# A STUDY OF MANAGEMENT CONGRUENCE AS RELATED TO DISTINCTIVENESS IN SMALL PRIVATE LIBERAL 

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Thesis Approved:


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## CHAPTER I

## INTRODUCTION

## Background

The history of American higher education has been one of avoiding, whenever possible, dangerous concentrations of power and one of fostering equality of educational opportunity and academic freedom. Higher education has been successful, to a large extent, due to the multiplicity of institutional types and academic programs available in higher education today.

When this chapter was written (1978), there were approximately 691 independent liberal arts colleges in the United States enrolling between 400 and 2,000 students. The literature in higher education clearly suggests that the small private liberal arts colleges are worth preserving. They ensure a critical balance to public institutions, preserve church-related and liberal arts traditions, give students more choices, and preserve the small collegial institution concept.

A study sponsored by the American Association of Colleges and conducted by Howard R. Bowen and John Minter provided the most recent data relative to the financial and educational trends in private higher education. In general, their findings revealed that private institutions "are not slipping badly, either financially or academically, and are planning for the future with determination and cautious confidence." ${ }^{1}$

However, the study pointed out that even though the often predicted disaster for the private colleges had not occurred, the future is far from secure. Most of the pessimism in this study focused among the Liberal Arts Type II Colleges as defined in the Carnegie Commission Report, A Classification of Institutions of Higher Education. ${ }^{2}$

Burton Clark, in his study on higher education, indicated that private institutions to survive and succeed must seek a distinctive character. ${ }^{3}$ One major factor important to distinctiveness appeared to be leadership and management. One criterion for evaluation of developing institutions as defined in Title III of the 1965 Higher Education Act was the area of leadership dynamism and efficiency. The rating system employed for this program seemed to suggest that institutions with dynamic, forceful, and efficient leadership were far more likely to move toward development than those with weaker leadership.

There is a considerable and expanding body of literature relative to leadership and management systems and styles for organizations, including institutions of higher. education. This apparent demand for new and improved concepts of leadership and management appears to be a major national concern not limited to one particular social or economic institution. Pattillo and MacKensie, in a Danforth Commission sponsored report, Eight Hundred Colleges Face the Future, made the following comment:

The role of the president of a private college is, of course, crucial. Without an able educator as its chief executive officer, an institution is seriously handicapped in creating or maintaining a quality program. It is normally the president who must provide vision and perspective. ${ }^{4}$

The Carnegie Commission Report, Governance of Higher Education: Six Priority Problems, reaffirmed that the Board of Trustees is the legal
entity responsible for the overall mission and governnance of the institution. ${ }^{5}$

Cohen and March point out, in the Carnegie sponsored research, Leadership and Ambiguity: The American College President, that the mission, demographic, and organizational patterns of marginally surviving private liberal arts colleges are different from other institutions of higher education. ${ }^{6}$ It seems to follow, then, that with increased demand for accountability and efficiency--educationa1, financial, and social--more sophisticated, responsive, and appropriate management systems and styles are necessary, especially in the private liberal arts college sector of higher education.

Statement of the Problem

Most of the literature relative to leadership and management in higher education focused on the style or system considered most effective in accomplishing organizational goals and objectives. Most definitions of management have as a common thread "the working with and through individuals and groups to accomplish organizational goals."7

This investigator served as a senior administrator in a small private liberal arts college and, through considerable observation and personal participation, observed that effectiveness, efficiency, and morale seemed to diminish when the management styles of the major administrators were not compatible. In one particular situation, the senior administrative officer was following an autocratic, highly bureaucratized model of management and the next senior administrative officer was following a highly participatory, collegial model of management. The investigator's observations suggested that the problem was not one of
which style was most effective, but rather largely a matter of a lack of congruence or consistency in management styles at the key levels. Hence, the needed decisions were not being made. Rensis Likert observed that "all component parts of any system of management must be consistent with each of the key parts and reflect the system's basic philosophy. ${ }^{8}$ There is little research in the literature which susggests that the effectiveness of the management style or system used within an organization is related to the extent that it is consistently and uniformly adapted and implemented within the organization.

Assumptions and Need for the Study

This researcher agrees that private liberal arts colleges are important and serve a worthwhile purpose in the schemata of higher education in the United States. It appears that the survival of private liberal arts colleges is, to a large extent, related to their being distinctive and that management and leadership are essential to distinctiveness. Private liberal arts colleges have an organizational typology somewhat different from other major types of institutions in American higher education and, therefore, need leadership and management styles and systems specifically oriented to their goals and dempographics.

Most data cited in the literature relative to leadership and management are either broadly applicable to higher education or much more oriented to the major private universities and public state universities. It is now timely to begin to analyze, test, and expand existing knowledge in the area of management in relation to the small liberal arts colleges in order to help ensure their well-being and survival.

The general purpose of this study was to select one limited area relating to management and examine this within the specific context of the small liberal arts colleges in an attempt to extend the body of knowledge available.

The specific purpose of this study was to determine to what extent the congruence or internal consistency of the college management system, as perceived by the presidents and board chairpersons of select private liberal arts colleges, was related to distinctiveness.

Two populations were used, namely the Carnegie Commission separations for distinctiveness categorized as Liberal Arts Colleges Type I and Type II. 9 Further comparisons were made to determine other areas of difference between the management styles and systems of these two classifications of liberal arts colleges.

Research Questions

This investigation sought to test the following null hypotheses in an attempt to answer the research purpose of this study:

1. There is no significant difference between the perceptions of the presidents and board chairpersons relative to the management systems used in Liberal Arts Type I Colleges, e.g., there is significant internal congruence in the management system used.
2. There is no significant difference between the perceptions of the presidents and board chairpersons relative to the management systems used in Liberal Arts Type II Colleges, e.g., there
is significant internal congruence in the management system used.
3. There is no significant difference between the perceptions of a11 responding presidents of Liberal Arts Type I and Type II Colleges relative to the management systems used in their institutions.
4. There is no significant difference between the perceptions of all responding board chairpersons of Liberal Arts Type I and Type II Colleges relative to the management systems used in their institutions.

## Definition of Terms

Private Liberal Arts College--An institution of higher education principally committed to liberal learning which is privately or independently sponsored and receiving the ma.jority of its financial support from non-governmental sources and, generally, with enrollments of less than 2,000.

Liberal Arts College Type I--Liberal arts colleges which are selective in admissions or among leading colleges in number of graduates receiving Ph.D.'s, as reported in the Carnegie Commission Report, A Classification of Institutions of Higher Education. ${ }^{10}$

Liberal Arts College Type II--All other liberal arts colleges not within the above definition and not meeting the criteria of Type I institutions.

President-The principal administrative official who is responsible for the direction of all facets of the college consistent with the stated goals and who reports directly to the governing board.

Board Chairperson--The senior elected member of the governing board of a college. This board is the legally constituted body responsible for the establishment of goals, board policy, and the fiscal well-being of the college.

Management--The planning, organizing, motivating, and controlling of personnel and resources in the most effective way to accomplish organizational goals.

Leadership--The broader aspect of management, i.e., the reconciliation or interaction of organizational goals and the need dispositions of the people within the organization.

Congruence--The quality or state of coinciding or a point of agreement.

Distinctiveness--Attribute of differentiating educational meaning or worthiness.

Perception--The awareness of the elements of the environment through physical sensation interpreted in the light of experience.

## Limitations of the Study

There were certain specific limitations of this study. First, the time demands on the presidents and board chairpersons and the fact that matched pairs of respondents were sought reduced the size of the sample available for certain data analysis. Second, the study was limited to perceptions of management style, it was not a validation of the effectiveness of style. Third, the study was limited to liberal arts colleges within a size range of 400 to 2,000 students. Fourth, the rank order Likert research instrument used in this study, if known to the respondents, could influence the choice selection.

This study was organized in five chapters.

Chapter I provided a general background for the study, stated the problem involved, outlined the need and signifiance for the study, stated the purposes and specific research questions for the study, 1isted the appropriate definition of terms used, and outlined the limitations encountered in the study.

Chapter II reviewed the literature and research related to the study. A summary of the literature which seemed generally pertinent is followed by a selected summary of the specific literature and research related to the study.

Chapter III outlined the method of investigation for this descriptive study. It included a description of the development and administration of the research instrument, a description and method of selection of the populations used and, finally, a summary of the collection procedures used and the treatment of the response data.

Chapter IV included a detailed description of the research questions and a presentation and analysis of the data collected.

Chapter $V$ contained a summary of the findings, appropriate conclusions, and recommendations for further study.

## FOOTNOTES

$1_{\text {Howard R. Bowen and W. John Minter, Private Higher Education: }}$ First Annual Report on Financial and Educational Trends in the Private Sector of American Higher Education (Washington, D. C., 1975), p. 77.
${ }^{2}$
${ }^{2}$ Carnegie Commission on Higher Education, A Classification of Institutions of Higher Education (New York, 1973).
$3_{\text {Burton R. Clark, The Distinctive College: Antioch, Reed and }}$ Swathmore (Chicago, 1970), pp. 3-9.
${ }^{4}$ Manning H. Pattillo, Jr. and Donald M. MacKenzie, Eight Hundred Colleges Face the Future (St. Louis, 1965), p. 15.
${ }^{5}$ Carnegie Commission on Higher Education, Governance of Higher Education: Six Priority Problems (New York, 1973).
${ }^{6}$ Michael D. Cohen and James G. March, Leadership and Ambiguity: The American College President (New York, 1974).
$7_{\text {Paul Hershey and Kenneth H. Blanchard, Management of Organiza- }}$ tional Behavior: Utilizing Human Resources (2nd ed., New Jersey, 1972), p. 3.
${ }^{8}$ Rensis Likert, New Patterns of Management (New York, 1961), p. 222.
${ }^{9}$ Carnegie Commission on Higher Education, A Classification of Institutions of Higher Education.
${ }^{10}$ Ibid.

CHAPTER II

## REVIEW OF RELATED LITERATURE

## Introduction

This investigator conducted an extensive search of the literature and research in the areas of leadership and management, meticulously narrowing the search to the four general areas which are briefly developed and outlined in Chapter I:

1. The importance, present state, and predicted future of small, independent liberal arts colleges;
2. The distinctive character necessary for liberal arts colleges;
3. The importance and relationship of leadership and management to the quality and survival of liberal arts colleges;
4. The thesis rationale.

The literature relative to leadership and management is abundant; the literature oriented to the demographics and needs of small liberal arts colleges is less abundant. However, the literature relative to the purpose of this study--management congruence as related to distinctive-ness--is almost non-existent.

Liberal Arts Colleges Today

One does not have to review the literature long to realize that most educators would agree that private higher education is worth preserving. Steven Muller, in an article entitled "The Purposes of the Independent

Institution," summed up this attitude and stated that independent colleges provided a crucial counterweight to public institutions of higher education. ${ }^{1}$ He further suggested that they were important in the protection of the freedom of religion for so many private colleges are church related. He also pointed out that independent colleges can control their size and programs, hence make a significant contribution to greater freedom of student choice and institutional diversity.

James Madison, in 1825, made the point that, "A diffusion of know1edge is the only guardian of true liberty. ${ }^{2}$ Edward Fiske, Education Editor of the New York Times, in an article entitled "Are Private Colleges an Endangered Species?", picked up the implied message in Mr. Madison's quote and suggested four reasons why independent colleges should be saved:

1. Independent Colleges are a major national resource.
2. Independent Colleges promote diversity.
3. Independent Colleges promote human values.
4. Independent Colleges are free of political pressure. ${ }^{3}$

In this same article, Fiske related a comment that the president of Johns Hopkins University made: "Our whole society would be poorer without flourishing private colleges proudly committed to the faiths of different religious recommendations. ${ }^{4}$ Throughout the literature, as suggested in the Muller and Fiske articles, the preservation of freedom of religion stood out as one of the most important purposes of the private liberal arts college.

In Private Colleges: Present Conditions and Future Prospects, Carol Shulman articulated the importance of the small private liberal arts college by stating that:

Private colleges have contributed to make higher education in the United States what it represents today: the transmittal of learning and culture; the support of student personal
development; and the free inquiry into all areas of intellectual endeavor. ${ }^{5}$

She further stated that the private colleges have embodied the above ideals very well under restricted financial circumstances and changing social conditions.

In Private Higher Education: Second Annual Report on Financial and Educational Trends in the Private Sector of American Higher Education, sponsored by the Association of American Colleges, Howard Bowen and John Minter summarized the importance of small private liberal arts colleges. They suggested that the private sector is an indispensable part of the American higher education system which
. . . adds diversity, offers competition to an otherwise allembracing public system, provides a center of academic freedom removed from political influence, is deeply committed to liberal learning, is concerned for human values and individual personality, sets standards, provides educational leadership, and saves money for taxpayers. ${ }^{6}$

In a national Presbyterian journal, A.D., Florence Davis published an article entitled "Are Small Independent Colleges Obsolete?" In her article, she concluded: "They are vital and those institutions which remain flexible and forward-looking deserve all the help they can get-not only to survive, but to prosper." ${ }^{7}$

With the importance of liberal arts colleges so well documented in the literature, as evidenced by the aforementioned summaries, one must now examine the present state and the future of these same colleges. There was one overriding concern expressed in the literature and that was financial stability in light of many external and internal pressures. Authorities, to date, have found it very difficult to offer or find one conclusion that was adequate to describe the present condition and future of the liberal arts colleges in the United States.

The following summaries from current literature represented the most widely held perspectives. From the general and less empirical view, the following discussions were noted. In the spring of 1976, the New York Times declared that private colleges and universities of the United States were, individually and collectively, in extreme danger. ${ }^{8}$

In the January, 1979, issue of Time, an article in the "Education" section entitled "Private Colleges Cry Help!" the future was described as bleak. The article quoted Dartmouth president, John G. Kemeny, as saying "that one way or another, if present trends continue, about half the private colleges are going to go out of business." 9 This article also paraphrased numerous educators such as Peter Armacost, President of Florida's Eckerd College, who indicated "it is difficult to sell at a fair price education which is being sold down the street for 25 per cent of cost." ${ }^{10}$

Stanford's president, Richard Lyman, is quoted in this article as saying "that at some point, financially, and I don't know where that point is, it will no longer be a rational decision to attend a private college, regardless of the value of its education." ${ }^{11}$

The Time article concluded with numerous illustrations of the extreme financial pressures on the private colleges. The final summation alluded to the importance of the private colleges by suggesting that the public system was designed to supplement the private colleges, not supplant them. ${ }^{12}$

In Change, March, 1977, Finn and Hartle maintained that the government was aware of the crises in private higher education but "lacked a clear diagnosis of the private sector's health and how to improve it."13

From a more considered view or empirical perspective, the present state of private liberal arts colleges was summarized best by the recent findings of Bowen and Minter in the American Association of Colleges' sponsored reports on private higher education. They indicated that 16 accredited and 12 unaccredited four-year private institutions closed since 1970 and most of these were small private obscure institutions. ${ }^{14}$

In their final summary in 1976, they interpreted their findings to suggest that the private sector was "steady without stagnancy." This steadiness, according to Bowen and Minter, was due to enrollment stability, student-faculty ratio stability, an approximate two percent decline in current revenues per student, collective balanced budgets, a good capital ratio of assets to liabilities, steady residence halls occupancy, and cautious leader optimism. The worrisome trends, as seen by Bowen and Minter, included increasing competitiveness for students, lowering national academic scores of entering students, revenues not keeping pace with inflation, the sharp decline in capital expenditures, the precarious sources of current income--particularly the Liberal Arts Colleges Type II, the growing trend to attract out-of-state students, the slippage in faculty salaries related to inflation, and the tuition gap between the private and public sectors. They found that distress or success was not limited to one category of private higher education. ${ }^{15}$

In an article in the January 18, 1979, issue of The Chronicle of Higher Education entitled "Federal Guidelines Worry 'Developing' Institutions," the author, Lorenzo Middleton, indicated that new federal proposed guidelines for federal aid to developing institutions may preclude some institutions previously receiving aid from continuing aid under Title III of the Higher Education Act of 1965. Specifically, the new
guideline suggested aid be granted to colleges with "the desire and potential to make a substantial contribution to higher education but struggling for survival and isolated from the main currents of academic life."16

In an article by Morton Baratz, General Secretary of the American Association of University Professors, in Academe, he warns that the well advertised decline in enrollment should not be used as the single set of data to make major changes in higher institutions of learning that took decades to develop. Though focused on higher education in general, his statement appeared to be particularly relevant to the private sector. ${ }^{17}$

Authorities do not predict the future with certainty. Most will agree, however, that the future is financially precarious for the small liberal arts colleges and that the preservation of the private liberal arts college is central to the United States' system of higher education.

## Distinctiveness

One of the variables in the survival of the liberal arts college will be its continuing ability to attract sufficient numbers of students. This demands that the institution offer distinctive alternatives to public higher education. Hence, in this section, a few of the more pertinent articles and studies related to distincitveness will be summarized.

On a general level, William Bowen, in an article in the Educational Record on "The Effects of Inflation on Higher Education," suggested, in summary, that "the strength of American higher education depends now, as it has for many years, on a distinctive pluralism." ${ }^{18}$

Carol Shulman, in Private Colleges: Present Conditions and Future Prospects, indicated that private colleges must place great emphasis on developing distinct educational missions. ${ }^{19}$

Shulman quoted from Burton Clark's work, The Distinctive College: Antioch, Reed and Swarthmore, one of the most noteworthy studies on distinctiveness in this decade. Clark indicated the elements present in the distinctive private liberal arts college were:

1. Faculty dedication and involvement in the college's conception of its institutional mission;
2. A curriculum that carries out the college's philosophy;
3. A social base, committed to the institutional mission that provides financial and moral support, personnel and students;
4. A student subculture which defines what the enterprise is all about; and
5. An ideology that unifies the college community. ${ }^{20}$

Robert C. Pace, in a Carnegie Commission technical report, The
Demise of Diversity? A Comparative Profile of Eight Types of Institutions, found that diversity and distinctiveness still exist in the private sector and have not declined. He defined three clusters of distinctiveness around science, religion, and intellectuality with the latter two more clearly exemplified by strongly denominational and highly selective liberal arts colleges, respectively. He pointed out,

The most distinctive institutions, which means to some extent the institutions that are most effective in achieving their purposes, are also the ones that enroll the fewest students and are in the most serious financial condition today, and whose long range future is least assured. 21

Balderston, in Managing Today's University, stated that "the global image of a campus signals its quality and distinctiveness." ${ }^{22}$ The opening address of a new president of a small liberal arts college stated, "My first commitment is to quality and distinctiveness, not only in the academic program, but throughout the entire life of the college."23

## Leadership and Management

There is an exhaustive amount of literature pertinent to leadership and management. In the next few paragraphs, a summary of trends found in this literature and research relative to the importance and nature of presidential and board leadership in achieving the quality and distinctiveness will be presented.

