THE PERCEIVED COMMUNICATION OF ROLE AND EXPECTATIONS FROM SUPERVISORS TO SUBORDINATES IN A FEDERAL CIVIL SERVICE FACILITY

Ву

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Submitted to the Faculty of the
Graduate College of the
Oklahoma State University
in partial fulfillment of
the requirements for
the Degree of
MASTER OF SCIENCE
May, 1991

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ACKNOWLEDGMENTS

I would like to express my sincere appreciation and gratitude to all the people who assisted me in this work. In particular, I am especially indebted to my major adviser, Dr. William R. Venable, for patience, guidance and assistance in the completion of this thesis.

I am also thankful to my other committee members, Dr. John L. Baird and Dr. Jerry G. Davis, for their advisement in the course of this study. Special thanks is given to my typist, Ms. Wilda Reedy, for her assistance with protocol and with advisory committee communication and her professional expertise during the typing of the final copy of my thesis.

Advice and help from Tom Cox at the USAF Management Training

Center at Rose State College, Dr. Gary Green with the College of

Education at Oklahoma University, Dr. Mike Buckley with the

Psychology Department at Oklahoma University, and Ms. Barbara Roach,

Director of Business Research Support Services at Ohio State

University is gratefully acknowledge and deeply appreciated.

For his voluntary help with the analysis and statistical conclusions of this study, I am very grateful to Jim Dowdy, statistical analyst at the Oklahoma City Air Logistics Center.

A special acknowledgment is expressed to my mother, Loena Balcom, for guiding me in the need to accomplish my goals. To my son, Jeff, and my friends, thank you for your moral support and understanding.

Lastly, a special thank you is expressed to Col. David C.

Crossett, Chief of the Propulsion Management Division, and my other supervisors, peers and subordinates for their patience and constant support during the completion of this thesis.

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CHAPTER I

INTRODUCTION

It is important that expectations are clearly defined and emphasized by supervision because understanding of those expectations by the subordinate work force and how the work force perceives those expectations are factors affecting job satisfaction and worker self esteem. Keller (1975, p. 64) has stated that research "shows that employees are generally more satisfied with their jobs when expectations for performance are made clear and nonconflicting."

Statement of the Problem

The problem which gave rise to this study is that the expectations of supervision for the subordinate work force are not always clearly communicated or well defined. Therefore, the subordinates' knowledge of those expectations may be limited or supervisory expectations may be misinterpreted due to the constant exposure to a "variety of expectations from both themselves and others as they carry out their organizational roles" (Keller, 1975, p. 57). The stress and conflict developed as a consequence results in lower levels of job satisfaction and lower job performance (Kahn, Wolfe, Quinn, Snock, & Rosenthal, 1964).

Purpose of the Study

The purpose of this study was to compare supervisor perceptions of how frequently they communicate their roles and expectations to subordinates with their subordinates' perceptions of how frequently the supervisors communicate their roles and expectations to them.

Hypothesis

There is no difference between supervisor self-perceptions of communication of their roles and expectations and their subordinates' perceptions of the supervisor communication of roles and expectations.

Corollary A: Supervisors do not perceive that they communicate their roles and expectations to their subordinates frequently.

Corollary B: Subordinates do not perceive that their supervisors communicate their roles and expectations to them frequently.

Limitations of the Study

The study was limited by the small sample used and the single point of that sample from the wide range possible within a civil service framework.

A second limitation was the plurality of nuances for the meaning of the term "expectations" as used in the organizational environment—what is expected from the supervisor, what is expected of the supervisor, what is expected from the subordinate, what is

expected of the subordinate, what is expected of himself, and what is expected in the way of rewards from the organization.

Definition of Terms

The following terms are defined for use within the context of this study.

Consideration - The consideration leader style has been defined as "the extent to which the leader, while carrying out his leader functions, is considerate of the men who are his followers," or "the extent to which an individual is likely to have job relationships characterized be (sic) mutual trust, respect for subordinates' ideas, and consideration of their feelings" (Rike, 1976, p. 84, 17). Hersey and Blanchard (1982) use the phrase "relationship behavior" meaning a type of leadership behavior exhibited by concern for people.

<u>Expectation</u> - Expectation is the performance outcome of subordinates anticipated by the supervisor which come from the supervisors' self-identified role.

Initiation of Structure - Initiating structure has been defined as "the extent to which the leader organizes and defines the relation between himself and his subordinates or fellow group members", or "the extent to which an individual is likely to define and structure his role and those of his subordinates toward goal attainment" (Rike, 1976, pp. 17, 84-85). Hersey and Blanchard (1982) used the phrase "task behavior" meaning a type of leadership behavior exhibited by concern for production (task). Initiation of structure

was later redefined as "clearly defines own role, and lets followers know what is expected" (Stogdill, 1963).

<u>Job Satisfaction</u> - Job satisfaction has been defined as "the pleasurable emotional state resulting from the appraisal of one's job as achieving or facilitating one's values" (Locke, 1969, pp. 309-336).

Management - Hersey and Blanchard (1982, p. 3) stated that the "achievement of organizational objectives through leadership is management" and the achievement of organizational goals is paramount in the leadership area called management. They further defined management as "working with and through individuals and groups to accomplish organizational goals."

Role - Gross, Mason, and McEachern (1958) defined a role as "a set of expectations which are applied to an incumbent of a particular position." For this study, role is a set of self-identified functions of the supervisor related to the performance expectations by subordinates.

<u>Subordinate</u> - A subordinate is a person, who by rank or grade level, is subject to or under the authority of a superior above him in rank or grade level (supervisor).

Supervisor - A supervisor is a person who, by rank or grade level, oversees/directs/leads people below him in rank or grade level (subordinates) and who is responsible for the quality and quantity of job output from those he oversees/leads. In a civil service environment, most first level supervisors are not normally

considered management. However, many first level supervisors perform management functions as much as upper level supervisors do.

Assumptions

The following assumption was made for this study:

- 1. The people who worked in the division selected as subjects for this study, and, therefore the selected units within the division, were representative of other areas within the civil service workforce.
- 2. World situations affecting workload would not affect the perceptions being researched.

Organization of the Study

The introduction to the study, which includes a statement of the problem, the purpose of the study, research questions, limitations of the study, definition of terms, and assumptions were presented in Chapter I. A review of pertinent literature is presented in Chapter II. The methods and instrument are discussed in Chapter III. The results are presented in Chapter IV and the summary of findings, implications, conclusions, and recommendations are discussed in Chapter V.

CHAPTER II

REVIEW OF LITERATURE

This chapter presents literature on various aspects of expectation and its impact on production and job satisfaction.

The Development of Expectations in the Work Environment

Public (government) business environment varies greatly from private business environment. Balk (1974) gave several reasons for the differences. Government administrators do not have the control or authority held by most of their counterparts in private business. The difficulty of defining the output of service organizations when services are not sold is another difference between government and private business. Management in government agencies is "highly permeable" from sources other than the conventional upper management, such as restrictive legal frameworks, legislators, and the press. Rewards in government business often are the result of political influence rather than rational management influence from the organization. There is no consistent, visible reward for productivity. There are "active disincentives to government productivity" such as reduced funding when savings are obtained.

This is just a brief overview of some of the areas which vary from the public to the private business environment. The framework

in which the public employee works makes the development of management expectations that can be used as employee motivators for improved production/performance and higher job satisfaction difficult to establish.

Organizational environment expectations have been specifically defined as

The perceptions of appropriate behavior for one's own role or position or one's perceptions of the roles of others within the organization. In other words, the expectations of individuals define for them what they should do under various circumstances in their particular job and how they think others—their superiors, peers, and subordinates—should behave in relation to their positions (Hersey and Blanchard, 1982, pp. 126-127).

Organizational role expectations are defined as "evaluative standards applied to the behavior of any person who occupies a given organizational office or position" (Katz and Kahn, 1966, pp. 16-17). Gross et al. (1958) defined expectations as standards of evaluation applied to any incumbent of a position while a role becomes a set of expectations which are applied to an incumbent of a particular position.

In the foreword to <u>Leadership and Role Expectations</u> by Stogdill et al. (1956), Viva Boothe, Director of the Bureau of Business

Research at Ohio State University stated that

The concept of expectancy has . . . come to occupy an important place in learning theory, personality theory and group theory. The authors of this monograph are concerned with an empirical study of the relationship between expectation and performance in the leadership role. Role performance is described in terms of what the leader is seen to be doing. Role expectation is represented by what the leader or his followers think he ought to do (p. v).

Another source refers to expectance as the subjective probability between levels of behavior and performance. Expectancy is one of the major inputs to the force of motivation (Miskel, McDonald and Bloom, 1983), and appropriate motivation results in better production and improved performance.

"The lifeblood of the organization is communication" (Baird, 1977, p. 1). Communication and expectation are linked. Bassett and Smythe (1979) stated that one of their principles was "Expectations are an integral aspect of the communication process" (p. 55). An entire chapter is devoted to a discussion of expectations, communication and beliefs in an instructional environment. They further stated that

Expectations do affect teacher-student relationships . . . Brophy and Good's (1974) synthesis of studies on the communication of expectations depicts a grim reality of classroom life. Some students are, by virtue of a teacher's expectations, provided an impoverished atmosphere for learning (1979, pp. 70-72).

In 1983, studies in the expectation communication process in teacher-student relations are synthesized by Cooper and Good (1983).

Prowse (1990) discussed a relatively new concept for the Air Force—Total Quality Management (TQM). In the Air Force Journal of Logistics, Lt. Col. Prowse stated that "Constructive and uninhibited communication . . . is critical to the success of TQM" (1990, p. 5).

There have been several studies done concerning the public employee. Few of them deal directly with expectations, particularly in the context of the current study. However, many of them deal

indirectly with areas where supervisory communication of expectations for their subordinates may be improved or developed.

When public sector managers were asked what they disliked about their jobs in a study by Nowlin (1982), the absence of opportunity for advancement was at the top of the list. However, the autocratic nature of policy and administration were next on the list. It was felt that priorities and directions were unstable, changing frequently. The third factor was salary. Even managers in government jobs were not happy with the expectations for them from their management due to poor and unstable definition. For the private sector quite a different list of the most disliked elements of their jobs was developed. Personal life, supervisory competency and security were the factors most disliked about private sector jobs.

In a study entitled "Motivating the Public Employee: Fact Vs Fiction", self-actualization was ranked as the most important need level by the public employees. "This group has clearly adopted some of the characteristics of professional employees, and may not be satisfied until they are treated accordingly" (Newstrom, Reif, & Monczka, 1976, p. 70). There were four major conclusions from this study. First, harmful stereotypes of the public employee need to be destroyed. Secondly, provisions must be made to allow opportunities for self-actualization. Third, work environments which are acceptable and satisfying must be created and maintained. Fourth, the changing nature of employee needs must be recognized and positive actions taken to deal with them.

The four conclusions from this study, as well as the other studies given, indicated that public employees expected some things from management. While the Balk (1974) article suggested many difficulties encountered in the public sector by management in dealing with general changes or improvements, research has shown various areas where supervision can improve subordinate job satisfaction. This improvement may be achieved through definition of what supervision expects from the employee, which may, in turn, allow opportunities for self-actualization and the creation of a more satisfying work environment.

