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Title:

Can Agricultural Intensification Help to Conserve Biodiversity? A Scenario Study for the African Continent

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- Land-use scenarios for Africa test tradeoffs between land sharing and land sparing
- The Biodiversity Intactness Index quantifies effects of agriculture on biodiversity
- Land sparing scenarios show higher values for the Biodiversity Intactness Index
- Complementary land systems studies at the local and regional level are required

1 Can Agricultural Intensification Help to Conserve Biodiversity? A Scenario Study 2 for the African Continent

3
4 **Abstract:** Globally, the production of food, feed, bioenergy and biomaterials has
5 increased considerably during the past decades. This was achieved by the expansion of
6 agricultural land and the intensification of agricultural management. Due to the
7 conversion of natural ecosystems and the increasing use of pesticides and fertilizers,
8 these processes are recognized as important causes of biodiversity loss. This study
9 focuses on the African continent and analyses the potentials to achieve a stable food
10 provision for a growing population, and at the same time reduce further losses of
11 biodiversity. These targets are important elements of the UN Agenda 2030. Using the
12 spatially explicit land-use model LandSHIFT, we assessed the effectiveness of different
13 land-sparing and land-sharing strategies to achieve these targets until the year 2030. The
14 simulation results indicate that under the assumptions tested, the land sparing approach
15 yields the most desirable results both, on the continental and the regional level. However,
16 the land sharing/sparing framework in general and the research presented here are only
17 analyzing the effect of two factors of many (food production and biodiversity
18 conservation). Hence, they should not be understood to provide specific management
19 recommendations. Further studies, from the regional to the local level, are required that
20 apply a systems approach to understand and explain the multiple dimensions of
21 sustainable food production on the African continent.

22
23 **Keywords:** land sharing; land sparing; Biodiversity Intactness Index; land systems;
24 scenario analysis; Africa;

25 26 1. Introduction

27
28 Over the past decades, the expansion of agricultural land and the intensification of
29 agricultural management have been indispensable for providing food, feed, bioenergy,
30 and biomaterials for a growing world population (Foley et al., 2005; Rudel et al., 2009).
31 Despite these efforts agricultural production in some sub-Saharan regions is not
32 sufficiently stable to fulfil food demands adequately, often resulting in a high risk of
33 malnutrition (e.g. Akombi et al. 2017; Bain et al 2013). At the same time, the resulting
34 conversion of natural ecosystems and increased application of pesticides and fertilizers
35 were identified as important causes for the loss of biodiversity (Balmford et al., 2012;
36 Newbold et al., 2015).

37
38 In the light of the projected population growth in many African countries, together with
39 a shift to richer diets and more material-intensive individual lifestyles, the improvement
40 of access to and availability of food in these regions will be a central issue for scientists,
41 practitioners and politicians in the coming decades (e.g., Godfray et al., 2010). In this
42 sense, Laurance et al. (2014) expect that continuing expansion and intensification of
43 agriculture in sub-Saharan Africa will even aggravate the current conflicts between food
44 production and conservation of biodiversity.

45
46 The effectiveness of further intensification as a strategy to slow down the expansion of
47 agricultural land and loss of natural vegetation while fulfilling food production
48 requirements is heavily debated in the scientific literature (e.g., Laurance et al., 2014;
49 Rockström et al., 2017; Tittone and Giller, 2013). On the extremes, we find two
50 opposing positions: (1) the land sparing approach advocates the implementation of highly

51 intensified agricultural systems and a strict separation between managed and unmanaged
52 land (Green et al., 2005); (2) the land sharing strategy favors ecosystem-friendly
53 management practices with potentially lower crop yields but with less negative impacts
54 on biodiversity, e.g., by limiting the application of fertilizer and pesticides (Phalan et al.,
55 2011; Tilman et al., 2012). However, recent studies highlight the need for an integrated
56 approach that supports sustainable intensification of agriculture to achieve both goals - a
57 halt of cropland expansion and the conservation of a biodiversity in natural and
58 agricultural systems (Fischer et al., 2014; Kassie et al., 2015; Tscharntke et al., 2012).
59 Finding appropriate solutions to this problem is a key challenge to fulfil the goals defined
60 by the “Sustainable Development Agenda” (Agenda 2030) of the United Nations (United
61 Nations 2015). The UN recognizes the negative impacts of food insecurity and
62 biodiversity loss on human development issues by including them as priorities in the
63 “Sustainable Development Goals” (SDGs) for the period from 2015 until 2030. While
64 SDG 2 “End of Hunger” addresses food security, SDG 15 “Life on Land” demands the
65 preservation of biodiversity.

66
67 Land-change models in combination with the scenario technique can help to gain a better
68 scientific understanding of these trade-offs by exploring trajectories of future agricultural
69 development and their impacts on biodiversity. For example, Biggs et al. (2008) analyse
70 land-use scenarios and their effects on biodiversity in Southern Africa, while van
71 Soesbergen et al. (2017) focus on future agricultural development and its impacts on
72 biodiversity in Uganda, Rwanda, and Burundi. Delzeit et al. (2017) and Newbold et al.
73 (2016) present global studies analysing the trade-offs between cropland expansion and
74 biodiversity. However, most of the modeling studies that explicitly compare land sparing
75 and land sharing strategies either use highly idealized settings (e.g., Green et al., 2005)
76 or are conducted on the landscape level (e.g., Deguines et al., 2014; Egan & Mortensen,
77 2012).

78
79 In the study presented in this paper, we address this research gap by applying an
80 empirically driven, spatiotemporal simulation model for a continental scale analysis for
81 Africa. Our objective is to assess the potential to reach both goals that are defined by SDG
82 2 and SDG 15 until 2030: An adequate food production to end hunger and the
83 conservation of biodiversity. To achieve this, we conducted scenario-based simulation
84 experiments, using the land-use model LandSHIFT (Alcamo et al., 2011; Koch, 2010;
85 Rüdiger Schaldach et al., 2011). In the scenarios, the model used different crop
86 production intensities to calculate the resulting expansion of agricultural land and loss of
87 natural vegetation, respectively. Based in these model outcomes, we applied the
88 Biodiversity Intactness Index (BII) (Scholes and Biggs, 2005) to quantify the effects of
89 the calculated land-use changes on biodiversity losses.

