

ALIENATION AND THE PERCEPTION  
OF PERSONALITY

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## TABLE OF CONTENTS

Chapter	Page
I. INTRODUCTION . . . . .	1
II. THE CONCEPT OF ALIENATION . . . . .	3
Theory . . . . .	3
Production . . . . .	4
Natural and Instrumental Will . . . . .	6
Natural and Instrumental Association . . . . .	7
The Growth of Instrumentality . . . . .	9
Commodities . . . . .	11
Technology, Science, and Education . . . . .	13
Population and Bureaucracy . . . . .	15
Freedom? . . . . .	16
The Problem with Instrumentality . . . . .	16
Others . . . . .	17
Myths . . . . .	19
Failure . . . . .	20
Alienation . . . . .	21
III. ALIENATION: THE EMPIRICAL LITERATURE . . . . .	23
Assumptions . . . . .	23
Varieties of Alienation . . . . .	27
Powerlessness . . . . .	28
Meaninglessness . . . . .	28
Normlessness . . . . .	29
Cultural Estrangement . . . . .	30
Self-estrangement . . . . .	30
Social Isolation . . . . .	31
Work . . . . .	32
Intrinsic Satisfaction . . . . .	35
Social Benefits . . . . .	37
Myths . . . . .	37
Powerlessness . . . . .	40
Extrinsic Satisfaction . . . . .	41
Summary . . . . .	41
The City . . . . .	42
Minorities, Women, and the Aged . . . . .	43
Criminality . . . . .	46
Learning . . . . .	49
Intellectuals and Universities . . . . .	51
Political Alienation . . . . .	53
Conclusion . . . . .	56

Chapter	Page
IV. PERSON PERCEPTION: THE EMPIRICAL LITERATURE .	57
Target's Appearance . . . . .	59
Target's Acts . . . . .	60
Social Context . . . . .	63
Context of Traits . . . . .	64
Intentions . . . . .	67
Interaction . . . . .	68
The Perceiver . . . . .	70
Development . . . . .	72
Summary . . . . .	75
V. THE STUDY . . . . .	77
Person Perception in Childhood and Adolescence . . . . .	78
Semantic Analysis . . . . .	86
Dean's Alienation Scale . . . . .	88
Relation to Other Measures . . . . .	101
Ecological Validity . . . . .	105
Hypotheses . . . . .	111
Method . . . . .	114
Results . . . . .	117
Discussion . . . . .	120
BIBLIOGRAPHY . . . . .	133
APPENDIX . . . . .	171

## LIST OF TABLES

Table	Page
I. Content Analysis Categories . . . . .	89
II. Qualification and Organization Categories . . . . .	96
III. Test-Retest Reliability of Items (Seven Week Period) . . . . .	99
IV. Intercorrelations Among the Oblique Factor Scores . . . . .	102
V. Correlations Between the Factor Scores and Individual Subscale Scores . . . . .	103
VI. Subscale Correlations. . . . .	104
VII. Freedom-Alienation Correlations . . . . .	107
VIII. Background Factors-Alienation Correlations . . . . .	109
IX. Analysis of Variance Table--Fluency . . . . .	118
X. Fluency Means . . . . .	119
XI. Frequency of Each Content Category for all Targets at Each Alienation Quartile with Chi-Squares . . . . .	121
XII. Frequency of Qualification and Organization Categories for all Targets at Each Alienation Quartile with Chi-Squares . . . . .	122
XIII. Frequency of Each Content Category for Self- Descriptions at Each Alienation Quartile with Chi-Squares . . . . .	123
XIV. Frequency of Qualification and Organization Categories for Self-Descriptions at Each Alienation Quartile with Chi-Squares . . . . .	124

## CHAPTER I

### INTRODUCTION

The alien, the outsider among insiders, remains alien as long as he is unable to put aside his old social grammar and assume the grammar of the surrounding society. His inability to anticipate what others will do contributes to ever-increasing feelings of anxiety, irritation, powerlessness. His inability to present himself in such a way that others understand him isolates him further, and prevents him, in fact, from learning what he needs to know. He may huddle in the warmth of his fellow aliens, strike back, or retreat from the offending society entirely, physically or psychologically. The thesis here proposed is that alienation is the condition of the individual who finds himself an alien in his own society.

The purpose of this study is threefold: (1) to develop a well-grounded theory of alienation; (2) to examine the specific relationship of expressed alienation and descriptions of personality; and (3) to contribute to the development of a viable semantic analysis technique. The organization is quite simple: Chapter II examines "instrumentality," that manner of living oriented toward



the achievement of ends by means not structurally integral to these ends. A case is made for the existence of an historical tendency toward instrumentality by tying it to various obvious tendencies, such as increasing technology, merchant economies, the factory system, population increase and the bureaucratic mechanisms it engenders. Finally, I define alienation in terms of failure in an instrumental world, where the natural, non-instrumental satisfactions of personal production and familistic associations may well be minimal. Chapter III reviews the empirical literature concerning alienation, beginning with discussions of assumptions and the varieties of alienation. Chapter IV looks at the empirical literature concerning person perception, leading to the hypothesized bridge between alienation and shallow perceptions of others similar to children's inexperienced perceptions. Chapter V attempts to experimentally validate this hypothesis by examining the relations of expressed alienation and semantically analyzed written descriptions of self and others.

It is my sincere hope that you enjoy this paper and the ideas it presents, and that it contribute in some small way to our understanding of the human condition.

## CHAPTER II

### THE CONCEPT OF ALIENATION

It is no simple task to tie together the many philosophical-sociological-historical-economic definitions, psychological operationalizations, popular millennialistic warnings, and subjective experiencings of alienation, using as twine a theory conceived prior to consideration of the problem. Alienation never was and never will be a unitary phenomenon. It has more in common with things like personality and mental illness and culture: It is hard to pin down but undeniably there. So I beg the reader's patience. It will all come together in the end.

#### Theory

In Glass Beads (Boeree, 1979), I outlined a theory, the main points of which are as follows:

1. Following Hjelmslev (1961), mind consists of "nothing but" relations, objects and acts being collections of point-signals on the sensory-motor "surface," which in turn are organized into meaningful gestalts through the use of rule systems, all of which may be modeled with a network notation such as that devised by Lamb (1966).

2. The interaction of mind with environment involves

the continuous presentation of cognitive structure in anticipation of sensation, some anticipation manifesting itself as action, and irreconcilable incongruities being accepted into cognitive structure through adaptation. (See Neisser, 1976, for a similar conception.)

3. Incongruence in or inaccuracy of anticipation is directly experienced as irritation, ranging from mild annoyance to terror (depending on the extent and duration of the incongruence); The resolution through adaptation (i.e. the growth of structure) is directly experienced as pleasure, ranging from mild effectance to the "Eureka" experience.

From this it can be seen that, insofar as one's "model" of the world is full, accurate, and consistent, one interacts without irritation--or pleasure. In fact, I would contend one would interact without awareness! But this is hardly a human existence: Our knowledge of the world--and ourselves--cannot be "full, accurate, and consistent;" It--and we--are simply too big, too complex, and we shall always be presented with events which lead to irritation, and the potential for growth and pleasure. We may orient our lives to avoiding unpleasantness and hide from irritation; or we may orient our lives to growth.

### Production

In order to deal with a number of problems associated with alienation, we must add a corollary to these three

points. The theory allows that image-making can occur independently of the environment without learned interiorization. It also allows for exteriorization of of image-making through action: We can see the horse in the marble and struggle to set him free!

Consider the artist. . . when he tries to express and to articulate an image. It is initially so identified and intertwined with him that he must endure pain and struggle to release it and give it a life of its own. . . . Yet out of this alienation a work of art may be born which is animated by the life the artist has breathed into it. In this moment he finds that his production is no longer severed from him but is taken back into his life, enriching and kindling it (Pappenheim, 1959, p. 92).

We are productive (as well as rational) creatures, and we can grow through "external" interactions as well as through "internal" ones, and so find producing pleasant. Listen also to Hegel (1942):

The thing's relation to me is neither fleeting nor superficial, for it is essentially transformed through my productive or "forming" activity in such a way that it bears my imprint. I have "put my will into it"; I have made it reflect my will, my personality (1942, section 42).

And listen to Marx, as interpreted by Schacht (1970):

Essentially considered, labor for Marx is productive activity through which the individual objectifies and thus realizes himself, simultaneously expressing and developing himself as an individual personality. It is what it should be only when it has no other end than than this (p. 93, emphasis added).

## Natural and Instrumental Will

But what if one's actions are no longer ends in-and-of themselves, but become means to other ends? First, we need to define will:

. . . the set of internally labelled decisions and anticipated results, proposed by application of data from the system's past and by the blocking of incompatible impulses or data from the system's present or future (Deutsch, 1964, p. 246).

That is to say, will is the setting aside of some things (whether a part of the environment, the environment as a whole, or a part of one's self) in order to devote oneself to some other thing. Will is saying no.

Now, there is a natural will, a setting-aside which is done because, through experience, we have come to know that that setting-aside allows for and heightens the pleasure of resolution. This may involve the innate, such as rolling a crumb of chocolate over one's tongue, or cooking, or fore-play in sex. Or it may be a cognitive event, such as puzzling over (or putting-up-with) the build-up to a joke, or contemplating a thought. or it may be an event involving production: Struggling with the wood/clay/marble/canvas/words in the effort to realize a conclusion congruent to the image. In all these examples, the activity prior to one's "reward" is intimately related to the "reward." This is the same as what Toennies (1957) calls Wesenwille.

Toennies also considers something called Kuerwille,

which I shall translate as instrumental will. This is the will involved in setting aside present irritation in order to achieve a future pleasure artificially connected to the irritations, where pleasure is not the result of resolving the irritating situation.

The awareness of means and ends as two separate and independent categories is the very core of Kuerwille, whereas both are blended and remain undifferentiated in Wesenwille (Pappenheim, 1959, p. 71).

Instrumental will is the careful assessment of pros and cons and prudent choosing, self-conscious, rational, deliberate.

We must not be overly romantic about natural will as opposed to instrumental will. Instrumental will has always been with us and always will be. And one can live one's life well, derive great satisfaction, living it in the instrumental way. There are, after all, also always natural enjoyments awaiting us--perhaps.

#### Natural and Instrumental Association

Human beings are social beings--if not by nature, then by the fact that surviving through infancy entails at least a few years of company. One's first society is generally "a social unit which does not primarily come into being through conscious design: one finds oneself belonging to it as one belongs to one's home" (Pappenheim, 1959, p. 66). Like the relationship of mother and child, separation may come, but it develops from a unity. I am, of course,

speaking of Toennies' (1957) Gemeinschaft, the natural association.

Naturally, we have a contrasting association, the Gesellschaft or instrumental association. Pappenheim (1959, p. 66) defines it so: "a relationship contractual in its nature, deliberately established by individuals who realize that they cannot pursue their proper interests effectively in isolation and therefore band together." Despite occasional unity, it is separation that prevails here: One enters an instrumental association with only a fraction of one's being, whatever corresponds to the specific purpose of that organization.

The parallel between natural and instrumental will (Wesenwille and Kuerwille) and natural and instrumental association (Gemeinschaft and Gesellschaft) is hardly meant to be hidden: A natural association is one wherein each member relates to each other member in terms of natural will; An instrumental association is one wherein each member relates to each other member in terms of instrumental will. In a natural association each seeks the other because the presence of the other is felt to be good, and an individual cannot be replaced without changing the group (can you "break-in" a new brother?). In an instrumental association each seeks the other as a means to some ends other than the association itself, and any individual can be replaced by another insofar as the other serves the same ends, by imparting to him the "rules"

of the position.

### The Growth of Instrumentality

Although both the smoothness and the inevitability of the trend have no doubt been exaggerated, I think it is undeniable that the world has been moving for some time toward the instrumental. The observations of historians and anthropologists on this progress are uncountable: Traditional to modern, rural to urban, preindustrial to postindustrial, all the standard progressions have contained within them the distinction between the natural associations of "primitive" communities and the social usury of today. Listen to Redfield (1947) on "folk society" and compare it to our own:

. . . small, isolated, nonliterate, and homogeneous, with a strong sense of group solidarity. The ways of living are conventionalized into that coherent system we call a "culture." Behavior is traditional, spontaneous, uncritical, and personal; there is no legislation or habit of experiment and reflection for intellectual ends. Kinship, its relationships and institutions, are the type categories of experience and the familial group is the unit of action. The sacred prevails over the secular; the economy is one of status rather than the market (p. 294).

Let us temper this distinction by noting that people have been complaining about the things we see as symptoms of alienation for some time: The ancient Athenians saw fit to condemn Socrates for "alienating" their young men; The ancient Hebrews apparently had such a hard time with "intergenerational conflicts" that they had to come up



with the the fifth commandment; And Aristotle had this to say about the "political apathy" of his day: "That which is common to the greatest number has the least attention bestowed upon it. Everyone thinks chiefly of his own, hardly ever of the public, interest" (Politics, ii, 3).

Further evidence from cyclical theorists indicates that there has been at least one other highly instrumental society: the Roman Empire. Sorokin's (1937) analysis, for example, brings to light the disproportionate number of writings emphasizing the ills of the society of the Empire, as well as the parallel growth of "alienated" schools of thought such as Skepticism and Cynicism, and the "pseudo-Gemeinschaft" religious cults of the era. Further, non-historical considerations point out that there is a continuum of similar nature in present-day civilizations, for example, Hall's (1977) low-high context dimension and Benedict's (1934)--or Nietzsche's, or Szent-Gyorgyi's--Dionysian-Apollonian distinction.

The difficulty is, as I mentioned, that instrumental will and association is coexistent with natural will and association, and it is the particular balance in a particular time and place and people that makes alienation more-or-less likely. Let us now turn to some possible reasons for the increasing prevalence of instrumentality, beginning with Toennies' (1925) observations on what he sees as the transitional era in which Hobbes lived:

Man still has his center in his family, in his

community and in his social estate. Monetary economy is still weak and therefore individual ownership has not yet reached an acute stage. Slowly, in a process which is often impeded and interrupted, further development erodes these conditions. Feelings and ideas which prevailed heretofore, begin to change. The individual centered on himself and what belongs to him increasingly becomes the predominant type of man in society. He thinks, he calculates, he reckons his advantage. To him everything becomes a means to an end. Notably his relationships to other men, and thus to associations of all kinds, begins to change. He dissolves and concludes pacts and alliances according to his interests, i.e. as a means to his ends. Although he finds it difficult to extricate himself from certain relationships into which he was born, he reflects on their usefulness and in his thoughts, at least, makes them dependent on his will.  
(p. 265)

### Commodities

First, we note that our progress toward instrumentality is paralleled by increased use of money (and now credit), increased importance of the merchant, and increased selling of labor. We must consider the growth of capitalism and the basis of this system, the commodity. Pappenheim (1959), discussing Marx, says this:

Marx considers the essence of the commodity the separation of use value from exchange value. No article, it is true, can become a commodity without having use value, that is without having specific properties which make it fit to serve some consumer's needs. Although this use value is a prerequisite for the object's conversion into a commodity, qua commodity the object has only exchange value. (p. 85)

So, a thing used "not for itself" but as a means to an end (i.e. instrumentally) is a commodity. And who uses things in such a fashion? Merchants. And the trend from self-

sustaining folk-economies to the mercantile economy of capitalism is as inevitable as the sun-rise, because it is driven by a brand of instrumental will which propogates particularly well when demonstrably successful: Greed.

It is difficult to keep books based on barter. An obvious solution is to translate all values (exchange values) into some common denominator, something small, hard, easy to weigh and carry: precious metals. And, stamped into uniform shapes and sizes, we barely need books at all. This is money, and this is what Engels (1902) has to say about it:

The commodity of commodities, that which holds all other commodities hidden in itself, the magic power which can change at will into everything desirable and desired (p. 35).

This conception of money was given further validation when paper money became common, when the treasury stopped printing the words "pay to the bearer. . . ," and finally when all money is perhaps in the process of being replaced by the tacit agreement represented by the credit card.

Now, what are these things that become commodities but the products of our labor? And what could be easier than the step from selling our creations to selling our creating (especially in exchange for "the magic power")-- unless it be the buying? Work becomes "not the satisfaction of a need but only a means to satisfy other needs" (Marx, 1963, p. 125). The worker

. . . does not fulfill himself in his work, but denies himself, has a feeling of misery rather

than well-being, does not develop freely his spiritual and physical powers but is physically exhausted and spiritually debased (Marx, 1963, p. 125).

### Technology, Science, and Education

Something else has paralleled the trend to instrumentalism: Technology. First, let us return to the product. As we said, a product is an extension of oneself, an exteriorization of the image within. Tools (and techniques, on a more abstract plane) are special forms of product which are used to create other products. They may be seen as, very literally, extensions of ourselves. But they contain in their very nature the seed of instrumentality.

Now, it used to be that a producer pretty much made his own tools as they were needed, and his products bore the stamps of not only his individuality but that of his tools as well. But this is inefficient, and inefficiency is the bugaboo of merchants and capitalists, not to mention princes and generals. And so there came into the world an invention as inevitable as money, the "technique of techniques," the factory system.

With the standardization of the product and the tools that make it, and with the fragmentation of the productive process by the assembly line, production is no longer the realization of the worker's images, is no longer a manifestation of natural will. The worker has become, in

fact, the most replaceable part in a strictly instrumental system. The danger is that the instrumentality has a distinct tendency to seep back down the tool and into the human being at the end.

Closely attached to the growth of technology is the growth of science, not as an extension of philosophy into the physical realm by enthusiastic gentleman amateurs, but as a standardized method for discovery in response to the demand for new commodities. Rather than wait for the intuitive synthesis of experience into new knowledge, which requires very special people in special situations, let us rather find efficient techniques that can be performed by anyone and, much like a computer giving the illusion of intelligence by being dumb very quickly, we are bound to come across the genius's insights at lower cost.