In a report to the President and the Congress of the United States by the U. S. Merit Systems Protection Board on job satisfaction and federal employees (1987), 63 percent agreed that there was effective two-way communication between the supervisor and the respondent. The question on two-way communication was one of several studying satisfaction with supervision, only one aspect of the entire study. The overall finding on job satisfaction was that about two-thirds of federal employees are satisfied with their jobs.

Concerning productivity, Balk (1974, p. 319) stated that two assumptions may be derived from effective, efficient productivity. First, "any continued improvement of productivity is highly dependent upon our ability to define input, output and standards of performance. The second is that everyone will understand these criteria in the same way." It is up to supervision to assure that input, output and standards are defined and that everyone interprets them the same way.

Likert (1961) performed many studies of organizational effectiveness. In his studies it was shown that when supervisory expectations of and confidence in subordinates was high, the subordinates generally responded well, trying to justify the supervisory expectations of them (Likert, 1961).

Another researcher has stated it this way:

The way managers treat their subordinates is subtly influenced by what they expect of them. If a manager's expectations are high, productivity is likely to be excellent. If his expectations are low, productivity is likely to be poor. It is as though there were a law that caused a subordinate's performance to rise or fall to meet his manager's expectations . . . (Livingston, 1969, pp. 81-82)

Hersey and Blanchard (1982, pp. 195-197) developed what they called "effective cycle" to illustrate high performance in response to high expectations and the "ineffective cycle" to illustrate minimal effort, resentment and low overall performance when low expectations are expressed by management. These cycles are illustrated in Figures 1 and 2. However, these cycles are dynamic rather than static as depicted. The tendency is for the situation to get better or worse, respectively, with reinforcement of the type of expectations exhibited. A kind of spiraling effect is developed, as shown in Figures 3 and 4.

While it may be difficult to change the negative spiral of the ineffective cycle without changes in supervision (if it has gone long enough to disintegrate trust and establish a credibility gap between supervision and subordinates), "changes in expectations may be accomplished merely by having leaders sit down and clarify what their

High Expectations
High Performance

Figure 1. Effective Cycle

Low Performance

Figure 2. Ineffective Cycle

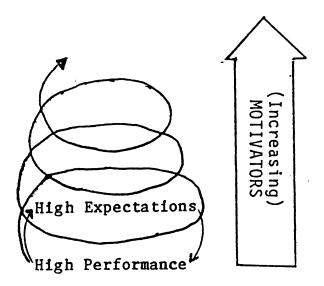


Figure 3. Spiraling Effect of Effective Cycle

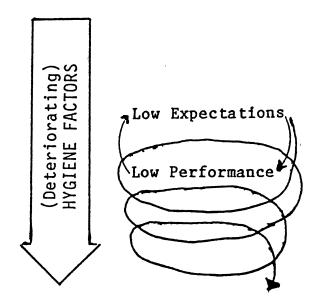


Figure 4. Spiraling Effect of Ineffective Cycle

behavior will be with the individuals involved" (Hersey and Blanchard, 1982, p. 142).

Rike (1976), in his review and consolidation of several studies, has summarized them by stating that regardless of the size of the organization or its social structure, operational efficiency and effectiveness is positively influenced by consensus and clarity on role expectations. Katz and Kahn (1966, p. 1982), in their Model of the Role Episode (Figure 5), have demonstrated how role expectations work within an organization. For the purpose of the current study, the role senders are supervisors and the focal person is the subordinate.

Figure 6 has been adapted by Rike (1976, p. 70) from Katz and Kuhn (1966, p. 187). The figure clearly shows the impact of organizational factors directly on the development of expectations by the role senders, or supervisors. The figure also depicts the influence of the attributes of the focal person, or subordinate, as well as interpersonal factors between the supervisors or the subordinates. The role expectations sent to the subordinate is then recycled to the supervisor via the subordinates' perception and understanding of the expectations received and the resulting behavior. In this way expectations are developed in the work environment.

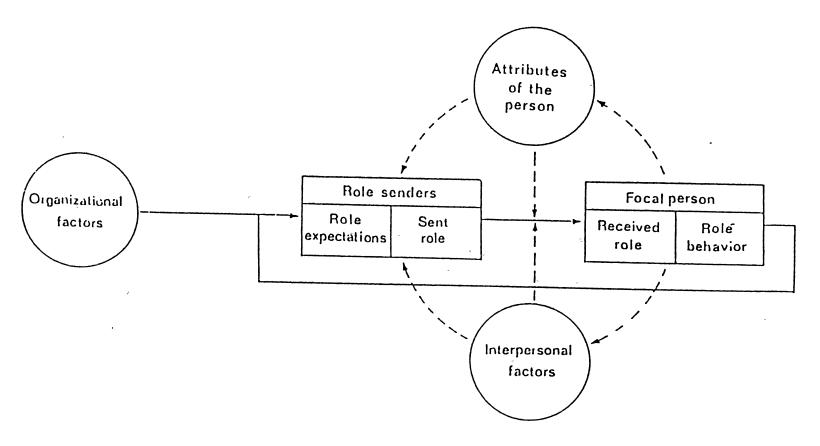
The Influence of Expectancy on Production and Job Satisfaction

There are many studies dealing with production and job

	Role senders			Focal person	
	Expectations	Sent role		Received role	Role behavior
	Perception of focal person's behavior; evaluation	Information; attempts at influence	1	Perception of role, and perception of role sending	Compliance; resistance, "side effects"
•	I	11	.i .	-	IV

Source: Daniel Katz and Robert Kahn. The Social Psychology of Organizations (1966).

Figure 5. Model of the Role Episode



Source: Katz and Kahn, The Social Psychology of Organizations (1966).

Figure 6. Model of the Factors Involved in Taking Organizational Roles

satisfaction in various work environments. A few of these are summarized below.

The degree of job satisfaction and satisfaction with the organizational environment is dependent upon the extent that subordinate expectations are fulfilled (House, Fillay, and Guyarati, 1971). Two of the hypotheses in the House study dealt with the relationships of leader "consideration" behavior and leader "initiating structure" behavior to employee satisfaction with role expectations. Basic definitions of the two dimensions of leader behavior, consideration and initiating structure, were provided a base. Initiating structure is described as behaviors

which indicate the leader organizes and defines the relationships between himself and his subordinates; defines the role that he expects each subordinate to assume; and endeavors to establish well defined patterns of organization, channels of communication, and ways of getting the job done (House, et al. 1971, p. 423).

The study supported the hypothesis that leader consideration was "positively related to employee satisfaction with role expectations" (House, et al. 1971, p. 427) while the hypothesis that leader initiating structure was "negatively related to the satisfaction of employee role expectations" was not supported. In fact, the study appeared to indicate that quite the opposite was true, that good definition and clarity of leader expectation for the subordinate are vitally important to job satisfaction.

In a study by Chanlat (1974), testing the validity of an expanded expectancy model at a government agency, several expectancy variables were tested. One of these variables was "supervisor

expectations." The hypothesis that the variables tested were associated with the employee's desire to excel was strongly supported in the study. Supervisor expectations ranked third of the six variables tested by Chanlat as a motivator in the employee's desire to excel.

One purpose for a study by Miskel et al. (1983) was to determine the effects of structural and expectancy linkages on three indicators of school effectiveness. The three indicators used were perceived organizational effectiveness, teacher job satisfaction and student attitudes toward school. Expectancy linkages, as well as structural linkages, were given substantial support as significant and positive predictors of school effectiveness. The study also revealed that student attitudes toward school are linked to teacher expectations. Student success is related to how teachers reinforce student behavior and works in the same manner as the Hersey and Blanchard (1982) effective and ineffective cycles explained above. If one were to assume the school environment to be an organization with teachers as the supervisors and students as the subordinates, some interesting parallels to the current study could be drawn.

According to Keller (1975, p. 57), "Expectations which are in conflict may result in role conflict for the individual, while unclear or vague expectations may cause role ambiguity." Keller suggested that in the development of expectations, it is necessary to use forethought and caution.

Role conflict and role ambiguity do not cause negative responses for all workers. Different types of workers respond in different

ways (Kahn et al. 1964; Lyons, 1971). In a study of 90 military and civil service personnel, it was indicated that need for achievement and need for independence moderates the relationships between role conflict, task ambiguity and satisfaction (Johnson and Stinson, 1975). The researchers explained that "high-need-for-achievement" individuals were more dissatisfied with ambiguous work assignment since they were likely to encounter greater difficulties putting forth their best effort and efficiently achieving success. The "high-need-for-independence" individual might perceive role conflict as a limitation on individual judgment opportunities while ambiguous role expectations might allow this individual the freedom to develop their own role definitions.

Green and Organ (1973) researched role accuracy and role compliance. Role accuracy, the obverse of role ambiguity, "... is the degree of agreement on role expectation between the superior and the subordinate" while role compliance is "the degree of agreement between role expectations of the superior and actual role activity performed by the subordinate." The data showed both aspects "to have significant, positive correlation with a global measure of job satisfaction" (Keller, 1975, p. 58).

Keller's (1975) study of the relationship between role conflict, ambiguity and job satisfaction used professional employees in a large government research and development organization. The study showed that low levels of job satisfaction were associated with role conflict and ambiguity but with different dimensions. Role ambiguity was related to intrinsic sources of job satisfaction, that is the

work itself. Role conflict was related to extrinsic sources of job satisfaction, such as satisfaction with supervision, pay, and opportunities for promotion.

Summary

There have been many studies concerning communication, leadership, role and expectation, and their effect on job satisfaction and performance. The approach to these studies has been many and varied. Each researcher has spent time defining leadership, role and expectation as applicable to their study. There are many nuances and some with significant differences. The definition of the term "expectation", in particular, may range from objective to abstract, from such things as pay and reward to perception of nonoral communication of desires.

Few, if any, studies have researched role and expectation in civil service with the same definition and approach as the current study. However, it has been shown that there are great variances from the public (government) to the private business environment which affect the way government employees deal with role and expectation. Regardless of where a person is employed, research has shown that clear and nonconflicting role identification and performance expectations result in significantly higher job satisfaction and better overall group productivity.

CHAPTER III

METHODOLOGY

This chapter describes the research method and instrument used in the study. The subjects and research design also are presented, along with the data collection and analysis procedure.

The purpose of the study was to compare supervisor perceptions of how frequently they communicate their roles and expectations to subordinates with their subordinates perceptions of how frequently the supervisors communicate their roles and expectations to them.

Hypothesis

The hypothesis is that there is no difference between supervisor self-perceptions of communication of their roles and expectations and their subordinates perceptions of the supervisor communication of roles and expectations.

Corollary A: Supervisors do not perceive that they communicate their roles and expectations to their subordinates frequently.

Corollary B: Subordinates do not perceive that their supervisors communicate their roles and expectations to them frequently.

Instrument

Various methods may be used to accomplish the research such as

questionnaire, personal interview, telephone interview, controlled observation, and panel studies. The questionnaire survey was the method selected for the present study. The questionnaire was chosen as the most effective and timely data collection for the work environment surveyed. Administrative personnel required that data be collected utilizing a minimum of employee normal work time. It was necessary that each supervisor/employee unit group be surveyed at nearly simultaneous time frames to eliminate potential environmental impacts. The questionnaire could be administered to all respondents during the same time frame and could be completed in a relatively short period. An adaptation of the Leader Behavior Description Questionnaire (LBDQ) Form XII, a widely used and accepted standardized instrument, was chosen to test the hypothesis. (The LBDQ-Form XII was copyrighted by the Ohio State University in 1962. Permission to use and adapt the LBDQ-Form XII was granted for use in this thesis [Appendix A]). The LBDQ-Form XII as adapted for this study was considered to be an appropriate instrument.

