# DYNAMIC WORKLOAD BALANCING AND SCHEDULING IN HADOOP MAPREDUCE WITH SOFTWARE DEFINED NETWORKING

#### By

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# DYNAMIC WORKLOAD BALANCING AND SCHEDULING IN HADOOP MAPREDUCE WITH SOFTWARE DEFINED NETWORKING

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Abstract: Hadoop offers a platform to process big data. Hadoop Distributed File System (HDFS) and MapReduce are two components of Hadoop. Hadoop adopts HDFS which is a distributed file system for storing data and MapReduce for processing this data for users. Hadoop stores data based on space utilization of datanodes, without considering the processing capability and busy level during the running time of each datanode. Furthermore datanodes may be not homogeneous as Hadoop may run in a heterogeneous environment. For these reasons, workload imbalances will appear and result in poor performance. We propose a dynamic algorithm that considers space availability, processing capability and busy level of datanodes to ensure workload balance between different racks. Our results show that the execution time of map tasks moved will be reduced by more than 50%. Furthermore, we propose a method in which Hadoop runs on a Software Defined Network in order to further improve the performance by allowing fast and adaptable data transfers between racks. By installing OpenFlow switches to replace classical switches on a Hadoop cluster, we can modify the topology of the network between racks in order to enlarge the bandwidth if large amounts of data need to be transferred from one rack to another. Our results show that the execution time of map tasks moved is significantly reduced by about 50% when employing our proposed Hadoop cluster Bandwidth Routing algorithm. Apache YARN is the second generation of MapReduce. YARN has three built-in schedulers: the FIFO, Fair and Capacity Scheduler. Though these schedulers provide users different methods to allocate resources of a Hadoop cluster to execute their MapReduce jobs, they do not guarantee that their jobs will be executed within a specific deadline. We propose a deadline constraint scheduler algorithm for Hadoop. This algorithm uses a statistical approach to measure the performance of datanodes and based on this information the proposed algorithm creates several check points to monitor the progress of a job. Based on the progress of jobs at every checkpoint the proposed scheduler will assign them to different job queues. These queues will have different priorities and the proportion of resources used by these queues will depend on their priority. The results of our experiments show that the proposed scheduler ensures that jobs will be completed within a given deadline whereas the native schedulers cannot guarantee this. Moreover, the average job execution time in the proposed scheduler is 56% and 15% less when compared to the Fair and EDF schedulers respectively.

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### List of publications

- "Dynamic Workload Balancing for Hadoop MapReduce", Xiaofei Hou, Ashwin Kumar T K, Johnson P Thomas and Vijay Varadharajan, the 4<sup>th</sup> IEEE International Conference on Big Data and Cloud Computing (BDCloud 2014)
- "Privacy reserving Rack-based Dynamic Workload Balancing for Hadoop MapReduce", Xiaofei Hou, Doyel Pal, Ashwin Kumar T K, Johnson P Thomas and Hong Liu, the 2<sup>nd</sup> IEEE International Conference on Big Data Security on Cloud (BigDataSecurity 2016)
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- "Cleaning Framework for BigData", Hong Liu, Ashwin Kumar T K, Johnson P Thomas and Xiaofei Hou, the 2<sup>nd</sup> IEEE International Conference on Big Data Computing Service and Applications (BigDataService2016)
- "An Information Entropy Based Approach to Identify Sensitive Information in Big Data", Ashwin Kumar TK, Hong Liu, Johnson P Thomas and Xiaofei Hou, accepted by the 2<sup>nd</sup> IEEE International Conference on Big Data Security on Cloud (BigDataSecurity 2016)

List of publications under preparation

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#### CHAPTER I

#### INTRODUCTION

#### 1.1 Big Data

The term "Big Data" appeared first in an academic paper in 2000 and was intended to show that huge amounts of data were being generated every day. For example, every day, Google has over 1 billion queries, Twitter has over 250 million tweets, Facebook has over 800 million updates and YouTube has over 4 billion views. These just belong to social media and networks. In other areas like mobile devices, scientific instruments and sensor technology, big data is also being generated continually.

Big data is very a large and complex data set. It is difficult for traditional databases or applications to deal with big data because of its massive size that can be from many terabytes to petabytes. The "3Vs" is always used to describe big data, which are Volume, Velocity and Variety, and big data is high volume, high velocity and high variety. In addition, variability and veracity are used to show the inconsistency and the quality of data captured from the source of big data.

Hadoop is the most popular and efficient tool to store and process big data. Hadoop uses the Hadoop Distributed File System [1] and adopts the MapReduce model platform [2] to process big data in its cluster.

#### 1.2 Motivation

Although Hadoop is originally designed for a homogenous cluster, nodes fail and are replaced or new nodes added to the cluster, In practice, therefore Hadoop is always working in a heterogeneous environment. The tasks are assigned corresponding to data locality. In other words, tasks are assigned to nodes where the data is stored. When Hadoop allocates disk space to store data, the processing capability of datanodes is not considered. Therefore nodes which have low capabilities may be assigned heavy tasks and nodes with high capabilities may be assigned light tasks. This leads to workload imbalance and it adversely influences the performance of Hadoop. Currently, there doesn't exist a method in Hadoop to address the workload imbalance issue.

Load balancing by itself will not guarantee that a user job will complete within a certain deadline. The current Hadoop schedulers are not able to ensure jobs submitted by users can meet their deadline because both the Fair scheduler and Capacity scheduler ignore the jobs' deadline. Though jobs in Hadoop can be assigned different priorities, the jobs with the highest priority cannot be guaranteed to be allocated enough resources to meet their deadlines. From another perspective, if the jobs with the highest priority can meet their deadline requirements because of having enough resources, this may negatively impact jobs with lower priority. No method exists to monitor the progress of the jobs and prevent them from using too much resource, as this will have a negative influence on the other jobs.

#### 1.3 The big goal

Though Hadoop is already a very popular and stable platform for distributed systems, it still has some shortcomings in different situations and can produce complex run-time conditions. By reviewing related literature and studying the architecture of Hadoop, we find workload balancing and scheduling of Hadoop-MapReduce tasks as two major problems that merit further research. Performance of Hadoop is affected by these two factors. The target of this research is to address the problems of workload balancing and scheduling in Hadoop MapReduce and YARN. Workload balancing will

influence the efficiency of Hadoop and determine the execution time of jobs. Scheduling will provide the mechanism for jobs to best utilize the resources of Hadoop. Solving these two problems will improve the current na we Hadoop at different levels and will improve overall performance. The new scheduling algorithm will make the decision on how much resource to be allocated to a job based on their execution state. Workload balancing algorithms will distribute the current allocated resources to each rack based on performance of the racks to reduce the waiting time and total execution time.

#### 1.4 Contributions

#### 1.4.1 Dynamic workload balancing for Hadoop MapReduce

In our work we look at workload balancing at the rack level. This is better than considering at the node level because balancing the workload between the racks can reduce the running time of many tasks, which is much more efficient than reducing the running time of just one or two tasks on a single node. A dynamic workload balancing algorithm is designed for Hadoop MapReduce in the heterogeneous environment, which means for different racks, performance does not always match workload which will degrade the entire performance of Hadoop. The proposed algorithm addresses this problem by moving a task from the busiest rack to a less busy rack in order to reduce the execution time of jobs running on the busiest rack and thereby achieve load balancing.

#### 1.4.2 Use software defined network in Hadoop for workload balancing

Because the dynamic workload balancing algorithm requires the transfer of data between racks, when the data is large, the cost of transferring is significant. Reducing this cost will improve the efficiency of the dynamic workload balancing algorithm. To achieve this, we employ Software Defined Networking (SDN) in a Hadoop cluster using a fat tree topology. When we need to transfer data between two racks, we can increase the bandwidth between them in order to enhance the performance of Hadoop.

#### 1.4.3 Deadline constraint scheduler for Hadoop

The users of Hadoop MapReduce may have deadline requirements for their jobs. It is not possible in the current version of Hadoop to guarantee these jobs can be completed within a specific deadline, because the current scheduler of Hadoop does not make sure jobs with high priorities can meet their deadline. To address this problem, we propose a deadline constraint scheduler for Hadoop, which will monitor the progress of jobs execution. The resource allocation is based on the progress of the job to avoid missing the deadline.

#### 1.5 Dissertation outline

The rest of this dissertation is organized as follows. In the chapter II, a literature review of current related work is presented. In the chapter III, the dynamic rack workload balancing algorithm and improved version of the algorithm is explained in detail. In chapter IV, SDN and OpenFlow switches are add to the Hadoop cluster to improve the network function. In chapter V, the deadline constraint scheduler is proposed and discussed in detail. Chapter VI concludes the thesis.

#### CHAPTER II

#### REVIEW OF LITERATURE

In this chapter, recent research focusing on workload balancing, software defined networking and scheduling problem are reviewed. For each topic, we present the research in the same and similar areas. Moreover, we discuss and compare previous research on the same problem with our research.

#### 2.1 Hadoop

Doug Cutting, who is the creator of the widely used text search library Apache Lucene, and Mike Cafarella wrote the initial version of Hadoop in 2004. In December of the following year, Hadoop ran reliably on 20 nodes. In April 2006, a 10 GB per node sort benchmark ran on a Hadoop cluster of 188 nodes in 47.9 hours [9]. In December of the same year, the sort benchmark ran on a Hadoop cluster of 900 nodes in 7.8 hours. In April 2008 [9], a world record was broken by Hadoop which became the fastest distributed system by sorting one terabyte data in 209 seconds with 910 nodes in the cluster [9]. Just seven months later, Hadoop sorted one terabyte in 62 seconds performing better than another implementation of MapReduce of Google which needed 68 seconds to sort the same quantity of data [9]. Since then, Hadoop has been the most popular and efficient tool to store and process big data.

Hadoop uses the Hadoop Distributed File System [1] and adopts the MapReduce model platform [2] to process big data in its cluster and is used by Yahoo, Facebook and Amazon. The Hadoop Distributed File System and MapReduce are the two core components of Hadoop. The Hadoop

Distributed File System offers reliable storage and data management while MapReduce in parallel processes the data stored in the cluster. Hadoop divides a job into tasks and stores data as blocks, and then allocates them to the nodes in the cluster. A Master and slave pattern is employed by Hadoop. The distributed architecture allows Hadoop to efficiently write, read and process data.

#### 2.1.1 Hadoop Distributed File System

The Hadoop Distribute File System (HDFS) whose original name is Nutch Distributed Filesystem (NDFS) is an open source implementation of the architecture of Google's distributed file system (GFS) and written by Nutch in 2004. When a single physical node can't provide enough storage capacity to a dataset because of hardware constraints, we increase the number of nodes to store the partitions of the dataset separately. The file system employed to manage the dataset across a group of nodes is called a distributed filesystem. Distributed filesystems are more complex than regular disk filesystems because they need to address problems like node failure in order to ensure data availability. HDFS is a filesystem designed for files as large as hundreds of gigabytes or terabytes.

HDFS uses a block as a storage unit that is 64 MB [1] which is much larger than a regular disk block size of 512 bytes by default in order to decrease the cost of seeks. The files stored in HDFS are partitioned into many blocks. The benefits of the block-based filesystem are:

- 1. the size of a file can be beyond the capacity of the hard disk in a single machine, because the blocks of a file can be distributed on the hard disks of different nodes
- 2. because the block in HDFS is of fixed size, this simplifies the storage subsystem and eliminates metadata concerns
- each block in HDFS has replicas that improve fault tolerance and availability of the system.

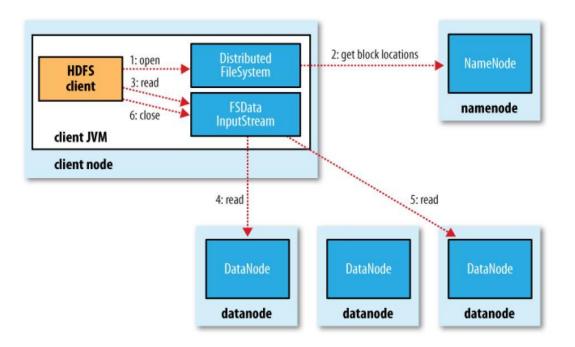


Figure 2.1: A client reading data from HDFS [9]

In HDFS there is a namenode, which is the master, and one or more datanodes, which serve as slave nodes. The namenode has the responsibility of managing and maintaining the file system, file namespace and metadata. The namenode stores information such as the number of datanodes in the Hadoop system, the number of blocks of data located on every datanode as well as what files they belong to. The datanodes store the block at the command of the namenode and periodically provide feedback to the namenode information about the blocks they have [9].

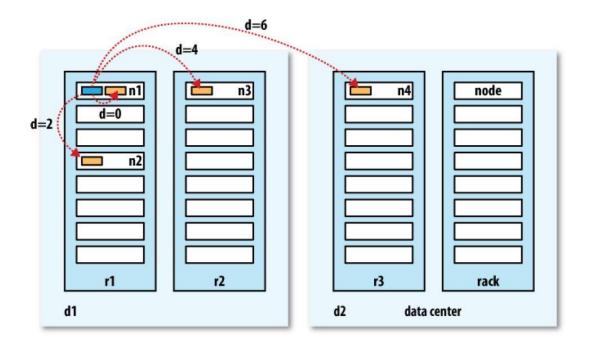


Figure 2.2: Network distance in Hadoop [9]

When users want to read data from their files on Hadoop, the namenode will provide the address of the datanodes containing the replicas of the block. Then Hadoop will sort those datanodes based on their distance to the users; the closest datanode will be selected and if any failure occurs during this procedure, the next closest datanode will replace the failed node. The distance defined in Hadoop has four possibilities: 1) on the same datanode, 2) different nodes but on the same rack, 3) datanodes on different racks but in the same data center and 4) datanodes on different data centers [9].

When one user wants to write data to Hadoop, such as creating a new file on Hadoop, the namenode is called to create the new file in the filesystem's namespace without any related blocks. The namenode checks two things: firstly, that the file the user is going to create does not exist in the current system and secondly, whether the user has the correct permissions to create that file. After the checks have finished and passed, the new file will be recorded by the namenode and be ready to be written. When the user writes data, the namenode will allocate new

blocks by selecting a list of appropriate datanodes that will form a pipeline. The data will be written to the datanodes in the pipeline one by one [9].

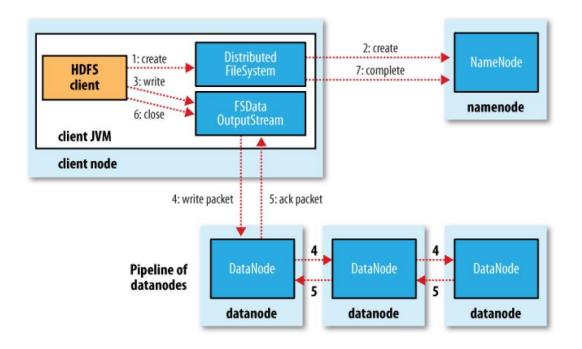


Figure 2.3: A client writing data to HDFS [9]

When the namenode chooses datanodes to store new blocks, it needs to consider reliability and input and output bandwidth. Hadoop's default method is to allocate datanodes to the replicas of a block such that the first replica will be on the same datanode as the user's, and the other two replicas will be placed on different datanodes on another rack.

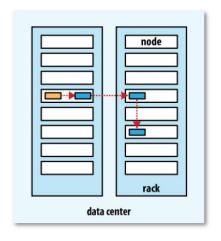


Figure 2.4: A typical replica pipeline [9]

# 2.1.2 MapReduce

MapReduce, proposed by Google in 2004, is a programming model for processing big data sets. It has two functions or phases, namely, Map and Reduce. The map function is created by each user to process a key or value pair from the input data to get the intermediate key or value pair. Then the reduce function merges those intermediate results to get the final result.