Also tied to technology is higher education. There used to be an ideal, expressed best by Cardinal Newman:

It is the education which gives a man a clear conscious view of his own opinions and judgements, a truth in developing them, an eloquence in expressing them, and a force in urging them. It teaches him to see things as they are, to go right to the point, to disentangle a skein of thought, to detect what is sophistical, and to discard what is irrelevant (quoted in Muller, 1970, p. 223).

The university is now, unashamedly, a means to an end, a training ground for businessmen, engineers, lawyers, professionals of all fields, or often enough, a care-taking service for over-extended adolescents. These are

not evils in and of themselves, except that they seem to have crowded out the ideal altogether. In commencement addresses everywhere, university presidents proclaim that the education formerly available only to the upper class is now available to everyone. In point of fact, that kind of education is now available to no-one, and all that is left is an expanded trade school.

### Population and Bureaucracy

The third major trend is population. The boom in the world's population has a reciprocal relationship with the trends we have discussed already: It is industrialization, capitalism, and technological innovation that, to a significant degree, allow for the increase in national and world population; Yet, without the steadily increasing market this boom provides, the very concept of quantitative progress may not have arisen. Even Redfield (1941, p. 364) admits that social change is a result of "increase of contacts, bringing about heterogeneity and disorganization of culture."

The size of a society such as ours means that there will be a great distance from prince to peasant, and that laws must be written concerning the mythical acts of the average man. And the real individual is often force-fitted into some category in order that the bureaucratic intermediaries can function as just representatives of their prince. So bureaucracy, so often blamed for the

state of the world, is perhaps the only rational response to the disintegration of more immediate power structures.

Big government, big business, big labor, the big city--all symbolize the anonymity of one among so very many. And it is this anonymity that makes Machiavelli the text of preference for making one's way.

### Freedom?

There is one more possible reason for the trend to instrumentality: It may, at least in part, be what people want; It may represent, symbolically or in actuality, and certainly ironically, freedom from the miserable existence people have lived since descending to the savannah.

Shocked, embarrassed and horrified, "we" deny what I as an individual know that I have always wanted--to be irresponsible in the truest sense, to be without obligations, to be for myself alone (Pawley, 1974, p. 42).

But the fact that A is preferred over B does not make A flawless or even viable. The question before us now is what is wrong with an instrumental society?

### The Problem with Instrumentality

It must be acknowledged that we can do quite well in life even with commodities, the nine-to-five, massive technology, and cumbersome bureaucracies. For the majority of people living in our instrumental society, the ends for which they put up with so much irritation

are satisfying, the myths they use to explain away those irritations are well developed, and they find daily respite in the natural company of family and friends. Let us examine each of these.

### Others

"Other people" are highly generative events in one's environment, extremely difficult to anticipate and adapt to, and hence potentially the source of a most extreme irritation. It takes a great deal of socialization to develop within oneself accurate "models" of human beings, and a great deal of conformity--agreeing to rules--to keep the generativity at a comfortable level. The degree to which you can deal with others in terms of "empathy"--i.e. assuming they think as you do--is the degree to which these measures are successful. This is home. In Gemeinschaft society it extends far beyond family and friends. In Gesellschaft society there is a very real danger of losing it altogether.

When someone interacts with you--no matter how pleasantly--but you know his pleasant manner is a means to an end, the surface manifestations of this person provide less immediate information about him and anticipation becomes increasingly difficult. The interaction changes from a pleasant experience to a question of "what does he want?" Irritation builds up until you discover his ends.



In a society of people such as this--people whose actions belie underlying motives--daily interaction is irritating to some extent. There is an "edge" to interpersonal relationships. We play games. The degree of irritation depends very much on how skilled you are at interpreting motives as well as how aware you are prior to an interaction of the ends of that interaction.

The result of long-term exposure to (or socialization in) this game is to always doubt the surface of interactions. It is, in fact, considered naive to trust others, their word, their outer appearances. We teach our children to never judge a book by its cover, don't take candy from a stranger, all that glitters is not gold, it's only a movie, etc. Mind you, these are good admonitions in our culture, if you wish your child to survive.

But consider: Can your child trust you? Generally speaking, within our very tiny nuclear (and "sub-nuclear") families, we tend to be honest, open, and to never treat each other as means to an end. But we often fail to leave the games at the door. It may take only one experience of mistrust on the part of a child to set him to thinking of even his family as game-players. And, being less well trained by simple virtue of not having "been around" as long, he is likely to be very inaccurate at making inferences as to your motivations. Suddenly, security--home--is a shaky proposition.

## Myths

Irritation need not be dissipated--it is not stored. But it causes us considerable long-term distress when we cannot distract ourselves from the irritating incongruity. In the process of exercising our instrumental wills, we are not occupying the delay in gratification with activity structurally integral to the end, but with something else. Now, if that something else is interesting in its own right, if it involves a puzzle or a challenge, or if it allows contact with friends, the delay is not so bad. But if the something else requires little involvement, little use of consciousness, our awareness naturally drifts to the unresolved incongruities of our never-complete model of the world and ourselves, i.e. we begin to think about the gap between what is and what we wish it would be.

We deal with our choice to delay with what I have unabashedly called myths. These include all the familiar reasons (defenses, diversions) people use to explain why they put up with things they would not otherwise put up with: Religious ideas of ultimate reward for suffering; philosophies of duty to country, family honor, or mankind; conceptions of progress, toward dozens of possible earthly paradises or just toward the continuing possibility of progress; conceptions of punishments for not continuing; conceptions of oneself as striving after fulfillment/achievement/glory/the life-style, and so on. Insofar as

we have these myths integrated in the larger context of ourselves, so do we have what these myths serve to see us through integrated. These myths lend meaning to instrumentality.

But often the myth weakens in some way. We encounter other myths, discuss them with others, read, think. Or our daily irritations exceed the limits our myth accounts for. Suddenly, like the man who having lost his faith comes to dread his death, we come to dread our lives.

### Failure

What happens when the means to some end are not known or are unavailable, or the ends have been artificially inflated or are withheld altogether, when the acts of instrumental will fail? Failure, although a possibility in all aspects of life, has an especially harsh feel to it when it is the culmination of an extended, willful act of denial, so harsh, in fact, that the only strictly psychological full development of the concept of alienation--Klinger, 1976--considers failure the essence of the problem. I shall consider it only a potential trigger for a fuller realization of the fragility of instrumental society, a realization that things, work, people, yourself have been means for so long and to such a degree that, ends withdrawn, these "means" can no longer be experienced for themselves. Instrumental living is like sucking your thumb while

awaiting the breast: It's not bad, really; but should the breast fail to make its appearance, it becomes acutely evident that thumbs give no milk.

If the goal system of Western affluence breaks down, what lies beneath it is not a renewed sense of community through scarcity, but an absolute social collapse without the security of interpersonal and inter-family support (Pawley, 1974, p. 185).

### Alienation

So, what happens when your lack of skills prevents you from attaining all those advertised ends, or when those ends aren't forthcoming?--you feel powerless. And when your myths and distractions no longer allow you to deal with the irritations incumbent in a life of denial?--you ask what justice is there. And when, knowing that you cannot trust in appearances, you find yourself insufficiently skilled at life in a social world to fend-off the terror of interaction?--you withdraw into shyness. And when you discover you have no haven of natural associations, no home?--you feel meaningless. And what happens when all these things come together in an overwhelming realization of the emptiness of your life?--you Stop.

This is alienation. It is not a misperception of reality. It is, rather, an all-too-accurate perception of the failings of instrumental society. The novelist Kurt Vonnegut, Jr. (1974) sums it up for us:

(People) want lives in folk societies, wherein everyone is a friendly relative, and no act or object is without holiness. Chemicals make them want that. Chemicals make us all want that. Chemicals make us furious when we are treated as things rather than as persons. When anything happens to us which would not happen to us in a folk society, our chemicals make us feel like fish out of water (p. 179).

## CHAPTER III

### ALIENATION: THE EMPIRICAL LITERATURE

The difficulty in reviewing alienation research lies in its vagueness: The topic tends to drift off imperceptibly into general unhappiness, or job dissatisfaction, or depression, and so on. In looking at alienation in relation to some variables you need to cut off discussions rather abruptly and arbitrarily to avoid going on forever; In other cases, you may never mention alienation at all and yet feel obligated to discuss certain relationships. Let us begin by discussing that vagueness.

#### Assumptions

Social philosophers, theorists, and critics--especially Marxists--have criticized the empirical work in alienation on three points. First, they point out that alienation is treated as a psychological state of an individual, whereas Marx was concerned with alienation as a structural condition of bourgeois society (Schacht, 1970; Israel, 1971; Marx, 1963). I mentioned this in the previous chapter, acknowledging that Marx's Entfremdung roughly corresponds to what I have called instrumentality,

and defining alienation as an awareness of and discomfort with extensive instrumentality and relative lack of naturalness in one's life--i.e. as a subjective, psychological state. I agree that, in science and philosophy, it is useful to have a one-to-one correspondence between words and concepts. Inability to deal with a lack thereof in a constructive fashion, however, is hardly a sign of scientific rigor or philosophical sophistication.

The second criticism concerns the "multidimensionality" of alienation as used by social scientists:

The apparent diversity of the things subsumed under the term--when it is discussed at all--is usually handled simply by adopting the expedient of suggesting "the concept of alienation" (and corresponding phenomena) to be "multidimensional" (Schacht, 1970, p. 155).

As I mentioned earlier, alienation is not a unitary phenomenon. We have differences in manner of experiencing alienation, such as Seeman's (1976) powerlessness, meaninglessness, normlessness, cultural estrangement, and social isolation (to be discussed in detail below); differences in focal aspect of society, such as Feuer's (1963) alienation of class society, competitive society, industrial society, man's society, race, and generations; Josephson and Josephson's (1973) distinction between conditions of alienation and the states of alienation, or Barakat's (1969) between social sources, psychological properties, and behavioral consequences of alienation;

focal activities (Keen, in Manley, 1969) such as speech, promises, work, reproduction, civility, hope in the future, and respect for ecology; and Scott's (1965) distinctions as to sources--lack of commitment to values, absence of conformity to norms, loss of responsibility in roles, and deficiency in control of facilities. (See Johnson, 1973, for a review.) We can also look at the manifestations of affect (e.g. anger, depression, loneliness, schizoid symptomology, etc.), and at the "population" of alienation (e.g. the isolated individual, small groups, the retreatist community, the ghettoized community, the "amorphous" community of the counter-culture, etc.).

There is, however, a unity in alienation which is found in what has variously been called "structure," "function," or "aetiology" (interestingly, the same people that criticize the use of the concept alienation apparently have no trouble with these words!): First, negatively, alienation is unhappiness not due to organic dysfunction, or to some misperception of reality, or as a reaction to specific events; Secondly, alienation is unhappiness due to, once again, the realization of the failings of instrumentality (or some other interpretation of source and development); And thirdly, there remains the possibility that, like different infections can be reduced by the same hand-washing, the varieties of alienation may have a common resolution.

The third criticism concerns the practice of



operationalization so dear to empiricists. McClosky and Schaar (1965, p. 24), for example, in discussing their alienation test quite honestly admit that "the items define, by their content, our conception of anomy," and Neal and Rettig (1963) talk about developing a better understanding of alienation through the use of multi-dimensional scaling. I agree with this criticism insofar as operationalizations, while often essential to experimental techniques, must be operationalizations of something--a theoretical concept. I must point out to the social critic, however, that a "test" of alienation consisting of utterances we might agree alienated people might utter is only barely an operationalization at all. Insofar as alienation is considered a subjective phenomenon, and people are capable of putting those experiences into words, a well constructed questionnaire should be quite able to "tap" alienation. It is a good sight better than either unemployment rates or galvanic skin responses, at any rate.

Concerning the social critics' points generally, I must add that man remains the measure at least of his own happiness or misery, and there comes a point at which we must settle--or set aside--our semantic quibblings in order to begin thinking about him.

One additional criticism comes not from the social critics, but from fellow empiricists: These point to the lack of predictive ability of the discussed

operationalizations, the lack of significant consequences of the subjective experiencings of alienation, the lack of cause-effect linkages. This requires three responses: First, a lack of significant consequences of such an experience is not terribly serious when the experience is the consequence with which we are concerned; Secondly, one "problem" in society is not necessarily the effect of some other "problem," but may reflect the structure of society operating at its best (there is, for example, an excellent argument that class differentiation is inevitable in a capitalistic society); And thirdly, like the robins in springtime, alienation appears again and again as a social phenomenon and as a significant variable in the empirical literature.

For reviews of these objections, as well as full discussions of alienation, please see Schacht (1970), Israel (1971), Johnson (1973), and Geyer and Schweitzer (1976). To summarize, approaching alienation as either a variable in an experimental equation or as an event of purely philosophical concern, we lose alienation as a real pain experienced by real people in a real, and very busy indeed, world.

#### Varieties of Alienation

Seeman (1959, 1967, 1969, 1975, 1976) is so often cited in the literature that any review would be incomplete without discussing his thoughts in some detail.

He postulates six (formerly five) varieties of alienation:

### Powerlessness

Powerlessness is a "low expectancy that one's own behavior can control the occurrence of personal and social rewards" (Seeman, 1959, p. 784), "the sense of low control versus mastery over events" (Seeman, 1975, p. 93), that experience expressed in items like "I have no control over things." It is Seeman's interpretation of Marx and Weber that finds its expression in powerlessness. Regarding the approach taken in this paper, it might be interpreted as an inability to generate actions which lead to a desired end; Instrumentality has failed; I have done x (unpleasant) in order to receive y (pleasant), but y has not been forthcoming.

### Meaninglessness

Meaninglessness is a "low expectancy that satisfactory predictions about future outcomes of behavior can be made" (Seeman, 1959, p. 786), "the sense of incomprehensibility versus understanding of personal and social affairs" (Seeman, 1975, p. 93), that experience expressed in items like "I don't understand the world anymore." It is Seeman's interpretation of Mannheim's thesis concerning the increase of functional as opposed to substantial rationality. We may see it as an inability to anticipate events in our efforts to reach desired ends; I do not have

an accurate image-model of my society; I cannot anticipate the results of my own actions.

The distinction between powerlessness and meaninglessness is understandable, for example in terms of systems theory, because of the strong differentiation between input and output. "Glass Beads," however, barely distinguishes between the image which anticipates sensation and the image which generates action. Indeed, the same image can, and usually does, participate in both perception and behavior. Meaninglessness and powerlessness are both expression of the "harshness" of failure in instrumental society which I mentioned in the preceding chapter.

### Normlessness

Normlessness is "a high expectancy that socially unapproved means are necessary to achieve given goals" (Seeman, 1959, p. 788), that experience expressed in items like "you have to play dirty to win." It is Seeman's version of Durkheim's and Merton's anomie. Normlessness shares aspects of both the preceding concepts--a discrepancy between what I want and what I have--and the following concepts--I think of myself or want to be a full-fledged member of society, but disagree with them concerning the means which are effective.

### Cultural estrangement

Cultural estrangement is "the individual's rejection of commonly held values in the society (or subsector) versus commitment to going group standards" (Seeman, 1975, p. 93), assigning "low reward value to goals or beliefs that are typically highly rewarded in the given society" (Seeman, 1959, p. 789). It is Seeman's interpretation of the sociological tradition of the role of the intellectual. For us, like normlessness is conflicting means, cultural estrangement is conflicting ends: The instrumental "establishment" has ends with which I disagree, has no values other than self-service, has no rules in the sense of ethical principles, requires one to reject the natural and pick up the instrumental.

### Self-estrangement

Self-estrangement is "the individual's engagement in activities that are not intrinsically rewarding versus involvement in a task or activity for its own sake" (Seeman, 1975, pp. 93-94), that experience expressed in items such as "I don't get any pleasure from my work." It is Seeman's interpretation of early Marx and Fromme. Self-estrangement is the realization that I am engaged in irritating activities that do not resolve themselves naturally; It is the simple paradox of "I do x and I do not want to do x."

### Social isolation

Social isolation is "the individual's low expectancy for inclusion and social acceptance, expressed typically in feelings of rejection or repudiation" (Seeman, 1959, p. 789), "the feeling of loneliness and yearning for supportive primary relationships" (McClosky and Schaar, 1965, p. 30). This is also a matter of simple paradox, and forms the crux of normlessness and cultural estrangement as well: "I am or want to be a member of society, but there are signs that I am not a member and may never be." It is the lack of natural associations during significant portions of one's day or one's life. Schacht (1970) makes the points that none of the researchers concerned with this aspect of alienation seem to require a loss of previous closeness or make a distinction between choice and non-choice of loneliness. The responses to these objections are obvious: The definitions above (and others) include with loneliness such qualifiers as "yearning" and "rejection;" The great majority of people in the world have experienced closeness at some point, hence can compare; And even those who have never experienced closeness (and so, according to Schacht, cannot experience loss) may have seen it in others, may indeed have the yearning built-in, or--most likely-- be so severely disturbed that loneliness would be the least of their problems.

We might summarize by classifying Seeman's (1975) six forms of alienation into categories created from the discussion of the previous chapter (e.g. the individual's difficulties concerning ends, means, or a lack of naturalness, in regards to production or association). But a lack of certainty on my part and a lack of clarity on Seeman's, not to mention the artificiality of any such categorization, would deprive the attempt of any real meaning. Better to be broadly vague when it is the nature of the event under investigation: "I am engaged (deeply) in a (largely) instrumental life, which in itself is not so bad; but it has come to pass that the ends I desire are not forthcoming (by means available to me) and/or the means are not known to me (to achieve those ends), which in itself would be merely a matter of failing and starting again; but the natural pleasures of production and familistic associations are unavailable--I have nothing to fall back on."

### Work

Apropos of the historical development of the concept of alienation, the area of greatest concentration of research is the relation of alienation to work. Although simple opinion polls indicate that work is liked--leading some to insist that the problem is mythical (Fein, 1973, for instance), it is undeniable that many people hate their work (Terkel, 1974) and that this dislike is reflected in

absenteeism, turnover, strikes, and sabotage (Walton, 1973; Cummings and Manring, 1977). An analysis of fiction in U.S. magazines indicated that in 1890, 27% of the plots presented work as a source of frustration, compared to 57% in 1955 (Martel, 1968). Finally, there is evidence that the quality of the workplace has important effects on the general quality of life (see Taylor's bibliography, 1973, and Smith and Cranny, 1968).