The LBDQ was based upon two objectives: an objective measure ofleader behavior, and a determination of the relationship between
leader behavior and job satisfaction and performance. Factor
analysis of the originally developed instrument revealed two factors
accounting for 83 percent of the variance—Initiating Structure and
Consideration. The final version used these two factors to describe
leader behavior. Both Initiating Structure and Consideration have
high coefficients of internal consistency on the LBDQ. The validity
of the correlation between leader behavior, as rated by Initiating

Structure and Consideration scales, and job satisfaction and performance is fairly good. According to Dipboye (1978), there is a sufficient degree of agreement using these scales in the description of leader behavior.

Following development of the original LBDQ (Copyrighted in 1957 by the Ohio State University) a new theory was investigated concerning the existence of several factors, not just the two established by the original studies. It was felt that more factors were required "to account for all the observable variance in leader behavior" (Stogdill, 1963). After much testing and revision, 12 subscales were identified and defined. The new questionnaire was called the LBDQ-Form XII. The purpose of this study, supervisory role identification and supervisory subordinate expectations, can be tested using the fifth subscale from LBDQ-Form XII which defines Initiation of Structure as "clearly defines own role, and lets followers know what is expected" (Stogdill, 1963). There are ten items in the LBDQ-Form XII pertaining to Initiation of Structure. These ten items form the basis for the current instrument. They have been modified to test (1) supervisory perceptions of his communication of role of self and his expectations of subordinates and (2) subordinate perception of the supervisory role and of supervisory expectations from him.

These ten questions, as explained below, are an appropriate test of the hypothesis. These questions distinguish the fine difference between "role" and "expectations" but also use the interdependence of role with expectations and vice versa. For example, "tries out

his/her ideas in the group" is basically a role question, while "encourages the use of uniform procedures' is an expectation question. On the other hand, several questions, such as "decides what shall be done and how it shall be done" pertain to both role and expectation.

The LBDQ-Form XII questions are written to reflect how the subordinate thinks the supervisor engages in behavior described by each item. For example, My supervisor . . . tries out his/her ideas in the group. Answer: A - Always, B - Often, C - Occasionally, D -Seldom, E - Never. This format has been continued for this study using the ten sub-scale number five questions for the subordinate to answer. In order to test supervisory perceptions as required by Corollary A of the study hypothesis, these same ten questions have been modified to read "I . . . try out my ideas in the group" for the supervisor to answer. The subject responding has been changed from a subordinate's perspective to the perspective of the supervisor himself. There is no change in the question subject or content.

Appendix B contains the authorization letter for collection of data. In addition to the instrument based on LBDQ-Form XII, demographic analysis was accomplished using a Background Information Sheet as a part of the study questionnaire (See Appendix C).

Included in this portion of the study were questions to determine standard demographic data such as gender, marital status, age, education level, time in civil service and time in current position. The population was also examined for possible perception gaps or

differences between job functions in order to identify possible patterns that may vary based upon job description/function. The subjects are described later in this Chapter.

Reliability

A modified Kuder-Richardson formula was used to determine the reliability of the subscales used in the LBDQ-Form XII. "The modification consists of the fact that each item was correlated with the remainder of the items in its subscale rather than with the subscale score including the item" (Stogdill, 1963). Since the reliability of each subscale was determined separately from the other subscales, the reliability of Initiation of Structure (subscale 5) in its own right was also determined. The reliability coefficients for the Initiating Structure subscale from various studies are given in Table I. Also included are the number of cases studied and the means and standard deviations for subscale 5 from those studies.

In an attempt to obtain validity data on the LBDQ-Form XII, contact was made by telephone with management personnel in the Management and Human Resources Office of the Business College at Ohio State University, the office of responsibility for LBDQ-Form XII management. There is no information available on LBDQ-Form XII factor analysis or validity. At the time the LBDQ-Form XII was developed, validity was established through reliability. The form is still a popular research tool and has been used for many studies since it was developed.

TABLE I

STUDY RESULTS AND RELIABILITY DATA FOR LBDQ-FORM XII,

SUBSCALE 5 - INITIATION OF STRUCTURE*

Study	Number of Cases	Mean	Standard Deviations	Reliability Coefficients*
Army Division	235	38.6	5.7	.79
Highway Patrol	185	39.7	4.5	.75
Aircraft Executives	165	36.6	5.4	.78
Ministers	103	38.7	4.9	.70
Community Leaders	57	37.2	5.7	.72
Corporation Presidents	55	38.5	5.0	.77
Labor Presidents	44	38.3	5.6	.78
College Presidents	55	37.7	4.2	.80
Senators	44	38.8	5.5	.72
=				

^{*}Adapted from Manual for the Leader Behavior Description Questionnaire-Form XII (Stogdill, 1963, pp. 9-11).

Procedure

Research was accomplished using randomized cluster samples from one division of predominantly "white collar" civil service employees within a logistics center at a large military installation. The division structure consisted of six branches with each branch having two to five sections of which some were sub-divided to unit level or to sub-unit level. Approximately one-half of the first level supervisors from the division and their corresponding employees were chosen by random selection of unit groups from division personnel charts. The LBDQ-Form XII was submitted to all subordinates under the selected supervisors in order to minimize any feedback of "special attention" for selected respondents by the supervisor (Appendixes D and E). (It should be noted that the Manual for Leader Behavior Description Questionnaire-Form XII [Appendix F] by Ralph M. Stogdill quotes Andrew W. Halpin as stating "a minimum of four respondents per leader is desirable, and additional respondents beyond ten do not increase significantly the stability of the index scores".) The supervisory adaptation of the LBDQ-Form XII was submitted to each supervisor selected. The appropriate version of the questionnaire was administered to a supervisor and his own subordinates at the same time. Responses from both supervisor and subordinates were submitted to an assigned collection point within the division for receipt. All questionnaires were coded in order that subordinates could be matched with their supervisors since correlations had to be computed within each supervisor/subordinate

unit group. Respondents were assured that their individual responses would be kept confidential and supervisors would not see results except, possibly, as study totals.

Twenty unit groups were surveyed consisting of 20 supervisors and 188 employees for a total of 208 personnel. The overall response rate was 63 percent. Seventy-five percent of the supervisors responded while 61 percent of the employees responded. Of the 20 unit groups, five could not be used for this study since the supervisory response was not received. Two other groups could not be used since the employee respondents totaled less than four, the number required for reliability. Usable responses were received from 13 of the 20 unit groups surveyed.

Characteristics of the Subjects

Demographic data are summarized in Figures 11 through 17 in Appendix G. Some findings which may be of interest include the following.

of all personnel responding, the average years in civil service—were 14.8 years. Supervisors were in civil service longer than their employees by more than five and one-half years. Eight, or nine percent of the respondents, had less than the three years of experience required for tenure. Seven, or six percent, had 30 or more years of experience in civil service.

Seventy-nine percent of the total respondents had been in their present position between two and ten years. Three supervisors and five subordinates had been in their present position one year or

less. Eighty-six percent of the supervisors had been in their present position five years or less.

Of the supervisory respondents, one-half were between 41 and 50 years of age. No supervisors were 30 years or younger but 17 percent of the subordinates fell in this age group. Eight percent of the supervisors and 12 percent of the subordinates were over 55 years of age.

The highest educational level attained by any respondent was the Master's degree. Six percent, all subordinates, had earned this degree. However, 47 percent of all respondents (39 percent of the supervisors and 49 percent of the subordinates) had at least a Bachelor degree.

Design and Data Analysis

The independent variables in the study were the perception of supervisory role and expectations measured by the fifth subscale of the LBDQ-Form XII and demographic data (age, number of years in present position, number of years in civil service, sex, marital status, educational level and job function.) The dependent variable was the need for unit group response with both supervisor and a minimum of four subordinates responding. It was determined that a 63 percent response rate with 13 of 20 unit groups valid for the research was sufficient for statistical analysis.

The objective of this study was not to test whether supervisors were right or wrong, but to test whether there is agreement or disagreement between the supervisor and his subordinates on whether

communication of supervisory role and expectations had occurred.

There were five possible responses to each question on the LBDQ-Form XII: A for Always, B for Often, C for Occasionally, D for Seldom, and E for Never. A numerical value of one through five was assigned each response, respectively, in order that an average response for the ten applicable subscale five questions by the employees could be evaluated against the average response for these same ten questions by the supervisor. The Student's t distribution was chosen to test for the difference between those two means. This test was chosen in lieu of Chi Square for three reasons:

- (1) The sample size was small (n < 30),
- (2) The standard deviation of the population was not known, and
- (3) The population was assumed to be approximately normally distributed. In addition, tests for control were run on the data which indicated a stable system. Further evaluation of the data was accomplished using the Control Chart method of statistical analysis.

CHAPTER IV

RESULTS

This chapter presents the results of the study. The Student's t distribution and various forms of the Control Chart method were used to analyze the hypothesis.

Analysis of the Data

Hypothesis

There is no difference between supervisor self-perceptions of the frequency of communication of their roles and expectations and their subordinates' perceptions of the frequency of supervisor communication of roles and expectations.

The Student's t distribution was used to test the difference between the average of all responding supervisors to the average mean of all applicable responding subordinates. The critical t value was computed to be ±2.492 with 24 degrees of freedom and a 0.01 percent level of significance. The value of the test statistic t computed -0.510. Since this value does not exceed the critical limit of ±2.492, the null hypothesis that there is no difference between the mean response of the two groups cannot be rejected at the one percent level of significance. The only difference between the mean responses of the two groups is sampling error. Therefore, the

hypothesis is accepted with a 99 percent confidence level that it is correct (See Table II).

Tests for Control (Figure 7) were applied to the individual unit group responses. The difference between the supervisors' mean response and the mean response of the subordinates was plotted against the overall mean variance. Any out of limit variance or out of control variance would indicate instability. None of the responding groups were out of upper/lower control limits. All variance were well distributed around the mean indicating an in control or stable system. The hypothesis cannot be rejected.

The Control Chart method of statistical analysis was used first for evaluation of the 13 units each on its own merit (Figure 8). this case, the X Bar Chart for Average of Differences was used to evaluate the supervisor response to the subordinate response by plotting the average of the difference between the mean of the supervisor and the mean of the subordinates of one group to the next group and so forth. All are well within limits and stable. The R Chart, or Range/Variation Chart, plots the absolute value (range) between the first group mean difference and the second group mean difference and so forth. For example, the first group supervisor mean is 2.4 and the employee mean is 2.2, a difference of 0.2. The second group supervisor mean is 2.1 and the employee mean is 2.6, a difference of -0.5. The absolute value of 0.2 and -0.5 is 0.7 which is the range between 0.2 and -.05. The mean range is .875 and all data points are well within acceptable limits. Both charts indicate a stable system and statistical agreement in this analysis.

RAW DATA AND AVERAGE SCORES OF SUPERVISOR/SUBORDINATE UNIT GROUPS

Respon (20 Unit		Extended Ra	w Scores	Average/Mea	n Scores
Supervisor	Employee	Supervisor	Employee	Supervisor	
	····				
1	7	24	156	2.4	2.2
0	4				
1	8	21	206	2.1	2.6
1	12	13	284	1.3	2.4
0	4				
0	4	à			-
1	6 4	25	143	2.5	2.4
1		18	95	1.8	2.4
1	5	21	87	2.1	1.7
0	1				
1	10	13	195	1.3	2.0
1	10	27	240	2.7	2.5
1	6	23	161	2.3	2.7
0	4				
1	1	,			
1	9	18	231	1.8	2.7
1	2				
1 1	4	21	85	2.1	2.1
	8	15	192	1.5	2.4
_1	6	_21	98	2.1	1.6
15	115	260	2173	(2.0)	(2.3)

Note 1: Raw scores and means were not computed for groups with no supervisor response or where less than four employees responded.