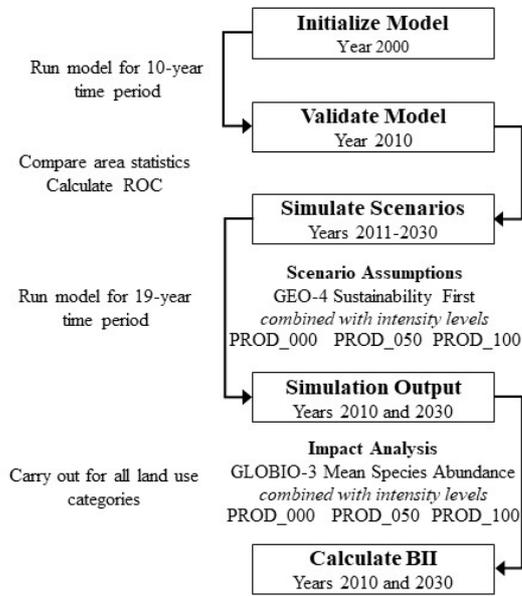
90 91 92 **2. Materials and Methods**

93 ***2.1. Study Design***

94 To understand the potential for reaching the two goals biodiversity conservation and
95 reduced expansion of farmland, we use the spatiotemporal simulation model LandSHIFT
96 (Alcamo et al., 2011; Schaldach et al., 2011; Schaldach and Koch, 2009) in the context
97 of a scenario analysis for the African continent. The base year of our analysis is the year
98 2000. We run the simulation model for ten years, until 2010, and use the simulation output
99 for this year to validate the model. We then run the validated model until 2030 to explore
100 three scenarios with varying intensity levels for agricultural activities. We combine our

101 spatial simulation results on land use and land cover with information from the GLOBIO-
 102 3 framework (Alkemade et al., 2009) and apply the Biodiversity Intactness Index (Scholes
 103 and Biggs, 2005) to explore the potential of reaching a halt of farmland expansion while
 104 simultaneously reducing the corresponding detrimental effects on biodiversity in Africa.
 105 **Figure 1** shows how the different analysis components described in the following
 106 sections form the workflow of our study.

107



108

109

Figure 1. Workflow of the study describing the steps of the analysis.

110

111 **2.2. Land-Use Modelling**

112 We used the spatially explicit land-use model LandSHIFT to simulate land use/cover
 113 change at a spatial resolution of 5 arc minutes (approx. 9 km x 9 km at the Equator).
 114 LandSHIFT has been successfully applied to Africa in previous studies (e.g., Alcamo et
 115 al., 2011; Heubes et al., 2013; van Soesbergen et al., 2017). The model uses a cellular
 116 automata approach; it works on a regular raster and allocates land use to grid cells based
 117 on a weighted multi-criteria analysis, calculating potential suitability for different land-
 118 use activities (urban development, crop production, and livestock grazing). Based on
 119 population numbers, a population density is determined for each cell. If the population
 120 density exceeds a pre-defined threshold value, the dominant land use type on the
 121 respective cell is converted to urban. The same approach is applied for livestock grazing;
 122 forage consumption drives cell-level stocking density (SD) for grazing animals. A cell's
 123 land use type is converted to rangeland if the SD exceeds the pre-defined threshold. The
 124 output of LandSHIFT simulations consists of land use/cover maps, population density
 125 maps, and SD maps. Furthermore, a set of area and productivity statistics is included in
 126 the model output.

127

128 **2.3. Scenario Description**

129 We use the UNEP GEO-4 scenario Sustainability First (Rothman et al., 2007) as a basis
 130 for our simulation experiment. Sustainability First's storyline has a strong focus on
 131 significant improvements of human nutrition and food security and on preserving
 132 valuable ecosystems, which are the core components of the SDGs forming the basis of
 133 this study (SDGs 2 and 15). According to van Vuuren and Carter (2014), this scenario
 134 can be classified as a "global sustainable development" archetype and shares comparable

135 assumptions with the Shared Socioeconomic Pathway 1: Sustainability – Taking the
136 Green Road (e.g., O’Neill et al., 2017). Despite the availability of more recent scenarios,
137 we chose a UNEP GEO-4 scenario because these scenarios are well documented and
138 present clear ideas of how current social, economic, and environmental trends might
139 develop in the future. Moreover, they are to the knowledge of the authors the only
140 scenarios for the whole African continent that were developed in a participatory process
141 together with regional stakeholders (Rothman et al., 2007).

142
143 To evaluate the effect of agricultural intensification on biodiversity, we combined the
144 underlying assumptions for Sustainability First with three intensity levels for agricultural
145 activities. These intensity levels are variations of the assumptions on increase in crop
146 productivity specified for the Sustainability First scenario. We refer to the original
147 assumption on productivity increase, which we consider optimistic, as **PROD_100**. The
148 second level makes moderate assumptions on crop productivity increase by reducing the
149 original increase by 50% (referred to as **PROD_050**). For the third level, **PROD_000**,
150 we define the productivity to remain at the year 2010 levels (i.e., no intensification of
151 agricultural production). We use PROD_100, the scenario assumptions with the highest
152 productivity increase as way to represent a land sparing approach, whereas we use
153 PROD_000 as proxy for a land sharing approach.

154 **2.4. Input Data**

155 *2.4.1. Model Initialization*

156 The first step in our analysis was the construction of a gridded land-use map for the year
157 2000 with a spatial resolution of 5 arc minutes. We generated the map by merging census
158 data on cropland and grazing area (FAO 2014) for each country with MODIS land-cover
159 data (e.g., the location of arable land) (Friedl et al., 2002). This map formed the basis for
160 estimating the parameter values for the suitability analysis of the three land-use activities
161 modeled by LandSHIFT. We provide a detailed description of the model initialization
162 process in **Appendix A**.

163 *2.4.2. Scenario Assumptions*

164 We derived input for LandSHIFT from Sustainability First scenario calculations. Model
165 input data on the country level include population numbers, livestock numbers, crop
166 production, and change in crop productivity due to agricultural intensification. Population
167 projections for the GEO-4 scenarios were computed by the IFs model (Hughes, 1999).
168 Under Sustainability First, Africa's population increases from approximately 0.8 billion
169 in 2000 to about 1.48 billion in 2030. Future agricultural production and trade information
170 was computed by the IMPACT model (Rosegrant et al., 2008). Production of the major
171 crops increases from about 77 million metric tons to 172 million metric tons while crop
172 productivity due to technological change and improved management practices are
173 assumed to increase by 74% from an average grain yield of 1.34 t/ha to 2.33 t/ha. The
174 production of grazing livestock rises from about 66 million livestock units in 2000 to 120
175 million livestock by 2030. The calorie availability per capita and day is assumed to
176 increase from below 2,000 calories/day up to about 3,000 calories/day. Due to the
177 scenario emphasis on biodiversity conservation, we excluded protected areas from being
178 converted to settlement, cropland or rangeland.

181 *2.4.3. Other Input Data*

182 We initialized LandSHIFT with a historical land-use map (hereafter referred to as base
183 map) representing the year 2000 (see section 2.4.1). Crop yields were provided through
184

185 LPJmL model simulations (Bondeau et al., 2007) for current climate conditions as
 186 described in Schaldach et al. (2011). Other input datasets in the LandSHIFT model
 187 include terrain slope (GAEZ; IIASA and FAO, 2000), population density (GRUMPv1;
 188 CIESIN, 2011), road network density (gROADSv1; CIESIN, 2013), river network
 189 density based on Lehner et al. (2006), the risk of tsetse fly occurrence (Wint and Rogers,
 190 2000) and the location of nature conservation areas as defined in the world database on
 191 protected areas (IUCN and UNEP-WCMC, 2014). We used data on the spatial
 192 distribution of species diversity from Jenkins et al. (2013), who compiled a global gridded
 193 dataset on five arc minutes on vertebrate diversity differentiating between birds,
 194 mammals, and amphibians.

195

196 **2.5. Model Validation**

197 For model validation, we use a 10-year simulation period. We tested the plausibility of
 198 the suitability analysis and compared the calculated cropland extent with statistical
 199 country-level data for the year 2010. Hence, we validate our model on a spatial level
 200 different from the level on which the simulated process operates (i.e., grid cell level vs.
 201 country level). We provide a detailed description of the model validation process and
 202 results in **Appendix C**.