When the U.S. Department of Labor (1974, p. 16) asked a sample of workers what was very important in their work, they received the following responses (in descending order of frequency):

1. "Work is interesting;"
  2. "Enough help and equipment to get the job done;"
  3. "Enough information to get the job done;"
  4. "Enough authority to do my job;"
  5. "Good pay;"
  6. "Co-workers are friendly and helpful;"
  7. "Opportunities to develop my special abilities;"
  8. "Job security is good;"
  9. "Can see the results of my work;"
  10. "Responsibilities are clearly defined"
- (p. 16).

Obviously, a good salary is not the only thing workers seek in their employment. We must also consider the worker's expression of his uniqueness, his sense of purpose or contribution, his identification with his co-workers and the company, and his sense of control over his activities (Blauner, 1964). Lacks in these areas correspond well to our conceptions of self-estrangement, meaninglessness, social isolation, and powerlessness



respectively, i.e. to alienation. The opposition of these to the conception of work as a means to the satisfaction of extrinsic needs is such that some (Shepard, 1971, for example) use "instrumental work orientation" as an index of alienation.

Before continuing, I must note the differences between the theoretical approach taken in the previous chapter and the approach most often used to explain differences in needs in the work setting--Maslow's (1954). Maslow's hierarchy of needs progresses from the "lowest" (first to require satisfaction)--existence needs--through security, social, esteem, and autonomy needs, to the "highest"--actualization. In terms of the previous chapter, the existence needs are those "somatic events" which demand attention, as are the security needs. Maslow's social and esteem needs resemble the tendency toward natural associations, while autonomy and actualization needs resemble the tendency toward natural will in production or in cognitive activities. Notice that there is no theoretical need for (nor empirical evidence of) any hierarchical arrangement here, but simply a matter of people being drawn to these as preferable over instrumental functioning by the satisfactions they bring in either the personal, creative area or the social area. Hence, one would expect, once people are beyond the distractions of existence and security needs, that their individual makeup and history would direct them toward seeking satisfaction

in associations, in production, or in both

### Intrinsic satisfactions

This is the area most related to Marx's concerns. The research indicates that people indeed thrive in challenging jobs, jobs wherein they exercise their initiative, thought, judgement (Kohn and Schooler, 1973). Higher skilled, specialized workers show less alienation (Blauner, 1964; Shepard, 1969, 1973; Fried, 1973), and a sense of personal causation appears to be quite important to healthy general psychological functioning (DeCharms, 1968; Kohn and Schooler, 1973). Further, intrinsic factors appear to be important to performance as well: Work redesigned to provide greater intrinsic satisfaction ("job enrichment") has been tied to increased quality and greater productivity as well as greater satisfaction (Herzberg, 1966; Paul, Robertson, and Herzberg, 1969; Ford, 1973; Strauss, 1974, among others). The demand for intrinsic satisfactions in work is becoming increasingly widespread, especially among younger workers (Aronowitz, 1973), and appears to be spreading to other areas of life as well (Sheppard and Herrick, 1972).

The major concern regarding intrinsic satisfactions is the effect of technology (see Shepard, 1977, for a review). The key study here is Blauner's (1960): He examined the relationships of four "contributing characteristics" (technology, division of labor,

bureaucratization, and economic structure) in four industries (printing, textiles, automobile, and chemicals), to powerlessness (workers unable to control their job activities), meaninglessness (workers only minutely contribute to the final product), social alienation (workers do not belong to close work groups), and self-estrangement (work viewed as a means to an end). He expected and found an inverted U of alienation, from a low in the "craft" of printing, to highs in the mass-production of textiles and cars, to another low in the highly automated, continuous process chemical industry. Shepard (1969, 1973) found the same U-curve in focussing on specific man-machine relations in industry, as well as in office employees (1971); Kirsch and Lengermann (1971) found it in white collar bank employees; Cotgrove (1972) and Vamplew (1973) found it in a range of jobs within chemical processing plants.

To be honest, not all research is supportive. Susman (1972 a and b) found that lower alienation does not follow with each automation improvement: as it gets very high, alienation increases again. Form (1972, 1973) and Tudor (1972) found no relationships between technological complexity and aspects of alienation. Unfortunately, measures of the complexity of actual man-machine relationships (where complexity means involvement of the worker's intellectual facilities!) are not presently available, so the relation of this complexity and

intrinsic satisfaction remains uncertain.

### Social benefits

Unfortunately, inasmuch as I suggested that the existence of natural associations at the workplace should be just as satisfying (varying with individuals) as intrinsic satisfaction, the literature on social benefits is small indeed. Pearlin (1962) found that nursing personnel who had close relationships with fellow workers at the job suffered significantly less from work alienation than those who did not--regardless of other aspects of their work. Fullan (1970), in a study of the varying effects of technology on "worker integration" (the degree to which individuals feel isolated or related through interaction), found the same U-curve mentioned before, with continuous process workers appearing most integrated. This raises the possibility that the social relations certain technologies permit may be confounded with intrinsic satisfactions as a contributor in combating alienation. Finally, there is some indication that satisfactions of association in terms of feeling a part of a company as a community can vary quite independently of satisfaction in the immediate job (Osako, 1977, in Japan).

### Myths

Job involvement is not the opposite of job

alienation; nor is it the same as intrinsic motivation or general job satisfaction (Lawler and Hall, 1970). Rather, in terms of the previous chapter, it is the efficiency of the myths one uses to put up with instrumental living. Saleh and Hosek (1976) point out four versions in the literature:

1. Work as a central life interest (as in Dubin, 1956 and Lawler and Hall, 1970);
2. Active participation in job (Bass, 1965);
3. Performance central to self-esteem (Siegel, 1969; Lodahl and Kejner, 1965);
4. Performance consistent with self-concept (Vroom, 1964).

A general review of job involvement is available in Rabinowitz and Hall (1977), and a discussion of the concept in Kanungo (1979).

In support of my interpretation, we find job involvement tied to early socialization (Lodahl and Kejner, 1965), relatively unaffected by changes in work environment (again, Lodahl and Kejner, 1965), by job enlargement (Lawler, Hackman, and Kaufman, 1973), or by external stresses (Hall and Mansfield, 1971). We do find it related to the personality variable of locus of control (Runyon, 1973). We find it related to age (Schwyhart and Smith, 1972; Jones, James, and Bruni, 1975; Hall and Mansfield, 1975), except where the effects of association of maturity with responsibility and the effects

of generational differences might be expected to be minimal (i.e. with engineers, Lodahl and Kejner, 1965, and in Israel, Mannheim, 1975). Education appears to have little effect on job involvement (Siegel and Ruh, 1973; Jones, James, and Bruni, 1975). As one would expect from the centrality of the work ethic in the traditional male role, men are more job involved than women (Siegel, 1969). Finally, when job involvement is compared directly with the Protestant ethic (Weber, 1947), we find a close relationship (Lodahl, 1964; Bass and Barrett, 1972).

So, as we might expect, people are more satisfied with work as far as they are job involved (Lodahl and Kejner, 1965; Weissenberg and Gruenfeld, 1968; Schwyhart and Smith, 1972; Cannon and Hendrickson, 1973). Lawler and Hall (1970), however, found no relationship between involvement and performance, and the relationship with turnover and absenteeism is low or non-existent (Farris, 1971; Siegel and Ruh, 1973; but Patchen, 1965, did find negative correlations).

Myths are easier to believe in when they are accompanied by success (I, of course, imply no causality here!). Bray, Campbell, and Grant (1974) found that successful managers became increasingly involved as their success continued (see also Hall and Nougaim, 1968). This relates well to Klinger's (1977) views regarding failure as the essence of alienation.

## Powerlessness

Organizational structure also influences alienation: For example, as the size of the organization and the proportion of managers increases, so does alienation (e.g. Pravetz, 1976); as formalization and specialization increase, so does alienation (Wagoner, 1976); as jobs are tightly controlled and highly structured, alienation increases as well (Miller, 1967; Bonjean and Grimes, 1970; Kirsch and Lengermann, 1972). Conversely, when workers are offered more responsibility, autonomy, and above all control, we find alienation decreasing (Kolaja, 1961; Hunnius, Carson, and Case, 1973; both in Yugoslavia; please note that their results are not an effect of the "party line"--Blumberg, 1968, did not get these results in Poland). Further, alienation appears to be very low in the self-employed (Sheppard and Herrick, 1972). Also, it must be noted that reactions vary with individual values (Mobley and Corke, 1970), especially workers' belief in the importance and validity of authority in regards to their work (Pearlin, 1962; Sheppard and Herrick, 1972). Although some insist that workers who control their organization are simply sharing in their own exploitation (Aronowitz, 1973, and others), lack of power in one's work is generally supported as a cause of alienation.

### Extrinsic satisfactions

Some researchers insist that one can be satisfied with one's work for its extrinsic rewards alone (Middleton, 1963; Miller, 1967; Goldthorpe, Lockwood, Bechhofer, and Platt, 1968; Duncan Schuman, and Duncan, 1973), and while this extreme a statement is debatable, few people will deny that extrinsic rewards contribute to general satisfaction. More money generally means more satisfaction (Sheppard and Herrick, 1972) and less absenteeism and turnover (Porter and Steers, 1973). Promotions do the same (Hahn, 1975). However, most wealthy people continue to work (Macarov, 1970), and most workers say they would continue to work if they became rich (Morse and Weiss, 1955--notice the highly hypothetical nature of the question, however!). Even more convincing is the U.S. Department of Health, Education, and Welfare's (1973) New Jersey Graduated Work Incentive Experiment, wherein 1200 poor families received guaranteed minimum incomes of varying amounts, with no significant differences in work behavior resulting!

### Summary

To summarize, people work for many reasons: The satisfaction intrinsic to production; the social satisfactions one finds in one's work mates; in keeping with one's self-image; or for the money. One becomes



alienated when the ends are withdrawn and the myths crumble and the lack of natural satisfactions in both one's production and one's social relations become evident. We find in the literature some support for this interpretation and, I believe, no contradictions. Let us now look at alienation in other areas of life.

### The City

Although research concerning alienation in contexts other than work is hardly as dense, it is not lacking either. The city, for instance, has attracted alienation researchers because of the expectation that one might find social isolation (due to introverted architecture, divisive urban design, and isolating mobility, perhaps) and the meaninglessness incumbent upon being one among so many.

In fact, however, these expectations appear to be poorly grounded. Loneliness, the "alienation from expressive relations" (Aiken and Hage, 1966, p. 497), is not so pervasive: Greer (1962), Sussman (1972), Fried (1973), and Wellman (1973) found that city dwellers have many primary ties in neighbors. A sense of belonging is also not lacking (Bell and Held, 1969; Portes, 1971; Laumann, 1973; Kasarda and Jonowitz, 1974). In fact, many people express great satisfaction in both the number of acquaintances and the freedom from small-town closeness one finds in the city, what Granovetter (1973) calls the

"strength of weak ties." With the complex communications networks of urban (and now essentially all) society, we find the arrival of the "small world," ala MacLuhan (see Milgram, 1967; Traverse and Milgram, 1969; Korte and Milgram, 1970). Fischer (1973) found urban living had a minimal effect on anomie. Finally, despite Kitty Genovese, research has discovered that urbanites are not so necessarily aloof (Latane and Darley, 1968; Milgram, 1970).

Philliber (1977), in examining patterns of alienation in the inner city, notes that low income area inhabitants have a generalized response to society's bureaucracy--that is, the consumer system, police, and political system are seen as parts of a whole unrelated to them. The orientation to the neighborhood, however, is independent of these others. This suggests that city dwellers feel alienated in regards to those aspects of the city from which they are objectively "alienated," and recognize the value of their natural association. In fact, Wilson (1971) found inner city blacks to express less anomie than the surrounding neighborhoods, presumably because of the value the former place on their natural associations. The city may contain alienating circumstances (work, power, school, crime), but is itself a source of alienation only to the stranger.

#### Minorities, Women, and the Aged

It is among "second-class citizens" that the

comparison between the alienated and the alien has its best representation. Appalachian poor (Polansky, Borgman, and de Saix, 1972) or African tribesmen on a desert preserve (Turnbull, 1972) are faced with dealing with a social system they are unable to master: You can't "get in" if you don't change; you can't change if you don't "get in." Further, improvement in life circumstances seem unlikely to raise mastery (Feagin, Tilly, and Williams, 1972), much as job enrichment applied from without often fails to add to intrinsic satisfaction.

"The alienated man is acutely aware of the discrepancy between who he is and what he believes he should be" (Levin, 1960, p. 59). The alienated expect less of their desires to be fulfilled, expect not to achieve academically, not to find independence, and (especially among women) not to find love or affection (Lombardo and Fantasia, 1978). Hence, we should expect to find the alienated among those groups where those expectations are, for any number of reasons, validated: the poor, the lower class, blacks (Dean, 1961; Middleton, 1963; Bradburn, 1969; Lystad, 1972; Sheppard and Herrick, 1972; Bean, Bonjean, and Burton, 1973).

Further, alienation, especially powerlessness, is associated with (moderate--Campbell, 1971) hostility toward the majority culture--i.e. whites (Ransford, 1968; Seeman, Bishop, and Grigsby, 1971). Again, the bases in reality are revealed by the stronger hostilities expressed

by men and working women (Crain and Weisman, 1973).

The literature regarding women is limited. Housework appears to be more alienating than outside work (Nelson, 1977). But, if unsatisfying marriages and high divorce rates reflect alienation (we would expect, since these lower the amount of natural association in people's lives, that there is such a relationship), we find other sources: The more hours wives work outside the home, the less satisfying the marriage (Hicks and Platt, 1970) and the more likely divorce (Levinger, 1965); working out of necessity increases these effects further (Blood and Wolfe, 1960; Orden and Bradburn, 1969); and strong work commitments on the part of both partners does likewise (Bailyn, 1970). Only where the marriage partners work together does satisfaction increase (Blood and Wolfe, 1960).

The literature regarding age is usually in terms of general happiness. There appears to be a gradual decline between the 20's and the 40's, a plateau from the 40's to the 60's, and an accelerated decline from the 70's on (Gurin, Veroff, and Feld, 1960; Dean, 1961; Bradburn, 1969). Although the preceding studies are survey studies--with a possible confusion of age and generation--Britton and Britton (1972) followed people 65 years old and older for nine years, and found the general life satisfaction went down for 60%, in comparison to the 29% indicating an increase. Harris (1975) asked people for the best years

in their lives, and found that the older the person the less likely they were to pick their own age. Moderating the results somewhat, Bradburn (1969) found that our emotional lives become "more serene" as we get older, and Chiriboga and Lowenthal (1974), among others, point to the great unhappiness and rampant depression among teenagers. More tied to alienation, and quite obvious in today's world, social isolation is a significant problem among the elderly (Rosow, 1967; Tunstall, 1967).

### Criminality

In discussing criminality and alienation, we meet with a number of definitional difficulties. Anomie may be defined as a social, structural situation where norms have lost their regulatory power, which reveals itself in the form of instability, lack of order, general "hedonistic" or "egoistic" tendencies, and even anarchy. This kind of anomie might be measured in terms of high rates of deviance, divorce, criminal activities, and so forth (e.g. Yinger, 1973). More precisely, it might be measured in terms of widespread disrespect for norms (Johnson, 1960), or as the converse of "the degree of consensus within the community (or subunit) concerning the behavior that is prescribed, proscribed, or permitted to members" (Seeman, 1976, p. 279; used in Jessor, Graves, Hanson, and Jessor, 1968). This leads to a transitional definition:

Anomie is . . . a breakdown in the cultural

structure, occurring particularly when there is an acute disjunction between the cultural . . . goals and the socially structured capacities of members of the group to act in accord with them (Merton, 1957, p.65).

The purely subjective forms of anomie fall into a number of categories:

1. The rejection of societal norms (implying a replacement with other norms) as in Keniston (1965), Lowry (1962), and Putney and Middleton (1962);
2. An acknowledgement or belief that the norms of "polite" society do not work, as in Neal and Crout (1970, 1975) and Seeman (1959);
3. Adherence to (and incorporation of) conflicting norms, as in Dean (1961);
4. A feeling that the norms are weak or unclear, as in McClosky and Schaar (1965) and MacIver (1950);
5. A literal lack of norms (unless one considers an end of pure self-interest and a means of pure instrumentality to constitute a norm--I don't).

In addition, we find anomie as a component in measures of general discontent (Srole, 1956), personal morale (Kornhauser, 1965), misanthropy (Rosenberg, 1956) and cynicism (Lyons, 1970).

Unfortunately, the research is less interesting than the debate over definitions. First of all, we find normlessness highly associated with social class factors such as education (e.g. Mizruchi, 1964; Nelson, 1968;

Bullough, 1969). We find it related to prejudice (Luterman and Middleton, 1970), to sabotage in the work place (U.S. Department of Labor, 1974), and to traffic violations in high schoolers (Pelz and Schuman, 1973). We find less crime during major civil rights activity among southern urban blacks--i.e. more involvement, less alienation (Solomon, Walker, O'Connor, and Fishman, 1965). Generally speaking, we find the ignoring of rules associated with problems in believing in them.

A recent and interesting development in the research involves examinations of the relationships between alienation and juvenile delinquency. Jones (1977) found the interaction of powerlessness and parent-child belief differences to be a strong predictor of drug use. Vales (1973) further tied the variables with the "triple failure" to (1) model from appropriate adults, (2) reach desired goals or obtain social benefits, and (3) develop relationships with non-addicts (validated with black and white Americans and Puerto Ricans). Finally, mention must be made of the interesting thesis that schools create delinquents because of their success, not failure: In this society, schools are set the goal of preparing adolescents, especially those of the lower classes, for alienated work and lives. When the adolescents reject this future--i.e. become alienated--they turn to delinquency (Liazos, 1978). Again we see that alienation is an experience of the general instrumentality of our

society, and not the effect of some problematic cause.