Note 2: Raw scores were developed as follows:

Supervisors - the sum of the assigned value from each of the ten questions in subscale five on the LBDQ-Form XII Employees - the sum of the number of responses for each level of response (A, B, C, D, E) from the ten questions in subscale five on the LBDQ-Form XII times the value assigned that response. In a few cases not every one of the ten questions had a response.

Assigned Values: A - Always - 1
B - Often - 2
C - Occasionally - 3
D - Seldom - 4
E - Never - 5

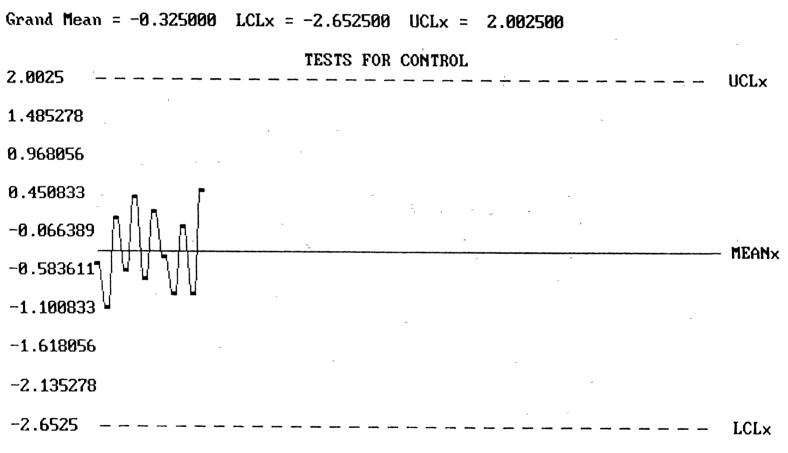


Figure 7. Difference in Means Versus Overall Mean

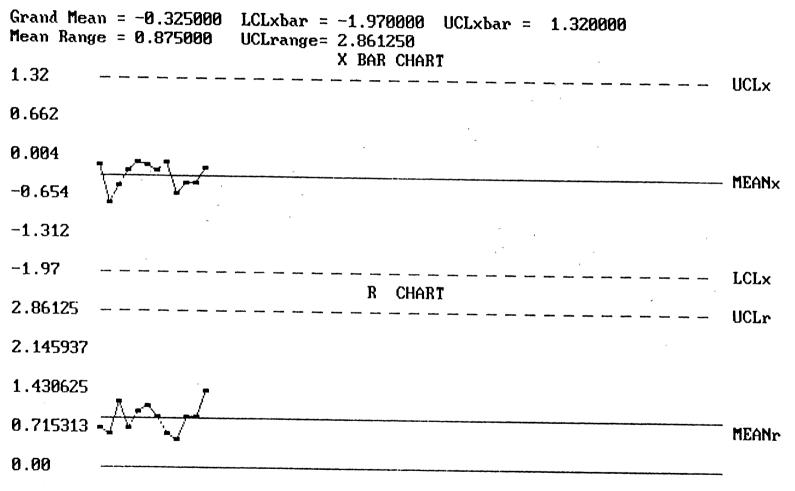


Figure 8. Control Chart of 13 Units Each on Its Own Merit

In a second use of the Control Chart method of statistical analysis, unit group was compared to unit group and supervisor to employees by analyzing differences of 26 data points. The 26 data points consist of the mean scores of each of the 13 supervisors and the mean scores of each of the 13 subordinate unit groups. Table III gives the data used in the evaluation plotted on the X Bar Chart, Average of Differences, and the R Chart (Range) shown in Figure 9. All plotted data points are well within limits and stable. Table IV and Figure 10 consolidated the same data into ten cell groups and was plotted on a histogram. These various Control Tests and Statistical Analyses indicate a stable system and are, therefore, in agreement with the Student's t distribution test. The hypothesis is accepted.

Corollary A

Supervisors do not perceive that they communicate their roles and expectations to their subordinates frequently.

No statistical test was used to prove or disprove this corollary. The research data was chosen as a method to show agreement or disagreement between supervisor and employee, not to test how well or poorly a supervisor communicated role and expectations. However, the raw data in Table II indicates that the Corollary should not be accepted. On the assigned value scale of one to five used to average research responses, the supervisors' mean was 2.0. When converted into instrument response and response definition, supervisors perceived that they often communicated their roles and expectations to their subordinates.

TABLE III

ANALYSIS OF UNIT GROUP TO UNIT GROUP AND SUPERVISOR TO EMPLOYEE

	Supervisor	Employee	Supervisor	Employee	Supervisor	Employee	Supervisor	Employee
Data Point Cell	1	2	3	4	5	6	7	8 ′
Cell Mean	2.40	2.20	2.10].60	1.30	2.40	2.50	2.40
Xbar	0.00	2.30	2.15	2.35	1.95	1.85	2.45	2.45
Range	0.00	0.20	0.10	0.50	1.30	1.10	0.10	0.10
Data Point Cell	9	. 10	11	12	13	14	15	16
Cell Mean	1.80	2.40	2.10	1.70	1.30	2.00	2.70	2.50
Xbar	2.10	2.10	2.25	1.90	1.50	1.65	2.35	2.60
Range	0.60	0.60	0.30	0.40	0.40	0.70	0.70	0.20
Data Point Cell	17	18	19	20	21	22	23	24
Cell Mean	2.30	2.70	1.80	2.70	2.10	2.10	1.50	2.40
Xbar	2.40	2.50	2.25	2.25	2.40	2.10	1.50	2.40
Range	0.20	0.40	0.90	0.90	0.60	0.00	0.60	0.90
Data Point Cell	25	26						
Cell Mean	2.10	1.60						
Xbar	2.25	1.85						
Range	0.30	0.50						

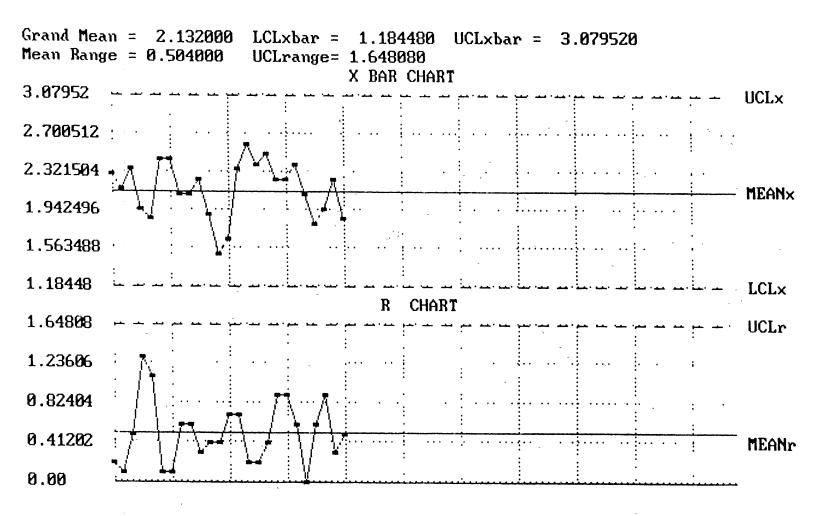


Figure 9. Average Differences and Range of Unit to Unit and Supervisor to Employee Analysis

TABLE IV

AVERAGE OF DIFFERENCES AND RANGE CONSOLIDATED TO TEN CELL

GROUPS FOR HISTOGRAM

Cell	Lower Boundary	Cell Frequency	Relative Frequency	Cumulative Frequency
1	1.30	2	7.69	7.69
2	1.44	1	3.85	11.54
.3	1.58	2	7.69	19.23
4	1.72	2	7.69	26.92
5	1.85	0	0.00	26.92
6	2.00	6	23.08	50.00
7	2.14	, 1	3.85	53.85
8	2.28	6	23.08	76.92
9	2.42	2	7.69	84.62
10	2.56	4	15.38	100.00
			V.	

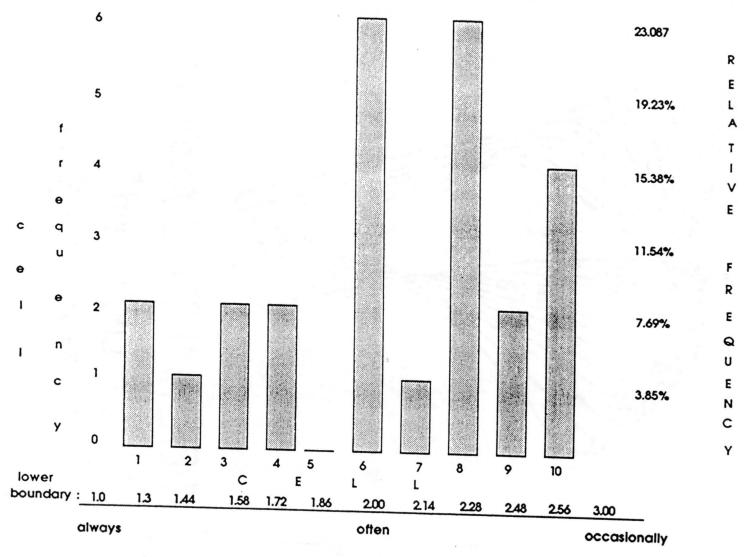


Figure 10. Ten Cell Histogram of Average of Differences and Range

Corollary B

Subordinates do not perceive that their supervisors communicate their roles and expectations to them frequently.

As with Corollary A, no statistical test was used to disprove this corollary. Raw data and average scores given in Table II indicate that this corollary should not be accepted. On the assigned value scale of one to five used to average research responses, the subordinate mean was 2.3. When converted into instrument response and response definition, subordinates perceived that their supervisors communicated their roles and expectations to them often to occasionally. While this response is not clear as the supervisor mean of 2.0 (often), analysis of the hypothesis discussed earlier indicates that there is agreement between the supervisor and subordinate on the perception that communication does occur. Thus, Corollary B should not be accepted.

Summary

This study investigated the perceived communication role and expectations between supervisors and subordinates in a federal civil facility. One hypothesis with two corollaries were analyzed and results reported. The hypothesis was not disproved while it was shown that both the supervisors and subordinates perceived communication of supervisory role and expectations to occur often to occasionally.

CHAPTER V

SUMMARY, CONCLUSIONS AND RECOMMENDATIONS

Summary

The purpose of the study was to compare supervisor perceptions of how frequently they communicate their roles and expectations to subordinates with their subordinates' perceptions of how frequently the supervisors communicate their roles and expectations to them. Studies have shown that expectation plays an important role in the motivation of employees and that clarity of supervisory role identification and performance expectations is important in organizational effectiveness.

The subjects in this study were 115 nonsupervisory employees and 15 first level supervisors employed by the Department of Defense in a mid-western state. All of the respondents were employed in civil service "white collar" jobs.