203

204 **2.6. Biodiversity Intactness Index**

205 We use the Biodiversity Intactness Index (BII) for quantifying the potential trade-offs
 206 between agricultural intensification (land sparing) and expansion of croplands and
 207 grazing lands (land sharing). The BII was developed initially for Southern Africa and
 208 describes species diversity at a particular point in space and time compared to the pre-
 209 colonial period before the year 1700 (Biggs et al., 2008; Scholes and Biggs, 2005).

210

211 We calculate the BII on the cell level. Each cell represents an ecosystem with the cell's
 212 size being its areal extent, and its species richness being based on the sum of birds,
 213 mammals and amphibians as given by Jenkins et al. (2013). The calculation of a cell-level
 214 BII allows for the calculation of an average value of BII on different spatial levels of
 215 interested (landscape, watershed, country, or ecoregion). Biggs et al. (2008) define the
 216 Biodiversity Intactness Index as:

217

$$218 \quad BII = \frac{\sum_i \sum_j \sum_k R_{ij} A_{jk} I_{ijk}}{\sum_i \sum_j \sum_k R_{ij} A_{jk}} \quad (1)$$

219

220 Equation 1 defines BII as the average impact across taxa i , ecosystems j , and land use
 221 types k . The impact is defined as the population abundance of a given species or group of
 222 species relative to the reference state I_{ijk} , weighted by the areal extent of each land use A_{jk}
 223 and the intrinsic species richness of the ecosystems affected R_{ij} . A BII close to 100%
 224 indicates that species abundance is on the pre-colonial level, while values near 0%
 225 indicate that species become extinct.

226

227 For estimating the impact I of a particular land-use, we combine LandSHIFT output with
 228 information from the GLOBIO-3 framework (Alkemade et al., 2009). The GLOBIO-3
 229 database provides data, which specifies the respective reduction of mean species
 230 abundance (MSA) for different land use categories and use intensities (Table 1). The
 231 values for reduction of MSA are then mapped to LandSHIFT simulation output. For
 232 example, build-up area reduces the original MSA by 95%. Cultivated land is further

233 subdivided into low-intensity agriculture with a reduction factor of 70% and high-
 234 intensity agriculture with a reduction factor of 90%. The proportions of low intensity and
 235 high intensity agriculture are based on Dixon et al. (2001). For Northern Africa the share
 236 of intensive agriculture is 64% while in Sub-Saharan Africa it accounts for only 24%
 237 (Table 2). We assign the class “extensive grazing” to cells where livestock density is
 238 lower than the defined threshold value, and which still have the land-cover type of the
 239 original ecosystem (e.g., Savannah). The threshold value was calculated by dividing the
 240 livestock (cattle) number by the rangeland area (FAO, permanent meadows and pasture)
 241 for each African country separately. The resulting country specific mean grazing densities
 242 were averaged over all countries within each modeled African region (North Africa,
 243 Western Africa, Central Africa, Eastern Africa and Southern Africa) with the result of a
 244 threshold value defining the intensity of the grazing management. Accordingly, the class
 245 “man-made pastures” includes cells with high stocking densities and the land-use type
 246 rangeland.

247

248 **Table 1.** Mean species abundance (MSA) values under different land-use types. The
 249 MSA values are based on (Alkemade et al., 2009) and (Biggs et al., 2008).

Land use type	MSA
Cropland	
Low input	0.30
Intensive	0.10
Grazing land	
Extensive grazing	0.70
Manmade pastures	0.10
Forest	
Primary forest	1.00
Lightly used forest	0.70
Secondary forest	0.50
Forest plantations	0.20
Natural vegetation	
Bare land	1.00
Savannah and grasslands (moderate use)	0.94
Urban	0.05

250

251 **Table 2.** Comparison of percentage of low and high intensity cropland in 2010
 252 (Alkemade et al., 2009) and in 2030 as calculated by LandSHIFT for the three different
 253 productivity scenarios (PROD_000, PROD_050, and PROD_100).

	2010	PROD_000	PROD_050	PROD_100
Northern Africa				
Low input	36%	36%	11%	2%
High input	64%	64%	89%	98%
Western Africa				
Low input	76%	76%	59%	46%
High input	24%	24%	41%	54%

Eastern Africa				
Low input	76%	76%	54%	45%
High input	24%	24%	46%	55%
Central Africa				
Low input	76%	76%	55%	42%
High input	24%	24%	45%	58%
Southern Africa				
Low input	76%	76%	53%	38%
High input	24%	24%	47%	62%

254

255 **2.7. Trade-Off Analysis**

256 We used a geographic information system to analyse the effect of land-use change on
 257 biodiversity. For this purpose, we overlaid the four simulated raster maps—one for the
 258 year 2010 and three for the scenario simulations for the year 2030—with the gridded map
 259 of vertebrate diversity (Jenkins et al., 2013). We then combined this information with grid
 260 cell information on land-use type, population density, and livestock density, and
 261 calculated the BII for the five GEO-regions Northern Africa, Southern Africa, Eastern
 262 Africa, Western Africa, and Central Africa (see **Appendix A** for a list of the countries
 263 included in the different regions).

264

265 To calculate the BII, the fraction of intensive agriculture is required (see section 2.6). In
 266 the PROD_000 scenario (no agricultural intensification) the fractions of intensive
 267 agriculture is kept constant on the year 2000 level. For the intensification scenarios
 268 PROD_050 and PROD_100, we define the change in fractions of intensive agricultural
 269 based on the reduced extent of cropland as compared to the PROD_000 scenario. For
 270 example, in country A under PROD_000, cropland increases from 100 km² to 200 km²
 271 and under PROD_100 only to 150 km² which is 25% less area. Hence, the fraction of
 272 intensive agriculture under PROD_100 increases by 25% compared to PROD_000. Table
 273 2 shows the fraction of low intensity and high intensity agriculture for the base year and
 274 the different scenarios. Starting point is the calculated 2010 map that was also used for
 275 model validation (see section 2.5).

276

277 The results of our scenario analysis are displayed on a GEO region level (Table 3). Based
 278 on the results from the scenario analysis, we further evaluate the sensitivity of the BII
 279 calculations to cropland intensification. For this purpose, we expanded the cases tested
 280 by adding assumptions on the agricultural intensity. For each scenario, we test the
 281 outcome under the assumption of all cropland being high intensity as well as all cropland
 282 being low intensity agriculture. This is realized by using the corresponding MSA values
 283 listed in Table 1.