### Learning

Lystad (1972) noted that alienated people generally feel powerless to change and appear to have a poorer command of the facts they need to get out of their situation. One might interpret this to mean that the uninformed are driven by their ignorance toward alienation. The literature suggests, however, that the alienated (actually external locus of control) simply make less use of the information (Phares, 1968; Bickford and Neal, 1969; Holian, 1972; Maimon, 1970), and seek less information (Davis and Phares, 1967; Lefcourt and Wine, 1969). Conversely, when workers believe their skills make a difference, they pay more attention to the task (Lefcourt, Lewis, and Silverman, 1968). Somewhere along the line, this sense of futility is learned.

In keeping with the education literature indicating general advantages of more innovative schooling, Dillon and Grout (1976) specifically noted that traditional schools are closely associated with the alienation of pupils, even when comparing white middle class schools with low income black schools. Cohen (1974), examining the increased alienation toward schools at earlier ages and across socioeconomic lines, notes the introduction of rote learning at increasingly early ages, lack of adult-child relationships, and the breakdown of trust in society. She



offers five suggestions, well in keeping with the overall literature:

1. Increase interpersonal contact;
2. Use learning materials which encourage creativity;
3. Teach skills when children are ready for them;
4. Involve the parents in school activities;
5. Develop a sense of commitment to ethical behavior and a sense of purpose.

Parsons (1951, p. 233) said alienation is "a possible product of something going wrong in the process of value acquisition through identification." The key seems to be the "social isolation" involved in separating children from adults (see most especially Bronfenbrenner, 1970). Mackey and Ahlgren (1977), for example, examined ninth graders from four diverse communities (urban-rural, working class-upper class), and found three coherent dimensions to their expressions of alienation: personal incapacity, cultural estrangement, and guidelessness. Rahav (1977), noting the relationship of the low status of youth and delinquency, suggests increased social contact between age groups. One wonders why so many people expect their children to hold traditional values dear, when they send them away (to day-care, nurseries, baby sitters, and School) at the earliest opportunity!

In regards to the general "mental health" of the alienated, Dean and Lewis (1978) note significant negative correlations between alienation and emotional

maturity, and Smith (1970) and Gillis and Jessor (1970) note that successful therapy experiences decrease externality. Yoder (1977) suggests that the therapist should persuade clients to accept the "challenge of closeness," in that the tendency to deal with others in an instrumental fashion may need to be unlearned.

### Intellectuals and Universities

Cultural estrangement and related concepts such as alienation defined as "the predicament of unresolved alternatives" (Regin, 1969, p. 47) or uncomfortable differences in views, tastes, etc. (Hajda, 1961), are particularly the domain of the alienated intellectual. This phenomenon is hardly new--intellectuals have frequently been in the position of decrying accepted social values. Stromberg (1976) documents in particular the self-conscious estrangement of intellectuals and artists at the beginning of this century, one which he believes presages a massive movement today. In fact, Inglehart (1971) finds people in six European countries moving away from "acquisitive values." Keniston (1965) finds the youth of the early 1960's rejecting the norm that "playfulness, fantasy, relaxation, creativity, feeling, and synthesis take second place to problem-solving, cognitive control, work, measurement, rationality, and analysis" (p. 366; see also Mills, 1973, and Touraine, 1971). Later research by Keniston (1968) and Yankelovich

(1972) found less rejection of basic values but still widespread disillusionment. The problem with such evaluations is that many people alienated from the "majority" culture are deeply devoted to some "minority" or "deviant" culture, or to a deeper meaningfulness beneath the majority culture. Schacht (1970) makes a good argument against Nettler's (1957) and Middleton's (1963) assumption that cultural estrangement means a lack of appreciation of mass media, popular education, conventionalized religion, etc.: One need not be alienated for this. Finally, let me mention that alienation is sufficiently rampant to reach the eyes of MMPI users, although they dismiss it as a glamorization of lack of commitment (Schubert and Wagner, 1975).

Universities are places we expect to see the effects of alienation. Indeed, alienated students seem to have no long-range goals, put-off making basic decisions (Orlofsky, Marcia, and Lesser, 1973), and refuse "conventional commitments, seeing them as unprofitable, dangerous, futile, or merely uncertain and unpredictable" (Keniston, 1965, p. 52). They prefer to satisfy the needs of the moment. Their sense of alienation in the academic situation exerts more influence on their attachment to the university than do perceptions of university goals and academic environment (Long, 1976). Beyond the university, a college education is likely to mean more dissatisfaction in the industrial work place (Sheppard and Herrick, 1972),

especially in reaction to a lack of control (Kirsch and Lengermann, 1972). On the other hand, the connection so often assumed between the complaints of low community and activism has been repeatedly shown to be weak (Gales, 1966; Keniston, 1967; Kirby, 1971; and Touraine, 1974).

A recent study by Long (1977) summarizes for us by outlining the progress of alienation in the university (considered as a political system):

1. Student's negative views of the university (e.g. authoritarianism on the part of the administration);
2. Student's academic alienation (e.g. powerlessness, meaninglessness, and cynicism);
3. Student's desires for reform (e.g. structural changes, student participation, etc.).

Long found that 76% of the students sampled expressed academic alienation, and concludes his study startlingly by suggesting that the university is a "primary inhibitor" of the student's political, social, and psychological development!

#### Political Alienation

Political alienation tends to be seen as a matter of powerlessness, "the feeling that one is unable to control or even understand the social, economic, and above all, political events and structures which affect him" (Schacht, 1970, p. 165). An overall increase in feelings of

powerlessness in the past decade is well documented (Rotter, 1971; Converse, 1972; Duncan, Schuman, and Duncan, 1973; House and Mason, 1974). Harris (1973) in particular notes that in 1966, 37% of those polled agreed with the statement "what you think doesn't count anymore," while in 1973 the figure increased to 61%. Although individual powerlessness tends to be fairly stable, it is also quite responsive to real events: To mention only the obvious, receiving a draft letter (McArthur, 1970) or suffering a political defeat (Gorman, 1968) markedly increases one's sense of powerlessness.

A lack of power, however, is seldom seen as alienating when the social forces are seen as benevolent. People love benevolent dictators, even in their gods. Political alienation must be seen as "a reaction to perceived relative inability to influence or to control one's social destiny" (Thompson and Horton, 1960, p. 191, emphasis added), as something illegitimate (Clark, 1959; Levin, 1960; Horton and Thompson, 1962).

Does this sense of illegitimacy lead to activism, though? Perhaps so, if not tied to the sense of inevitability accompanying alienation. As is, however, powerlessness correlates with inactivism (Gore and Rotter, 1963; Strickland, 1965; McWilliams, 1973). Where we do find activism is where an understanding of low social control is combined with a sense of high personal efficacy (Caplan, 1970; Forward and Williams, 1970). As

Seeman (1976) notes, though, our operationalizations have not caught up with these subtleties. Again, we see alienation as reactions to reality, where people do not confuse "self and system, work and politics, achievement and failures, luck and talent, or personal competence and civic competence" (Seeman, 1976, p. 271).

A further distinction that must be dealt with is that between "input" and "output": Gamson (1968) points out that "input alienation" or powerlessness is not the same as "output alienation," distrust or perceived normlessness (also Olsen, 1969; McDill and Ridley, 1962; Finifter, 1970). Paige (1971) and House and Mason (1974) support the utility of the distinction by noting that activism results when there is low powerlessness and high distrust (note the objections in the previous paragraph, however). Rotter defines "interpersonal trust" for us nicely (1971, p. 344): "An expectancy held by an individual or group that the word, promise, verbal or written statement of another individual can be relied on," and notes (Hochreich and Rotter, 1970) the rather obvious significant decline in trust over recent years (see also Long, 1978).

One final aspect of political alienation is meaninglessness: "The actor is caught in a substantially 'meaningless' setting whose ambiguity, complexity, and unstructuredness he must somehow manage to make comprehensible for action" (Seeman, 1976, p. 278). Levin (1960) notes that political alienation occurs when we must

choose from alternatives which do not offer real differences, i.e. make decisions in an informationless field.

### Conclusion

As the reader has no doubt noticed, the research does not quite solve all the problems of lack-of-definition with which we began this chapter. What the research does show, however, is that alienation is there, in fact appears to be widespread and spreading, and that despite the many precedent, coincident, and consequent associated events, it has strong roots in reality and a phenomenological unity.

## CHAPTER IV

### PERSON PERCEPTION: THE EMPIRICAL LITERATURE

Person perception begins, like object perception, with an ability to generate an image with which to anticipate a specific individual's spatial, modal (color, scent, etc.), and temporal presence. As with objects, when we encounter new people we draw on images of others in developing new images, and so, from experience, we come to classify people in categories of anticipatory commonalities--the roles, status, normative categories of our culture.

Although these cultural rule systems aid to some extent in reducing uncertainty, the individuals within any particular role categorization are none-the-less individuals. Although the end of a wave of the hand is easily deduced from the beginning of that wave, more often than not the events at "t+1" are less obviously drawn from events at "t", and events at "t+n" frequently seem to bear no relation to those at "t" at all. And so we attempt to attribute internal "mechanisms," "mediational variables," more-or-less stable attitudes, traits, patterns of unseen events which bridge the gap between "t" and "t+1" and beyond.



There is one "layer" of anticipation deeper: The cultural categories of the first paragraph can be seen in object perception as "use meaningfulness;" and the attributional categories of the second paragraph can be seen as parallel to the seeking after causal explanations. The major distinction between person and object perception is that, although we appear to have a tendency to place ourselves as generative beings within the bounds of almost any image we are using in interaction--i.e. we tend to animism and anthropomorphism--it is in person perception that this tendency rightly and most fruitfully belongs. To the degree that cultural and attributional rule systems are well-developed regarding any person or group of people, we may introject ourselves into these rule-systems in order to broaden them to the full "width" anticipation of an other person demands. This is the assumption of empathic understanding, or just empathy.

A review of the person perception literature is made difficult by (1) an almost embarrassing surfeit of articles on certain topics (e.g. the effects of appearance on impressions), (2) an even more embarrassing deficit of work on other topics (e.g. impression development in ongoing interactions), and (3) the fact that everyone is busy "perceiving persons" on a day-to-day basis, with the result that there is little surprising to be said. (Is there such a thing as an armchair theorist in a field where data is available to everyone on a continuous

basis?) What follows is an inventory of articles which are either "classical," particularly relevant, or just curious.

### Target's Appearance

Most people assume that appearance is important information with which to develop an impression of others (Stone, 1962). The structure of a face, for example, is used to infer personality (Secord, 1958; Secord and Muthard, 1955; Secord, Dukes, and Bevan, 1954; Samuels, 1939). Even variations in body influence our attributions (e.g. Kretschmer, 1936; Sheldon, 1940, 1942; Baer, 1964).

A great deal of inferring is done based on attractiveness: First, we tend to agree on who is beautiful (Dion and Berscheid, 1972); we see pretty people as nicer (Dion, 1972), as more intelligent (Clifford and Walster, 1973), and generally better (Dion, Berscheid, and Walster, 1972); and, despite what we might say (e.g. Vreeland, 1972), we like them better (Walster, Aronson, Abrahams, and Rottmann, 1966) and prefer them as social partners (Berscheid, Dion, Walster, and Walster, 1971). Fortunately for those of us less blessed, the longer the term of acquaintance, the less overwhelming the effects of attractiveness seem to be (Argyle and McHenry, 1971). We also assume that an individual expresses himself through his appearance (Stone, 1962). For example, conventional dress elicits more trust (Keasey, Tomlinson,

and Keasey, 1973), the use of lipstick is (in 1952) indicative of liberality (McKeachie, 1952), and so on. Even photographs of outfits alone elicited complex attributions (Gibbins, 1969). And, of course, wearing glasses means you are more intelligent, reliable, industrious, etc. (Thornton, 1943, 1944; Manz and Lueck, 1968). Finally, this process of inference is reversible: Personality sketches evoke images of individuals which can be surprisingly stable within and uniform between subjects (Secord, Stritch, and Johnson, 1960; Fischer and Cox, 1971).

#### Target's acts

Expressive behaviors on the part of the target are also used to infer more covert structures: Since Darwin pointed out the relation of animal expression and intention, studies have been designed to demonstrate the same in humans. Despite early negative results on judging emotions from pictures of faces (Landis, 1929), investigators have gone on, because of the "intuitive" obviousness of it, to produce significant positive results (Schlosberg, 1954; Engen and Levy, 1956; Triandis and Lambert, 1958; Engen, Levy, and Schlosberg, 1957; Osgood, 1966; etc.). Motion pictures proved helpful (Kozel, 1969). Simplifying the task to judgements of stress versus non-stress helped (Howell and Jorgenson, 1970). Actors' portrayals worked best of all (Kozel and

Gitter, 1968). The relations of expression to emotion appear to be consistent cross-culturally (Ekman and Friesen, 1971), at least between Americans and New Guinea tribesmen. When compared with the effects of "context," facial expression has been found to be less important (Frijda, 1969), and of an importance which varies as to its relative "strength" (Ekman, Friesen, and Ellsworth, 1972).

People also form impressions from voice, even though reality would indicate a low correlation (Allport and Cantril, 1934; Taylor, 1934; Fay and Middleton, 1936, 1941; Veness and Brierly, 1963). The more predictable inferences include judgements of class (Pear, 1957), reactions of increased dislike by prejudiced individuals of voices accented representatively (Anisfeld, Bogo, and Lambert, 1962), and judgements concerning the amount of anxiety expressed in speech disturbances (Lalljee, 1971). We do, however, go on to infer age, aptitudes, intelligence, personality traits, and emotions as well (as summarized in Kramer, 1963). Some attempt has been made at isolating the components of voice responsible to varying attributes: Phillis (1970) discovered that high pitch was read as good and small, low as bad and large, and a rapid cadence as good and large; Scherer (1971) noted that a loud voice was interpreted as implying an extroverted and assertive personality. Finally, a raising of the voice's pitch is (and accurately too, apparently) thought to reflect lying

(Ekman, Friesen, and Scherer, 1976; Kraus, Geller, and Olson, 1976).

Gesture, or "body language," has for some time been popularly thought to be a reflection of one's "true" feelings, and research has to some extent supported this view. This "non-verbal leakage" (Ekman and Friesen, 1974) communicates stress (Ekman, 1964) and appears to be a more valid indicator than the face (Ekman and Friesen, 1969). Significantly, gestures can also conceal, as when we carefully control our "nerves" (Kraus, Geller, and Olson, 1976). Apparently, we agree on interpretations of the gestures and movements of stick figures (Sarbin, 1954) and even geometric figures (Heider and Simmel, 1944; confirmed by Thayer and Schiff, 1969; also Tagiuri, 1960, and Bassili, 1976). Concerning relaxation and "body orientation," the research is ambivalent: Mehrabian found it tied to eye contact (1967) and interacting with liking and disliking (Mehrabian, 1968). More predictably, openness of arrangement of limbs (interestingly labeled "accessibility") led to attributions of pleasantness by men of women (Mehrabian, 1968). Finally, marriages tend to be happier when the partners are more accurate in interpreting each other--or vice versa (Kahn, 1970).

Also properly a matter of gesture is eye contact: We find photos more pleasant when the target is looking at us (Tankard, 1970); in films or live, the more eye contact the more we like the target (LeCompte and Rosenfield,

1971); and eye contact is less welcome in bad interactions (Ellsworth and Carlsmith, 1968). Staring, however, is bad: we try to remove ourselves from its weight as quickly as possible (Ellsworth, Carlsmith, and Henson, 1972) and it makes us angry (Ellsworth and Carlsmith, 1975).

### Social Context

There appears to be culturally defined appropriate distances for specific types of interactions (Hall 1963, 1964, 1969), also known as "personal space," violation of which causes anxiety and attributions of strangeness (Sommer, 1969; Filipe and Sommer, 1966). In photos, standing closer to the camera is preferred (Mehrabian, 1968). And one's location in a broader sense is attended to--e.g. the "head of the table" conveys authority and its attending qualities (Davenport, Brooker, and Munro, 1971).

Concerning the social "atmosphere" in which we judge others, the literature seems to indicate that we, by and large, ignore it (McArthur, 1972, 1976; Nisbett and Borgida, 1975; Ruble and Feldman, 1976; Willis and Harvey, 1977). We also ignore "base-rate" information, i.e. what the probable course of events is to be (Kahneman and Tversky, 1973). Generally, we appear to be indifferent to abstractness (Nisbett, Borgida, Crandall, and Reed, 1976) and turn to it only in the absence of more concrete

information (Feldman, Higgins, Karlovac, and Ruble, 1976; Tagiuri, 1969).

Often enough, we come prepared to perceive an individual with second-hand information: We bring into the interaction a "social context." Indirect information establishes an expectancy (Kelley, 1950) which in turn influences perception (Warr and Knapper, 1966b). For example, prior labeling of a photo as being of an enemy or a friend leads to different descriptions (Haire, 1955). The credibility of the source (Rosenbaum and Levin, 1968b, 1969) and how the information is presented (Warr and Knapper, 1966a) influence the degree to which we rely on the information. Indeed, common reputation has a great influence on our reactions (Jones and Schrauger, 1970)--despite the fact that these pre-judgements are typically impoverished both in content and organization (Bromley, 1966a).

#### Context of Traits

Especially when forming an impression of someone from sets of indirect information but presumably also when organizing our direct impressions, the traits we arrive at form contexts for each other. Most of the research in this area involves presenting people with lists of trait names (in varying order) and examining the resulting "total impression" and implied additional characteristics. First, the meaning of a trait depends

on the other traits a person is thought to have (Asch, 1946; Kaplan, 1971). Connotations of traits change in differing contexts (Hamilton and Zanna, 1974); the implications of traits do likewise (Wyer, 1974). Secondly, it appears that some traits are more important than others, which importance is known as the centrality of the trait (Asch, 1946). The simplest explanation for this was put forth by Bruner and Tagiuri (1954) and later Cronbach (1955): People have ideas about what traits go together, i.e. an implicit theory of personality. This is supported in Wishner (1960), Schneider (1973) and Berman and Kenney (1976). Repeated examinations indicate a major central role for the quality dimension "warm-cold" (Asch, 1946; replications in Mensch and Wischner, 1947 and Veness and Brierly, 1963, and a similar naturalistic demonstration by Kelley, 1950).