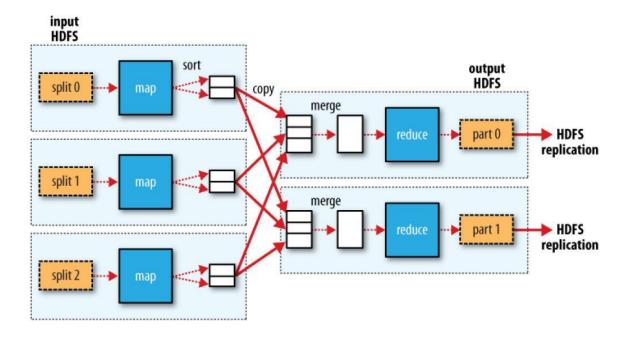


Figure 2.5: MapReduce data flow with multiple reduce tasks [9]

#### 2.1.3 Analysis of jobs running in Hadoop

When users try to submit a job to Hadoop, several steps are implemented;

- 1) a new job ID is generated by the jobtracker for this job,
- 2) the output specification of the job is checked,
- 3) the input split for each task of this job is computed
- 4) transfer the resources such as configuration file to the jobtracker's filesystem under the directory named after the job ID,
- 5) inform the jobtracker that the job is ready for processing.

The job is then initialized. The job is put into an internal queue and waits to be picked up by the job scheduler of Hadoop. During the initialization of the job, an object is created to represent it and encapsulates the tasks of this job, and information that keeps track of the tasks status and execution progress is recorded. The job scheduler retrieves the input splits from the filesystem and creates one map task for every split and some reduce tasks whose number is determined by the property of the job. Apart from the map and reduce tasks, another two tasks are created: a job setup task and a job cleanup task. The Tasktracker will run the job setup task before the map tasks run and run the cleanup task after all the reduce tasks are finished.

The Tasktrackers send a heartbeat to the jobtracker to remind it whether they are available and can accept a new task to run. If a tasktracker is ready to run a new task, the jobtracker will contact the tasktracker through the return value of the heartbeat that will assign it a new task.

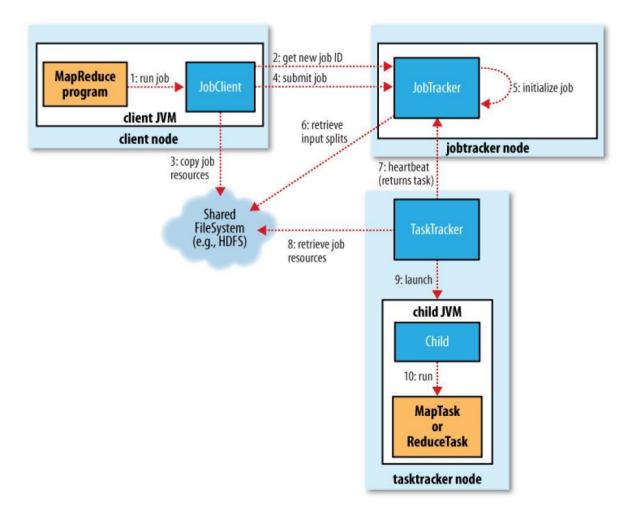


Figure 2.6: Hadoop running a MapReduce job using the classic framework [9]

Each tasktracker contains a certain number of slots to run map tasks and reduce tasks and the number is based on the number of cores and how large the memory each tasktracker has. The free map task slots will be scheduled earlier than free reduce task slots by default. When choosing a map task to assign to the free slots, the jobtracker first considers network location of the tasktracker, then selects a task whose input split is closest to the tasktracker. The optimal situation is a task running on the datanode that has the input split; this is called a data-local task. If the task has its input split on the same rack but not the same datanode, it is called a rack-local task. If the

When the task is going to be executed, the job JAR is localized by transferring it from the shared filesystem to the filesystem of the tasktracker. A local working directory is then generated for this task and an instance of TaskRunner is created to run the task.

After the last task of a job, the job cleanup task, is finished, the jobtracker will receive a notification. Then it modifies the status of the job to "successful". A message will be printed to inform the user and the result is returned [9].

#### 2.2 Software Defined Networking

In a traditional networking architecture, the control part and data part are separated rather than coupled together. Software defined networking decouples those two parts and make the control layer directly programmable. This change enables the underlying infrastructure to become abstracted for applications and network services so that the network becomes a logical or virtual entity from the perspective of the application and network services. In the traditional networking architecture, devices are from different vendors and may have various protocols, but SDN realizes a centralized management and control of networking devices from multiple vendors. SDN has the ability to add new network capabilities and services such as not requiring configuration of every device. SDN provides a new opportunity to users to program the network environments [21]. In addition to abstracting the network, a lot of APIs that enable functions (like implement common network services including routing, multicast, access control, bandwidth management, QoS and so on) to satisfy business targets are offered by Software defined networking.

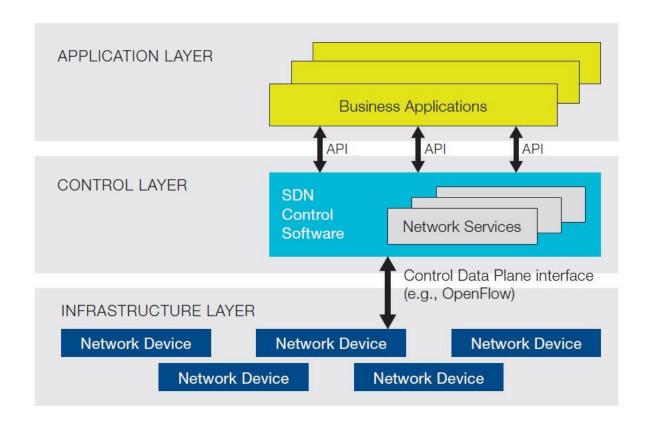


Figure 2.7: Software defined networking architecture [3]

OpenFlow [3], which is the first implementation of SDN, establishes a communications interface between the control and data forwarding layers. OpenFlow enables access to and operation of the forwarding layer of network devices like routers and switches. An OpenFlow switch separates the forwarding path and control function, which is the obvious distinguishing feature compared to the classical switch. OpenFlow enables the forwarding plane of network devices to be accessible and operated physically and virtually. The access and operation are implemented through a secure channel that is established between the OpenFlow switch and the controller for communication. On both sides of the interface between network infrastructure devices and the software defined networking, the protocol of OpenFlow is employed. Software defined networking control software can statically or dynamically program some pre-defined match rules that enables OpenFlow to use the concept of flows to identify network traffic. OpenFlow allows the user to

decide the approach to flow the traffic through network devices. The network can respond to realtime changes at the application, client, and session level based on extremely granular control that comes from the programmable network on a per-flow basis of OpenFlow-based software defined networking.

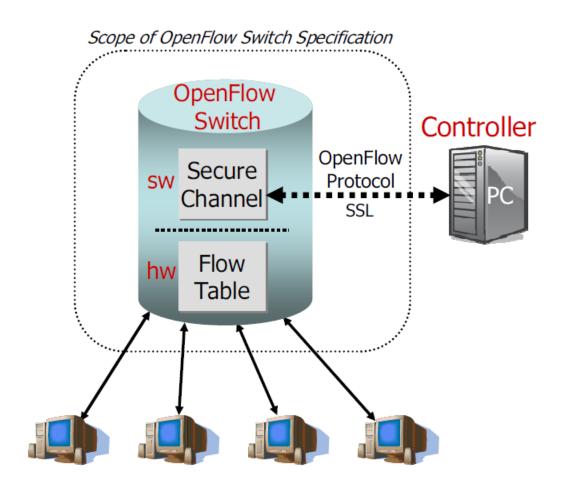


Figure 2.8: OpenFlow Architecture [3]

#### 2.3 Workload Balancing Problem

To achieve load balancing, when lots of tasks run on certain nodes, the tasks are switched from the overloaded nodes to underloaded nodes. By load balancing, the total running time of the tasks will be reduced. Therefore, load balancing is essential for achieving high and efficient performance especially in a distributed system. In recent years, significant attention and research has been devoted to the load balancing problem. In this section, we review load balancing in general in a cloud computing, grid environment, wireless network and then focus on load balancing in Hadoop.

There are several existing load balancing algorithms like Round-robin, Weighted round-robin, Least-connection, Weighted least-connection and Shortest expected delay [51].

Z. Zhang and X. Zhang [45] propose a load balancing mechanism for cloud computing. It is based on the ant colony approach and complex network theory. They use ants' behavior in their mechanism to collect information from the nodes on the cloud, and then find a specific node to run a task. But a synchronization problem exists in their mechanism, which is addressed by K. Nishant et al in [46].

B. Radojevie et al. [47] propose an algorithm named Central Loud Balancing Decision Model (CLBDM). This algorithm is based on session switching at the application layer, and it improves the well-known load balancing algorithm Round Robin [48]. The CLBDM counts the connection time between the client and the node. A threshold is set in the algorithm and if the connection time becomes larger than it, the connection will be terminated and another node will run the task of the original node.

J. Ni et al [49] present a virtual machine mapping policy based on multi-resource load balancing for a private cloud. Their algorithm includes a central scheduling controller, which computes the resource availability for a specific task and determines the task assignment, and a resource monitor to collect the information of resource availability.

The problem of system burden caused by data duplication and redundancy is addressed by T. WU et al. [50]. They introduce a new management architecture for data centers, which is named Index

Name Server (INS). The INS integrates deduplication and access point selection optimization. The INS can be used to dynamically monitor parameters like IP information and busy level index to keep load balancing.

X. Ren et al. [52] propose a load balancing algorithm named Exponential Smoothing forecast based on Weighted Least-connection (ESBWLC), which improves on an existing algorithm called weighted least connection (WLC). The WLC algorithm assigns tasks to the node with the least number of connections. However, the capabilities of nodes are not considered. ESBWLC addresses this issue by making the assignment based on the experience of nodes' capabilities.

S. W et al. [53] propose an algorithm for load balancing, which combines Opportunistic Load Balancing (OLB) [54] and Load Balancing Min-min (LBMM) [55] algorithms. The OLB is a static load balancing algorithm, which has the issue of ignoring the execution time of the nodes and processing tasks slowly. The proposed algorithm has a three layered architecture and divides tasks into multiple subtasks to speed up the processing.

Active Monitoring Load Balancing (AMLB) algorithm is proposed in [56]. This algorithm will find the VM with the least load and assign it to the request. If there is more than one satisfied VM, the first one found will be chosen. The VM-assign load balance algorithm [57] is an improved version of the AMLB algorithm. It will select the VM with the least load and not be assigned in the previous request.

S. G. Domanal et al. [58] propose a local optimized load balancing approach named the modified throttled algorithm. It is designed to distribute the incoming jobs uniformly among the servers or virtual machines. In Throttled Load Balancer [59], when a request comes, an index table with the list of virtual machines with their states is searched to find a VM based on availability. The shortcoming is current load on the machine is not considered. In the modified throttled algorithm,

when a request comes and if it is not the first one, the search for the VM will start from the VM next to the one already assigned in the table.

G. Soni and M. Kalra [60] propose a load balancing algorithm called the Central Load Balancer. The balancer also uses a table with the states of every virtual machine and their priorities, which is calculated by capability of CPU and memory. When assigning task, the VM with the highest priority will be selected if it is not busy.

H. Chen et al. [61] propose a Load Balance Improved Min-Min Scheduling (LBIMM) algorithm. In the traditional Min-Min algorithm [62], when several tasks already exist, the task with the minimum size is assigned to the resource to realize the minimum completion time for all the tasks. In LBIMM, the minimum size task from the most loaded resource is used to calculate the running time on all the other resources. If the minimum of the running time is less than the one in traditional Min-Min, the task will be re-assigned to the resource that generates the minimum.

The Double Threshold Energy Aware Load Balancing (DT-PALB) algorithm [63] is proposed by J. Adhikari and S. Patil. The algorithm manages the compute nodes based on the utilization percentage and minimizes the energy requirement by switching off the idle compute nodes at the same time.

O. A. Rahmeh et al. [64] propose a distributed and scalable load balancing framework using biased random sampling [65]. The Biased random sampling uses a virtual graph containing the connectivity of servers to show their load. The algorithm in [64] has the sample walk on the virtual graph. The sample walk starts at a specific node and moves randomly to its neighbor. The last node in the sample walk is chosen for the load location.

A power aware load balancing algorithm named Bee-MMT is used to save energy while maintaining load balancing in cloud computing [65] [66] [67]. The algorithm will move some

VMs from the overloaded hosts to maintain load balancing and move all VM from the underloaded hosts to reduce power consumption.

Y. Lu et al. propose a load balancing algorithm for a large system called Join-Idle-Queue [68]. In their algorithm, they first solve the problem of assigning idle processors at all the dispatchers, then reduce the queues length in each processor.

M. Salehi et al [69] propose a load balancing algorithm in the grid environment. The algorithm uses a new adaptive mechanism of intelligent colonies of ants, which can generate a new one if they find themselves overloaded.

J.Galvez et al [70] propose a distributed load balancing algorithm for the wireless mesh network. The algorithm reroutes the flows from overloaded gateways to underloaded gateways. The algorithm considers the effects of interference, which makes itself feasible in practical scenarios.

F. Zeng and Z. Chen [71] propose a greedy algorithm named GA-LBC to solve the problem of load balancing gateway placement. The cluster in the wireless mesh network is divided into a load balanced part and disjointed part, where both of them satisfy QoS requirements.

Hadoop MapReduce is originally designed to run on a homogeneous cluster where nodes have the same capacity. When employed in a heterogeneous environment, the performance of Hadoop decreases because of the different capabilities of nodes [5] [39]. M. Zaharia et al. show that it is impossible for Hadoop MapReduce in a heterogeneous cloud computing infrastructure like Amazon EC2 to perform as efficiently as the homogeneous cluster. Observations from experiments show that the homogeneity assumption of Hadoop MapReduce leads to incorrect and often unnecessary speculative execution in heterogeneous environments [4]. Xie. J et al. find data locality as a determining factor that influences MapReduce performance. Hadoop distributes data to multiple nodes based on utilization of disk space, but this method to balance workload is not

useful in a heterogeneous node environment [7]. Workload imbalance in Hadoop MapReduce running in a heterogeneous cluster is an obstacle to performance [40].

In [7], the authors introduce a data placement approach on a heterogeneous cluster based on the processing capability of every datanode on Hadoop so that data movement decreases. Before executing a user's job, a small part of data is used to run a test in the Hadoop cluster for measuring the different capability of nodes. They get the capability of the datanodes in Hadoop by setting up some MapReduce application on every datanode and letting it run many times, and select the shortest response time as the measure of computing capability after normalization. After getting the capability of nodes, the data of the jobs will be allocated to each node corresponding to their capability.

In [5], M. Mustafa et al. find hardware heterogeneity can result in severe performance penalties by exposing communication or I/O bottlenecks in Hadoop MapReduce. They conquer the bottleneck of I/O by adopting double-buffering and asynchronous I/O in MapReduce on an asymmetric cluster. The global data streaming method and adaptive resource scheduling through the dynamic scheduler parameterization are added to MapReduce. By using these two techniques to overlap I/O and communication latencies, the heterogeneity problem is addressed.

In [6], C. Tian et al. observe that jobs in a large practical data center have different requirements for resources, especially I/O and CPU. Therefore based on the I/O and CPU utilization, they distinguish jobs into three kinds, and design a method to detect the type of workload. For increasing the utilization rate of hardware, they develop a Triple-Queue Scheduler including a workload prediction mechanism to improve MapReduce performance in a heterogeneous environment. The newly arriving job will be sent to different queues after predicting the type of workload. Resources will be allocated separately to jobs in different queues.

Smriti et al [41] and Y. Fan et al. [43] focus on the reduce phase in Hadoop MapReduce and address the problem of data skew in the reduce phase. Because the reduce task with the longest running time determines the finish time of a job in Hadoop, balancing the workload of the reducer can improve performance. Smriti et al [41] establish a statistical model of the reducer workload distribution and use the model to judge whether they have enough samples to meet the users' workload balancing target. To balance the workload, they split the reduce-key that is overloaded for one reducer into some mediumly loaded ones, and send them to multiple reducers. By this approach, the largest workload of the reducer is minimized. Y. Fan et al. [43] find that the number of map function output data partitions when generated by a hash function is the same as the number of reduce tasks. The quantity of data of each reduce task is based on the partition function only. That leads to workload imbalance because of reducers with different data loads. The authors propose a virtual partition to split the output of the map function (the input of reduce function) in a fine-grained manner and a workload allocation algorithm based on a continuous virtual partition to address the performance decrease by reading disk discretely.

V. Martha et al. [42] study the case of using Hadoop MapReduce in social network analysis. They show that workload imbalance will occur when 1) the mappers get different data for tasks of a job and 2) slow or down nodes in the cluster run one of tasks belonging to a job. The authors employ a properly defined cost function to determine whether a task is heavy or not based on workload. A hierarchical MapReduce model is proposed to split the heavy task into multiple child tasks and reassign them to other nodes in this cluster as a new job. Hierarchical MapReduce addresses some problems such as deadlock and inheritance conflicts and speeds up the job of big dataset execution.