284

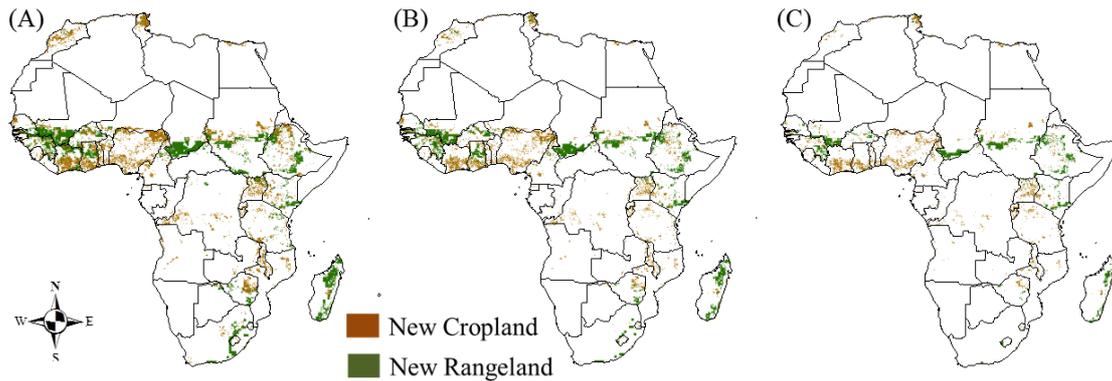
285

286 **3. Results**

287 **3.1. Land Use and Cover Change**

288 Figure 2 displays the spatial pattern of changes in cropland and pasture as calculated by
 289 LandSHIFT. In year 2010 (Figure 2 panel (A)), the total cropland area is 1.6 Mkm²
 290 amounting to about 5% of the total land area. Pasture area is 1.76 Mkm² while more than
 291 6.7 Mkm² is used as extensive grazing land. The spatial pattern of land-use change until
 292 2030 for the PROD_000 and the PROD_100 scenarios are displayed in Figure 2 panels
 293 (B) and (C), respectively. The simulations show that new land use areas are mainly
 294 located in the northern part of the sub-Saharan regions.

295



296

297 **Figure 2.** Spatial pattern of cropland and grazing land as calculated by LandSHIFT for
 298 (A) the year 2010, (B) for the year 2030 with yield increases from the Sustainability
 299 First scenario (PROD_100), and (C) for the year 2030 without yield increases
 300 (PROD_000).

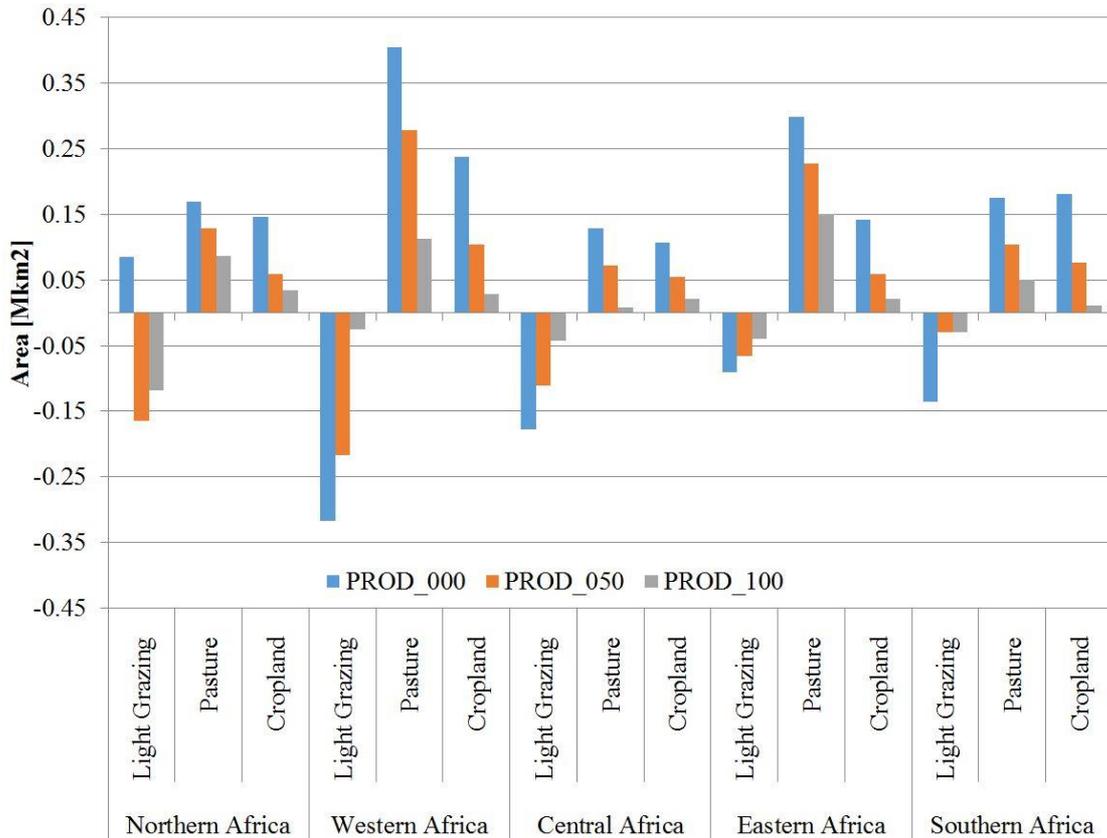
301

302 Table 3 summarizes the areas for the different land-use categories on the continental level.
 303 For cropland areas, all scenarios display in area increase as compared to the year 2010.
 304 The area increase ranges up to 0.81 Mkm² for the PROD_000 scenario – the scenario with
 305 production intensity on the base year level. The scenarios with assumptions on
 306 productivity increase show considerable lower expansion of cropland area, with 0.35
 307 Mkm² for the PROD_050 scenario and 0.12 Mkm² for the PROD_100 scenario.

308

309 **Table 3.** Absolute land-use areas in million square kilometres [Mkm²] on the
 310 continental level for the three different scenarios of agricultural intensity.

Continental Africa	2010	PROD_000	PROD_050	PROD_100
Light grazing	6.78	6.15	6.20	6.53
Pasture	1.76	2.94	2.57	2.17
Cropland	1.60	2.41	1.95	1.72
Forest	2.25	2.15	2.19	2.21
Natural vegetation	16.28	14.99	15.73	15.98
Urban area	0.05	0.07	0.07	0.07



312

313 **Figure 3.** Changes in land-use categories on the regional level (GEO-4 regions as
 314 described in Appendix A, Table S1) for the different productivity scenarios. Values are
 315 provided in million square kilometres [Mkm²].