Research questionnaires were sent to 20 unit groups consisting of 188 nonsupervisory employees and 20 first level supervisors. The questionnaire was adapted from the LBDQ-Form XII and an author constructed demographic data form. A total of 115 employees and 15 supervisors returned the questionnaires while demographic data were not always completed and/or returned. Responses were received in sufficient numbers to result in 13 unit groups for research in this

study since a match of supervisor with his employees was required to complete the study.

The Student's t distribution and Control Chart method (X bar, R chart) were used for the statistical analysis. The null hypothesis was shown to be correct at 99 percent confidence and was accepted. Corollary A was not accepted. Supervisors indicated that they frequently but not always, communicated their roles and expectations to their subordinates. Corollary B was not accepted. Subordinates perceived that the supervisor communicated his role and expectations of them more often than occasionally. The population responses were stable with no significant variation from the median value. Statistically significant differences by job function were not found.

Conclusions

The following conclusions were drawn based upon the results of the study.

- 1. There is agreement between supervisors and their subordinates on the perception of the level of communication of supervisor roles and expectations of subordinates.
- 2. Analysis by job function did not significantly change the conclusion that the hypothesis should be accepted (Reference Table IV, Appendix H).
- 3. There is not enough variance between the means of the supervisor and employee groups surveyed to show more than one population. It may be assumed, therefore, that all respondents were influenced by a common source.

4. The demographic data included a wide range of responding personnel in nearly all areas. However, no conclusions were drawn specifically for this study from the various types of demographic data. It is assumed that since the population was stable and no average responses were outside of acceptable limits at the 99 percent confidence level, it would be unlikely that demographically analyzed data would result in significant variations to the original conclusion.

Recommendations for Further Research

Based upon the research findings and the conclusions of this study, the following recommendations are made.

- 1. Future studies in the abstract area of perceived communication from supervisor to subordinates should be accomplished on a broader range of personnel, such as "blue collar" workers, other types of government agencies, and agencies in other regions of the country.
- 2. Research concerning the influence of upper level management on first level supervisors and their subordinates' perceptions of supervisory/subordinate communication patterns is another recommended research area.
- 3. A closer look at communication of abstract supervisory roles and expectations versus idiosyncratic job descriptions could prove to be an interesting study.
- 4. Care should be taken whenever possible to avoid research, particularly concerning abstract ideas or processes, in agencies

affected by world situations when influence by those situations is at a peak.

- 5. Efforts to develop and refine tools for measuring the effectiveness of abstract communication should be continued.
- 6. Since it was known that major reductions in force structure and reorganizations were to take place at the civil service facility shortly after this study was completed, a study of the effect of major change on the communication of role and expectations may be enlightening.

Recommendations for Practice

The following recommendations for practice are suggested.

- Training programs about communication should be required for all supervisory personnel. Currently, training programs are available but not required.
- 2. Training in role and expectation communication specifically, along with other nonverbal communication, should enhance existing courses or be developed as separate courses.

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APPENDIX A

LBDQ-FORM XII PERMISSION LETTER AND STATEMENT OF POLICY



Business Research Support Services

College of Business 1775 College Road Columbus, OH 43210-1309 Phone 614-292-9300

March 18, 1991

Ms. Loela S. McGuire 1712 Serenade Dr. Midwest City, OK 73130

Dear Ms. McGuire:

We grant you permission to use and adapt the **Leader Behavior Description Questionnaire - Form XII** for use in your Master's thesis. Please refer to the attached Statement of Policy.

Sincerely yours,

Barbara L. Roach

Director

BLR ahr

THE OHIO STATE UNIVERSITY COLLEGE OF BUSINESS BUSINESS RESEARCH

STATEMENT OF POLICY

for

LEADER BEHAVIOR DESCRIPTION QUESTIONNAIRES AND RELATED FORMS

Permission will be granted with formal request to use the **Leader Behavior Description Questionnaires** and <u>other</u> related forms developed at The Ohio State
University, subject to the following conditions:

- Use: The forms may be used in research projects. They may not be used for promotional activities or for producing income on behalf of individuals or organizations other than The Ohio State University.
- Inclusion in Dissertations: Copies of the questionnaire may be included in theses and dissertations. Permission will be granted for the duplication of such dissertations when filed with the University Microfilms Service at Ann Arbor, Michigan 48106 U.S.A.
- 3. Copyright: In granting permission to use or duplicate the questionnaire, we do not surrender our copyright. Duplicated questionnaires and all adaptations should contain the notation "Copyright, 19--, by The Ohio State University."
- 4. Inquiries: Communications should be addressed to:

Business Research College of Business The Ohio State University 1775 College Road Columbus, OH 43210

APPENDIX B

AUTHORIZATION LETTER FOR DATA COLLECTION



DEPARTMENT OF THE AIR FORCE

HEADQUARTERS OKLAHOMA CITY AIR LOGISTICS CENTER (AFLC)
TINKER AIR FORCE BASE, OKLAHOMA 73145

ATTN OF

Survey Analyst

08 FEB 1991

SUBJECT:

Authorization for Data Collection

To Whom It May Concern

- 1. An academic tasking has been made which requires analysis through use of a survey instrument on the topic "The Perceived Communication of Role and Expectations Between Supervision and Subordinate within a Civil Service Framework". Although this tasking is not a part of formal government business, your help is requested toward the successful conclusion of this valid academic study.
- 2. The attached Leader Expectation Questionnaire and accompanying demographic Background Information Sheet is the method being used to obtain data for this study. Your name was obtained through random sample selection of unit work groups within the LPA Division. Your response is earnestly solicited in order that an accurate analysis can be made. Serious response to the questionnaire and information sheet will indicate whether supervisory role and expectations are being communicated to the subordinates. The potential for staff development training in the future could be one benefit from the results of this study.
- 3. Cumulative results of the study survey may be obtained by completing the enclosed request form and routing it to LPAJ. Results will not be tallied by individual nor will individual responses be identified even to the analyst. Composite scores and statistics only will be available.
- 4. Do not sign the questionnaire. Your response will remain confidential. Completed questionnaires should be returned in the envelope provided, to your section secretary by noon, Wednesday, 13 Feb 91, for pick up by the survey analyst, or it may be delivered directly to the LPAJ office. This study is not labor intensive and should not take more than approximately 20 minutes of your time.
- 5. Your quick and serious response will be greatly appreciated.

COL DAVID C. CROSSETT, Chief Propulsion Management Division Directorate, Propulsion Management

APPENDIX C

BACKGROUND INFORMATION SHEET

BACKGROUND INFORMATION SHEET

	Routing Identifier:
	The following questions consider various background characteristics evant to this investigation. Please indicate your response by checking appropriate box or by writing on the appropriate line.
	Thank you.
1.	How long (to the nearest year) have you served in you present position?
2.	How long (to the nearest year) have you been in civil service? years
3.	Your sex (1) Female (2) Male
4.	Marital status (1) Married (2) Single
5.	Your age at your last birthday:
6.	Your education level (1) Did not complete High School
	(2) High School Diploma or GED
	(3) Some College
	(4) Associate Degree
	(5) Bachelor Degree
	(6) Master Degree
	(7) Doctoral Degree
7.	Identify the area which best describes your current job function:
()	Logistics Management Specialist (5) Engineering
(2	·
(3	(personnel, equipment, etc.)
(4	Inventory Management Specialist (7) Financial Management

APPENDIX D

LEADER BEHAVIOR DESCRIPTION QUESTIONNAIRE

ADAPTED FORM XII (SUPERVISOR)

. My routing identifier is	
----------------------------	--

LEADER BEHAVIOR DESCRIPTION QUESTIONNAIRE--Form XII (How You Perceive Yourself)

On the following pages is a list of items that may be used to describe the behavior of you as supervisor. Each item describes a specific kind of behavior, but does not ask you to judge whether the behavior is desirable or undesirable. Although some items may appear similar, they express differences that are important in the description of leadership. Each item should be considered as a separate description. This is not a test of ability or consistency in making answers. Its only purpose is to make it possible for you to describe, as accurately as you can, your behavior as a supervisor.

NOTE: The term, "group", as employed in the following items, refers to the unit of organization that you supervise.

The term "members", refers to all the people in the unit of organization that you supervise.

Your answers will NOT be seen outside the analysts' group. The report of the findings of this study will preserve the anonymity of your answers.

Thank you.

DIRECTIONS:

- 1. READ each item carefully.
- THINK whether you always, often, occasionally, seldom, or never act as described by each item.
- DECIDE whether you always, often, occasionally, seldom or never act as described by the item.
- 4. DRAW A CIRCLE around one of the five letters following the item to show the answer you have selected.
 - A. Always
 - B. Often
 - C. Occasionally
 - D. Seldom
 - E. Never

Copyright 1962, The Ohio State University

Please precede each statement with

"My/I '

1Act as the spokesperson of the group
2Wait patiently for the results of a decision A B C D E
3Make pep talks to stimulate the group A B C D E
4Let group members know what is expected of them A B C D E
5Allow the members complete freedom in their work A B C D E
6Am hesitant about taking initiative in the group A B C D E
7Am friendly and approachable A B C D E
8Encourage overtime work A B C D E
9Make accurate decisions
10Get along well with the people above myself A B C D E
11Publicize the activities of the group A B C D E
12Become anxious when I cannot find out what is coming next
13Arguments are convincing A B C D E
14Encourage the use of uniform procedures A B C D E
15Permit the members to use their own judgment in solving problems A B C D E
16Fail to take necessary action
17Do little things to make it pleasant to be a member of the group A B C D E
18Stress being ahead of competing groups A B C D E
19Keep the group working together as a team A B C D E
20Keep the group in good standing with higher authority A B C D E
21Speak as the representative of the group A B C D E
22Accept defeat in stride
23Argue persuasively for my point of view A B C D E

A = Always B = Often C = Occasionally D = Seldom	Ε =	: N	eve	r
24Try out my ideas in the group	. #	l B	C	DΕ
25Encourage initiative in the group members		В	C	DΕ
26Let other persons take away my leadership in the group	. A	В	C - I	D ~ E
27Put suggestions made by the group into operation	. A	В	C	DΕ
28Needle members for greater effort	. A	В	C	DΕ
29Seem able to predict what is coming next	. A	В	C () E
30Am working hard for a promotion	. A	В	c () E-
31Speak for the group when visitors are present	. A	В	CI) E
32Accept delays without becoming upset	. A	В	CC) E
33Am a very persuasive talker	. A	В	C. E) E
34Make my attitudes clear to the group	. A	B	СС) E
35Let the members do their work the way they think best	. A	В	CE) E
36Let some members take advantage of me	. A	В	C D) E
37Treat all group members as my equal	. A	В	C D) E
38Keep the work moving at a rapid pace	, Á	В	C D	Ε
39Settle conflicts when they occur in the group	. А	В	C D	E
40Superiors act favorably on most of my suggestions	. А	В	C D	Ε
41Represent the group at outside meetings	. Α	В	C D	Ε
42Become anxious when waiting for new developments	. A	В	C D	E
43Am very skillful in an argument	. A	В	C D	Ε
44Decide what shall be done and how it shall be done	A	В	C D	ε
45Assign a task, then let the members handle it	A	В	C D	£
46Am the leader of the group in name only	A	В	C D	Ε
47Give advance notice of changes	A	В	C ,D	Ε
48Push for increased production	, A	В	C D	Ε
49Things usually turn out as I predict	A B	С	D '	E ·
50Enjoy the privileges of my position	A	В	C D	Ε