In [4], the authors design an algorithm called LATE for speculative execution. Performance of Hadoop may decrease by using the default scheduling method, and to address this problem, LATE proposes an optimized scheduling algorithm for tasks. When LATE finds a node that

performs poorly on MapReduce, it runs speculative tasks on another node for that node. The LATE algorithm maximizes the performance of Hadoop in a heterogeneous cluster by performing speculative execution robustly. This improves the performance of Hadoop MapReduce on the heterogeneous cluster.

In their work [4-7] [39-43] for improving the performance of Hadoop, they focus on solving the workload imbalance in node level by using a fast node to run the task, which originally ran on a slow node. Their method can save some time of a job execution, if the task is the last finished. But if a job has lots of task, only reducing the time used by last task is not a big improvement. In our work, we use a fast rack or multiple fast racks to share the tasks from the overloaded rack, which will reduce the execution time of many tasks. The execution time of jobs will be obviously reduced. Therefore our algorithm is more effective than theirs.

## 2.4 Software Defined Networking in Hadoop

SDN with its feathers of decoupling the control and forwarding planes and programmability brings many benefits to different areas. The control panel can be unified in SDN for various kinds of network devices [78]. Many problems such as data traffic scheduling [79], congestion control [80], load balanced packet routing [81], energy efficient operation [82], and QoS support [83] [84] can be solved in SDN. SDN provides a programmable network platform to implement [85], experiment [86] for the research and innovation. M. Al-Fares et al propose a scalable, dynamic flow scheduling system named Hedera in [79]. They use a tree architecture in their system with Openflow switches. M. Ghobadi et al [80] propose OpenTCP, which is a TCP adaptation framework in SDN. It mainly focuses on the data center with SDN. Aster\*x, proposed in [81] is a distributed load balancer based on SDN. It requires the network switches to be dumb, minimal flow-based datapath and under a remote controller. ElasticTree, proposed by B. Heller et al. in

[82], is a network-wide power manager. It dynamically changes the links and switches based on the traffic load in the data center. OpenFlow is used to get the information of the current network utilization and the capability of controlling the data path. A. Ferguson et al. design an API for applications to control SDN in [83]. They use OpenFlow controller to implement the API, which releases the read and write authority to users. W. Jeong et al. [84] propose a QoS-aware Network Operating System (QNOX) for SDN in order to address the issue of missing QoS function in NOX. The QNOX mainly includes service element, control element, management element and cognitive knowledge element. N. Melazzi et al. [86] implement an information-centric networking solution named CONET for the OpenFlow network.

Some works using SDN for workload balancing or QoS are shown here. R. Wang et al. [87] propose a load balancer in servers using the OpenFlow standard, which employs wildcard rules in the switches to distribute the traffic from the clients to the servers. Plug-n-Serve, proposed by N. Handigol in [88], is an OpenFlow based server load balancing system for unstructured networks containingd cheap commodity hardware. It reduces the response time of web services by using OpenFlow to monitor the state of the network and control the routes. C. Macapuna et al. [89] propose a novel data center architecture named Switching with in-packet Bloom filters. They discuss the risk of a single node failure happening in the controller of an OpenFlow network and try to distribute the central controller. SIMPLE, proposed in [90] by Z.Qazi et al., is an SDNbased policy enforcement layer. It uses the current SDN standard to simplify the middleboxspecific traffic steering. W. Kim et al. [91] propose a network QoS control framework to address the network convergence problem. They add a QoS API to OpenFlow such as per-flow ratelimiter and dynamic priority assignment. S. Sharma et al. [92] propose a QoS framework using SDN implementation such as OpenFlow and OVSDB. P. Skoldstom and B Sanchez [93] design a system to perform virtual aggregation with a method that is able to fast divide a large number of routes and spread them in SDN.

To accelerate Hadoop MapReduce and improve its performance, several various methods or algorithms have been proposed in recent research.

Kevin C. et al. [22] study the problem of how to find the best route in a data center network and they find the existing protocols only have a single method to forward packets which may not satisfy the need of various applications in a data center. Topology switching given in [22] retrocedes the control back to the individual applications in order to determine the best path of routing data among their nodes. It normalizes the synchronized utilization of multiple routing mechanisms in a data center, and permits applications to define multiple routing systems and implements individualized routing tasks at a small time level.

Hadoop-A, introduced in [23] is an acceleration framework that uses some plugin components realized in C++ to make Hadoop optimized for fast data transfer. The performance enhancements are introduced by three methods. First, a new algorithm is employed to make reduce tasks to implement data merging without repeating merges and disk access. Second, the shuffle, merge and reduce phases are overlapped by a full pipeline that is designed for the reduce task. Third, an alternative protocol is implemented in Hadoop-A to allow data transfer through Remote Direct Memory Access along with the TCP/IP protocol adopted by general Hadoop.

In Hadoop, all the outputs of both map and reduce tasks are materialized to a local file. A simple and elegant checkpoint and restart fault tolerance mechanism is employed in the materialization but it likely has the risk of slowdowns or even failures at the datanodes. A modified framework of Hadoop MapReduce is introduced in [24] by T. Condie et al. This architecture supports processing data in pipeline between operators. In their version of Hadoop MapReduce, online aggregation permits the clients to know the "early returns" from a job as it is being processed. The Hadoop Online Prototype employs continuous queries as well, which allows stream processing, and event monitoring applications can be written in MapReduce programs.

During the shuffle phase, which between map and reduce phases in Hadoop MapReduce, the switches within a rack or inter racks will saturate and the bandwidth between some datanodes will become inadequate which lead to make the computation slower than the expectation. Hadoop-OFE, described in [25] by S. Narayan et al., demonstrates the idea of adopting the OpenFlow switches in the Hadoop cluster in order to provide additional network bandwidth to the overloaded network between nodes. It changes the topology of the network between nodes in Hadoop dynamically based on different phases of processing a job in order to accelerate the speed of Hadoop.

Their work [25] is closest to our approach, but their work only shows the idea of using the OpenFlow switches in Hadoop to change the topology without the detail of what the architecture in Hadoop with OpenFlow switches is, how and when to change the topology. In our work, we present the architecture of Hadoop cluster with OpenFlow switches, which is the fat tree architecture. We also propose an algorithm to change the topology on the fat tree architecture Hadoop cluster. The algorithm will be deployed to accelerate the proposed workload balancing algorithm.

#### 2.5 Hadoop scheduling algorithm

J. Gautam et al. [44] give a classification of Hadoop scheduler based on parameters such as time, priority, strategy and so on. The classification is shown as Figure 2.9.

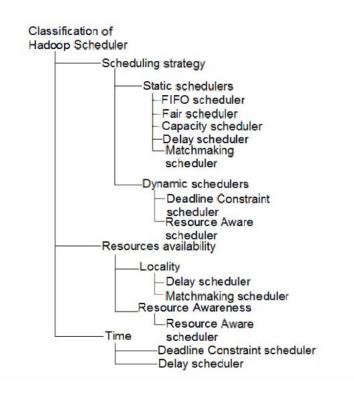


Figure 2.9: Classification of Hadoop Scheduler [44]

Static scheduling, which schedules jobs to nodes before running jobs, tries to minimize the overall execution time of the job. Dynamic scheduling assigns jobs to nodes while executing jobs. The scheduling based on resource availability is designed to increase the utilization of resources such as CPU, disk, memory to improve the total performance of Hadoop. The scheduling based on time focuses on deadline of jobs, which means whether a job can finish before a certain time or not, and determines how to assign resource to the job [44].

Some Hadoop or MapReduce scheduling algorithms based on data locality, resource, performance and energy are reviewed below

M. Hammoud et al. [94] propose a practical strategy named Local-Aware Reduce Task Scheduler (LARTS) for MapReduce. It improves MapReduce performance by finding a good data locality and avoiding scheduling delay and skew, low system utilization and degree of parallelism. They propose the Center-of-Gravity Reduce Scheduler (CoGRS) in [95]. The CoGRS reduces

MapReduce network traffic by making the reduce task locality-aware and skew-aware and schedules every reduce task to run at the center-of-gravity node.

A. Kumar et al. [96] propose a Context Aware Scheduler for Hadoop (CASH). CASH is aware of the heterogeneity of the Hadoop cluster and learns the context, which includes Job characteristics and resource characteristics. The algorithm first classifies the jobs based on their requirement for CPU and I/O and classifies the nodes based on their capabilities. Then it is based on the context to schedule the jobs. The improved CASH is presented for the jobs with the same working set data.

X. Zhang et al [97] propose a next-k-node scheduling (NKS) algorithm to improve the data locality of map tasks. This algorithm schedules the map tasks with the highest probability in order to make more map task run on the nodes with its input data.

Y. Zhao et al. [98] propose a job scheduling algorithm (TDWS) for Hadoop MapReduce based on the Tencent Distributed Data Warehouse. The algorithm first groups the jobs based on their type and then uses a memory-awareness mechanism to schedule the jobs in different groups.

A Self-Adaptive MapReduce scheduling algorithm (SAMR) is proposed by Q. Chen in [99]. SAMR gets the progress of tasks from historical logs and searches for tasks that need backup tasks. It groups the slow nodes to a set dynamically in order to avoid assigning backup tasks to the slow nodes. A similar algorithm is proposed in [100]. An Enhanced SAMR proposed by X. Sun et al. in [101] addresses the issue of ignoring the type of jobs in [102]. In ESAMR, nodes are divided into several clusters and the weight of each cluster is considered rather than the weight of each node.

M. Yong et al [102] propose a resource aware scheduler for Hadoop, which monitors the resource load on each node and schedules jobs based on their resource requirements. The algorithm minimizes the contention of different types of resources such as CPU and I/O.

- R. Nanduri et al. propose a job aware scheduling algorithm for MapReduce in [103]. They use heuristics and machine learning in their algorithm. In their algorithm, when scheduling the waiting tasks, the one that is mostly compatible in resource requirement with the running tasks will be selected to run.
- H. Mao et al. propose a load-driven task scheduler with adaptive DSC for Hadoop MapReduce in [104]. Tasks assignment will be based on the workload of the datanodes. The scheduler contains a dynamic slot controller, which can change the map task and reduce task slots in the datanodes.
- Y. Yao et al. propose a job size-based scheduler for Hadoop in [105]. Their algorithm first learns the information of recently finished job by a lightweight information collector. Secondly, it allocates slots to each user. Finally, it tunes the jobs of each user.
- Z. Wang et al [106] propose a scheduler strategy for heterogeneous workload-aware in Hadoop. They also divide the jobs into two types, CPU-intensive and I/O- intensive based on historical information. A CPU and I/O characteristic estimation strategy is presented to avoid the inference from noise information of historical data.
- J. Polo et al. [107] [108] propose a performance-driven task co-scheduler for MapReduce. The scheduler changes the resource allocation for the jobs based on the dynamic prediction of jobs' completion times with a specific resource.
- B. Shi et al. propose a thermal and power-aware task scheduler for Hadoop in [110]. it costs a long time for the disk to run when reading and writing large amounts of data, which will increase both temperature and the consumption of power for cooling. The proposed scheduler minimizes the consumption of power by selecting suitable nodes to store the data.

Y. Li et al. [112] propose a scheduler for MapReduce in the heterogeneous environment and a power-aware rescheduling scheme. The power-aware rescheduling scheme considers how to save energy in both processing jobs and storing data.

Several scheduling algorithms based on time in recent research is shown below.

In [31] [32], the authors propose a Constraint-Based Hadoop Scheduler. They use the deadline set by users as an input parameter to a job execution cost model. This scheduler is able to provide an immediate feedback that whether the job can be finished within the deadline or not to users and lets the users to make a decision to reset the deadline. The scheduler will maximize the number of jobs can run in a Hadoop cluster by making the current running job leave the maximum number of free slots for jobs that will come in the future. The improvement of this scheduler described in [32] adds a preemptive method that preempts the resource from jobs whose remaining time is less than their deadline.

MOMC, a multi-objective and multi-constrained scheduling algorithm of many tasks in Hadoop is presented in [34] by C. Voicu et al. The authors set two objectives, namely, reducing resource contention and optimizing workload of the cluster. They consider deadline and budget as the constraints. The MOMC algorithm is based on the best utilization of job and resources strategy. It firstly determines whether the available resource is enough or not to complete a job in the specified budget and deadline. If not, it will change the assignment of job and resources.

Cloud Least Laxity First (CLLF) presented in [35] is a soft real-time scheduling algorithm for the distributed system with data locality management. This algorithm minimizes the extra-cost of running tasks in the cloud by ranging the tasks by their laxity and locality. The algorithm firstly sorts the jobs by laxities and provides a sorted list. Then it selects the first job in the list with the lowest laxity and tries to search a datanode with free slots to process a task of this job. If found, it is assigned, if not, next job is selected to search for resources to run the job.

A new MapReduce constraint programming based matchmaking and scheduling algorithm (MRCP) is proposed in [36] by N Lim et al. This algorithm is able to schedule MapReduce jobs with a deadline. Two new Hadoop schedulers are proposed as EDF-Scheduler and CP-Scheduler. The EDF-Scheduler is designed by extending the default FIFO scheduler in Hadoop. The CP-Scheduler is designed by incorporating the MRCP into Hadoop.

In [37], the authors propose maximal Productivity Job Scheduler by modifying the Hadoop job scheduler to make it aware of the availability of resources. This scheduler contains a training module in order to generate performance models, a monitoring system to measure residual capacity of the data center, and a scheduling algorithm.

A resource and deadline-aware Hadoop job scheduler is proposed in [33]. There are three components in this scheduler, namely, a fuzzy performance model, a resource predictor and a scheduling optimizer. In this scheduler, the execution of a job is partitioned into multiple intervals. The fuzzy performance model is multiple-input- single-output which means it uses the job's input size and resource allocation in every interval to predict execution progress. They predict resource availability based on history information by using an Auto-Regressive Integrated Moving Average model. The scheduling optimizer will change the number of resources assigned to every running job based on an online receding horizon control algorithm.

The researches [31-33] [35] are close to ours. In [31] [32], the completion time is estimated by measuring the cost of processing a unit data in a map or reduce task and the quantity of data. However, the method is not feasible because in a heterogeneous cluster since we cannot get the same cost of unit data for the different nodes. Authors of [33] [35] presented a better estimation for job execution time, but their estimation is not accurate. In the work [33], they use the number of finish tasks to determine the progress of a job. The problem of their method is the number of finish tasks in an interval is not only based on the current resource allocation but also relative

with the number of initialized tasks before this interval. If just using number of finish tasks in each interval to determine the progress, the estimated execution progress is not accurate. In our work, we use the number of initialized tasks in each interval to monitor the progress of job execution, which is more accurate. Based on the progress, we assign different kinds of slots to the job with slow progress to avoid missing deadline while reducing the influence on execution of the other jobs.

## **CHAPTER III**

### WORKLOAD BALANCING IN HADOOP

### 3.1 Motivation

When users write a file to Hadoop, the namenode will assign a group of three datanodes to users' data. The user data will be stored as blocks. HDFS does not consider the datanode disk space utilization when placing these blocks in the datanodes. To keep the whole HDFS cluster balanced, a tool called balancer is employed in the cluster. A balanced HDFS cluster makes Hadoop reliable and perform more efficiently. The balancer keeps the utilization of datanodes disk space within range, which is the average utilization of the entire Hadoop cluster disk space plus or minus a threshold.

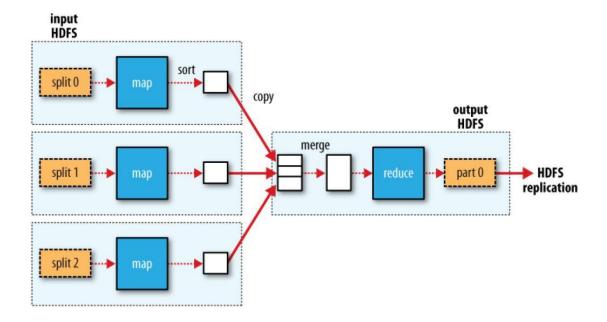


Figure 3.1: MapReduce in Hadoop

When users want to run a task, they send a job to Hadoop. The jobtracker of Hadoop will split the input data and find suitable tasktrackers to process it. The tasktrackers are on the datanode and send heartbeats to the jobtracker notifying it of the status of tasks. There are a certain number of slots owned by the tasktracker for the map and reduce tasks. So when a tasktracker has an empty slot, it will remind the jobtracker it can be used for a new task. For the map task, the jobtracker will assign the task to the tasktracker that is closest to the corresponding data. For the reduce task, the jobtracker just assigns the task from the list of yet-to-be-run reduce tasks to the next ready tasktracker.