Joseph Kauffman, in an Association of American Colleges sponsored report, The Selection of College and University Presidents, stated: "The college president should provide the knowledge and leadership to guide the institution in its responses to the challenges and opportunities it faces." ${ }^{24}$

Peter Drucker, in his The Practice of Management, pointed out that the successful organization has one major attribute that sets it apart from unsuccessful organizations--dynamic and effective leadership. 25

Levine and Weingart pointed out, in Reform of Undergraduate Education, that the chief administrators of today's colleges were the only source that could provide the needed academic leadership for they had the tools--money and power. ${ }^{26}$

This investigator found The Leaning Ivory Tower, by Warren Bennis, a delightful and refreshing treatise, especially his comments on presidential leadership in a setting he described as increasingly litigious, less autonomous, without clear purpose, with extreme external pressures and internal fragmentation, topped by a post-Watergate morality. He summarized by suggesting we have not yet learned to orchestrate our diverse strengths.and discordant voices. He went on to suggest there is no simple solution for the leadership of our colleges but strongly urged "academic leadership must develop the vision and strength to call
the shots." ${ }^{27}$ It appeared that Bennis recognized the need for strong leadership in higher education as important to effectiveness which related to distinctiveness.

Pattillo and McKensie, in a Danforth Commission sponsored report on liberal arts colleges, Eight Hundred Colleges Face the Future, made the following statement:

The role of the president of a college is, of course, crucial. Without an able educator as its chief executive officer, an institution is seriously handicapped in creating or maintaining a quality program. It is normally the president who must provide vision and perspective. 28

There was much literature relative to the relationship of the chief executive officer and the board of trustees of the liberal arts college. Clifton Wharton, Jr. best described this relationship in an Association of Governing Boards report. He indicated
. . . the president and trustees can truly address and meld the broad range of issues. These shared perspectives lie at the heart of the joint leadership. We can no longer rely upon a dominant board of trustees or a dominant president. We must forge a partnership wherein the president and board lead together. 29

The body of literature found relative to the relationship between the board and the president of a college and as summarized by Wharton above formed the basis for the selection of the participants in this study. Butler, in an article on higher education leadership in a 1976 issue of Educational Record, suggested the ultimate challenge is to go beyond the objectives of efficient educational management to achieve effective leadership. ${ }^{30}$

It seemed appropriate to end this segment of the review of lit erature with this one quote from Clark's Distinctive College, "When we look for how distinctive emphasis gets underway, we find typically a single individual, usually the president."31

Thesis Rationale

The first three sections of this chapter drew from the literature the basis or broad framework for the general purpose of this study. It was important to review this briefly. The current literature in higher education and management clearly suggested the social importance and vulnerability of the small, independent sector of American higher education. It further suggested the need for carefully defined missions that are distinctive and quality oriented. Leadership and management appeared closely related to the accomplishment of this distinctiveness according to current literature. It was also substantiated in the the review of literature that within the liberal arts college the president, working in harmony with the board of trustees, whose chief executive is the chairperson, filled the two most significant leadership positions.

With this in focus, this investigator will summarize the limited literature as it pertained to the specific thesis purpose. This investigator attempted to establish a conceptual framework from the existing literature which suggested that it was now timely for some research to determine to what extent consistency in management or leadership style within an institution related to effectiveness or distinctiveness.

The literature on leadership and management models, styles, systems, theories, orientations, approaches, traits, roles, behaviors, effectiveness, and situational variables was seemingly unending. This study did not attempt to select the best of the above but, rather, determine if the management style or system used within the colleges was as important as the consistency with which it was implemented or applied. If one wanted to review the most comprehensive survey on leadership literature, Ralph Stogdill's Handbook of Leadership provided such a survey. ${ }^{32}$

The following, then, is a glimpse or capsule of the literature supporting the relevance of this research. It seemed appropriate to cite a few comments on the importance of management as a prelude to the specific

1iterature on internal congruence.
Joseph Cangemi, in an article for Education, stated:
The aims of business and education are different. Business is profit oriented and materialistic, while education is dedicated to humanity, broadly speaking. In spite of this difference in purposes, business has much to offer to education, especially in the area of leadership. ${ }^{33}$

Much of the literature was in agreement with Alverno College's President, Sister Joel, when she suggested that most small college presidents have had to become concerned principally with management questions. ${ }^{34}$

The specific literature suggesting internal management congruence was related to effectiveness or distinctiveness and was found mostly in the business sector. The most important works in this area were by Rensis Likert, which was the reason for the selection of a Likert instrument for this study. In his book, New Patterns of Management, Likert stated, as was reported in Chapter I, that "all component parts of any system of management must be consistent with each other--and reflect the system's basic philosophy." ${ }^{35}$ He further pointed out that if one system or style for decision making, for example, was grafted to another, the new system would be impaired. Communication, motivation, and other processes related to decision making would be lacking and no longer fit the pattern. Likert summarized all of this in the following statement:

The complex but internally consistent pattern of interrelationships among the various parts of any system of management which is working well becomes evident when we compare the processes involved in various systems or styles of management. ${ }^{36}$

James L. Price, in Organizational Effectiveness, offered the following proposition: "Organizations whose ideologies have high degrees of congruence, priority and conformity are more likely to have a high degree of effectiveness." ${ }^{37}$

In a number of articles, other factors pertinent to the main focus of this study were found. A doctoral dissertation, at Cornell University by Kenneth Blanchard, found that the more favorable the disposition of the board of trustees toward the president, the easier the leadership function became for the president. ${ }^{38}$ Douglas C. Basil, in Leadership Skills for Executive Action, stated
. . . organizations must rely on a high degree of compatibility among their parts, and therefore on consistency of behavior . . . since the firm wants to organize people toward a common way of doing things. 39

Another example of how internal management consistency related to effectiveness was reflected in a research paper from the Harvard Business School which suggested there was evidence that candidates whose backgrounds and attitudes are similar to those of executives currently considered to be outstanding performers will tend to be more successful. 40 Richard Brien, in Educational Record, reflected that all purposeful organizations were faced with the need to agree on goals and all must select from among various strategies to reach these goals. 41 Birnbaum, in another Educational Record article, pointed out that leadership succession was a disruptive process and when one selected a successor, one was likely to select an individual who "sees eye to eye" with oneself. 42 Another article in Educational Record, by Joseph Burke, suggested that presidents must adopt systems of management that highlight interrelationships between the campus as a whole and each of its parts. ${ }^{43}$

Another particularly interesting study, found in Basic Studies in Social Psychology, indicated that leaders can be trained to recognize their own styles and conditions which were most compatible to their styles. 44 This would suggest that if leaders can achieve this, then obtaining institutional congruence would be considerably easier.

The above summaries, though limited, seemed to indicate an awareness that internal management styles or systems consistently applied are extremely important and may, in fact, be more significantly related to effectiveness in business and distinctiveness in colleges than is presently recognized. One quote from an editorial in the Journal of Higher Education, by Theodore M. Hesburgh, seemed an appropriate way to end this section, "Moreover, a good leadership at the top inspires correlative leadership down the line."45

## FOOTNOTES

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## CHAPTER III

## METHODOLOGY

## Introduction

The purpose of this descriptive study was to collect information on management styles used in private liberal arts colleges, as perceived by the college president and by the chairperson of the board, with the intention of determining the extent of management congruence within Liberal Arts Colleges Type I and Liberal Arts Colleges Type II. These institutional separations are found in the Carnegie Commission Report, A Classification of Institutions of Higher Education. ${ }^{1}$ The investigator also sought to devise useful generalizations regarding the management styles used and the perceptions and the differences of the general groups surveyed.

The data for this study was collected by a mail survey. The remainder of this chapter describes the survey instrument, the population, the administration of the survey instrument, and the treatment of the data after collection.

The Survey Instrument

In view of the fact that this study dealt with management styles, a careful review of the business management literature was conducted in search of the most appropriate instrument. As a result of this review, the survey instrument selected was Likert's "Profile of Organizational

Characteristics, Form S." This questionnaire was developed by Rensis Likert Associates, Inc. to enable persons to describe the management system or style used in their organization. The Form S (Appendix A) is a simplified version of Form l outlined in Likert's The Human Organization: Its Management and Value. ${ }^{2}$ This simplified version highlighted the most important organizational variables and provided an approximation of an organization's management system according to the Likert systems I through IV orientation. The major categories surveyed in this study included:

1. Leadership Processes,
2. Motivational Forces,
3. Communication Processes,
4. Decision Making Processes,
5. Goal Setting or Ordering Processes,
6. Control Processes, and
7. Interaction-Influence Processes. ${ }^{3}$

The survey instrument for measuring the organizational profile contained several items under each of the listed major categories. Each item was arranged on a continuum with a range of responses from one through eight. The eight responses were consistent with the Likert management systems.

Reliability of the "Profile of Organizational Characteristics, Form S," was provided in Likert's The Human Organization: Its Management and Value, which reports intercorrelations from which reliability could be computed or estimated. ${ }^{4}$ The Form S usually yields split-half reliabilities in the .90 to .96 range when applying the Spearman-Brown formula for estimating the reliability between two halves of a form. In Chapters

Three and Four of this same reference and in New Ways of Managing Conflict, ${ }^{5}$ Likert presented studies indicating the validity of the "Profile of Organizational Characteristics," hence, basing validity on the history of the original scale. Table 5-4 in this work presented data showing the rank order correlation between "Profile of Organizational Characteristics" scores and performance for a west coast manufacturing firm to be +.61 . $^{6}$ J. M. Ketche1, in a doctoral dissertation utilizing Likert's Form $S$, determined that the total mean scores on Form $S$ were correlated with performance. He found the correlation between the "Profile of Organizational Characteristics" mean score and member rating effectiveness to be +.85 and the $r$ of the "Profile of Organizational Characteristics" mean score and member scaled expectancy rating to be +.74. ${ }^{7}$

In 1976, Rensis Likert Associates, Inc. of Ann Arbor, Michigan, developed a series of Likert scales specifically designed for assisting colleges and universities in obtaining information which could help them improve their administrative and educational effectiveness. Eight separate forms, with similar data requested, were developed for all levels: governing boards, presidents, vice presidents, deans, department heads, faculty, non-academic administrators, and students. These instruments formed the basis for additional questions on the survey instrument. Three of these questions were in the area of facultyadministration interaction. Four questions related to the respondent's own position satisfaction. ${ }^{8}$ Questions A, B, and C, designed by the investigator in cooperation with members of the thesis committee, dealt with broad aspects of university leadership. They included perceptions on the extent which management systems were utilized, on the extent
which goals and objectives were defined, and on the major challenges facing liberal arts college leadership in the near future.

The final section of the survey instrument requested specific demographic information from the respondent: sex, age, degrees, years in office, and, in the case of board chairpersons, occupation.

After careful review of the questions selected from the likert forms for the survey instrument, certain rewording was necessary to focus the questions on higher education. An examination of Appendixes $A$ and $B$ will illustrate this process. The initial modifications were made by the investigator. The modified survey instrument was then reviewed in detail with Dr. Donald W. Robinson and Dr. John Creswell, chairperson and former member of this researcher's thesis committee, respectively, who made suggestions and approved the instrument for use in this study.

The investigator then contacted Dr. Rensis Likert and discussed the use of his Form $S$ for this study, outlining the modifications intended. A written copy of the modified form was forward to Dr. Likert. Dr. Likert provided the investigator with additional suggestions and provided written approval for use of the modified instrument. (See Appendixes $C$ and D.) In numbering items of the survey instrument, number six was inadvertently left out. However, this in no way affected the content of the instrument, only the numbering sequence.

The final survey instrument, with 25 questions and limited demographic data requested, was submitted for pre-test to five college presidents and board chairpersons selected from institutions not included in the random sample used in this study. In addition, this investigator talked by telephone to each of these individuals. Appendix E contains their reactions which were generally favorable.

The validity of the survey instrument used in this study, then, was based upon the history of the original scale and the logical validation based upon the opinion of experts. Other major considerations in the selection of the Likert instrument were as follows:

1. Generally favorable references to the management style measurements were found in the current literature.
2. Questions were arranged for ease in answering, grouped to focus the respondent's attention on one area at a time, sufficiently defined and brief, closed ended, facilitating interpretation and tabulation, limited respondents who tended toward verbosity, and were presented in a modified multiple choice format.
3. The respondents selected for this study were asked information they could readily and accurately answer.

## The Population

Two distinct populations were used in this study, Liberal Arts Colleges Type I and Liberal Arts Colleges Type II, as defined in the Carnegie Commission on Higher Education Report, A Classification of Institutions of Higher Education. ${ }^{9}$ According to this same study, there were 26 public liberal arts colleges in the United States and 691 private liberal arts colleges. This study was limited to the private institutions.

For the purposes of this study, private liberal arts colleges were those privately funded institutions with a major emphasis in the liberal arts and a liberal arts tradition and, perhaps, with modest occupational programs. In general, these institutions enroll less than 2,000 students with median enrollments of between 1,000 and 1,500 .

This Carnegie Commission Report listed all private institutions by state. Colleges were divided into two categories. Category I institutions met one of the following criteria:

1. Colleges scoring 5 or above on Astin's Selectivity Index, which is based on National. Merit Scholarship Qualifying Test Scores for all students who took the NMSQT in 1964, classified according to the college of their first choice. From these scores, it was possible to estimate the mean and standard deviation of the scores of students actually entering each college.
2. Colleges included among the 200 leading baccalaureate-granting institutions in terms of numbers of their graduates receiving Ph.D.'s at 40 leading doctoral-granting institutions from 1920-1966. ${ }^{10}$

The remaining private liberal arts colleges were included in the Liberal Arts Colleges II category, consistent with the Carnegie classification of institutions.

To test the hypotheses, which essentially were comparisons of perceptions of institutions' management styles within these two categories, a total of 216 institutions (108 from each category of Liberal Arts Type College) comprised the sample. These institutions were selected utilizing a probability sample (i.e., the simple random assignment). ${ }^{11}$ Hence, each institution in the total identified population had essentially the same probability of being selected.

To minimize sample bias, the specific procedure followed in this phase of the study included the sequential numbering of all colleges in Categories I and II Type Liberal Arts Colleges separately. A card representing each college was placed in a covered container for the
respective groups and a neutral person, at random, selected 108 numbers from each container, one at a time. These selected numbers were identified and appropriate $1 i s t s$ drawn, constituting the total sample.

The simple random assignment to determine the two populations used in this study was selected because it precluded, to a large extent, researcher sample maneuvering; it was free of errors in classification; and it was more appropriate for data analysis which included use of inferential statistics.

Responses to the research instrument were sought from the president and board chairperson of each institution in the sample.

Administration of the Survey Instrument

Intense attention was given to the instructions provided, to the general quality and appearance of the instrument and accompanying letters, and to the method of transmittal, thereby adding an element of face validity and increasing the possibility of response. Specifically, a professional printer was engaged to reduce the instrument's printed size to permit the entire instrument to fit on three $81 / 2 \times 11$ sheets. A cover letter was carefully drafted (Appendix F) with the following criteria in mind:

1. To develop a letter which would state the purpose of the study in such a way as to reflect the importance and relevance of the study to the prospective participants, the presidents and chairpersons of the boards from the institutions selected for the study;
2. To establish the fact that two participants from each institution would be separately contacted and the responses matched,
thereby increasing the care taken in responding;
3. To assure the participants of personal and institutional confidentiality relative to their responses;
4. To indicate summaries of the study would be provided;
5. To communicate a professional and courteous tone, each letter programmed for individual typing on bond paper signed as originals, and all mailed first class mail.

In early May, 1977, the cover letter over the primary signature of the investigator's thesis committee chairperson and the survey instrument (Appendixes E and B ) were mailed to all participants, 432 in total. This included two participants from each of the 108 selected institutions from both Liberal Arts Colleges Types I and II categories. Coded self-return envelopes were enclosed (Appendix F).

Considerable effort was devoted to ascertaining the names of the respondents and, as a result, 88 percent of the letters were addressed to the specific respondent.

During June, 1977, a first follow-up postcard (Appendix G) was mailed to all those individuals who had not responded. In July, 1977, a follow-up letter was mailed to all non-respondents (Appendix H) with an additional copy of the survey instrument. During the month of August, 1977, personal telephone calls were made in all cases where only one response was received from an institution, and the second respondent was urged to complete the survey instrument, thus providing an additional matched pair.

These procedures provided the investigator with the following response data. From the Liberal Arts Colleges Type I sample, 41 matched pairs (38 percent) were received; that is, responses were gained from
both the president and board chairperson. In addition, unmatched responses were received from 28 presidents and 16 board chairpersons of other institutions in the sample. Thus, the total response rate for Type I institutions was 57.3 percent.

From the Liberal Arts Colleges Type II sample, 40 matched pairs (37 percent) were received. In addition, unmatched responses were received from 21 presidents and six board chairpersons. The total response rate for Type II institutions was 49.2 percent.

From the total sample of 432 possible respondents, 233 completed and returned the sruvey instrument, representing a 53.9 percent return rate.