316

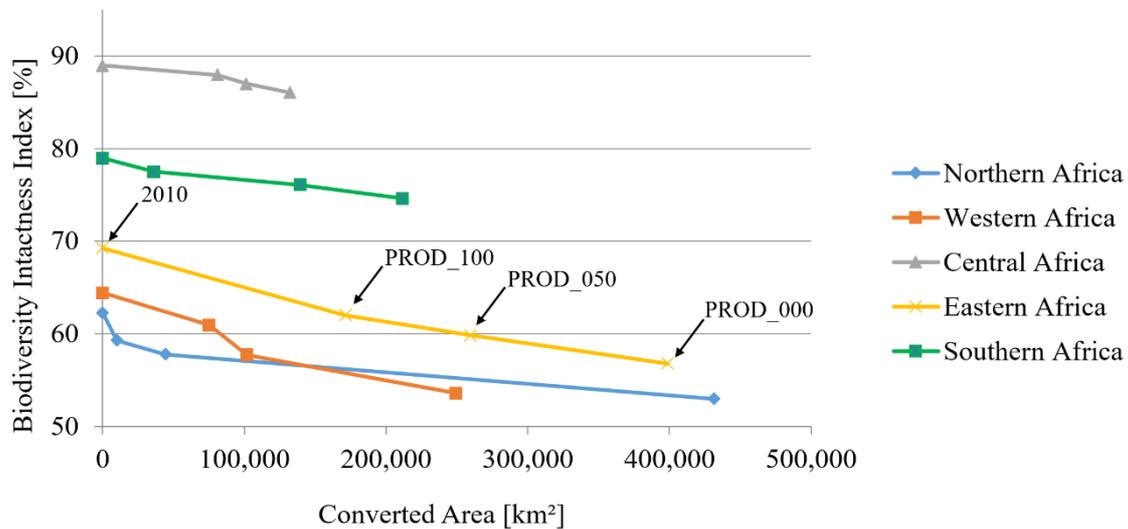
317 On the continental level, the figures for pasture area show the same general trend between
 318 scenarios as the cropland areas (Table 3), with the lowest area increase for PROD_100
 319 (0.41 Mkm²) and the highest increase for PROD_000 (1.18 Mkm²). On the regional level,
 320 we observe a similar trend (Figure 3). Additionally, the simulation results display a shift
 321 from extensively used grazing area to more intensively managed pasture in all scenarios
 322 with the former decreasing. In 2010, the fraction of pasture to total grazing land is 21%.
 323 In the PROD_000 scenario this fraction increases to 32%, in PROD_050 to 29% and in
 324 PROD_100 to 25%. Again, these trends can also be observed on the regional level (Figure
 325 3). Here, Northern Africa is an exception; under the PROD_000 the results also indicate
 326 an increase in extensively used grazing area.

327

328 **3.2. Effects of Land Use/Cover Change on Biodiversity**

329 Figure 4 displays the relation between the Biodiversity Intactness Index (BII) and
 330 absolute area with a change in land use/cover on the regional level for the year 2010 (0
 331 km² converted) and the three different productivity scenarios. For 2010, the BII ranges
 332 between 62% for Central Africa and 89% for Northern Africa. For all regions, the
 333 scenario simulations show a larger area converted from natural/forest to other land
 334 uses/covers with lower productivity level (Figure 3). As a result, we see a decrease in the
 335 BII from its value in 2010 over the PROD_100 and then the PROD_050 scenario,
 336 reaching the lowest values for the PROD_000 scenario (Figure 4). Central Africa shows
 337 the lowest decrease of all regions, with a BII of 89% in 2010 and a BII of 86% in 2030
 338 for the PROD_000 scenario. The strongest BII decrease is projected for Eastern Africa,

339 with a decline from 69% in 2010 to 57% in 2030 for the PROD_000 scenario. The BII
 340 values for Northern Africa stand out due to the large difference in converted area between
 341 the PROD_050 scenario and the PROD_000 scenario, resulting in a large reduction of
 342 BII values.



343 **Figure 4.** Area converted from natural land cover (e.g., grassland, shrubland, barren
 344 land and forest) to other land uses/covers and Biodiversity Intactness Index (BII) on the
 345 regional level for the year 2010 and for the year 2030 under the three productivity
 346 scenarios. As illustrated for Eastern Africa, in all regions the lowest area conversion is
 347 under PROD_100, followed by PROD_050 and PROD_000.
 348
 349

350 **3.3. Effects of Land-Use Intensity on Biodiversity**

351 Figure 5 visualizes the simulation results for the trade-off analysis assuming different
 352 management practices for cropland intensities combined with the different productivity
 353 scenarios (see section 2.7). For the individual regions, we see the same trend as described
 354 in section 3.2, with the highest BII values for the PROD_100 scenario and the lowest
 355 values for the PROD_000 scenario. Within each scenario, the value of low-input
 356 agriculture marks the upper end of the calculated BII range and the value of intensive
 357 agriculture marks the lower end of the calculated BII range. In general, the results indicate
 358 no overlap between the ranges for the different productivity scenarios. However, there is
 359 one exception for Western Africa. Here, the lowest detrimental impact from PROD_050
 360 (60%) is slightly higher than the highest detrimental impact from PROD_100 (59%).
 361 Compared to the PROD_000 scenario, the other two scenarios display smaller variation
 362 in the BII across all regions.

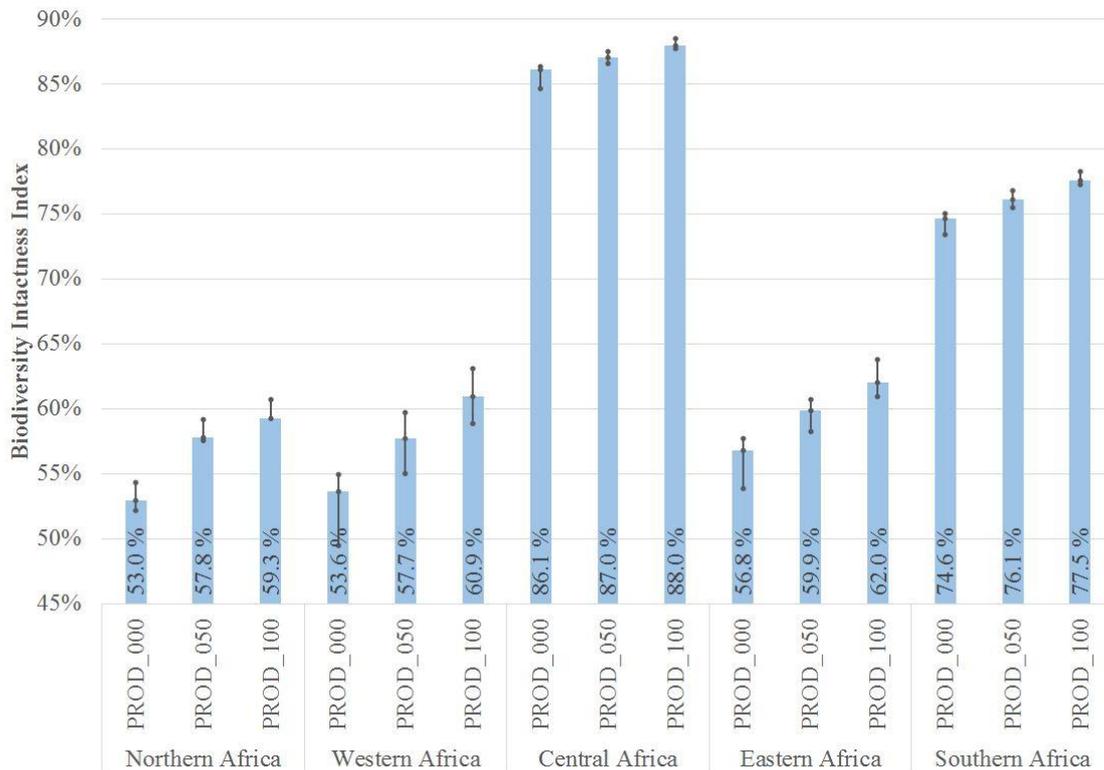


Figure 5. Results for testing the response of Biodiversity Intactness Index (BII) value to varying levels of cropland intensity connected to Mean Species Abundance (MSA) values. The upper end of the BII range reflects an MSA value of low-input agriculture (0.3), the lower end of the BII range reflects an MSA value of intensive agriculture (0.1). The bars (and values listed at the bottom of the bars) display the level of impact by calculated intensification as described in section 2.7.