Hence the method of block placement is based on the disk space utilization of datanodes rather than the processing capability of datanodes. This is a major problem because when Hadoop MapReduce chooses datanodes to process the task, it considers the location of data but not the capability as well. Therefore workload imbalance will occur on the Hadoop cluster during run time.

In a real-world cluster, Hadoop functions as a heterogeneous cluster. Hence datanodes can have different processing capabilities and storage volume. In a large Hadoop cluster, there may be several data centers which contain many racks of datanodes where these datanodes may have different hardware. Moreover new datanodes can be added to the data centers at different times. In a Hadoop cluster, the numbers of tasks running on each datanode are different where different tasks may have different utilization of hardware. Given the above factors, irrespective of whether the datanodes are heterogeneous or homogeneous, during different periods when tasks are running in Hadoop, hardware utilization such as CPU and memory of datanodes will be different. This makes the datanodes process tasks at different speeds. Each rack in a Hadoop cluster will have different capabilities. In today's technology, the task of assigning a job to each rack does not take into account processing capability. This affects the performance of the cluster adversely.

The problem may be summarized as follows: There is currently no way for Hadoop to guarantee that higher capability racks have more workload than lower capability racks. This adversely affects the performance of not only a few datanodes, but the whole Hadoop cluster.

We propose a dynamic rack workload balancing algorithm to improve the performance of Hadoop. Our proposed algorithm will first estimate the processing capability and the existing workload on each rack. Based on these parameters our algorithm modifies the task allocation to each rack in order to shorten the running time of the job which has the longest remaining time to finish.

### 3.2 Dynamic Racks Workload Balancing Algorithm

### 3.2.1 Overview

To solve the above problem that exists in Hadoop, we employ a dynamic workload balancing algorithm to modify the tasks assignment scheme to different racks. Our proposed algorithm consists of three different steps:

Step 1: Estimate the performance of each rack. The algorithm obtains information of a Hadoop cluster such as the processing capability of each rack and the busyness or commitment of each rack. The performance of each rack is determined by examining the applications running on Hadoop, that is, by scanning and analyzing the log of the jobtracker, tasktracker, datanode and namenode. The logs of the jobtracker and tasktracker, record the start time and finish time, from which we can get the running time of each task. The running time of each task can be used to estimate the processing capability and the busy level of a rack

Step 2: Select the racks to schedule for running. According to the busy level of every rack, which is measured by the recent tasks running time, we select the busiest one from all the racks to schedule. Here we set a threshold to make sure that the busiest rack is a threshold busier than other racks, because if the busiest rack is just a little bit busier than other racks (that is, it is less busier by less than the threshold level), the transfer may not improve the performance of Hadoop as there is a cost associated with the transfer. Hence we select the busiest rack and see whether its busy level is larger than the sum of average busy level plus the threshold. If so, we schedule the busiest rack.

Step 3: Move jobs. The next step is to find the job to move. From the log of the jobtracker, we can know how many tasks belonging to each job are running on the busiest rack, how many of them have finished and the time already used. Using this information we can estimate the time

remaining for the other tasks to finish. The job that has the longest remaining time is selected to be moved to a node on another rack

Using parameters such as processing capability, busyness level and number of datanodes, we can predict the running time of these tasks if run on other racks. The rack with the shortest predicted running time is the destination rack.

The data of the partial unfinished tasks are moved to the destination rack if the destination rack does not have a copy of the data. The destination rack will have the shortest average response time. Within the destination rack, the data will be moved to the datanode with the shortest response time.

#### 3.2.2 Estimation

The first step as discussed above is to estimate the processing capability and the busy level of datanodes as well as the racks. This is obtained by firstly, scanning the logs of all the nodes on Hadoop to obtain information such as the running time of each task, and from it, we estimate the capability of Hadoop.

Every datanode has its log which records the start time and finish time of the tasks running on the datanode. The start time and finish time of a task can be obtained from the jobtracker log, for example:

2015-03-30 11:18:10,296 INFO org. apache. hadoop. mapred. JobInProgress: Choosing data-local task task\_201502091636\_0244\_m\_000027.

2015-03-30 11:18:25,366 INFO org. apache. hadoop. mapred. JobInProgress: Task 'attempt\_201502091636\_0244\_m\_000027\_0' has completed task\_201502091636\_0244\_m\_000027 successfully.

The first line is the record of the start time of the task whose ID is

201502091636\_0244\_m\_000027. The second is the record of the finish time of that task. The average processing time is obtained by considering all the tasks in the history. This can be used as a measurement of the processing capability using the following formula:

$$P = \frac{\sum_{i=1}^{n} t_i}{n} \tag{3.1}$$

where P refers to processing capability, n refers to the number of tasks running on the datanode historically and  $t_i$  refers to the time used by the  $i^{th}$  task. If there are a few tasks (n is low), and these tasks take a long time (t is high), then P is high. Since P is the average time used by a task on a datanode, a low P means a high performance node.

The processing capability of a rack can be calculated from the average of the processing capability of the datanodes on this rack by the following formula:

$$P_{rack} = \frac{\sum_{i=1}^{N} P_i}{N} \tag{3.2}$$

where  $P_{rack}$  refers to the processing capability of a rack, N refers to the number of datanodes on a rack, and  $P_i$  refers to the processing capability of the ith datanode.

As discussed above, under different workloads, even when the datanodes have the same hardware, they will provide different performance. Therefore information of how busy the datanodes are is estimated based on the logs. Similar to the formula above for estimating the processing capability, we choose the most recent several tasks to compute the average running time of these tasks by the following formula:

$$B = \frac{\sum_{i=1}^{k} t_i}{k} \tag{3.3}$$

where B refers to the busy level of a datanode, k refers to the number of tasks running on the datanode in the recent history and  $t_i$  refers to the time used by the ith task.

The busy level of a rack can obtained from the average busy level of the datanodes on this rack using the following formula:

$$B_{rack} = \frac{\sum_{i=1}^{N} B_i}{N} \tag{3.4}$$

where  $B_{rack}$  refers to the busy level of a rack, N refers to the number of datanodes on a rack, and  $B_i$  refers to the busy level of the ith datanode.

## 3.2.3 Rack selection

After we get an estimation of the processing capability and busy level of all the racks, we select the busiest rack to schedule whose  $B_{rack}$  is the largest value, that is,

$$\max(B_1, B_2, \dots, B_m)$$

The job which has the largest remaining time from  $B_{rack}$  is scheduled and moved to another rack. The destination rack which accepts this job is the rack which has the shortest predicted time for running the job. This is calculated as shown below. Only some tasks of the job are transferred.

If a job is not terminated or complete, the tasks of that job will have three states: finished, running and waiting.

The remaining running time of a job is predicted by the following formula:

$$T_{remaining} = T_{used} \times \frac{N_{waiting}}{N_{finished}}$$
 (3.5)

where  $T_{remaining}$  refers to the predicted remaining time to finish all the tasks of a job on that rack,  $\frac{N_{waiting}}{N_{finished}}$  refers to the ratio of the number of waiting tasks to finished tasks of a job,  $T_{used}$  refers to the time elapsed from the time the first task starts to the time of the last finished task.

To estimate the remaining running time of a job in another rack, we use parameters such as the processing capability, the busy level and the number of datanodes on the racks. Assume we move some waiting tasks of a job from rack A to rack B, the estimated running time on rack B will be:

$$T_B = T_A \times \frac{P_B}{P_A} \times \frac{B_B}{B_A} \times (\frac{N_B}{N_A})^2 \tag{3.6}$$

where  $T_B$  refers to the predicted running time of those tasks if moved to rack B,  $T_A$  refers to the predicted remaining time of those tasks running on rack A,  $P_A$  and  $P_B$  refer to the processing capability of racks A and B respectively,  $B_A$  and  $B_B$  refer to the busy levels of racks A and B respectively,  $N_A$  and  $N_B$  refer to the number of datanodes on racks A and B.

The processing capability and the busy level of a rack are the average corresponding values of the datanodes on that rack. Hence when computing the remaining time of tasks, we get the time based on the number of datanodes where we use the processing capability or busy level as a rack metric. Here we use both of them, hence the square of  $N_B/N_A$ .

After selecting which rack to move the tasks to, we choose datanodes on this rack to run these tasks. We generate a list of datanodes in order of processing capability multiplied by the busy level  $(P \times B)$ . The nodes to be avoided are those with either a low processing capability or those that are very busy. Hence we select the datanode whose result of  $P \times B$  is small. If there are n tasks to be moved, we choose the n datanodes whose  $P \times B$  values are the lowest.

To determine the amount of transferred data the selected datanodes accept from another rack, the method we adopt is to make the amount of data transferred correspond to the ratio of  $\frac{1}{P \times B}$  of the selected datanodes. A large  $P \times B$  means low performance, so a higher performance node will have a larger  $\frac{1}{P \times B}$ . We calculate time by the average performance of a rack. Choosing datanodes that have a higher performance than average will therefore save more time

### 3.2.4 Data transfer

Before transferring the data, we first check whether the link between two racks has enough bandwidth to make the transfer efficient and feasible. We derive a function to compute the appropriate amount of data to make the remaining time the least.

The bandwidth determines whether it is feasible or not to move data between two racks such that overall performance is improved. Assume we have n tasks to transfer and each of them is of fixed sized (64 MB or 128 MB by default). The predicted running time difference between rack A and rack B is:

$$T_A - T_B = T_A \times (1 - \frac{P_B}{P_A} \times \frac{B_B}{B_A} \times (\frac{N_B}{N_A})^2)$$
 (3.7)

Determine if the following inequality holds:

$$\frac{T_A - T_B}{N_{waiting}} > \frac{\text{size of block}}{\text{bandwidth}} \tag{3.8}$$

where  $N_{waiting}$  refers to the number of waiting tasks, bandwidth refers to the bandwidth between rack A and rack B.

If a job chosen to move has some waiting tasks on the rack, moving all the tasks is not efficient. Instead only some of the tasks are moved because using two racks to run the job is faster than running on only one rack.

Assume x (0 < x < 1) where x is the number of waiting tasks to be moved. The objective is to find the value of x that will yield the shortest times  $T_A$ ,  $T_B$  and  $T_{transfer}$  such that:

$$T_A \times (1 - x) = T_B \times x + T_{transfer} \times x \tag{3.9}$$

where  $T_A$  refers to the predicted remaining time of the tasks running on rack A,  $T_B$  refers to the predicted running time of those tasks if moved to rack B,  $T_{transfer}$  refers to the time if all the data of these tasks are moved from rack A to rack B.

The desired result is:

$$argmin_{T_A,T_B,T_{transfer}} f(T_A,T_B,T_{transfer})$$

where 
$$f(T_A, T_B, T_{transfer}) = \frac{T_A}{T_A + T_B + T_{transfer}}$$
 (3.10)

In addition, if some data used by these tasks has replicas on the destination rack, we need to only transfer the data excluding the replica data.

## 3.2.5 Dynamic rack workload balancing algorithm

Using the above model, we present the balancing algorithm:

## **Dynamic Racks Workload Balancing algorithm**

Input: all racks on the Hadoop cluster

Begin:

For each rack:

Compute process capability P and busy level B by formulas (3.1), (3.2), (3.3) and (3.4).

Select  $max(B_1, B_2, ..., B_m)$ , assume it is rack A.

For each job running on rack A:

Compute the remaining time  $T_{remaining}$  by formula (3.5).

Select the job has maximum  $T_{remaining}$  to schedule.

For each rack except rack A:

Predict the remaining time for that job by formula (3.6).

Select rack has the shortest predicted remaining time.

**If** inequality (3.8) holds:

Get the percentage of tasks to be moved by using formula (3.10) and transfer the data.

End:

# 3.3 Simulation for Dynamic Racks Workload Balancing algorithm

We simulated moving partial tasks of a job from one rack to another one in a Hadoop cluster. To achieve this, we use "Mumak" [13] which is Apache's Hadoop MapReduce simulator.

### 3.3.1 Simulators used

Apache Rumen [14] is a tool for extraction and analysis of data from Apache Hadoop. Rumen gets information such as ... from the JobHistory logs and produces the raw trace data that can be used by simulators such as Mumak.

Apache Mumak [13] is a Hadoop MapReduce simulator. It uses the output of Rumen and some log files of Hadoop to simulate various situations. Mumak can simulate a big Hadoop cluster and for that the topology generated by Rumen must be configured.

The simulation architecture which is based on Apache Rumen and Apache Mumak is shown in Fig. 3.2 below. Rumen outputs files about the cluster, that is, a file that contains the topology of the cluster and a file that shows the record of how far the tasks of a job have been processed. Rumen also outputs the jobs information which is shown in the Cluster Story and Job Story. When a job from user to Hadoop is simulated, the simulation is done by the Job Story of Rumen

and accepted by Mumak. We can modify the topology of Hadoop cluster such as the number of racks and the number of datanodes on each rack

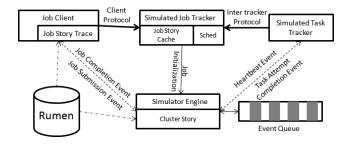


Figure 3.2: Simulation Architecture [15]

## 3.3.2 Simulation design

We simulated a Hadoop cluster with several racks. These racks have different processing capabilities and busy levels. In Mumak, the virtual clock represents the performance of nodes. To achieve a heterogeneous environment the velocity of each node's virtual clock is set to different values to simulate their different  $P \times B$  values.

TABLE I. Simulated racks and Clock velocity

| Simulated racks | Clock velocity |
|-----------------|----------------|
| Rack1           | 2              |
| Rack2           | 3              |
| Rack3           | 4              |

From the above TABLE I, Rack1 has the worst performance and requires balancing. We simulate a job with 105 tasks running on rack1 that should be moved by our algorithm.

We compared different situations when these tasks run on native Hadoop MapReduce and Hadoop MapReduce with our algorithm.

### 3.3.3 Simulation results

In our simulation, we implemented a word counting job to run on a small Hadoop cluster. From this we get the log files for Rumen. Rumen then generates the topology file and a json file which is directly input to Mumak. Then we simulated this job running on a Hadoop cluster with three racks. In this section, we explain the performance improvement of Hadoop MapReduce with our algorithm by comparing with native Hadoop the finished time of these tasks.

We assume that 105 tasks of the word counting job on rack1 of the simulated Hadoop cluster are waiting tasks. We use the simulated running time as the tasks of that job compared with the remaining time if adopting our algorithm as shown in Fig. 3.3 below.

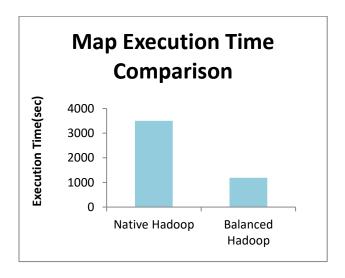


Figure 3.3: Map Execution Time Comparison

Our simulated results which compare the execution time of Native Hadoop and our proposed balanced Hadoop, show that using our algorithm reduces the execution time by about 66%. The execution time will be reduced by more than 50% because the rack accepting the tasks that have been moved has better performance than the original one. Using both of them to do these tasks more than doubles the efficiency and thereby reduce the execution time by more than 50%.

## 3.4 Improvement of dynamic racks workload balancing Algorithm

The dynamic racks workload balancing algorithm solves the imbalance by moving the over load from the busiest racks, and this algorithm reduces the execution time of the partial jobs running on the busiest rack. If we observe the progress of a job's execution in different racks, we can find the tasks belonging to a job in different racks may have different finish times. This is because the tasks distribution of the job doesn't match the capability of each rack. If the jobs don't run on the busiest rack, the dynamic racks workload balancing algorithm will not be applied to this kind of jobs. Therefore, the dynamic racks workload balancing algorithm is not able to make the workload balanced for all the jobs.