## Tabulation of the Data

The data collected from the 25 multiple choice questions in the survey instrument and from the demographic questions were tabulated on individual computer coding forms (Appendix I). The majority were objective multiple choice questions with an eight point numerical scale assigned to each response. The objective and short answer demographic questions were coded, keypunched onto computer cards, and verified. The one open-ended question (C) was hand tabulated, organized into categories and recorded. The original computer tabulations and computations were done at the Computer Center, University of California, Los Angeles, utilizing an IBM 360 Computer. The resulting data revealed a considerable number of tabulation and coding errors. As a result, the original survey instruments were rechecked with the computer coding forms and keypunched again and finally tabulated on a Control Data Corporation Computer, the CYBER 172, at Colorado State University. Cross-tabulation
procedures to compute contingency tables were used from the Statistical Package for the Social Sciences. ${ }^{12}$

Treatment of the Data

The tabulated data were collected in a form amenable to the testing of the hypotheses stated in Chapter I. Comparisons were drawn between the responses of the identified groups of participants for each item in the survey instrument.

The first comparison was between the matched responses of the presidents and board chairpersons of the Liberal Arts Type I Colleges. The second comparison was between the matched responses of the presidents and board chairpersons of the Liberal Arts Type II Colleges. A third comparison was developed between the total responses, matched and unmatched, of the presidents of Liberal Arts Type I Colleges and the presidents of Liberal Arts Type II Colleges and between the total responses of the chairpersons of Liberal Arts Type I Colleges and chairpersons of Liberal Arts Type II Colleges.

A statistical procedure utilizing Chi-Square Tests of significance, as described by Downie and Heath, was used. ${ }^{13}$ Specifically, Chi-Square Tests were used to determine the relationship of the matched responses item by item between the aforementioned groups. This particular technique was selected because it did not depend upon the assumption that the variable measured is normally distributed in the population tested. A Chi-Square statistic was considered appropriate because of its nonparametric, or distribution-free, characteristic. Further, the ChiSquare Test was appropriate to small samples and with data which was enumerated or nominal and characterized according to a predetermined
scheme. All critical values of Chi-Square were tested for significance at the . 10 level. Experts in the field of non-parametric statistics suggested that the occasional use of a . 10 level of significance is appropriate with very nominal data. 14,15

Finally, the open-ended question relative to challenges facing Liberal Arts Colleges in the future was categorized, recorded and analyzed, and appropriate generalizations suggested in Chapter IV. Any appropriate additional generalizations or information of value discovered in the analyses of the data for this study were reported or suggested for further study in Chapters IV and V.
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${ }^{3}$ Ibid., pp. 3-12.
${ }^{4}$ Ibid., pp. 116-127, 193-195.
$5^{\text {Rensis Likert and Jane Gibson Likert, New Ways of Managing }}$ Conflicts (New York, 1976), p. 76.
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${ }^{7}$ J. M. Ketche1, "The Development of Methodology for Evaluating the Effectiveness of a Volunteer Health Planning Organization" (Doctoral dissertation, Ohio State University, 1972).
${ }^{8}$ Jane Gibson Likert and Rensis Likert, Profile of a College or University, Forms 1-8 (Ann Arbor, 1976).
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${ }^{14}$ Sidney Siegel, Nonparametric Statistics for the Behavioral Sciences (1st ed., New York, 1956), p. 9.

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CHAPTER IV

## PRESENTATION AND ANALYSIS OF DATA

## Introduction

This chapter includes the presentation and analysis of the data generated by the methodology outlined in Chapter III. The presentation and analysis are in five parts in accordance with the following format. First, the individual questions in the research instrument will be explained within the general management category being examined. Second, each of the four hypotheses will be stated and tables of data presented where significance is found as defined in Chapter III. Third, the demographic data relative to the respondents will be presented and appropriate analyses made. Fourth, the responses received from the open-ended question will be recorded and analyzed. Fifth, additional findings will be examined.

Analysis of the Research Instrument

Twenty-five questions in seven major areas related to organizational operating characteristics were posed. On an eight-point scale, each respondent was asked to select the answer best describing his/her perception of his/her institution at the present time. In the following narrative, question numbers were underlined to provide the reader with easy reference to the specific questions.

The first major area dealt with the leadership processes used in the institution. Three questions sought to elicit perceptions relative to the extent faculty members and administrators had trust and confidence in and were supportive of each other's respective roles. Question 1 asked how much trust and confidence was shown in the faculty by administrators. The choice of responses ranged from "very little" to "a very great deal". Question 2 asked how free faculty members felt to discuss their work with administrators. The choice of answers ranged from "not free" to "very free". Question 3 asked how often faculty members' ideas were sought and used constructively. The choice of responses ranged from "rarely" to "very often".

The second area examined was the character of the motivational forces found in the institution; that is, the extent and manner in which motives were used and the extent individuals and groups were involved in the achievement of organizational goals. Question 4 asked to what extent fear, threats, punishment, rewards, or involvement were used in motivating people. Question 5 asked where responsibility was felt for achieving academic excellence and fiscal stability. The choices ranged from "senior administration only" to "at all levels--administration, faculty, staff, and students".

The third section of the survey instrument attempted to determine the character of the communication process within the institution. The four questions were related to the extent that open, shared, and accurate communication between the faculty and administration was present. Question 7 dealt with the usual direction of information flow between faculty and administration with response choices ranging from "downward only" to "downward, upward, and between". Question 8 asked how communication from
administration to faculty was accepted. The response choices ranged from "with distrust" to "fully accepted". Question 9 asked how accurate was communication from faculty to administration. The response choices ranged from "usually inaccurate" to "almost always accurate". Question 10 asked how well did senior administrators know the problems faced by faculty. The response choices ranged from "not well" to "very well". The fourth section of the research instrument dealt with the character of the decision making process within the institution. The primary emphasis centered around the level at which decisions were made and the extent that information from the faculty was used and the extent to which faculty members themselves were involved in academic decisions. Question 11 asked at what level major policy decisions were made. The response choices ranged from "mostly at top administration" to "widespread and coordinated decision making". Question 12 asked how often faculty members were involved in academic decisions. The response choices ranged from "almost never" to "fully involved".

Section five examined the goal setting process within the institution. Specifically, how goal setting was accomplished and the extent to which faculty members worked to achieve the institution's goals. Question 13 asked how goal setting was usually done. The response choices ranged from "administration directives" to "generally by group discussion between faculty and staff". Question 14 asked how much did faculty members do to achieve the college's goals. The choice of responses ranged from "very little" to "a very great deal".

Section six of the research instrument focused on the nature of the control processes. That is, at what hierarchical level were major control functions found and concentrated and to what extent evaluations were
used for controlling rewards, and for self improvement, group guidance, and problem solving within the institution. Question 15 asked where review and control functions were found. The response choices ranged from "highly at top administration" to "widely shared throughout the institution". Question 16 attempted to determine what faculty evaluations and other control data were used for, with responses ranging from "refusing salary tenure and promotion" to "granting salary, tenure and promotion, and group guidance and problem solving".

Section seven of the instrument was a series of questions related to the quality and quantity of interaction between and among the various functional components of an academic institution. Furthermore, the questions attempted to determine the extent of cooperation, of sharing of information and ideas, of respect, and of communication between the two primary participants of this study--the president and the board chairperson. Question 17 asked how academic conflicts were usually resolved. The response choices ranged from "ignored" to "resolved by all those affected". Questions 18 and 19 requested a perception of the extent of interaction and sharing of ideas between the president and board chairperson of the institution. The responses ranged respectively from "very little to rarely" and "a great deal to very often". Question 20 asked the presidents and board chairpersons to rate the governing board relative to its competence as a policy making body and its overall know1edge in the field of education. The response choices ranged from "not competent" to "very competent". Question 21 asked each respondent to measure his/her sense of responsibility for the educational excellence and fiscal stability of the institution. The response choices ranged from "very litt1e" to "very great". Question 22 asked each respondent
to suggest the quality of communication between themselves and their co-respondent. The response choices ranged again from "very little" to "very great". Question 23 asked each respondent to indicate the extent of work satisfaction he/she felt.

In addition to the above specific sections on organizational characteristics, five lettered questions (designed by the investigator in consultation with the thesis chairperson) were also a part of the research instrument. Two questions were designed to provide additional information relative to the extent formal management systems were being planned and implemented consistent with carefully defined institutional goals and objectives. The first of these questions, Question $A$, asked to what extent formal management systems were being utilized. The response choices ranged from "very little" to "a•great deal". Question B asked how well the goals and objectives of the college were defined. The choice of responses ranged from "not at all" to "clearly and saliently". Question $C$ asked each respondent to suggest the major challenges that face the leadership of his or her respective college and indicate whether these were generally applicable to other small independent liberal arts colleges. Question D asked each respondent to indicate his or her willingness to participate in expanded research developed as a result of this study. Question E, the final question, requested of each respondent certain demographic information including age, sex, highest degree, years in present position, years in administration, and teaching, respectively, and in the case of board chairpersons, his/her occupation.

The research instrument is reproduced in its entirety in Appendix B of this study for the reference of any reader or interested party.

## Report of Hypothesis Testing

In this section, each of the four hypotheses was stated and tables presented where significant difference was found. Complete comparative tables of all data collected for each question were included in Appendixes K, L, and M. Graphically, the hypotheses used in this study attempted to establish the extent of management consistency between the groups as shown in Figure 1.


Figure 1. Groups Between Which the Study Attempted to Establish Management Consistency

A Chi-Square Test of significance was used to compare the matched institutional responses, that is where questionnaires were received from both the president and board chairperson of the Type I or. Type II institutions in the respective samples. Authorities on parametric statistics, as cited earlier, indicated that a .10 level of significance was appropriate for reporting; thus, providing the reader a broader range of data for interpreting the research findings.

Hypothesis I: There is no significant difference between the perceptions of the presidents and board chairpersons relative to the management system used in Liberal Arts Type I Colleges.

Out of the 27 eight-point optional questions, significant difference was found in on1y four instances. Tables I, II, III, and IV illustrate the specific data in each instance.

Question 3 asked how often faculty ideas were sought and used. As reported in Table $I$, there is a significant difference in the perception of the presidents and board chairpersons as to the extent faculty members' ideas are sought and used by the administration in Liberal Arts Type I Colleges. Interestingly, the board chairpersons felt that faculty input was not sought as often as the presidents indicated. Perhaps this is the result of the board chairperson being somewhat removed from the day-to-day operation of the institution and would, naturally, expect administrators to be the decision makers.

Question 4 asked the extent negative or positive motivators were used. There was a significant difference of perception between the two responding groups, as reported in Table II. The presidents of Liberal Arts Type I Colleges seem to suggest that rewards with some involvement and, indeed, some punishment are used to motivate faculty whereas the board chairpersons were more inclined to believe reward and involvement were the two major motivators used. It was assumed that the withholding of tenure, promotion, and salary would be the items included as punishment. The only assumption that this investigator felt appropriate to explain the difference was that from a president's perception, punishment (i.e., withholding tenure or promotion) was an acceptable way to motivate faculty.

In the general area of interaction-influence, the matched pair responses from Liberal Arts Colleges Type I to Question 21a, responsibility for achieving educational excellence, produced a statistically

## TABLE I

CHI-SQUARE COMPARISON OF RESPONSES OF MATCHING PRESIDENTS AND BOARD CHAIRPERSONS OF LIBERAL ARTS TYPE I COLLEGES--QUESTION 3

|  | Rarely | Sometimes | Often |  | Very Often |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 12 | 34 | 5 | 6 | 7 | 8 | Total |
| Responses of |  |  |  |  |  |  |  |
| Presidents |  |  | 4 | 12 | 15 | 9 | 40\% |
| Responses of |  |  |  |  |  |  |  |
| Board |  |  |  |  |  |  |  |
| Chairpersons |  | 4 | 7 | 11 | 11 | 5 | 41 |
| TOTAL |  |  |  |  |  |  | 81 |

Raw Chi-Square $=9.60902$, Degrees of Freedom $=4$, Significance $=.0476$.
*One missing response.

## TABLE II

CHI-SQUARE COMPARISON OF RESPONSES OF MATCHING PRESIDENTS AND BOARD CHAIRPERSONS OF LIBERAL ARTS TYPE I COLLEGES--QUESTION 4


Raw Chi-Square $=9.17162$, Degrees of Freedom $=4$, Significance $=.0570$.
*One missing response.
significant difference, as reported in Table III. Clearly, there was a pattern indicating that the presidents felt a much greater sense of responsibility for achieving educational excellence than board chairpersons. On the surface, this would appear to be expected since board members are generally more concerned with board policy and in particular fiscal matters. However, upon careful reflection, it seemed that for the principal governing board, educational excellence and fiscal stability would be considered equally important and inclusive. This particular difference was surprising to this investigator.

In response to Question 23, relative to the extent the respondents felt satisfied with their particular role with the institution, and as presented in Table IV, the board chairpersons appeared to be slightly more satisfied with their work than did the presidents. This seemed appropriate for the board chairpersons are less involved in the day-today operation of the college.

Hypothesis II: There is no significant difference between the perceptions of the presidents and board chairpersons relative to the management systems used in Liberal Arts Type II Colleges.

A review of the statistical data, the Chi-Square analyses, showed that there were three questions where significant differences were found between the perceptions of the presidents and board chairpersons in Type II Colleges, as presented in Tables V, VI, and VII.

Questions 21 a ard $21 b$, dealing with the extent of responsibility felt for educational and fiscal excellence and stability, showed the greatest significant difference between the presidents and board chairpersons in Type II Colleges. The results of these questions, Tables $V$ and VI, represented the most significant differences found in the study.

TABLE III

CHI-SQUARE COMPARISON OF RESPONSES OF MATCHING PRESIDENTS AND BOARD CHAIRPERSONS OF LIBERAL ARTS TYPE I COLLEGES--QUESTION 21A

|  | Very Little |  | Some |  | Considerable |  | Very Great |  | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  |
| Responses of |  |  |  |  |  |  |  |  |  |
| Presidents |  |  |  |  |  | 3 | 10 | 27 | 40* |
| Responses of |  |  |  |  |  |  |  |  |  |
| Board |  |  |  |  |  |  |  |  |  |
| Chairpersons |  |  | 1 | 3 | 2 | 11 | 10 | 14 | 41 |
| TOTAL |  |  |  |  |  |  |  |  | 81 |

Raw Chi-Square $=14.68327$, Degrees of Freedom $=5$, Significance $=.0118$.
*One missing response.

TABLE IV
CHI-SQUARE COMPARISON OF RESPONSES OF MATCHING PRESIDENTS AND BOARD CHAIRPERSONS OF LIBERAL ARTS TYPE I COLLEGES--QUESTION 23

| Question 23: How satisfying is your work with the college? |
| :--- |
| $\frac{\text { Not }}{\text { Satisfying }} 1$ |

TABLE V
CHI-SQUARE COMPARISON OF RESPONSES OF MATCHING PRESIDENTS AND BOARD CHAIRPERSONS OF LIBERAL ARTS TYPE II COLLEGES--QUESTION 21A

|  | Very Little |  | Some |  | Considerable |  | Very Great |  | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  |
| Responses of |  |  |  |  |  |  |  |  |  |
| Presidents |  |  |  |  | 1 | 4 | 11 | 24 | 40 |
| Responses of |  |  |  |  |  |  |  |  |  |
| Board |  |  |  |  |  |  |  |  |  |
| Chairpersons |  |  | 1 | 1 | 10 | 6 | 16 | 6 | 40 |
| TOTAL |  |  |  |  |  |  |  |  |  |

Raw Chi-Square $=21.48956$, Degrees of Freedom $=5$, Significance $=.0007$.

# CHI-SQUARE COMPARISON OF RESPONSES OF MATCHING PRESIDENTS AND BOARD CHAIRPERSONS 

 OF LIBERAL ARTS TYPE II COLLEGES--QUESTION 21B|  | Very Little |  | Some |  | Considerable |  | Very Great |  | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  |
| Responses of |  |  |  |  |  |  |  |  |  |
| Presidents |  |  |  |  |  | 2 | 7 | 31 | 40 |
| Responses of |  |  |  |  |  |  |  |  |  |
| Board |  |  |  |  |  |  |  |  |  |
| Chairpersons |  |  |  |  |  | 3 | 20 | 17 | 40 |
| TOTAL |  |  |  |  |  |  |  |  | 80 |

[^0]It appeared that the presidents felt greater responsibility for the educational excellence of the colleges than the board chairpersons. It seemed reasonable that presidents would be more concerned with educational excellence. However, in the area of fiscal matters, the presidents also demonstrated a significantly higher sense of fiscal responsibility than the board chairperson. This suggested that greater pressure may be felt by the presidents of Type II Colleges in fiscal areas in the day-to-day operation of the college. Nevertheless, the preponderance of the data indicated that most of the Type I and Type II presidents and board chairpersons ranked fiscal and academic responsibility as major concerns.

Question A was concerned with the extent of management systems used and suggested that, on an overall basis, the board chairpersons of Type II Colleges perceived a greater utilization of formal management systems within the institution (Table VII). This raised the question of whether or not this was due to their own lack of contact with the college's day-to-day operation which would place them in a position to observe the use of formal management systems. It is interesting to note that, in both Type I and Type II Colleges, the median response for this question was 4. This strongly suggested that the use of formal management systems was limited as perceived by most participants.

Hypothesis 3: There is no significant difference between the perceptions of all responding presidents of Liberal Arts Type I and Type II Colleges relative to the management systems used in their institutions.

As this investigator attempted to compare management congruence with distinctiveness to determine if a relationship did exist, perceptions of

TABLE VII
CHI-SQUARE COMPARISON OF RESPONSES OF MATCHING PRESIDENTS AND BOARD CHAIRPERSONS OF LIBERAL ARTS TYPE II COLLEGES--QUESTION A

|  | Very Little |  | Some |  | Considerable |  | A Very <br> Great Deal |  | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  |
| Responses of |  |  |  |  |  |  |  |  |  |
| Presidents | 2 | 6 | 5 | 13 | 5 | 4 | 5 |  | 40 |
| Responses of |  |  |  |  |  |  |  |  |  |
| Board |  |  |  |  |  |  |  |  |  |
| Chairpersons | 3 | 2 | 3 | 8 | 12 | 10 | 2 |  | 40 |
| TOTAL |  |  |  |  |  |  |  |  | 80 |

the presidents from Type I and Type II Colleges were statistically compared in addition to the two aforementioned comparisons. As a result of this comparison, only three areas of significance appeared. Tables VIII, IX, and X present these data.