4. Discussion

In this study, we applied the land sharing/land sparing framework as introduced by Green et al. (2005) and conducted scenario simulations with the LandSHIFTmodel with a five arc min resolution for the African continent. We used the GEO-4 Sustainability First scenario (Rothman et al., 2007) to drive our simulations because it is a good match for our emphasis on two of the SDG, namely Zero Hunger and Life on Land (United Nations, 2015). We furthermore combined the scenario with different assumptions on yield increases due to technological change to represent land sharing and land sparing. The simulation results, including simulations on demands for urban area, cropland, and grazing land, allowed us to quantify area required for food production. We then combined the simulation results with indicators from GLOBIO (Alkemade et al., 2009) and data on species abundance (Jenkins et al., 2013) to calculate the Biodiversity Intactness Index (Scholes and Biggs, 2005), which we used as a way to quantify the trade-offs between biodiversity conservation and production intensity, and hence land sharing/sparing. While there have been several studies exploring the impacts of land-use change on biodiversity in different African regions (e.g., Biggs et al., 2008; van Soesbergen et al., 2017) and on the global level (e.g., Jantz et al., 2015; Newbold et al., 2016), this study is the first one to analyse potential trade-offs and conflicts between between the two extremes of the land sharing/sparing framework on the continental level for Africa.

392 **4.1. Effects of Agricultural Intensity**

393 The major outcome of our analysis is, that under the scenario assumptions tested, and
394 given the use of BII as indicator for quantifying trade-offs between land sharing and
395 sparing, the land sparing approach (i.e., highly intensive agricultural activities) provided
396 the best results for the BII. This applies for both, the continental and the regional level.
397 Our results indicate that the lower land demand through intensification leads to lower
398 biodiversity losses (= higher BII values) even if local impacts on species abundance are
399 considerably stronger than in the low- and non-intensification case. Even when we
400 assume 100% of biodiversity loss under full intensification, the impact level would still
401 be lower than the hypothetical case of no intensification without any negative effects on
402 biodiversity intactness.

403 These results underline the importance of increasing crop productivity and more effective
404 grazing management as a prerequisite for slowing down the loss of natural ecosystems on
405 the continental level. They confirm the findings from other scenario analyses (e.g., Kok
406 et al., 2018; Tilman et al., 2017) and empirical studies that show the advantages of land
407 sparing for biodiversity conservation (Hulme et al., 2013; Phalan et al., 2011). In the light
408 of the existing high discrepancy between actual and achievable yields with an improved
409 agricultural management (Tittonell and Giller, 2013), the scenario assumptions regarding
410 the maximum crop yield increases until 2030 seem plausible, at least from the
411 technological point of view (Mauser et al., 2015). However, as Ray et al. (2012) point
412 out, it is uncertain whether these potentials can be realized. Additionally, other authors
413 stress potentially negative climate impacts on crop yields (Challinor et al., 2007;
414 Schlenker and Lobell, 2010) which will demand specific adaptation measures in
415 agriculture. These uncertainties are reflected in the two sub-scenarios with lower yield
416 increases.

417

418 **4.2. Reflecting on the Land Sharing/Sparing Framework**

419 Fischer et al. (2014) discuss key priorities for moving forward with the land sharing/land
420 sparing framework. Specifically, they recommend to structure the discussion around land
421 scarcity over food production and to acknowledge the limitations of trade-off analyses
422 when using the land sharing/sparing framework. According to Fischer et al. (2014),
423 discussing land scarcity instead of food production will help to avoid criticism for
424 disregard of the role of food security and food sovereignty. Discussing land scarcity
425 acknowledges that not all agricultural production is for food and that the economic
426 demand for agricultural products is higher than the requirements for the actual need for
427 food (Fischer et al., 2014). The LandSHIFT model (Schaldach et al., 2011; Schaldach
428 and Koch, 2009) is well suited to analyze land scarcity at the larger scale. Our study
429 analyses availability of area required to fulfil the demand for different agricultural
430 activities. We found that at the continental and regional scale, there was no scarcity of
431 land suitable to produce the required demand for agricultural commodities. However, the
432 availability of land for crop production does not guarantee the on-the-ground
433 implementation of agriculture in a way that actually fulfils the demand. For this point,
434 we consider the discourse around food security and food sovereignty as complementary.
435 While our simulations showed that it is realistic to assume—at least under the
436 assumptions specified for the tested scenarios—that sufficient land resources are
437 available to meet the demand for agricultural products, studies on the regional and local
438 level revolving around the topics of food security and food sovereignty are required to
439 implement fair and sustainable food production in Africa and to achieve the SDGs of

440 Zero Hunger and Life on Land (e.g., Garibaldi et al., 2017; Nijbroek and Andelman,
441 2016; Waha et al., 2018).

442

443 Fischer et al. (2014) point out that, while there is an intellectual value to trade-off analyses
444 for land sharing/sparing, these analyses have limited value to inform real-world decision
445 making. More specifically, the authors emphasize that land management decisions are
446 typically not made based on the two factors production and diversity, but are more likely
447 a “wicked” problem. These are problems where no single best solution exists (Game et
448 al., 2014). There is, however, a value to trade-off analyses. They can help to identify
449 situations where an increase in one factor leads to no or minimal detrimental effects on
450 the other factor (Fischer et al., 2014). Applying this advantage to our simulation results,
451 we can see that reflected in the regional differences (Figure 4, 5). When analyzing the
452 difference between the production intensities, we can see that for Central and Southern
453 Africa the effect of different agricultural intensities on biodiversity conservation is less
454 pronounced as compared to Northern, Eastern, and especially Western Africa. This means
455 that for Central and Southern Africa there exist allocations of crop production where
456 highly intensive agricultural activities have a relatively small negative effect on
457 biodiversity conservation. However, a trade-off analysis like ours provides no guidance
458 on which allocation or intensity level is the “socially preferable” one (Egli et al., 2018;
459 Fischer et al., 2014, p.151).

460

461 **4.3. Study Limitations and Next Steps**

462 While we were able to identify important findings on land sharing/sparing trade-offs for
463 the African continent, there are some limitations to our study approach. The first major
464 limitation is that the effect of future climate on crop yields and biomass productivity was
465 not considered in this study. Since it is likely that a change in climatic conditions will
466 have a detrimental effect on crop yields (e.g., Challinor et al., 2007), our simulation
467 results may underestimate the amount of cropland and grazing area required to fulfill
468 future needs for food and feedstock production. At the same time our modelling approach
469 only considers the increase of stocking densities on grazing land but neglects other
470 mechanisms of intensification such as a change in the feed basket towards a larger share
471 of crops and residues (Herrero et al., 2013) which might significantly reduce the demand
472 for pasture and rangeland (Weindl et al., 2015).

473

474 Another limitation of our analysis is the use of species diversity and richness data for
475 mammals, amphibians and birds (Jenkins et al. 2013). Other taxa with important
476 ecological functions such as plants, fungi and arthropods were not considered. Also,
477 while many studies on land sharing/sparing use species richness, it may not be the most
478 suitable descriptor of biodiversity (Phalan, 2018). This is because species richness does
479 not indicate changes in species composition and population size (Hillebrand et al., 2018;
480 Matthews et al., 2014). One way to avoid this issue would be to follow the
481 recommendations of Hill et al. (2016) and Mace et al. (2014) who suggest to use multiple
482 indicators to capture different dimensions of biodiversity loss.