We propose an improved algorithm for the workload imbalance problem. The improvements in this algorithm are 1) considering all the jobs in Hadoop and determining whether the workload on each rack is balanced or not for every job; 2) moving overload from one rack to multiple racks can remove the extra burden on the overloaded racks and reduce the transmission time of data.

## 3.4.1 Predicting the number of tasks

If a job is not terminated or complete, the tasks of that job will have three states: finished, running and waiting.

From the logs of the jobtracker and tasktracker, we can get the number of running and finished tasks belonging to a job on a certain rack.

For the waiting tasks, because they have not been assigned to the tasktracker, we need to predict how many of them will be assigned to a rack.

The log of the jobtracker contains task information such as the task id and the location of input split. When the task is to be assigned, the jobtracker first chooses a tasktracker to run this task and the tasktracker id will be recorded to the log. The jobtracker records the type of the task such as

whether it is data-local task or rack-local. In a data local task the input split of the task is run on the datanode processing this task, whereas in a rack local task the input split of a task is not run on the datanode that processes this task but on the same rack. If this task is finished, the jobtracker log records that the task has completed successfully. By analyzing the log of the jobtracker, we get the probability of data-local or rack-local tasks. That is,  $\frac{t_{dl}}{T}$  or  $\frac{t_{rl}}{T}$  where  $t_{dl}$  is the number of data-local tasks,  $t_{rl}$  is the number of rack-local tasks and T is the total number of tasks.

In our algorithm, we assume that all the tasks belong to either data-local or rack-local types.

In HDFS, every data block has three replicas by default. The namenode has the data block's location information. Hence when the jobtracker initials a job, it will get the input split location from the namenode and record it to the log. the distribution of all the input data splits belonging to a job is obtained from the log of the jobtracker, the number of tasks that belong to a certain job that have the input split on a rack is also obtained from the log.

By analyzing the distribution of all the input data splits belonging to a job on a rack, the number of tasks that will run on a rack based on data location are calculated.

$$N_{waiting} = \frac{P_d}{N_{renlicg}} \times (N_A + N_B) + \frac{P_r}{2} \times (N_A + \frac{N_B}{2})$$
(3.11)

Here  $N_{waiting}$  refers to the number of tasks of a job waiting to run on the rack based on data location.  $P_d$  refers to the probability of a data-local task and  $P_r$  refers to the probability of a rack-local task from log statistics.  $N_{replica}$  refers to the number of replicas of the block in Hadoop, which by default, is three,  $N_A$  is the number of input splits of the tasks that is the first replica,  $N_B$  is the number of input split of the tasks that is the second or third replica. If a datanode has the input split of a task, the probability of that datanode running this task as a data-local type is  $\frac{P_d}{N_{replica}}$  and the number of this kind of datanodes in a rack is  $N_A + N_B$ . Because one input split of

a task has replicas on two racks, the rack which has that input split, the probability of running this task as rack-local type is  $\frac{P_r}{2}$ , and the number of tasks whose input split on this rack is  $N_A + \frac{N_B}{2}$ .

### 3.4.2 Predicting remaining time of tasks

After predicting the number of waiting tasks that will run on a rack, the remaining time of the tasks belonging to a job on a rack can be obtained.

First determine the time used by finished tasks on a rack, specifically the most overloaded rack. The logs of the jobtracker and tasktracker record the start time of the first task and the finish time of the last task. The difference of these two times provides an estimate of the performance of a rack.

The remaining time of a job is predicted as follows:

$$T_{remaining} = N_{waiting} \times \frac{t}{f}$$
 (3.12)

where  $T_{remaining}$  refers to the predicted remaining time to finish all the tasks of a job on that rack, f refers to the number of finished tasks belonged to a job on that rack, t refers to the time used by these f tasks.

The job that has the largest remaining time on the most overloaded rack will first be scheduled to be transferred to a underloaded rack.

## 3.4.3 Rack selection

The optimal situation for a job is that all the map tasks running on each rack complete at the same time. This situation requires the quantity of tasks assigned to a rack match the performance of that rack. Task assigning has a close relation with their input split location. Therefore our method is to change the input split location in order to reduce the map tasks finish time and maintain workload balance.

The ratio  $\frac{t}{f}$  denotes the capability of a rack. Hence we can estimate the number of tasks to be assigned to that rack based on its capability. This is calculated as follows:

$$N_{assign} = N_{total\_waiting} \times \frac{f_{k/t_k}}{\sum_{i=1}^{n} f_{i/t_i}}$$
(3.13)

where n refers to the number of racks in the Hadoop cluster, i refers to the  $i^{th}$  rack,  $N_{assign}$  refers to the number of waiting tasks that should be assigned to the  $k^{th}$  rack,  $N_{total\_waiting}$  refers to the total number of waiting tasks of a job,  $f_i$  refers to the amount of finished tasks belonging to a job on the  $i^{th}$  rack,  $t_i$  refers to the time used by these  $f_i$  tasks.  $N_{total\_waiting}$  is the number of total waiting tasks of a job in the whole cluster.

We can predict the number of tasks to be assigned to a rack by eq. (3.13). For each rack, the difference between the numbers of tasks that can run based on the capability of a rack and actual number of tasks that will run on the rack is:

$$\Delta N_i = N_{assign}^i - N_{waiting}^i \tag{3.14}$$

where i refers to the  $i^{th}$  rack,  $N^i_{assign}$  refers to the number of tasks that can be assigned to the  $i^{th}$  rack based on the capability of this rack,  $N^i_{waiting}$  refers to the number of tasks that are waiting and will actually run on the  $i^{th}$  rack.

The time cost to move the task to the new rack must be considered, because the time saved by running the task on a different rack should be larger than the transfer time. This can be measured by determining if the following inequality holds:

$$t_k/f_k - t_i/f_i > \frac{\text{size of input split}}{\text{bandwidth}_{ki}}$$
 (3.15)

where the  $k^{th}$  rack is the most overloaded rack,  $f_i$  ( $f_k$ ) refers to the number of finished tasks belonging to a job on the  $i^{th}$  ( $k^{th}$ ) rack,  $t_i$  ( $t_k$ ) refers to the time used by these  $f_i$  ( $f_k$ ) tasks,  $bandwidth_{ki}$  refers to the bandwidth of the link between the  $k^{th}$  and  $i^{th}$  racks.

Select the racks whose  $\Delta N_i$  are positive, which means these racks should be assigned more tasks. For the selected racks check if inequality (3.15) holds. Only select the racks for which inequality (3.15) holds. These are the racks to which tasks should be transferred.

## 3.4.4 Data transfer

Assume that *m* number of racks are selected to accept tasks from an overloaded rack. All the tasks are not transferred as this may reduce the load on the source rack to such a level that the source rack is lightly loaded and some of the racks to which tasks have been transferred are overloaded resulting in load balancing not being achieved. The number of tasks each rack should accept is calculated as follows:

$$N_{accept}^{i} = \left(N_{waiting}^{k} - N_{assign}^{k}\right) \times \frac{\Delta N_{i}}{\sum_{i=1}^{m} \Delta N_{i}}$$
(3.16)

where  $N_{accept}^i$  refers to the number of tasks to be transferred to the  $i^{th}$  rack, the  $k^{th}$  rack is the most loaded rack, and  $N_{waiting}^k$  refers to the number of tasks to be assigned to the  $k^{th}$  rack,  $N_{assign}^k$  refers to the number of tasks that will remain on the  $k^{th}$  rack,  $\Delta N_i$  refers to the difference formula (3.14).

The most overloaded rack will therefore run the number of tasks based on the capability of the rack. Tasks which cause load imbalance are transferred to other racks. The datanodes that accept new tasks from another rack keep the task and data in their memory. After these tasks have completed, the results are returned to the source rack and the data that was transferred from the source or most overloaded rack. This data is available during running time only.

## 3.4.5 Improved Dynamic racks workload balancing algorithm

Using the above model, the rack-based load balancing algorithm is shown below:

## Improved Dynamic Racks Workload Balancing algorithm

**Input:** all racks on the Hadoop cluster

# **Begin:**

# For each job:

Estimate the number of waiting tasks to run on the  $k^{th}$  rack and the remaining time by eq. (3.11), (3.12)

Based on the capability and workload of each rack, compute the number of tasks to be assigned to each rack

Select the racks which should get more tasks based on their capability and workload

Select the racks that hold true for inequality (3.15)

Determine the number of tasks to be moved to each rack and transfer the data by eq. (3.13), (3.14), (3.16).

## End.

# 3.5 Simulation for Improved Dynamic Racks Workload Balancing algorithm

We simulated moving partial tasks of a job from one rack to multiple racks in a Hadoop cluster. To achieve this, we used the "MRSim" [30] which is a Hadoop MapReduce simulator.

#### 3.5.1 Simulator used

MRSim [30] simulates the Hadoop cluster and the MapReduce framework including interaction between different nodes belonged to the cluster by using SimJava and extending the discrete event engine. MRSim uses GridSim to simulate and establishes the network topology and simulates the data transfer.

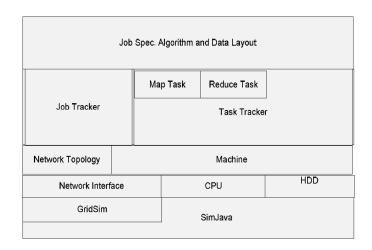


Figure 3.4: MRSim Architecture [30]

The MRSim [30] architecture implements the basic blocks of nodes including CPU, hard disk driver (HDD) and Network Interface model as shown in Fig. 3.4 below. Each node is part of a Network Topology model. In MRSim, the Jobtracker and Tasktracker process is installed on each node. The input files for MRSim are the cluster topology file and the job specification file, which are in Json format. The detail configuration and specification of nodes and racks is recorded in the topology file. The number of map and reduce tasks, data distribution, algorithm description and the job configuration arguments are recorded in the job specification file.

### 3.5.2 Simulation design

We simulate a Hadoop cluster with five racks and each rack contains five nodes. Each rack has five nodes with similar capability. There is therefore a distinct difference between the capabilities of the racks. In MRSim [8], the CPU speed represents the capability of nodes. Racks with poor

capability have a long time for running a task. To achieve a heterogeneous environment, the velocity of each node's CPU is set to different values to match their different capabilities. The poorer capability has the lower CPU speed. From table II we observe the rack with the worst capability is rack 5. This rack needs to transfer tasks to achieve balancing. We simulate a job with 157 tasks and 10 GB data running on all these racks. We allocate the data blocks based on the default scheme used in the Hadoop Distributed File System.

We compare different situations when these tasks run on native Hadoop MapReduce and Hadoop MapReduce with our algorithm.

TABLE II. Simulated racks and time for one task

| Simulated racks | Time for one task |
|-----------------|-------------------|
| Rack 1          | 1.8               |
| Rack 2          | 7                 |
| Rack 3          | 12                |
| Rack 4          | 19                |
| Rack 5          | 22.2              |

#### 3.5.3 Simulation results

In this section, the performance improvement of Hadoop MapReduce with our algorithm is shown by comparing the completion time of these tasks on each rack and total time for the job.

157 tasks are run on the five racks of the simulated na we Hadoop cluster and the running time of map tasks on each rack is recorded. Then our proposed algorithm is run on the same simulated Hadoop cluster and the 157 tasks of that job are run. the running time of map tasks on each rack by using this algorithm is recorded twice. In the first run, the tasks on the most overloaded rack are moved to multiple racks. In the second run, the tasks on the second most overloaded rack which will become the most overloaded rack after first run is moved to multiple racks. The

comparison of the total execution time is shown in Fig. 3.5 and the comparison of the execution time for each rack is shown in Fig. 3.6 below.

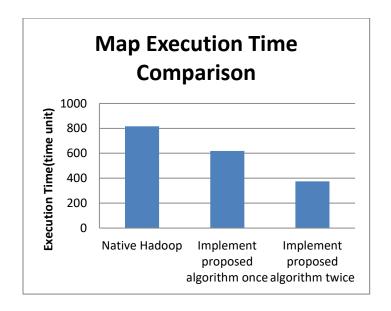


Figure 3.5: Map Execution Time comparison

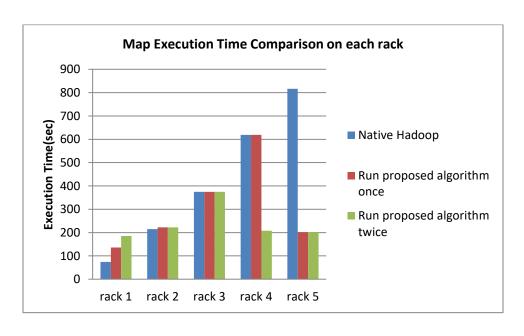


Figure 3.6: Map Execution time for each rack

Our simulated results which compare the execution time of Native Hadoop and our proposed balanced Hadoop, show that using our algorithm reduces execution time by about 55%. The execution time is reduced by more than 50% because the racks accepting the tasks that have been

moved have better capability and less workload than the original one. Using multiple racks to run these tasks more than doubles the efficiency and thereby reduce the execution time by more than 50%.

### 3.6 Conclusion

Because Hadoop functions in a heterogeneous environment which means for different racks, capability does not always match workload. This will lead to some tasks of jobs running on overloaded racks that take a long time to finish. This degrades the entire performance of Hadoop. The dynamic racks workload balancing algorithm proposed in this chapter addresses this problem by moving tasks from the busiest rack to a less busy one so that the remaining time to execute the tasks can be reduced by at least half.

The improved algorithm addresses this problem by moving tasks from the most overloaded rack to several other racks for each job so that the remaining time to execute every job in the map phase can be reduced by more than half.

The results of our simulations show that the finished time of these tasks decrease substantially by adopting our algorithms compared with the original Hadoop MapReduce. In the future work, we will consider the deadline of the job and resource availability as constraints to achieve workload balance in Hadoop MapReduce.

#### **CHAPTER IV**

### **USE SDN IN HADOOP**

### 4.1 Introduction

In the last chapter, we proposed a dynamic rack workload balancing algorithm to improve the performance of Hadoop. However load balancing requires the transfer of data between racks. Modifying the rate of data transfer or bandwidth based on the quantity of data to be transferred between racks will improve the overall performance related to load balancing.

In this chapter, we use Software Defined Networking (SDN) [21] to change the bandwidth of links between racks. The OpenFlow switch is a popular implementation of SDNs. In our approach, we use OpenFlow [3] switches in a Hadoop topology, so that data transfer between racks can be made faster thereby improving the performance of Hadoop.

### 4.2 Motivation

In the dynamic workload balancing algorithm we first estimate the capability of processing and busy level of each rack in the Hadoop cluster, and then try to balance the workload by moving the tasks of some jobs and transferring the data of these tasks from the busiest rack to another rack. When transferring the data from one rack to another, if the bandwidth increases, the time cost of transferring will reduce, and if the quantity of data is large, time will be saved during transferring.

## 4.3 Proposed Architecture

In introducing software defined network within the Hadoop cluster, the OpenFlow switch replaces the normal switch. In a large Hadoop cluster, there will be multiple racks. Each rack is aggregated by datanodes that have the same distance to the namenode. In our proposed architecture, we assume the datanodes on each rack are linked with a single switch and this switch is linked with other switches in order to communicate with other racks. We treat the switch linking every datanode as a part of the rack. This creates a tree-based architecture and Figure 4.1 shows the topology of our architecture. The proposed architecture forms a fat-tree topology.

The fat-tree is one kind of network architecture where the links are fatter up the tree and slimmer lower down the tree. This is because the nodes nearer the root have larger amount of flows. Hence these links will likely become the bottleneck of the whole network.

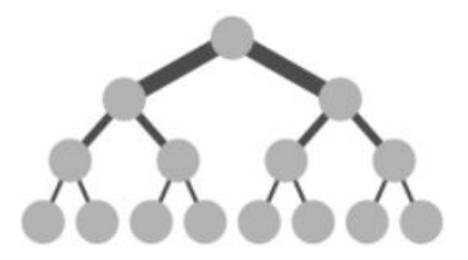


Figure 4.1: Fat tree model

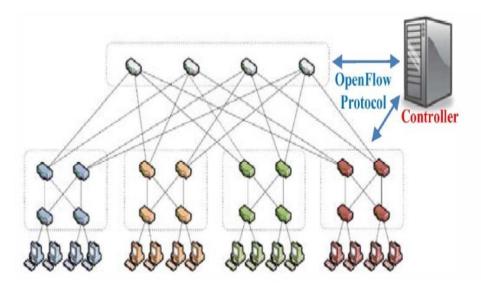


Figure 4.2: Physical architecture of Hadoop cluster with OpenFlow Switch

The switches on the top of this figure can be treated as the root of the fat tree. We use multiple switches at the different levels of the tree to implement the same function in order to enlarge the bandwidth of links. The other OpenFlow switches we use in the Hadoop cluster can be classified into two kinds:

Edge switch: which is linked to one or more racks directly

Intermediate switch: which is not linked to any racks directly, it only links with other switches

All the switches are connected and controlled by a SDN (OpenFlow) controller. This controller communicates through the network with the Hadoop Namenode which is the master node of Hadoop. The controller also communicates with the Jobtracker which controls, assigns and schedules all the jobs from the clients that are processed by Hadoop MapReduce.