A = Always B = Often C = Occasionally D = Seldom	Ε	=	Ne	eve	er		
51Handle complex problems efficiently			A	В	С	D	ε
52Am able to tolerate postponement and uncertainty			A	В	С	D	Ε
53Am not a very convincing talker			A	В	С	D	Ε
54Assign group members to particular tasks			A	В	С	D	Ε
55Turn the members loose on a job, and let them go to it .	•	•	A	В	С	D	Ε
56Back down when I ought to stand firm			A	В	C	D	Ε
57Keep to myself			A	В	С	D	Ε
58Ask the members to work harder	•		A	В	С	D	Ε
59Am accurate in predicting the trend of events			A	В	С	D	Ε
60Get my superiors to act for the welfare or the group members	•		A	В	С	D	Ε
61Get swamped by details							
62Can wait just so long, then blow up			A	В	С	D	Ε
63Speak from a strong inner conviction	•	•	A	В	С	D I	Ε
64Make sure that my part in the group is understood by the group members		•	A f	В	C I	ו כ	E
65Am reluctant to allow the members any freedom of action.		• [ΑI	В	CI) (Ε
66Let some members have authority that I should keep		•	A I	В	C I) (Ε
67Look out for the personal welfare of group members	•	• /	A f	В	CI) [Ε
68Permit the members to take it easy in their work	•	• /	A f	В	C I) [Ε
69See to it that the work of the group is coordinated	• •	. /	A E	3 (C [) E	Ξ
70Work carries weight with superiors		. /	A E	3 (C () E	Ξ
71Get things all tangled up		. /	A E	3 (2 0) E	Ξ
72Remain calm when uncertain about coming events		. /	A E	3 (2 0) E	Ξ
73Am an inspiring talker		. /	A E	3 (: נ) E	Ξ
74Schedule the work to be done		. /	A E	3 () () E	Ξ
75Allow the group a high degree of initiative		. /	4 B	} () E	:
76Take full charge when emergencies arise	, •	, <i>I</i>	4 8	3 (3) E	=
77Am willing to make changes		. /	4 B	3 () C) E	:

A = Always	B = Often	C = Occasionally	D = Seldo	m	£ =	Never
		s a job to be don				
79Help group	members sett	le their differen	ces	• •	A B	CDE
80Get what I	ask for from	my superiors			. A B	C D E
81Can reduce	e a madhouse t	o system and orde	r.,		. A B	CDE
82Am able to	delay action	until the proper	time occurs .		. A B	CDE
83Persuade o	thers that my	ideas are to the	ir advantage .		. A B	C D E
84Maintain d	efinite stand	ards of performan	ce		. A B	CDE
85Trust memb	ers to exerci:	se good judgment.			. A B	CDE
86Overcome a	ttempts made	to challenge my l	eadership		, A B	CDE
87Refuse to	explain mý act	tions			. A B	CDE
88Urge the g	roup to beat	its previous reco	rd	• •	. A B	CDE
89Anticipate	problems and	plan for them		• •	. A B	CDE
90Am working	my way to the	e top		• • •	A B	CDE
91Get confus	ed when too ma	any demands are ma	ade of me	• • •	A B	CDE
92Worry abou	t the outcome	of any new proced	lure		A B	CDE
93Can inspir	e enthusiasm f	for a project			A B	CDE
94Ask that g	roup membe rs f	follow standard ruregulations	les and		A B	CDE
95Permit the	group to set	its own pace			A B	CDE
96Am easily a	recognized as	the leader of the	group	• • •	A B	CDE
97Act without	t consulting t	the group			A B	CDE
98Keep the gr	roup working u	p to capacity			АВО	DE
99Maintain a	closely knit	group			A B	CDE
100Maintain co	ordial relatio	ns with superiors			A B	CDE

APPENDIX E

LEADER BEHAVIOR DESCRIPTION QUESTIONNAIRE

ADAPTED FORM XII (SUBORDINATE)

Мy	routing	identifier	ís	

LEADER BEHAVIOR DESCRIPTION QUESTIONNAIRE--Form XII (How You Perceive Your Leader)

On the following pages is a list of items that may be used to describe the behavior of your supervisor. Each item describes a specific kind of behavior, but does not ask you to judge whether the behavior is desirable or undesirable. Although some items may appear similar, they express differences that are important in the description of leadership. Each item should be considered as a separate description. This is not a test of ability or consistency in making answers. Its only purpose is to make it possible for you to describe, as accurately as you can, the behavior of your supervisor.

NOTE: The term, "group", as employed in the following items, refers to the unit of organization that is supervised by the person being described.

The term "members", refers to all the people in the unit of organization that is supervised by the person being described.

Your answers will \underline{NOT} be seen by the supervisor. The report of the findings of this study \underline{will} preserve the anonymity of your answers.

Thank you.

DIRECTIONS: _

- 1. READ each item carefully.
- 2. THINK about how frequently you believe your supervisor engages in the behavior described by each item.
- DECIDE whether your supervisor always, often, occasionally, seldom, or never acts as described by the item.
- 4. DRAW A CIRCLE around one of the five letters following the item to show the answer you have selected.
 - A. Always
 - B. Often
 - C. Occasionally
 - D. Seldom
 - E. Never

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Please precede each statement with

"My supervisor. . . "

1Acts as the spokesperson of the group A B C D	E
2Waits patiently for the results of a decision A B C D	E
3 Makes pep talks to stimulate the group A B C D	E
4Lets group members know what is expected of them A B C D	E
5Allows the members complete freedom in their work A B C D	E
6 Is hesitant about taking initiative in the group A B C D 1	E
7Is friendly and approachable A B C D 1	e
8Encourages overtime work	Ε
9Makes accurate decisions	2
10Gets along well with the people above him/her A B C D E	3
11Publicizes the activities of the group A B C D E	S
12Becomes anxious when he/she cannot find out what is	
coming next A B C D E	
13His/her arguments are convincing A B C D E	,
14Encourages the use of uniform procedures A B C D E	;
15Permits the members to use their own judgment in solving problems A B C D E	
16 Fails to take necessary action A B C D E	1
17Does little things to make it pleasant to be a member of the group A B C D E	
18Stresses being ahead of competing groups A B C D E	
19Keeps the group working together as a team A B C D E	
20Keeps the group in good standing with higher authority A B C D E	
21Speaks as the representative of the group A B C D E	
22Accepts defeat in stride A B C D E	
23Argues persuasively for his/her point of view A B C D E	

A = Always $B = Often$ $C = Occasionally$ $D = S$	Geldom E = Never
24Tries out his/her ideas in the group	A B C D E
25Encourages initiative in the group members	A B C D E
26Lets other persons take away his/her leadership in	the group A B C D E
27Puts suggestions made by the group into operation. 28Needles members for greater effort	
29Seems able to predict what is coming next	
30Is working hard for a promotion	
31Speaks for the group when visitors are present	A B C D E
32Accepts delays without becoming upset	A B C D E
33Is a very persuasive talker	A B C D E
34 Makes his/her attitudes clear to the group	
35Lets the members do their work the way they think b	1
36Lets some members take advantage of him/her	A B C D E
37Treats all group members as his/her equals	A B C D E
38 Keeps the work moving at a rapid pace	
39Settles conflicts when they occur in the group	A B C D E
40His/her superiors act favorably on most of his/her suggestions	A B C D E
41Represents the group at outside meetings	A B C D E
42Becomes anxious when waiting for new developments	A B C D E
43Is very skillful in an argument	A B C D E
44Decides what shall be done and how it shall be done.	A B C D E
45Assigns a task, then lets the members handle it	A B C D E
46Is the leader of the group in name only	A B C D E
47Gives advance notice of changes	A B C D E
48Pushes for increased production	A B C D E
49Things usually turn out as he/she predicts	A B C D E
50Enjoys the privileges of his/her position	A B C D E

A = Always B = Often C = Occasionally D = Seldom	F	: -	· N	eve	er	
51Handles complex problems efficiently		. А	В	С	D	E
52 Is able to tolerate postponement and uncertainty		A	. В	С	D	E
53Is not a very convincing talker		A	В	С	D	E
54Assigns group members to particular tasks		A	В	С	D	E
55Turns the members loose on a job, and lets them go to it .		A	В	С	D	E
56Backs down when he/she ought to stand firm	•	A	В	С	D	E
57Keeps to himself/herself		A	В	С	D	E
58Asks the members to work harder		A	В	С	D	E
59Is accurate in predicting the trend of events		A	В	С	D	E
60Gets his/her superiors to act for the welfare of the group members		A	В	С	D :	E
61Gets swamped by details		A	В	С	D :	E
62Can wait just so long, then blows up		A	В	С	D I	E
63Speaks from a strong inner conviction	•	A	В	С	D I	E
64Makes sure that his/her part in the group is understood by the group members		A	В	С	D I	E
65Is reluctant to allow the members any freedom of action	•	A	В	C	D I	E
66Lets some members have authority that he/she should keep .		A	В	C	D I	Ξ
67Looks out for the personal welfare of group members	•	A	В	C	D I	3
68Permits the members to take it easy in their work	•	A	В	C	D E	3
69Sees to it that the work of the group is coordinated	•	A	В	C I) F	3
70His/her word carries weight with superiors	•	A	В	C I) F	Ē
71Gets things all tangled up	•	A	В	C I) E	Ē
72Remains calm when uncertain about coming events		A	В	C I) E	<u>:</u>
73Is an inspiring talker	•	A	В	C I) E	;
74Schedules the work to be done	•	A	В	C I) E	;
75Allows the group a high degree of initiative		A	В	C I) E	•
76Takes full charge when emergencies arise		A	В	C [) E	;
77Is willing to make changes	•	A	В	СГ) E	,

A = Always	B = Often	C = Occasionall	.y D =	Seldom		E -	N	eve	r
78Drives h	ard when there	e is a job to be	done		• •	. A	В	CI	D E
79Helps gro	oup members se	ettle their diffe	rences	• • • •	•	. A	В	C I	D E
80Gets what	t he/she asks	for from his/her	superiors			. A	В	C I) E
81Can reduc 82Is able t	ce a madhouse to delay actio	to system and or on until the prop	der er time oc	curs	•	. A	B B	C I) E
83Persuades	others that	his/her ideas are	e to their	advanta	ge	A	В	СГ	E
84Maintains	definite sta	ndards of perform	nance		•	. A	В	C ,E) E
85Trusts me	mbers to exer	cise good judgmer	it		• •	A	В	C D) E
86Overcomes	attempts mad	e to challenge hi	ls/her lead	dership.		, A	В	C D) E
87Refuses t	o explain his	/her actions				A	В	C D	E
88Urges the	group to bear	t its previous re	cord			A	В	C D	E
89Anticipat	es problems an	nd plans for them				A	В	C D	E
90Is working	g his/her way	to the top				A	В	C D	E
91Gets confi	used when too	many demands are	made of h	im/her.		A	В	C D	E
92Worries al	out the outco	ome of any new pr	ocedure .			A	В	C D	E
93Can inspir	e enthusiasm	for a project .	• • • • •		,• •	A	В	C D	E
94Asks that	group members	follow standard					,		_
		regulations				,			
95Permits th	e group to se	t its own pace.	• • • • •	• • • •	• •	A :	ВС) D	E
96Is easily	recognized as	the leader of the	ne group.	• • • •	• •	A , 1	ВС	; D	E
97Acts witho	ut consulting	the group				A I	ВС	: D	E
98Keeps the	group working	up to capacity .	• • • •			A I	3 C	D	E
99Maintains	a closely knii	t group .'	• • • •			A I	3 C	D	E
100Maintains	cordial relati	lons with superio	rs			A E	3 C	D	E

APPENDIX F

MANUAL FOR THE LEADER BEHAVIOR DESCRIPTION

QUESTIONNAIRE - FORM XII

MANUAL

for the

LEADER BEHAVIOR DESCRIPTION QUESTIONNAIRE - Form XII An Experimental Revision

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1963

LEADER BEHAVIOR DESCRIPTION QUESTIONMAIRE - FOrm XII

The Leader Behavior Description Questionnaire, often referred to as LEDQ, was developed for use in obtaining descriptions of a supervisor by the group members whom he supervises. It can be used to describe the behavior of the leader, or leaders, in any type of group or organization, provided the rollowers have had an opportunity to observe the leader in action as a leader of their group.