483

484 Our next steps will focus on improving the current limitations of our study. The use of
485 information on other taxa such as plants, fungi and arthropods was hindered by the
486 availability of data with a continental coverage. The same applies to the use of multiple
487 indicators for biodiversity as suggested by Hill et al. (2016) and Mace et al. (2014). This
488 shortcoming can be addressed as soon as suitable data for the African continent becomes
489 available. Hence, we will focus our efforts on a more detailed assessment of climate

490 change effects on food production. Specifically, we suggest the use of climate scenario
491 simulations for the different RCPs (Moss et al., 2010) to prepare simulations of potential
492 future crop productivity under different climate conditions. This would allow the
493 quantification of the possible effect of changes in climate on crop yields, and hence more
494 detailed estimates of area demand for food production.

495

496

497 **5. Conclusions**

498 As with every scenario study, it is important to emphasize that our results are not forecasts
499 but projections of future developments valid only for the assumptions made for the tested
500 scenarios. The value of our study lies in the improved understanding of the availability
501 of land resources for future food production, and in quantifying how different production
502 intensities affect biodiversity (specifically species abundance). Our method of combining
503 land change simulations with data from the GLOBIO-3 database on mean species
504 abundance to create a density-yield curve and using the Biodiversity Intactness Index is
505 a new way to quantify land sharing and land sparing trade-offs for large-scale simulation
506 studies. Our findings highlight the importance of agricultural intensification for achieving
507 the SDGs Zero Hunger and Life on Land. However, agricultural intensity and biodiversity
508 conservation are only two of many factors to consider when making decisions about food
509 production. When taking into account social and political factors, the land sparing
510 approach might not be the favourable option. While the potential for food production is
511 given, many efforts on the national, regional, and local levels will be required to achieve
512 the SDGs and the best possible outcomes for human well-being.

513

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762
763

764 **Supplementary material**

765 **Appendix A - Model initialization and spatial units**

766 The first step of the modelling exercise was the construction of a gridded land-use map
 767 (base-map) for the year 2000. Statistical information on crop cultivation on country level
 768 was merged with MODIS land-cover data (e.g. location of arable land). Grazing land was
 769 distributed by merging FAO data (permanent meadows and pastures) with country-level
 770 livestock numbers according to the net primary productivity on each cell as calculated by
 771 LPJmL (Bondeau et al., 2007). The result is a land-use map with grid-level information
 772 on the spatial distribution of different crop types as well as area used for grazing. Based
 773 on this base-map the parameter values for the suitability analysis of the three land-use
 774 activities modelled by LandSHIFT were estimated as described in Appendix B.

775 **Table A1:** Grouping of the African countries in GEO-regions (Rothman et al. 2007)
 776

Central Africa	Eastern Africa	Northern Africa	Southern Africa	Western Africa
Central African Republic	Burundi	Algeria	Angola	Benin
Chad	Ethiopia	Egypt	Botswana	Burkina Faso
Congo	Eritrea	Libya	Lesotho	Gambia
Dem. Rep. of Congo	Djibouti	Morocco	Malawi	Ghana
Equatorial Guinea	Kenya	Sudan	Mozambique	Guinea
Gabon	Madagascar	Tunisia	Namibia	Cote D'Ivoire
Sao Tome and Principe	Rwanda		South Africa	Liberia
	Somalia		Swaziland	Mali
	Uganda		Tanzania	Mauritania
			Zambia	Niger
			Zimbabwe	Nigeria
				Guinea-Bissau
				Senegal
				Sierra Leone
				Togo

777

778 **Appendix B - Estimation of model parameter values**

779 In the LandSHIFT model the preference of each grid cell for the different land-use types
 780 is determined with a multi-criteria analysis according to the following equation
 781 (Schaldach et al., 2011):

$$\psi_k = \underbrace{\sum_{i=1}^n w_i p_{i,k}}_{\text{suitability}} \times \underbrace{\prod_{j=1}^m c_{j,k}}_{\text{constraints}}, \text{ with } \sum_i w_i = 1, \text{ and } p_{i,k}, c_{j,k} \in [0,1] \quad (1)$$

782
 783 The factors p_i reflect the most important geographical and biophysical drivers that affect
 784 suitability for a particular land-use type. The factor-weights w_i determine the importance
 785 of each factor at grid cell k , while c_j determine constraints for changing the land-use type
 786 of a cell. Both p_i and c_j are normalized by value functions transforming the factor values
 787 to a co-domain from 0 to 1.

788
 789 Constraints c_j are applied in cells that are designated as nature conservation areas or
 790 according to possible transitions of land-use types. For example, it is assumed that a cell
 791 formerly used as rangeland is more suitable for being converted to cropland than a forest
 792 cell. Furthermore the risk of tsetse fly occurrence limits the suitability for rangeland.

793
 794 LandSHIFT distinguishes between the three land-use activities settlement (METRO),
 795 crop cultivation (AGRO) and grazing (GRAZE). Each of these activities implements its
 796 own evaluation scheme. For METRO and GRAZE the factors (Table B1) were deduced
 797 from literature sources as described in Alcamo et al. (2011).

798
 799 **Table B1:** Suitability factor weights for the two land use activities METRO and GRAZE
 800 for Africa.

Activity	Factor/constraint	Description	Default factor weight
METRO	Factor	Terrain slope	0.4
	Factor	Road infrastructure	0.6
	Constraint	Land use transition	
	Constraint	Conservation area	
GRAZE	Factor	Terrain slope	0.2
	Factor	River network density	0.2
	Factor	Grassland NPP	0.2
	Factor	Proximity to cropland	0.2
	Factor	Population density	0.2
	Constraint	Land use transition	
	Constraint	Conservation area	
	Constraint	Tsetse fly abundance	

801
 802 In contrast, for AGRO the factor weights were determined for each of the five GEO-
 803 regions individually, based on the land-use data of the country with the largest cropland
 804 area within each region. For this purpose we used is the criteria importance through inter-
 805 criteria correlation (CRITIC) method proposed by Diakoulaki et al. (1995). An example
 806 of its application can be found in Schaldach et al. (2013). The method involves four steps.
 807 The first step is to calculate the standard deviation σ for each parameter p_i according to
 808 the initial land-use and land-cover pattern represented in the base map. This standard
 809 deviation is an expression for the contrast intensity of each parameter p_i in respect to the
 810 other parameters. The second step is to determine the linear correlation coefficient (c_{ij})
 811 between all parameters p_i . When these correlation coefficients are summed up according

812 to equation (2), the second step acquires a measure of the conflict created by parameter
 813 p_i with respect to the rest of the parameters.

$$\sum_{j=1}^n (1 - c_{ij}) \quad (2)$$

814 The third step is to aggregate the previously quantified information (contrast intensity and
 815 conflict) into one term following equation (3). This term (Inf_i) is an expression for the
 816 information carried by each parameter p_i .

$$Inf_i = \sigma_i * \sum_{j=1}^n (1 - c_{ij}) \quad (3)$$

817 The fourth and last step involves the calculation of w_i for each parameter p_i . This is
 818 accomplished by normalizing the resulting values Inf_i for each parameter p_i to 1 according
 819 to equation (4).

$$w_i = \frac{Inf_i}{\sum_{j=1}^n Inf_j} \quad (4)$$

820 The parameter values obtained for the five regions with the CRITIC method are
 821 summarized in Table B2.

822

823 **Table B2:** Suitability factor weights for the land-use activity AGRO and the identified
 824 regions of Africa.