We define the default path between two racks to be the shortest path, that is, using the least number of switches to link two racks. In the default topology of Hadoop cluster, racks use the default path to communicate with each other.

## 4.4 Hadoop cluster bandwidth routing algorithm

## 4.4.1 Problem analysis

In the dynamic workload balancing we propose, if there is imbalance between racks, tasks are moved and data transferred between two racks, for instance, from rack A to rack B. The communications topology between rack A and rack B will fall into two kinds:

- There is one edge switch between rack A and rack B.
- There is more than one edge switch linking the two racks as shown in Figure 4.3

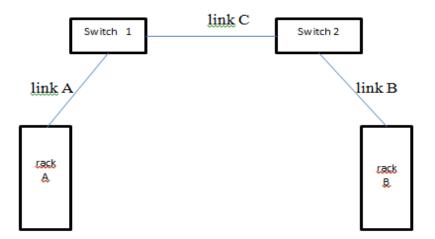


Figure 4.3: Partial topology of two racks

For the first situation, there is no need to do anything, that is, the data and tasks are directly transferred.

A topology for the second case is shown in Figure 4.3. The link between rack A and edge switch 1 is link A, the link between rack B and edge switch 2 is link B, the default path between edge switch 1 and switch 2 is link C, which may be just a simple link between two edge switches

directly or multiple links passing through one or more intermediate switches. The maximum bandwidth of the default path between rack A and rack B is the weakest link in the chain, that is, the least bandwidth of link A, link B and link C. We have two possible situations:

- If the bandwidth of link C is larger than the bandwidths of both link A and link B, there is no change in the topology. This is because we assume that the bandwidth of the link between an edge switch and the rack to which it is connected is fixed, that is, the bandwidth on links A and B cannot be changed.
- If the bandwidth of link C is less than the bandwidths of both link A and link B, then link C becomes the bottleneck of whole path. In this case, find a new path between Switch 1 and Switch 2 and use OpenFlow to change the topology to generate the new path. Because we already know not only the logical topology but also the physical architecture of the cluster, we can generate new paths or links that will enlarge the bandwidth of the link between the two edge switches.

## 4.4.2 New paths addition

In the above situation where ideally we want to enlarge the bandwidth, we assume bandwidth of link C is the bottleneck, that is, the largest possible bandwidth of the path including link A, link B and link C, but it is less the desired bandwidth which is the upper limit. When we want to add new paths, there are two situations:

- If after all alternate paths have been considered, the bandwidth is still less than the desired bandwidth or the upper limit T
- The available bandwidth is more than the difference between upper limit and the bottleneck.

To add a new path to increase the bandwidth as needed, besides knowing the physical architecture and logical topology, the bandwidth utilization of each path and how much bandwidth is available at each path should be obtained.

Because the Hadoop cluster is a fat tree structure, we find the highest height ancestor of rack A and rack B wher rack A and rack B are the leaf nodes. In the fat tree structure, one node can contain several switches. So we can select and add switches from ancestor nodes of rack A and rack B. The OpenFlow controller can send the packets containing the new forwarding rules to the OpenFlow switch. The switch receives the packets and then adds the new rules to its forwarding table. In this way, the new path is established.

From the OpenFlow controller, we can know the bandwidth utilization and availability of each path. [10]

In practice, we will add one switch each time and recalculate the bandwidth of the links between rack A and rack B. This procedure is complete when there is enough bandwidth or all the switches on these ancestor nodes have been used.

## 4.4.3 Algorithm to change topology by SDN

After running the Dynamic Workload balancing Algorithm and determining the data to be transferred for moving tasks between two racks, we obtain two racks for data transfer. Assume we need transfer data from rack A to rack B.

## Hadoop cluster Bandwidth Routing algorithm

## Definition:

```
rackA.switch = the edge switch linked with rack A
     rackB.switch = the edge switch linked with rack B
     linkA = link between rackA and rackA.switch
     linkB = link between rackB and rackB.switch
     linkC = link between rackA .switch and rackB.switch
     The nodes of the fat tree from top to bottom and from left to right are N_0, N_1, N_2..., N_0 is the root
     node.
The path from root to rack A are:
(N_0, N_{i_1}, N_{i_2}...N_{i_m})
The path from root to rack B show in an array:
(N_0, N_{j_1}, N_{j_2}...N_{j_n})
Input: rackA, rackB
     If (rackA.switch != rackB.switch)
         If (bandwidth_{linkC} < min(bandwidth_{linkA}, bandwidth_{linkB}))
         {
               For k from 1 to min(m, n)
```

{Find last k that:  $N_{i_k} == N_{j_k}$ ;}

So  $N_{i_k}$  is highest height ancestor node of rack A and rack B.

For t from k to  $\max(m,n)$ {

Add each switch in  $N_{i_t}$  or  $N_{j_t}$  but not in the default path to the path between rack A and rack B

Renew bandwidth $_{linkC}$ ;

if (bandwidth $_{linkC} \geq \min(\text{bandwidth}_{linkA}, \text{bandwidth}_{linkB})$ )

The bandwidth utilization and availability may change during the data transfer, so the algorithm is re-run to get the most recent bandwidth information of the network.

## 4.5 Simulation

We simulated data transfer between two racks with OpenFlow switches installed. We simulated this procedure in a Hadoop cluster and made a comparison with using our proposed algorithm or not. The network simulator "NS-2" [29] was used for simulation.

## 4.5.1 The simulator NS-2

break:

}

NS-2 [29] is based on two languages: C++ and OTcl. C++ is used to write an object oriented simulator, and users write command scripts by OTcl. NS-2 has a lot of protocol libraries.

In NS-2, the network topology is first defined. The protocols and application for our simulation are then specified in the OTcl script. The OTcl enables utilization of the objects to be compiled in the C++ hierarchy by an OTcl linkage that generates a correspondence of OTcl object.

NS-2 simulates the discrete event and uses virtual time to set the timestamp of events in order to record the activities in the network. Each event is an object in the C++ hierarchy that has a unique ID.

# 4.5.2 Simulation design

We simulated the data transfer between two racks in a Hadoop cluster with several racks. The Dynamic Workload Balancing Algorithm determines which two racks need to be rebalanced. The topology of these two racks is known. Assume these two racks don't link with the same edge switch, which means we try to find some available bandwidth on another path to add by changing the topology of the Hadoop cluster. The topology is shown in Figure 4.3. We set 4 nodes in the NS-2 simulator, and three TCP links between these 4 nodes as shown in Table III.

TABLE III. Simulated racks, switches and links

| NS-2   | Hadoop                             |  |  |
|--------|------------------------------------|--|--|
| Node 1 | Rack A                             |  |  |
| Node 2 | Switch 1                           |  |  |
| Node 3 | Switch 2                           |  |  |
| Node 4 | Rack B                             |  |  |
| Link A | Link between rack A and switch 1   |  |  |
| Link B | Link between switch 1 and switch 2 |  |  |
| Link C | Link between rack B and switch 2   |  |  |

In our simulation, we use the switches with a gigabit bandwidth on each port. Random numbers in the range 0.1 to 1 gigabit for the bandwidth of the three links in different periods of time are generated. The period of time is random. The time and corresponding bandwidth are shown in Table IV.

TABLE IV. Bandwidth of each link on each period of time

| Time | Bandwidth of | Bandwidth of | Bandwidth of |  |
|------|--------------|--------------|--------------|--|
|      | link A       | link C       | link B       |  |
|      |              |              |              |  |
| 16s  | 0.7Gb        | 0.5Gb        | 0.5Gb        |  |
|      |              |              |              |  |
| 19s  | 0.9 Gb       | 0.4 Gb       | 0.8Gb        |  |
| 175  | 0.5 G0       | 000          | 0.000        |  |
| 12s  | 0.9 Gb       | 0.1 Gb       | 0.5 Gb       |  |
| 128  | 0.9 G0       | 0.1 G0       | 0.5 G0       |  |
|      |              |              |              |  |
| 6s   | 0.7 Gb       | 0.2 Gb       | 0.6 Gb       |  |
|      |              |              |              |  |
| 15s  | 0.7 Gb       | 0.3 Gb       | 0.6 Gb       |  |
| 133  | 0.7 G0       | 0.5 00       | 0.0 00       |  |
| 11s  | 0.9 Gb       | 0.3 Gb       | 0.8 Gb       |  |
| 118  | 0.9 Gb       | 0.3 G0       | 0.8 G0       |  |
|      |              |              |              |  |
| 12s  | 0.7 Gb       | 0.2 Gb       | 0.6 Gb       |  |
|      |              |              |              |  |
| 13s  | 0.5 Gb       | 0.3 Gb       | 0.6 Gb       |  |
| 133  | 0.5 G6       | 0.5 G0       | 0.0 00       |  |
| 1.0  | 0.0.01       | 0.5.01       | 0.7.01       |  |
| 16s  | 0.8 Gb       | 0.5 Gb       | 0.7 Gb       |  |
|      |              |              |              |  |
| 7s   | 0.9 Gb       | 0.1 Gb       | 0.9 Gb       |  |
|      |              |              |              |  |
| 13s  | 0.6 Gb       | 0.3 Gb       | 0.8 Gb       |  |
| 133  | 0.0 00       | 0.5 Gb       | 0.0 00       |  |
| 0    | 0.0.01       | 0.0.01       | 0.6.01       |  |
| 8s   | 0.9 Gb       | 0.2 Gb       | 0.6 Gb       |  |
|      |              |              |              |  |
| 13s  | 0.8 Gb       | 0.3 Gb       | 0.9 Gb       |  |
|      |              |              |              |  |
| 12s  | 0.7 Gb       | 0.3 Gb       | 0.9 Gb       |  |
| 123  | 0.7 50       | 0.5 00       | 0.7 00       |  |
| 12   | 0.6.01       | 0.5.01       | 0.0.01       |  |
| 13s  | 0.6 Gb       | 0.5 Gb       | 0.8 Gb       |  |
|      |              |              |              |  |

The simulation used 105 tasks. If each block in Hadoop is 64 MB and one task uses one block of data, the total data is 6720 MB.

After changing the topology of the Hadoop cluster, that is, new paths are added so that the bandwidth is increased. The bottleneck link's bandwidth increases to the upper limit. For our example, after using our proposed algorithm, the bandwidth of link C is increased to the lesser bandwidth of link A and link B. We compared these two data transferring procedures to show the improvement made by employing our proposed algorithm.

## 4.5.3 Simulation results

In the SDN simulation, each period of time has the same bandwidth utilization and availability. We check the trace file to see the number of packets that are sent from node 1 and received by node 4 (see Table III). This transfer uses the FTP protocol and each packet contains 1000 bytes of data. By counting the number of received packets, the quantity of data that has been sent during every period can be determined. We compare the number of packets received by the node 4 during each period of time as shown as Figure 4.4. We show the data transferring time used by the Native Hadoop cluster and Hadoop cluster installed with OpenFlow switch in Figure 4.5.

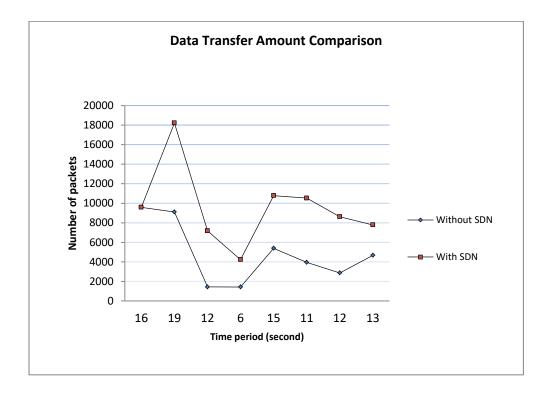


Figure 4.4: Data transferring quantity comparison



Figure 4.5: Data transfer time comparison

Our simulation compares transferring data quantity between Hadoop cluster without and with OpenFlow switches. The results show that most of the time, the quantity of data transferred from one rack to another increases. The comparison of total data transfer time shows that the OpenFlow switch installed Hadoop cluster saves about 50% time by applying our proposed Hadoop Bandwidth Routing algorithm.

In the Hadoop cluster simulation, we implemented a word counting job to run on a small Hadoop cluster. From this we get the log files for Rumen. Rumen then generates the topology file and a json file which is directly input to Mumak. Then we simulated this job running on a Hadoop cluster with three racks. In this section, we explain the performance improvement of Hadoop MapReduce with our proposed Dynamic Racks Workload Balancing algorithm by comparing the time to finish these tasks.

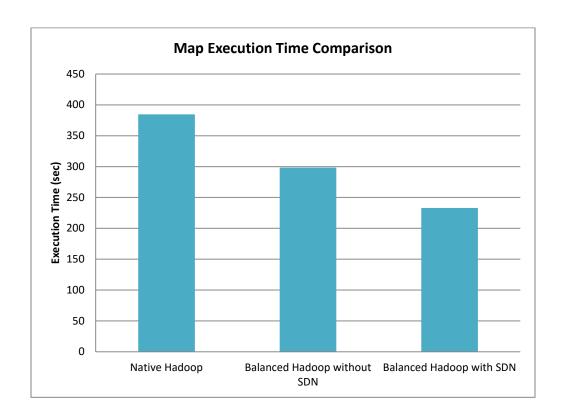


Figure 4.6: Map tasks execution time comparison

Our simulation compares the execution time of Native Hadoop and our proposed balanced Hadoop without and with OpenFlow switches. The results in figure 4.6 show that using our algorithm reduces the execution time by about 23% without using OpenFlow switches and about 40% with installed OpenFlow Switches. The execution time of map tasks will be reduced by more than 50% because the rack accepting the tasks that have been moved has better performance than the original one. Using both of them to do these tasks more than doubles the efficiency and thereby reduces the execution time by more than 50% if the bandwidth between the two racks is large enough.

## 4.6 Conclusions

Because Hadoop functions in a heterogeneous environment which means for different racks, performance does not always match workload. This will lead to some tasks of jobs running on

poorly performing racks that take a long time to finish. This degrades the entire performance of Hadoop. The algorithm proposed in this chapter addresses this problem by moving the tasks from the busiest rack to a less busy one so that we can reduce by about half the remaining time to execute the tasks. The transfer time can be reduced by using Software Defined Network with installed OpenFlow switches on Hadoop cluster. The results of our simulation show that the finished time of these tasks decrease substantially by adopting our algorithm compared with the original Hadoop MapReduce.

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#### CHAPTER V

## HADOOP SCHEDULER ALGORITHM

## 5.1 Introduction

Hadoop 2.X consists of three layers namely the storage, compute and application layers. The

storage layer is HDFS and compute layer is the cluster resource management system called Apache YARN [113]. YARN supports the application layer including MapReduce, Spark, Tez and so on. YARN has three schedulers: the FIFO, Fair and Capacity Schedulers [9]. The FIFO scheduler executes the jobs in the queue according to the order of their submission. However, this scheduler will not be efficient when the cluster is shared by multiple users. The Fair Scheduler tries to allocate the same share of resources to all running jobs. The Capacity Scheduler allows users to submit jobs with a priority. The jobs with different priority will enter different job queues. These queues are configured to use a percentage of the clusters resources based on their priority. These three schedulers are incapable of ensuring that all the jobs will be executed within a given deadline. This is because these schedulers cannot estimate how much resources should be allocated to a job to make it to finish within its deadline. Even though there are sufficient resources in the Hadoop cluster, which is shared by many users to facilitate the job to complete within the given deadline, there are a number of factors which might cause them to miss their

deadline. Some of these factors are the order of execution of jobs, proportion of resources allocated to a job, etc.