Thirdly, we find interpretation of trait lists varying according to order, with a fairly strong primacy effect (Asch, 1952). This is generally thought to be a matter of reinterpreting later information to fit consistently into a progressively developing image (Luchins, 1957; Asch, 1952). Support for this interpretation come from Haire and Grunes (1950), who simply asked subjects how they go about forming an impression from a list of traits. Tesser (1968), however, failed to provide support. Further, we occasionally find a recency effect (Anderson and Hubert,



1963) due to the manner of presentation; we find that primacy can be weakened, especially when the subjects are warned (Luchins, 1957); and we find that, when the material is complex, neither primacy nor recency is noted (Rozenkrantz and Crockett, 1965).

Lastly, how do we "sum" information of this sort? Again, we can use Asch's change of meaning hypothesis (also Rokeach and Rothman, 1965, and Warr and Knapper, 1968), i.e. that we slowly, complexly combine traits into a single image. Or we can take the "statistical" approach: We can add the independent values of traits (e.g. Fishbein and Hunter, 1964; Triandis and Fishbein, 1963), or we can average them (Anderson, 1965; also Anderson and Barrios, 1961; Anderson and Hubert, 1963; Anderson and Norman, 1964). Further, subjects may discount inconsistent information (so as not to allow it to enter whatever "equation" may apply at all), as seen in Bugenthal, Kaswar, and Love (1970), and they may discount redundant information, as seen in Wyer (1968). What kind of "statistics" works best may be influenced as well by the credibility of the source (Rosenbaum and Levin, 1968), and by the stress of the subject (Schroder, Driver, and Streufert, 1967). The most reasonable approach, I believe, is Kennedy's (Kennedy, Koslin, Schroder, Blackman, Ramsey, and Helm, 1966): how we sum varies from person to person. Which brings us full circle to Asch.

## Intentions

We assign intentions to others, as well as traits, to make sense of their behavior (Heider, 1958). As mentioned before, we do this even when the "others" are physical objects (Heider and Simmel, 1944; Bassili, 1976). The essence of intentions is causal responsibility. First, we tend to see our own behavior as externally caused, and that of others as internally caused (Jones and Nisbett, 1971; Nisbett, Caputo, Legant, and Marecek, 1973). For example, subjects playing the role of learner saw those playing the role of teacher as fairly "free" to reward and punish as they chose; the teachers, on the other hand, saw themselves as being quite restricted (Curwitz and Panciera, 1975; also Miller, 1975). One theory is that whatever is perceptually "salient" will likely be pointed to as having a dominant causal role. For example, where you sit around two debaters alters your perception of their causal roles: If you sit next to one you see him as less causal than the debator opposite you (Taylor and Fiske, 1975). In some forms of therapy, a videotape of the client frees him to give himself a greater causal role (Storms, 1973; Arkin and Duval, 1975). The inverse is possible as well: Identify or empathize with another and we attribute more causality to the environment (Regan and Totten, 1975).

The more freedom from situation we give the other,

the more information we can derive from observing him (e.g. Jones, Worchel, Coethals, and Cromet, 1971; Kelley, 1967). When the behavior is seen as unexpected in terms of expediency (Jones, Davis, and Gergen, 1961), or as not involving overt rewards (Schopler and Thompson, 1968), or as antagonistic to an audience (Mills and Jellison, 1967), again we derive more information and give greater importance to attributed internal motives.

### Interaction

If social interaction consisted of examining photos or lists of traits, our job would be simple. However, most impressions are formed in on-going interactions with real people. We actively explore the target to arrive at an impression in which we can invest some confidence. For example, if there are several good reasons for a behavior, we naturally have less confidence in any one reason (Kelley, 1972). A generous act, seen as done for manipulative reasons, is interpreted differently (Tesser, Gatewood, and Driver, (1968).

The most important way of arriving at confidence is by observing consistency in the other's behavior: The more consistent he is, the more confident we are (e.g. Kelley and Stahelski, 1970). Curiously--or perhaps by the definition of confidence--the more someone behaves as you expect him to, the less information he is giving you (Epstein and Taylor, 1967). Inconsistencies give more

information, e.g. if the target differs from his group (Kelley and Stahelski, 1970), or if his resources don't match his achievement (Kepla and Brickman, 1971), and so on.

Our confidence is also affected by the judgements others present: when they agree with you, you become more confident (Goethals, 1972); if your co-judge arrives at his conclusions in a different manner than you do, you feel even greater confidence (Goethals, 1972); and if your co-judge has great prestige, well! your judgement is surely a good one (Kelley, 1967).

We also take into consideration the other's perception of us, and he takes into account, in generating the behaviors with which we form impressions of him, what he thinks you think of him (Cooley's "looking glass self" and Mead's "generalized other"). Our perceptions of others can function as self-fulfilling prophecies (Merton, 1957), e.g. children labelled "high potential" tend to live up to that label (Rosenthal and Jacobson, 1968). Our perceptions of others' perceptions of us can change our lives: Teenage girls who thought men disapproved of displays of intelligence in women were less likely to be committed to careers (Matthews and Tiedeman, 1964). These "metaperceptions" can become like a mirrored room, and successful long-term interaction generally requires some congruence of perceptions (e.g. Laing, Phillipson, and Lee, 1966; Helm, Fromme, Murphy, and Scott, 1974).

## The Perceiver

Of course, the perceiver does not enter into his impression-generating interactions empty of content: He has biases, he has a personality of his own, and he has done it all before. First, a few of his biases: We tend to be kinder to ourselves than to others (e.g. Hakmiller, 1966, and all the studies on attributions of motives to others mentioned above); We seek to present a good image of ourselves (Goffman, 1967); We tend to avoid unpleasantness in any event (e.g. Blumberg, 1972); We have a general bias toward positivity (Bruner and Tagiuri, 1954; Sears and Whitney, 1973; Regan and Totten, 1975; Taylor and Koivumaki, 1976); We tend to believe in a "just world" and attribute accordingly (Lerner, 1965). We tend to attribute success to our own efforts and failure to the situation (Johnson, Feigenbaum, and Welby, 1964; Streufert and Streufert, 1969; Cialdini, Braver, and Lewis, 1974; Lugenbuhl and Crowe, 1975; Nicholls, 1975; Riemer, 1975; Stevens and Jones, 1976), a tendency which increases with ego involvement (Miller, 1975), and decreases with distance from others (e.g. Fontaine, 1975; Stephan, 1975; Snyder, Stephan, and Rosenfeld, 1976; Taylor and Koivumaki, 1976).

Further, the perceiver has his motives (or "set"-- Jones and DeCharms, 1957). The perceiver's emotions can affect his perceptions of others' emotions (Schiffenbauer,

1974). Prejudice in the perceiver can change perceptions a great deal, including his confidence in his judgements (e.g. Dorfman, Keeve, and Saslow, 1971). Different people (we come to this once again) have available to them and use different sets of traits, motives, etc., either because of differing personalities or differing sociocultural backgrounds (e.g. Sechrest, 1962; Sechrest and Jackson, 1961). A relatively complex childhood environment tends to provide one with a greater number of terms with which to describe people (Sechrest and Jackson, 1961), and so on. Males tend to describe people in terms of abilities (Beach and Wertheimer, 1961), roles, and status, while females tend to devote more attention to "inner" traits (Sarbin, 1954). Neurotics show more variety in their descriptions of others (Rabin, 1962). Interestingly--and very significantly--one subject's descriptions of two targets tend to be more similar than two subjects' descriptions of a single target (Richardson, Dornbusch, and Hastorf, 1961; Gross, 1961). Please see Shrauger and Altrocchi (1964) and Shrauger (1967) for reviews.

Areas where research has concentrated regarding personality effects on person perception are authoritarianism and cognitive complexity. Authoritarians tend to see others as more similar to themselves (i.e. more authoritarian, e.g. Kates, 1959), use more extreme evaluation (e.g. Warr and Sims, 1956), are more concerned

with and impressed by status (e.g. Jones, 1954; Wilkins and DeCharms, 1962), are harder on strangers (DeSoto, Kuethe, and Wunderlich, 1960), are more certain of their impressions (Steiner and Johnson, 1963), and so on.

"Complexity"--though agreed upon as itself complex and not a "true" generalized trait (Bieri, 1955; Vannoy, 1965; Miller, 1969), does appear to influence attribution as we might expect: Greater awareness of negative and positive attributes in a target (Crockett, 1965), greater ability to integrate conflicting information (Nidorf and Crockett, 1965; Mayo and Crockett, 1964), greater discrimination among traits, greater interest regarding others' inner states, and greater awareness of the uncertainty of the whole process (all in Leventhal and Singer, 1964), are found in subjects with greater complexity.

#### Development

The earlier studies (and many recent ones as well) concerning person perception in children dwell on what judgements are made. By four or so, we have a pretty clear-cut conception of mom as devoted to child-care and housekeeping (at least in 1954--Mott, 1954). Children see father as "stronger" (Kagan, 1961) and "instrumental" (Dahlem, 1970), mother as "nicer" (Kagan and Lemkin, 1960) and "expressive" (Dahlem, 1970), and father as "more powerful" than mother (Emmerich, 1961). Various disturbances have interesting effects on perceptions of

parents: Schizophrenic boys see mom as dominant; Neurotic boys see her as very nurturant; boys with "behavior disorders" see dad as very punitive (all Rabkin 1964; see also Vogel and Lauterbach, 1963, and Kagan, 1958). All these studies have a common fault, however: They are overly prestructured for the child.

The earliest free response study of children's person perception is Watt's (1944): The descriptions he collected appear to progress through a series of stages with increasing differentiation (in terms of range of differences perceived) and integration (complexity perceived). Younger children (six and seven years old) were more concerned with appearances, limited evaluation to "nice-not nice," and were "univalent" (i.e. organized around a positive or negative "tone").

Richardson, Dornbusch, and Hastorf (1961) analyzed the contents of free responses to line drawings of handicapped and non-handicapped children using 69 "first order categories" (e.g. age, religion, etc.) and a number of more abstract "second order categories" (e.g. evaluation, morality), using children varying as to sex, race, handicap, and rural or urban home. When two children described two targets, they found a 38% overlap in categories; when two describe the same target, a 45% overlap; and when one describes two targets, a 57% overlap. They talk more about and feel more positively towards high status targets; low status children use more aggressive



statements; handicapped children use less categories concerning involvement with peers, but more concerning involvement with adults. Similar results were reported by Dornbusch, Hastorf, Richardson, Muzzy, and Vreeland (1965).

Yarrow and Campbell (1963) looked at children forming impressions of other, real children (male and female, black and white, ages eight through thirteen), who shared cabins with them. Early in their acquaintance, the children gave broad evaluations, usually univalent. Over time, they talked more about interactions. Older children gave more complex, better organized descriptions. Active, friendly children gave the most complex descriptions.

Livesley and Bromley (1967) found that younger children use more physical characteristics and specific habits and actions; girls use more "personality" terms; and all children use more "personality" terms in describing peers. McHenry (1971) found similar results. Scarlett, Press, and Crockett (1971) found a rough progression from statements such as "we play together" through "he hits me" and "he hits people all the time" to "he is kind." Brierley (1966), using Kelly's (1955) Role Construct Repertory Test, adopted for children, found a developmental progression away from appearance and toward personality constructs, as did Little (1968).

Concerning children's developing abilities to organize information, Bromley (1968) found an increasing

use of qualifiers and organizing or explanatory propositions. Gollin (1954, 1958) found that, when presented with conflicting information, older children (and adults) made greater efforts at finding underlying motives to resolve the conflict, girls moreso than boys, and upper class moreso than lower class (consistent with Yarrow and Campbell, 1963, described above).

The most expansive effort concerning the development of person perception to date is Livesley and Bromley's Person Perception in Childhood and Adolescence (1973). As this study provides both the methods and the hypotheses for the study following in chapter IV, discussion will be reserved for later.

#### Summary

What we attribute depends on what is there--the person, the situation, our own attitudes at the time, and so on; it depends on our "socialization"--the tools with which we make the attempt at understanding our fellow man, including what we've been told, the words our language provides, the metaphors that come to mind, and so on; it depends on our experience--how well certain attributions work for us in our never-ending battle against overwhelming surprises; and it depends on our empathic understanding of others through examination of our own past or potential thoughts and feelings in comparable situations. So person perception changes

with changes in persons and places and times. What remains throughout is something Bartlett called "an effort after meaning."

## CHAPTER V

### THE STUDY

Rather than attempt to test the theory of alienation presented in the first chapter--a task well beyond limitations of time, energy, and perhaps ability--the following study seeks to explore the possible relations between expressed alienation and the perception of personality as revealed by descriptions of self and others. It is the secondary purpose of this study to explore the possible extension of a fairly complex semantic analysis technique (Livesley and Bromley, 1973) beyond the developmental issues to which it was originally addressed.

What follows includes (1) a discussion of the Livesley and Bromley experiment, with a brief review of semantic analysis generally, (2) a discussion of Dean's (1961) alienation test and its relations with other variables, and (3) the study proper with a discussion of results and the implications for further investigations.

It is expected that strongly alienated people, lacking both empathic closeness and Machiavellian skills, and facing others whose external behaviors belie underlying processes, look at others in concrete, more

child-like terms, rather than in the more abstract terms involving attributions of thoughts, emotions, or motivations they have learned not to presume to understand in others. First, let us examine more specifically what is meant by "child-like."

### Person Perception in Childhood and Adolescence

Livesley and Bromley's (1973) study of person perception in children and adolescents used a total of 320 schoolchildren, half boys, half girls, ranging in age from 7:4 to 15:9, and selected from several schools so as to include a large range of social strata, intelligence, and so on. The researchers asked them to describe eight others, categorized as male/female, peer/elder, and liked/disliked (the target to be selected by the child himself), and themselves. Each description was dissected into statements, a statement defined as "one element or idea referring directly or indirectly to the stimulus person, or to some other person since some of the descriptions contained statements which did not refer to the stimulus person" (p. 98). The use of this unit of analysis was justified by interjudge reliability correlations ranging from +0.89 to +0.98.

The first dependent variable examined by analysis of variance was fluency. Significant differences ( $p < 0.01$ ) were discovered for age, with younger children using fewer

statements, including significant linear and quadratic trends (both  $p < 0.01$ ). Girls used more statements than boys ( $p < 0.01$ ) and there was a significant sex by age interaction ( $p < 0.01$ ). Intelligence was not significant, but age by intelligence and sex by intelligence interactions were ( $p < 0.01$  and  $p < 0.05$  respectively). Within subjects, more statements were used to describe males, children, and liked persons (all at  $p < 0.01$ ). Sex of subject by sex of stimulus person and sex of subject by age of stimulus person by like/dislike were significant interactions ( $p < 0.05$ ).

The second analysis consisted of a broad categorization into central versus peripheral statements. Central statements refer to inner, psychological qualities, such as personality traits, general habits, motives, needs, values, attitudes, and orientations. Peripheral statements, on the other hand, refer to external, concrete qualities of a person and his surroundings, such as appearance, identity, actual incidents, possessions, likes and dislikes, social roles, kinship and friends. Using 989 different kinds of statements, independent sorting resulted in interjudge agreement ranging from 94.2 to 98.3 percent. The researchers hypothesized that there would be an increased use of central statements by older children, girls, and more intelligent children, and, within subjects, when the sex of the target was that of the subject, with children

targets, and with liked targets. The hypotheses all held, with  $p < 0.01$ . Within subjects results included a number of additional, quite complex, significant interactions.

The third analysis involved placing the statements into 33 content categories:

- |   |  |
|---|--|
| I. Objective information                                    | 1. Appearance  |
|   | 2. General information and identity                          |
|   | 3. Routine habits and activities                             |
|   | 4. Actual incidents  |
|   | 5. Possessions   |
| II. Contemporary and historical circumstances               | 6. Life history  |
|   | 7. Contemporary social circumstances                         |
|   | 8. Physical condition  |
| III. Personal characteristics and behavioural consistencies | 9. General personality attributes                            |
|   | 10. Specific behavioural consistencies                       |
|   | 11. Motivation and arousal                                   |
|   | 12. Orientation  |
|   | 13. Expressive behaviours                                    |
| IV. Aptitudes and achievements                              | 14. Intellectual aptitudes and abilities                     |
|   | 15. Achievements and skills                                  |
| V. Interests and preferences                                | 16. Preferences and aversions                                |
|   | 17. Interests and hobbies                                    |
| VI. Attitudes and beliefs                                   | 18. Beliefs, attitudes, and values                           |
|   | 19. Stimulus person's opinions and attitudes towards himself |
| VII. Evaluations  | 20. Evaluations  |
| VIII. Social factors  | 21. Social roles   |
|   | 22. Reputation   |
|   | 23. Friendships and playmates                                |
|   | 24. Effects upon, and relations with,                        |

- |       |  |   |
|-------|--|---|
|       |  | others  |
|       |  | 25. Other people's<br>behaviour towards<br>the stimulus person                |
|       |  | 26. Relations with the<br>opposite sex  |
| IX.   | Subject-other<br>relations                         | 27. Mutual interaction  |
|       |  | 28. Subject's opinion<br>of, and behaviour<br>towards, the<br>stimulus person |
| X.    | Comparisons<br>against<br>standards                | 29. Comparison with self  |
|       |  | 30. Comparison with<br>others   |
| XI.   | Family and<br>kinship                              | 31. Family and kinship  |
| XII.  | Illustration,<br>corroboration,<br>and explanation | 32. Collateral facts<br>and ideas   |
| XIII. | Residue  | 33. Irrelevant and<br>unclassifiable facts<br>and ideas                       |

(Livesley and Bromley, 1973, p. 135).

These categories were arrived at as follows:

The statements were put onto cards, each card containing several statements of the same sort. The cards were then sorted in an attempt to establish an exhaustive and exclusive system which would be psychologically meaningful and statistically manageable. Nine-hundred and eighty-nine different kinds of statements were identified and thirty-three different categories were required in order to catalogue them (Livesley and Bromley, 1973, p. 123).