Question 5a asked where responsibility for achieving academic exce1lence was felt. Table VIII indicated a significant difference between the presidents of Type I and Type II Liberal Arts Colleges. The Liberal Arts College Type I presidents seemed to indicate that responsibility for achieving academic excellence was felt throughout all levels of the college to a greater extent than indicated by the Liberal Arts College Type II presidents.

Table IX, relating to the acceptance of downward communication, indicated that the presidents of Liberal Arts Colleges Type I are less consistent and the spread of responses was considerably greater than among the Liberal Arts Colleges Type II presidents. One suggestion this investigator can offer is that the Type I presidents are less sure of the acceptance of downward administrative communication in view of a stronger likelihood of a collegial model in Type I institutions.

Results found in Table $X$ pertained to the use of faculty evaluation data. It appeared that Type I presidents seemed somewhat more willing to use control data to deny salary, promotion, or tenure.

Hypothesis IV: There is no significant difference between the perceptions of all responding board chairpersons of Liberal Arts Type I and Type II Colleges relative to the management systems used in their institutions.

As with Hypothesis III, Hypothesis IV tested the perceptions of board chairpersons from Type I and Type II Liberal Arts Colleges in an

## TABLE VIII

CHI-SQUARE COMPARISON OF RESPONSES OF ALL PRESIDENTS OF LIBERAL ARTS TYPE I AND TYPE II COLLEGES--QUESTION 5A

|  | ```Administration``` |  | Top and Middle Administration |  | Faculty and Administration |  | Faculty, Staff, Administration and Students |  | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  |
| Responses of |  |  |  |  |  |  |  |  |  |
| Type I |  |  |  |  |  |  |  |  |  |
| Presidents |  |  |  |  | 7 | 27 | 24 | 11 | 69 |
| Responses of |  |  |  |  |  |  |  |  |  |
| Type II |  |  |  |  |  |  |  |  |  |
| Presidents | 1 |  | 2 | 2 | 14 | 14 | 21 | 6 | 61 |
| TOTAL |  |  |  |  |  |  |  |  | 130 |

Raw Chi-Square $=13.68539$, Degrees of Freedom $=6$, Significance $=.0334$.

TABLE IX
CHI-SQUARE COMPARISON OF RESPONSES OF ALL PRESIDENTS OF LIBERAL ARTS TYPE I AND TYPE II COLLEGES--QUESTION 8


TABLE X

CHI-SQUARE COMPARISON OF RESPONSES OF ALL PRESIDENTS OF LIBERAL ARTS TYPE I AND TYPE II COLLEGES--QUESTION 16

attempt to examine the relationship between distinctiveness and management congruence. Two areas of significant difference were found as illustrated in Tables XI and XII.

When asked the extent to which, and level at which, responsibility for academic excellence was felt, the board chairpersons of Type I Liberal Arts Colleges felt more responsible for achieving academic excellence than their Type II counterparts.

There were 27 multiple option questions included in this management survey. In only a limited number of areas significant differences were determined. The areas where significant differences were found, however, are interesting and worthy of some consideration and analysis.

Table XIII was designed to present the relative Chi-Square values for the tested hypothesis across the four groups surveyed. It was interesting to note that significant differences were not indicated across the other three groups with two exceptions.

In the general area of motivation, specifically Question 5a, which asked at what level responsibility for academic excellence was felt within the institution, there was a significant difference between Type I and Type II presidents and a significant difference in the perceptions of the Type $I$ and Type II board chairpersons as well. Apparently, one of the key differences between Type $I$ and Type II respondents was that, with Type $I$ presidents and board chairpersons, there was a greater perceived sense of obligation to ensure academic excellence.

The most notable exception, which very closely paralleled the aforementioned question, is $21 a--$ the extent each respondent felt personally responsible for achieving academic excellence. Significant differences were found between the presidents and board chairpersons of Type I

CHI-SQUARE COMPARISON OF RESPONSES OF ALL BOARD CHAIRPERSONS OF LIBERAL ARTS TYPE I AND TYPE II COLLEGES--QUESTION 5A

| Question 5a: Where is responsibility felt for achieving academic excellence? |
| :--- |

Raw Chi-Square $=12.04496$, Degrees of Freedom $=6$, Significance $=.0610$.

## TABLE XII

CHI-SQUARE COMPARISON OF RESPONSES OF ALL BOARD CHAIRPERSONS OF LIBERAL ARTS TYPE I AND TYPE II COLLEGES--QUESTION 21A

|  | Very Little |  | Some |  | Considerable |  | Very Great |  | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  |
| Responses of |  |  |  |  |  |  |  |  |  |
| Type I Board |  |  |  |  |  |  |  |  |  |
| Chairpersons |  |  | 1 | 3 | 4 | 15 | 16 | 18 | 57 |
| Responses of |  |  |  |  |  |  |  |  |  |
| Type II Board |  |  |  |  |  |  |  |  |  |
| Chairpersons |  |  | 1 | 2 | 12 | 8 | 16 | 7 | 46 |
| TOTAL |  |  |  |  |  |  |  |  | 103 |

Raw Chi-Square $=10.11100$, Degrees of Freedom $=5$, Significance $=.0722$.

## TABLE XIII

SIMMMARY COMPARISON OF THE RELATIVE CHI-SQUARE VALUES FOR THE FOUR GROUPS TESTED WHERE SIGNIFICANCE WAS DETERMINED

| Question | Area | $\begin{aligned} & \text { P-BC* } \\ & \text { Type I } \end{aligned}$ | $P-B C$ <br> Type II | $\begin{gathered} P-F \\ \text { Types } I \text { and } I I \end{gathered}$ | $\begin{gathered} B C-B C \\ \text { Types I and II } \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 3. Use of faculty ideas | Leadership | . 0476 | . 1706 | . 7172 | . 3605 |
| 4. Motivation forces | Motivation | . 0570 | . 8504 | . 3814 | . 4172 |
| 5a. Base for academic excellence | Motivation | . 8456 | . 4394 | . 0334 | . 0610 |
| 8. Administration to faculty communication | Communication | . 8420 | . 3278 | . 0743 | . 6742 |
| 16. Use of evaluation data | Control | . 2139 | . 2981 | . 0911 | . 8797 |
| 21a. Responsibility for academic excellence | Interaction | . 0118 | . 0007 | . 4291 | . 0722 |
| 21b. Responsibility for fiscal stability | Interaction | . 4172 | . 0051 | . 7163 | . 3324 |
| 23. Work satisfaction | Interaction | . 1030 | . 7083 | . 7719 | . 7339 |
| A. Use of management systems | Management | . 6255 | . 1005 | . 7698 | . 4503 |
|  | Hypothesis | I | II | III | IV |

[^1]Colleges, between presidents and board chairpersons of Type II Colleges and between board chairpersons of Type I and Type II Colleges.

In this investigator's opinion, these exceptions and noted differences, relative to the level and persons responsible for academic excellence within these colleges, were most significant. It seems to this investigator that the lack of congruence in these areas suggests further study.

## Demographic Data

In this section of Chapter IV, the demographic data asked of all the respondents are presented, including sex, age, highest degree earned, occupations of board chairpersons, years in present assignment and in higher education, and an indication of their willingness to participate in an expanded phase of research.

Table XIV presents data that were received in response to a twochoice question relative to the sex of the respondent. In summary, 80 percent of all the presidents and board chairpersons from Liberal Arts Colleges Type I were male, 20 percent were female. With Liberal Arts Colleges Type II, approximately 88 percent of the presidents and board chairpersons were male and 12 percent were female. Twelve respondents, out of a total of 233 , did not answer this question.

Respondents were asked to select an age range and the results are reported in Table $X V$. Although 10 respondents did not answer the question on age, it was apparent that Liberal Arts Colleges Type $I$ and Type II had presidents of similar ages, with 84 percent of all presidents between the ages of 40 and 60 and a mean age of 48.4 years. It was further apparent that the Type I Colleges had slightly older board

TABLE XIV

## SEX OF PARTICIPANTS

|  | n | Male |  | Female |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | n | \% | n | \% |
| Liberal Arts College I |  |  |  |  |  |
| Presidents | 66 | 53 | 80 | 13 | 20 |
| Board Chairpersons | 54 | 43 | 80 | 11 | 20 |
| TOTAL |  | 96 |  | 24 |  |
| Liberal Arts College II |  |  |  |  |  |
| Presidents | 57 | 50 | 88 | 7 | 12 |
| Board Chairpersons | 44 | 39 | 89 | 5 | 11 |
| TOTAL |  | 89 |  | 12 |  |

## TABLE XV

## AGE OF PARTICIPANTS

|  | n | $30-40$ | $40-50$ | $50-60$ | Over <br> 60 | Mean <br> Age |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| Type I Presidents | 66 | 1 | 32 | 26 | 7 | 48.8 |
| Type II Presidents | 58 | 6 | 19 | 27 | 6 | 48.0 |
| TOTAL |  | 7 | 51 | 53 | 13 |  |
| Percentage |  | 6 | 41 | 43 | 10 |  |
| Type I Board Chairpersons | 54 |  | 11 | 21 | 22 | 54.5 |
| Type II Board Chairpersons | 45 | 3 | 8 | 22 | 12 | 52.0 |
| TOTAL |  | 3 | 19 | 43 | 34 |  |
| Percentage | 3 | 20 | 43 | 34 |  |  |

chairpersons; although for all board chairpersons in this study, 77 percent were over 50 years of age, with a mean age of 53.2 years. It was interesting to note that only seven presidents and three board chairpersons were under 40 and 90 percent of these were from Liberal Arts Colleges Type II.

The respondents were asked to list their highest degree earned. Table XVI presents the results. The question concerning the highest degree earned was difficult to summarize, for the type and level of degrees found in the American educational system were many and varied. No attempt was made to categorize the degree subject area for no pattern was found. After careful review of the individual responses, six categories were grouped for presentation herein. Sixteen respondents did not respond to this question, and a careful review indicated that 80 percent of Type I presjdents and 66 percent of Type II presidents heid doctorates. Of the 93 presidents (74 percent) who held earned doctorates, 86 were the Doctor of Philosophy degree and seven were the Doctor of Education degree.

As one might expect, the degree levels of the board chairpersons were considerably less and more widely distributed within the selected categories than those of the presidents. The distribution was similar between Liberal Arts College Types I and II. The most significant factor seemed to be that 81 percent held a bachelor's degree or higher.

Board chairperscns were asked to state their present occupation and Table XVII reports their responses. Three board chairpersons did respond to this question. General occupational categories were developed after careful review of the responses. On an overall basis, 43 percent of all board chairpersons were from the business community and 42 percent

TABLE XVI

## HIGHEST DEGREE EARNED BY PARTICIPANTS

|  | 1 | 2 | 3 | 4 | 5 | 6 | n |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Type I Presidents |  | 2 |  | 8 | 3 | 55 | 68 |
| Type II Presidents | 6 | 2 | 12 |  | 38 | 58 |  |
| TOTAL | 8 | 2 | 20 | 3 | 93 |  |  |
| Percentage |  | 6 | 1 | 16 | 2 | 74 |  |
| Type I Board Chairpersons | 1 | 8 | 14 | 14 | 8 | 6 | 51 |
| Type II Board Chairpersons | 1 | 7 | 7 | 13 | 6 | 6 | 40 |
| TOTAL | 2 | 15 | 21 | 27 | 14 | 12 |  |
| Percentage | 2 | 17 | 23 | 30 | 15 | 13 |  |

Categories: 1. High school (diploma or equivalent)
2. Other (associate degree, certificates, or unusual degrees not included above)
3. Bachelors degree (BA or BS, BD, etc.)
4. Masters degree (MA and professional masters)
5. Special doctorate (JD, MD, or honorary)
6. Earned doctorate (EdD, PhD)

TABLE XVII
OCCUPATIONS OF BOARD CHAIRPERSONS

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | n |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Liberal Arts <br> College Type I | 2 | 11 | 6 | 3 | 14 | 8 | 5 | 1 | 3 | 4 | 57 |
| Liberal Arts <br> College Type II | 3 | 6 | 10 | 7 | 6 | 4 | 5 |  |  | 2 | 43 |
| TOTAL | 5 | 17 | 16 | 10 | 20 | 12 | 10 | 1 | 3 | 6 |  |
| Percentage | 5 | 17 | 16 | 10 | 20 | 12 | 10 | 1 | 3 | 6 |  |

Categories: Professional

1. Medical (physician)
2. Legal (attorney, judge)
3. Ministry (minister, priest, church executive)
4. Education (professor, administrator)

Business
5. Business/corporate executive
6. Investment/finance and banking
7. Self employed--business
8. Middle management/sales-business

Other
9. Housewife
10. Retired
were from the professional community. However, a careful review of the data in Table XVII indicated that the Liberal Arts Colleges Type I had a greater number of chairpersons from the business/corporate/finance sector, i.e., 49 percent compared to 34 percent for Type II College board chairpersons.

The Type II Liberal Arts College board chairpersons had a larger number from the professional sector ( 60 percent), as compared to 39 percent for Type I College board chairpersons. From a purely numerical perspective, or median statistic, Liberal Arts Colleges Type $I$ had more corporate/business executives as board chairpersons than any other single category; whereas, Liberal Arts Colleges Type II had more individuals from the ministry or religious professions.

Table XVIII recorded the responses to the question, 'How many years have you served in your present position and in higher education in total?" In response to this question, all but three respondents responded to the first portion of the question relating to the number of years in their respective positions, i.e., president and board chairperson. There was some confusion on the second half of the question, particularly on the part of the presidents where they were asked to separate years in teaching from administration. Hence, for the purposes of this study, the two were combined in a category entitled total years in higher education. Only five respondents failed to answer this second part relative to total years in higher education or on the board.

After careful review of the data from the matched and unmatched respondents, it was decided to combine mean scores for the data from each group into a weighted mean. ${ }^{1}$ The only comment warranted seemed to be that with both Liberal Arts Colleges Type I and Type II, the mean
tenure of the present president was 7.3 years, with a mean tenure of service in higher education of 14.05 years. It appeared that the mean tenure for Type I College board chairpersons was 4.1 years, with 11.5 years of total board service. With Type II College board chairpersons, there was a mean tenure of 5.4 years, with 10.6 years total board service.

TABLE XVIII

TOTAL YEARS IN PRESENT POSITION AND IN HIGHER EDUCATION OF RESPONDENTS

| Years | Presidents* |  | Board Chairpersons** |  |
| :---: | :---: | :---: | :---: | :---: |
|  | I | II | I | II |
| 1-5 | 33/6 | 30/6 | 42/6 | 30/8 |
| 6-10 | 21/8 | 17/16 | 13/21 | 11/19 |
| 11-15 | 6/7 | 4/17 | 1/14 | 3/12 |
| 16-20 | 7/13 | 4/6 | 0/11 | 0/3 |
| 21-25 | 2/9 | 4/7 | 0/3 | 1/2 |
| Over 25 | 0/6 | 1/6 | 0/1 | 0/1 |
| TOTAL n | 69 | 60 | 56 | 45 |
| Weighted Mean | 7.0/14.2 | 7.6/13.9 | 4.1/11.5 | 5.4/10.6 |

[^2]Comparing these data to the most exhaustive study on the American
college president, Leadership and Ambiguity, a Carnegie Commission general report, it was interesting to note that Cohen and March, in this study, found that in 1970 the completed average tenure for presidents was 7.2 years. ${ }^{2}$ This paralleled the findings of this investigator's study.

The number of presidencies-held data was inconclusive and of no value to this study; hence, it was not reported herein.

Sixty percent of the respondents from Liberal Arts Colleges Type I indicated they would be interested in participating in an expanded phase of research related to this study and its particular focus (Table XIX). Seventy-one percent of the Liberal Arts Colleges Type II participants indicated the same willingness. This investigator found this data important in view of the very heavy schedules of the participants. It appears from this that leadership and management data and recommendations specifically oriented to the Liberal Arts Colleges were needed and sought.

Appendix $J$ includes an alphabetical listing of all participating institutions from which data was received by the established deadine. This investigator, in consultation with his thesis chairperson, felt it appropriate to briefly present the number of institutions by regional accreditation areas (Table XX).

Forty states and territories were represented in this study. The majority of the institutions in this study, randomly selected, were from the North Central Accreditation Association; 53 institutions representing 36 percent of the total sample.

## TABLE XIX

## WILLINGNESS OF RESPONDENTS TO PARTICIPATE IN FURTHER RESEARCH

|  | Yes | No | Total |
| :--- | :---: | :---: | :---: |
| Liberal Arts Colleges Type I |  |  |  |
| Presidents | 39 | 30 | 69 |
| Board Chairpersons | 36 | 21 | 57 |
| Percentage | 60 | 40 | 56 |
| Liberal Arts Colleges Type II | 38 | 18 | 45 |
| Presidents | 34 | 29 |  |
| Board Chairpersons | 71 |  |  |

TABLE XX

## NUMBER OF PARTICIPATING INSTITUTIONS BY STATE AND REGIONAL ACCREDITATION ASSOCIATION

|  | ional Accreditation Association | State | No. of Institutions |
| :---: | :---: | :---: | :---: |
|  | New England | Connecticut | 5 |
|  |  | Maine | 4 |
|  |  | Massachusetts | 6 |
|  |  | Rhode Island | 1 |
|  |  | Vermont | 5 |
|  |  |  | $\overline{21}$ (14\%) |
| 2. | Middle States | District of Columbia | 1 |
|  |  | Maryland | 6 |
|  |  | New Jersey | 2 |
|  |  | New York | $12$ |
|  |  | Pennsylvania | $16$ |
|  |  | Puerto Rico | 1 |
|  |  |  | $\overline{38}$ (26\%) |
| 3. | North Central | Arkansas | 1 |
|  |  | Colorado | 2 |
|  |  | Illinois | 8 |
|  |  | Indiana | 7 |
|  |  | Iowa | 5 |
|  |  | Kansas | 2 |
|  |  | Michigan | 5 |
|  |  | Minnesota | 5 |
|  |  | Missouri | 2 |
|  |  | Nebraska | 2 |
|  |  | Ohio | 10 |
|  |  | South Dakota | 1 |
|  |  | West Virginia | $2$ |
|  |  | Wisconsin | 3 |
|  |  |  | $\overline{53}$ (36\%) |
| 4. | Northwest | Montana | 1 |
|  |  | Oregon | 3 |
|  |  | Washington | $\frac{2}{6} \cdot(4 \%)$ |
| 5. | Southern | Alabama | 1 |
|  |  | Florida | 1 |
|  |  | Georgia | 3 |
|  |  | Kentucky | 4 |
|  |  | Mississippi | 1 |
|  |  | North Carolina | 4 |


| Regional Accreditation <br> Association | State | No. of <br> Institutions |
| :--- | :--- | ---: |
| 5. Southern (Continued) | South Carolina |  |
|  | Tennessee | 2 |
|  | Texas | 2 |
|  | Virginia | $\frac{5}{24}$ |
|  |  | $(16 \%)$ |
| 6. Western | California | 6 |

Analysis of the Open-Ended Question

In this section, Question $C$, the open-ended optional question, was recorded and analyzed. This question was, "In your view, what are the two or three major challenges that face the leadership of your college in the next five years? Are these challenges applicable to liberal arts colleges in general? Yes_No_.'