Some researchers have tried to address this problem. A Constraint-Based Hadoop Scheduler is proposed in [31], [32]. The deadline of a job is passed as an input to a job execution cost model. However, this method is not practical or realistic because in a heterogeneous cluster, the tasks of one job will require different times to finish if they execute on different data nodes. A resource and deadline-aware Hadoop job scheduler including a fuzzy performance model, a resource predictor and a scheduling optimizer is proposed in [33]. Nevertheless, in their method, they only consider the number of finished tasks in each interval to determine whether the progress is enough to guarantee the deadline to be met. However, the progress obtained by this method is not accurate, because current finished tasks do not accurately reflect the current resource allocation In this chapter we propose a deadline constraint scheduler for Hadoop YARN. This scheduler first obtains the capability of the datanodes in the cluster by analyzing the job execution times recorded in the history log. Furthermore, the scheduler monitors job execution by setting multiple checkpoints to check the percentage of tasks that are being initialized and their progress. Then, based on the progress of the job at every checkpoint, the scheduler changes the resource allocation to the job to ensure that the job can be finished within its deadline. Hence unlike previous approaches, we propose a dynamic resource allocation scheme that allocates resources based on the deadline. The proposed scheduler consists of several queues with different priorities and assigns the jobs to one of these queues based on its progress at every checkpoint.

We use a local Hadoop cluster and Amazon EMR to implement the proposed scheduler. We also conduct several experiments to test the efficiency of the proposed scheduler by comparing it with the Fair scheduler and Earliest Deadline First scheduler. The results show that the proposed scheduler can avoid missing deadlines by allocating enough resources to the jobs based on their need, which changes dynamically.

## 5.2 Hadoop Scheduler

## 5.2.1 FIFO scheduler

The FIFO scheduler is used by the Resource Manager and puts jobs into a single queue. It selects the job from the head of the queue to execute in the cluster.

## 5.2.2 Fair scheduler

The Fair scheduler is developed by Facebook [34]. In the fair scheduler, each user will get a fair share of the resources in a Hadoop cluster. A set of pools is generated for all the users and each pool will contain its own set of resources. By default, users have their own pool with the same resources no matter how many jobs they submit. The fair scheduler has a preemption function, which allows the scheduler to preempt any resource from other tasks that uses more than its fair share of resources and assign it to a pool that has not received its fair share.

# 5.2.3 Capacity scheduler

The Capacity scheduler is developed by Yahoo [34]. The Capacity scheduler uses several queues to schedule jobs submitted by several users instead of resource pools as in the fair scheduler. These queues are allocated a proportion of the resources of the Hadoop cluster and can use only the resources allocated to them. Each queue has an allocated capacity and several queues share resources like the fair scheduler. If a queue is empty, its resources can be used by other queues. Inside each queue, jobs are scheduled on a FIFO basis. Jobs can have different priorities in a queue and the higher priority job gets resources earlier. The high priority job can preempt resources from a low priority job.

#### 5.3 Motivation

The users of Hadoop MapReduce or YARN may have a deadline requirement for their jobs. To guarantee these jobs can be finished within a specific deadline is not possible in current Hadoop, because the current scheduler of Hadoop does not ensure each job can meet its deadline even if it has a high priority. The difficult problem is how to allocate resources to a job with a deadline. To address this problem, we should know resource availability in the Hadoop cluster and how much resources are needed by the job to complete before the deadline.

Approaches to estimate the completion time of a job and allocate resources based on that estimation in a MapReduce Scheduler have been proposed by some researchers. Several methods have been used to estimate the completion time of the jobs running on Hadoop. The completion time is estimated by measuring the cost of processing a unit data in a map or reduce task and the quantity of data in [31] [32]. However, the method is not feasible because in a heterogeneous cluster since we cannot get the same cost of unit data for the different nodes. Some algorithms [33] [35] presented a better estimation; but before a job finishes, any method to estimate the completion time cannot be accurate which means deadline of a job may be missed even if the estimated completion time is before the deadline.

The method of estimating job execution progress proposed in [33] is more feasible. However, in their method, they only consider the number of finished tasks in each interval to determine if the progress is slow or fast and whether the deadline will be missed or not. Their estimation has a shortcoming because the number of finished tasks cannot show the current resource allocation. To address the deadline requirements of jobs, we propose a scheduler that both estimates datanode capability and monitors job execution.

## 5.4 Datanode Capability Estimation

The capability of a datanode in Hadoop is determined by different kinds of hardware such as CPU, Memory and IO device. Estimating the capability of datanodes by limiting to a certain kind of hardware may not yield accurate results.

The method we use to estimate the capability of datanodes is by analyzing the log file of Hadoop. From the log file, the time used by each datanode to run every task in history can be obtained.

Assume that, m number of jobs have run on the Hadoop cluster and there are n number of datanodes on the cluster. We assume that all the tasks in a job have approximately equal workloads. This can be represented as a time matrix..

$$\begin{pmatrix} a_{11} & \cdots & a_{1m} \\ \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nm} \end{pmatrix}$$

In this matrix, the element  $a_{ij}$  ( $1 \le i \le n$ ,  $1 \le j \le m$ ) refers to the time used by the  $i^{th}$  datanode to run a task of the  $j^{th}$  job. If the  $i^{th}$  datanode executes more than one task of the  $j^{th}$  job, we use the average of these running times. If the  $i^{th}$  datanode does not run any tasks of the  $j^{th}$  job, set 0 to  $a_{ij}$ .

The next step is to derive a ratio matrix from the time matrix above:

$$\begin{pmatrix} b_{11} & \cdots & b_{1m} \\ \vdots & \ddots & \vdots \\ b_{n1} & \cdots & b_{nm} \end{pmatrix}$$

In this matrix, the element  $b_{ij} = \frac{a_{ij}}{\min(\{a_{xj} \mid 1 \le x \le n \&\& a_{xj} \ne 0\})}$ , shows the ratio of

the running time used by each datanode to run a task of the same job to the shortest time used for this job.

Based on the second matrix, we can get the estimation of the relative capability of the i<sup>th</sup> datanode by the following formula:

$$C_{i} = \frac{\sum_{x \text{ from 1 to } m \&\& b_{ix} \neq 0} b_{ix}}{\sum_{x \text{ from 1 to } m \&\& b_{ix} \neq 0} 1}$$

 $C_i$  is the average of the non-zero elements in the i row of the second matrix Therefore, we get a table of relative capabilities of a datanode.

TABLE V. Relative capability of datanode

| Node Number         | 1     | 2                     | <br>n     |
|---------------------|-------|-----------------------|-----------|
| Relative capability | $C_1$ | <i>C</i> <sub>2</sub> | <br>$C_n$ |

## 5.5 Monitoring Job Execution

A feasible and reliable method to make sure a job will complete before the deadline is monitoring the execution progress of the jobs [33]. In the log of the Resource Manager, the number of finished tasks and the number of initialized tasks are recorded, and we can use these numbers to monitor job execution progress.

# 5.5.1 Task running time estimation

Firstly, let the job run in na we Hadoop until about 10 percent of tasks are finished. Based on the finished tasks recorded in the log, the time cost of each completed task is obtained. Assume that  $T_i$  is the time cost of a completed task running on the  $i^{th}$  datanode; if there is more than one task running on the same datanode, take the average time of all of them. Next for every node i, determine the ratio of  $T_i$  to  $C_i$ . We want to use a metric to represent the time used to run a task of this job by the datanode whose relative capacity is 1. To get this value, we first calculate the expectation E and standard deviation S of the dataset of  $T_i/C_i$  obtained above. According to

Chebyshev's theorem [38], at least 94% data is less than E + 3S. From the dataset that contains the values of  $T_i/C_i$  we get the maximum value M, the expectation E and standard deviation S. We select min(E + 3S, M) as the upper limit of the time used by the datanode with relative capability 1 to finish a task. The reason for selecting the smaller number of the two is to avoid using a very large  $T_i/C_i$  value that would be an outlier [38].

Because the tasks in Hadoop are most likely data-local type, we estimate the time cost of running a task based on the datanodes that have the data of the job. We can know which datanodes have the data for this job from the log of the Resource Manager. In our approach, we derive the relative capability of these datanodes. Next, we select the smaller number of maximum and E+3S of relative capability of those datanodes as the upper limit of relative capability.

The upper limit of the estimated running time of a task is obtained by multiplying the upper limit of the time used by the datanode whose relative capability is 1 and the upper limit of relative capability.  $T_{upperLimit}$  refers to the upper limit of estimated time cost of processing a task.

## 5.5.2 Set checkpoint

The next step is to set checkpoints to check the task execution progress. In the above section, we estimated the time cost to finish a task, so the checkpoint set should be used to check the number of initialized tasks. the number of initialized tasks belonged to a job can be obtained from log of the Resource Manager. the last checkpoint is set at the time  $T_{deadline} - T_{upperLimit}$ , where  $T_{deadline}$  refers to the deadline of that job. The  $N_{th}$  checkpoint is determined to be  $N * T_{upperLimit}$  before the deadline. If the newest checkpoint for a task is already equal to or before the current time, abandon this checkpoint and stop setting new checkpoints.

## 5.5.3 Monitor job execution progress

After setting the checkpoint, check the number of initialized tasks belonged to that job at each checkpoint. If p% tasks of that job haven't been initialized, and there are  $N_{cp}$  number of checkpoints before the deadline, then on the  $j^{th}$  checkpoint,  $\left(1-p+j\times\frac{p}{N_{cp}}\right)\%$  tasks should be initialized. If the percentage of initialized tasks is less than the above number at a checkpoint, it implies the progress of the job is so slow that the deadline may be missed.

## 5.6 Deadline constraint scheduler for Hadoop

# 5.6.1 Single job scheduling

For a certain job running in Hadoop, the containers on the entire datanode can be classified into three kinds. The containers can be: 1) on the datanodes with input split, 2) not on the datanodes with input split, but on the rack where the input split on the same rack, 3) on a rack with no input split. For the first kind of container, when it becomes free, it can process 1.1) a new task of this job, or 1.2) a new task of other jobs. For the second kind of container, when it becomes free, it can process 2.1) a data-local task whose input split is at this datanode, 2.2) a new task of this job as a rack-local task whose input split is at the same rack but not at the same datanode, 2.3) a new task of another job as a rack-local task. For the third kind of container, when it becomes free, it can process 3.1) a data-local task, 3.2) a rack-local task, 3.3) an off-rack task of this job, or 3.4) off-rack task of other jobs.

Tasks of a job running as data-local can save the cost of transferring data. Usually data transferring between the datanodes on the same rack is faster than transferring between different racks, that is, the cost of transferring data for rack-local task is less than off-rack task. By considering the transferring cost of task input splits, we classify the containers at different levels for a certain job. Level 1 contains containers on the datanode that hold data for this job;

containers on the datanode that do not hold data for this job, but the same rack holds the data for this job, that is, it is a rack-local task. Level 2 contains containers on the datanode that do not hold data for this job but the same rack holds data for this job and it is going to process a data-local task. Level 2 also contains containers at the other racks without data of this job while it is going to process an off-rack task. Level 3 contains the containers in the rack that do not hold data for this job which is to be executed as a rack-local task. We will describe how to assign different level containers to the job to be scheduled.

When monitoring the job execution progress, if the progress is slow, that is, the percentage of initialized tasks is less than the necessary number calculated by the algorithm, to avoid missing the job deadline, assign more containers to this job. In order to minimize the cost of data transfer and the interference on other jobs, assign different level containers to the job in different situations as the job progresses.

## Resource Allocation Algorithm based on Job Execution

Assume that for a certain job there are  $N_{cp}$  checkpoints before its deadline and the farthest checkpoint from deadline is the 1<sup>st</sup> checkpoint and the closest is  $N_{cp}$ . For the  $i^{th}$  ( $1 \le i \le N_{cp}$ ) checkpoint,  $p_i$ % tasks of this job should be initialized.

Begin:

For i from 1 to  $N_{cp}$ 

If current initialized task is less than  $p_i$ %

Assign any free containers in level 1 to this job;

If current initialized task is less than  $p_{i-1}$ %

Assign any free containers in level 2 to this job;

If current initialized task is less than  $p_{i-2}$ %

Assign any free containers in level 3 to this job;

If  $i = N_{cp}$ 

Assign any free containers to this job;

End;

# 5.6.2 Multiple jobs scheduling

Another problem is if execution progress of not only one but also multiple jobs became slower than the expectation. In this case, schedule multiple jobs and assign containers to them.

When the Resource Manager (RM) assigns a container to a task, it will first choose a job from the waiting queue and then select a task from it. This means different priorities should be assigned to the jobs that need to be scheduled with the deadline constraint, and based on the priority determine which job should be the first. So the method to schedule multiple jobs should be based on their progress.

Firstly, generate some queues for the jobs with different priorities and put the jobs into queues for scheduling. The jobs are given different priorities based on the different execution progress. The jobs that have reached their last checkpoint should be given highest priority, and put these jobs into a queue, Queue A. if the jobs haven't reach their last checkpoint, classify them by the level (1, 2 or 3) containers and put the jobs using the same level containers into the same queue, that is, if they use containers in level 1, level 2 and level 3, put the jobs into Queue B; if the jobs use containers only in level 1 and level 2, put them into Queue C; if the jobs use containers only in level 1, put them into Queue D. The order of these queues in decreasing priority (from highest to lowest) is as follows: Queue A, Queue B, Queue C, Queue D.

Secondly, for jobs in the same queue, set priorities to determine which job is at the head of the queue. The priority is based on execution progress, that is, the job which has the largest difference between its current progress and expected progress is put at the head of the queue. The queue is ordered on the difference between the current progress and expected progress of a job. Hence the last job in the queue will have the smallest difference.

## Deadline Constraint Scheduling Algorithm

Begin:

Put the jobs that have reached their last checkpoint into Queue A;

Put the jobs that use containers in Level 1, Level 2, Level 3 into Queue B;

Put the jobs that use containers in Level 1 and Level 2 into Queue C;

Put the jobs that only use containers in Level 1 into Queue D;

For Queue X from A to D

If (Queue X.empty()==false)

For each job in Queue X

Compute the difference between current progress and expected progress  $\Delta p$ ;

Return the job whose  $\Delta p$  is max;

End;

## **5.7 Experiments**

In this section the proposed scheduler is evaluated for its efficiency. The results are compared with existing Fair scheduler and EDF scheduling algorithm, because Fair scheduler is one of the native Hadoop YARN scheduler and EDF is a scheduler algorithm for jobs with deadlines.

## **5.7.1 Setup**

The experiment was implemented on Amazon EMR [114]. The cluster built in Amazon EMR [114] uses 10 m1.medium nodes with 1 name node and 9 datanodes with 1 CPU 3.75GB memory and 410GB HDD. Hadoop 2.7.3 is installed on the EMR cluster.

## 5.7.2 Design

In this experiment, we compare the proposed deadline constraint scheduler with the Fair Scheduler and Earliest Deadline First (EDF) Scheduler. In the Fair Scheduler, the root queue is set as the only queue so all the jobs should share the resource of the cluster fairly. The EDF

scheduler we use is non-preemptive which will select the job in the waiting queue with the shortest deadline to run. Because the EDF scheduler is non-preemptive, the job in the waiting queue will wait until the job that is running in the cluster completes.

Nine jobs were submitted to the Hadoop cluster. Jobs J1-J3 are word count jobs, J4-J6 are grep jobs and J7-J9 are terasort jobs. These jobs are submitted one by one. details about the jobs are listed in TABLE VI.

TABLE VI. Various Jobs Characteristics

| Category  | Label | Input Size | # Mapers | # Reducers | Deadline (miniute) |
|-----------|-------|------------|----------|------------|--------------------|
| Wordcount | Job 1 | 150GB      | 1200     | 17         | 500                |
| Wordcount | Job 2 | 100GB      | 800      | 16         | 450                |
| Wordcount | Job 3 | 50GB       | 400      | 16         | 350                |
| Grep      | Job 4 | 150GB      | 1200     | 16         | 200                |
| Grep      | Job 5 | 100GB      | 800      | 16         | 150                |
| Grep      | Job 6 | 50GB       | 400      | 16         | 100                |
| Terasort  | Job 7 | 120GB      | 900      | 16         | 300                |
| Terasort  | Job 8 | 100GB      | 756      | 16         | 50                 |
| Terasort  | Job 9 | 80GB       | 612      | 17         | 30                 |

## **5.7.3 Results**

We compared the performance of Fair scheduler, EDF scheduler and the proposed deadline constraint scheduler for these nine Jobs. We compared the completion time and deadline of all the jobs in each scheduler. We show the average completion time of these jobs in each scheduler as well as their deadlines. The y-axis of the four graphs below shows time in minutes.