The interjudge agreement on placing statements into these categories ranged from 84.3% to 92.4% and there was 81.8% agreement when the interval between codings was two years. The results are summarized as follows (Livesley and Bromley, 1973, described on pages 133 to 146 and 230 to 236):

Categories showing a decrease with age:

2. General information and identity;



3. Routine habits and activities;
5. Possessions;
31. Family and kinship;
33. Irrelevant and unclassifiable facts and ideas.

Categories showing an increase with age:

9. General personality attributes;
10. Specific behavioural consistencies;
18. Beliefs, attitudes and values;
19. Stimulus person's attitudes towards himself;
22. Reputation;
24. Effects upon, and relations with, others;
25. Other people's behaviours towards the stimulus person;
26. Relations with the opposite sex;
28. Subject's opinion of, and behaviour towards the stimulus person;
30. Comparison with others;
32. Collateral facts and ideas.

Categories showing a curvilinear relationship:

7. Contemporary social circumstances;
13. Expressive behaviours;
16. Preferences and aversions;
20. Evaluations.

Categories used more by girls than by boys:

19. Stimulus person's opinions and attitudes towards himself;

## 20. Evaluations.

Categories showing an increase with intelligence:

9. General personality attributes.

Categories showing a decrease with intelligence:

1. Appearance;
31. Family and kinship.

Within subjects:

Used more for male targets:

17. Interests and hobbies;
21. Social roles.

Used more for female targets:

31. Family and kinship.

Used more for adult targets:

2. General information and identity;
4. Actual incidents;
5. Possessions;
21. Social roles;
31. Family and kinship.

Used more for child targets:

14. Intellectual aptitudes and abilities;
15. Achievements and skills;
16. Preferences and aversions;
20. Evaluations;
23. Friendships and playmates;
26. Relations with the opposite sex;
29. Comparison with self.

Used more for liked targets:

9. General personality attributes;
14. Intellectual aptitudes and abilities;
15. Achievements and skills;
16. Preferences and aversions;
17. Interests and hobbies;
21. Social roles;
27. Mutual interactions;
29. Comparison with self.

Used more for disliked targets:

1. Appearance;
4. Actual incidents;
10. Specific behavioural consistencies;
19. Stimulus person's opinions of, and attitudes towards, himself;
24. Effects upon, and relations with, others;
28. Subject's opinion of, and behaviour towards, the stimulus person;
32. Collateral facts and ideas.

Regarding self-descriptions:

Categories showing a decrease with age:

1. Appearance;
2. General information and identity;
5. Possessions;
23. Friendships and playmates;
31. Family and kinship.

Categories showing an increase with age:

9. General personality attributes;

- 10. Specific behavioural consistencies;
- 12. Orientation;
- 17. Interests and hobbies;
- 18. Beliefs, attitudes and values;
- 19. Attitudes towards self;
- 26. Relations with the opposite sex;
- 30. Comparisons with others;
- 32. Collateral facts and ideas.

Categories showing a curvilinear relationship:

- 13. Expressive behaviour;
- 20. Evaluations.

Used more by boys:

- 17. Interests and hobbies.

Used more by girls:

- 26. Relations with the opposite sex;
- 31. Family and kinship.

Increased with intelligence:

- 9. General personality attributes;
- 12. Orientation;
- 13. Expressive behaviour.

Decrease with intelligence:

- 1. Appearance;
- 2. Identity;
- 23. Friendship and playmates;
- 26. Relations with the opposite sex.

Further analysis involved the use of qualifying and organizing terms (see Table II, following section): The

use of everything but modal qualifiers increased significantly with age ( $p < 0.001$ ); use of categories i ( $p < 0.001$ ), iv ( $p < 0.05$ ), v ( $p < 0.001$ ), vi ( $p < 0.01$ ), and vii ( $p < 0.02$ ) increased with intelligence; only category v (exclusion) was more used by girls than boys ( $p < 0.01$ ).

Finally (among other interesting excursions less germane to the problem at hand), Livesley and Bromley investigated the use of trait terms, and found a general increase in number and variety with age, along with other results consistent with expectations.

These studies, then, provide the methods and the hypotheses for the present study.

### Semantic Analysis

Subjects have rarely been provided with relatively unstructured situations and allowed to select the information they think relevant, or to respond in their usual manner. Such a "naturalistic" approach may seem to run counter to current attitudes and methods in psychology, but, in the absence of developed theories about the way we perceive and understand others, it is an obvious approach and a legitimate one from a philosophy of science point of view. The use of fairly natural and unstructured situations minimizes the risk of our being misled by false assumptions or experimental artifacts, and it allows us to identify the key variables which can be studied subsequently under more clearly controlled conditions (Livesley and Bromley, 1973, p. 67).

So do Livesley and Bromley summarize their reasoning regarding the use of semantic analysis of freely written descriptions of personality. Beyond a general increase in

the use of content analysis techniques (as documented, for example, by Holsti, 1968), the "discovery" by psychologists of componential analysis (derived from Goodenough, 1956, and Lounsbury, 1956) as well as parallel developments in semantics (Lamb, 1964, and Leech, 1969), has led to very broad semantic analysis techniques, used in areas as varied as interpersonal behavior (Melbin, 1972), dream interpretation (Foulkes, 1978), personality descriptions, and psychological case studies (Bromley, 1977).

It is a very short step from an analysis technique such as that used by Livesley and Bromley (1973) to a full semantic analysis technique of potentially universal application. Taking as one's unit (for the purposes at hand) to be the proposition (as used by Leech, 1974), we may proceed to classify, according to our needs, those propositions which include as an argument the event or set of events under consideration. We must take care that our classification system include all (significant) possibilities involving those events, including down-graded and embedded propositions other than those used to define the event. If the propositions are or could be linked into a narrative, those linkages, whether explicit or understood, should be categorized inasmuch as they are likely to be significant.

In the following investigation, we shall follow Livesley and Bromley rather closely inasmuch as they are providing us with comparative data. The propositions

which they call statements are those which contain as an argument the specified target person. They are categorized by the nature of the propositional and any other argument the proposition contains (Table I).

Further, certain propositions which contain propositions such as those just mentioned as arguments are classified in terms of what Livesley and Bromley call qualifying and organizing terms (Table II).

The preceding brings interjudge agreement to 100% regarding units of analysis and, to the degree that the categories are clearly defined in terms of semantic components, regarding categorization as well.

#### Dean's Alienation Scale

Dean (1961) constructed his scale of alienation by submitting 139 statements gleaned from the literature, conversation, and simple reflection, to seven judges--social science professionals--who had been provided with page-long descriptions of the three aspects of alienation in which Dean was interested: Powerlessness, normlessness, and social isolation. (Please refer to Chapter III for similar descriptions.) Five of the seven judges had to agree on an item for it to be included. When the process was completed, he was left with the 24 items presented in the appendix.

Dean (1961) found the split-test reliabilities to be .78 for powerlessness, .73 for normlessness, .84 for social

TABLE I  
CONTENT ANALYSIS CATEGORIES

---

1. Appearance	(PHYS)* (1. Appearance)** References to external qualities, that is, physical build, facial appearance, clothing, and so on, including approvals, "He is tall," "She is pretty," "He has blue eyes," "He has fair hair."
2. Identity	(IDEN) (2. General information and identity) The person's name, age, sex, nationality, religion, residence, school, and physical environment, for example, "He lives at . . .," "He is a Catholic," "He goes to our school," "She will be 10 years old on Wednesday."
3. Health	(HLTH) (8. Physical condition) Health, physical fitness and strength, for example, "He is strong," "He is often ill," "He has a bad leg."
4. Roles	(SOPO) (21. Social Roles) Group and organizational membership, occupational role, for example, "He is a teacher," "She is a member of the tennis club," "He is a cub."
5. Past	(LIFE) (6. Life history) Historical circumstances, childhood experiences, background, origin, for example, "He was brought up wrong," "He comes from Leeds," "He was not well educated."
6. Prospects	(PROS) ("Any aspect of the person's future existence"--Bromley, 1977, p. 132)
7. Possessions	(MATP) (5. Possessions) The person's property and possessions, for example, "He has a pet rabbit," "He owns a car," "He has a new bicycle."



TABLE I (Continued)

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8. Traits	(GENT) (9. General personality attributes) Personality traits and temperament, for example, "friendly," "conceited," "selfish," "kind," "moody," "bad tempered," "gentle," "changeable."
9. Habitual acts	(SPET) (10. Specific behavioural consistencies) General habits, characteristic reactions to others of a specific nature, reaction to blame, stress, failure, and so on, for example, "grumbles," "can't take a joke," "shouts," "plays nice," "groans a lot."
10. Specific acts	(INCS) (4. Actual incidents) Statements about specific actions, things done and said, events the other person has been involved in or the places he has visited, for example, "He went to France for his holidays," "He painted his house last week," "She told me that she dislikes a woman who talks behind people's backs."
11. Routine acts	(ROUT) (3. Routine habits and activities) Daily and weekly routine, for example, "He goes to work at 8 o'clock," "She goes skating every Thursday," "He gets up at 6 o'clock and makes the fire."
12. Mannerisms	(EXPP) (13. Expressive behaviour) Specific personal habits and mannerisms, characteristic gait, speech characteristics, for example, "He twitches his moustache," "Walks funny," "He has a funny voice," "She speaks with a squeaky voice."

TABLE I (Continued)

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13. He-may	(SITU) (7. Contemporary social circumstances) Contemporary constraints and opportunities in his environment, pressures exerted on him, for example, "His father won't let him play out," "His parents are very rich," "His mother won't let him climb trees," "He always has lots of money to spend."
14. Hobbies	(OBJE <sub>1</sub> ) (17. Interests and hobbies) General interests and hobbies, including play activities, for example, "His hobby is collecting stamps," "He enjoys walks in the country," "He is very interested in ships."
15. He-likes	(OBJE <sub>2</sub> ) (16. Preferences and aversions) Likes and dislikes (both persons and things), for example, "He likes sweets," "He likes watching television," "He is very fond of ice cream."
16. Intellect	(ABAT <sub>1</sub> ) (14. Intellectual aptitudes and abilities) Mental skills and intellectual capacity, scholastic achievements and failures, for example, "intelligent," "clever," "good at sums."
17. He-can	(ABAT <sub>2</sub> ) (15. Achievements and skills) Physical skills, successes, failures, disabilities, for example, "He is a good footballer," "She is good at cooking," "She wins a lot of house points."

TABLE 1 (Continued)

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18. He-wants	(MOTA) (11. Motivation and arousal) Aspirations, ambitions, wants, needs, goal directedness of behaviour, motivation in tasks undertaken, for example, "His ambition is to get into grammar school," "He wants to go in the army."
19. He-feels	(ORFE) (12. Orientation) Expectations, wishes, fears, self-reproaches; how the person sees the situation; how he feels things are going; feelings of hope, anxiety, neglect; for example, "She is always crying because she is fat," "She does not like war and gets very upset when anyone mentions it," "He is only of average ability but that does not worry him."
20. Beliefs	(PRIN) (18. Beliefs, attitudes, and values) Standards, values, and ideals that the person accepts and conforms to, for example, "She is very religious," "He does not believe in war."
21. Evaluation	(EVAL) (20. Evaluations) The subject's evaluations of the stimulus person. Social desirability or undesirability of behaviour, manners, outright evaluations, including abusive statements, for example, "good," "nice," "nasty," "horrible," "rude," "cheeky," "polite," "clean," "dirty."
22. Reputation	(O-SP) (22. Reputation) What people in general think of the person, for example, "He is popular," "Other people like him."

TABLE I (Continued)

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23. Others-him	(X-SP) (25. Other people's behaviour towards the stimulus person) Other people's behaviour towards the person described, for example, "Karen dislikes her," "Cathy said she did not like her," "My brother says he is not too bad as a friend."
24. He-himself	(SELF) (19. Stimulus person's opinions and attitudes towards himself) The person's evaluation and opinion of himself, for example "She thinks she is very beautiful," "He thinks he is better than everyone else," "She thinks she is a hard knock."
25. I-him	(S-SP) (28. Subject's opinion of, and behaviour towards, the stimulus person) General pronouncements about the person, for example, "I like him," "He is my best friend."
26. We	(SP+S) (27. Mutual interaction) Interactions between the subject and the stimulus person; the things they do or have done together, length of acquaintanceship, frequency of interaction, for example, "I see her at the weekend," "We play together after school," "He knows our family well," "I have always known him."
27. He-others	(SP-O) (24. Effect upon, and relations with, others) The consequences and effects the person's behaviour has upon other people and the consequences for himself, for example, "He makes us miss our playtime," "At parties he just mopes around with a face like a 'wet Echo' and puts a big black cloud over everybody," "She makes everyone feel happy."

TABLE I (Continued)

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28. He:me	(SP-S) ("Statements in the category Stimulus Person's Response to the Subject . . . include statements about things said or done by the stimulus person which are directed towards the 'subject' . . . ."--Bromley, 1977, p. 150)
29. Friends	(FRIL) (23. Friendships and playmates) The person's friends, acquaintances, and playmates, including details of the number of friends he has, for example, "He plays with. . . ," "Her best friend is. . . ," "He has lots of friends."
30. Family	(FAMK) (31. Family and kinship) The person's family and relations, the number of children he has, descriptions of a relative, for example, "He has three children," "His son is called Peter," "His wife is horrible," "She has three brothers."
31. Lovers	(26. Relations with the opposite sex) Attitudes towards and relations with the opposite sex, for example, "Her boyfriend is. . . ," "He is not interested in girls," "He is very sexy."
32. He:me	(SPvS) (29. Comparison with self) Comparisons between the person and the subject, for example, "He is smaller than me," "He is not as clever as me."
33. He:others	(SPvO) (30. Comparison with others) Comparisons between the person and other people or an ideal, for example, "He is the tallest in the class," "He is more clever than his sister."

TABLE I (Continued)

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34. Collateral	(COLL <sub>1</sub> ) (32. Collateral facts and ideas) Specific statements in support of a previous assertion, illustrations of personal qualities, explanations of behaviour, for example, "(She is quite lonely) because her daughter is now in London and she is alone," "(She treats her best friend very badly) when she has a party and doesn't invite her," "(If he sees something he likes he takes it) for example, if he feels like a drink he would take a bottle of milk from anyone's front door and think nothing of it."
35. Miscellaneous	(COLL <sub>2</sub> ) (33. Irrelevant and unclassifiable facts and ideas) Irrelevant information--usually about someone unrelated to the other--or statements which cannot be placed in any other category.

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\*Abbreviation used in Bromley (1977) for an identical (or nearly so) category.

\*\*Number and title used in Livesley and Bromley (1973), followed by a description quoted from pages 123 through 126, unless otherwise noted.

TABLE II  
 QUALIFICATION AND ORGANIZATION CATEGORIES\*

A. Qualifying terms

- i. Modal Qualification indicates the probability of a particular quality, or its intensity if it occurs. These terms say something about the likelihood of occurrence, frequency, intensity, and duration of personal characteristics, for example, "very," "mostly," "sometimes," "usually," "always," "can be," "scarcely ever," "quite," "often," and various combinations of these.
- ii. Obscurity of Impression indicates that the writer is not too sure of the impression he has formed, and finds it difficult to decide whether or not the person possesses a given quality, for example, "seems to be," "sort of," "I suppose."

B. Organizing terms

Note: Quasi-causal explanations are statements which attempt to explain why a person possesses a particular characteristic, either in terms of the other qualities he possesses, or because of the circumstances he is in. This category divides into two subcategories:

- iii. The explicit use of "because," for example, "He is . . . because . . ."
- iv. The implicit use of "because," as in: (a) the interdependency of psychological processes and qualities, for example, "He is nervous and this makes him shy at times," "He is only kind if he is in a good temper;" (b) the effects of circumstances on psychological processes, for example, "He is cheerful considering the difficulties he is in," "He is quiet when in company."
- v. Exclusion of the Usual Trait or Situational Implication. This is indicated by a statement which, in effect, instructs the reader (or listener) not to make the usual inferences from a particular quality the stimulus person is said to possess. The effect is to modify the operation of the implicit theory of trait implications held by the reader (or listener), for example, "She is always being kind, but she is nosy," "She is very nice but keeps breaking friends with me," "She is quite modern although sensible," "He is very good at work but very slow."

TABLE II (Continued)

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- vi. Specificity of Trait Expression. Trait names are highly generalized terms for describing behaviour and behavioural tendencies. When applied to a particular individual, however, additional information may have to be provided so as to specify in greater detail how the trait is expressed by that person, for example, "She does not always argue, if she does, she does not get aggressive," "She is greedy because she never shares things although others offer her things." Note, in this last example that the term "because" is used to make an "evidential" rather than "causal" statement.
- vii. Distinction between: Qualities which are Real rather than Apparent, Actual rather than Possible, or Past rather than Present, for example, "Although she professes to be your friend, when you are ill she doesn't visit you," "He is not really. . . .," "She used to be. . . , now she is. . . ."
- viii. Metaphor, Simile, and Analogy. These are statements which might be assimilated to category vi above, since they are rarely used, for example, "He flares up easily," "He's a pig."
- C. Miscellaneous
- ix. Miscellaneous items are those which do not fall clearly into one or the other of the above categories of organizing and qualifying terms.
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\*From Livesley and Bromley, 1973, p. 197.

The mnemonics used in this study are as follows:

- i. Qualification;
- ii. Obscurity;
- iii. Because;
- iv. Conditional;
- v. But;
- vi. Specific;
- vii. Really;
- viii. Metaphor.

The only change in interpretation involves the relegation to iii (because) of the first variety of iv, with the reservation to iv (conditional) of the second variety. Miscellaneous (ix) was not used because of lack of clarity.



isolation, and .78 for the test as a whole.