Individual responses received to this question numbered 424 from the total 233 respondents included in this study. There was no evidence or indication that any particular group responded in greater numbers, and approximately one-fourth chose not to respond at all.

Each answer or challenge facing liberal arts colleges set forth by the respondents was read and, as a result of the initial screening, 10 broad categories for the responses were developed:

1. Financial stability,
2. Enrollment--recruitment and retention,
3. Curriculum,
4. Academic excellence,
5. Quality of faculty,
6. Effective leadership/management,
7. Institutional autonomy,
8. Quality of student,
9. Physical facilities,
10. Others.

A narrative summary of the comments and relative importance by each area as posed by the participants was presented, followed by four graphic presentations (Figures 2 through 5), depicting the responses of the presidents and board chairpersons by Liberal Arts College Type I and Type II, respectively.

The first, and most often referenced, category of challenges focused on the general area of future financial stability. In particular, presidents and board chairpersons were most concerned with: fund raising to meet operational costs; the development of appropriate endowment to sustain long term operation and expansion; the fact that fiscal constraints imposed upon the institution directly and indirectly affect the quality and scope of the academic program; the financial impact of the present tenure system; and the need for new and broader based sources of financing in view of the growing gap in tuition charges between the private and public sectors. In addition, concern was also expressed for: increased alumni involvement in fund raising; improved methods and emphasis on financial planning, budgeting, and management; new models of stewardship for governing board members; immediate planning for financial retrenchment; a re-examination of the goals and objectives of the institution in light of anticipated financial difficulty, i.e., an examination


Responses $=140 ;$ Respondents $=49$.
Figure 2. Major Challenges Facing Liberal Arts Colleges as Perceived by Presidents, Liberal Arts Type I Colleges


Figure 3. Major Challenges Facing Liberal Arts Colleges as Perceived. by Board Chairpersons, Liberal Arts Type I Colleges



Figure 5. Major Challenges Facing Liberal Arts Colleges as Perceived by Board Chairpersons, Liberal Arts Type II Colleges
of the relationship between programs and resources; and finally, the growing national inflationary trend.

Category two, and second in the priority of challenges mentioned by the respondents, was the area of enrollment, specifically the recruitment and retention of students. The challenges to leadership included: decisions relative to the economy of size, that is, the optimum level of student enrollment in view of all other related variables; the dilemma of extensive competition with the public sector of higher education for students, particularly in view of the declining number of 18-21 year olds available; the need for retention of students, thereby reducing the pressure for increased numbers of newly recruited students each year; more attention to personal counseling and placement services, coeducational decisions; consideration of realistic tuition increases; the need for continued federal and state support in the form of scholarships, loans, and tax incentives; greater institutional commitment to goals; and greater attention to national visibility for the institution.

The third category, and clearly the third in importance to the respondents in this study, was in the area of curriculum. The presidents and board chairpersons expressed a range of challenges which centered around curriculum review, relevance, and validity. There was a call for a re-emphasis on the liberal arts, their value, importance, and their relationship to career oriented programs. Many respondents suggested that vocational and career orientation of curriculum must be faced in view of shrinking enrollment and fiscal constraints. Others suggested that, as a prerequisite to any other challenges, the purposes of the institutions must be reviewed. There was a small but strong challenge expressed that the curriculum must prepare students for the world
outside the campus, ranging from continuing education emphasis to the world of 2000. In summary, these respondents suggested the major challenge was the reconciliation of the liberal arts and career preparation on a vital basis with clearly stated purposes and realistic for the future. This was absolutely essential for educational survival in the view of most respondents.

Category four dropped considerably in terms of response emphasis, as did the remaining categories. Academic excellence, category four, generally included challenges such as: the development of distinctive academic programs; the development of a renewed sense of innovation; the encouragement of improved teaching; the preparation of methods and thinking to accommodate an increased student-faculty ratio. There was considerable feeling expressed that academic distinctiveness and academic excellence were absolutely essential to offset the dismal enrollment future for small independent liberal arts colleges.

Category five, quality of faculty, was difficult to separate from category four, academic excellence. However, a sufficient number of respondents made specific reference to this area that it was included as a single item. The two challenges mentioned most frequently included: an improved capability for dealing with faculty negotiations in terms of tenure, salaries, and other benefits; and a much improved faculty development program to create ultimately a "self energizing" faculty, with renewed commitment to excellence, better morale, and greater efficiency and effectiveness.

Category six, effective leadership and management, was not mentioned with great frequency; however, it was forcefully suggested by those respondents who saw it as the major challenge of the future. It must be
pointed out that the need for new and improved management was frequently implied with respect to many other challenges cited by the respondents. The specific references for this category included: the need to attract nationally respected or more experienced leaders; the need for better decision makers, men and women with better management and budgeting skills who had not "become servant to them" as one respondent so aptly stated; the need for leadership to engage in more effective long range planning; the need for leadership better able to articulate and coordinate with local communities and the many constituencies in all respects; the need for leadership which would set the character and tone for the institution, intellectual and human in dimension; the need for stronger, more communicative leadership to avoid collective bargaining and the many potentially litigious situations; and finally, the need for board members to be more carefully selected and trained for their role as policy makers.

Category seven was the challenge to maintain institutional autonomy in an age of growing external involvement in the life of the independent liberal arts college. The most often mentioned challenge was the ability to cope with and evaluate the growing federal and state regulations impinging on the private sector of higher education.

Category eight dealt with the challenge of attracting superior students; that is, students who were properly motivated, possessed the requisite abilities to succeed, and had the moral and ethical standards assumed of educated persons. The great concern of the respondents to this challenge was that institutions faced consideration of lowering admission standards.

Category nine, physical facilities, could have been grouped with category one, financial stability. However, a few respondents urged that a serious challenge to private higher education would be one of developing plans for the best use of existing facilities and adequate formulas for predicting the need for new or expanded facilities.

Category 10 included challenges mentioned by only a few respondents. The one most often mentioned was the need to protect and encourage the commitment to Christian liberal arts education. Another challenge mentioned was the need for men's and women's colleges to re-evaluate their present situations and consider coeducational status.

In addition, it should be reported herein that 137 respondents indicated they felt the challenges listed in answer to Question $C$ were applicable to liberal arts colleges in general. Five did not feel this to be true and these five were from strong church related institutions.

Considering that this question was optional and time consuming, it was interesting to note the number of responses received. It should be pointed out that most of the specific responses were thoughtful, insightful, and interestingly thorough.

## Additional Findings

The basic purpose of the study was to compare perceived management styles and systems between two liberal arts college populations, one population being set apart as academically distinctive by a particular criterion. The comparisons, as set forth in the four hypotheses, have been made and appropriately analyzed in the beginning sections of this chapter. However, this investigator felt three additional comparisons could be made which related to the general purpose of this study rather
than to the specific purpose. The general purpose is restated in part herein, "to select one limited area of management and examine this within the specific context of the liberal arts college in an attempt to extend the body of knowledge available."

This study utilized a Rensis Likert "Profile of Organizational Characteristics" as the principal instrument to determine management congruence rather than preferred management systems. ${ }^{3}$ It seemed reasonable, however, that the basic Likert Management Systems should be briefly mentioned and comparisons made with responses received in this study. This provided yet another insight into the management or leadership of the two types of liberal arts colleges used in this study.

Rensis Likert, in The Human Organization: Its Management and Value, as well as his many other works in conjunction with the Institute for Social Research at the University of Michigan, offered four basic systems of organization or management. ${ }^{4}$
\(\left.\begin{array}{cccccc}1 \& 2 \& \& 3 \& 4 <br>
Exploitive \& Benevolent \& \& <br>
Authoritative \& Authoritative \& Consultative \& Participative <br>

1 \& 2 \& 3 \& 4 \& 5 \& 6\end{array}\right) 7\)| 8 |
| :---: |

The eight-point response scale used in the research instrument for this study closely approximated the above systems as indicated. A more detailed description of his four organizational or management systems was included herein, quoted directly from Hershey and Blanchard's Management for Organizational Behavior.

System 1--Management is seen as having no confidence or trust in subordinates, since they are seldom involved in any aspect of the decision-making process. The bulk of the decisions and the goal setting of the organization are made at the top and issued down the chain of command. Subordinates are forced to work with fear, threats, punishment, and occasional rewards and need satisfaction at the physiological and safety level.s. The lit.tle superior-subordinate interaction that does take
place is usually with fear and mistrust. While the control process is highly concentrated in top management, an informal organization generally develops which opposes the goals of the formal organization.

System 2--Management is seen as having condescending confidence and trust in subordinates, such as master has toward servant. While the bulk of the decisions and goal setting of the organization are made at the top, many decisions are made within a prescribed framework at lower levels. Rewards and some actual or potential punishment are used to activate workers. Any superior-subordinate interaction takes place with some condescension by superiors and fear and caution by subordinates. While the control process is still concentrated in top management, some is delegated to middle and lower levels. An informal organization usually develops, but it does not always resist formal organizational goals.

System 3--Management is seen as having substantial but not complete confidence and trust in subordinates. While broad policy and general decisions are kept at the top, subordinates are permitted to make more specific decisions at lower levels. Communication flows both up and down the hierarchy. Rewards, occasional punishment, and some involvement are used to motivate workers. There is a moderate amount of superiorsubordinate interaction, often with a fair amount of con- . fidence and trust. Significant aspects of the control process are delegated downward with a feeling of responsibility at both higher and lower levels. An informal organization may develop, but it may either support or partially resist goals of the organization.

System 4--Management is seen as having complete confidence and trust in subordinates. Decision making is widely dispersed throughout the organization, although well integrated. Communication flows not only up and down the hierarchy but among peers. Workers are motivated by participation and involvement in developing economic rewards, setting goals, improving methods, and appraising progress toward goals. There is extensive, friendly superior-subordinate interaction with a high degree of confidence and trust. There is widespread responsibility for the control process, with the lower units fully involved. The informal and formal organizations are often one and the same. Thus, all social forces support efforts to achieve stated organizational goals. 5

Figure 6 was developed to permit the reader to compare all responddents' mean scores, matched and unmatched, for each question on the basic research instrument with Likert's four systems of organization.


Figure 6. A Comparison of Weighted Mean Scores of All Survey Responses with Likert's Four Systems of Management


Figure 6 (Continued)

As this investigator reviewed the data summarized in Figure 6, it seemed that the responses received from the participants in this study are on the upper side of Likert's organization System 3 (consultative), with Liberal Arts Colleges Type II just slightly lower in most categories. The most interesting result seemed to be the general pattern of consistency between the respondents of Liberal Arts Type $I$ and Type II College participants.

On the more positive portion of the continuum in accordance with Likert's systems, the responses falling within System 4 (participative) were in the interaction/influence section dealing with the respondents' sense of fiscal and academic responsibility for the college, the open, candid relationship between the president and board chairperson, and lastly, their satisfaction relative to their respective roles with the college.

On the less positive end of the Likert continuum, that is within the range of System 2 (benevolent authoritative), responses were recorded for the questions relating to the levels at which fiscal responsibility was felt and the extent formal management systems were used. The level for fiscal responsibility seemed to be felt at top and middle management only, and there was a clear indication that formal management systems were not being utilized to a large extent.

A review of the data presented in Figures 7 and 8 indicated that Type I and Type II College presidents' mean responses compared with Likert's management systems in much the same manner as did the comparisons of responses of all Type I College participants with Type II College participants (Figure 6). That is, most responses were within the Likert category 3, described as consultative. This was also the


Figure 7. A Comparison of Mean Scores of the Responses of All Presidents with Likert's Four Systems of Management

| Questions | Liberal <br> Arts <br> College <br> Type I <br> Scores | Exploi- <br> tive <br> Author- <br> $\frac{\text { itative }}{1}$ |  | 3Consult- <br> ative <br> $5 \quad 6$ | $\begin{gathered} 4 \\ \text { Partic- } \\ \text { ipative } \\ \hline 7 \quad 8 \end{gathered}$ | Liberal <br> Arts <br> College <br> Type II <br> Scores |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 20a | 6.22 |  |  |  |  | 5.87 |
| 20b | 5.20 |  |  | $<$ |  | 4.90 |
| 21a | 7.48 |  |  |  |  | 7.33 |
| 21b | 7.68 |  |  |  |  | 7.69 |
| 22 | 7.33 |  |  |  | $\checkmark$ | 7.18 |
| 23 | 6.99 |  |  |  |  | 6.70 |
| A | 4.01 |  |  |  |  | 4.21 |
| B | 5.97 |  |  |  |  | 5.89 |
|  | Type I | - |  |  |  | pe II |

Sumary of Questions



Figure 8. A Comparison of Mean Scores of the Responses of All Board Chairpersons with Likert's Systems of Management

| Questions | Liberal <br> Arts <br> College <br> Type I <br> Scores | $\begin{aligned} & \quad 1 \\ & \text { Exploi- } \\ & \text { tive } \\ & \text { Author- } \\ & \text { itative } \\ & \hline 1 \quad 2 \end{aligned}$ | Be <br> ol <br> Au <br> $\frac{1}{}{ }^{\text {a }}$ <br> 3 | - r- ve 4 | $\mathbf{3}$ $\frac{\text { Consult- }}{\text { ative }}$ $5 \quad 6$ | $\begin{gathered} 4 \\ \text { Partic- } \\ \frac{\text { ipative }}{7} 8 \end{gathered}$ | Liberal <br> Arts <br> College <br> Type II <br> Scores |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 20a | 6.33 |  |  |  | 0 |  | 6.06 |
| 20b | 5.15 |  |  |  |  |  | 4.98 |
| 21a | 6.70 |  |  |  |  |  | 6.28 |
| 21b | 7.44 |  |  |  |  |  | 7.34 |
| 22 | 7.28 |  |  |  |  |  | 7.00 |
| 23 | 7.06 |  |  |  |  |  | 6.79 |
| A | 4.20 |  |  |  |  |  | 4.47 |
| B | 5.96 |  |  |  | Tra |  | 5.79 |
|  | Type I |  |  |  |  | - | e II |

1. Confidence shown in faculty 15. Distribution of control
2. Faculty freedom of expression
3. Use of evaluation data
4. Use of faculty ideas
5. Conflict resolution
6. Motivation factors used Motivation $f$
with faculty
7. President/board interaction

5a. Level for academic responsibility
5b. Level for fiscal responsibility
7. Direction of information flow
. President/board idea sharing
8. Faculty acceptance of direction
9. Accuracy of faculty communication
10. Administration awareness
11. Level for decision making
12. Faculty involvement of decisions
13. Goal setting procedure

20b. Board educational competence
21a. Extent of academic
responsibility
21b. Extent of fiscal
responsibility
14. Goal achievement by faculty
22. President/board communication
23. Work satisfaction
A. Formal management systems
B. Definition of college goals

Figure 8 (Continued)
case when the Type I and Type II College board chairpersons' mean responses were analyzed.

Rather than presenting the general congruence found in most instances, this researcher felt a content analysis within the major organizational categories was warranted.

The mean response comparisons of Type I and Type II College presidents revealed that in most categories, Liberal Arts Type II presidents were slightly lower on the Likert continuum. It was interesting to note that Liberal Arts Type I presidents indicated more confidence and willingness to seek and use faculty input than their Type II counterparts. In the area of motivation, there appeared to be a wider involvement felt for achieving academic excellence and fiscal stability within the Type I institutions according to the presidents, though clearly fiscal stability was significantly below academic excellence in terms of priorities. In the area of communication, Type I College presidents perceived a better understanding of faculty problems through communication than their Type II counterparts. However, the Type II College presidents perceived a slightly more open flow of information between faculty and administration.

Involvement in decision making was perceived to involve faculty to a greater extent within Type I institutions. It was interesting to note that Type II College presidents perceived that faculty had more involvement in goal setting than Type I presidents, though the data suggested the Type II faculty were not as active in achieving these goals as the Type I faculty. The use of evaluation data was perceived by the presidents of both Type I and Type II institutions as being moderately
delegated and mostly for granting salary, tenure, promotion, and some self evaluation.

Type I, as compared to Type II, presidents perceived more interaction between themselves and their board chairpersons. The presidents of Type $I$ and Type II institutions both felt conflict resolution generally involved all parties affected.

The presidents of Type I Colleges viewed their governing boards as more competent in the area of policy making than their Type II counterparts. The perceived institutional base for achieving fiscal stability was greater within Type I institutions, yet by a slight margin, Type II presidents perceived more personal responsibility for achieving financial stability than the Type I presidents.

It is interesting to note that Type I and Type II presidents were very satisfied with their work and felt their respective institutions had fairly well defined goals. The data also suggested that, compared to Type I Colleges, the Type II presidents felt less use was being made of formal management systems.