Origin of the Scales

The LBDQ grew cut of work initiated by Hemphill (10). Further development of the scales by the staff of the Ohio State Leadership Studies has been described by Hemphill and Coons (13). Shartle (16) has outlined the theoretical considerations underlying the descriptive method. He observed that "when the Ohio State Leadership Studies were initiated in 1945, no satisfactory theory or definition of leadership was available." It was subsequently found in empirical research that a large number of hypothesized dimensions of leader behavior could be reduced to two strongly defined factors. These were identified by Halpin and Winer (9) and Fleishman (3) as Consideration and Initiation of Structure.

The two factorially defined subscales, Consideration and Initiation of Structure, have been widely used in empirical research, particularly in military organizations (5, 6), industry (2, 3, 4), and education (6, 8, 12). Halpin (7) reports that "in several studies where the agreement among respondents in describing their respective leaders has been checked by a 'between-group vs. within-group' analysis of variance, the F ratios all have been found significant at the .01 level. Followers tend to agree in describing the same leader, and the descriptions of different leaders differ significantly."

The Development of Form XII

It has not seemed reasonable to believe that two factors are sufficient to account for all the observable variance in leader behavior. However, as Shartle (16) observed, no theory was available to suggest additional factors. A new theory of role differentiation and group achievement by Stogdill (17), and the survey of a large body of research data that supported that theory, suggested that a member of variables operate in the differentiation of roles in social groups. Possible factors suggested by the theory are the following: tolerance of uncertainty, persuasiveness, tolerance of member freedom of action, predictive accuracy, integration of the group, and reconciliation of conflicting demands. Possible new factors suggested by the results of empirical research are the following: representation of group interests, role assumption, production caphasis, and orientation toward superiors.

Items were developed for the hypothesized subscales. Questionnaires incorporating the new items were administered to successive groups. After item analysis, the questionnaires were revised, administered again, reanalyzed, and revised.

Marder (14) reported the first use of the new scales in the study of an army airbourne division and a state highway patrol organization. Day (1) used a revised form of the questionnaire in the study of an industrial organization. Other revisions were employed by Stogdill, Goode, and Day (20, 21, 22) in the study of ministers, leaders in a community development, United States senators, and presidents of corporations. Stogdill (18) has used the new scales in the study of industrial and governmental organizations. Form XII represents the fourth revision of the questionnaire. It is subject to further revision.

Definition of the Subscales

Each subscale is composed of either five or ten items. A subscale is necessarily defined by its component items, and represents a rather complex pattern of behaviors. Brief definitions of the subscales are listed below:

- 1. Representation speaks and acts as the representative of the group. (5 items)
- 2. <u>Demand Reconciliation</u> reconciles conflicting demands and reduces disorder to system. (5 items)
- 3. Tolerance of Uncertainty is able to tolerate uncertainty and postponement without anxiety or upset. (10 items)
- 4. Persuasiveness uses persuasion and argument effectively; exhibits strong convictions. (10 items)
- 5. <u>Initiation of Structure</u> clearly defines own role, and lets followers know what is expected. (10 items)
- 6. Tolerance of Freedom allows followers scope for initiative, decision, and action. (10 items)
- 7. Role Assumption actively exercises the leadership role rather than surrendering leadership to others. (10 items)
- 8. Consideration regards the comfort, well being, status, and contributions of followers. (10 items)
- 9. Production Emphasis applies pressure for productive output. (10 items)
- 10. Predictive Accuracy exhibits foresight and ability to predict outcomes accurately. (5 items)
- 11. <u>Integration</u> maintains a closely knit organization; resolves intermember conflicts. (5 items)
- 12. Superior Orientation maintains cordial relations with superiors; has influence with them; is striving for higher status. (10 items)

LEDQ Form XII - RECORD SHEET

												Tot	مله
1.	Representation	1_	n _	න	31 _	⁴¹ _				1		()
2.	Reconciliation						51 <u> </u>	er _	n_	81 _ ,	91_	()
3.	Tol. Uncertainty	2	12 <u> </u>	22	35 _	12_	52	6e _	122	82	% _	()
٨.	Persuasion	3	13 <u> </u>	23	33	43	53	63	73	83	93	()
5.	Structure	L	1 k	24 _	34	W _	5 k	& <u>_</u>	74	84	94	()
6.	Tol. Freedom	5_	15	25	35	45	55	65_	75_	85	95	()
7.	Role Assumption	6_	16 _	26 _	36	46	56	66_	76 _	86	%	()
8.	Consideration	7_	17	27 _	37	47	57 _	67 <u> </u>	π_	87	97	()
9.	Production Emph	8	18 _	28	38	48	58 _	68_	78 _	88	98	()
10.	Predictive Acc	9_		29_		49_	59_			89 _		()
u.	Integration		19_		39			69_	79_		99	()
12.	Superior Orient	10 <u> </u>	20	30 _	40	50 <u> </u>	60 _	70_	80	90 :	100	()

Subscale Means and Standard Deviations

There are no norms for the LBDQ. The questionnaire was designed for use as a research device. It is not recommended for use in selection, assignment, or assessment purposes.

The means and standard deviations for several highly selected samples are shown in Table 1. The samples consist of commissioned and noncommissioned officers in an army combat division, the administrative officers in a state highway patrol headquarters office, the executives in an aircraft engineering staff, ministers of various denominations of an Ohio Community, leaders in community development activities throughout the state of Ohio, presidents of "successful" corporations, presidents of labor unions, presidents of colleges and universities, and United States Senators.

Reliability of the Subscales

The reliability of the subscales was determined by a modified Euder-Richardson formula. The modification consists in the fact that each item was correlated with the remainder of the items in its subscale rather than with the subscale score including the item. This procedure yields a conservative estimate of subscale reliability. The reliability coefficients are shown in Table 2.

Table 1. Means and Standard Deviations

	Subscale	Army Divis	•		Highway Airc: Patrol		ift	Minist	Ministers		nity rs
		Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
1.	Representation	25.0	3.0	19.9	2.8	19.8	2.8	20.4	2.4	19.6	2.4
2.	Demand Reconciliation					19.2	2.8	19.8	3.1	19.7	3.3
3.	Tolerance Uncertainty	36.2	4.7	35.6	4.6	33.2	6.2	37.5	6.3	37 .7	5.6
4.	Persuasiveness	38.3	6.2	37.9	5.9	36.5	5.5	42.1	4.7	39-5	5. 5
5.	Initiating Structure	38 .6	5.7	39.7	4.5	36.6	5.4	38.7	4.9	37.2	5.7
6.	Tolerance Freedom	35.9	6.5	36.3	5.3	38.0	5.9	37.5	6.0	36.4	5.0
7.	Role Assumption	42.7	6.1	42.7	5.3	40.9	5.6	41.5	5.4	39.8	5.6
8.	Consideration	37.1	5.6	36.9	6.5	37.1	5.8	42.5	5.8	41.1	4.7
9.	Production Emphasis	36.3	5.1	35.8	5.7	36.1	5.6	34.9	5.1	35.4	6.8
10.	Predictive Accuracy	18.1	2.1	17.8	2.1	19.2	2.6	20.5	2.3	19.6	2.5
11.	Integration	19.5	2.6	19.1	2.7						
12.	Superior Orientation	39.9	4.9	39.1	5.1	38.6	4.2				
	llumber of Cases	235		18	5	16	5	10		57	

Table 1. Means and Standard Deviations (continued)

Subscale			Corporation Presidents		Labor Presidents		College . Presidends		Senators:	
			SD	Mean	ED	iean	SD	ilean	SD -	
1.	Representation	20.5	1.8	22.2	2.2	21.4	1.9	20.7	2.5	
2.	Demand Reconciliation	20.6	2.7	21.5	3.2			20.7	3.5	
3.	Tolerance Uncertainty	35.9	5.4	40.4	5.6	37.2	5.5	35.3	7.6	
4.	Fersussiveness	40.1	4.2	43.1	4.8	41.1	4.2	42.5	4.6	
5.	Initiating Structure	38.5	5.0	38.3	5.6	37.7	4.2	38.8	5.5	
6.	Tolerance Freedom	38.9	4.9	38.0	4.0	39.6	3.9	36.6	6.2	
7.	Role Assumption	42.7	3.5	43.3	5.5	43.5	4.5	41.0	5.7	
8.	Consideration	41.5	4.0	42.3	5.5	41.3	4.1	41.1	5.9	
9.	Production Emphasis	38.9	4.4	36.0	5.0	36.2	5.0	41.2	5.2	
10.	Predictive Accuracy	20.1	1.8	20.9	2.0			4	*	
11.	Integration									
12.	Superior Orientation	43.2	3.1			15.9	2.9			
	humber of Cases		55	Į,	4	5	5			

Table 2. Reliability Coefficients (Modified Kucer-Richardson)

Sucscale	Army Division	Highway Patrol	Air- craft Execu- tives	inisters	Community Leaders	Corpora- tion Presi- dents	Labor Presi- dents	College Presi- dents	Sevetors
1. Representation	.82	.85	.74	.55	.59	.54	.70	.66	.8:
2. Detand Reconciliation		,	.73	.77	.58	•59	.81		.ĉı
3. Tolerance Uncertainty	.58	.66	.82	.84	.85	.79	.82	.80	.83
4. Persuasiveness	.84	.85	. 84	.77	.79	.69	.80	.76	.82
5. Initiating Structure	.79	.75	.78	.70	.72	.77	.78	.80	.72
 ₹. Tolerance Freedom 	.81	.79	.86	.75	.86	.84	.58	.73	.64
7. Role Assumption	.85	. 84	.84	.75	.83	.57	.86	.75	.65
8. Consideration	.76	.87	.84	85	.77	.78	.83	.76	.85
9. Production Emphasis	.70	.79	.79	•59	.79	.71	.65	.74	. 38
1. Predictive Accuracy	.76	.82	.91	.83	.62	, .84	.87		
11. Integration	.73	.79	,	î,					
12. Superior Crientation	.64	.75	.81			.66		.కు	

Administering the LBDQ

The LEDQ is usually employed by followers to describe the behaviors of their leader or supervisor. However, the questionnaire can be used by peers or superiors to describe a given leader whom they know well enough to describe accurately. With proper changes in instructions, the questionnaire can also be used by a leader to describe his own behavior.

The questionnaire can be administered individually or in groups. It is usually not necessary for the person making the description to write his name on the test booklet. However, the name of the leader being described should be written on the test booklet. It is necessary to identify the person being described whenever it is desired to add together (and obtain an average or) the descriptions of several describers.