Intercorrelations of the subscales were .67 between powerlessness and normlessness, .54 between powerlessness and social isolation, and .41 between normlessness and social isolation. Correlations of subscales and the test as a whole were .90 for powerlessness, .80 for normlessness, and .75 for social isolation. Knapp (1976) found the intercorrelations to be .50 between powerlessness and normlessness, .51 between powerlessness and social isolation, and .37 between normlessness and social isolation.

Test-retest reliability of the items were measured by Dodder (1969) and by Hensley and Hensley (1975). The latter used college students as subjects, so the reliabilities are presented in Table III. Both of these studies performed a factor analysis on the scale. Dodder, using Iowa housewives as subjects, found eight subscales. All eight factors loaded on one second order factor, which lead Dodder to suggest that Dean was measuring alienation, although not in the manner he hypothesized. It is for this reason that the present study uses the scale as a unit, without presenting subscale hypotheses or data.

Hensley and Hensley (1975) have, unfortunately, less kind things to say. Factor analysis also revealed eight factors. However, the three subscales do not appear to correspond to the actual responses. The eight factors

TABLE III  
 TEST-RETEST RELIABILITY OF ITEMS  
 (SEVEN-WEEK PERIOD)

Subscale	Item	Reliability
<u>Social Isolation</u>		.651
	1. Sometimes I feel all alone in the world	.549
	3. I don't get invited out by friends...	.387
	5. Most people today seldom feel lonely	.275
	8. Real friends are as easy as ever to find	.612
	11. You can always find friends...	.256
	14. The world in which we live...	.482
	17. There are few dependable ties...	.556
	22. People are just naturally friendly...	.507
	24. I don't get to visit friends...	.377
<u>Powerlessness</u>		.741
	2. I worry about the future...	.628
	6. Sometimes I have the feeling...	.441
	9. It is frightening to be responsible...	.577
	13. There is little or nothing I can do...	.490
	15. There are so many decisions to be made...	.606
	18. There is little chance for promotion...	.729
	20. We're so regimented today...	.370
	21. We are just so many cogs...	.549
	23. The future looks very dismal	.517
<u>Normlessness</u>		.644
	4. The end often justifies the means	.496
	7. People's ideas change so much...	.555
	10. Everything is relative...	.478
	12. I often wonder...	.594
	16. The only thing you can be sure of...	.510
	19. With so many religions around...	.539
<u>Total Scale</u>		.769

Source: Hensley and Hensley (1975), p. 558.

account for only one-third of the variance, with the rest unaccounted for. Second order factoring suggests that the scale remains multidimensional. Finally, the results were not isomorphic to Dodder's--i.e. the test measures different things with different samples. Hensley and Hensley were appalled that all but one study coming after Dodder's results failed to mention those results. They cite specifically Bonjean and Grimes (1970), Burbach and Thompson (1973), Dubey (1971a and 1971b), Schulze (1971), and Photiadis and Schweiker (1971). They do not mention, however, that these studies found their hypotheses generally supported, regarding bureaucracy, race, race and mobility, commitment, and marginal businessmen, respectively. The study that did mention Dodder (Bean, Bonjean, and Burton, 1973) also found their hypotheses supported (see the section on ecological validity, below).

A detailed analysis of Dean's scale and others was performed by Knapp (1976). From seven scales (see below for details), he derived ten factors, which he attempted to name:

1. Authoritarian concern for status;
2. Future uncertainty;
3. Tendency to discriminate;
4. Powerlessness;
5. Normlessness;
6. Distrust in people;
7. Perceived purposelessness;

8. Authoritarianism;
9. Inevitability of war;
10. Social isolation.

Intercorrelations among the oblique factor scores are presented in Table IV. All three of Dean's subscales loaded significantly on "future uncertainty." Social isolation also loaded significantly on "distrust in people" and "social isolation." See Table V for details.

#### Relation to other measures

In the original study, Dean (1961) found his normlessness subscale correlating with Srole's anomia scale (1956) at .31. F-scale authoritarianism (Adorno, Frenkel-Brunswik, Levinson, and Sanford, 1950) correlated with powerlessness at .37 ( $p < .05$ ), with normlessness at .33 ( $p < .05$ ), with social isolation at .23 ( $p < .01$ ), and with alienation as a whole at .26 ( $p < .01$ ). Dean suggested that the significant but low orders of correlation indicate an association of the two variables without identity.

Returning to Knapp's (1976) analysis, we may examine Table VI, presenting the correlations between the subscales of Neal and Rettig's (1963, 1967) alienation scale, Streuning and Richardson's (1965) scales, anomia (Srole, 1956), authoritarianism (Adorno et al., 1950), status concern (Kaufman, 1957), the tendency to discriminate (Knapp, 1971), and Dean's (1961) subscales.

TABLE IV  
 INTERCORRELATIONS AMONG THE OBLIQUE FACTOR SCORES

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	2	3	4	5	6	7	8	9	10
1	-.04	-.04	-.10	-.10	.06	.13	.31	-.14	-.04
2		.03	.31	.13	.25	.23	-.14	.06	-.11
3			.07	.11	.09	-.06	.03	.06	.02
4				.14	.20	.19	-.15	.24	-.17
5					.07	-.02	-.01	.01	.06
6						.12	-.02	.05	-.10
7							.00	.06	-.01
8								-.11	-.01
9									.00

---

Source: Knapp (1976), p. 205.

TABLE V  
CORRELATIONS BETWEEN THE FACTOR SCORES  
AND INDIVIDUAL SUBSCALE SCORES

Subscale	Factor									
	1	2	3	4	5	6	7	8	9	10
<u>Powerl.</u>										
oblique	.06	-.76	-.08	-.55	-.18	-.18	-.36	.23	-.17	.19
orthog.	.03	-.72	-.06	-.32	-.22	-.23	-.06	.09	-.18	.14
<u>Norml.</u>										
oblique	.04	-.67	-.04	-.33	-.34	-.26	-.27	-.19	-.01	.16
orthog.	.06	-.60	.03	-.17	-.36	-.22	.06	-.31	.03	.13
<u>Soc. Isol.</u>										
oblique	-.14	-.62	-.05	-.31	.09	-.46	-.13	.01	-.22	.46
orthog.	-.15	-.43	-.05	-.12	-.08	-.59	-.07	-.05	-.12	.36

Source: Knapp (1976), p. 210.

TABLE VI  
SUBSCALE CORRELATIONS

Subscales	Dean's Scale		
	Powerlessness	Normlessness	Soc. isolation
Anomia (Srole)	.57	.45	.48
Authoritarianism (F-scale)	.31	.09	.07
Normlessness (Neal & Rettig)	.37	.35	.22
Powerlessness (Neal & Rettig)	.42	.25	.23
Social isolation (Neal & Rettig)	.56	.45	@
Meaninglessness (Neal & Rettig)	.61	@	.44
Status concern	.07	.07	-.10
Tendency to discriminate	.09	.00	-.08
Alienation by rejection	.65	.53	.49
Authoritarianism	.11	-.03	-.08
Perceived purposelessness	.32	.22	.34

Source: Condensed from Knapp (1976), p. 198.

Note: All values greater than or equal to .12 are significant at  $p$  less than .01. All values greater than or equal to .09 are significant at  $p$  less than .05. Correlations not recorded due to item overlap are indicated by @.

Ecological validity

Do we find alienation as measured by Dean's scale where we might expect it? With some reservations, yes. To begin, we might expect various manifestations of "certainty" and "uncertainty" related to alienation. Using only the normlessness subscale to test a hypothesis suggested by Durkheim, Dean and Reeves (1962) found highly significant differences between Catholic and Protestant women: With sex, age, and educational levels held constant, the means were 3.77 for Catholics and 8.63 for Protestants, with a standard deviation of 3.50 and 3.26 respectively. Noting socioeconomic discrepancies between the samples, Dean suggests that his earlier research (and common sense) indicates that the difference would have been even greater had this variable been controlled. Dean and Lewis (1978) found significant correlation between alienation and emotional maturity: For men, the correlations with emotional maturity were -.368 for powerlessness, -.304 for normlessness, and -.208 for social isolation; for women, the correlations were -.493 for powerlessness, -.301 for normlessness, and -.318 for social isolation. When we examine the transition in adolescence from one stage of moral development to the next, however, we do not find a "moral disequilibrium" in terms of self-concept, happiness, anxiety, personal integration, or alienation (Wonderly and Kupfersmid, 1978).



Next, we might expect certain relations between alienation and various concepts of freedom. In a fascinating study, Hillery, Dudley, and Morrow (1977) found the correlations shown in Table VII. Disciplined freedom (the "freedom from passions" sought after, perhaps, by monks) was significantly inversely related to alienation and its components. Conditional freedom (not being obliged to do what others want you to do) was significantly directly related to alienation, suggesting that detachment from others is freedom from others. Ego freedom ("I can . . .") was least related to alienation in any direction. Most strongly related was perceived deprivation of freedom, as one might expect.

We might further expect alienation to be related to "escape from reality," in the form of alcoholism or drug use. Calicchia and Barresi (1975) found alcoholics, especially males, significantly more alienated in Dean's terms than non-alcoholics. Of greatest significance was the social isolation subscale. Alienation was directly related to the length of time as an alcoholic, and inversely related to the length of time in treatment for alcoholism. Paton, Kessler, and Knadel (1977) found drug use also related to alienation. The relation of drug use to normlessness was as high as its relation to depressed mood. The social isolation effect, however, disappeared when depressive mood was partialled out.

In contrast to this last point, suicide, the "ultimate

TABLE VII  
 FREEDOM-ALIENATION CORRELATIONS

Scales	Ego freedom	Conditional freedom	Disciplined freedom	Perceived deprivation
Cohesion	-.11*	-.43*	.37*	-.30*
Alienation	.08	.19*	-.28*	.32*
Normlessness	.15*	.17*	-.17*	.24*
Powerlessness	.00	.13*	-.22*	.30*
Social isolation	.12*	.20*	-.25*	.31*

\*p < .05

Source: Hillery, Dudley, and Morrow (1977), p. 692,

escape," was found highly related to the social isolation subscale, used by Wenz (1979) to measure loneliness. He found significant relations between seasons, loneliness, "future loneliness" as measured by a semantic differential, and suicide attempts. In another study, Wenz (1978) adapted Dean's normlessness scale to measure family anomie, and found the highest normlessness among low economic status attempted suicides, and the lowest among high economic status non-suicides. Normlessness and powerlessness correlated in the controls, and correlated very highly in low economic status suicidal teenagers and their families.

Regarding such background factors as economic status, Dean provides us with the earliest data (1961) using his test. As can be seen in Table VIII, significant albeit low order correlations occur for alienation and occupational, educational, income, age, and community differences. Nightingale and Toulouse (1978), looking at cultural differences between French- and English-speaking Canadians in the work place, found alienation (measured with a modified version of Dean's scale) to be highly correlated with (1) socio-demographic characteristics of the individual, (2) the quality and nature of interpersonal relations, and (3) organizational structures, as expected. Bean, Bonjean, and Burton (1973), looking at intergenerational occupational mobility, found an inverse relationship between alienation, as measured by Dean's

TABLE VIII  
BACKGROUND FACTORS-ALIENATION CORRELATIONS

	Occu- pation	Edu- cation	Income	Age	Commu- nity
Powerlessness	-.20**	-.22**	-.26**	.14**	-.10*
Normlessness	-.21**	-.18**	-.14**	.13**	-.10*
Soc. isolation	-.07	-.11*	-.13**	-.03	-.06
Alienation	-.19**	-.21**	-.23**	.12**	-.10*

\*p < .05

\*\*p < .01

Source: Dean (1961), p. 755.

test, and the occupational status at origin, a significant inverse relation with occupational status at destination, plus a significant "over-riding" effect of the status at destination.

Three studies using Dean's test deserve mention for their unusual topics. Burbach and Thompson (1973), mentioned earlier as finding significant alienation differences among whites, blacks, and Puerto Ricans, also found no differences, across racial groups or within them, between college drop-outs and "persisters." The researchers themselves point out that intellectually-oriented alienated people would probably rather stay in college than enter the work force. A study by Brattesani and Silverthorne (1978) found significant positive correlation between Dean's scale and the negative affect and isolation scales of a "Menstrual Distress Questionnaire." Finally, Greenburg (1973) found that homosexual males were significantly more alienated than heterosexual males, and Catholic homosexuals even more so. Alienation and self esteem varied inversely in relation to other variables, but no correlations between the two were provided. Complex interactions were found for oral versus anal preferences, which need not be mentioned.

To summarize, Dean's alienation scale must be acknowledged to be a rough measure of alienation, but a measure none-the-less. Analysis, especially of validity, is made difficult by the fact that the great majority of

alienation researchers create their own tests for their individual purposes. Other than this, the choice is really among three tests: Sroles (1956), which is the most used but the least effective; Neal and Rettig's (1963), which is relatively effective but almost unused; and Dean's (1961) which falls between these two in effectiveness and use. Pending further research, Dean's test appears to be the best bet.

### Hypotheses

The broad expectation is that, as a person is more alienated, his descriptions (and, presumably, understanding) of others increasingly resembles the descriptions made by children. Fluency, as measured by the number of statements per description, should decrease with alienation. Further, the types of statements made should be the mirror image of the developmental trend discovered by Livesley and Bromley (1973). We should expect the following regarding the content categories defined in Table I:

Increasing as alienation increases:

2. Identity;
7. Possessions;
11. Routine acts;
30. Family;
35. Miscellaneous.

## Decreasing as alienation increases:

- 8. Traits;
- 9. Habitual acts;
- 24. He-himself;
- 20. Beliefs;
- 22. Reputation;
- 23. Others-him;
- 25. I-him;
- 27. He-others;
- 31. Lovers;
- 33. He:others;
- 34. Collateral.

## Regarding differences in the target of one's description:

## Used more in reference to elders:

- 2. Identity;
- 4. Role;
- 7. Possessions;
- 10. Specific acts;
- 30. Family.

## Used more in reference to peers:

- 15. He-likes;
- 16. Intellect;
- 17. He-can;
- 21. Evaluations;
- 29. Friends;
- 31. Lovers;
- 32. He:me.

Used more in reference to liked targets:

- 4. Roles;
- 8. Traits;
- 14. Hobbies;
- 15. He-likes;
- 16. Intellect;
- 17. He-can;
- 26. We;
- 32. He:me.

Used more in reference to disliked targets:

- 1. Appearance;
- 9. Habitual acts;
- 10. Specific acts;
- 24. He-himself;
- 25. I-him;
- 27. He-others;
- 34. Collateral.

Although these within-subject expectations are not particularly germane to the issues at hand, they are included in order to confirm Livesley and Bromley (1973) in regards to adults, and secondly because an interaction effect is to be expected wherein the effect of alienation is most strongly seen in disliked elders and least strongly in liked peers.

Regarding self descriptions, I expect there to be little or no difference between alienated and non-alienated individuals, since I am proposing that the



alienated have learned to be unsure of their understanding of others, and not of themselves, with whom they should be just as familiar as any adult. There is a possible exception in the extreme form of alienation where the individual refuses to assume understanding of his own actions as well.

It is also expected that alienated individuals would offer less in the way of qualification or organization (see Table II) in describing others, although I expect no difference in simple qualification (e.g. "very," "usually," "quite," etc.) and, again, no difference between the alienated and non-alienated in qualification or organization of self descriptions.

#### Method

Subjects were 100 students enrolled in several sections of the introductory psychology course at the Oklahoma State University.

The independent variable consisted of scores on Dean's test of alienation (Dean, 1961--see appendix) given by assistants three to seven days earlier than that which follows, with no indication of a relationship, in the classes from which volunteers would be drawn. The scores of the people who volunteered for the second phase were rank ordered and divided into quartiles. The effects of "volunteerism" are unavoidable here, other than by a sincere effort to get all to participate with an

offer of two extra-credit points and free cookies.

To arrive at dependent variables, volunteers were presented with booklets of six pages each. The first page consisted of the following instructions, somewhat adapted from Livesley and Bromley (1973):

Please write your name at the top of this page. There are five pages following this one, each with a small description at the top. Look at the description and think of someone you know who fits it. Write the name or initials of that person next to the small description. I want you to describe the person as carefully as you can. I don't want you to tell me about their size, the color of their hair or eyes, or the kind of clothes they wear. Instead, I want you to describe what sort of person they are. I want you to tell me what you think about them and what they are like. I would appreciate it if you would write at least 50 words about each person, but if possible not more than a page. Please relax and take your time. Thank you very much for your help.

The "small descriptions" on the following pages (randomly arranged) were as follows:

- a. A person I know fairly well, whom I like and who is older than me.
- b. A person I know fairly well, whom I like and who is about the same age as me.
- c. A person I know fairly well, whom I dislike and who is older than me.
- d. A person I know fairly well; whom I dislike and who is about the same age as me.
- e. Myself.

The resulting descriptions were then divided into statements as defined in the previous section: Any "string of utterances" which, at the semantic level, consist of a propositional with one or more arguments, one of which is

the target person.

"Fluency" was measured in terms of the number of statements per description. An analysis of variance was performed to examine the effects of alienation quartiles (between subjects) and the within subjects effects of like/dislike and peer/elder.

The "varieties of statements" analysis of the descriptions of others begins by assigning each statement to a single content category, described in Table I. The scores in each category are expressed as the frequency of statements used in the four descriptions. The frequencies were dichotomized at the median and the resulting contingency tables examined by means of a chi-square test. For within-subject variables, a Friedman two-way analysis of variance by ranks method was used with the frequency of statements in each category (see Siegel, 1956).

The "varieties of statements" analysis of the self descriptions proceeds the same way, using chi-squares. Note that the categories 25 (I-him), 26 (We), 28 (Me-him), and 32 (He:me) are irrelevant to self description, and that others must be adjusted (e.g. "He-himself" may be read as "I-myself," and so on).

The "qualifying and organizing terms" analysis of descriptions of others begins by assigning all relevant statements to an appropriate category, described in Table II. The scores are expressed as frequencies, and

analysis proceeds as for the "varieties of statements" analysis. The same applies with the self descriptions.

### Results

There is an embarrassing simplicity to the statistical results of this study: With little exception, the hypotheses failed to find support. Strictly speaking, the nonparametric techniques and the often very low frequencies disallow paying serious attention to probabilities less than .01 (if that). However, for the purpose of eking out possibilities, I have noted significances at the .1 and .05 levels as well.