The comparisons between the mean scores of the Type I and Type II board chairpersons within the Likert organizational categories revealed strong congruence, yet the following trends were apparent.

Type II board chairpersons felt the administration showed more confidence in the faculty, but that the faculty members' ideas were not used to the same extent as perceived by their Type I counterparts. Type I and Type II college board chairpersons felt the base for achieving academic excellence was fairly widespread within their respective institutions. However, all board chairpersons perceived, as did all the
presidents, that the institutional base for achieving fiscal responsibility was less than for achieving academic excellence.

There was lictle notable difference in the perceptions of the Type I and Type II board chairpersons relative to the extent of accuracy and direction of information, and that communication was generally acceptable.

In the area of decision making and goal setting, the Type $I$ board chairpersons perceived more faculty involvement. It was particularly interesting to note that the Type II board chairpersons felt that evaluative data was used more positively than in the case of their Type I counterparts.

The Type II board chairpersons, in the area of interaction, felt that there was more individual involvement in conflict resolution than as perceived by Type I board chairpersons.

An additional finding derived from this analysis was the fact that the board chairpersons of Type I and Type II Colleges felt their boards were only "somewhat" competent in the field of education, yet were "quite" competent as policy makers.

To a lesser extent, the board chairpersons for Type II Colleges felt responsibility for achieving educational excellence and fiscal stability. All board chairpersons reported satisfaction with their work.

Type II board chairpersons felt the use of formal management systems was less evident though all board chairpersons recorded "some" use of formal management systems in their respective institutions.

## FOOTNOTES

$1_{\text {George Ferguson, Statistical Analysis for Psychology and Education }}$ (2nd ed., New York, 1977), p. 48.
${ }^{2}$ Michael D. Cohen and James G. March, Leadership and Ambiguity: the American College President (New York, 1974), p. 159.
${ }^{3}$ Rensis Likert, The Human Organization: Its Management and Value (New York, 1967), p. 12.
${ }^{4}$ Ibid., pp. 13-25.
${ }^{5}$ Paul Hershey and Kenneth H. Blanchard, Management of Organizational Behavior (2nd ed., Englewood Cliffs, 1972), pp. 61-62.

## CHAPTER V

SUMMARY OF FINDINGS, IMPLICATIONS, AND RECOMMENDATIONS FOR FURTHER STUDY

## Introduction

The general purpose of this study was to examine a particular area of management in relation to the small, independent liberal arts college in the United States. The specific purpose was to determine the extent of congruence, i.e., consistency of internal management style, was related to academic distinctiveness. The two college populations used to conduct this study were taken from the classification of Liberal Arts Colleges Type I and Type II as defined in the Carnegie Commission Report, A Classification of Institutions of Higher Education.

A management oriented instrument was used to elicit perceptions from presidents and board chairpersons about their institutions' management styles and systems. Appropriate comparisons were then made between the Type I and Type II institutions where responses were received from both the president and board chairperson. It was assumed that the study would indicate a greater degree of internal congruence of management style in the more distinctive institutions, namely Liberal Arts Colleges Type I. In addition to the primary purpose or focus of this study, other useful data were sought concerning the special challenges facing the private liberal arts sector of higher education as well as perceived strengths and weaknesses. Also, an implicit part of this study was to
confirm or reject some of the commonly held assumptions about the leadership and management of private liberal arts colleges.

The preceding chapters described the nature of the study in greater detail, noted its importance, pointed out the pertinent literature, outlined the method of investigation, detailed the research instrument, and presented the findings resulting from the testing of the four hypotheses.

This chapter briefly and concisely summaized the findings, suggested the implications to higher education, and included specific recommendations for further study.

Summary of the Findings

Four hypotheses were tested for significance at the . 10 level to determine the extent of management congruence between the two groups of liberal arts colleges isolated for this study, with one group being designated as academically distinctive for the purposes of this testing.

## Hypothesis I

Hypothesis I stated that there were no significant differences between the perceptions of the presidents and board chairpersons relative to the management style or system used in Liberal Arts Colleges Type I.

This hypothesis was not rejected; hence, it may be concluded that there was relative internal congruence or consistency in the management system or style used in Liberal Arts Colleges Type I as perceived by presidents and board chairpersons. This consistency was particularly evident in the areas of communication, decision making, goal setting, and evaluation.


#### Abstract

There were four notable exceptions at a significant level. The first was in one area of leadership where it was evident that the presidents felt more strongly than did the board chairpersons that faculty members' ideas were sought and used within the institution. The second exception was in one area of motivation where it was apparent that Type I presidents, more so than board chairpersons, felt the institution withheld tenure, promotion, and salary as part of the motivational processes. The third and most significant exception was in the area of interaction/influence where, clearly, the presidents felt a significantly greater responsibility for achieving academic excellence. The last area of notable exception was that board chairpersons, in general, were not finding as much satisfaction in their connection or role with the colleges as were the presidents.


## Hypothesis II

Hypothesis II stated that there were no significant differences between the perceptions of the presidents and board chairpersons relative to the management system or style used in Liberal Arts Colleges Type II.

This hypothesis was not significantly rejected either; hence, it must be concluded that there was general internal consistency in the management styles used in Type II Colleges as perceived by the aforementioned respondents.

There were, as with Hypothesis $I$, some exceptions where significant differences were noted, all in the area of institutional component interaction. The two most noteworthy findings suggested the presidents of Type II Colleges felt a greater sense of responsibility for achieving educational excellence as well as fiscal stability than did the board


#### Abstract

chairpersons. Whereas, in Liberal Arts Colleges Type I, the presidents showed a greater disposition toward achieving educational excellence, there was no significant difference between the presidents and board chairpersons in their sense of responsibility toward fiscal stability. Another noteworthy finding with Type II Colleges was that the board chairpersons felt that formal management systems were being utilized to a much greater degree than the presidents indicated.


## Hypothesis III

Hypothesis III stated that there was no significant difference between the perceptions of the presidents of Liberal Arts Colleges Type I and Type II relative to management systems or styles used in their institutions.

Once again, this null hypothesis was not rejected, and one must assume that there was general management style agreement between Type I and Type II Liberal Arts Colleges as perceived by the presidents. Only in the general areas of motivation, communication, and evaluation, were exceptions noted at a significant level. In the area of motivation, the presidents of Type I institutions perceived that a significantly stronger sense of responsibility for achieving academic excellence prevailed at more levels within the institutions than their Type II counterparts. In communication, the presidents of Type II Colleges seemed more sure of the acceptance of downward communication, administration to faculty. The area of control or the use of evaluation data, though less conclusive than the previous two items, suggested that the presidents of Type II institutions had a more consistent view of how to use evaluative data than their Type I counterparts.

Hypothesis IV stated that there was no significant difference between the perceptions of the board chairpersons of Liberal Arts Colleges Type I and Type II relative to the management systems or styles used in their respective institutions.

As with the three previous hypotheses, this null hypothesis was not significantly rejected. Two areas, however, indicated significant differences. In the area of motivation, as with the presidents of Type I institutions, the board chairpersons of Type I Colleges felt there were more institutional component levels involved in achieving academic excellence than did the board chairpersons of Type II institutions. There was also a significant difference in the extent Type I College board chairpersons felt responsible for educational excellence as compared to their Type II counterparts.

Table XIII provided an overview of all questions where statistical significance was found in the testing of any one of the four hypotheses. Worthy of special note were the responses to Question 21 a in the broad area of interaction which asked the extent the participants felt responsible for achieving educational excellence. In response to this, the presidents of both Type $I$ and Type $I I$ institutions felt a significantly greater sense of responsibility for achieving academic excellence than their board chairperson counterparts. In addition, Type I board chairpersons indicated a greater sense of responsibility for achieving academic excellence than Type II board chairpersons.

Interesting results were found in this overview in response to a similar question in the general area of motivation--Question $5 a$, which asked at what levels responsibility for achieving academic excellence
were felt. The presidents and board chairpersons from matched Type I Colleges both indicated that responsibility for achieving academic excellence was perceived to be fel.t at more levels than reported by their Type II counterparts.

Demographics

To summarize the demographic data received, it may be stated that 84 percent of all respondents were male, 16 percent female, with an eight percent greater number of female respondents from Liberal Arts Colleges Type I. This eight percent margin of more women was consistent within the two respondent groups--presidents and board chairpersons.

For Liberal Arts Colleges Type I, the mean age was 48.8 and 54.5 respectively for presidents and board chairpersons. For Liberal Arts Colleges Type II, the mean age was 48.0 and 52.0 years respectively. There was little difference in the ages of the respondents from Type I and Type II Colleges. Interestingly, only 10 individuals of the $2.33^{\circ}$ respondents were under 40 years of age.

In summary, it seemed that there were a greater number of board chairpersons in Liberal Arts Colleges Type I from the corporate/business/ banking sector of society; whereas, with Type II Colleges, a greater number were from the professional community. There was little appreciable difference in the educational spread of the board chairpersons from either Type I or Type II institutions. Eighty-one percent held a bachelors degree. Little distinguishable difference appeared relative to the educational levels of presidents of Type I and Type II institutions with 74 percent holding earned doctorates and 93 percent with at least a bachelors degree.

With all the respondents included, matched pairs and unmatched responses, the weighted mean number of years in office for Type $I$ and Type II presidents was 7.0 and 7.6 years, respectively--very consistent with national norms. For the board chairpersons of Type $I$ and Type II institutions, the weighted mean number of years as chairperson was 4.1 and 5.4, respectively.

Most presidents had been in higher education for a mean of 14 years and board chairpersons had served on the board for a mean of four years.

Relative to respondent willingness to participate in expanded research of the type indicated in this study, 60 percent of the Type I College respondents and 71 percent of the Type II College respondents indicated they would be willing.

A state-by-state review of the participating institutions, randomly selected, listed in accordance with the six regional accreditation areas, found 14 percent from the New England Association, 26 percent from the Middle States Association, 36 percent from the North Central Association, 16 percent from the Southern Association, and 4 percent from the Western Association.

## Open-Ended Question

Part IV of Chapter IV presented a detailed analysis of the major challenges the respective presidents and board chairpersons felt faced small private liberal arts colleges in the next five years. In brief, fiscal and financial stability was clearly the most serious major concern or challenge facing the respondents. This included such areas as increased endowment and operating money, decisions relative to programs versus financial outlay, the country's creeping inflation, and
institutional past experience with limited future prospects and sources for additional resources. Next, in rank order, were the challenges of developing new plans and programs for the recruitment and retention of students in a predicted reduced student market. Third was the challenge of developing curricular patterns based on stated goals that would meet student needs and yet maintain the necessary balance between the liberal arts traditions and occupation preparation. The remaining challenges decreased in intensity after these three, but included the following: a greater commitment to academic excellence, greater attention to faculty development and improved teaching, the need for improved leadership and management for liberal arts colleges, the desire to maintain autonomy in view of increasing federal and state involvement, a desire to attract the most motivated, talented students, the best use of and planning for existing and new facilities, and some concern for the need to maintain the Christian commitment that so many of the nation's smail private liberal arts colleges were founded upon.

One president, who must remain anonymous, best summarized the responses to this open-ended question:
. . . the challenges include: (1) academic self definition and the institution and enforcement of relevant quality controls and standards of excellence; (2) increased visibility entailing a greater investment in student scholarships, vigorous and sustained set of interchanges with the world outside campus; (3) shift in fiscal base with greater attention to the relationship between programs and production of revenues.

## Additional Findings

As the study progressed, it became apparent that the profile of responses from the modified Likert management research instrument indicated that on Likert's continuum of management styles (rank ordered

1 through 4), the liberal arts college leadership in this study was most closely identified with the upper end of his System 3 which is a "consultative" approach as opposed to his most desirable "participatory" system of management, System 4.

These additional findings, coupled with the findings and analyses that preceded this final summary, suggested that there was a clear pattern of internal management consistency within Type I and Type II Liberal Arts Colleges as perceived by their presidents and board chairpersons. It may be further stated that when viewed against Likert's management system orientations, Type I and Type II Colleges were substantially similar as perceived by their respective presidents and board chairpersons. Most responses were recorded in the upper end of Likert's System 3, which he termed "consultative." This suggested that the college administrations had substantial confidence in faculty and board policy and decision making was kept at the administration level while permitting some specific adademic decisions to be made at the faculty/ department leve1s.

## Implications

In view of the findings of this study, it must be acknowledged that the inability to conclusively reject the four stated hypotheses leads this investigator to conclude that with the use of the selected and modified research instrument, the stated research methodology, and the selected population samples, there were consistent internal management systems and/or styles within both Liberal Arts Colleges Type I and Type II, as perceived by their presidents and board chairpersons. Hence, this investigator was unable to state (with any data verification) that
there was a greater extent of perceived management congruence in the more distinctive liberal arts colleges, as defined in this study. This study also clearly suggested that the generally held perception that the less distinctive liberal arts colleges have less effective leadership, weaker commitments, and less understanding of the reality of the future of private liberal arts higher education was not borne out by the results of this study. However, the study did clearly point out that the presidents and board chairpersons of Type I institutions felt a greater sense of responsibility for the academic and educational exce1lence of their institutions (Table XIII, Figures 2 through 5).

In view of impending financial difficulty, this study seemed to indicate some need for improved management techniques and tools and further suggested that the governing board and the administrative leadership of the colleges broaden their conceptual understanding of the inseparability of academic excellence and distinctiveness and fiscal responsibility and stability.

It was clear from this study that many variables affect distinctiveness and most had financial implications. It was also clear that the leadership of the Liberal Arts Colleges were aware of and in general agreement as to the major challenges that face their institutions as they approached the 1980 's in higher education.

Although there was only a slight distinction in the findings of this study between Liberal Arts Colleges Type I and II, there was some general evidence of weaker governing board interest in the Liberal Arts College Type II sector.

## Recommendations for Further Study

This investigator accepted the findings of the study based upon the approach to the problem, methodology employed, and general familiarity with the data. However, this investigator would suggest a slightly modified approach be employed to further substantiate the findings of this study.

It is, therefore, recommended that an additional study be undertaken utilizing a smaller segment of the sample used in this study, but using Rensis Likert's recently developed 'Management Profiles for Universities" referred to in Chapter III. The instrument should be administered to eight or nine levels within the selected institutions: vice presidents, deans, department heads, faculty, staff, and students; thus, permitting a greater opportunity to test for internal management congruence than this study allowed.

This investigator continues to feel additional research is needed within the liberal arts sector of higher education to determine how leadership and management are related to distinctiveness and survival.

More specifically, with the importance of liberal arts colleges established, there is new emphasis on effective leadership and efficient management. In view of the fact that some literature outlined in this study suggested internal congruence in management style is somehow related to effectiveness, further study is warranted. This study viewed only two populations of liberal arts colleges to determine if the more distinctive population, Liberal Arts Colleges Type I, had a greater degree of internal management consistency. No conclusive empirical evidence was found supporting the above. However, it must also be pointed out that the two populations were very similar. Therefore, it is
recommended that other studies be initiated in other areas of higher education to study the relationship of management system congruence to leadership effectiveness. This investigator feels reasonably certain this relatively new concept is worth exploring and may indeed add important data to the growing body of knowledge related to higher education in America.

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Smith, G. T. Speech. Orange, California, May 16, 1977.

## APPENDIX A

## BASIC SURVEY INSTRUMENT

## PLEASE NOTE:

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These consist of pages:

$$
117-122
$$

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## PROFILE OF ORGANIZATIONAL CHARACTERISTICS - form S



## Leadershif

1. Hom much confidence and trust is shown in subordinates?
2. llow free do suburdinates feol to talk to superiors about their work?
3. How often are subordinates' ideas sought and used constructively?

## Motivation

4. In predominant use made of: a) (car, b) threats, e) punishment, dj rewards, e) involvement
5. Virre is responsibility felt for achicving hich performance?

| Very little | Some Quite a bit | A very great deal |
| :---: | :---: | :---: |
| (1) (2) | (1) (5) | (7) (8) |

Sowewhat free
Quite free
Very free
(1) (2) (3) (4) (3) (8) (3) (8)

Sometines
Often
Very often

| $a, b, c$, occasionsliy $d$ | d, with | Mainly $d$ with somo $c$ and $e$ | dand e. primarily based on zroup-set goals |
| :---: | :---: | :---: | :---: |
| (1) (2) | (3) (1) | (5) (6) | (7) (8) |
| Hostly at top | Top and midule | $\begin{gathered} \text { Falriy } \\ \text { widespread } \end{gathered}$ | At all levels |
| (1) (2) | (3) (1) | (5) (6) | (7) (8) |


| Very 1ittle | Sone | Qulte ${ }^{\text {bit }}$ | $\begin{gathered} \text { A very } \\ \text { great deal } \end{gathered}$ |
| :---: | :---: | :---: | :---: |
| (1) (2) | (3) (1) | (5) (6) | (7) |



APPENDIX B

MODIFIED SURVEY INSTRUMENT

## profile of organizational claaracieristics (form s)

This questionnaire was developed to enable persons to describe the management system or style used in their organization. in completing the questionnaire, it is important that you answer each question as thoughtfully and frankly as possible. in completing the questionnaire, right or wrong answers. Your individual responses cannot be used to identify you.
In pen or pencil, mark the circle which in your experience describes your college at the present time. For example, if cou believe that there is "quite a bit" of confidence and trust shown subordinates but the amount is closer to "sone," rou would mark (5). If you believe that the amount is closer to "a very great deal," you would mark (6).
Example:

## IN YOUR COLLEGE

:EADERSHIP

1. How much confidence and trust is shown in the faculty
by administrators?

A very
great deal

## Not free

Sonewhat free
$\square$
Quite free
$\square$Yery freetalk to administrators about
$\square$
$\square$
3.
it tively?