In the experiment, we set the deadline of each job to be realistic so that all the jobs have the possibility to finish before their deadline provided they get enough resources based on their requirements. Although the nine jobs are submitted in the order from J1 to J9, they arrived at the

Hadoop cluster at almost the same time with just several seconds difference between their arrival times.

In Figures 5.1 and 5.2, the blue bar shows the deadline, the red bar and the blue bar together show the total execution time. Therefore the red bar shows by how much beyond the deadline it took to execute the job. If there is no red bar, this means the job has completed execution before the deadline. In Fig 5.3, the job execution time is represented by the dark blue bar and the deadline is the dark blue bar plus the light blue bar. Hence the execution time is less than the deadline in our proposed scheme.

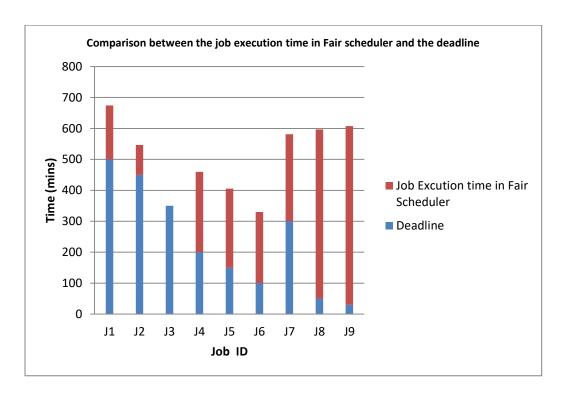


Figure 5.1: Job execution time in Fair scheduler and the deadline

Comparing the nine jobs execution time with their deadline under the Fair Scheduler is shown in Figure 5.1. These jobs started at almost the same time in the cluster and they should share the resources of the cluster fairly in theory. In the experiment, the Fair Scheduling resulted in eight out of nine jobs missing their deadline. The graph shows that only job J3 completed before its deadline.

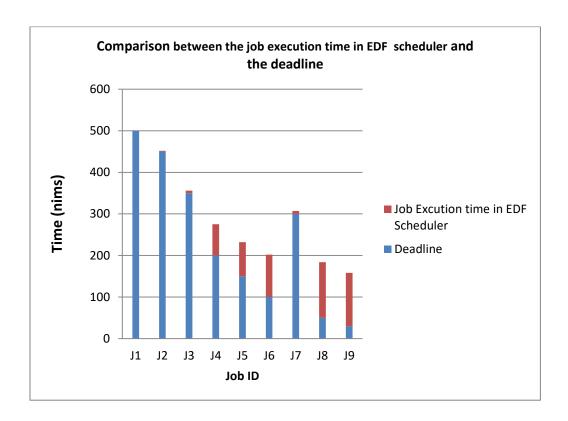


Figure 5.2: Job execution time in EDF scheduler and the deadline

Comparing the nine jobs execution time with their deadline under the Earliest Deadline First Scheduler is shown in Figure 5.2. The jobs in the waiting queue of this scheduler will execute in the order of the earliest deadline and the job at the head of the waiting queue will be selected to run in the cluster after the last running job has completed execution. Because Job J1 comes first, it is initialized and executes firstly. The other jobs come just several seconds after J1 and wait at the queue in order of their deadline. The results show that J1 completed before its deadline, but the other jobs missed their deadlines. J2 almost made the deadline, but it did not.

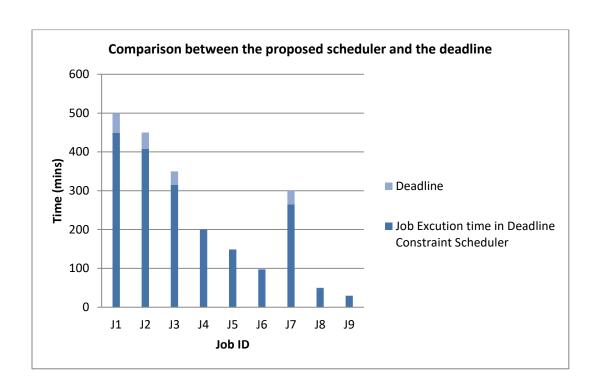


Figure 5.3: Comparison between proposed scheduler and deadline

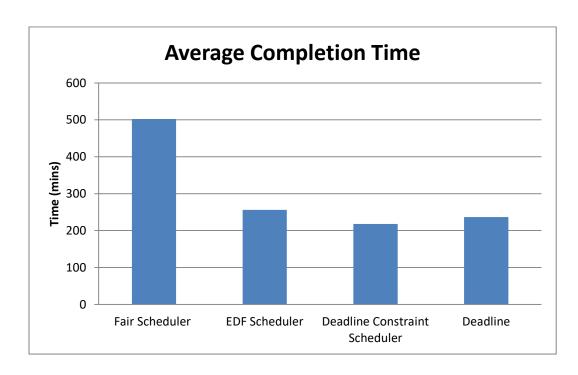


Figure 5.4: Comparison of the average running times of MR jobs using various schedulers

Comparing the nine jobs execution time with their deadline under the proposed Deadline Constraint Scheduler is shown in Figure 5.3. In the experiment, each job is allocated enough resource dynamically at different times based on their need, so no job missed the deadline. J4, J5, J6, J8 and J9 just meet their deadline.

Figure 5.4, shows that the average completion time in the proposed scheduler is shorter compared to the Fair scheduler and EDF scheduler. The result of the experiments showed that most of the jobs missed their deadline under Fair scheduling and EDF scheduling, but the deadline of the same jobs running in the same cluster under the proposed scheduler was met. The EDF scheduler was better than the Fair scheduler.

#### 5.8 Conclusions

In the current version of Hadoop, the schedulers cannot consider the deadline of the jobs, and hence the native Hadoop scheduler cannot ensure that jobs will meet their deadlines. The deadline constraint scheduler proposed in this chapter addresses this problem. The proposed scheduler sets a checkpoint for each job based on the initialized tasks of the job to monitor the progress of job execution, and classifies the resources at different levels. Based on the progress of job execution, the scheduler put jobs in different queues that have different priority to use the resource. We used a local cluster and Amazon EMR to experiment and the results show that with the proposed scheduler all the jobs in the cluster meet their deadline if sufficient resource are available, while the same jobs in the same cluster using Fair Scheduler and EDF Scheduler miss most of their deadlines. Moreover, the average jobs execution time is the least in the proposed scheduler when compared with Fair Scheduler and EDF Scheduler. Future work will look at determining the lower bounds on resource availability to meet the deadlines.

## **CHAPTER VI**

## CONCLUSIONS AND FUTURE WORK

#### 6.1 Main motivation of this work.

Hadoop is originally designed for a homogeneous cluster, however, in practice, Hadoop is always working in a heterogeneous environment because of node failure and nodes upgrading. Hadoop uses a distributed file system to store data. When Hadoop allocates disk space to store data, the disk utilization rather than the processing capability of datanodes is considered. The tasks of jobs running on Hadoop are assigned corresponding to data locality, that is, tasks are assigned to nodes closest to its input data. Therefore nodes or racks consisting of multiple nodes which have low capabilities may be assigned heavy tasks and nodes or racks with high capabilities may be assigned light tasks. This problem is workload imbalance and it severely impacts the performance of Hadoop. Currently, there doesn't exist a method in Hadoop to address the workload imbalance issue.

Native Hadoop schedulers are not able to guarantee users' jobs can finish before their deadline because the FIFO Scheduler, Fair Scheduler and Capacity Scheduler ignore the jobs' deadline. FIFO scheduler server the job that comes first and the Fair Scheduler allocates jobs resources fairly. Although the Capacity Scheduler assigns jobs different priorities, the jobs with the highest priorities cannot be guaranteed to be allocated enough resources to meet their deadlines. Another

problem is the priorities of jobs are assigned before the jobs start running, based on users estimated jobs' deadline. However it is difficult before a job starts to estimate its deadline or completion time. There is no method in current Hadoop schedulers to monitor the progress of the jobs. If job progress can be monitored, the completion time or required deadline can be more accurately determined.

#### **6.2 Contributions**

## 6.2.1 Dynamic workload balancing for Hadoop MapReduce

While most current research focuses on solving the workload balancing problem at the node level, in our work, we look at a workload balancing solution at the rack level. The advantage is because balancing the workload between the racks can reduce the running time of many tasks, which is much more efficient than reducing the running time of just one to two tasks on the single node. We proposed two dynamic workload balancing algorithms for Hadoop MapReduce in the heterogeneous environment to solve the workload imbalance at the rack level, since for different racks, performance does not always match workload and this will degrade the entire performance of Hadoop. The first proposed algorithm addresses this problem by moving the task from the busiest rack to a less busy rack in order to reduce the execution time of jobs running on the busiest rack and thereby achieve load balancing. The second proposed algorithm addresses this problem by moving the task from the most overload racks to multiple other lighter loaded racks to make all the tasks of a job on different racks to finish at the same time.

# 6.2.2 Software Defined Networking in Hadoop for workload balancing

Because the dynamic workload balancing algorithm requires the transfer of data between racks, for the job processing big data, the cost of transferring is significant. Reducing this cost will improve the efficiency of the dynamic workload balancing algorithm. Software Defined Networking can change the topology of the network by program automatically. We employ some OpenFlow switches which is one kind of implementation of Software Defined Networking in a Hadoop cluster to construct a fat tree topology. Moreover, we proposed an algorithm to select the paths to change the topology using the OpenFlow switch. When the workload balancing algorithm needs to transfer data between racks, that algorithm will be called to change the network topology in order to increase the bandwidth between racks and decrease the transfer cost.

## 6.2.3 Deadline constraint scheduler for Hadoop

Users may have a deadline requirement for their jobs. However, native Hadoop cannot satisfy these deadlines because the schedulers in Hadoop do not schedule jobs based on their deadlines. To address this problem, we proposed a deadline constraint scheduler for Hadoop. The algorithm gets the tasks running time on each node from the log files and analyzes them to get the capability of nodes. For the jobs running on the Hadoop cluster, the proposed algorithm use the first few percentage of tasks in conjunction with the capability of nodes to estimate the tasks execution time upper limit. We use the tasks execution time upper limit to monitor the job execution progress and allocate resources based on the progress. In the scheduler, we proposed two algorithms for allocating resources to a single job and for scheduling multiple jobs.

#### 6.3 Validation

For the two dynamic workload balancing algorithms, we used two different simulators. In the simulation of the dynamic workload balancing algorithm, we used Apache Mumak [13] and Rumen [14] to simulate a Hadoop cluster including three racks with different processing capabilities. A job consisting of 105 tasks ran on this cluster. During the runtime, workload imbalance occurred and we compared different situations when these tasks run on native Hadoop and Hadoop with our algorithm. In the simulation for the improved dynamic racks workload balancing algorithm, we used "MRSim" to simulate a Hadoop cluster with five racks and each rack contains five nodes. The five racks had different capabilities. We simulated a job with 157 tasks and 10 GB data running on all these racks and compared different situations when these tasks run on native Hadoop and Hadoop with our algorithm.

For using SDN in Hadoop, we simulated data transfer between two racks with OpenFlow switches installed by using the network simulator NS-2 [29]. In our simulation, we used switches with a gigabit bandwidth on each port. Random numbers in the range 0.1 to 1 gigabit for the bandwidth of the three links in different periods of time were generated. The period of time is random. The simulation used 105 tasks. If each block in Hadoop is 64 MB and one task uses one block of data, the total data is 6720 MB. We compared these two data transferring procedures to show the improvement made by employing our proposed algorithm.

For the deadline-constraint scheduler for Hadoop, we implemented our algorithm in Amazon EMR [114]. In this experiment we compared the proposed deadline constraint scheduler with the Fair Scheduler and Earliest Deadline First (EDF) Scheduler. Nine jobs were submitted to the

Hadoop cluster in EMR. We compared the performance of Fair scheduler, EDF scheduler and the proposed deadline constraint scheduler for these nine Jobs.

#### 6.4 Results

Our simulated results of dynamic workload balancing algorithm, which compare the execution time of Native Hadoop and our proposed balanced Hadoop, show that using our algorithm reduces the execution time by about 66%. Our simulated results of the improved workload balancing algorithm show that using our algorithm reduces execution time by about 55%. The execution time will be reduced by more than 50% because the rack accepting the tasks that have been moved has better performance than the original one. Using multiple racks to run these tasks more than doubles the efficiency and thereby reduce the execution time by more than 50%.

The simulation of using OpenFlow switches in Hadoop cluster compared the quantity of data transferred from one rack to another. In most of the time periods, the quantity of data transferred from one rack to another increases if OpenFlow Switches are employed. The result shows that the OpenFlow switch installed Hadoop cluster saves about 50% time by applying our proposed Hadoop Bandwidth Routing algorithm.

For the experiment for deadline constraint scheduler, we compared the Fair Scheduler and EDF Scheduler with it. In the same Hadoop cluster on EMR, we run the same jobs. Though for these nine jobs, the cluster has enough resource to make all of them meet their deadline, but most of jobs miss their deadline under the Fair Scheduler and EDF Scheduler, while all of them meet the deadline under our proposed scheduler. The experiment shows that the average completion time in the proposed scheduler is shorter compared to the Fair scheduler and EDF scheduler.

## 6.5 Main conclusions

In a Hadoop cluster, the workload of racks does not always match the capability of racks. Tasks of the jobs running on busy or overloaded racks will take longer time to finish than on other racks. This degrades the entire performance of Hadoop. The two proposed dynamic workload balancing algorithms in Chapter 3 address this problem at the rack level by moving tasks from the most overload racks to other racks in order to balance the workload between racks. Simulation results show that by employing our proposed algorithms, for every job that causes workload imbalance on different racks, the remaining time can be reduced by more than half in the map phase.

Our proposed workload balancing algorithms need to transfer data between racks and since Hadoop is a platform to process big data, the cost of transfer can be very large. We use Software Defined Networking with installed OpenFlow switches on a Hadoop cluster. With OpenFlow switches, we can increase the bandwidth by changing the network topology in a Hadoop cluster.

In native Hadoop, there is no scheduler that uses the deadline of jobs as a criterion to schedule jobs execution order. Therefore jobs submitted to Hadoop cannot be guaranteed to meet their execution deadline. Our proposed deadline constraint scheduler addresses this problem by monitoring the progress of jobs and allocates resources to job dynamically based on the progress. From experimental results that compared our proposed scheduler, the Fair scheduler and EDF scheduler, the proposed deadline constraint scheduler can allocate the needed resources to jobs because the scheduler can accurately track the progress of jobs while the other schedulers do not have this function. Hence, if sufficient resources are available, our proposed scheduler can ensure jobs meet their deadline.

## 6.6 Future work

Our proposed workload balancing algorithms has not been implemented on a real Hadoop cluster. It is important to validate our approach in a real cluster. The implementation of the algorithms will have several parts:

- 1) log analyzer
- 2) workload monitor
- 3) tasks assignment.

The log analyzer has the function of scanning the log files of the Hadoop cluster. The information recorded in the log file includes the jobs execution time in history, the data location of each job and current state of jobs' tasks. By analyzing this information, we can get the node processing capability and busy level and the data local task and rack local task probability.

The workload monitor checks if workload imbalance occurs or not. It uses information from the log analyzer model such as data location and the data local task and rack local task probability and current tasks execution state to check if the finish time of map tasks of each job on different racks have a significate difference.

The tasks assignment module will assign tasks based on information received from the workload monitor that reflects whether workload is balanced or not. It also uses the data location information from the log analyzer to assign the tasks to the underloaded racks with data.

Another future work related to the workload balancing algorithm is scaling the algorithm to a large system. Currently the proposed algorithms move the data from one rack to one or more racks where the rack we consider has some data for this job. However, in a very big cluster where

the data of a job may be located on some of the racks only, the algorithm only selects some underloaded racks that have the data for the job and ignores the other racks which do not have data for the job. Future work will involve modifications to our proposed algorithms to consider some racks which do not have data of a job. The standard of selection should be based on the workload of racks and the distance between overloaded racks because we need to compare the current tasks running time and running time on other racks and the transfer cost.

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# **VITA**

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