How may describers are required to provide a satisfactory index score of the leader's behavior? Halpin (7) suggests that "a minimum of four respondents per leader is desirable, and additional respondents beyond ten do not increase significantly the stability of the index scores. Six or seven respondents per leader would be a good standard."

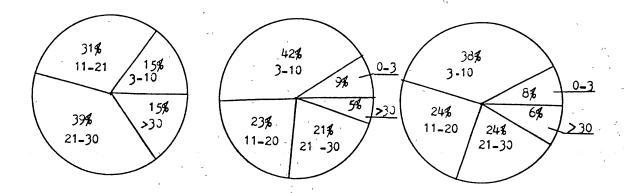
In explaining the purpose and nature of a research project to a group of respondents, it has not been found necessary to caution them about honesty or frankness. It has been found sufficient to say, "All that is required is for you to describe your supervisor's behavior as accurately as possible." Whenever possible to do so, it is desirable to assure the respondents that their descriptions will not be seen by any of the persons whom they are asked to describe.

APPENDIX G

DEMOGRAPHIC DATA

Employees

Tota1

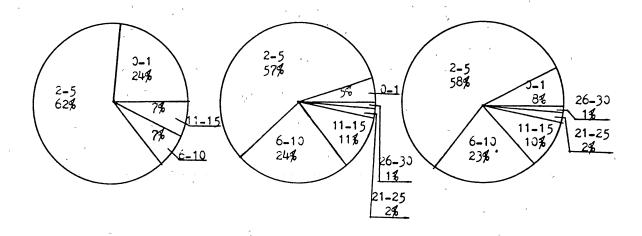


Years		visor % of Total		yees % of Total	% of Total
0 - 3			97	7%	87
3 - 10	15%	27	42%	37 %	· 38 %
11 - 20	317	4.7	23%	197	24%
21 - 30	39%	5%	21%	19%	24%
more than 30	15%	27	5%	5%	67
Number of respon	dents - 13	`	9:	3	106

Figure 11. Number of Years in Civil Service

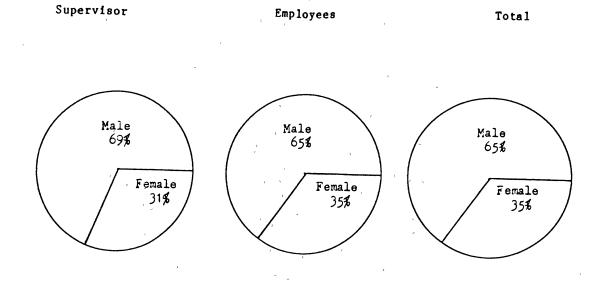
Employees

Total



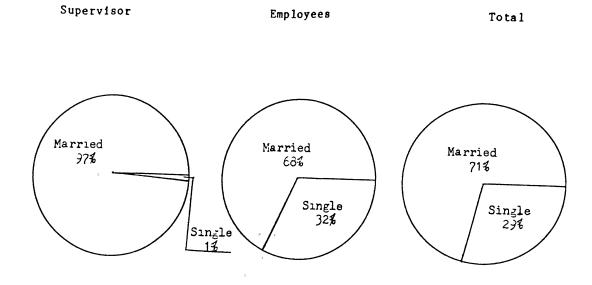
Years	Super	visor	Emp1c	yees 🕟	•
		% of	% of	% of	% of
	Supv.	Total	Emp1.	Total	Total
0 - 1	24%	3%	5%	5%	8%
2 - 5	62%	8%	57%	50%	58%
6 - 10	7%	1%	24%	217	23%
11 - 15	7%	1%	117	97	10%
16 - 20					
21 - 25			2%	17	2%
26 - 30			1%	17	1%,
Number of Respon	ndents - 13		93		106

Figure 12. Number of Years in Present Position



Sex	Super % of Supv.	visor % of Total		yees % of Total	% of Total
Male	69%	8%	65 %	57%	65%
Female	317	47	35%	317	35%
Number of Respon	dents - 1	3	9	3	106

Figure 13. Sex of Respondents

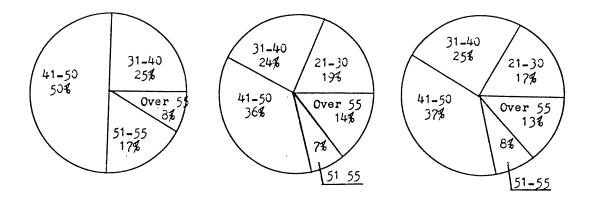


Marital	Super	visor	Emp1c		
Status	% of Supv.	% of Total	% of Emp1.	% of Total	% of Total
Married	97%	117	687	6 0 %	71%
Single	17	17	32%	28%	29%
Number of Resp	ondents - 1	2	9	2	104

Figure 14. Marital Status of Respondents

Employees

Total



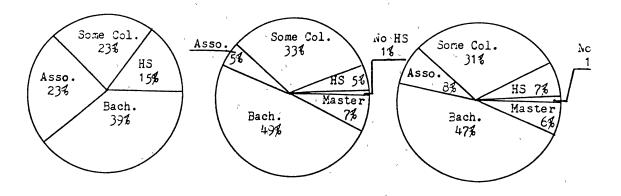
Age	Supervisor % of % of Supv. Total		Employees % of % of Empl. Total		% of Total	
21 - 30		***	19%	17%	17%	
31 - 40	25%	37	24%	21%	25%	
41 - 50	50%	6 %	36%	32%	37 %	
51 - 55*	. 17%	27	7%	6 %	87	
Over 55	87	17	14%	12%	13%	
Number of Respondents - 12			8		99	

*55 is retirement age for those with 30 years service

Figure 15. Age of Respondents

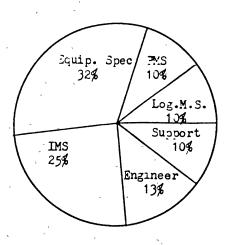
Employees

Total



Educational Level	Supervisor % of % of Supv. Total		Employees %% of % of Empl. Total			% of Total	
No HS Diploma			·	1%	17	12	
HS Diploma/GED	15%	2%	, , , , 5	. .	5%	72	
Some College	23%	3%	, 33	Z	28%	31%	
Associate Degree	23%	3%	5	X -	5%	8%	
Bachelor Degree	39 %	,5%	49	Z	42%	47%	
Masters Degree			7	7	6%	6%	
Doctoral Degree	1 1) · 7		-			
Number of Respondents - 13			92			105	

Figure 16. Highest Educational Degree Attained



Job Functions	Percentage of Respondents				
Logistics Management Specialist	107				
Production Management Specialist	10%				
Equipment Specialist	32%				
Inventory Management Specialist	~ 25%				
Engineering	137				
Support	10%				

Figure 17. Job Functions

APPENDIX H

RAW DATA AND AVERAGE SCORES OF SUPERVISOR/
SUBORDINATE UNIT GROUPS BY

JOB FUNCTION

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RAW DATA AND AVERAGE SCORES OF SUPERVISOR/SUBORDINATE UNIT GROUPS BY JOB FUNCTION

JOB FUNCTION	RESPONDENTS		EXTENDED RAW SCORES		AV ERAGE/MEAN SCORES	
	Supv.	Employees	Supv.	Employees	Sup v.	Employees
Inv. Mgmt. Spec.	5	35	106	712	2.1	2.3
Prod. Mgmt. Spec.	1	9	21	85	2.1	2.1
Equipment Spec.	4	38	62	877	1.6	2.3
Logistics Mgmt. Spec.	1	6				
Engineering	2	16	50	401	2.5	2.6
Support	2	11	21	98	2.1	1.6
	15	115	260	2173	$\overline{(2.0)}$	(2.3)

APPENDIX I

LETTERS



March 5, 1990

Loela S. McGuire 1712 Serenade Dr. Midwest City, OK 73130

Dear Ms. McGuire:

You have mixed us up with the Center for Creative Leadership at 5000 Laurinda Drive, Greensboro, NC 27402-1660. I attended a CCL workshop with people from your base, so somehow my address probably got into the wrong file. Good luck on your project.

Sincerely,

Susan R. Jurow

Director

SRJ/mhs



5605 Green Circle Drive, Minnetonka MN 55343 / Phone 612-939-5000

NATIONAL COMPUTER SYSTEMS



PROFESSIONAL ASSESSMENT SERVICE

March 7, 1990

Loela S. McGuire 1712 Serenade Dr. Midwest City, OK 73103

Dear Ms. McGuire:

Thank you for thinking of NCS Professional Assessment Services when an assessment solution was needed. The instruments we offer are focused on the assessment of the individual's personality, interests and potential, rather than on the expectation definition and emphasis.

An idea would be to consult another division of NCS - National Information Services (NIS). They specialize in constructing surveys for organizations, and they may have done something similar to your thesis. Please contact:

National Information Services
11300 Rupp Drive
Burnsville, MN 55337
(612) 894-9494

If I can be of further assistance, please contact me again.

Sincerely,

Kevin Anderson

Test Product Consultant

CENTER FOR CREATIVE LEADERSHIP

5000 Laurinda Drive Post Office Box P-1 Greensboro, North Carolina 27402-1660 919-288-7210, Telex 3772224, FAX 919-288-3999

March 22, 1990

Leola S. McGuire 1712 Serenade Dr. Midwest City, OK 73130

Dear Ms. McGuire:

Your letter of March 14th, requesting information on instruments, was passed on to me.

I'm afraid I can be of little help, because it was not clear from you letter why the LBDQ was inappropriate to your research questions. If what you need is an instrument which captures the behavior of the employees, rather than the behavior of the leader (or expectations for the behavior of employees), I do not know of such an instrument.

There is a book you might consult however. It is the <u>Directory of HRD Instrumentation</u>, published by University <u>Associates</u>. It briefly describes a vast array of instruments used in organizations and would be a first step in identifying one for your use.

Good luck with your research.

Sincerely,

Ellen Van Velsor, PhD

Ellen Van Velson

Director

Leadership Technologies Research

Loela S. McGuire

Candidate for the Degree of

Master of Science

Thesis: THE PERCEIVED COMMUNICATION OF ROLE AND EXPECTATIONS FROM SUPERVISORS TO SUBORDINATES IN A FEDERAL CIVIL SERVICE FACILITY

Major Field: Occupational and Adult Education

Area of Specialization: Human Resource Development

Biographical:

Personal Data: Born in Tulsa, Oklahoma on March 8, 1946, the daughter of Elmer J. and Loena Balcom.

Education: Graduated from Okmulgee High School, Okmulgee,
Oklahoma, in May, 1964; received Bachelor of Music
Education in Elementary-Secondary Music from Oklahoma State
University, Stillwater, Oklahoma in May, 1969; completed
requirements for the Master of Science degree at Oklahoma
State University in May, 1991.

Professional Experience: Band Director, McLoud Schools, McLoud, Oklahoma, 1969-1973; Accident and Health Underwriter, Globe Life and Accident Insurance Company, 1973-1974; Inventory Management Specialist, Oklahoma City Air Logistics Center, Oklahoma City, 1974-1983; Requirements Computer Systems Instructor, Oklahoma City Air Logistics Center, Oklahoma City, 1983-1984; Logistics Management Specialist, Oklahoma City Air Logistics Center, Oklahoma City, 1984-1986; Supervisory Inventory Management Specialist, Oklahoma City Air Logistics Center, 1986 to present.

Professional Organizations: Air Force Logistics Executive Cadre; Air Force Association; Tinker Management Association; National Honorary Fraternity of Tau Beta Sigma.