Rarely
gotivation
4. Is predominant use made of: a fear, b) threats,
c) punishment, d) rewards,
e) Involvement
5. Where is responsibility felt for achieving:
a) academic excellence,
b) fiscal stability


| Mainly of with some $c$ and $e$ |  | $d$ and $e$, primarily based o: group-set goals |  |
| :---: | :---: | :---: | :---: |
| (5) | (6) | (7) | (8) |
|  | $\begin{aligned} & \text { spread } \\ & \text { and } \end{aligned}$ tion) |  |  |
| (5) | (6) | (7) | (B) |
| (5) | (6) | (7) | (8) |

commulation
7. What is the usual direction of information flow between
8. How is downward communication accepted? (administrution to faculty)


| 9. | How accurate is upward cormunication? ffaculty to adninistration) | Usually |  | Occas ionaly |  | Oftenaccurate |  | Alost aineys |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (B) |
| 10. | How well do senfor administraors know the problems faced by faculty? | Not well |  | Samentiat |  | Quite well |  | very well |  |
|  |  | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| decisiows |  | Mostiy at top administration |  | $\begin{aligned} & \text { Policy } \\ & \text { at top, some } \\ & \text { delegation } \end{aligned}$ |  | General policy at top, moredelegation delegation |  |  |  |
| 11. | At what level are decistons nade? | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| 12. | How of ten are faculty members involved in decisions related to their work? | Almost never |  | Occasionally consulted |  | Generally consulted |  | $\begin{aligned} & \text { Fully } \\ & \text { involved } \end{aligned}$ |  |
|  |  | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| cones |  | Administration directives |  | Directives, some comments invitedfrom facuity |  | After discussion <br> by adainistration directives |  | $\begin{aligned} & \text { Generslly } \\ & \text { by group } \\ & \text { discusstion } \end{aligned}$ |  |
| 13. | How is goal setting usually done? | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| 14. | How much do faculty menbers | Very little |  | Some |  | Quite a bit |  | A verygreat deal |  |
|  | strive to achieve the college's goals? | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |

CONTROL
15. How concentrated are review and control functions?
16. What are faculty evaluations and other control data used for?

Adininistration
directives
(1)

Very little
(1)

| 15. | How concentrated are review and control functions? | $\begin{gathered} \text { Very highly } \\ \text { administration } \end{gathered}$ |  | $\begin{aligned} & \text { Quite highly } \\ & \text { at top } \\ & \text { administration } \end{aligned}$ |  | - Moderate delegation to lower levels |  | Widely shared |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | (3) | (4) | (5) | (6) | (7) | (8) |
| 16. | What are faculty evaluations | $\underline{S a l}_{\text {Ref }}^{\text {Pr }}$ |  |  |  |  |  |  |  |
|  | and other control data used for? | (1) | (2) | (3) | (4) | (5) | (5) | (7) | (8) |

Quite highly - Moderate

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## selected items: profile of a college or university (form l) covepming goards


20. How competent is the toord as
a whole?

| Not competent |  | Somewhist competent |  | Quicecompetent |  | $\begin{gathered} \text { very } \\ \text { competent } \end{gathered}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |

21. To what extent do you feel responsible for seeing that
the following are achieved in your callege or university:
Very little
Some
Considerable
Very great
a) educational excellence,
(1) (2)
(3)
(1)
(5) (6) (7)
(8)
b) fiscal stablity
(1) (2)
(3)
(4)
(5) (C) (7)
(8)
22. To what extent is the communication candid and open between the chairperson of

Some (3) satisfying
(5) (6)
very sreat Very satisfying
23. How satisfying is your work
(3) (1)
(5)
(6)
(7) $\square$
 All rights reserved, No further reproduction in any form authorized without written permission of Rensis都 Associates, Ine.
Modified with fermission of authors, 13 April 1977 ( 500 copies).
A. To what extent are formal management systems being utilized in your colligge? objectives; M80)
Very Ifttle Some

| (1) (2) (3) |
| :--- | :--- | :--- |

$\qquad$
$\qquad$ great dea (3) (8)
8. How well are the goals and objectives for your college defined?
Not at all
Limitedly
Fairly well
Clearly
and salient
(1) (2) $\square$
c. (Optional):
In your view, what are the two or three major challenges that face the leadership of your college in the nert five years? (Use reverse side.) Are these challenges applicable to liberal arts colleges in general? yes nert ine
D. Nould you be willing to participate in an expanded phase of research suggested by the results of this study? Yes
E. Demographic information:


APPENDIX C

LETTER OF PERMISSION TO USE SURVEY INSTRUMENT

860 Hokulua Drive Kailua, Hawaii 96734
May 4, 1977

Dean L. S. Leman
295 North Orange
Orange, California 92666
Dear Dean Levant:
Many thanks for your letter. Mrs. Liker and I appreciate seeing the items that you plan to use in the reworded form. We believe, as you do, that your questionnaire will yield the data you seek for your dissertation and provide results of broad interest to college administrators and boards.

We are pleased to give you permission to reproduce the 500 copies that you require.

We look forward with interest to seeing your data and your final dissertation. We shall b: glad to have you phone us any time that we can be of help.

Best wishes,


Pensis Likert
P.S. Enclosed is a copy of a letter from Vavreck that may be of interest to you.

## APPENDIX D

DR. LIKERT'S ACKNOWLEDGMENT OF MODIFIED INSTRUMENT
$\square$
RENSIS LIKERT ASSOCIATES, INC.

7hany thandthfor Hadiay

laiugled your a reationsuity a ratoralie


Uni theulthür hanie

, laie girur diakedatian:
Busi Werkadr lativerpar

to Atromai abrest ter leed of boteven.




$\sqrt{\text { Pexti uncoties leyou }}$
Ciceticilly
630 City Center Building
Ann Arbor, Michigan 48108
Telephone (313) 769-1980
Offices in Honolulu and Chicago

## APPENDIX E

## VALIDATION OF FINAL SURVEY INSTRUMENT

# UNIVERSITY OF REDLANDS <br>  

Office of the President

April 25, 1977

Dean Lloyd Lewan
Professor of Education
Chapman College
333 N. Glassell Street
Orange, CA 92666
Dear Mr. Lewan:
I have reviewed your instrument and believe you are very clear. You keep it simple and relatively brief, and busy people in answering questionnaires are certainly grateful for that.

Our faculty and staff participated in what I reall to be a similar study a few years ago conducted by a Methodist minister working on his doctorate degree.

Best wishes to you.

Sincerely,


EED/bt

April 26, 1977

Dean Lloyd Lewan
Assistant Professor
Educational Administration
Chapman College
333 North Glassell Street
Orange, Califomia 92666
Dear Lloyd:
I have looked over the "Profile of Orgnaizational Characteristis" instrument and find all of the questions to be quite clear except for the first one. I would suggest that you clarify "by whom".

I have done a study which speaks to the same question and would be happy to share it with you if you would like.
AZUSAPACIFICCOLLEGE


## GOLDEN WEST COLLEGE

15744 GOLDEN WEST STREET • HUNTINGTON BEACH • CALIFORNIA 92647 (714) 892-7711

May 17, 1977

To Whom It May Concern:
Recently Mr. Lloyd Lewan asked me to review for him a preliminary draft of a "profile on organizational characteristics," which he may plan to use as a research instrument; apparently he has asked several observers to review the instrument to perceive the potential reliability and internal validity of its structure.

The instrument he has contrived is an adaptation of the standard
Likert technique scaled as a continuum of alternative choices. A categorical range of questions which hopefully will measure characteristics are placed against the scale.

I have little concern for $\epsilon$ ither the scale or the structured questions. Whether he will gain comparative insights on characteristic organizational styles and configurations will be a matter proven by the manner in which the instrument is administered.
 President

## ᄃhapman Callege <br> 口range, California

Office of
The President

May 5, 1977

Mr. Lloyd S. Lewan
Assistant Professor of Education Chapman College

Dear Lloyd:
I've examined your research instrument and find the questions are clear and concise.

I commend you on this undertaking and would be most interested in the results.

Sincerely,


College orance. california 926 g.
(14) 0878 ent

May 5, 1977

Mr. Lloyd S. Lewan
Assistant Professor of Education
Chapman College
Orange, California 92666
Dear Mr. Lewan:
I have reviewed your proposed survey questionnaire on management systems and find it very easy to answer accurately.

From my vantage point as Chairman of the Board of Trustees of a small, independent liberal arts college, I am pleased you
are doing research in the area of management and the importance of sound management in the administration of private institutions.

Please send me a copy of the results as I am most interested.
sincerely,


Chairman of the Board of Trustees

## APPENDIX F

## COVER LETTER FOR SURVEY INSTRUMENT

WITH CODED RETURN ENVELOPE

# IED <br> Oklahoma State University <br> COLLEGE OF EDUCATION 

Jill K. Conway, President
Smith College
Northhampton, Massachusetts 01060

## Dear President Conway:

My research associate and I are presently engaged in a study of leadership in small, independent, liberal arts colleges and universities in the United States.

Our initial research is to investigate the management systems or styles found in the liberal arts colleges by asking select college presidents and chairpersons of boards of trustees to complete the enclosed data sheet independently of each other.

Your institution has been chosen to be included in this two hundred institution study. We hope you will take a few minutes from your busy schedule to give us your valuable input on the enclosed questionaire, returning it to us as quickly as possible.

Your response, of course, will be confidential, and neither you nor your institution will be specifically identified in the reported results. However, the envelopes are coded to determine appropriate responding pairs.

A summary of this study will be provided to you upon completion of the research.

Your contribution is deeply appreciated.


Donald W. Robinson
Dean
College of Education
Oklahoma State University
Stillwater, Oklahoma 74074



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333 North Glassell Street
Orange, California 92666

## APPENDIX G

FOLLOW-UP POST CARD TO NON-RESPONDENTS

# A few weeks ago, you should have received a research instrument from us relative to a study of leadership in small, independent, liberal arts colleges and universities in the United States. The instrument was titled "Profile of Organizational Characteristics." <br> Our response has been excellent, yet a few more surveys are needed to complete the study. We would appreciate very much your participation. Hopefully, the results of this study will be available in the Fall. 



চónald w. Robinson Dean
College of Education
Oklahoma State University Stillwater, Oklahoma 74074

L. S. Lewan

Assistant Professor
Educational Administration
Chapman College
Orange, California 92666

## APPENDIX H

FOLLOW-UP LETTER TO NON-RESPONDENTS



#### Abstract

As you will recall, my research associate and I are presently engaged in a study of leadership in small. independent liberal arts colleges and universities in the United States. The responses thus far have been excellent and a preliminary review indicates some valuable data will be forthcoming.

We have received the other response from your institution and would sincerely appreciate your taking a few minutes to complete the survey, thus permitting us to include your institution in the study. Please find enclosed an additional copy of the instrument for your convenience.

Again, let us assure you that neither you nor your institution will be identified in the reported results. We hope to have the findings of this study out early in the Fall. We realize how busy you are this time of year, and are pleased that you share our interest in liberal arts college leadership.

\section*{Most sincerely,}




## APPENDIX I

COMPUTER CODING FORMS

CONGRUENCE IN MANAGEMENT STYLES AS PERCEIVED BY
THE PRESIDENTS AND CHAIRPERSONS OF THE BOARD
IN TYPE I AND TYPE II LIbERAL ARTS COLLEGES

COMPUTER CODING FORM

| Computer |
| :--- |
| Computer |
| Label |


| Column |
| :--- |
| Number |

subno

## CONGRUENCE IN MANAGEMENT <br> Computer Coding Page 2

| ComputerComputer <br> Code <br> Label <br> Number |
| :--- |
| MOTIVATION |


| motq4 |
| :--- | :--- | :--- |

motq5a

| CONGRUE:ICE In :AARAGEMENT Computer Coding Page 3 |  |  |  |
| :---: | :---: | :---: | :---: |
| Computer | Computer | Data | Information Content and Codes |
| Code | Column |  |  |
| Label | Number |  |  |
| CONTROL |  |  |  |
| contql 15 | 40 |  | Extent-concentrated review and control |
| contql6 | 41 |  | Use of faculty evaluation and control data |
| contsum | 42, 43 |  | Summation of CONTROL questions |
| COLLEGE AND UNIVERSITY PROFILE QUESTIONS |  |  |  |
| colql7 | 44 |  | Resolution of conflict between academic units |
| colq 18 | 45 |  | Interaction of chairperson and president |
| colqla | 46 |  | Sharing of ideas between chairperson \& president |
| colq20a | 47. |  | Competency of board as policy making body |
| colq20b | 48 |  | Competency of board in field of education |
| colq2la | 49 | - | Responsibility felt for educational excellence |
| colq21b | 50 | - | Responsibility felt for fiscal stability |
| colq22 | 51 | - | Extent of candid communication between P \& CofB |
| colq23 | 52 | - | Personal satisfaction with work at college |
| managqa | 53 | - | Extent of use of formal management styies |
| managqb | 54 | - | Clarity of goals and objects for college defined |
| managqc | 55 |  |  |
| managqd | 56 | - | Participation in expanded phase of research $\text { (Yes }=1 ; \text { No }=2)$ |
| totsty 1 | 57, 58 |  | Number of questions in LEADERSHIP, MOTIVATION COMUNICATION, DECISIONS, GOALS, CONTROL sections receiving a 1 or 2 rating (sumation excluded) |
| totsty2 | 59, 60 | - - | Number of questions in same sections receiving a 3 or 4 rating (summation excluded) |

## CONGRUENCE IN IAHIAGEMENT <br> Computer Coding Page 4

| Computer <br> Code <br> Label | Conputer <br> Column <br> Number |
| :--- | :--- | :--- |
| totsty 3 | 61,62 |$\quad$| Information Content and Codes |
| :--- |

## APPENDIX J

PARTICIPATING INSTITUTIONS

## Alabama

Oakwood College
Arkansas
Philander Smith College
California
Harvey Mudd College
Immaculate Heart College Los Angeles Baptist College
Pacific Oaks College
Pitzer College
Pomona College

Colorado

Colorado College
Regis College

Connecticut
Albertus Magnus College
Annhurst College
Connecticut College
Trinity College
Wesleyan University
District of Columbia
Trinity College
Florida
Bethune Cookman College

Georgia

Agnes Scott College
Clark College
Morris Brown College
Hawaii

Chaminade College of Honolulu

## Illinois

Illinois (Continued)
Blackburn College
Elmhurst College
Knox College
Lake Forest College
Principia College
Quincy College
Wheaton College
Indiana
Earlham College
Goshen College
Hanover College
Saint Joseph's College
Saint Mary's College
Taylor University
Wabash College

Iowa
Briar Cliff College
Cornell College
Divine Word College
Luther College
University of Dubuque
Kansas
Baker University
McPherson College

## Kentucky

Asbury College
Centre College of Kentucky
Pikeville College
Union College

Maine

Bowdoin College
Colby College
Ricker College
Saint Joseph's College
Maryland
College of Notre Dame of Maryland
Goucher College
Maryland (Continued) New York
Mount Saint Mary's College Bard College
Saint John's College, Main Campus
Washington College
Western Maryland College
Massachusetts
Amherst College
Regis College
Stonehill College
Wellesley College
Wheelock College
Williams College
Michigan
Adrian College
Alma College
Calvin College
Madonna College
Nazareth College
Minnesota
Bethel College and Seminary
Concordia College at Moorhead
Concordia College, St. Paul
Gustavus Adolphus College
Saint John's University
Mississippi
Mississippi Industrial College
Missouri
Fontbonne College
Westminster College
Montana
Rocky Mountain College
Nebraska
College of Saint MaryDoane College
New Jersey
Centenary College for Women
Colgate University
College of Mt. Saint Vincent
Hartwick College
Hobard and William Smith College
Houghton College
Le Moyne College
Long Island University, SouthamptonCenter
Manhattanville College
Nazareth College of Rochester
Skidmore College
Wells College
North Carolina
Davidson College
High Point College
Meredith College
Saint Augustine's College
Ohio
Borromeo Seminary of Ohio
College of Mount Saint Joseph-on-
the-Ohio
College of Wooster
Denison University
Hiram College
Kenyon College
Marietta College
Oberlin College
Ohio Wesleyan College
Ursuline College
Oregon
Pacific University
Reed College
Western Baptist Bible College
Pennsylvania
Albright College
Allegheny College
Allentown College of Saint Francis
de Sales
Carlow College
Cedar Crest College
Chestnut Hill College
Dickinson CollegeGettysburg College
Pennsylvania (Continued)
Haverford College
Immaculata College
Seton Hill CollegeSusquehana University
Washington and Jefferson CollegeWaynesburg CollegeWestminster CollegeWilson College
Rhode Island
Barrington College
South Carolina
Columbia College
Furman University
South Dakota
Huron College
Tennessee
Bethel College
Lincoln Memorial University
Texas
Bishop College
Vermont
Bennington College
Goddard College
Mar1boro College
Midd1ebury College
Trinity College
Virginia
Hampden-Sydney College
Hollins College
Mary Baldwin College
Randolph-Macon Women's College
Sweet Briar College
Washington
Saint Martin's College

## West Virginia

## Alderson Broaddus College

Davis and Elkins College
Wisconsin
Carroll College
Lawrence University, Main CampusRipon College
Puerto Rico
College of Sacred Heart

## APPENDIX K

## CHI-SQUARE COMPARISONS OF RESPONSES OF

PRESIDENTS AND BOARD CHAIRPERSONS,

LIBERAL ARTS COLLEGES

TYPES I AND II


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 1
HOW MUCH CONFIDENCE AND TRUST IS SHOWN IN THE FACIJLTY BY ADMINISTRATORS?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II
QUESTION 1
HOW MUCH CONFIDENCE AND TRUST IS SHOWN IN THE FACULTY BY ADMINISTRATORS?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIberal arts college type I

QUESTION 2
HOW FREE DO FACULTY FEEL TO TALK TO
ADMINISTRATORS ABOUT THEIR WORK?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIberal arts college type II

QUESTION 2
HOW FREE DO FACULTY FEEL TO TALK TO
ADMINISTRATORS ABOUT THEIR WORK?


responses of presidents and board chairpersons

> LIberal arts college type if

QUESTION 3
how offen are faculty members' ideas sought AND USED CONSTRUCTIVELY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 4
IS PREDOMINANT USE MADE OF: A) FEAR,
B) THREATS, C) PUNISHMENT, D) REWARDS,
E) INVOLVEMENT?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
liberal arts college type il
QUESTION 4
IS PREDOMINANT USE MADE OF: A) FEAR,
B) THREATS, C) PUNISHMENT, D) REWARDS,
E) INVOLVEMENT?



RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 5 A
WHERE IS RESPONSIBILITY FELT FOR
ACHIEVING ACADEMIC EXCELLENCE?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 5 B
WHERE IS RESPONSIBILITY FELT FOR
ACHIEVING FISCAL STABILITY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIberal Arts college type iI
QUESTION 5 B
WHERE IS RESPONSIBILITY FELT FOR ACHIEVING FISCAL STABILITY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 7
WHAT IS THE USUAL DIRECTION OF INFORMATION
FLOW BETWEEN ADMINISTRATION AND FACULTY?


RAW CHI SQUARE $=6.10115$ WITH 5 DEGREES OF FREEDOM. SIGNIFICANCE $=.2965$

RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIberal arts college type II
QUESTION 7
WHAT IS THE USUAL DIRECTION OF INFORMATION FLOW BETWEEN ADMINISTRATION AND FACULTY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIberal arts college type I

QUESTION 8
HOW IS DOWNWARD COMMUNICATION ACCEPTED?
(ADMINISTRATION TO FACULTY)


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 8
HOW IS DOWNWARD COMMUNICATION ACCEPTED?
(ADMINISTRATION TO FACULTY)


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS

> LIBERAL ARTS COLLEGE TYPE I

QUESTION 9
HOW ACCURATE IS UPWARD COMMUNICATION?
(FACULTY TO ADMINISTRATION)


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 9
HOW ACCURATE IS UPWARD COMMUNICATION?
(FACULTY TO ADMINISTRATION)


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIbERAL ARTS COLLEGE TYpE I
QUESTION 10
HOW WELL DO SENIOR ADMINISTRATORS KNOW THE PROBLEMS FACED BY FACULTY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II
QUESTION 10
HOW WELL DO SENIOR ADMINISTRATORS KNOW THE PROBLEMS FACED BY FACULTY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIbERAL ARTS COLLEGE TYPE I

QUESTION 11
AT WHAT LEVEL ARE DECISIONS MADE?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 11
AT WHAT LEVEL ARE DECISIONS MADE?

responses of presidents and board chairpersons
LIberal arts college type i

QUESTION 12
how often are faculty members involved in dECISIONS RELATED TO THEIR WORK?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 12
HOW OFTEN ARE FACULTY MEMBERS INVOLVED IN DECISIONS RELATED TO THEIR WORK?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 13
HOW IS GOAL SETTING USUALLY DONE?


RAW CHI SQUARE
3.87844 WITH

5 . DEGREES OF FREEDOM. SIGNIFICANCE $=.5670$ $\qquad$

RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 13
HOW IS GOAL SETTING USUALLY DONE?

responses of presidents and board chairpersons
liberal arts college type I

QUESTION 14
HOW MUCH DO FACULTY MEMBERS STRIVE TO
ACHIEVE THE COLLEGE'S GOALS?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSUNS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 14
HOW MUCH OO FACULTY MEMBERS STRIVE TO
ACHIEVE THE COLLEGE'S GOALS?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 15
HOW CONCENTRATED ARE REVIEW AND CONTROL FUNCTIONS?


RAW CHI SQUARE $=5.67702 \mathrm{WITH} 7$ DEGREES OF FREEDOM. SIGNIEICANCE $=.577 y$ $\qquad$

RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 15
HOW CONCENTRATED ARE REVIEW AND CONTROL FUNCTIONS?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 16
WHAT ARE FACULTY EVALUATIONS AND OTHER
CONTROL DATA USED FOR?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 16
WHAT ARE FACULTY EVALUATIONS AND OTHER CONTROL DATA USED FOR?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 17
IN YOUR COLLEGE OR UNIVERSITY, HOW ARE CONFLICTS BETWEEN ACADEMIC UNITS USUALLY RESOLVED?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 17
IN YOUR COLLEGE OR UNIVERSITY, HOW ARE
CONFLICTS BETWEEN ACADEMIC UNITS
USUALLY RESOLVED?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 18
HOW MUCH INTERACTION IS THERE BETWEEN THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II
QUESTION 18
HOW MUCH INTERACTION IS THERE BETWEEN THE
CHAIRPERSON OF THE BOARD AND THE PRESIDENT?


RAN CHI SQUARE $=5.48533 \mathrm{WIIH} \quad 6$ DEGREES OF FREEDOM. SIGNIFICANCE $=.4832$

RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 19
HOW OFTEN DO THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT SHARE IDEAS?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II
QUESTION 19
HOW OFTEN DO THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT SHARE IDEAS?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIberal arts college type I

QUESTION 20 A
HOW COMPETENT IS THE BOARD AS A WHOLE AS
A POLICY MAKING BODY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 20 A
HOW COMPETENT IS THE BOARD AS A WHOLE AS A POLICY MAKING BODY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 20 B
HOW COMPETENT IS THE BOARD AS A WHOLE IN THE
FIELD OF EDUCATION?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 20 B
HOW COMPETENT IS THE BOARD AS A WHOLE IN THE
FIELD OF EDUCATION?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 21 A
TO WHAT EXTENT DO YOU FEEL RESPONSIBLE FOR SEEING THAT THE FOLLOWING ARE ACHIEVED IN YOUR COLLEGE OR UNIVERSITY: A) EDUCATIONAL EXCELLENCE?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 21 A
TO WHAT EXTENT DO YOU FEEL RESPONSIBLE FOR
SEEING THAT THE FOLLOWING ARE ACHIEVED IN
YOUR COLLEGE OR UNIVERSITY: A) EDUCATIONAL EXCELLENCE?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I
QUESTION 21 B
TO WHAT EXTENT DO YOU FEEL RESPONSIBLE FOR SEEING THAT THE FOLLOWING ARE ACHIEVED IN YOUR COLLEGE OR UNIVERSITY: B) FISCAL STABILITY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II
QUESTION 21 B
TO WHAT EXTENT DO YOU FEEL RESPONSIBLE FOR SEEING THAT THE FOLLOWING ARE ACHIEVED IN YOUR COLLEGE OR UNIVERSITY: B) FISCAL
STABILITY?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS LIBERAL ARTS COLLEGE TYPE I

QUESTION 22
TO WHAT EXTENT IS THE COMMUNICATION CANDIO AND OPEN BETWEEN THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 22
TO WHAT EXTENT IS THE COMMUNICATION CANDID
AND OPEN BETWEEN THE CHAIRPERSON OF THE
BOARD AND THE PRESIDENT?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION 23
HOW SATISFYING IS YOUR WORK WITH THE COLLEGE?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION 23
HOW SATISFYING IS YOUR WORK WITH THE
COLLEGE?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION A
TO WHAT EXTENT ARE FORMAL MANAGEMENT
SYSTEMS BEING UTILIZED IN YOUR COLLEGE? (EXAMPLE: MANAGEMENT BY OBJECTIVES; MBO)


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIberal arts college type II

QUESTION A
TO WHAT EXTENT ARE FORMAL MANAGEMENT
SYSTEMS BEING UTILIZED IN YOUR COLLEGE?
(EXAMPLE: MANAGEMENT BY OBJECTIVES;
(EXAMPLE: MANAGEMENT BY OBJECTIVES;
MBO


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE I

QUESTION B
HOW WELL ARE THE GOALS AND OBJECTIVES FOR YOUR COLLEGE DEFINED?


RESPONSES OF PRESIDENTS AND BOARD CHAIRPERSONS
LIBERAL ARTS COLLEGE TYPE II

QUESTION B
HOW WELL ARE THE GOALS AND OBJECTIVES FOR YOUR COLLEGE DEFINED?

## APPENDIX L

## CHI-SQUARE COMPARISONS OF RESPONSES OF PRESIDENTS, LIBERAL ARTS COLLEGES TYPES I AND II



RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

## QUESTION 1

HOW MUCH CONFIDENCE AND TRUST
HOW MUCH CONFIDENCE AND RY
IS SHOWN IN THE
ADMINISTRATORS?


RESPONSES OF PRESIDENTS,
LIberal arts colleges type I and II

## QUESTION 2

HOW FREE DO FACULTY FEEL TO TALK
TO ADMINISTRATORS ABOUT THEIR
WORK?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II
QUESTION 3
HOW OFTEN ARE FACULTY MEMBERS'
IDEAS SOUGHT AND USED CONSTRUC-
TIVELY?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 4
IS PREDOMINANT USE MADE OF: A) FEAR,
B) THREATS, C) PUNISHMENT, D) REWARDS,
E) INVOLVEMENT?


RESPONSES OF PRESIDENTS
LIBERAL ARTS COLLEGES TYPE I AND II
QUESTION 5 A
WHERE IS RESPONSIBILITY FELT
FOR ACHIEVING ACADEMIC EXCELLENCE?


RESPONSES OF PRESIDENTS,
LIberal arts colleges type I and II

QUESTION 5 B
WHERE IS RESPONSIBILITY FELT
FOR ACHIEVING FISCAL
STABILITY?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 7
WHAT IS THE USUAL DIRECTION OF
INFORMATION FLOW BETWEEN
ADMINISTRATION AND FACULTY?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 8
HOW IS DOWNWARD COMMUNICATION ACCEPTED? (ADMINISTRATION TO FACULTY)


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 9
HOW ACCURATE IS UPWARD COMMUNICA-
TION? (FACULTY TO ADMINISTRATION)


RESPONSES OF PRESIDENTS,
LIberal arts colleges type i and II

QUESTION 10
HOW WELL DO SENIOR ADMINISTRATORS KNOW THE PROBLEMS FACED BY FACULTY?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 11
AT What level are decisions made?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 12
HOW OFTEN ARE FACULTY MEMBERS
INVOLVED IN DECISIONS RELATEO
TO THEIR WORK?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 13
HOW IS GOAL SETTING USUALLY DONE?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I ANO II

QUESTION 14
HOW MUCH DO FACULTY MEMBERS STRIVE
TO ACHIEVE THE COLLEGE'S GOALS?


RESPONSES OF PRESIDENTS
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 15
HOW CONCENTRATED ARE REVIEH AND CONTROL FUNCTIONS?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 16
WHAT ARE FACULTY EVALUATIONS AND
OTHER CONTROL DATA USED FOR?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 17
N YOUR COLLEGE OR UNIVERSITY HOW ARE CONFLICTS BETWEEN
ACADEMIC UNITS USUALLY RESOLVED?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 18
HOW MUCH INTERACTION IS THERE
BETWEEN THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 19
HOW OFTEN DO THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT SHARE IDEAS?


RAW CHI SRUAKE $=3.13307 \mathrm{WIIH} \quad 7$ OEGREES OF FKELUNM. SIGNIFICANCE $=.8724$

RESPONSES OF PRESIDENTS,
LIberal arts colleges type I and II

QUESTION 20 A
HOW COMPETENT IS THE BOARD AS A WHOLE AS A POLICY MAKING BODY?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 20 B
HOW COMPETENT IS THE BOARD AS A
WHOLE IN THE FIELD OF EDUCATION?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 21 A
TO WHAT EXTENT DO YOU FEEL
RESPONSIBLE FOR SEEING THAT THE
FOL.LOWING ARE ACHIEVED IN YOUR
COLLEGE OR UNIVERSITY: A)
EDUCATIONAL EXCELLENCE?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 21 B
TO WHAT EXTENT DO YOU FEEL
RESPONSIBLE FOR SEEING THAT THE
FOLLOWING ARE ACHIEVED IN YOUR
COLLEGE OR UNIVERSITY: B)
FISCAL STABILITY?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 22
TO WHAT EXTENT IS THE COMMUNICA-
TION CANDID AND OPEN BETWEEN THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT?


RESPONSES OF PRESIDENTS,
LIberal arts colleges type I and II

QUESTION 23
HOW SATISFYING IS YOUR WORK WITH THE COLLEGE?


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II
qUESTION A
TO WHAT EXTENT ARE FORMAL MANAGE -
MENT SYSTEMS BEING UTILIZED IN
YOUR COLLEGE? (EXAMPLE: MANAGE-
MENT BY OBJECTIVES: MBO)


RESPONSES OF PRESIDENTS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION B
HOW WELL ARE THE GOALS AND
OBJECTIVES FOR YOUR COLLEGE DEFINED?
APPENDIX M
CHI-SQUARE COMPARISONS OF RESPONSES OF BOARDCHAIRPERSONS, LIBERAL ARTS COLLEGESTYPES I AND II


RESPONSES OF BOARD CHAIRPERSONS, LIBERAL ARTS COLLEGES TYPE I AND II QUESTION 1

HOW MUCH CONFIDENCE AND TRUST
IS SHOWN IN THE FACULTY BY ADMINISTRATORS?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

## QUESTION 2

HOW FREE DO FACULTY FEEL TO TALK
TO ADMINISTRATORS ABOUT THEIR WORK?


RESPONSES OF BOARD CHAIRPERSONS, LIBERAL ARTS COLLEGES TYPE I AND II QUESTION 3

HOW OFTEN ARE FACULTY MEMBERS
IDEAS SOUGHT AND USED
CONSTRUCTIVELY?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 4
IS PREDOMINANT USE MADE OF: A)
FEAR, B) THREATS, C PUNISHMENT,
D) REWARDS, E) INVOLVEMENT?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 5 A
WHERE IS RESPONSIBILITY FELT
FOR ACHIEVING ACADEMIC EXCELLENCE?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II
QUESTION 5 B
WHERE IS RESPONSIBILITY FELT
FOR ACHIEVING FISCAL STABILITY?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 7
WHAT IS THE USUAL DIRECTION OF
INFORMATION FLOW BETWEEN
ADMINISTRATION AND FACULTY?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 8
HOW IS DOWNWARD COMMUNICATION
ACCEPTED? (ADMINISTRATION TO FACULTY)


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 9
HOW ACCURATE IS UPWARD COM-
MUNICATION? (FACULTY TO
ADMINISTRATION)


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 10
HOW WELL DO SENIOR ADMINISTRA -
TORS KNOW THE PROBLEMS FACED BY FACULTY?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 11
AT WHAT LEVEL ARE DECISIONS MADE?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 12
HOW OFTEN ARE FACULTY MEMBERS INVOLVED IN DECISIONS RELATED TO THEIR WORK?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II
QUESTION 13
HOW IS GOAL SETTING USUALLY DONE?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 14
HOW MUCH DO FACULTY MEMBERS
strive to achieve the college's
GOALS?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 15
HOW CONCENTRATED ARE REVIEW AND
CONTROL FUNCTIONS?


RESPONSES OF BOARD CHAIRPERSONS,
liberal arts colleges type I and II
QUESTION 16
WHAT ARE FACULTY EVALUATIONS
AND OTHER CONTROL DATA USED
FOR?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

## QUESTION 17

IN YOUR COLLEGE OR UNIVERSITY HOW ARE CONFLICTS BETWEEN
ACADEMIC UNITS USUALLY RESOLVED?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 18
HOW MUCH INTERACTION IS THERE BETWEEN THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT?


RESPONSES OF BOARD CHAIRPERSONS,
liberal arts colleges type i and II

QUESTION 19
HOW OFTEN DO THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT SHARE IDEAS?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 20 A
HOW COMPETENT IS THE BOARD AS
A WHOLE AS A POLICY MAKING BODY?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 20 B
HOW COMPETENT IS THE BOARD AS A
WHOLE IN THE FIELD OF EDUCATION?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II
QUESTION 21 A
TO WHAT EXTENT DO YOU FEEL
RESPONSIBLE FOR SEEING THAT
IN YOUR COLLEGE OR UNIVERSITY:
A) EDUCATIONAL EXCELLENCE?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND•II

QUESTION 21 B
TO WHAT EXTENT DO YOU FEEL
RESPONSIBLE FOR SEEING THA
THE FOLLOWING ARE ACHIEVED
IN YOUR COLLEGE OR UNIVERSITY:
B) FISCAL STABILITY?


RESPONSES OF BOARD CHAIRPERSONS, LIBERAL ARTS COLLEGES TYPE I AND II QUESTION 22

TO WHAT EXTENT IS THE COMMUNICATION CANDID AND OPEN BETWEEN THE CHAIRPERSON OF THE BOARD AND THE PRESIDENT?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION 23
HOW SATISFYING IS YOUR WORK WITH the college?


RESPONSES OF BOARD CHAIRPERSONS,
LIBERAL ARTS COLLEGES TYPE I AND II

QUESTION A
TO WHAT EXTENT ARE FORMAL MANAGE-
MENT SYSTEMS BEING UTILIZED IN
YOUR COLLEGE? (EXAMPLE: MANAGEMENT BY OBJECTIVES; MBO)


RRAW CHI SQUARE $=1.90176 \mathrm{WITH} \quad 5$ DEGREES OF FREEDUH: SIGNIFICANCE $x \quad .8626$

RESPONSES OF BOARD CHAIRPERSONS,
liberal arts colleges type I and II

QUESTION B
HOW WELL ARE THE GOALS AND
OBJECTIVES FOR YOUR COLLEGE DEFINED?

VITA ${ }^{2}$<br>Lloyd Sheldon Lewan<br>Candidate for the Degree of<br>Doctor of Education

Thesis: A STUDY OF MANAGEMENT CONGRUENCE AS RELATED TO DISTINCTIVENESS IN SMALL PRIVATE LIBERAL ARTS COLLEGES IN THE UNITED STATES

Major Field: Higher Education
Biographical:
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Education: Attended elementary school in Plainfield, New Jersey, graduated from Plainfield High School, Plainfield, New Jersey, in June, 1955; received the Bachelor of Science in Business Administration degree from the University of Denver in June, 1960; received the Master of Arts degree in Educational Psychology from Chapman College, Orange, California, in June, 1967; completed requirements for the Doctor of Education degree at Oklahoma State University in December, 1979.

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[^0]:    Raw Chi-Square $=10.54259$, Degrees of Freedom $=2$, Significance $=.0051$.

[^1]:    *P $=$ President, $B C=$ Board Chairperson.

[^2]:    *Years as president/years in higher education.
    **Years as chairperson/years on board.