Suitability factor	Central Africa	Eastern Africa	Northern Africa	Southern Africa	Western Africa
Slope	0.145	0.182	0.206	0.131	0.078
Proximity to agriculture	0.118	0.068	0.056	0.093	0.142
Population density	0.316	0.290	0.390	0.006	0.299
Road infrastructure	0.181	0.147	0.163	0.204	0.158
Crop yield	0.180	0.261	0.227	0.239	0.257

825

826 **Appendix C - Model validation**

827 Validation of the LandSHIFT model was done for the model assumptions regarding the
828 cell suitability for cropland (suitability validation) and the calculated quantity of cropland
829 expansion (Schaldach et al., 2011).

830

831 **a) Validation of the suitability analysis**

832 Cropland suitability is one of the key factors in land-use change decision making since it
833 determines the most qualified sites for agricultural expansion or abandonment. Thus, it is
834 important to test a models ability to compute this suitability. For the purpose of this study,
835 two spatial methods to compare the accuracy of crop suitability calculation with estimates
836 of the real location of areas used for agricultural cultivation were applied. LandSHIFT
837 calculates cropland suitability as function of input variables within a range from 0 to 1.
838 The real location of cropland is derived from the initial land use map for the year 2000.

839

840 The first method compares the frequency distributions of calculated cropland suitability
841 on observed cropland grid cells to non-cropland grid cells. Our hypothesis is that cropland
842 is located on grid cells with a high suitability rating since we expect that cropland has the
843 highest priority compared to other kinds of land use. Non-cropland should be located on
844 grid cells with lower suitability for crop cultivation respectively. The results as shown in
845 Table C1 verify our hypothesis. The values show that the mean suitability of cropland
846 cells is higher as for non-cropland cells.

847

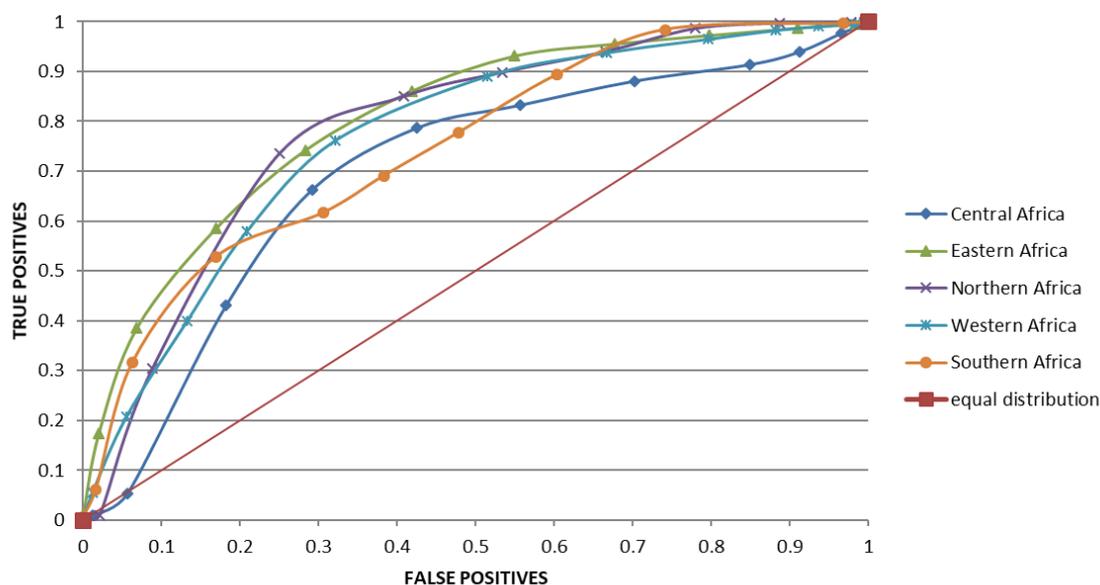
848 **Table C1:** Results from the suitability evaluation.

GEO-region	Mean suitability Non-cropland	Mean suitability Cropland	AUC
Northern Africa	0.40	0.51	0.881
Western Africa	0.36	0.52	0.846
Central Africa	0.35	0.55	0.794
Eastern Africa	0.34	0.53	0.874
Southern Africa	0.31	0.51	0.821

849

850 The second method is the calculation of the relative operating characteristics (ROC) of
851 the simulated crop suitability map against the base land use map. The ROC metric
852 allocates proportions of correctly and incorrectly classified spatial predictions (Pearce
853 and Ferrier, 2000; Pontius Jr and Schneider, 2001). In this context, computed values of
854 crop suitability are ranked and compared, whether or not they correspond to a grid cell
855 that is either cropland or not. A cell is a true positive, if it has been observed as cropland
856 grid cell and a false positive if the grid cell has been identified as non-cropland. This
857 process is applied to all cropland grid cells. The measure of performance for the ROC test
858 is the area under the resulting curve (Figure C1). A value of 1.0 indicates a perfect fit of
859 the current cropland distribution with areas identified as most suitable by the model. If
860 the suitability for crop cultivation would be randomly distributed among cropland and
861 non-cropland cells, the area under curve would be 0.5. This part of the evaluation has
862 been done for the five African regions separately. We find AUC values between 0.794
863 (Central Africa) and 0.881 (Northern Africa) that indicate that the cropland cells of the
864 initial map can predominantly be found on locations with high suitability and are not
865 randomly distributed (Table C1, Figure C1).

866



867
 868 **Figure C1:** Relative Operating Characteristics (ROC) curves for the five different
 869 GEO-regions.

870
 871 **b) Validation of model output**

872 In contrast to the first method for testing model performance, which was focused on the
 873 location of change, the second method involves the test for the correct quantity of change.
 874 Cropland area is used as the indicator here because an independent set of country scale
 875 estimates has been made available from the UN Food and Agriculture Organization (FAO
 876 2014). Model efficiency ME (Janssen and Heuberger, 1995; Loague and Green, 1991)
 877 has been selected as the degree of agreement between the LandSHIFT model results and
 878 the observed FAO data on country level. A value of 1.0 indicates perfect agreement
 879 between modeled and observed values. The model is run from 2000 until 2010 with
 880 statistical data for agricultural production from FAO as input. Then the calculated
 881 cropland area for each country in 2010 is compared to FAO statistics (n=51). Table C2
 882 summarizes the results. We find ME values between 0.69 (Northern Africa) and 0.98
 883 (Western Africa) indicating that the model has a high skill to reproduces the observed
 884 quantities of cropland change on country level.

885
 886 **Table C2:** Model efficiencies calculated for the years 2000 and 2010.

Geo-region	ME 2000	ME 2010
Africa Total	0.98	0.96
Central Africa	0.91	0.96
Eastern Africa	0.77	0.96
Northern Africa	0.89	0.69
Southern Africa	0.96	0.86
Western Africa	0.97	0.98

887