Regarding fluency, the sole significant effect was that of the liked versus disliked target. Simply put, people (regardless, unfortunately, of their alienation scores) talk more about people they like than people they dislike. (See Table IX.) Fluency means are presented in Table X.

Turning to the central portion of the study, the use of "specific acts" was found to increase with alienation quartiles at the .01 level; "He-me" and "family" had a curvilinear relationship to alienation, with the low and high quartiles using these categories more, at the .05 level; the use of "beliefs" decreased with increased alienation at the .1 level; and "intellect" peaked in the low-mid quartile, also at the .1 level. Compare these results to those expected: "Family" was expected to

TABLE IX  
ANALYSIS OF VARIANCE TABLE - FLUENCY

	Sum of Squares	df	Mean Squares	F Ratio
Between <u>Ss</u>	8414.500	99		
A	133.320	3	44.440	0.515
<u>Ss w/in grps.</u>	8281.180	96	86.262	
Within <u>Ss</u>	3679.500	300		
B	141.610	1	141.610	10.713*
AB	53.950	3	17.983	1.360
B x <u>Ss w/in grps.</u>	1268.940	96	13.218	
C	10.890	1	10.890	0.775
AC	27.390	3	9.130	0.650
C x <u>Ss w/in grps.</u>	1349.220	98	14.054	
BC	4.000	1	4.000	0.471
ABC	8.200	3	2.733	0.322
BC x <u>Ss w/in grps.</u>	815.300	96	8.493	
TOTAL	12094.000	399		

\*p < .001

A = Alienation quartiles

B = Liked/disliked target

C = Peer/elder target

TABLE X  
FLUENCY MEANS

Targets	Alienation quartiles				All Subjects
	High	High Mid	Low Mid	Low	
Liked-elder	12.88	12.60	13.44	11.60	12.630
Liked-peer	13.48	13.40	12.88	12.88	13.160
Disliked-elder	12.76	11.12	11.80	10.88	11.640
Disliked-peer	13.64	11.08	11.28	11.08	11.770
Liked	13.18	13.00	13.16	12.24	12.895
Disliked	13.20	11.10	11.54	10.98	11.705
Elder	12.82	11.86	12.62	11.24	12.135
Peer	13.56	12.24	12.08	11.98	12.465
All Targets	13.19	12.05	12.35	11.61	12.300

increase with increased alienation; "Beliefs" was indeed expected to decrease with increased alienation, making this our sole confirmed expectation regarding the content categories; and the others had been expected to be relatively stable across alienation quartiles. (See Table XI.)

Next, obscurity and the organizing terms were expected to increase with alienation. No evidence of this was found. (See Table XII.)

Regarding self descriptions, no differences were expected and, indeed, almost no differences were found. However, without the expected differences in the descriptions of others, these results have little meaning. It must be noted that the use of "collateral" statements decreased with increased alienation at the .1 level, and "beliefs" showed a trend in the same direction as in the descriptions of others, decreasing with increased alienation. (See Table XIII.)

The qualification and organization categories in self descriptions showed significance at the .05 level for use of "because," with greatest use by the low alienation quartile and least by the high. (See Table XIV.)

The alienation scores had a range of 54, with the median at 70.

#### Discussion

The proper interpretation of these results is somewhat

TABLE XI  
 FREQUENCY OF EACH CONTENT CATEGORY  
 FOR ALL TARGETS AT EACH  
 ALIENATION QUARTILE  
 WITH CHI-SQUARES

Category	Low	Low Mid	High Mid	High	Chi-Squares
1. Appearance	2	8	6	14	5.0000
2. Identity	8	25	14	25	3.1526
3. Health	1	2	0	0	3.7801
4. Roles	12	16	11	25	0.8151
5. Past	8	7	9	6	3.9627
6. Prospects	4	1	3	3	1.0870
7. Possessions	3	8	4	6	0.9967
8. Traits	204	218	267	208	1.7207
9. Habitual acts	118	170	122	118	4.3232
10. Specific acts	14	15	21	55	12.0000***
11. Routine acts	6	8	3	17	6.4497*
12. Mannerisms	1	2	0	1	2.0833
13. He may	4	0	3	6	4.0000
14. Hobbies	3	4	1	3	2.3199
15. He likes	26	34	21	28	1.4051
16. Intellect	12	35	26	32	7.1629*
17. He can	9	17	9	6	2.2869
18. He wants	31	23	23	20	0.4416
19. He feels	30	23	24	34	0.6410
20. Beliefs	20	17	11	9	6.7323*
21. Evaluation	64	71	81	76	3.0012
22. Reputation	16	14	8	11	2.4762
23. Others-him	20	22	29	29	4.9220
24. He-himself	47	70	54	38	3.1199
25. I-him	101	57	97	141	5.3096
26. We	34	11	35	49	5.3333
27. He-others	200	211	171	145	2.0934
28. He-me	52	15	24	63	9.4400**
29. Friends	18	10	14	19	1.1349
30. Family	29	18	17	38	7.8788**
31. Lovers	9	22	14	18	3.2258
32. He:me	16	9	21	16	1.1868
33. He:others	10	9	4	6	3.0303
34. Collateral	20	30	34	41	3.4722
35. Miscellaneous	12	7	8	10	1.5245

\*p &lt; .1

\*\*p &lt; .05

\*\*\*p &lt; .01



TABLE XII

FREQUENCY OF QUALIFICATION AND  
ORGANIZATION CATEGORIES FOR  
ALL TARGETS AT EACH  
ALIENATION QUARTILE  
WITH CHI-SQUARES

Category	Low	Low Mid	High Mid	High	Chi-Squares
i. Qualification	328	353	389	347	4.2817
ii. Obscurity	31	25	19	20	1.6234
iii. Because	61	33	60	73	5.4487
iv. Conditional	103	96	114	108	3.4312
v. But	56	68	86	78	2.0474
vi. Specific	48	45	51	58	2.0474
vii. Really	12	19	26	19	0.9615
viii. Metaphor	10	28	12	11	2.5934

TABLE XIII  
 FREQUENCY OF EACH CONTENT CATEGORY  
 FOR SELF DESCRIPTIONS AT EACH  
 ALIENATION QUARTILE  
 WITH CHI-SQUARES

Category	Low	Low Mid	High Mid	High	Chi-Squares
1. Appearance	1	5	1	2	3.2609
2. Identity	1	4	1	3	2.1739
3. Health	0	2	0	0	6.1224
4. Roles	2	2	1	4	1.0870
5. Past	5	3	1	14	3.6545
6. Prospects	4	4	2	7	1.6611
7. Possessions	2	4	3	0	2.9186
8. Traits	69	74	96	75	1.6026
9. Habitual acts	40	30	34	31	3.4312
10. Specific acts	7	7	8	7	0.5000
11. Routine acts	6	5	0	5	4.0000
12. Mannerisms	0	0	0	0	0.0000
13. He may	1	1	0	0	2.0408
14. Hobbies	1	3	4	2	0.4608
15. He likes	36	46	34	53	4.0000
16. Intellect	5	5	8	10	2.5641
17. He can	5	4	1	11	3.0946
18. He wants	20	22	31	25	2.2436
19. He feels	27	24	29	33	0.3205
20. Beliefs	27	19	6	8	6.2500
21. Evaluation	10	17	15	13	1.5280
22. Reputation	5	3	4	4	0.3322
23. Others-him	23	14	20	15	2.3333
24. He-himself	31	19	29	25	2.3333
25. (irrelevant)					
26. (irrelevant)					
27. He-others	44	64	61	55	3.0000
28. (irrelevant)					
29. Friends	10	6	11	14	1.3113
30. Family	3	8	9	16	2.9810
31. Lovers	3	8	4	5	1.9135
32. (irrelevant)					
33. He:others	9	5	5	6	0.5000
34. Collateral	21	10	11	9	7.3084*
35. Miscellaneous	0	6	2	7	5.7778

\*p < .1

TABLE XIV  
 FREQUENCY OF QUALIFICATION AND  
 ORGANIZATION CATEGORIES FOR  
 SELF DESCRIPTIONS AT EACH  
 ALIENATION QUARTILE  
 WITH CHI-SQUARES

Category	Low	Low Mid	High Mid	High	Chi-Squares
i. Qualification	128	139	117	137	3.0108
ii. Obscurity	7	6	11	8	3.8052
iii. Because	35	18	27	12	8.5346*
iv. Conditional	35	42	48	40	1.4141
v. But	43	41	39	41	1.7262
vi. Specific	30	25	17	18	2.3609
vii. Really	4	2	0	2	3.5461
viii. Metaphor	2	1	1	2	0.6316

\*p < .05

problematic. Fortunately, despite the negative results, the act of doing this study has taught me a great deal, some of which I would like to convey to you here.

First and foremost, the links between concepts and observables were far too tenuous. To begin, look at the alienation test once more. I found, as I went back over the "raw" data, that a number of people with cheery attitudes about themselves saw the world as being in dire straits. A few others saw the world as getting along just fine, but very much without them. There were the usual tendencies on the part of some to answer in extreme terms (it's an awful time for bringing a child into this world, but friends are more abundant than ever), and possibly other tendencies to "uncertainty." One may well wonder if an "uncertain" (counting 3) wouldn't be more indicative of alienation than an "agree strongly" (counting 5). Further--in keeping with my argument that alienation is an awareness of reality--there appeared to be a "strangeness" about the descriptions on both ends of the alienation scale. For example, a number of people scoring very low on alienation referred to themselves as recently "born again" Christians. Several of these also referred to bad childhoods, recent ill fortune, personal troubles, and the like, that were resolved by their religious experiences. Some of the highly alienated recounted similar troubles, but of course without the conversion. The styles of their descriptions (use of categories) are

more similar to each others' than to those firmly entrenched in the middle of the distribution. Speculative explanations for this are easy to come up with: It takes time for them to change their way of thinking about others; their conversions are shallow affairs which merely mask their alienation; conversion is itself a manifestation of alienation; and so on. Returning to the alienation test, the problem may simply be that it is obvious what the test is after. A born-again Christian may wish to demonstrate his new-found trust in the Lord in his answers, as might a fresh recruit to the radical left wish to demonstrate his fashionable alienation from the establishment.

These problems may not have been quite as severe had the range of scores been greater. As it stands, however, my experience with this test leads me to suggest we proceed in two directions: (1) Develop a test that quietly and unobtrusively taps those feelings associated with alienation, in terms of "sometimes I feel . . ." or ". . . is very frustrating to me," rather than "politicians are crooks" (as they may well be); (2) Develop a second test that (rather like Srole's) puts the questions on the line, without a five-point-scale or misty interworld, "I have no power," "Norms are merely conventions," maybe even "life is essentially meaningless." I don't mean to suggest that these then form two dimensions of alienation, but rather that they tap two forms of adaptation (and yes,

there are likely more than two) to the alienating situation, forms which in Dean's test and others are confused with each other, with "normality," and even with "extremely well adjusted."

Next, I would like to discuss the semantic analysis. First off, let me convey my personal feelings about the descriptions. Apparently, when people realize that what they are doing relates to psychology, they pour their hearts out. I found it very, very difficult to dissect people's feelings, aspirations, personal histories, and so on, and put the pieces into the rather arbitrary and very cold indeed categories of "content." Being fairly alienated myself, I was warmed by the realization that the great majority of people--at least of the ones I met via this study--are good people. Even those people whose descriptions might lead one to form a negative opinion of their characters expressed what appears to be sincere desires to be good. Although it is said that the road to hell is paved with good intentions, that road must certainly be broad and high. But these observations are, of course, unscientific, and my alienation returned quickly as I entered into statistical manipulations of my objective data.

To return to the concrete, I found that, despite the apparent generality of the categories, it must be that they are better suited to the developmental questions for which they were originally designed. Mind you, the

extension of the technique beyond those questions was not my idea--Bromley's book (1977) specifically advocates its use as a means of dealing with large amounts of "quasi-juridical" (i.e. case study) data of any sort. As I used the technique, however, I became increasingly dismayed by its ability to eradicate differences important to alienation: When "I like football" is classified with "I like solitaire," "I go through lovers like I go through potato chips" with "My wife and I have a satisfying sex life," "I expect to complete medical school" with "I hope I have a job next year," or "I am shy" with "I am easy-going," one begins to wonder at the amounts of information that are lost.

It appears to me now that the type of universal semantic analysis I had been looking for--one wherein the subject "defines" the categories of analysis--may not be possible. Livesley and Bromley's technique, of course, involved categories pre-established, albeit using material relevant to the studies to follow, by expert judges. But the breadth of the categories led me to believe that it would begin to approach the ideal. However, with this experience and further thought, it now appears to me that, if the subject defines the categories of content by the categories he uses, the researcher must essentially relate each semantic "gestalt" with every other--a feat approximated only by one individual's understanding of another. In simpler terms, the closest thing we have to

a universal semantic analysis is an expert's carefully thought-out impressions of another after years of interaction (shades of Freud, perhaps?). Although it pains me to admit it, the best procedure, when it comes to experimenting with texts, verbal or written, is probably to categorize the content into relatively broad categories, in the manner of the techniques developed by McClelland or Secord. Again, the analysis used by Livesley and Bromley is perfectly alright--when used for its intended purpose.

Another problem, tied more to my hypotheses than to the technique, concerns the relation between the manner in which people perceive people and the manner in which they describe them. How much, for example, does the child's "child-like" description depend on his lack of verbal skill and understanding of the meanings of words, and how much depends on a real difference in cognitive organization? Notice (isn't hindsight a wonderful sense?) that in Livesley and Bromley's study (1973), fluency was greater in girls--acknowledged to be verbally more fluent at an earlier age--yet is unrelated to intelligence, which one might expect to make a difference when it comes to actual cognitive complexity in interpersonal understanding! Regarding the present study, does one express one's distrust of or ignorance of others' inner processes by changing the way one talks about others? A mute may see the sunrise but not describe it; a blindman may describe it but not see it. Less poetically, we may describe



others as moody, changeable, untrustworth, unpredictable, and other indicators of our lack of understanding of their inner workings, just as easily as we describe them as friendly, gentle, sincere, and so on--all "traits," all "category 8."

Again with the benefit of hindsight, I believe I made a poor choice of targets: As I never expected to have subjects so thoroughly alienated as to have no relationships with others, I should hardly have expected that the more alienated subjects would not have a few trusted friends or family members to draw on for the "liked" targets. And inasmuch as much of the descriptions of "disliked" others consists of one's own reactions to those others, as well as the possibility that even the very "unalienated" might dislike someone precisely because their surface behaviors belie underlying motives, I should not have expected these targets to draw out the desired differences either. Perhaps a "generalized other" target would have better served the purpose.

The within-subjects analysis of variance by ranks to examine the effects of target on each category was not performed for a number of reasons. Foremost, the preponderance of zeros, ties, and even non-use of categories by individual subjects, together render the statistics essentially meaningless. Next, in addition to being subject to the criticism just mentioned, Livesley and Bromley (1973) apparently used the statistical

technique mentioned incorrectly, using proportions in place of ranks, leading me to seriously question their results--and hence the predictions made in this study--concerning target effects. Finally, the irrelevance of simple target effects to the main hypotheses and the incalculability of target-by-alienation interactions make the omission less than serious. I might add that the one category in which I could see differences in usage with different targets--evaluation--is one which is biased by definition, or more precisely by the examples Bromley (1977) gave for its use: There was far more "evaluation" of disliked targets than of liked targets because "cocky," for example, was to be considered an evaluative term, whereas "friendly," for another example, was to be considered a trait. The reasoning behind the differentiation is fine, and the reliability good; but the bias remains none-the-less.

The list of reasons why a study failed to produce the sought after results can, of course, go on forever. The fact of the matter is, however, that this study failed rather dramatically. I, personally, learned a great deal from it. But in regards to this particular design addressing this particular problem, permit me to paraphrase a phrase very familiar to psychology: Further research is not indicated.

I hardly mean to imply, however, that the concept of alienation, the interpretation of it developed in

Chapter II, its possible relationship to person perception, or the fruitfulness of semantic analysis have been put to task: The failings of this study have, by their very nature, spared these things for the time being. I hope that I may have something to do with demonstrating their value in the coming years. In the meantime, having failed in an instrumental society, it is apparent that my myths and natural associations will sustain me.

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## APPENDIX

### DEAN'S (1961) ALIENATION SCALE

1. Sometimes I feel all alone in the world.
2. I worry about the future facing today's children.
3. I don't get invited out by friends as often as I'd really like.
4. The end often justifies the means.
5. Most people today seldom feel lonely.
6. Sometimes I have the feeling that other people are using me.
7. People's ideas change so much that I wonder if we'll ever have anything to depend on.
8. Real friends are as easy as ever to find.
9. It is frightening to be responsible for the development of a small child.
10. Everything is relative, and there just aren't any definite rules to live by.
11. You can always find friends if you show yourself friendly.
12. I often wonder what the meaning of life really is.
13. There is little or nothing I can do to prevent a major "shooting" war.
14. The world in which we live is basically a friendly place.
15. There are so many decisions that have to be made that sometimes I could just "blow up."
16. The only thing you can be sure of today is that you can be sure of nothing.

17. There are few dependable ties between people anymore.
18. There is little chance for a promotion on a job unless you get a break.
19. With so many religions around, one doesn't really know which to believe.
20. We're so regimented today that there's not much room for choice even in personal matters.
21. We are just so many cogs in the machinery of life.
22. People are just naturally friendly and helpful.
23. The future looks very dismal.
24. I don't get to visit friends as often as I'd really like.

- Notes:
- a. Items 5, 8, 11, 14, and 22 are reversed, and must be scored accordingly.
  - b. Items 2, 6, 9, 13, 15, 18, 20, 21, and 23 refer to "powerlessness."
  - c. Items 4, 7, 10, 12, 16, and 19 refer to "normlessness."
  - d. Items 1, 3, 5, 8, 11, 14, 17, 22, and 24 refer to "social isolation."

2  
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