10	+01	-19
91	90	181	+01	75	83	158	-08	+16	+07	+23	+09
87	76	163	+11	88	93	181	-05	-01	-17	-18	+16
76	78	154	-02	87	95	182	-08	-11	-17	-28	+06
95	77	172	+18	97	81	178	+16	-02	-04	-06	+02
88	88	176	00	85	84	169	+01	+03	+04	+07	-01
87	91	178	-04	82	103	185	-21	+05	-12	-07	+17
86	98	184	-12	74	86	160	-12	+12	+12	+24	00
80	78	158	+02	87	87	174	00	-07	-09	-16	+02
87	88	175	-01	64	78	142	-14	+23	+10	+33	+13
82	93	175	-11	80	96	176	-16	+02	-03	-01	+05
76	69	145	+07	83	82	165	+01	-07	-13	-20	+06
66	89	155	-23	79	86	165	-07	-13	+03	-10	-16
103	66	169	+37	83	76	159	+07	+20	-10	+10	+30

#### VITA

### James B. Carpenter

### Candidate for the Degree of

Master of Science

Thesis: EFFECTS OF RATER-RATEE SIMILARITIES ON PERFORMANCE EVALUATIONS

Major Field: Psychology

Biographical:

Personal Data: Born in Omaha, Nebraska, January 15, 1932, the son of Ivan Henry and Bessie Johnson Carpenter.

Education: Attended grade school in Omaha, Nebraska, and graduated from Benson High School, Omaha, Nebraska, in 1949; received the degree of Bachelor of Science in Education from the University of Omaha, Omaha, Nebraska, in May, 1953, with co-majors in Secondary Education and Psychology; completed the requirements for the Master of Science degree at Oklahoma State University, Stillwater, Oklahoma, in August, 1964.

Professional Experience: Employed as an elementary and secondary level teacher for the Omaha Public School System from September, 1953 to May, 1954; since serving as an instructor, navigator, and training officer within the United States Air Force.